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Multiple complications and short length of stay are associated with postoperative readmissions

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Abstract

BACKGROUND—The aim of this study was to characterize patients readmitted following inpatient general surgery procedures. We hypothesized that a decreased length of stay would increase risk for readmission.

METHODS—We utilized our institutional National Surgical Quality Improvement Project database from 2006 to 2011. The main outcome of interest was 30-day readmission. Univariate and logistic regression analyses identified risk factors for readmission.

RESULTS—We identified 3,556 patients, with 322 (9%) readmitted within 30 days after discharge. Multivariable analysis demonstrated age, dyspnea, and American Society of Anesthesiologists class to be independent risk factors for readmission. In addition, patients who suffered multiple complications had a decreased risk for readmission as length of stay increased. Patients with <2 postoperative complications had an increased risk for readmission as length of stay increased.

CONCLUSIONS—Contributors to postoperative readmissions are multifactorial. Perioperative factors predict risk for readmission and may help determine a target length of stay. Prevention of postoperative complications may reduce readmission rates.

Keywords

Readmission; Postoperative; General surgery

Hospital readmission has recently become a focus of many hospital quality improvement programs. In 2008, the Medicare Payment Advisory Commission reported on a series of payment reforms to encourage coordination of patient care which included bundling of payments around an episode of care, gainsharing between hospitals and physicians, and a direct incentive to reduce readmissions.¹ They found rates of readmission among patients diagnosed with an acute myocardial infarction, heart failure, or pneumonia to be upward of 17%. Using these numbers, the commission defined a number of preventable readmissions that could be avoided with improved index hospitalization patient care, discharge planning, or outpatient care coordination. Following this report, Congress enacted a hospital

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readmissions reduction program as part of the Patient Protection and Affordable Care Act of 2010. The hospital readmission reduction program was initiated by Centers for Medicare and Medicaid Services in 2012, which includes a penalty that results in the reduction of Medicare payments in 2013 to hospitals that had above-average rates of readmission among patients treated for acute myocardial infarction, heart failure, or pneumonia over the 3-year period from July 1, 2008 to June 30, 2011. In its most recent report, Medicare Payment Advisory Commission has recommended moving to a system whereby all-cause readmissions will be reported and penalties assessed based on a target rate of readmission.² It is clear that surgical patients are different from medical patients and that causes of readmission are often related to underlying medical conditions rather than the initial admitting diagnosis.³ Therefore, it is critical that we clearly understand the problem of readmissions in the surgical patient population.

Multiple studies have attempted to define the patient factors that are associated with readmission. Patients of older age and of African-American race have been shown to be more likely to be readmitted.^{4,5} Other factors such as patient comorbidities, emergent surgery, transfusion requirements, and discharge to a skilled nursing facility have been associated with increased readmission rates.⁵ Hospital length of stay has also been studied as a possible predictor of readmission rates in the surgical patient population, but recent literature is conflicting. One study found that patients discharged 0 to 4 days after surgery had an increased risk for readmission (odds ratio, OR 5 1.45),⁶ while other studies identified an association between longer length of stay and an increased risk for readmission (OR 5 1.23 to 2.00).^{7–10}

To decrease preventable, postoperative readmissions, we must first better understand the patient and perioperative variables which contribute to increased risk for readmission. The role of postoperative complications and risk for readmission cannot be downplayed.¹¹ However, it is not clear if all complications carry the same risk for readmission. Furthermore, the effect of length of stay on risk for readmission in patients who have suffered complications needs to be clarified. To better understand these issues, we have undertaken this study with the following aims: (1) to characterize patients who were readmitted following inpatient general surgical procedures from 2006 to 2011; (2) to compare and contrast those patients readmitted with those who were not readmitted within 30 days after surgery; and (3) to correlate length of stay with rates of 30-day postoperative readmissions. We hypothesized that shorter length of stay would correlate with an increased risk for readmission.

Methods

Patients who were captured in the American College of Surgeons' National Surgical Quality Improvement Project (NSQIP) database from August 1, 2006 to June 30, 2011 were evaluated. The University of Wisconsin Hospital and Clinics has participated in this project since August 1, 2006 and investigations using this database have been reviewed and approved by the University of Wisconsin-Madison Human Research Protection Program. Eligible patients included those who underwent an inpatient general surgery procedure. We excluded patients who underwent an emergency operation, an outpatient procedure, and any

patient who underwent an operation not classified as general surgery as defined by the NSQIP program. Patients who died before 30 days post discharge were also excluded from the readmission risk analysis.

The NSQIP Surgical Clinical Reviewers (SCRs) prospectively collect over 20 preoperative morbidity variables and 30-day outcomes including complications, length of stay, and reoperation. In addition to preoperative variables, a number of intraoperative variables are also collected. Before 2012, readmissions were not formally collected by the NSQIP SCRs. Therefore, we linked the NSQIP database with our hospital discharge data and identified readmissions in the over 8,000 patients within our database. Readmissions occurring within 30 days of discharge from index hospitalization after a general surgery procedure were identified and studied. All readmitted patients were verified by independent review. Given that our hospital is a regional tertiary medical center, we acknowledge that some patients may be readmitted at their local hospitals and, therefore, not captured by our hospital database. To determine how frequently patients are not sent back to our hospital database. This interrogation revealed that only 1 patient who was readmitted in 2011 was not captured in the hospital database indicating that most patients are sent back to our center for further care.

Explanatory variables included age, sex, and race. Race was identified according to the NSQIP classification system. The number of minority patients in this population was small; therefore race was categorized as Caucasian and non-Caucasian. We utilized age as a categorical variable in this study by classifying according to the following groups: <30, 30 to 49, 50 to 69, 70 to 89, and 90 years. Included in the explanatory variables were perioperative characteristics. Preoperative characteristics included the following 19 comorbid conditions: American Society of Anesthesiologists (ASA) class 1 to 5, body mass index 30, diabetes mellitus, smoking, dyspnea, preoperative functional status, chronic obstructive pulmonary disease, ascites within 30 days before surgery, congestive heart failure within 30 days before surgery, hypertension, acute renal failure, hemodialysis, disseminated cancer, open wound, steroid/immunosuppressive medication use, weight loss <10% within 6 months before surgery, bleeding disorder, transfusion of red blood cells within 72 hours before surgery, and sepsis within 48 hours before surgery. In addition, functional status was evaluated and patients were classified as independent, partially dependent, and totally dependent. Surgical specialty was also analyzed with subsets including advanced minimally invasive surgery, colorectal, endocrine, general surgery, and hepatopancreaticobiliary/soft tissue oncology. Medical home was categorized into UWHospital/Clinics, veterans administration Hospital/Clinics, and other. Payer was categorized into private insurance, Medicare/Medicaid, and uninsured.

Intraoperative characteristics included the following: preoperative diagnosis, procedures occurring at the time of index surgery, length of procedure, and operative approach (laparoscopic or open). We categorized preoperative diagnosis by International Statistical Classification of Disease 9 codes. Diagnoses with 100 cases were isolated and all other diagnoses with <100 cases were categorized as "all other diagnoses." Procedures occurring at the time of index surgery were placed into 2 categories, "concurrent" meaning a second

procedure being performed by the same surgeon or "other" meaning a second procedure being performed by a different team of surgeons. Length of procedure was clustered into <2, 2:00 to 3:59, 4:00 to 5:59, and 6 hours. Postoperative characteristics included the following: length of stay, 2 complications, reoperation, and postoperative complications as defined by NSQIP criteria. Patients were categorized into index length of stay groups as follows: 2, 3 to 5, 6 to 8, and >8 days.

Statistical analysis was performed using SPSS Version 20 (IBM Corp, Armonk, NY). Significance was defined as a 2-tailed *P* value of <.05. Descriptive analysis of categorical variables was performed with chi-square testing. In addition, ASA class and length of procedure were compared between patients with and without readmission using a Wilcoxon rank sums tests. Explanatory variables included demographics, preoperative, intraoperative, postoperative variables, medical home, and payer.

Multivariable logistic regression was used to identify factors that independently correlated with risk for readmission. Variables were removed from the multivariable analysis if they occurred fewer than 5 times in the dataset to achieve an acceptable order of power in the statistical analysis. These variables included the following: patients >90 years of age, on a ventilator, congestive heart failure, acute renal insufficiency, ASA class 5, and totally dependent functional status. We controlled for multicollinearity using a correlation statistic. We found no correlation between complications and reoperation; however, a strong relationship existed between complications and length of stay. Therefore, we created a category based on a combination of these 2 variables and evaluated the relationship of this combined category to readmission.

Results

We identified 3,632 patients who underwent an inpatient general surgery procedure from our database. Within 30 days post discharge, 76 patients died (2%) and were not included in the remainder of the analysis. Of the 3,556 patients remaining, 322 patients (9%) were readmitted within 30 days of discharge. Median length of stay for the index hospitalization was 6.0 days for all patients and 6.9 days for patients who were readmitted.

Preoperative predictors of readmission using univariate analysis are presented in Table 1. We found steroid/immunosuppressive medication use, weight loss .10% within 6 months of surgery, bleeding disorder, functional status, and ASA class to correlate significantly with risk for readmission (P < .05). Table 2 presents readmission rate as a function of preoperative diagnosis and demonstrates that some patient groups are at high risk for readmission (rates ranging from 4% for those patients with esophageal disease to 24% for patients with ulcerative colitis, P < .001). It is not clear if this is a reflection of the operation, the disease process, or a combination of the two. The only intraoperative factor found to correlate with increased risk for readmission was operation length. Patients who underwent operations >2 hours long were readmitted 7% of the time, while patients with operations R6 hours were readmitted 15% of the time (Table 3).

Postoperative risk factors for readmission are presented in Table 4 and included a return to the operating room (9% vs 25%) and the combination of postoperative complications with length of stay (P<.001). We found that patients with <2 complications had a higher risk for readmission as the length of stay increased (P<.001, Fig. 1). In these patients, readmission rates increased from 4% in patients who were hospitalized <3 days to 7% in patients hospitalized >8 days. Conversely, risk for readmission and length of stay were indirectly related to patients with >2 complications (P<.001, Fig. 1). Patients with complications and short hospital stay (<3 days) were readmitted 76% of the time as compared with a readmission rate of 16% in patients with prolonged length of stay (>8 days). We also found a linear correlation between readmission and number of complications (P<.001, Fig. 2). The majority of patients (67%) who were readmitted suffered from surgical site infections and wound dehiscence (data not shown). Neither payer nor medical home was a risk factor for readmission (Table 5).

Table 6 illustrates surgeon specialty as a risk factor for readmission. For example, colorectal patients were readmitted at a rate of 10.9%, whereas endocrine patients had a readmission rate of 3.9% (P<.001).

Independent risk factors for readmission are presented in Table 7. Factors that are independently correlated with a high risk for readmission included the following: steroid use, bleeding disorder, ASA class 2, length of procedure <2 hours, a return to the operating room, preoperative diagnosis of hernia in the abdominal cavity without obstruction and surgeon specialty. Finally, because of multicollinearity, we created a new variable that controlled length of stay for >2 complications and included this in the multivariable analysis. We found that patients who suffered 2 complications and a length of stay <2 days were significantly more likely to be readmitted (OR 90.900, 95% confidence interval 32.950 to 250.765) than patients without complications (Table 7).

Conclusions

The aim of this study was to identify risk factors for 30-day readmission in patients who underwent general surgical procedures. Not surprisingly, we found that patients were more likely to be readmitted if they had postoperative complications or required reoperation within 30 days. Interestingly, we also identified an increased risk of readmission in patients with multiple complications and shorter (<2 days) length of stay.

Other authors have similarly identified shorter length of stay as a risk factor for readmission independent of postoperative complication.⁵ However, an association between longer length of stay and readmissions has also been described.^{8,10} We may have identified an explanation for this discrepancy in the literature by taking into account the number of postoperative complications. We found that patients with 0 or 1 complication had readmission rates that correlated with longer length of stay where patients with multiple complications were more likely to be readmitted after shorter lengths of stay. McAleese and Odling-Smee¹² found that 41% of patients who had complications and were subsequently readmitted had been discharged before the average length of stay for that procedure. These results may imply that

patients are being discharged too soon postoperatively before complications have been diagnosed or without timely follow-up.

We found that comorbidities such as bleeding disorder, worse functional status, and preoperative weight loss were predictive of postoperative readmission. We also found that postoperative complications strongly correlated with risk for readmission. Others have found that postoperative complications were strongly associated with risk for readmission.¹¹ Although we did not find an association between older age and sex with risk for readmission, we did find an interesting relationship among postoperative complications, length of stay, and readmission. In fact, we found that patients with multiple complications had a decrease in their risk for readmission as length of stay increased. Conversely, patients with a single complication had an increased risk for readmission as their length of stay increased. In future studies, we plan on differentiating the timing of these complications and determining which complications occur after specific procedures.

Our study has limitations inherent to retrospective database analysis where unmeasured differences could contribute to confounding. A large, prospective, randomized study of the effects of postoperative complications and length of stay on readmissions is not feasible. Therefore, we performed a multivariable analysis to attempt to control for known variables that impact the risk for readmission. With these statistical controls, the relationship among postoperative complications, length of stay, and readmissions remained significant.

We recognize that this study reflects our institution's experience and only represents about 20% of all general surgery procedures performed in our hospital over the study time period. Sampling error may limit the generalizability. However, others have shown the validity of the NSQIP data collection process.¹³ An additional limitation to this study is that patients who undergo surgery at the University of Wisconsin Hospital are referred from a large geographic region beyond the borders of the state. Some patients may have been readmitted to the local hospitals without our knowledge, in which case the readmission rate in this study would be an underestimate. We have tried to control for this limitation as described in the "Methods" section, but recognize that our sampling may have significant limitations. Finally, we acknowledge that a potential weakness of this study is our lack of insight into readmission rates in specific patient groups. Although we are not able to evaluate readmission rates with respect to operative complexity, we have evaluated readmission rate by diagnosis code and by surgical specialty in an attempt to identify groups of patients at high risk for readmission. However, the goal of this study was to examine the relationship between complications and readmission. Thus, we have been able to devise interventions for patients who have suffered complications in an attempt to decrease readmissions.

In summary, we have identified risk factors for 30-day readmission in general surgery patients. We also uncovered an interesting relationship among multiple complications, length of stay, and readmission rates. Our future studies will focus on elucidating a relationship between diagnosis groups and readmission as well as further clarifying the timing of complications and risk for readmission.

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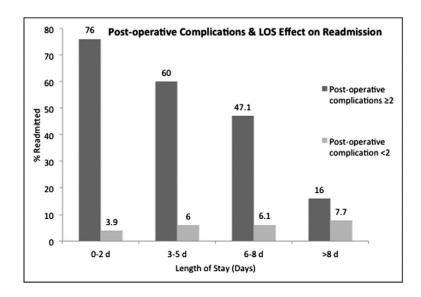


Figure 1.

Patients who suffered R2 postoperative complications were found to have a decreased risk of readmission as length of stay increased. Conversely, those patients with <2 postoperative complications had an increased risk for readmission as length of stay increased.

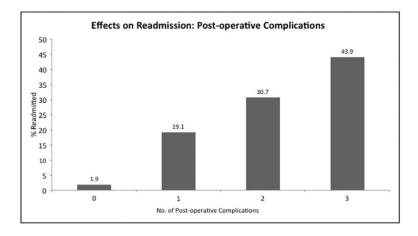


Figure 2.

The impact of postoperative complications on readmission. As the number of postoperative complications increased, the percent of patients readmitted increased significantly.

Patient characteristics and preoperative risk factors for readmission

Risk factors	Total	No. of patients readmitted (%)	No. of patients not readmitted (%)	P value
No. of patients	3,556	320 (9)	3,236 (91)	
Sex (Male)				
Yes	1,925	164 (9)	1,761 (91)	
No	1,631	151 (9)	1,480 (91)	.238
Race (White)				
Yes	3,198	282 (9)	2,907 (91)	
No	335	31 (9)	304 (91)	.432
Functional status				
Independent	3,243	292 (9)	2,951 (91)	
Partially dependent	230	30 (13)	200 (87)	
Totally dependent	83	3 (4)	80 (96)	.021
ASA class				
1	260	10 (4)	250 (96)	
2	1,920	157 (8)	1,763 (92)	
3	1,217	129 (11)	1,088 (89)	
4	153	18 (12)	135 (88)	<.001
BMI 30				
Yes	1,381	119 (9)	1,262 (91)	
No	2,175	196 (9)	1,979 (91)	.367
Smoker				
Yes	635	59 (9)	576 (91)	
No	2,921	256 (9)	2,665 (91)	.360
Dyspnea				
Yes	283	19 (7)	264 (93)	
No	3,273	296 (9)	2,977 (91)	.110
COPD				
Yes	147	16 (11)	131 (89)	
No	3,409	299 (9)	3,110 (91)	.226
Ascites				
Yes	64	6 (9)	58 (91)	
No	3,492	309 (9)	3,183 (91)	.506
CHF				
Yes	38	1 (3)	37 (97)	
No	3,518	314 (9)	3,204 (91)	
Hypertension				
Yes	1,538	138 (9)	1,400 (91)	
No	2,018	177 (9)	1,841 (91)	.440
Acute renal failure				
Yes	14	0 (0)	14 (100)	

Risk factors	Total	No. of patients readmitted (%)	No. of patients not readmitted (%)	P value
No	3,543	315 (9)	3,227 (91)	.272
Dialysis				
Yes	36	5 (14)	31 (86)	
No	3,520	310 (9)	3,210 (91)	.210
Cancer				
Yes	166	16 (10)	150 (90)	
No	3,390	299 (9)	3,091 (91)	.399
Open wound				
Yes	203	22 (11)	181 (89)	
No	3,353	293 (9)	3,060 (91)	.184
Steroid use				
Yes	274	47 (17)	227 (83)	
No	3,282	268 (8)	3,014 (92)	<.001
Weight loss				
Yes	186	24 (13)	162 (87)	
No	3,370	291 (9)	3,079 (91)	.036
Bleeding				
Yes	224	32 (14)	192 (86)	
No	3,332	283 (8)	3,049 (92)	.004
Transfusion				
Yes	39	5 (13)	34 (87)	
No	3,517	310 (9)	3,207 (91)	.260
Sepsis				
Yes	565	52 (9)	513 (91)	
No	2,991	263 (9)	2,728 (91)	.402
Comorbidities 2				
Yes	2,785	260 (9)	2,525 (91)	
No	771	55 (7)	716 (93)	.031
Diabetes				
Yes	538	46 (9)	492 (91)	
No	3,018	269 (9)	2,749 (91)	.792
Age				
<30	366	44 (12)	322 (88)	
30–49	974	81 (8)	893 (92)	
50-69	1,526	138 (9)	1,388 (91)	
70–89	671	50 (7)	621 (93)	
90	19	2 (11)	17 (89)	.155

ASA = American Society of Anesthesiologists; BMI = body mass index; CHF = congestive heart failure; COPD = chronic obstructive pulmonary disease.

Risk for readmission based on diagnostic ICD-9 codes

	Total	No. of patients readmitted (%)	No. of patients not readmitted (%)	P value
Disease of the esophagus	105	4 (4)	101 (96)	
Malignant neoplasm of the colon	145	9 (6)	136 (94)	
Benign neoplasm of digestive	125	7 (6)	118 (94)	
Malignant neoplasm of the pancreas	108	8 (7)	100 (93)	
Obesity or hyperalimentation	173	12 (7)	161 (93)	
Cholelithiasis	130	9 (7)	121 (93)	
Hernia in the abdominal cavity with obstruction	101	8 (8)	93 (92)	
All other diagnoses	1,583	127 (8)	1,456 (92)	
Hernia in the abdominal cavity without obstruction	192	17 (9)	175 (91)	
Diverticula of the intestine	123	11 (9)	112 (91)	
Malignant neoplasm of the rectum, rectosigmoid junction, and anus	150	15 (10)	135 (90)	
Other disorders of the intestine	134	15 (11)	119 (89)	
Intestinal obstruction	161	19 (12)	142 (88)	
Attention to colostomy/ileostomy	203	28 (14)	175 (86)	
Ulcerative colitis	123	30 (24)	93 (76)	<.001

ICD = International Statistical Classification of Disease.

Intraoperative risk factors for readmission

Risk factors	Total	No. of patients readmitted (%)	No. of patients not readmitted (%)	P value
Laparoscopic				
Yes	1,315	104 (8)	1,211 (92)	
No	2,241	224 (10)	2,017 (90)	.158
Length of procedure (h)				
<2:00	1,787	131 (7)	1,656 (93)	
2:00-3:59	1,165	103 (9)	1,062 (91)	
4:00-5:59	363	45 (12)	318 (88)	
6:00	241	36 (15)	205 (85)	<.001
Concurrent procedure				
Yes	216	22 (10)	194 (90)	
No	3,340	293 (9)	3,047 (91)	.273
Other procedures				
Yes	1,927	175 (9)	1,752 (91)	
No	1,629	140 (9)	1,489 (91)	.327

Postoperative risk factors for readmission

Risk factors	Total (n)	No. of patients readmitted (%)	No. of patients not readmitted (%)	P value
Return to OR				
Yes	51	13 (25)	38 (75)	
No	3,504	302 (9)	3,202 (91)	<.001
Postoperative				
complications and LOS				
Complications <2				
LOS 0-2	1,090	42 (4)	1,048 (96)	
LOS 3–5	1,053	63 (6)	990 (94)	
LOS 6-8	595	35 (6)	590 (94)	
LOS >8	352	26 (7)	326 (93)	
Complications 2				
LOS 0-2	25	19 (76)	6 (24)	
LOS 3–5	75	45 (60)	30 (40)	
LOS 6-8	85	40 (47)	45 (53)	
LOS >8	281	45 (16)	236 (84)	<.001

LOS = length of stay; OR = operating room.

Payer and medical home impact on readmission

Risk factors	Total (n)	No. of patients readmitted (%)	No. of patients not readmitted (%)	P value
Payer				
Private	2,023	182 (9)	1,841 (91)	
Medicare/Medicaid	1,367	109 (8)	1,258 (92)	
Self	105	5 (5)	100 (95)	.187
Medical home				
UW Health	988	89 (9)	899 (91)	
VA hospital/clinics	16	0 (0)	16 (100)	
Others	2002	200 (10)	1,802 (90)	.281

UW = University of Wisconsin; VA = veterans administration.

Specialty impact on readmission rate

Risk factors	Total (n)	No. of patients readmitted (%)	No. of patients not readmitted (%)	P value
Specialty				<.001
Advanced MIS	469	38 (8)	431 (92)	
Colorectal	1,306	142 (11)	1,164 (89)	
Endocrine	283	11 (4)	272 (96)	
General surgery	853	73 (9)	780 (91)	
Hepatopancreaticobiliary and soft tissue oncology	645	51 (8)	594 (92)	

MIS = minimally invasive surgery.

Multivariable analysis: significant independent risk factors for readmission

Risk factors	Adjusted OR	95% CI	
Age			
30–49	.601	.382	.976
50-69	.556	.344	.898
70–89	.336	.186	.608
Sex (Male)	1.036	.791	1.356
Race (White)	1.209	.771	1.895
BMI .30	1.022	.732	1.427
Diabetes	.939	.559	1.579
Smoking	.965	.667	1.396
Dyspnea	1.796	1.024	3.150
COPD	1.417	.736	2.728
Ascites	.546	.188	1.590
Hypertension	.967	.688	1.360
Dialysis	1.254	.396	3.976
Cancer	.801	.413	1.554
Open wound	.891	.495	1.604
Steroids	1.425	.892	2.277
Weight loss	1.137	.662	1.950
Bleeding	1.541	.947	2.508
Transfusion	.733	.231	2.324
Sepsis	.821	.534	1.263
Comorbidities 2	1.059	.669	1.679
ASA class			
1	1.902	.923	3.918
2	2.638	1.227	5.671
3	3.143	1.174	8.416
Functional status	.865	.507	1.476
Laparoscopic	1.151	.810	1.634
Length of procedure (h)			
0–2	1.145	.811	1.616
2-4	1.337	.815	2.194
4–6	1.272	.691	2.343
Concurrent procedure	1.131	.662	1.933
Other procedures	.845	.611	1.169
Return to operating room	2.218	1.000	4.922
Patients			
with complications 2 (d)			
LOS 0-2	90.900	32.950	250.765
LOS 3–5	32.783	17.295	62.142

Risk factors	Adjusted OR	95% CI	
LOS 6-8	23.710	12.638	44.484
LOS 8	4.584	2.535	8.289
Surgeon specialty	1.298	.904	1.863
Hernia without obstruction	1.619	.777	3.371

ASA = American Society of Anesthesiologists; BMI = body mass index; CI = confidence interval; COPD = chronic obstructive pulmonary disease; LOS = length of stay; OR = odds ratio.