

## SLEEP-MODULATED PATHWAYS IN NEUROPROTECTION FROM STROKE

## Identification of Sleep-Modulated Pathways Involved in Neuroprotection from Stroke

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**Study Objectives:** Sleep deprivation (SDp) performed before stroke induces an ischemic tolerance state as observed in other forms of preconditioning. As the mechanisms underlying this effect are not well understood, we used DNA oligonucleotide microarray analysis to identify the genes and the gene-pathways underlying SDp preconditioning effects.

**Design:** Gene expression was analyzed 3 days after stroke in 4 experimental groups: (i) SDp performed before focal cerebral ischemia (IS) induction; (ii) SDp performed before sham surgery; (iii) IS without SDp; and (iv) sham surgery without SDp. SDp was performed by gentle handling during the last 6 h of the light period, and ischemia was induced immediately after.

**Settings:** Basic sleep research laboratory.

**Measurements and Results:** Stroke induced a massive alteration in gene expression both in sleep deprived and non-sleep deprived animals. However, compared to animals that underwent ischemia alone, SDp induced a general reduction in transcriptional changes with a reduction in the upregulation of genes involved in cell cycle regulation and immune response. Moreover, an upregulation of a new neuroendocrine pathway which included melanin concentrating hormone, glycoprotein hormones- $\alpha$ -polypeptide and hypocretin was observed exclusively in rats sleep deprived before stroke.

**Conclusion:** Our data indicate that sleep deprivation before stroke reprogrammed the signaling response to injury. The inhibition of cell cycle regulation and inflammation are neuroprotective mechanisms reported also for other forms of preconditioning treatment, whereas the implication of the neuroendocrine function is novel and has never been described before. These results therefore provide new insights into neuroprotective mechanisms involved in ischemic tolerance mechanisms.

**Keywords:** stroke, sleep deprivation, preconditioning, neuroprotection

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## INTRODUCTION

Despite recent progress in the understanding of pathways involved in neuronal damage during stroke, limited advances have been achieved in developing therapies to limit ischemia. Reperfusion therapy remains the only approved treatment, and it is delivered in fewer than 10% of admitted patients due to the narrow therapeutic window and safety concern.<sup>1</sup> This emphasizes the need for alternative therapies that can enhance neuroprotection by antagonizing the molecular cascades underlying cellular damage and mortality.

In the last few years, both clinical and preclinical settings suggested a beneficial role for sleep on stroke recovery. Indeed, it has been established that not only do sleep disorders contribute to stroke vascular pathology,<sup>2</sup> but that sleep alterations following stroke are usually linked with poorer rehabilitation and long-term outcomes.<sup>3</sup> Recent studies on animal models clearly showed that increasing length and repetition of sleep deprivation (SDp) during the acute phase of ischemia has detrimental effects, both on stroke evolution and functional

recovery.<sup>4,5</sup> In contrast, the pharmacological enhancement of sleep after stroke has been linked with faster behavioral recovery in mice,<sup>6</sup> as well as axonal sprouting and neurogenesis in rats.<sup>7</sup> We and others have shown that SDp protocols prior to an experimentally induced stroke did not have a detrimental impact on recovery, but instead induced a protective effect on the brain, resulting in a reduction of the neuronal damage 7 days after stroke with a concomitant improvement in the recovery of sensorimotor performance and motor coordination.<sup>8–11</sup> Since these beneficial effects were associated also to a reduction in post-stroke inflammatory response,<sup>8,9</sup> SDp prior to stroke has been compared to a phenomenon known as ischemic tolerance, or *preconditioning*. This phenomenon has been described in the literature for several type of stimuli (hypothermia, hypoxia, oxidative stress, and others) and it is defined as *the application of a potentially harmful stimulus, near to but below the threshold of cell damage, which promotes the tolerance of the brain to subsequent ischemic injury*.<sup>12</sup> Although the mechanisms underlying this tolerance remain unclear, a reduction in the post-stroke inflammatory response, which is considered to contribute to ischemic brain injury, seems to be a common pathway in other forms of preconditioning.<sup>13</sup> However, since sleep is involved in the central and peripheral modulation of many molecular functions,<sup>14,15</sup> some of which also involved in stroke pathophysiology,<sup>12,16</sup> other pathways such as energy balance,<sup>17–19</sup> thermoregulation,<sup>20,21</sup> or anti-oxidant systems<sup>22,23</sup> might play a role in the ischemic tolerance induced by SDp. The aim of this study was to investigate which are the mechanisms and the pathways involved in neuroprotection induced by pre-stroke SDp, in

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order to identify functional and molecular targets for future therapeutic approaches. We therefore performed a microarray study to characterize brain gene expression alterations in rats sleep deprived prior to cerebral ischemia. Moreover based on microarray results we decided to further investigate the peripheral effects of a newly identified pathway so to elucidate its possible role in neuroprotection.

## METHODS

### Animals

Male Sprague-Dawley rats ( $n = 24$ ), 8–10 weeks old and weighing  $296 \pm 35$  g at the time of surgery, were used in this study. They were housed under a 12 h light/dark cycle (light on 08:00–20:00) with ambient temperature at  $22 \pm 0.5^\circ\text{C}$ . Animals were provided ad libitum with food and water except for the 18 h preceding stroke surgery, during which they were food deprived.<sup>10</sup> All experiments were conducted according to local guidelines with governmental approval (Kantonales Veterinäramt Zürich, Switzerland) for the care and use of laboratory animals.

### Experimental Design

Rats were assigned to 4 experimental groups: (i) sleep deprivation followed by ischemia (SDp.IS); (ii) sleep deprivation followed by sham surgery (SDp); (iii) ischemia (IS); and (iv) sham surgery (Sham). SDp was performed by gentle handling during the last 6 h of the dark period, and ischemia/sham surgery was performed immediately after. Sixteen rats ( $n = 4/\text{group}$ ) were sacrificed 3 days after surgery. Eight additional rats, assigned to SDp.IS and IS group ( $n = 4/\text{group}$ ), were instead sacrificed after 7 days. Focal cerebral ischemia was induced by electrocoagulation of the distal middle cerebral artery (MCA) and permanent ligation of the ipsilateral common carotid artery (CCA), associated with temporary occlusion (60 min) of the contralateral CCA as previously described.<sup>5,11,24</sup> Sham-control animals were subjected to the same procedure except for the occlusion of the MCA and CCAs. At the end of the protocol, blood was collected before sacrifice and brains were extracted for molecular analysis and ischemic damage evaluation.

Microarray analysis was performed only in rats sacrificed after 3 days, on tissue obtained from the whole ischemic hemisphere. Quantitative Real-time PCR (qRT-PCR) and enzyme-linked immunoassays (ELISA) were performed for animals sacrificed both at 3 and 7 days.

### Infarct Volume Evaluation

Rats were decapitated while deeply anesthetized (Isoflurane 5%) and brains dissected and frozen immediately on dry ice; 20  $\mu\text{m}$  coronal sections were cut on a cryostat at 6 predefined levels with 1 mm interval (L-1: 2.7 mm; L-2: 1.7 mm; L-3: 0.7 mm; L-4:  $-0.3$  mm; L-5:  $-1.3$  mm and L-6:  $-2.3$  mm from bregma<sup>24,25</sup>). Twenty sections at each level were mounted on SuperFrostr Plus slides (Menzel GmbH, Braunschweig, Germany) for histology. The remaining tissue between these sections was cut at 50  $\mu\text{m}$  and hemispheres collected separately. Sections were then stored at  $-80^\circ\text{C}$  for further molecular biology analysis. To determine the volume of the lesion, one section for each level was stained with cresyl violet and digitized.

The infarct area was measured at each level by ImageJ software (NIH, Bethesda, MD, USA). The volume was assessed by converting with the known distance between each levels and eventually correcting for edema by multiplying the ratio of the contralateral to ipsilateral volume as described.<sup>26</sup>

### Microarray Analysis

RNA was isolated separately from ipsilateral and contralateral hemisphere from the 50  $\mu\text{m}$  sections by means of TRIzol/tissue (Sigma Aldrich, Midtown-St Louis, MO, USA) according to manufacturer's instruction.<sup>27</sup> RNA concentration was then determined by a NanoDrop 2000c spectrophotometer.

Quantities of 100 ng of RNA per sample from the ischemic hemisphere were independently used to perform the target preparation using the whole transcript sense target labeling protocol procedure (Affymetrix, High Wycombe, UK). Then, 2.5  $\mu\text{g}$  of each fragmented cDNA was end labeled with biotin and hybridized to a GeneChip Rat Gene 1.0 ST array (Affymetrix). Normalized expression signals for each animal were calculated from Affymetrix CEL files using RMA normalization method implemented in the Affymetrix Expression Console software, version 1.1. 2800. Statistical analyses were performed using the R language, version 2.14.2. P values were adjusted for multiple testing with the Benjamini and Hochberg method.

We fitted a model with a coefficient for each of the 4 factor combinations (IS, SDp, SDp.IS, Sham) and extracted the comparisons of interest as contrasts. Four total contrasts were performed: (1) IS versus Sham (IS/vs/Sham), to evaluate the alterations in gene expression induced by ischemia; (2) SDp.IS versus SDp (SDp.IS/vs/SDp), to evaluate the changes induced by ischemia while controlling possible SDp effects; (3) SDp versus Sham (SDp/vs/Sham), to assess gene alterations induced by SDp alone; and (4) SDp.IS versus IS (SDp.IS/vs/IS), to evaluate the effects of SDp on ischemia. Following MAA-NOVA analyses of all data for each condition, we identified the significantly differentially expressed genes (adjusted qVal cutoff criteria  $\leq 0.05$ ). An initial hierarchical clustering analysis was performed to evaluate the segregation of the different samples according to treatment. One animal in the SDp.IS group clustered with sham treated groups and for this reason it was discarded from all subsequent analysis. Due to an unclear separation, 3 other samples (2 SDp and 1 Sham) were excluded from all microarray analysis but included in the following qRT-PCR study as the values of the genes examined were in line with the group mean value.

Data were further analyzed by Ingenuity Pathway Analysis (IPA) Software (Qiagen, Redwood City, CA). Two types of analysis were performed in IPA: the downstream effects analysis (DEA) and functional network generation. DEA was performed in IPA in order to identify biological functions and canonical pathways which were relevant for each contrast. For each biological function, in addition to the P value, another statistical quantity, *activation z-score*, was computed to infer the state of activation of specific functional annotations associated with a particular biological function. A *z-score*  $\geq 2$  predicts "increased activation" whereas a *z-score*  $\leq -2$  predicts "decreased activation." The generation of functional networks was performed only for the SDp.IS/vs/IS contrast.

## Quantitative Real-Time PCR

To validate microarray results we examined the expression of 18 genes (Table S1, supplemental material) by quantitative Real-Time PCR (qRT-PCR) using TaqMan Gene Expression Assay (Life Technologies, Carlsbad, CA, USA). Genes were selected within the functional networks identified for the SDp. IS/vs/IS contrast on the basis of P value and difference in fold change. cDNA for qRT-PCR was obtained from up to 2 µg of total RNA by using a high-capacity RNA-to-cDNA kit (Life Technologies, Carlsbad, CA, USA) and stored at -20°C. qRT-PCR was performed in rats sacrificed at 3 days on both hemispheres and in rats sacrificed at 7 days exclusively on ipsilateral hemisphere.

## ELISA Immunoassays

After sampling, blood was centrifuged at 10,000 rpm for 10 min at 4°C to separate the serum. Serum was then stored at -80°C for further use. ELISA immunoassays were performed using kits for testosterone and 17β-estradiol (Abnova, Taipei City, Taiwan) following the manufacturer's protocol.

## Statistics

Results of infarct volume, qRT-PCR and ELISA are presented as means ± SD. Differences in lesion volume were assessed by means of t-test for independent samples. qRT-PCR data at day 3 were analyzed by 2-way ANOVA (factors: group and hemisphere), whereas qRT-PCR data comparisons between day 3 and day 7 were analyzed by 1-way ANOVA. ELISA data were also tested by a 2-way ANOVA (factors: group and day). Whenever statistical significant was achieved, post hoc contrasts with Tukey correction were run separately for each factor.

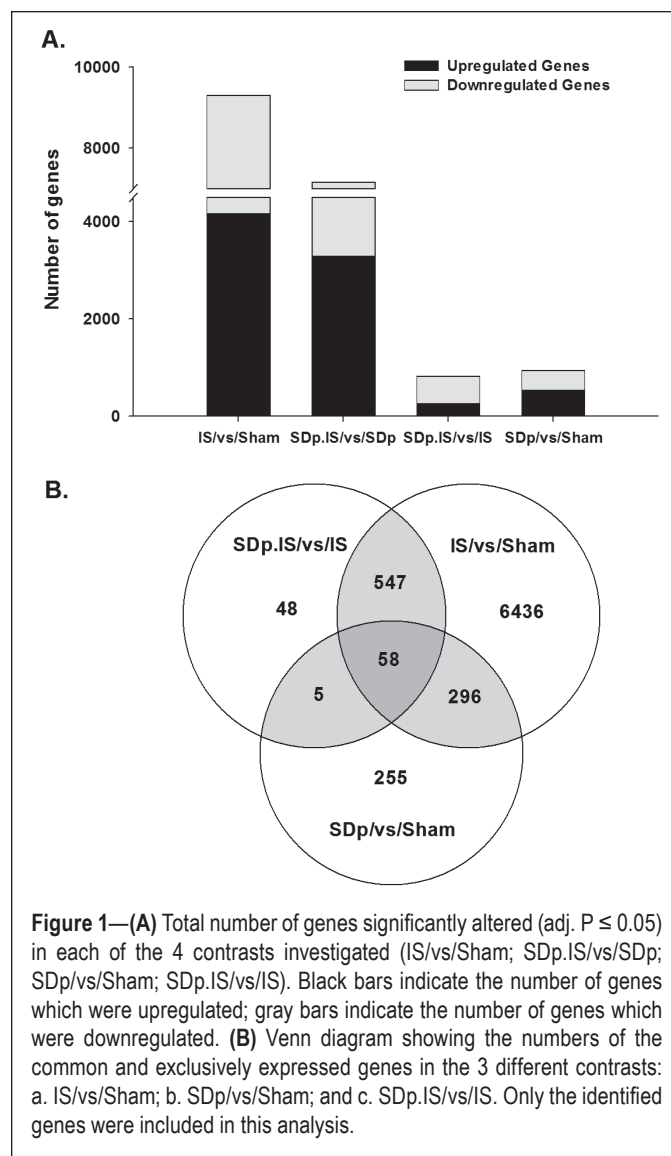
## RESULTS

### Infarct Volume

In order to confirm the neuroprotective effect of pre-stroke SDp, infarct size was evaluated in both IS and SDp.IS groups 3 and 7 days after stroke. After 7 days, the infarct lesion was significantly smaller in animals which were sleep deprived prior to stroke compared to animals which underwent ischemia without sleep deprivation (SDp.IS:  $25.6 \pm 7.5$  vs IS:  $54.4 \pm 14.0$  mm<sup>3</sup>; t-test  $P \leq 0.008$ ). No significant difference between the 2 experimental groups was observed in rats sacrificed after 3 days (IS:  $93.7 \pm 16.1$  vs SDp.IS:  $97.2 \pm 28.7$  mm<sup>3</sup>).

### Gene Expression Data

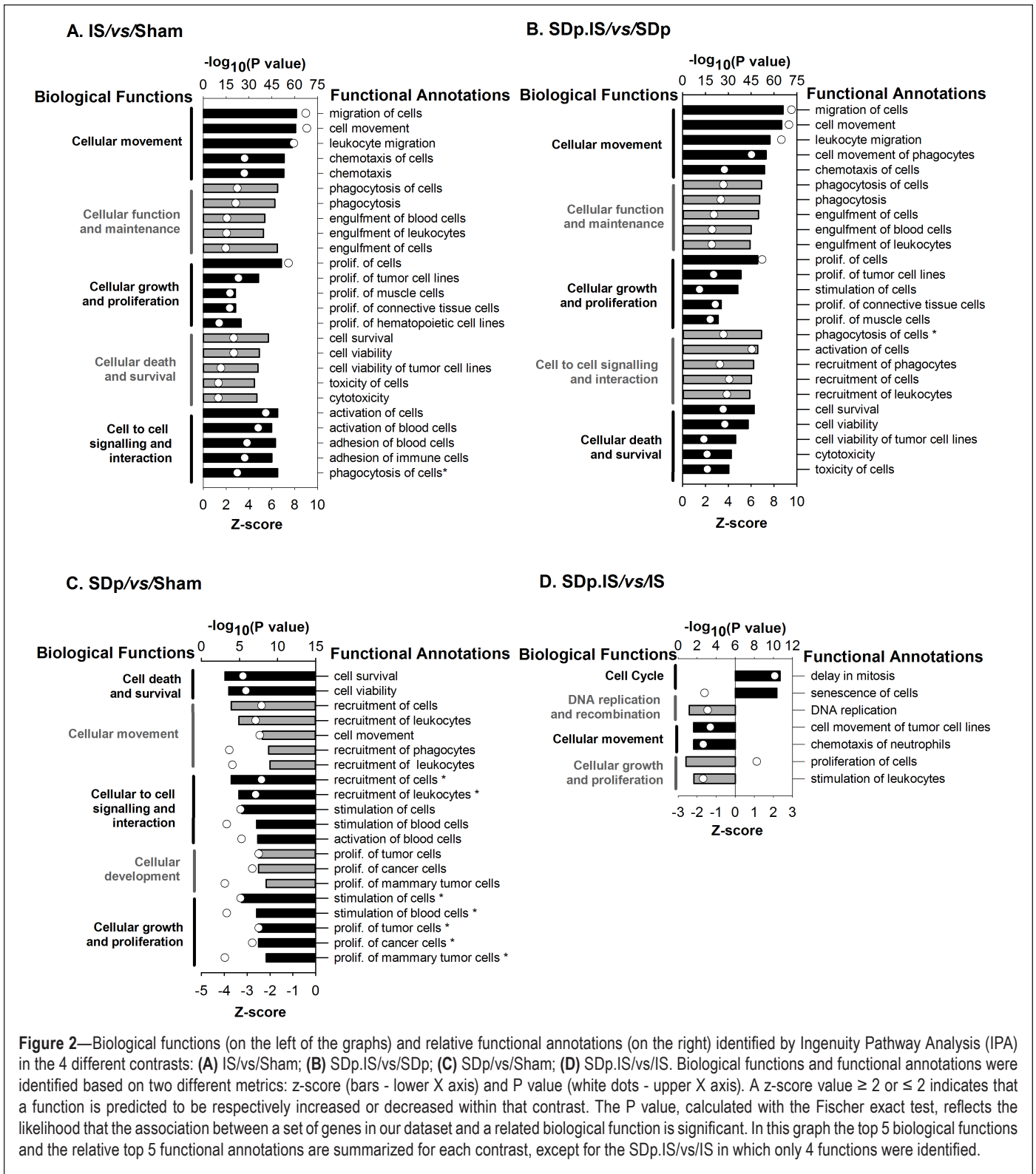
As described in the Methods, microarray analysis was performed on 4 different contrasts in order to evaluate separately the effects of the 2 treatments (ischemia and SDp) and also their possible interactions. Contrast 1 (IS/vs/Sham) showed that ischemia altered significantly (adj.  $P \leq 0.05$ ) the expression of 9,303 genes and in particular induced a downregulation of 5,141 genes and an upregulation of 4,162 (Figure 1A). In contrast 2 (SDp.IS/vs/SDp), the number of genes affected by ischemia was lower (7,154; 3,868 downregulated and 3,286 upregulated), indicating a possible interaction between ischemia and SDp effect (Figure 1A). SDp alone (contrast 3) induced an alteration in the expression of 941 genes, of which 401 were downregulated and 540 upregulated (Figure 1A). This was



**Figure 1—(A)** Total number of genes significantly altered (adj.  $P \leq 0.05$ ) in each of the 4 contrasts investigated (IS/vs/Sham; SDp.IS/vs/SDp; SDp/vs/Sham; SDp.IS/vs/IS). Black bars indicate the number of genes which were upregulated; gray bars indicate the number of genes which were downregulated. **(B)** Venn diagram showing the numbers of the common and exclusively expressed genes in the 3 different contrasts: a. IS/vs/Sham; b. SDp/vs/Sham; and c. SDp.IS/vs/IS. Only the identified genes were included in this analysis.

different from what we observed in contrast 4 (SDp.IS/vs/IS) since the effect of SDp induced significant changes in a lower number of genes (820) with a predominant downregulation (555 genes downregulated vs 265 upregulated), indicating an interaction between ischemia and SDp (Figure 1A).

The interaction between treatments was further analyzed in a Venn diagram (Figure 1B) including contrast 1 (IS/vs/Sham), 3 (SDp/vs/Sham), and 4 (SDp.IS/vs/IS). The expression of 6,436 genes (60% upregulated; 40% downregulated) was altered exclusively in the IS/vs/Sham contrast. Of the 547 genes shared between contrast 1 and contrast 4, almost 100% (545 out of 547 genes) showed an opposite regulation in the 2 contrasts, and in particular 80.6% was downregulated in SDp.IS/vs/IS and upregulated in IS/vs/Sham (Table S1). This suggests that the upregulation of these genes induced by IS is actually inhibited by pre-stroke SDp. Fifty-eight genes were found to be common to the 3 contrasts. Compared to the expression in SDp.IS/vs/IS contrast most of these genes (98.3%) showed again an opposite regulation in IS/vs/Sham contrast; only 32.7% were oppositely regulated in the SDp/vs/Sham contrast (Table S2, supplemental material). Forty-eight genes were identified to be altered exclusively in the SDp.IS/vs/IS contrast (Table S3, supplemental



**Figure 2**—Biological functions (on the left of the graphs) and relative functional annotations (on the right) identified by Ingenuity Pathway Analysis (IPA) in the 4 different contrasts: (A) IS/vs/Sham; (B) SDp.IS/vs/SDp; (C) SDp/vs/Sham; (D) SDp.IS/vs/IS. Biological functions and functional annotations were identified based on two different metrics: z-score (bars - lower X axis) and P value (white dots - upper X axis). A z-score value  $\geq 2$  or  $\leq -2$  indicates that a function is predicted to be respectively increased or decreased within that contrast. The P value, calculated with the Fischer exact test, reflects the likelihood that the association between a set of genes in our dataset and a related biological function is significant. In this graph the top 5 biological functions and the relative top 5 functional annotations are summarized for each contrast, except for the SDp.IS/vs/IS in which only 4 functions were identified.

material). Among these 48 genes, the 2 most affected were *pMch* (0.915-fold; adj. P = 0.001) and *Hcrt* (2.209-fold; adj. P = 0.005).

### Biological Functions and Canonical Pathways

Microarray dataset was analyzed by the downstream effects analysis in IPA (see Methods section). The 2 ischemia-associated contrasts (IS/vs/Sham and SDp.IS/vs/SDp; Figure 2A, 2B) showed the same top 5 biological functions. All the functional

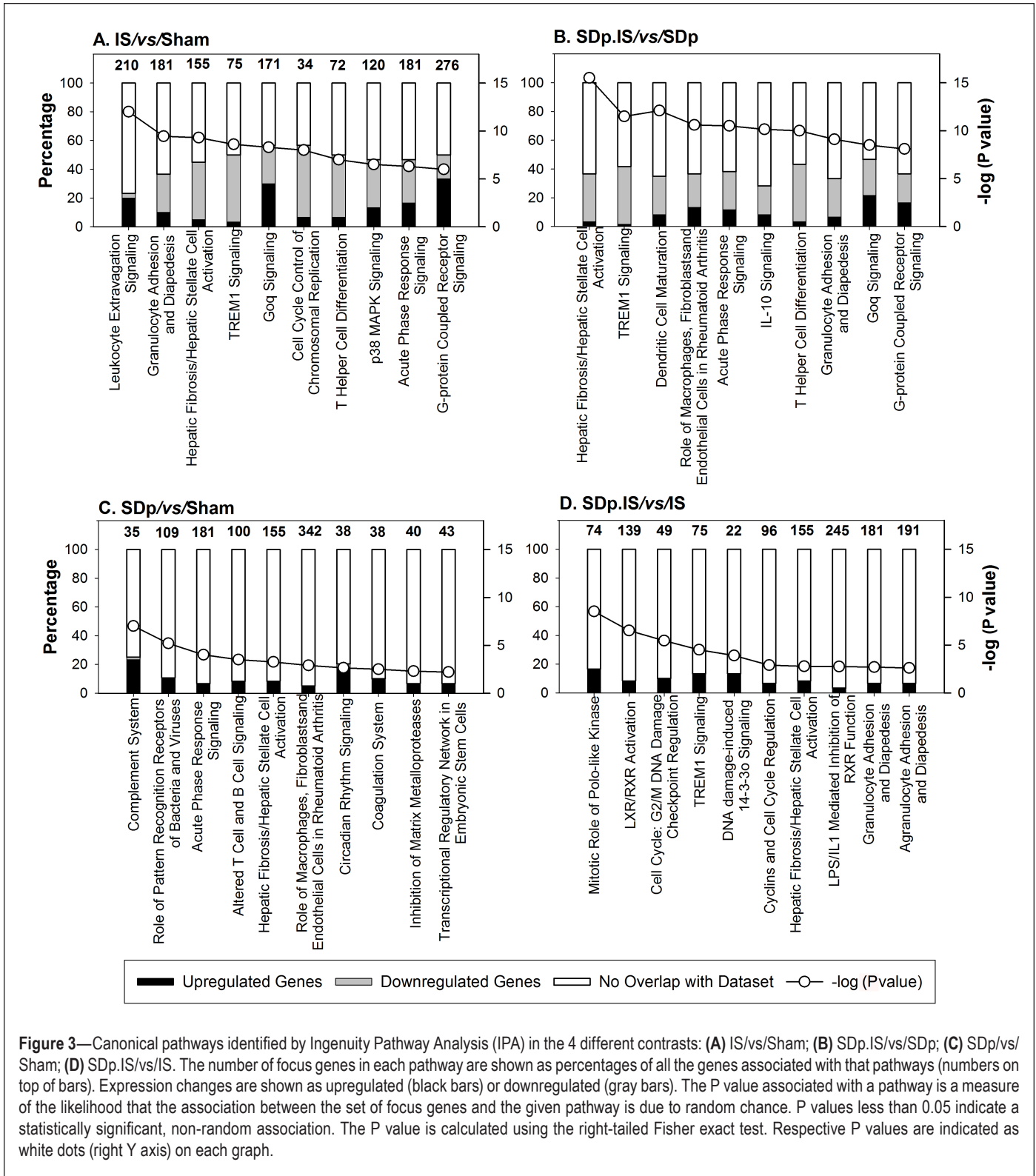
annotations relative to these functions were linked to the immune response and showed a positive activation z-score ( $\geq 2$ ) (Figure 2A, 2B), indicating, as expected, a major activation of the immune system in ischemia. The same biological functions (except for *cellular function and maintenance*) were also identified in the SDp/vs/Sham contrast (Figure 2C). However, all functional annotations, again linked with immunity, showed a negative activation z-score ( $\leq -2$ ), indicating that SDp in Sham

**Table 1**—Top five functional networks identified in the SDp.IS/vs/IS contrast with respective molecules involved.

| ID | Genes in Network   | P score | Focus Genes | Top Diseases and Functions  |
|----|--|---------|-------------|---|
| 1  | alcohol group acceptor phosphotransferase, APC (complex), APC-CDC20, APOC1, CCL13, CCNB1, CCNB2, CD300C, Cdc2, CDC20, Cdk, CDK1, CKS1B, Collagen Alpha1, Cr3, Cyclin A, Cyclin B, Cyclin E, ERK1/2, FBXO5, FOXM1, Histone H1, KIAA1524, LGALS1, Mek, NADPH oxidase, NEK2, OLR1, PLK1, PTTG1, Raf, RHOC, Sod, VLDL-cholesterol  | 36      | 18          | <ul style="list-style-type: none"> <li>• Cell Cycle;</li> <li>• Embryonic Development;</li> <li>• Cellular Movement.</li> </ul>                                 |
| 2  | ACPP, AGT, Alp, Angiotensin II receptor type 1, Ap1, ATF3, CASC5, CCL7, CENPI, Cg, CGA, Creb, ELF4, Fibrinogen, FSH, HCRT, lfn gamma, IGFBP3, ITGA5, LDL, Lh, LY96, MAP2K1/2, MSR1, NFkB (complex), Nr1h, NUF2, PDGF BB, PLK4, PMCH, RIPK3, Tgf beta, TM4SF1, Tnf (family), Vegf   | 34      | 18          | <ul style="list-style-type: none"> <li>• Cell Morphology;</li> <li>• Embryonic Development;</li> <li>• Cell-To-Cell Signaling and Interaction.</li> </ul>       |
| 3  | CCNB2, CDKN3, CKS1B, CMTM7, DECR1, EBP, EMP3, ERBB2, ESPL1, FAM111A, GEMIN6, KIF22, KIF18A, KIF20A, KIF2C, LSM6, LSM7, MASTL, miR-16-5p (and other miRNAs w/seed AGCAGCA), PCSK6, PIEZO1, PLP2, PRIM1, RBM47, RGS22, SNRPA1, SNR, PF, SPAG5, SRPX, TBCB, Timd2, TIPIN, TM4SF1, UBC, UBE2S  | 34      | 17          | <ul style="list-style-type: none"> <li>• Cellular Assembly and Organization;</li> <li>• DNA Replication Recombination and Repair;</li> <li>• Cancer.</li> </ul> |
| 4  | acetylcholine, APO-1, ARHGAP36, ARL11, ASB4, Bmyo, Ca2+, CALCR, CEACAM4, chloride, CXorf21, CXorf36, Eotaxin, FCN1, GLIPR1, Gm-Csf Receptor, Ifitm2, IgG4, IL13, ITIH3, MCHR2, miR-3194-5p (miRNAs w/seed GCCAGCC), miR-34a-5p (and other miRNAs w/seed GGCAGUG), miR-4667-3p (miRNAs w/seed CCCUCCU), PACE, PCSK1, PCSK7, PKC alpha/beta, PTH2R, SLAMF9, SLC17A6, SLC37A2, SPINT2, STAT3, TGFB1 | 28      | 15          | <ul style="list-style-type: none"> <li>• Cellular Function and Maintenance;</li> <li>• Molecular Transport;</li> <li>• Cell Cycle.</li> </ul>                   |
| 5  | BCR (complex), CD14, CD86, CD180, Fc gamma receptor, Fcgr3, FCGR3A, HK3, lfn, IFN alpha, IFN Beta, lfnar, Ige, IgG, IgG1, IgG3, IgG2a, IgG2b, IgM, Ikb, IL18, IL12 (complex), IL12 (family), IL1R1, Immunoglobulin, ITGAX, MHC Class II (complex), PBK, PIK3AP1, PLAC8, PLC, PKB gamma, SPI1, Tlr, VAV   | 26      | 12          | <ul style="list-style-type: none"> <li>• Inflammatory response;</li> <li>• Hematological System Development and Function</li> </ul>                             |

Functional networks were identified using Ingenuity Pathway Analysis (IPA) software. The P scores used to rank networks on the IPA are derived from P values. The P value is the probability of finding a certain number of focus genes in the set of the total number of genes randomly selected from the Global Molecular Network. It is calculated using Fisher exact test. Since interesting P values are typically quite low, the P score is defined as: P score =  $-\log_{10}$  (P value).

ACPP, acid phosphatase prostate; ALP, alkaline phosphatase; Ap1, adaptor-related protein complex 1; APC, adenomatous polyposis coli; APO-1, apoptosis antigen 1; APOC1, apolipoprotein C-1; ARHGAP36, rho GTPase activating protein 36; ARL11, ADP-ribosylation factor-like 11; ASB4, ankyrin repeat and SOCS box containing 4; ATF3, activating transcription factor 3; BCR, breakpoint cluster region; Bmyo, beta-myosin heavy chain; CALCR, calcitonin receptor; CASC5, cancer susceptibility candidate 5; CCL13, chemokine (C-C motif) ligand 13; CCNB1, cyclin B1; CCNB2, cyclin B2; CDC2, cell division cycle 2; CDC20, cell division cycle 20; CDK1, cyclin-dependent kinase 1; CDKN3, cyclin-dependent kinase inhibitor 3; CEACAM4, carcinoembryonic antigen-related cell adhesion molecule 4; CENPI, centromere protein I; CKS1B, protein kinase regulatory subunit 1B; CDC28, cell division cycle 28; CMTM7, CKLF-like MARVEL transmembrane domain containing 7; Cr3, complement receptor 3; Creb, cAMP (cyclic adenosine monophosphate) responsive element binding protein; CXorf21, chromosome X open reading frame 21; CXorf36, chromosome X open reading frame 36; DECR1, 2,4-dienoyl CoA reductase 1, mitochondrial; EBP, emopamil binding protein; ELF4, E74-like factor 4; EMP3, epithelial membrane protein 3; ERBB2, Erb-B2 receptor tyrosine kinase 2; ERK1/2, extracellular signal-regulated kinases 1/2; ESPL1, extra spindle pole bodies homolog 1; FAM111A, family with sequence similarity 111 member A; FBXO5, F-box protein 5; FCGR3A, cluster of differentiation 16; FCN1, ficolin (collagen/fibrinogen domain containing) 1; FOXM1, forkhead box M1; GLIPR1, GLI (glioblastoma) pathogenesis-related 1; Gm-Csf, granulocyte-macrophage - colony stimulating factor; HK3, hexokinase 3; Ifitm2, interferon induced transmembrane protein 2; IFN, interferon; lfnar, interferon (alpha, beta and omega) receptor 1; IgG, immunoglobulin G; IgM, immunoglobulin M; Ikb, kappa light polypeptide gene enhancer in B-cells inhibitor; IL12, interleukin 12; IL13, interleukin 13; IL18, interleukin 18; ITGA5, integrin alpha 5; ITIH3, inter-alpha-trypsin inhibitor heavy chain 3; KIF18A, kinesin family member 18A; KIF20A, kinesin family member 20A; KIF22, kinesin family member 22; KIF2C, kinesin family member 2C; LDL, low density lipoprotein; LGALS1, lectin galactoside-binding soluble 1; LSM6, like Sm 6; LSM7, like Sm 6; LY96, lymphocyte antigen 96; MAP2K1/2, mitogen-activated protein kinase 1/2; MASTL, microtubule associated serine/threonine kinase-like; MCHR2, melanin concentrating hormone receptor 2; MEK, mitogen-activated protein kinase; MHC, major histocompatibility complex; NADPH, nicotinamide adenine dinucleotide phosphate; NEK2, NIMA-related kinase 2; OLR1, oxidized low density lipoprotein (lectin-like) receptor 1; PACE, paired basic amino acid cleaving enzyme; PBK, PDZ binding kinase; PCSK1, proprotein convertase subtilisin/kexin type 1; PCSK6, proprotein convertase subtilisin/kexin type 6; PCSK7, proprotein convertase subtilisin/kexin type 7; PF, platelet factor; PIEZO1, piezo-type mechanosensitive ion channel component 1; PIK3AP1, phosphoinositide-3-kinase adaptor protein 1; PKB, protein kinase B; PKC, protein kinase C; PLAC8, placenta-specific 8; PLC, phospholipase C; PLP2, proteolipid protein 2; PRIM1, primase DNA polypeptide; PTH2R, parathyroid hormone 2 receptor; Raf, rapidly accelerated fibrosarcoma; RBM47, RNA binding motif protein 47; RGS22, regulator of G-protein signaling 22; RHOC, Ras homolog family member C; SLAMF9, signaling lymphocytic activation molecule family member 9; SLC17A6, solute carrier family 17 (vesicular glutamate transporter) member 6; SLC37A2, solute carrier family 37 (glucose-6-phosphate transporter) member 2; SNR, small nuclear ribonucleoprotein; SNRPA1, small nuclear ribonucleoprotein polypeptide A1; SOD, superoxide dismutase; SPAG5, sperm associated antigen 5; SPI1, Spi-1 proto-oncogene; SPINT2, serine peptidase inhibitor Kunitz type 2; SRPX, sushi-repeat containing protein, X-linked; STAT3, signal transducer and activator of transcription 3; TBCB, tubulin folding cofactor B; TGFB1, transforming growth factor beta 1; Timd2, T cell immunoglobulin and mucin domain containing; TIPIN, TIMELESS interacting protein; Tlr, toll like receptor; TM4SF1, transmembrane 4 L six family member 1; UBC, ubiquitin C; UBE2S2K, ubiquitin-conjugating enzyme E2S; VLDL, very low density lipoprotein.

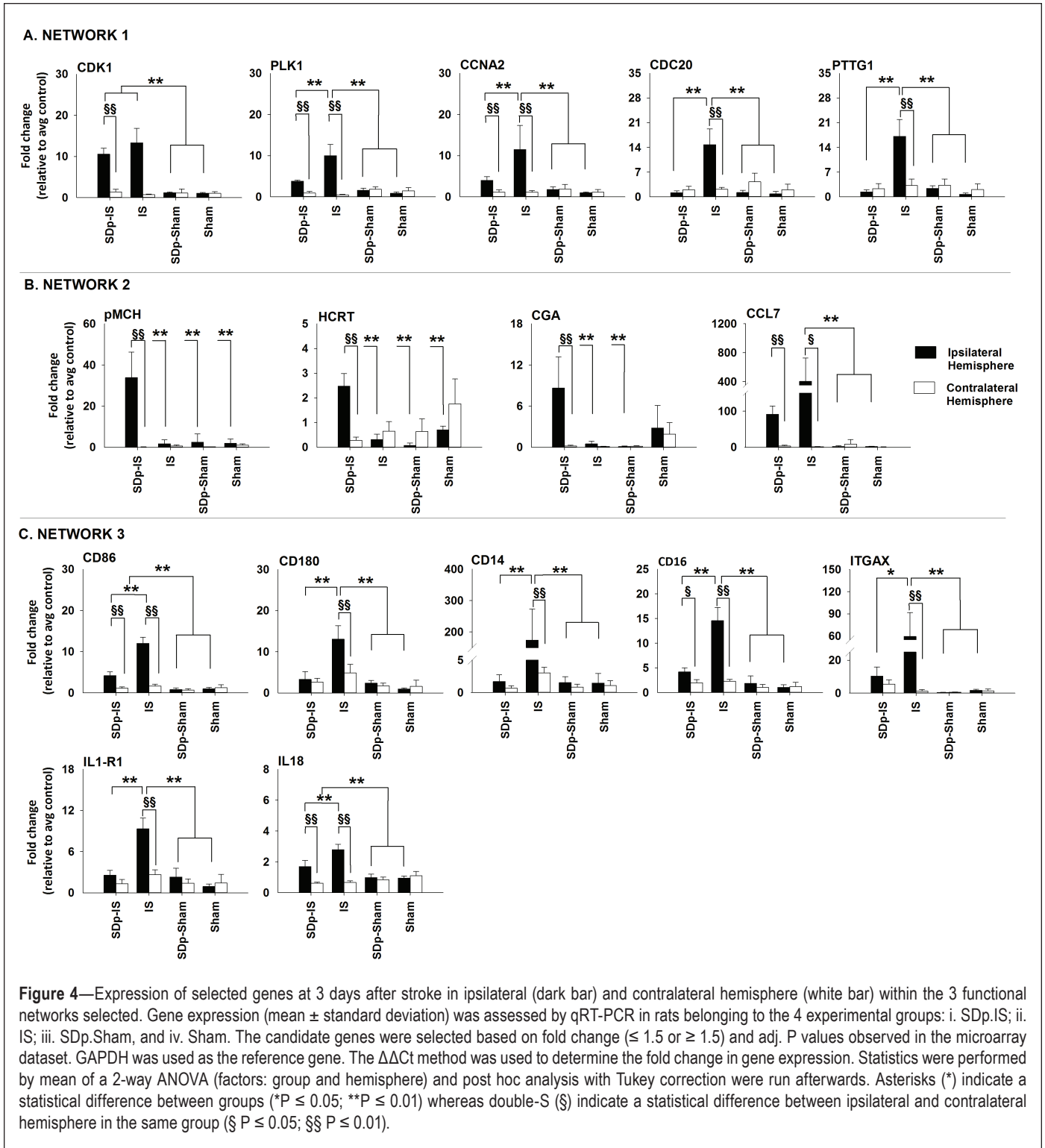


**Figure 3**—Canonical pathways identified by Ingenuity Pathway Analysis (IPA) in the 4 different contrasts: (A) IS/vs/Sham; (B) SDp.IS/vs/SDp; (C) SDp/vs/Sham; (D) SDp.IS/vs/IS. The number of focus genes in each pathway are shown as percentages of all the genes associated with that pathways (numbers on top of bars). Expression changes are shown as upregulated (black bars) or downregulated (gray bars). The P value associated with a pathway is a measure of the likelihood that the association between the set of focus genes and the given pathway is due to random chance. P values less than 0.05 indicate a statistically significant, non-random association. The P value is calculated using the right-tailed Fisher exact test. Respective P values are indicated as white dots (right Y axis) on each graph.

animals induced an inhibition of the immune system. *Cellular growth and proliferation* was also identified in the SDp.IS/vs/IS contrast, with a negative z-score for the functional annotations confirming an inhibiting effect of SDp on the immune function (Figure 2D). In the SDp.IS/vs/IS contrast we found 3 biological functions that were exclusive to this condition: *cell cycle*, *DNA replication and repair* and *cellular assembly and organization*. For this contrast *delay in mitosis* and *senescence*

*of cells* were the only 2 functional annotations with a positive z-score, while all the others showed a negative z-score (Figure 2D). The direction of the activation of the functional annotations in the SDp.IS/vs/IS contrast indicates that SDp preconditioning induced a further delay in mitosis and inhibits DNA replication.

The inhibiting effect of SDp on the immune system and on the cell cycle regulation of ischemic rats was confirmed by



canonical pathways (Figure 3). Six canonical pathways, all associated with inflammatory response and all showing an up-regulation of the genes involved, were common between the ischemia associated contrast (Figure 3A, 3B). Two of these pathways were also found in the SDp.IS/vs/IS (Figure 3D); however, for this contrast genes were mostly downregulated. The only pathway common among all conditions (*hepatic fibrosis/hepatic stellate cell activation*) showed also this trend, with several genes upregulated in contrasts associated with

the IS effect (Figure 3A, 3B) and downregulated in SDp.IS/vs/IS (Figure 3D) and SDp/vs/Sham (Figure 3C). The canonical pathways specific to SDp.IS/vs/IS (Figure 3D) were associated with inflammation (*LXR/RXR activation and LPS/IL-1 mediated inhibition of RXR function*) and cell cycle regulation (*mitotic roles of Polo-Like Kinase, Cell cycle: G2/M checkpoint regulation, DNA damage induced 14-3-3 $\sigma$  signalling and Cyclins*), and they all showed a general downregulation of the genes involved.

**Table 2**—Expression of selected genes 3 and 7 days after ischemia in IS and SDp.IS.

|                       | IS             |                             | SDp.IS          |                              |
|-----------------------|----------------|-----------------------------|-----------------|------------------------------|
|                       | Day 3          | Day 7                       | Day 3           | Day 7                        |
| <b>Cell Cycle</b>     |                |                             |                 |                              |
| CDK1                  | 13.35 ± 3.50   | 5.55 ± 1.97 <sup>°°</sup>   | 10.60 ± 1.39    | 3.93 ± 1.02 <sup>°°</sup>    |
| PLK1                  | 10.00 ± 2.71   | 1.86 ± 0.77 <sup>°°</sup>   | 3.81 ± 0.21*    | 1.05 ± 0.43 <sup>°°</sup>    |
| CCNA2                 | 11.46 ± 5.85   | 5.87 ± 3.46                 | 4.01 ± 0.90**   | 4.56 ± 2.08                  |
| CDC20                 | 17.13 ± 4.77   | 9.31 ± 3.82                 | 1.18 ± 0.51**   | 7.42 ± 2.48 <sup>°°</sup>    |
| PTTG1                 | 14.80 ± 4.42   | 13.17 ± 3.21                | 1.39 ± 0.57**   | 9.54 ± 3.55 <sup>°</sup>     |
| <b>Inflammation</b>   |                |                             |                 |                              |
| CD86                  | 12.00 ± 1.47   | 22.51 ± 11.69               | 4.17 ± 0.93**   | 16.65 ± 6.60 <sup>°</sup>    |
| CD180                 | 13.07 ± 3.27   | 31.87 ± 15.41               | 3.30 ± 1.89**   | 28.30 ± 8.24 <sup>°°</sup>   |
| CD14                  | 174.18 ± 98.46 | 203.63 ± 93.03              | 1.69 ± 1.05**   | 156.87 ± 51.63 <sup>°°</sup> |
| FCGR3A                | 14.58 ± 2.64   | 26.52 ± 14.22               | 4.17 ± 0.81**   | 10.43 ± 3.74 <sup>°</sup>    |
| IL1-R1                | 9.33 ± 1.54    | 8.48 ± 1.82                 | 2.56 ± 0.70**   | 4.57 ± 1.26*                 |
| ITGAX                 | 59.36 ± 32.21  | 184.43 ± 70.87 <sup>°</sup> | 10.29 ± 5.57*   | 88.67 ± 29.50* <sup>°</sup>  |
| IL18                  | 2.78 ± 0.36    | 4.10 ± 1.91                 | 1.69 ± 0.40**   | 3.51 ± 1.61                  |
| <b>Neuroendocrine</b> |                |                             |                 |                              |
| pMCH                  | 1.74 ± 1.87    | 0.09 ± 0.04                 | 33.91 ± 12.33** | 0.09 ± 0.03 <sup>°°</sup>    |
| HCRT                  | 0.32 ± 0.21    | 0.43 ± 0.34                 | 2.48 ± 0.51**   | 0.24 ± 0.20 <sup>°°</sup>    |
| CGA                   | 0.50 ± 0.41    | 0.35 ± 0.40                 | 8.62 ± 4.57*    | 0.32 ± 0.29 <sup>°</sup>     |

Values are expressed as the fold change from the average control values ± standard deviation. Statistical differences between experimental groups at the same time point are indicated by asterisks (t-test; \*P < 0.05, \*\*P < 0.01), whereas dots indicate statistical differences between time points in the same experimental group (t-test; <sup>°</sup>P < 0.05, <sup>°°</sup>P < 0.01). SDp.IS, sleep deprivation followed by ischemia; IS, ischemia; CCNA2, cyclin A2; CD14, cluster of differentiation 14; CD180, cluster of differentiation 180; CD86, cluster of differentiation 86; CDC20, cell-division cycle protein 20; CDK1, cyclin-dependent kinase 1; CGA, glycoprotein hormones- $\alpha$  polypeptide; FCGR3A, cluster of differentiation 16; HCRT, hypocretin; IL18, interleukin 18; IL1-R1, interleukin-1 receptor 1; ITGAX, integrin,  $\alpha$ X; PLK1, polo-like kinase 1; pMCH, melanin concentrating hormone; PTTG1, pituitary tumor-transforming 1; TSH, thyroid stimulating hormone.

### Validation of Microarray Results with qRT-PCR

The 18 genes investigated with qRT-PCR were selected within the top 5 functional networks identified by IPA for the SDp.IS/vs/IS contrast (Table 1). Among these 5 networks, on the basis of the analysis of biological functions and canonical pathways only 3 (network 1, 2, and 4) were selected for further investigations (Figure 4). Selected genes included the 5 genes that were the most upregulated (*pMch*, *Cga*, *Hcrt*, *Calcr*, and *Agt*) and downregulated (*Itgax*, *Ccl7*, *Cd14*, *Ccna2*, and *Cdk1*). Moreover, based on the fold change and P values from the affimatrix dataset, we chose to assess 3 more genes within the first network and 5 more genes within the fourth network.

Figure 4A shows the expression 3 days after stroke of the genes investigated within the first network. All genes were significantly increased in the ipsilateral hemisphere of the IS group when compared both to the contralateral hemisphere in the same group and to the ipsilateral hemisphere in all other groups investigated. In SDp.IS group no genes except *Cdk1* were significantly different in the ipsilateral hemisphere when compared to the same hemisphere of the 2 control groups Sham and SDp. However, when compared to the contralateral hemisphere within the same group, a significant increase in the expression of *Cdk1*, *Plk1*, and *Ccna2* was observed. In contrast, the expression of the genes *Cdc20* and *Pttgl* was not different between the 2 hemispheres. After 7 days from stroke no differences were detected between groups for any of the aforementioned genes (Table 2).

Within the second network 5 genes were investigated (Figure 4B). *pMch*, *Hcrt*, and *Cga* were significantly increased in the ipsilateral hemisphere of SDp.IS animals when compared to the contralateral hemisphere. This increase was also significant when compared to all the other experimental groups, with the exception of *Cga*, which was not different from the Sham group. For *AGT*, no difference in the expression was observed between hemispheres or between groups (data not shown). *Ccl7* showed a significant increase of gene expression in the ipsilateral hemisphere of both IS and SDp.IS groups compared to the contralateral hemisphere. However no difference was observed between SDp.IS animals and the other experimental groups; for this reason, no further analysis were performed on this gene. At day 7, due to a significant decrease with respect to day 3, the expression level of *pMch*, *Hcrt* and *Cga* was no longer different between the SDp.IS and the IS group (Table 2).

Similar to what observed in network 1, in network 4 (Figure 4C) the genes in IS group were significantly increased in the ipsilateral hemisphere compared both to the contralateral hemisphere and to all the other groups. In the SDp.IS group a significant increase in the ipsilateral hemisphere was observed only for *Cd86*, *Cd16*, and *IL18*; however, this increase was lower than that observed in IS. No statistical difference was observed between SDp.IS and the control groups (Sham and SDp groups) with the exception of *Cd86* and *IL1-r1*. Gene expression at day 7 was similar between SDp.IS and IS, except for *IL1-r1* and *Itgax*, due to a significant increase in the gene



levels observed in SDp.IS group with respect to day 3 (Table 2). No differences were observed in the IS group between the 2 time points (Table 2).

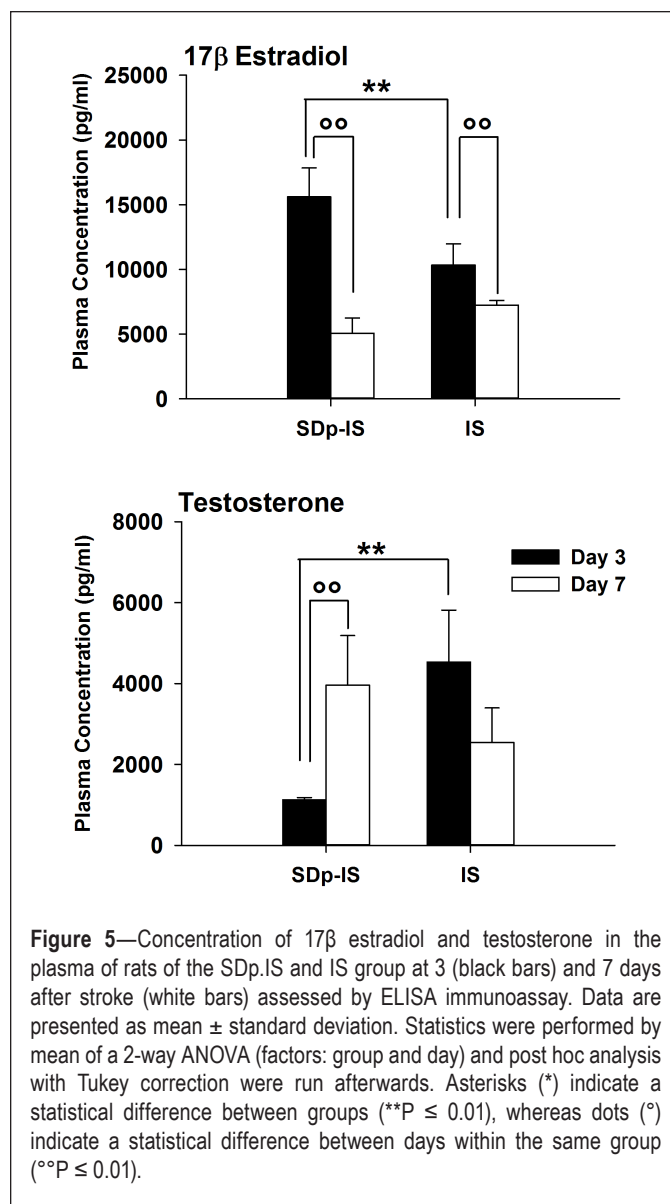
### Peripheral Sex Hormone Levels

To assess if the alterations in gene expression of *pMch*, *Hcrt*, and *Cga* had an effect on the regulation of sex hormones at a peripheral level, we measured 17 $\beta$ -estradiol and testosterone in the serum of rats from SDp.IS and IS groups both at 3 and 7 days after stroke (Figure 5). Both hormones were differently regulated in SDp.IS compared to IS group at 3 days after stroke. In particular, the plasma concentration of 17 $\beta$ -estradiol was higher in the SDp.IS, whereas testosterone level was lower. However no difference in 17 $\beta$ -estradiol and testosterone level was observed between Sham group and both IS and SDp.IS animals (data not shown). After 7 days from stroke a decrease of 17 $\beta$ -estradiol with respect to day 3 was observed in both groups, and no more difference was observed between groups. Instead, testosterone levels increased from day 3 to day 7 in SDp.IS, reaching similar levels to those observed in the IS group at both 3 and 7 days.

### DISCUSSION

Several studies have reported that SDp before ischemia induces neuroprotection,<sup>8,9</sup> leading to a significant reduction of infarct volume after 7 days from stroke.<sup>10,11</sup> This study confirms this effect and provides for the first time a comprehensive investigation of the molecular pathways involved. We identified three main functions which are altered by performing SDp prior to ischemia: inflammatory response, cell cycle, and neuroendocrine regulation. Although microarray analysis was performed 4 days prior to when evidence of ischemic tolerance was observed, the process essential for the improvement of the outcome are likely to be already activated at this time point. Since all the functions we found altered at day 3 returned to baseline levels by day 7, when the reduction in the lesion was observed in the preconditioned animals, it is possible to assume that the critical time window for the action of the neuroprotective mechanisms induced by pre-ischemic SDp is within 7 days from stroke.

In general, the molecular response to ischemic damage was characterized, as expected,<sup>28</sup> by an upregulation of a large number of genes involved in immune response and cell cycle regulation. However, both the inflammatory response and the cell division mechanisms were reduced after SDp preconditioning. A reduction of the inflammatory response to ischemia has also been reported for other preconditioning treatments.<sup>12</sup> It has been suggested that the neuroprotective effect of these stimuli is exerted by a pre-activation of the immune system before stroke. Several forms of preconditioning produce an inflammatory response through the activation of toll like receptors (TLRs) leading to the subsequent activation of transcription factor nuclear factor  $\kappa$ B (NF $\kappa$ B).<sup>13</sup> The importance of the TLRs activation in mediating ischemic tolerance has also been confirmed by direct administration of TLR ligands.<sup>29</sup> In addition, sleep loss has been reported to induce alterations in immune parameters,<sup>30–33</sup> and interestingly, the molecular mechanisms underlying these modifications have been linked to the NF $\kappa$ B pathway.<sup>32</sup> The fact that the two inflammatory pathways



specifically altered in SDp.IS/vs/IS contrast are mediated by TLRs activity is therefore noteworthy. LXR and RXR are two types of nuclear receptors which regulate inflammation and lipid metabolism and that are activated through TLRs.<sup>34</sup> Moreover, several genes linked to TLR4 activity (*Cd14*, *Cd180*, and *Il1-r*) are not only downregulated in SDp.IS animals with respect to the IS group, but their expression is not different with respect to the control groups. Together these results suggests that the neuroprotective effect of SDp could be partially driven by a post-ischemic attenuation of the TLRs response.

For cell cycle regulation, biological functions and canonical pathway analysis indicated an increased delay in mitosis and an inhibition of DNA replication in SDp.IS rats. Moreover also several genes identified in the first network (*Cdk1*, *Plk1*, *Ccna2*, *Cdc20*, and *Pttg*) were implicated once again in the entry of cells into mitosis and in other processes such the G1/S transition, differentiation, proliferation, and cell death. Cell cycle checkpoints are activated to ensure correct completion of critical events such as DNA replication and chromosome segregation. Activation of checkpoints in response to DNA damage

and inhibition of DNA replication are known to lead to a reversible cell cycle arrest to allow time for damage repair.<sup>35</sup> It has actually been demonstrated that the inhibition of the cell cycle, either by administration of inhibitors or modulation of cell cycle related genes, suppresses neuronal death or provides neuroprotection after ischemia.<sup>36–38</sup> This observation is consistent with the fact that rats treated with pre-stroke SDp display a reduction in apoptosis.<sup>10</sup> An inhibition of cell cycle mechanisms with beneficial effects on stroke has been reported for mild ischemia and hypoxia preconditioning<sup>39,40</sup>; however, this is the first time that such effect has been linked with SDp. This effect was compared with adaptive neuroprotective strategies seen during hibernation as the activation of some endogenous genetic mechanisms inducing tolerance to low oxygen levels.<sup>39,40</sup> Rats sleep deprived for 6 h prior to stroke showed a significant sleep rebound characterized by an increase of 30% of total sleep time in the first 12 h of the acute phase of stroke recovery.<sup>11</sup> The general decrease in the energetic demand of both the brain and the body<sup>17,19,41</sup> and the concomitant lower temperature<sup>42,43</sup> characterizing sleep might therefore induce neuroprotective mechanisms similar to those observed in hibernating animals.

The last pathway identified in this study was the only one including genes which were upregulated by SDp preconditioning. In particular three of these genes, *PMch*, *Hcrt* and *Cga*, were the most upregulated of the entire dataset. *PMch* and *Hcrt* were expressed exclusively in the SDp.IS/vs/IS contrast, whereas *Cga* was common in the two contrasts associated with IS. However *Cga* showed an inverse regulation in the two conditions, being downregulated by ischemia alone and upregulated with SDp preconditioning. This was particularly surprising as 80% of the genes shared between SDp.IS/vs/IS and IS/vs/Sham showed instead the opposite trend. It has been shown already that *Hcrt* alters the pathological mechanisms involved in brain ischemia and has a neuroprotective effect.<sup>44,45</sup> Instead, to our knowledge, this is the first time that *pMch* has been identified as a possible neuroprotective peptide. *Mch* and *Hcrt* neurons both represent key components of the system that regulates the sleep-wake cycle.<sup>46,47</sup> Both peptides are involved also in other functions such as feeding behavior and energy balance and homeostasis.<sup>48–52</sup> As far as the sleep-wake cycle is concerned *Hcrt* neurons play an important role in arousal regulation,<sup>53,54</sup> whereas *Mch* neurons are more involved in the regulation of sleep states, particularly REM sleep. Prolonged activation of *Mch* neurons both pharmacologically<sup>55</sup> and by repeated optogenetic stimulation<sup>56</sup> induces both NREM and REM sleep. *Mch* neurons are strongly activated during homeostatic sleep rebound, discharging maximally during REM sleep.<sup>57,58</sup> REM sleep is usually significantly reduced after ischemia<sup>4,59</sup>; however, animals sleep deprived before stroke show a sleep rebound characterized by an increase in REM sleep amount.<sup>11</sup> The increase in *pMch* observed in our animals might be therefore linked to the REM sleep rebound observed in the acute phase of stroke. The mechanisms through which *Mch* can induce neuroprotection are although unclear and further studies are necessary. However *Mch* together with *Hcrt* and *Cga* are involved in the control of endocrine functions, known to be neuroprotective. *Mch* and *Hcrt*, when injected in the hypothalamus, can indeed exert stimulatory effects

on the gonadotropin-releasing hormone,<sup>60–62</sup> which is in turn responsible for the release of luteinizing hormone (LH) and follicle stimulating hormone (FSH). Moreover *Cga* constitutes the common alpha subunit of four glycoprotein hormones: LH, FSH, chorionic gonadotropin (CG), and thyroid stimulating hormone (TSH).<sup>63</sup> Our results showed a peripheral increase of 17 $\beta$ -estradiol level 3 days after stroke and a concomitant decrease in testosterone. It is well accepted that estradiol provides robust neuroprotection in a variety of acute experimental brain injury models including stroke,<sup>64,65</sup> whereas the role of testosterone is still not completely clarified. However brain damaging effects of testosterone treatment have been reported in a rodent model of stroke<sup>66</sup> and, in vitro.<sup>67</sup> Nevertheless reactive gliosis after neuronal injury seemed to be downregulated by testosterone but this effect has been largely attributed to testosterone conversion into estradiol.<sup>68</sup> We therefore suggest that SDp preconditioning may affect the hypothalamic-pituitary-adrenal axis inducing modifications in the peripheral levels of sex-hormones which could ultimately play a role in neuroprotection.

## CONCLUSIONS

The microarray analysis carried out in this study is the first to investigate the changes in gene expression elicited by SDp preconditioning. Besides confirming two mechanisms that appear to be common to several forms of preconditioning treatments (i.e., downregulation of the inflammatory responses and inhibition of the cell cycle) we discovered a novel pathway that concerned endocrine signaling and that included genes such *pMch*, *Hcrt*, and *Cga* that has not been previously implicated in SDp preconditioning or other preconditioning treatments. Our study provides the basis for exploring new approaches for the development of potential therapeutic and neuroprotective intervention for clinical use in stroke patients. In order to better understand the role and the mechanisms of this pathway in mediating neuroprotection, investigation of the expression of *pMch* and *Hcrt* and their relationship with sleep behavior and metabolism during both the acute and sub-acute phase of stroke, are currently undergoing in our laboratory.

## ABBREVIATIONS

*AGT*, angiotensin  
*Ccl7*, chemokine (C-C motif) ligand 7  
*Ccna2*, cyclin A2  
*Cd14*, cluster of differentiation 14  
*Cd16/Fcgr3a*, cluster of differentiation 16  
*Cd180*, cluster of differentiation 180  
*Cd86*, cluster of differentiation 86  
*Cdc20*, cell-division cycle protein 20  
*Cdk1*, cyclin-dependent kinase 1  
CG, chorionic gonadotropin  
*Cga*, glycoprotein hormones-alpha polypeptide  
FSH, follicle stimulating hormone  
GAPDH, glyceraldehyde-3-phosphate dehydrogenase  
*Hcrt*, hypocretin  
*IL18*, interleukin 18  
*IL1-r1*, interleukin-1 receptor 1  
IS, ischemia  
*Itgax*, integrin, alpha X

LH, luteinizing hormone  
*Plkl*, polo-like kinase 1  
*pMch*, melanin concentrating hormone  
*Pttgl*, pituitary tumor-transforming 1  
SDp, sleep deprivation  
TSH, thyroid stimulating hormone

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## DISCLOSURE STATEMENT

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**Table S1**—List of Taqman assays used for the qRT-PCR analysis.

| Gene  | RefSeq        | Gene  | RefSeq        |
|-------|---------------|-------|---------------|
| GAPDH | Rn01775763_g1 | CCNA2 | Rn01493715_m1 |
| pMCH  | Rn00561766_g1 | PLK1  | Rn00690926_m1 |
| CGA   | Rn02532426_s1 | CDC20 | Rn00680176_m1 |
| HCRT  | Rn00565995_m1 | IL1R1 | Rn00565482_m1 |
| ITGAX | Rn01511082_m1 | CD14  | Rn00572656_g1 |
| CCL7  | Rn01467286_m1 | CD86  | Rn00571654_m1 |
| AGT   | Rn00593114_m1 | CD16  | Rn01483598_m1 |
| CALCR | Rn00587525_m1 | IL18  | Rn01422083_m1 |
| CDK1  | Rn00570728_m1 | CD180 | Rn01489517_m1 |
| PTTG1 | Rn00574373_m1 |       |               |

AGT, angiotensin; CCL7, chemokine (C-C motif) ligand 7; CCNA2, cyclin A2; CD14, cluster of differentiation 14; CD16, cluster of differentiation 16; CD180, cluster of differentiation 180; CD86, cluster of differentiation 86; CDC20, cell-division cycle protein 20; CDK1, cyclin-dependent kinase 1; CGA, glycoprotein hormones-alpha polypeptide; GAPDH, glyceraldehyde-3-phosphate dehydrogenase; HCRT, hypocretin; IL18, interleukin 18; IL1-R1, interleukin-1 receptor 1; ITGAX, integrin, alpha X; PLK1, polo-like kinase 1; pMCH, melanin concentrating hormone; PTTG1, pituitary tumor-transforming 1.

**Table S2**—Top 25 up and down-regulated genes found to be common in the Venn diagram between SDp.IS/vs/IS and IS/vs/Sham contrasts.

| Symbol     | Gene Name   | Fold Change  |            |
|------------|---|--------------|------------|
|            |   | SDp.IS/vs/IS | IS/vs/Sham |
| CGA        | glycoprotein hormones, alpha polypeptide                            | 4.325        | -2.044     |
| ZBTB16     | zinc finger and BTB domain containing 16                            | 1.751        | -2.264     |
| ITIH3      | inter-alpha-trypsin inhibitor heavy chain 3                         | 1.548        | -1.554     |
| RGS22      | regulator of G-protein signaling 22                                 | 1.540        | -1.376     |
| PTH2R      | parathyroid hormone 2 receptor                                      | 1.518        | -1.471     |
| NEUROD6    | neuronal differentiation 6  | 1.487        | -2.622     |
| NTNG1      | netrin G1   | 1.474        | -1.798     |
| Epm2a      | epilepsy, progressive myoclonus type 2A                             | 1.437        | -1.701     |
| Egfem1     | EGF-like and EMI domain containing 1                                | 1.416        | -1.641     |
| RPE65      | retinal pigment epithelium-specific protein 65kDa                   | 1.413        | -1.228     |
| KCTD8      | potassium channel tetramerization domain containing 8               | 1.411        | -1.734     |
| CYP4F8     | cytochrome P450, family 4, subfamily F, polypeptide 8               | 1.400        | -2.105     |
| Olf1373    | olfactory receptor 1380   | 1.398        | -1.231     |
| PNMA3      | paraneoplastic Ma antigen 3   | 1.392        | -1.830     |
| P2RX6      | purinergic receptor P2X, ligand-gated ion channel, 6                | 1.384        | -1.233     |
| DGKG       | diacylglycerol kinase, gamma 90kDa                                  | 1.378        | -1.720     |
| GRID2IP    | glutamate receptor, ionotropic, delta 2 (Grid2) interacting protein | 1.372        | -1.433     |
| Olf1342    | olfactory receptor 1342   | 1.372        | -1.266     |
| ZNF474     | zinc finger protein 474   | 1.371        | -1.320     |
| NAP1L5     | nucleosome assembly protein 1-like 5                                | 1.369        | -1.612     |
| Ccdc162    | coiled-coil domain containing 162                                   | 1.368        | -1.543     |
| TENM2      | teneurin transmembrane protein 2                                    | 1.367        | -1.731     |
| C21orf58   | chromosome 21 open reading frame 58                                 | 1.363        | -1.286     |
| METTL11B   | methyltransferase like 11B  | 1.348        | -1.377     |
| ITGAX      | integrin, alpha X (complement component 3 receptor 4 subunit)       | -2.254       | 4.656      |
| CCL7       | chemokine (C-C motif) ligand 7                                      | -2.034       | 6.762      |
| PRR11      | proline rich 11   | -1.959       | 4.575      |
| CD300C     | CD300c molecule   | -1.892       | 18.348     |
| FCN1       | ficolin (collagen/fibrinogen domain containing) 1                   | -1.889       | 11.079     |
| CD14       | CD14 molecule   | -1.887       | 8.913      |
| Timd2      | T cell immunoglobulin and mucin domain containing 2                 | -1.845       | 2.786      |
| CCNA2      | cyclin A2   | -1.765       | 7.068      |
| CDK1       | cyclin-dependent kinase 1   | -1.758       | 6.468      |
| CEACAM4    | carcinoembryonic antigen-related cell adhesion molecule 4           | -1.757       | 2.135      |
| KIF4A      | kinesin family member 4A  | -1.730       | 4.279      |
| IL18       | interleukin 18 (interferon-gamma-inducing factor)                   | -1.718       | 3.295      |
| C19orf38   | chromosome 19 open reading frame 38                                 | -1.716       | 5.782      |
| Hist1h2ai1 | histone cluster 1, H2ai-like  | -1.714       | 2.713      |
| CD16a      | Fc fragment of IgG, low affinity IIIa, receptor (CD16a)             | -1.703       | 4.030      |
| OLR1       | oxidized low density lipoprotein (lectin-like) receptor 1           | -1.702       | 8.881      |
| CD93       | CD93 molecule   | -1.693       | 3.313      |
| Cdkn3      | cyclin-dependent kinase inhibitor 3                                 | -1.689       | 8.713      |
| PLK1       | polo-like kinase 1  | -1.688       | 6.841      |
| RGS1       | regulator of G-protein signaling 1                                  | -1.685       | 2.912      |
| HIST2H3C   | histone cluster 2, H3c  | -1.682       | 3.826      |
| CD86       | CD86 molecule   | -1.681       | 3.059      |
| CASC5      | cancer susceptibility candidate 5                                   | -1.679       | 5.205      |
| CCNB2      | cyclin B2   | -1.672       | 5.311      |
| PLK4       | polo-like kinase 4  | -1.667       | 4.069      |

Genes were selected on the SDp.IS/vs/IS comparison.

**Table S3**—Top 25 up and down-regulated genes found to be common between all the contrasts analyzed in the Venn diagram.

| Symbol        | Gene Name  | Fold Change  |            |             |
|---------------|--|--------------|------------|-------------|
|               |  | SDp.IS/vs/IS | IS/vs/Sham | SDp/vs/Sham |
| FMO2          | flavin containing monooxygenase 2 (non-functional)                   | 2.095        | -2.782     | -3.150      |
| CALCR         | calcitonin receptor  | 2.075        | -2.401     | -3.446      |
| SLC17A6       | solute carrier family 17 (vesicular glutamate transporter), member 6 | 1.893        | -2.412     | -1.930      |
| AGT           | angiotensinogen (serpin peptidase inhibitor, clade A, member 8)      | 1.758        | -2.115     | -2.123      |
| FEZF1         | FEZ family zinc finger 1   | 1.720        | -1.768     | -1.586      |
| Slc19a3       | solute carrier family 19, member 3                                   | 1.584        | -2.912     | -1.860      |
| ARHGAP36      | Rho GTPase activating protein 36                                     | 1.563        | -1.785     | -2.740      |
| ASB4          | ankyrin repeat and SOCS box containing 4                             | 1.524        | -1.591     | -1.493      |
| HIF3A         | hypoxia inducible factor 3, alpha subunit                            | 1.466        | -1.685     | -1.507      |
| STAC          | SH3 and cysteine rich domain   | 1.422        | -1.487     | -1.572      |
| Lrp1b         | low density lipoprotein receptor-related protein 1B                  | 1.417        | -1.842     | 1.890       |
| mir-154       | microRNA 494   | 1.409        | 1.312      | 2.248       |
| GABRQ         | gamma-aminobutyric acid (GABA) A receptor, theta                     | 1.398        | -1.462     | -2.917      |
| TINAGL1       | tubulointerstitial nephritis antigen-like 1                          | 1.384        | -1.363     | -1.690      |
| TMEM255A      | transmembrane protein 255A   | 1.382        | -1.463     | -1.455      |
| AGBL3         | ATP/GTP binding protein-like 3                                       | 1.379        | -1.265     | 1.336       |
| CSMD3         | CUB and Sushi multiple domains 3                                     | 1.371        | -1.666     | 1.378       |
| 4933405O20Rik | RIKEN cDNA 4933405O20 gene   | 1.356        | -1.352     | 1.814       |
| CCSER1        | coiled-coil serine-rich protein 1                                    | 1.290        | -1.347     | 1.476       |
| RGD1562629    | similar to neurobeachin  | 1.284        | -1.361     | 1.319       |
| Olr1434       | olfactory receptor 1434  | 1.274        | -1.558     | -1.377      |
| GRIN2D        | glutamate receptor, ionotropic, N-methyl D-aspartate 2D              | 1.270        | -1.476     | -1.379      |
| B630019K06Rik | novel protein similar to F-box and leucine-rich repeat protein 17    | 1.267        | -1.367     | -1.270      |
| ARAP2         | ArfGAP with RhoGAP domain, ankyrin repeat and PH domain 2            | 1.254        | -1.529     | 1.300       |
| SEC62         | SEC62 homolog ( <i>S. cerevisiae</i> )                               | 1.238        | -1.357     | 1.210       |
| <hr/>         |  |              |            |             |
| Ifitm2        | interferon induced transmembrane protein 2                           | -1.641       | 2.832      | -1.497      |
| PLP2          | proteolipid protein 2 (colonic epithelium-enriched)                  | -1.602       | 8.036      | -1.598      |
| ANXA2         | annexin A2   | -1.490       | 5.041      | -1.379      |
| TGFB1         | transforming growth factor, beta 1                                   | -1.483       | 3.695      | -1.473      |
| CLIC1         | chloride intracellular channel 1                                     | -1.462       | 5.429      | -1.426      |
| THBD          | thrombomodulin   | -1.460       | 1.422      | -1.265      |
| Prg4          | proteoglycan 4   | -1.455       | 1.912      | -1.716      |
| Tlr13         | toll-like receptor 13  | -1.453       | 4.381      | -1.575      |
| IFITM2        | interferon induced transmembrane protein 2                           | -1.441       | 2.625      | -1.981      |
| SLC1A5        | solute carrier family 1 (neutral amino acid transporter), member 5   | -1.434       | 2.195      | -1.312      |
| CLCN5         | chloride channel, voltage-sensitive 5                                | -1.425       | 2.364      | -1.399      |
| B4GALT1       | UDP-Gal:betaGlcNAc beta 1,4- galactosyltransferase, polypeptide 1    | -1.389       | 2.485      | -1.554      |
| STK10         | serine/threonine kinase 10   | -1.383       | 3.066      | -1.293      |
| FXYD5         | FXYD domain containing ion transport regulator 5                     | -1.366       | 3.558      | -1.374      |
| WASF2         | WAS protein family, member 2   | -1.355       | 1.697      | -1.289      |
| CD44          | CD44 molecule (Indian blood group)                                   | -1.350       | 4.264      | -1.369      |
| EIF4EBP1      | eukaryotic translation initiation factor 4E binding protein 1        | -1.337       | 1.694      | -1.324      |
| DSCC1         | DNA replication and sister chromatid cohesion 1                      | -1.331       | 1.563      | 1.407       |
| LSP1          | lymphocyte-specific protein 1  | -1.331       | 3.051      | -1.484      |
| PSMB9         | proteasome (prosome, macropain) subunit, beta type, 9                | -1.322       | 2.528      | -1.469      |
| CSF1          | colony stimulating factor 1 (macrophage)                             | -1.300       | 2.342      | -1.537      |
| MFNG          | MFNG O-fucosylpeptide 3-beta-N-acetylglucosaminyltransferase         | -1.300       | 1.590      | -1.400      |
| RAB3IL1       | RAB3A interacting protein (rabin3)-like 1                            | -1.298       | 2.155      | -1.562      |
| ZC3HAV1       | zinc finger CCCH-type, antiviral 1                                   | -1.296       | 2.273      | -1.379      |
| PLIN2         | perilipin 2  | -1.284       | 3.986      | -1.413      |

Genes were selected on the SDp.IS/vs/IS comparison.

**Table S4**—Set of the 48 altered genes expressed exclusively in SDp.IS/vs/S contrast.

| <b>Symbol</b> | <b>Gene Name</b>  | <b>Fold Change<br/>SDp.IS/vs/IS</b> |
|---------------|---|-------------------------------------|
| PMCH          | pro-melanin-concentratinghormone                                    | 6.915                               |
| HCRT          | hypocretin (orexin) neuropeptideprecursor                           | 2.209                               |
| DMC1          | DNA meioticrecombinase 1  | 1.464                               |
| Dnah9         | dynein, axonemal, heavy chain 9                                     | 1.44                                |
| Olf1349       | olfactoryreceptor 1349  | 1.414                               |
| USP17L2       | ubiquitin specific peptidase 17-like family member 2                | 1.398                               |
| BC051142      | cDNAsequence BC051142   | 1.378                               |
| mir-493       | microRNA 493  | 1.371                               |
| CABP7         | calciumbindingprotein 7   | 1.364                               |
| LAIR1         | leukocyte-associated immunoglobulin-like receptor 1                 | 1.339                               |
| SPATA17       | spermatogenesisassociated 17  | 1.319                               |
| 1700106J16Rik | RIKEN cDNA 1700106J16 gene  | 1.28                                |
| PGLYRP1       | peptidoglycanrecognitionprotein 1                                   | 1.277                               |
| Olf150        | olfactoryreceptor 150   | 1.274                               |
| LOC688916     | hypotheticalprotein LOC688916                                       | 1.266                               |
| 4933408B17Rik | RIKEN cDNA 4933408B17 gene  | 1.257                               |
| KRT78         | keratin 78  | 1.257                               |
| 2610034M16Rik | RIKEN cDNA 2610034M16 gene  | 1.253                               |
| TAS2R4        | taste receptor, type 2, member 4                                    | 1.243                               |
| OTOP1         | otopetrin 1   | 1.242                               |
| LOC100363193  | LRRGT00076-like   | 1.233                               |
| MTNR1B        | melatoninreceptor 1B  | 1.225                               |
| P2RX3         | purinergic receptor P2X, ligand-gated ion channel, 3                | 1.221                               |
| SRP72         | signalrecognitionparticle 72kDa                                     | 1.186                               |
| PSAT1         | phosphoserineaminotransferase 1                                     | 1.171                               |
| <hr/>         |   |                                     |
| ANKRD52       | ankyrinrepeatdomain 52  | -1.193                              |
| STARD8        | STAR-related lipid transfer (START) domain containing 8             | -1.203                              |
| TEX264        | testisexpressed 264   | -1.204                              |
| Rsg1          | REM2 and RAB-like small GTPase 1                                    | -1.206                              |
| Cyc1          | cytochrome c-1  | -1.215                              |
| ITPA          | inosinetriphosphatase (nucleosidetriphosphatepyrophosphatase)       | -1.216                              |
| BNIP1         | BCL2/adenovirus E1B 19kDa interactingprotein 1                      | -1.22                               |
| TCF7L1        | transcription factor 7-like 1 (T-cell specific, HMG-box)            | -1.22                               |
| SMIM20        | small integral membraneprotein 20                                   | -1.221                              |
| MAZ           | MYC-associatedzincfingerprotein (purine-bindingtranscriptionfactor) | -1.227                              |
| MRPL23        | mitochondrialribosomalprotein L23                                   | -1.23                               |
| Tmem234       | transmembraneprotein 234  | -1.231                              |
| RHBDD3        | rhomboiddomaincontaining 3  | -1.237                              |
| PPP1CA        | proteinphosphatase 1, catalyticsubunit, alphasozyme                 | -1.24                               |
| Ap1s3         | adaptor-relatedproteincomplex 1, sigma 3 subunit                    | -1.241                              |
| EMG1          | EMG1 N1-specific pseudouridinemethyltransferase                     | -1.244                              |
| NUDT14        | nudix (nucleoside diphosphate linked moiety X)-type motif 14        | -1.255                              |
| CDK20         | cyclin-dependentkinase 20   | -1.263                              |
| GK5           | glycerolkinase 5 (putative)   | -1.265                              |
| GEMIN7        | gem (nuclearorganelle) associatedprotein 7                          | -1.269                              |
| Akr1c14       | aldo-ketoreductase family 1, member C14                             | -1.278                              |
| HIST3H2BB     | histonecluster 3, H2bb  | -1.31                               |
| STC1          | stanniocalcin 1   | -1.404                              |