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## FOXM1 is a therapeutic target for high-risk multiple myeloma

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## **Abstract**

The transcription factor forkhead box M1 (FOXM1) is a validated oncoprotein in solid cancers, but its role in malignant plasma cell tumors such as multiple myeloma (MM) is unknown. We analyzed publicly available MM datasets and found that overexpression of *FOXM1* prognosticates inferior outcome in a subset (~15%) of newly diagnosed cases, particularly patients with high-risk disease based on global gene expression changes. Follow-up studies using human myeloma cell lines (HMCLs) as the principal experimental model system demonstrated that enforced expression of FOXM1 increased growth, survival and clonogenicity of myeloma cells, whereas knockdown of FOXM1 abolished these features. In agreement with that, constitutive up-regulation of FOXM1 promoted HMCL xenografts in laboratory mice, whereas inducible knockdown of FOXM1 led to growth inhibition. Expression of cyclin dependent kinase 6 (CDK6) and NIMA-related kinase 2 (NEK2) was co-regulated with FOXM1 in both HMCLs and myeloma patient samples, suggesting interaction of these 3 genes in a genetic network that may lend itself to targeting with small-drug

#### Conflict-of-interest

The authors declare no competing financial interests.

#### Supplementary information

Supplementary information is available at Leukemia's website.

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inhibitors for new approaches to myeloma therapy and prevention. These results establish *FOXM1* as high-risk myeloma gene and provide support for the design and testing of FOXM1-targeted therapies specifically for the FOXM1<sup>High</sup> subset of myeloma.

## Keywords

Plasma-cell neoplasm; cancer driver gene; targeted cancer therapy; small-drug inhibitor

### Introduction

The prognosis of patients with multiple myeloma (MM), a difficult-to-cure blood cancer, depends in large measure on the genetic makeup of the myeloma cell. This is reflected in risk stratification models that consider cytogenetic features of myeloma, such as occurrence of oncogene-activating chromosomal translocations, and molecular features, such as gene expression changes<sup>1</sup> measured with the assistance of the UAMS-70<sup>2</sup>, EMC-92<sup>3</sup> or REL-17<sup>4</sup> gene test, to assign newly diagnosed cases to either standard-risk or high-risk groups. The distinction is clinically relevant because patients with high-risk myeloma have poor outcomes. Whereas overall survival (OS) for patients with standard-risk myeloma is 6-7 years, that for high-risk disease is no more than 2-3 years – despite the application of aggressive, risk-adapted therapies that include new myeloma drugs and, for eligible patients, high-dose therapy (HDT) followed by autologous stem-cell transplantation (ASCT)<sup>5</sup>. The unmet medical need of high-risk myeloma calls for dedicated efforts to elucidate the underlying genetic networks and develop approaches for their therapeutic targeting. This study demonstrates the involvement of the transcription factor forkhead box M1 (FOXM1) in a significant subset of high-risk myeloma (~15%) and suggests that FOXM1 provides a molecularly targeted treatment opportunity specifically for this group of patients.

In 2010, based on its enormous potential for the diagnosis and therapy of solid cancers, the AAAS journal Science bestowed upon FOXM1 the "Breakthrough of the Year" award. FOXM1, a member of the large forkhead box (FOX) family of proteins ( $n\approx50$ ), is a validated oncogene in carcinomas<sup>6</sup> but has received little attention in myeloma and related plasma cell malignancies. Findings implicating FOXM1 in the maintenance and self-renewal of carcinoma stem cells<sup>7</sup> have raised the question of whether it is similarly important for the putative myeloma stem cell<sup>8</sup> – yet the elusiveness of this cell<sup>9</sup> stands in the way of resolving the issue. Consistent with results that the expression of FOXM1 is tightly regulated in normal cells to ensure mitotic fidelity throughout the cell cycle<sup>10</sup>, deregulated expression of FOXM1 in carcinoma cells leads to centrosome amplification, mitotic catastrophe and other cytogenetic aberrations typically seen in cancer cells<sup>11</sup>. Whether FOXM1 governs genomic instability of MM<sup>12</sup>, a notorious but ill-explained feature of the neoplasia, is unclear. In diffuse large B-cell lymphoma, in which levels of the FOXM1 mRNA and the encoded protein are high, targeted inhibition of FOXM1 augments cell killing when combined with normally subtoxic doses of the proteasome inhibitor, bortezomib<sup>13</sup>. Whether this holds true for MM has not been established.

Our interest in FOXM1 began with a comparative gene expression analysis of B-lymphoma counterparts in humans and mice, implicating the transcription factor in an evolutionarily conserved pathway of neoplastic B-cell development 14. Further encouraged by new evidence indicating that FOXM1 (1) drives tumor development and progression 15–19 by virtue of a complex mechanism that includes enhanced cell proliferation, migration and invasion 6, regulation of the DNA damage response 20 and changes in the cancer epigenome 21, (2) promotes cancer-cell resistance to ionizing radiation 22 and cytotoxic drugs 23, (3) governs, in part, the survival and tissue-regenerating capacity of both normal hematopoietic stem cells 24 and malignant stem cell-like cells 25, (4) links acquired resistance to cancer therapy with cancer stemness 26 and (5) owing to the development of specific small-molecule inhibitors 27, may soon be targeted more effectively than possible in the past 28, we here decided to evaluate whether FOXM1 might play an important but heretofore overlooked role in plasma cell myeloma.

### **Material and Methods**

#### FOXM1 expression and survival analysis in patients with myeloma

Levels of *FOXM1* mRNA in myeloma cells were determined using Affymetrix U133Plus 2.0 microarrays (Santa Clara, CA) as previously described<sup>1</sup>. Results are available in the NIH Gene Expression Omnibus (GEO) under accession number GSE2658. Microarray data on MGUS and normal plasma cells are available at GSE5900. Statistical analysis of microarray results relied on GCOS1.1 software (Affymetrix, Santa Clara, CA), including log-rank tests for univariate association with disease-related survival.

#### Human myeloma cell lines (HMCLs), antibodies, and reagents

HMCLs, designated H929 or APR1, were chosen for studies on inducible knockdown (KD) of FOXM1. HMCLs, CAG or XG1, were used for studies on constitutive overexpression (OE) of FOXM1. All 4 cell lines had secretion of IgA<sup>29–32</sup> and *in vitro* culture conditions (37 °C, 5% CO<sub>2</sub>) in common. Oncogene-activating chromosomal translocations took the form of t(4;14) in case of H929 cells<sup>33</sup>, t(11;14) in XG1 cells<sup>30</sup> and t(14;16) in ARP1 and CAG cells<sup>33, 34</sup>. Gene expression spikes on microarrays corresponded to the translocation status: *FGFR3* and *WHSC1* (better known as *MMSET*) in case of H929 cells, *CCND1* (cyclin D1) in XG1 cells and *MAF* (c-MAF) in ARP1 and CAG cells<sup>35</sup>. The status of the tumor suppressor p53 was wild-type in case of H929 cells<sup>36</sup>, mutated in XG1 cells<sup>37</sup> and null in ARP1 and CAG cells<sup>34</sup>. Antibodies for Western blotting were purchased from Santa Cruz Biotechnology (FOXM1, catalog number sc-500; CDK6, sc-7961; β-actin, sc-47778) or Cell Signaling Technology (caspase-8, 4927; cleaved caspase-8, 9496; caspase-9, 9502; caspase-3, 9668; cleaved caspase-3, 9661; PARP, 9542). Doxycycline and thiostrepton (TS) were from Sigma.

#### Quantitative, reverse transcription (RT) polymerase chain reaction (qRT-PCR)

Total RNA was extracted using Quick-RNA MiniPrep (Zymo Research) and reverse transcribed using oligo dT primers and SuperScript III RT (Invitrogen). Data analysis relied on the Ct method. Primers were purchased from Integrated DNA Technologies (Coralville, Iowa). Sequences are available upon request.

#### Western blotting and co-immunoprecipitation (Co-IP) assays

Whole myeloma cell lysates were prepared using the Mammalian Cell Extraction kit (K269-500) from Biovision. Proteins (30 µg per sample) were fractionated on 4%–12% polyacrylamide gels blocked with 5% non-fat milk in Tris-buffered saline containing 0.05% Tween 20. Proteins were transferred to nitrocellulose membranes, incubated with primary antibody (dilution  $10^{-3}$ ) overnight (4 °C), and visualized with HRP-conjugated secondary antibody using SuperSignal West Pico (Pierce). Blots were stripped, re-probed for  $\beta$ -actin, and evaluated by densitometry to estimate protein abundance. Co-IPs using the Pierce<sup>TM</sup> Direct Magnetic IP/Co-IP kit (Thermo Scientific) and antibodies to FOXM1 and CDK6 were performed as recently described<sup>38</sup>. IgG from Bethyl Laboratories was used as control.

#### Soft-agar clonogenicity assay

Clonogenic growth of myeloma was evaluated by seeding  $1 \times 10^4$  cells in 0.5 mL RPMI 1640 (Invitrogen) supplemented with 0.33% agar and 10% FBS. Cells were grown in vitro (37 °C, 5% CO<sub>2</sub>) for 3 weeks, replenishing cell culture medium twice weekly. In some cases, cells were treated during weeks 2 and 3 with the FOXM1-inhibiting thiazole antibiotic, thiostrepton (TS). Cell clones, defined as tight aggregates of 40 myeloma cells, were enumerated using photographic images of soft-agar plates uploaded to Image J.

#### Cell proliferation and viability

Cell proliferation rate and viability were determined in 6-well plates using a hemacytometer and the trypan blue exclusion assay (0.4% dye in phosphate-buffered saline, pH 7.3).

#### Myeloma xenografts in immune compromised laboratory mice

To evaluate the impact of FOXM1 knockdown (KD) on myeloma growth,  $2\times10^6$  H929 cells expressing either normal (FOXM1<sup>N</sup>) or reduced (FOXM1<sup>KD</sup>) levels of FOXM1 were injected subcutaneously (SC) into the right and left flank, respectively, of NSG mice (Jackson Laboratory, Bar Harbor, Maine). To induce FOXM1 knockdown (KD) in incipient tumors, doxycycline (2mg/mL) was added to the drinking water, beginning on day 10 following myeloma cell transfer.

Similarly, to assess the effect of FOXM1 overexpression (OE) on myeloma growth, paired samples of CAG cells (2 million in each flank) that expressed either elevated levels of FOXM1 (FOXM1<sup>OE</sup>) or normal levels (FOXM1<sup>N</sup>) were transferred SC to NSG mice (Jackson Laboratory). Ten days later, some mice were treated with intraperitoneal (IP) administrations of thiostrepton (30 mg/kg) twice weekly. In all cases, tumor growth was measured 2–3 times weekly, using a pair of calipers. Mice were sacrificed using  $\rm CO_2$  asphyxiation when tumors reached 20 mm in diameter. All studies were approved under protocol 1301010 of the Institutional Animal Care and Use Committee of The University of Iowa.

#### Statistical analysis

Two-tailed Student's t-test was used to compare two experimental groups. One-way analysis of variance (ANOVA) was used to evaluate more than two groups. The Kaplan-Meier

method was used to determine myeloma patient survival in accordance with FOXM1 expression. In all cases, p=0.05 was considered significant.

## Results

#### Heightened FOXM1 expression predicts poor survival in patients with myeloma

To evaluate the possibility that FOXM1 is important for myeloma, we analyzed a wellannotated, mature dataset, designated Total Therapy 2 (TT2), for which microarray-based gene expression and clinical outcome data were available. The levels of FOXM1 in 351 patients with newly diagnosed myeloma from that dataset are presented in Figure 1a, according to increasing gene expression. With 200 units of FOXM1 message used as a cutoff, the great majority of cases (316/351, 90%) exhibited the same expression levels seen in normal plasma cells (NPCs) from the bone marrow (BM; n = 22) or in BM plasma cells from individuals with monoclonal gammopathy of undetermined significance (MGUS; n = 44), a precursor of frank myeloma<sup>39</sup>. However, in ten percent of myelomas (35/351) FOXM1 levels were grossly elevated. The distinction between high and low FOXM1 was of prognostic significance, as both event-free survival (EFS) and overall survival (OS) were reduced in cases exhibiting high FOXM1 expression (Figure 1b). A very similar fraction of "FOXMIHigh" myelomas (18/149, 12.1%; Supplemental Figure 1a) associated with the same kind of survival disadvantage (Supplemental Figure 1b) was seen in the Total Therapy 3 (TT3) cohort, which comprised intensively treated patients (n = 149) that had received tandem ASCT and bortezomib/thalidomide-based induction and maintenance<sup>40</sup>. Likewise. elevated FOXM1 message predicted poor OS of patients with myeloma (n = 247) treated upfront using HDT/ASCT at the University of Heidelberg, Germany (Supplemental Figure 2)<sup>41</sup>. In agreement with the variable *FOXM1* message levels seen in TT2/3 myelomas, a pilot immunolabeling study of archival myeloma-laden bone marrow sections pointed to variable amounts and distribution patterns of FOXM1 protein in myeloma cells (Supplemental Figure 3). These findings indicated that up-regulation of FOXM1 in a subset of myeloma (10-12%) leads to inferior outcome.

#### FOXM1 is a high-risk myeloma gene

We asked whether heightened *FOXM1* expression in the TT2 cohort might be associated with a particular molecular subgroup of myeloma. Figure 1c presents the mean values of *FOXM1* levels in 8 widely recognized subgroups, showing that elevated *FOXM1* was particularly prevalent in 2 known to confer high risk in terms of clinical course and outcome: MAF/MAFB (MF) and proliferation (PR). A more recently developed molecular genetic approach to distinguishing high- and standard-risk disease; i.e., the UAMS 70-gene signature<sup>2</sup>, afforded another way of testing whether up-regulated *FOXM1* might be a feature of high-risk myeloma. Statistical comparison of the mean *FOXM1* levels in the 46 and 305 cases identified as high and standard risk according to this signature supported this contention (Figure 1d). In sync with that, not only was FOXM1<sup>High</sup> status statistically linked ( $\chi^2$  contingency analysis) with a positive score in the 70-gene test ( $p < 10^{-4}$ ), almost two thirds of the UAMS-70 high-risk cases (29/46, 63%) fell into the PR (n=20) and MF (n=9) subgroups of myeloma (indicated by red squares in Figure 1c, bottom). The TT3 myelomas exhibited the same preponderance of *FOXM1*<sup>High</sup> in the MF/PR subgroups and the same

association of *FOXM1*<sup>High</sup> status and positive 70-gene test score seen in the TT2 sample (Supplemental Figure 1c and d). The findings in more than 500 myelomas from the TT2/3 studies led us to conclude that *FOXM1* is a *bona fide* high-risk myeloma gene.

## Inducible downregulation of FOXM1 inhibits myeloma cells in vitro

Following up on the clinical observations described above, we decided to elucidate the role of FOXM1 in myeloma biology using 2 independent human myeloma cell lines (HMCLs), designated H929 and ARP1, that harbored ample amounts of FOXM1 protein. To evaluate whether shRNA-mediated knockdown (KD) of FOXM1 message blunts the growth and survival of myeloma, we transduced H929 and ARP1 cells with lentivirus that encoded a FOXM1-targeted shRNA under control of a doxycycline (Dox)-inducible gene promoter: FOXM1<sup>KD</sup> cells. Cells transduced with a Dox-inducible "scrambled" shRNA, not targeting any expressed gene in mice and therefore leaving FOXM1 at normal levels (N), were used as control: FOXM1<sup>N</sup> cells. qRT-PCR and Western analyses demonstrated successful knockdown of FOXM1 in both cell lines at the mRNA (Figure 2a) and protein (Figure 2b) level. Significant reductions in FOXM1<sup>KD</sup> cell numbers, relative to FOXM1<sup>N</sup> controls, after 2, 3 and 4 days of Dox-dependent expression of shRNA indicated that partial loss of FOXM1 hampers myeloma growth in vitro (Supplemental Figure 4a). The diminished growth rate of FOXM1<sup>KD</sup> cells was attributed to both increased apoptotic cell death evidenced by increased proteolytic cleavage of poly (ADP-ribose) polymerase and caspases 3, 8 and 9 (Supplemental Figure 4b) and reduced cell cycle progression. The latter was revealed by flow cytometric analysis of DNA content that demonstrated an increase of cells in G1 and a concomitant decrease in S and G2/M (not shown). Soft agar clonogenicity assays demonstrated a striking (~7-fold) drop in size and number of FOXM1<sup>KD</sup> versus FOXM1<sup>N</sup> colonies: 0.88% vs. 6.4% in case of H929 cells and 0.92% vs. 6.0% in case of ARP1 cells (Figure 2c). These results suggested that FOXM1 regulates, in part, the growth and survival of myeloma cells.

#### Genetic targeting of FOXM1 retards myeloma growth in mice

To determine whether inducible knockdown of *FOXM1* inhibits myeloma in vivo, we xenografted FOXM1<sup>KD</sup> and FOXM1<sup>N</sup> H929 cells under the skin of the left and right abdominal flank of NSG mice (n = 5), respectively. Ten days after in vivo transfer of two million cells to each side, the mice were administered Dox in the drinking water to induce the expression of FOXM1-targeted or scrambled shRNA in the malignant plasma cells (Figure 3a, **top**). Tumor diameters were measured daily, using a pair of calipers, to compare the growth rate of the paired FOXM1<sup>KD</sup> and FOXM1<sup>N</sup> xenografts. In 5 of 5 hosts, the FOXM1<sup>KD</sup> tumors harvested on day 28 (endpoint of study) were visibly smaller than their FOXM1<sup>N</sup> counterparts (Figure 3a, **bottom**). The mean weight of FOXM1<sup>KD</sup> tumors (1.24 g) was 35% lower than that of FOXM1<sup>N</sup> tumors (1.91 g; Figure 3b). Compared to FOXM1<sup>N</sup> tumors, FOXM1<sup>KD</sup> tumors contained reduced amounts of FOXM1 protein (Figure 3c). Comparison of growth rates demonstrated that the FOXM1<sup>KD</sup> tumors lagged behind their FOXM1<sup>N</sup> counterparts (Figure 3d), indicating stable expression of the FOXM1-targeted shRNA during the 28-day growth period. These results demonstrated that genetic targeting of FOXM1 inhibits myeloma in vivo.

#### Enforced expression of FOXM1 promotes myeloma in vitro

To complement the KD studies described above with the opposite experimental approach, we assessed whether enforced transgenic expression of FOXM1 might enhance myeloma growth. To that end, we transfected two independent HMCLs containing moderate amounts of FOXM1 protein, CAG and XG1, with lentivirus that encoded a FOXM1 cDNA gene under control of the EF1a promoter. Compared to cells transduced with non-coding "empty" virus that left FOXM1 levels unchanged (FOXM1N, used as control), cells over-expressing FOXM1 (FOXM1<sup>OE</sup>) contained elevated amounts of *FOXM1* message (Figure 4a, **top**) and FOXM1 protein (Figure 4a, bottom). Up-regulation of FOXM1 promoted cell growth (Figure 4b), which was abolished by the FOXM1-inhibiting thiazole antibiotic, thiostrepton (TS)<sup>42</sup> (Supplemental Figure 5a). Increased growth of FOXM1<sup>OE</sup> cells was attributed to enhanced cell cycle progression (not shown) and survival. The latter was reflected by less pronounced activation of PARP and caspases in FOXM1<sup>OE</sup> cells compared to FOXM1<sup>N</sup> cells (Figure 4c). Clonogenic growth in soft agar was moderately heightened (by ~30%) in untreated FOXM1<sup>OE</sup> vs. FOXM1<sup>N</sup> cells, but more significantly elevated (2–3 fold) in TStreated FOXM1<sup>OE</sup> vs. FOXM1<sup>N</sup> cells (Supplemental Figure 5b and 5c). These results agreed with the FOXM1 knockdown studies and strengthened the contention that FOXM1 regulates growth and survival of myeloma cells in vitro.

## Overexpression of FOXM1 promotes myeloma xenografts in NSG mice

We transferred FOXM1<sup>OE</sup> and FOXM1<sup>N</sup> CAG cells to NSG mice treated with TS or left untreated (Figure 5a, top). In all cases, FOXM1<sup>OE</sup> tumors harvested at study endpoint (day 28) were larger than the FOXM1<sup>N</sup> tumors (Figure 5a, **bottom**). In untreated mice, the mean weight of FOXM1<sup>OE</sup> tumors (3.97 g) was significantly higher than that of FOXM1<sup>N</sup> tumors (0.848 g): a ratio of 4.7 (Figure 5b). The OE-to-N ratio (2.03 g / 0.432 g, 4.7) was the same in TS-treated mice (Figure 5b), which agreed with the expectation that TS inhibits FOXM1expressing tumors, but did not support the possibility that FOXM1<sup>OE</sup> tumors were more sensitive to the drug than their FOXM1N counterparts. In both TS-treated and untreated mice, FOXM1<sup>OE</sup> tumors contained elevated levels of FOXM1 protein relative to FOXM1<sup>N</sup> controls (Figure 5c). Time course analyses of tumor size, analogous to those carried out in the KD studies, underlined the myeloma-promoting effect of FOXM1. Using the area under the curve as metric, growth rates of FOXM1<sup>OE</sup> tumors (198) were elevated by 77% in TStreated mice compared to FOXM1<sup>N</sup> tumors (112; Figure 5d). Similarly, in untreated mice, growth rates of FOXM1<sup>OE</sup> tumors (250) were 83% higher than FOXM1<sup>N</sup> tumors (137; Figure 5d). These results added confidence to the contention that FOXM1 promotes myeloma in vivo, yet also indicated that TS may not be as active in mice as it is in vitro.

#### Coordinated expression of FOXM1 and CDK6 in myeloma

To elucidate the mechanism by which FOXM1 promotes myeloma, we followed up on publicly available UCSC ChIP-Seq results and published findings<sup>43</sup>, both indicating that *CDK6* is a transcriptional target of FOXM1 in normal and malignant cells. There is also strong evidence that FOXM1 is a direct phosphorylation target of CDK6 in cancer, suggesting a positive auto-regulatory FOXM1-CDK6 feed-forward loop that supports the malignant state<sup>44</sup>. Co-IP analysis of FOXM1<sup>OE</sup> CAG and XG1 cells – which demonstrated

that antibody to CDK6 (bait) pulled down FOXM1 (Figure 6a, top), whereas antibody to FOXM1 (bait) pulled down CDK6 (Figure 6a, bottom) – pointed to physical interaction of both proteins in myeloma cells. Co-detection of FOXM1 and CDK6 in FOXM1<sup>OE</sup> CAG cells by means of immunofluorescence microscopy supported this interpretation (not shown), qRT-PCR (Figure 6b, top) and Western blotting (Figure 6b, bottom) of FOXM1<sup>KD</sup> and FOXM1<sup>N</sup> H929 and ARP1 cells showed that FOXM1 and CDK6 expression may be coregulated in myeloma. Consistent with that, pharmacological inhibition of FOXM1 using TS caused a coordinated drop of FOXM1 and CDK6 message in CAG and XG1 cells - more steeply in FOXM1<sup>OE</sup> than FOXM1<sup>N</sup> cells (Figure 6c, top). Corresponding changes in FOXM1 protein levels were also seen, but their magnitude was not as high as in case of mRNA (Figure 6c, **bottom**). In sync with the laboratory findings, *FOXM1* and *CDK6* expression were correlated in the TT2 and TT3 patient cohorts (Supplemental Figure 5b) and predictive of survival (Supplemental Figure 5c). Interestingly, prior to adopting the UAMS 70-gene signature for stratifying standard-risk and high-risk myeloma<sup>2</sup>, a 3-gene mini-signature had been developed for the same purpose. It relied on CDK6; one of its regulators, CKS1B, which encodes a member of the highly conserved cyclin kinase subunit 1 family of proteins<sup>45</sup>; and *OPN3* (opsin 3), the role of which in myeloma is obscure (FZ, unpublished result).

#### Co-expression of FOXM1 and NEK2 in myeloma

To identify additional network genes that may collaborate with FOXM1 in promoting myeloma, we interrogated the MMRC dataset available online for genes tightly co-expressed with FOXM1 (Figure 7a). Among the top 10 genes ( $r^2 = 0.838$ ) was NIMA-related kinase 2 or NEK2. Because NEK2 is not only a well-established transcriptional target of FOXM1 in various cell lineages<sup>46, 47</sup> but also a driver of drug resistance in myeloma and other cancers<sup>48–50</sup>, we sought to confirm the co-expression of *NEK2* and *FOXM1* in independent datasets. This was the case in TT2 and TT3 (Supplemental Figure 6a, left) and also in MMRF's CoMMpass<sup>SM</sup> study (Supplemental Figure 6a, **right**), in which *FOXMI*<sup>High</sup> status conferred the same kind of survival disadvantage (Supplemental Figure 6b) seen in the TT2 (Figure 1b) and TT3 (Supplemental Figure 1) cohorts. In the CoMMpass<sup>SM</sup> dataset, NEK2 was among the top 20 differentially expressed genes (p = 0.000331) in a 130-gene list (p <0.05) that distinguished FOXM1<sup>High</sup> from FOXM1<sup>Low</sup> tumors (Supplemental Figure 6c). Gene set enrichment analysis (GSEA) using this gene list as input revealed a FOXM1dependent network of transcription factors, DNA replication and cell division pathways in myeloma (Supplemental Figure 6d). These in silico findings prompted us to determine whether FOXM1 and NEK2 might be co-expressed in the 4 HMCLs used throughout this study. Western blotting showed that FOXM1 and NEK2 proteins shifted coordinately in accordance with FOXM1 status in 4 of 4 cell lines (Figure 7b, top). At the message level, results were less consistent; i.e., although NEK2 mRNA dropped upon knockdown of FOXM1 in H929 and ARP1 cells (Figure 7b, bottom), NEK2 did not rise upon overexpression of *FOXM1* in CAG and XG1 cells (results not shown). Despite the latter finding, FOXM1 and NEK2 expression status was statistically linked with survival in the TT2 cohort (Figure 7c). These results provided evidence that FOXM1 and NEK2 are coregulated in myeloma.

## **Discussion**

The main finding of this study is clinical and experimental evidence for the involvement of FOXM1 in a relatively small (~15%) but aggressive subset of myeloma (chiefly subgroups MF and PR). FOXM1 expands the list of candidate genes uncovered by us<sup>35, 38, 48, 51</sup> and others<sup>52, 53</sup> that seem to render myeloma a high-risk disease by regulating pathways of tumor progression and stemness, acquisition of drug resistance and, ultimately, refractory relapse. A distinctive feature of FOXM1, compared to all other candidate genes identified thus far, is its discovery in a comprehensive gene expression analysis of mature B-cell lymphoma counterparts in humans and mice<sup>14</sup>. Cross-species analyses of this sort, which afford a unique and powerful approach to identifying genetic networks of neoplastic growth conserved over millions of years of evolution, have been successfully utilized in research on solid cancers but largely neglected in myeloma<sup>14</sup>. The results on *FOXM1* reported here demonstrate the utility of this approach for myeloma and suggest that comparative oncogenomics of myeloma-like tumors in genetically engineered mouse models (GEMMs) may not only further our understanding of the natural history of myeloma, but also reveal new targets for treatment and prevention. The ongoing development of new GEMMs<sup>54-56</sup> and continuous refinement of established ones<sup>57–59</sup> are generating great promise along this line.

The results reported here may be summarized in a working model of FOXM1's function in myeloma (Figure 8a), which considers (1) the gene's co-regulation with CDK6 and NEK2, (2) the output of a network analysis that relied on the GeneMANIA online tool to predict genetic interactions of FOXM1 in myeloma cells (Figure 8b) and (3) the ability of a novel 3gene mini-signature, comprised of FOXM1, CDK6 and NEK2, to prognosticate survival of patients with myeloma (Figure 8c). Although the underlying molecular genetics of the interaction depicted in Figure 8a needs to be elucidated in greater depth, the scheme may provide a useful blueprint for designing combination treatments for FOXM1<sup>High</sup> myelomas. This may take advantage of small-molecule inhibitors of (a) FOXM1, such as FDI-6, which binds directly to the transcription factor and displaces it from genomic targets in cancer cells<sup>27</sup>. (b) CDK6, such as palbociclib, which is not highly specific (it also targets CDK4) but has already demonstrated therapeutic activity (in conjunction with bortezomib and dexamethasone) in a phase 1/2 clinical trial of relapsed/refractory MM<sup>60</sup> and (c) NEK2, such as aminopyridine scaffold compounds, which inhibit NEK2's kinase activity<sup>61</sup>, or unrelated inhibitors, which trigger NEK2 degradation indirectly, using a mechanism that involves the disruption of NEK2 binding to kinetochore complex component, NDC80, better known as highly expressed in cancer 1 or HEC1<sup>62</sup>. Despite broad cancer-suppressing activities<sup>63</sup>, the cyclic oligopeptide antibiotic, thiostrepton (TS), which has been used here as a FOXM1-inhibiting compound, is an unlikely candidate for further therapeutic development because its extensive use in veterinary medicine revealed severe toxicity issues.

In conclusion, *FOXM1* appears to be a bone fide high-risk myeloma gene that interacts with *CDK6* and *NEK2* to facilitate myeloma xenografts in mice and promote the growth, clonogenic self-renewal and survival of myeloma cells in vitro. Clinical studies are warranted to further validate FOXM1 as a potential therapeutic target in FOXM1<sup>High</sup> high-risk myeloma.

## **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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### **Abbreviations**

**CDK6** cyclin dependent kinase 6

**EFS** event-free survival **FOXM1** forkhead box M1

**HMCL** human myeloma cell line

**KD** knockdown

MM multiple myeloma

**NEK2** NIMA (never in mitosis gene a)-related kinase 2

OE overexpression
OS overall survival
TS thiostrepton

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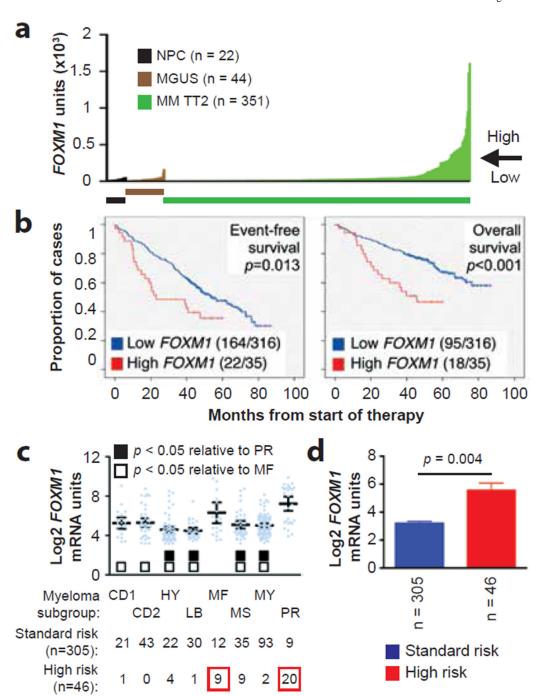
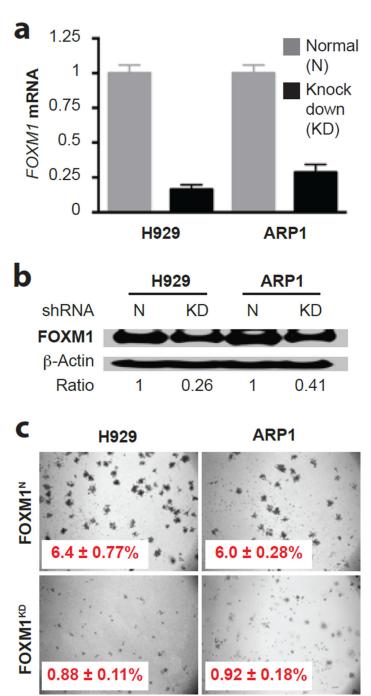


Figure 1. FOXM1 mRNA levels predict poor survival in a subset of patients with newly diagnosed myeloma

(a) Line graph depicting the range of *FOXM1* mRNA levels (gene probe ID 202580) in normal bone marrow (BM) plasma cells (NPC), "premalignant" BM plasma cells from individuals with monoclonal gammopathy of undetermined significance (MGUS) or malignant plasma cells from patients with newly diagnosed multiple myeloma (MM) from the University of Arkansas Total Therapy 2 (TT2) cohort. Specimens exhibiting less and more than 200 units of *FOXM1* message were categorized as FOXM1<sup>Low</sup> and FOXM1<sup>High</sup>, respectively. This is indicated by the horizontal, labeled arrow pointing left.

**(b)** Reduced event-free survival (EFS) and overall survival (OS) in newly diagnosed TT2 patients harboring high *FOXM1* levels. Of 351 myeloma cases, 316 (90%) had low *FOXM1* levels (blue curve) and 35 (10%) had high *FOXM1* levels (red curve). EFS and OS data were available from 186 (53%) and 113 (32%) patients, respectively.

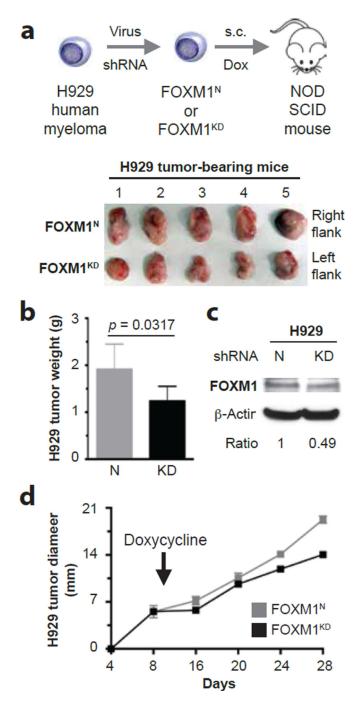
- (c) Mean values of *FOXM1* levels in 8 molecular subgroups of MM: CD1, CCND1/CCND3 group 1; CD2, CCND1/CCND3 group 2; HY, hyperdiploid; LB, low bone disease; MF, MAF/MAFB; MS, MMSET; MY, myeloid; PR, proliferation<sup>2</sup>. *FOXM1* is significantly elevated in MF myelomas as compared to 6 subgroups with low *FOXM1* levels (open squares), and in the PR myelomas as compared to 4 such subgroups (closed squares), as assessed using the Bonferoni *t* test. The number of patients within each molecular subgroup who exhibit the standard-risk or high-risk UAMS-70-gene signature is indicated at the bottom. In total, 46 of 351 patients fell into the high-risk category with at least one case in each of the molecular subgroups except CD2.
- (d) FOXM1 expression in high-risk MM, as defined by the UAMS-70-gene signature (n = 46), is elevated compared to that in low-risk MM (n = 305; Mann-Whitney test).



**Figure 2.** Genetic knockdown of FOXM1 mitigates clonogenicity of myeloma *in vitro* (a) *FOXM1* message levels in H929 and ARP1 myeloma cells, using qRT-PCR as measurement tool. Cells either under-expressed FOXM1 due to lentiviral transduction of a *FOXM1*–targeted shRNA "knockdown" construct (KD) or expressed FOXM1 at normal levels (N) following transduction of a non-targeted or "scrambled" shRNA used as control. Average loss of *FOXM1* mRNA upon gene KD was ~80% and ~70% in H929 and ARP1 cells, respectively.

(b) Western analysis of samples included in panel a. Whole cell lysates were electrophoretically fractionated and immunoblotted using antibodies to FOXM1 and  $\beta$ -actin. Densitometry was employed to determine the FOXM1-to- $\beta$ -actin ratio. Loss of FOXM1 protein in H929 and ARP1 KD cells amounted to 74% and 59%, respectively.

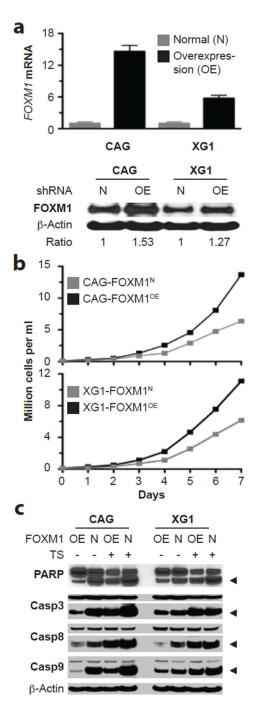
(c) Photographic images of representative soft-agar plates indicating the decreased clonogenic growth of  $FOXM1^{KD}$  cells (bottom) compared to  $FOXM1^{N}$  cells (top).



**Figure 3. Inducible downregulation of FOXM1 inhibits myeloma xenografts in NSG mice** (a) Shown at top is a scheme of the study design. FOXM1<sup>KD</sup> and FOXM1<sup>N</sup> H929 cells, generated using lentiviral shRNA transduction, were xenografted subcutaneously (s.c.) into the left and right flank of NSG hosts, respectively. Ten days later, mice received doxycycline in the drinking water to induce *FOXM1*-targeted shRNA in case of KD cells and scrambled shRNA in case of N cells. On day 28, xenografts were harvested and photographic images were taken (bottom).

(b) Mean weight of  $FOXM1^{KD}$  and  $FOXM1^{N}$  xenografts on day 28 post myeloma cell transfer.

- (c) Western blot comparing FOXM1 protein levels in day-28 FOXM1  $^{\!\! KD}$  and FOXM1  $^{\!\! N}$  xenografts.
- (d) Time course of tumor growth in NSG mice. Doxycycline treatment of mice began 10 days after myeloma cell transfer, as indicated by a vertical, labeled arrow pointing down. Tumor diameters were measured using a caliper, beginning on day 8 after xenografting. Mice were euthanized on day 28. Mean tumor diameters (squares) and standard deviations of the mean (short vertical lines with error bars) are plotted. Regression analysis of growth rates demonstrated that the FOXM1<sup>KD</sup> tumors (y = 0.665x + 0.0295;  $r^2 = 0.948$ ;  $p < 10^{-3}$ ) lagged behind their FOXM1<sup>N</sup> counterparts (y = 0.896x + 0.0280;  $r^2 = 0.973$ ;  $p < 10^{-3}$ ) by ~25%. The area under the curve of the FOXM1<sup>KD</sup> tumors (160) was ~15% smaller than that of the FOXM1<sup>N</sup> (188) tumors.



**Figure 4.** Enforced expression of FOXM1 promotes growth and survival of myeloma cells *in vitro* (a) *FOXM1* message levels measured by qRT-PCR (top) and FOXM1 protein levels determined by Western blotting (bottom) in CAG and XG1 myeloma cells that were either overexpressing FOXM1 constitutively due to lentiviral transduction of a *FOXM1* cDNA gene (OE) or containing normal amounts of FOXM1 (N) due to transduction of an "empty" virus. The average increase in *FOXM1* mRNA in OE cells was ~14-fold and ~6-fold in CAG and XG1 cells, respectively. The corresponding increase in FOXM1 protein was more modest, as indicated by the FOXM1-to-actin ratio below the Western blot.

(b) Line graphs depicting the growth of FOXM1<sup>OE</sup> and FOXM1<sup>N</sup> CAG (top) or XG1 (bottom) cells during one week in cell culture. OE cells grew faster than N cells, using two-way ANOVA for statistical comparison (p < 0.05).

(c) Western blots of whole-cell lysates of FOXM1<sup>OE</sup> and FOXM1<sup>N</sup> CAG (left) and XG1 (right) cells, using as detection tools specific antibodies to poly (ADP-ribose) polymerase (PARP) or three members of the apoptosis-related cysteine peptidase family of caspase proteins. Myeloma cells were either treated (indicated by "+" sign) using the FOXM1 inhibitor thiostrepton (TS) or left untreated (indicated by "-" sign).

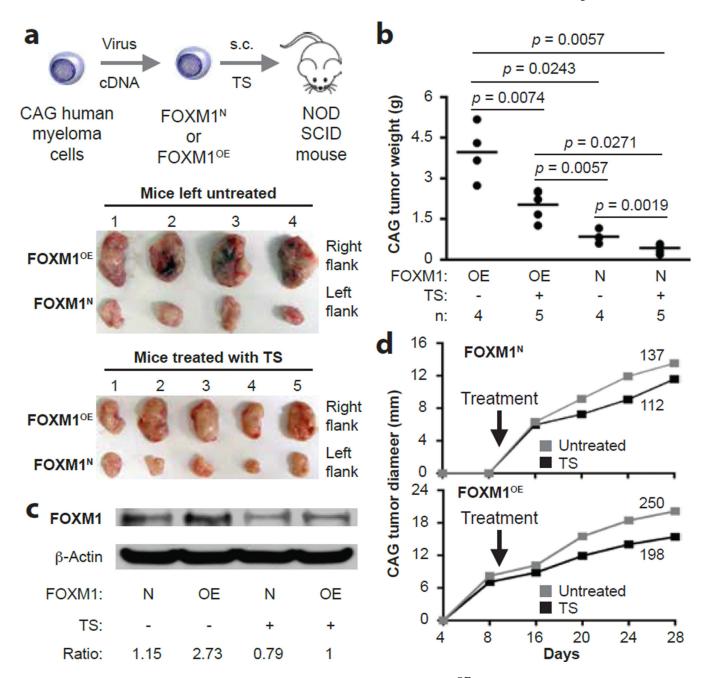


Figure 5. Treatment of NSG mice with thiostrepton inhibits FOXM1  $^{\!\!OE}$  xenografts more effectively than FOXM1  $^{\!\!N}$  xenografts

- (a) Scheme of experimental approach (top) and photographic images of myeloma xenografts harvested upon study termination on day 28 (bottom). FOXM $1^{\rm OE}$  and FOXM $1^{\rm N}$  CAG cells were generated using in vitro lentiviral gene transduction, followed by xenografting s.c. into the right and left flank of NSG hosts, respectively. One half of the study group was treated with TS (30 mg/kg IP twice weekly) beginning on day 10 post cell transfer, while the other half was left untreated.
- **(b)** Mean tumor weights (indicated by horizontal lines) in the 4 experimental groups at end of study on day 28 post cell transfer. Tumor weights in TS-treated mice were smaller than in

untreated mice (p values of Mann-Whitney tests are indicated) in case of both FOXM1<sup>OE</sup> and FOXM1<sup>N</sup> xenografts. The magnitude of TS-dependent tumor reduction was ~8 times higher in OE samples (4.2 g – 2.6 g = 1.6 g) compared to N samples (0.8 g – 0.6 g = 0.2 g). (c) Representative Western blot of FOXM1 protein levels in FOXM1<sup>OE</sup> and FOXM1<sup>N</sup> xenografts collected from TS-treated ("+") or untreated ("-") hosts on day 28 post cell transfer. The ratios of FOXM1 to  $\beta$ -actin are indicated below the blot.

(d) Time course of tumor growth in NSG mice treated with TS or left untreated. Mean values (squares) are plotted. Areas under the curve, a metric of tumor growth that ranged from 112 to 250 in 4 experimental groups, are also indicated.

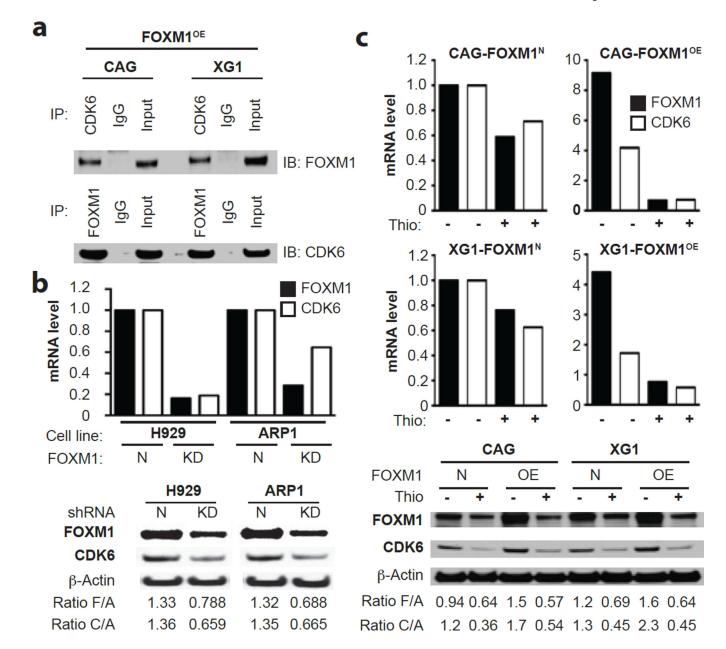


Figure 6. Physical interaction and co-expression of FOXM1 and CDK6 in myeloma cells (a) Co-immunoprecipitation (Co-IP) result indicating physical interaction of FOXM1 and CDK6 in FOXM1-overexpressing (OE) CAG cells (left) and XG1 cells (right). Immunoblots using specific antibodies (Ab's) to FOXM1 (after IP using Ab to CDK6) or CDK6 (after IP using Ab to FOXM1) are shown on top of each other. IgG isotype controls (labeled "IgG") and samples of whole cell lysates not subjected to Co-IP (labeled "Input") were included as controls.

(b) FOXM1 message (black bars) and CDK6 message (white bars) determined by qRT-PCR (top) and corresponding protein levels determined by immunoblotting (bottom) in  $FOXM1^{KD}$  and  $FOXM1^{N}$  H929 (left) and XG1 (right) myeloma cells. The ratios of target proteins to the house keeping protein,  $\beta$ -actin, are indicated below the Western blots. F/A and C/A denote the ratios including FOXM1 and CDK6, respectively.

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as in panel b.

(c) *FOXM1* and *CDK6* mRNA (top) and protein (bottom) levels in FOXM1<sup>OE</sup> and FOXM1<sup>N</sup> CAG or XG1 myeloma cells treated with TS (+) or left untreated (-). F/A and C/A ratios are

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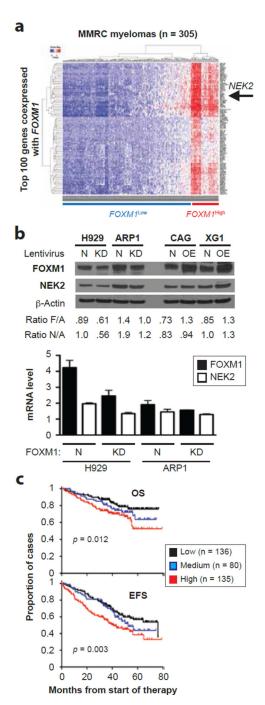
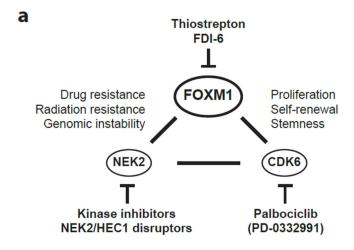


Figure 7. Coordinated expression of FOXM1 and NEK2 in myeloma cells  $% \left( 1\right) =\left( 1\right) \left( 1\right)$ 

- (a) Co-expression of *FOXM1* and *NEK2* in the MMRC dataset (305 patients) publicly available at the Broad Institute's Myeloma Genome Portal. The heat map contains the top 100 genes co-expressed with *FOXM1*. Each row and column represents one specific gene and patient, respectively. The position of *NEK2*, which is among the top 10 co-regulated genes, is indicated by a labeled arrow that points left.
- (b) Shown at top is an immunoblot analysis of the FOXM1 and NEK2 protein levels in paired FOXM1  $^{KD}$ /FOXM1  $^{N}$  samples of H929 and ARP1 myeloma cells (left) or paired

FOXM1<sup>OE</sup>/FOXM1<sup>N</sup> samples of CAG and XG1 myeloma cells (right). The ratios of target to house keeping protein (β-actin) are indicated below the Western blots: F/A for FOXM1 and N/A for NEK2. Presented at bottom is the result of a qRT-PCR analysis of *FOXM1* and *NEK2* expression in FOXM1<sup>KD</sup> and FOXM1<sup>N</sup> H929 (left) and ARP1 (right) cells, demonstrating that genetic down regulation of *FOXM1* leads to a corresponding drop in *NEK2* message.

(c) Expression levels of *FOXM1* and *NEK2* are associated with survival in TT2 myeloma patients. Cases were stratified as high or low expressers when both *FOXM1* and *NEK2* message were above (indicated in red) or below (black) the medium level in the TT2 dataset. All remaining cases (i.e., FOXM1<sup>High</sup>/NEK2<sup>Low</sup> or FOXM1<sup>Low</sup>/NEK2<sup>High</sup>) were designated as medium expressers (blue). Event-free survival (EFS) and overall survival (OS) in all 3 groups was plotted and statistically compared using log-rank analysis.



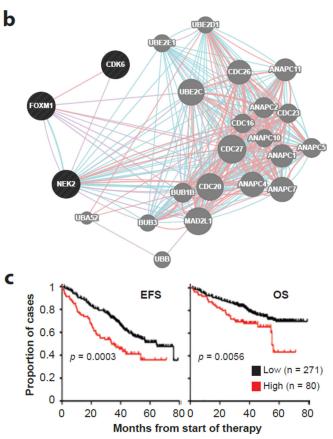


Figure 8. FOXM1 may interact with CDK6 and NEK2 to shorten survival of patients with high-risk myeloma  ${}^{\circ}$ 

(a) Working model on the interaction of FOXM1, CDK6 and NEK2 in myeloma. Although FOXM1 is most firmly established as a proliferation-associated gene, new findings indicating that FOXM1 governs self-renewal and tumorigenicity of cancer stem cell-like cells in glioblastoma<sup>25</sup>, and that the FOXM1 target, CDK6, serves as a key regulator of leukemia stem cell activation<sup>64</sup>, raise the possibility that the interaction of FOXM1 and CDK6 in myeloma is also important for stemness. Additionally, FOXM1 may collaborate

with NEK2 to drive resistance of myeloma cells to cancer therapy, given that NEK2 has been implicated in acquired drug resistance of many cancers<sup>48–50</sup> and specifically shown to activate certain ABC drug transporters in myeloma<sup>48</sup>. Specific inhibitors of all 3 genes have been developed. Palbocicblib has already demonstrated activity in clinical trials on myeloma.

- (b) Genetic interaction network of FOXM1, CDK6 and NEK2 (indicated in black to the left) generated with the help of the GeneMANIA online tool. Blue and pink lines denote pathways and physical interactions, respectively. Network genes are indicated by grey circles to the right that are labeled. The network's apparent enrichment for ubiquination genes (not shown) points to the proteasome, suggesting in turn that the FOXM1-CDK6-NEK2 network core is involved in the response of myeloma cells to proteasome inhibition, a widely used treatment for myeloma.
- (c) Kaplan-Meier plots of event-free survival (EFS, left) and overall survival (OS, right) of patients with myeloma from the TT2 cohort stratified according to high levels (red) or low levels (black) of FOXM1, CDK6 and NEK2 message upon microarray analysis. Myelomas containing higher than median amounts of mRNA of *all three* genes were designated as high (n = 80), whereas myelomas that did not meet this criterion were designated as low (n = 271). The differences in survival were significant using log-rank analysis (p < 0.05).