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Characterization and parameterization of aerosol cloud condensation nuclei activation under different pollution conditions

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To better understand the cloud condensation nuclei (CCN) activation capacity of aerosol particles in different pollution conditions, a long-term field experiment was carried out at a regional GAW (Global Atmosphere Watch) station in the Yangtze River Delta area of China. The homogeneity of aerosol particles was the highest in clean weather, with the highest active fraction of all the weather types. For pollution with the same visibility, the residual aerosol particles in higher relative humidity weather conditions were more externally mixed and heterogeneous, with a lower hygroscopic capacity. The hygroscopic capacity (κ) of organic aerosols can be classified into 0.1 and 0.2 in different weather types. The particles at ~150 nm were easily activated in haze weather conditions. For CCN predictions, the bulk chemical composition method was closer to observations at low supersaturations ($\leq 0.1\%$), whereas when the supersaturation was $\geq 0.2\%$, the size-resolved chemical composition method was more accurate. As for the mixing state of the aerosol particles, in haze, heavy haze, and severe haze weather conditions CCN predictions based on the internal mixing assumption were robust, whereas for other weather conditions, predictions based on the external mixing assumption were more accurate.

Cloud condensation nuclei (CCN) are the aerosol particles that enable the condensation of water vapor and formation of cloud droplets when subjected to a given supersaturation (SS). Variations of CCN can influence the microphysical properties of clouds, cloud lifetime, precipitation, the hydrological cycle, and climate^{1–4}. As CCN are an essential input for the formation of cloud droplets, the relationship between aerosol and CCN has received increasing attention^{5,6}, especially for accurate CCN concentration predictions under polluted conditions including haze and fog.

The ability of CCN to activate cloud droplets at a given supersaturation depends on the particle size, chemical composition, and mixing state. The particle size has been reported to be the most important factor in CCN activation and prediction (most of these reports were obtained in relative clean episodes in Europe and N. America)^{7,8}. However, the chemical composition maybe also important in, for example, marine and polluted environments, where the aerosol chemical composition is quite different to the clean continental environment^{9–11}, particularly at relatively low supersaturations^{12–14}. In CCN predictions, the particles internal mixing assumption generally overestimates the CCN concentration^{15,16}, whereas the external mixing assumption underestimates it^{16,17}. These two mixing assumptions can result in up to ~40% relative error in CCN predictions, thus, the aerosol mixing state definitely needs to be taken into consideration in CCN predictions, especially in places where anthropogenic aerosol emissions are strong and pollution is heavy^{16,18,19}.

The aerosol chemical composition and mixing state can influence the CCN activation ability through changes in the hygroscopic capacity of the particles. The hygroscopic capacity is quantitatively represented by

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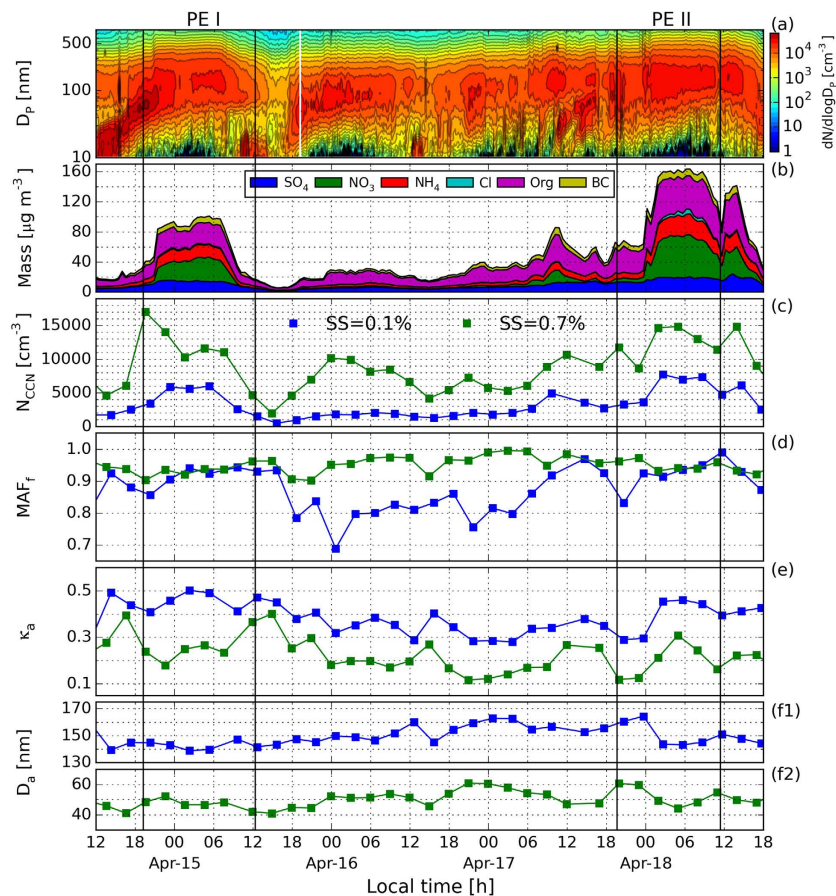


Figure 1. Temporal series of (a) aerosol number size distribution, (b) AMS-determined components mass concentration, (c) CCN number concentration at SS = 0.1% and 0.7%, (d) MAF_f for both SS = 0.1% and 0.7%, (e) κ_a at SS = 0.1% and 0.7%, (f1) critical active diameter at SS = 0.1%, (f2) critical active diameter at SS = 0.2%, from clean to polluted.

hygroscopicity parameter (κ) to link the CCN activity and hygroscopic ability of aerosol particles, and is widely used in CCN predictions^{16,20}. In recent years, numerous studies have parameterized CCN concentration using aerosol-determined κ ^{11,13,21–23}. However, observation and parameterization for aerosol CCN activation in China is still rare.

The YRD region is one of the major haze areas in China²⁴ and has a high economic growth rate and high population density. The aerosol pollution-induced haze and fog episodes have become increasingly worse in recent years^{25,26}. In 2013 (the year of this study), the YRD region suffered the most persistent haze and fog events in half a century²⁷. The aerosol chemical composition shows unique characteristics between the haze- and fog-polluted environments²⁸, and the mixing state may be different to the clean areas; therefore measurements of aerosol CCN activation and CCN concentration predictions are especially needed for the high polluted conditions.

In this paper we present long-term *in situ* aerosol CCN activation measurements, including all four seasons, from January to October 2013, at a regional GAW (Global Atmospheric Watch) station in the YRD. The aerosol chemical concentration and size distribution were also obtained simultaneously during the measurements. The role of aerosol chemical composition, aerosol mixing states, and hygroscopic capacity on activation properties, and their influence under different pollution conditions, especially heavy pollution condition, are investigated, separately. Finally, parameterization of time dependent CCN predictions using different approaches with aerosol size, chemical composition and mixing states under different pollution conditions are also presented and discussed.

Results and Discussion

Characterization of CCN activation under different weather-pollution conditions. *Variation in CCN during two clean-to-polluted episodes.* In Fig. 1a, a new particle formation (NPF) event can be seen around 12:00 on 14 April, with increasing number concentration and increasing size of newly generated particles. (The NPF event during the experiment can also be found in the paper by Shen, *et al.*²⁹). After several hours of the event, the number concentration of aerosol particles (mostly fine particles) reached a peak value ($\sim 19,000 \text{ cm}^{-3}$), and the aged aerosol particles remained at a high level with little change until 12:00 the next day. This was accompanied by a large increase in aerosol chemical components mass concentrations (Fig. 1b), especially for nitrate and organics, followed by ammonium and sulfate. The total aerosol chemical composition mass concentration

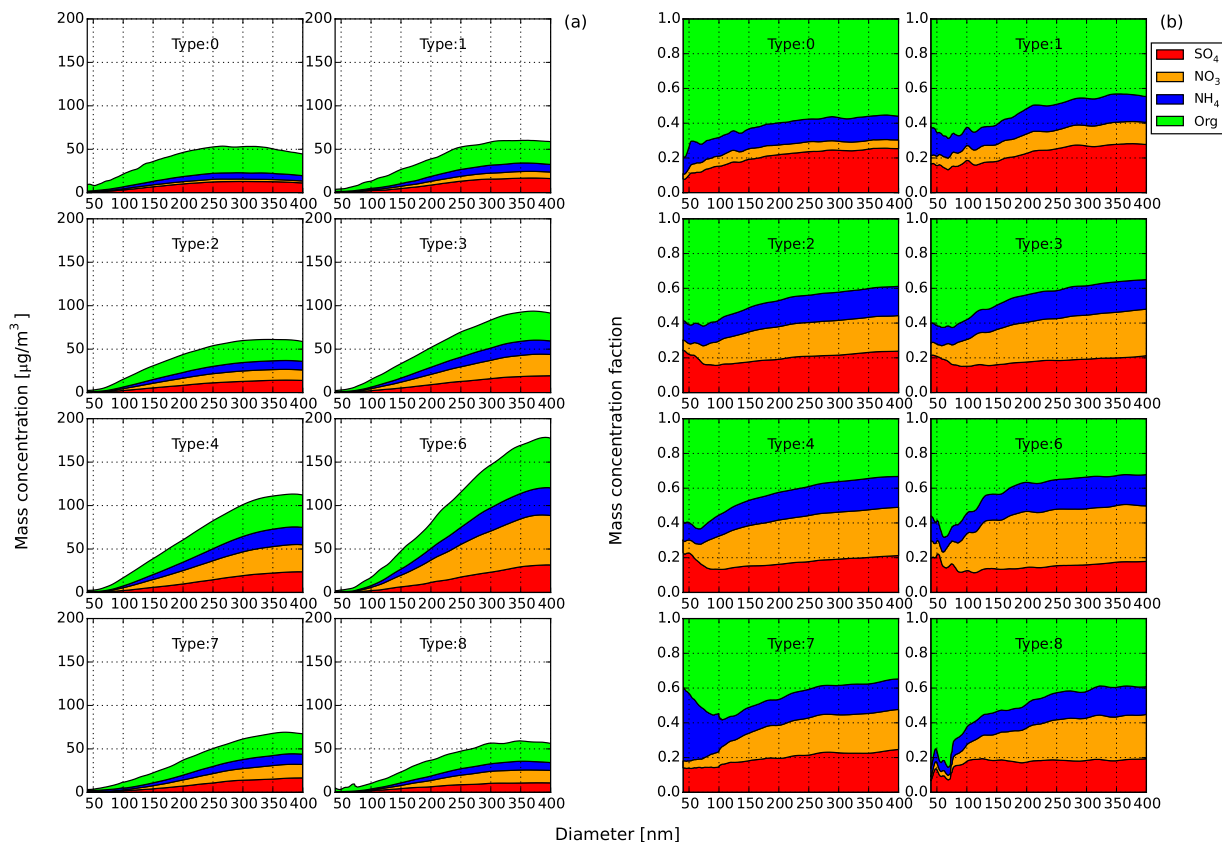


Figure 2. The mean size distribution of non-refractory species (determined by AMS) in terms of (a) mass concentration and (b) mass concentration fraction under different weather types.

measured by the AMS increased by nearly four times in the polluted episode compared with clean conditions. This kind of aerosol cycle event occurred several more times, and an almost identical process, starting from 12:00 on 17 April, can be seen in Fig. 1.

The CCN concentration increased during the aged and polluted episodes I and II (marked as PE I and II in Fig. 1) as showed in Fig. 1c. At the end of NPF event (the particle growth period), the CCN concentration at 0.7% SS reached $17,000 \text{ cm}^{-3}$ at 19:00 on 14 April. However, the CCN concentration at 0.1% SS only increased in the aged period, which indicated that NPF events can only increase the CCN concentration at high supersaturations. The increased maximum active fraction (MAF_b , detailed in CCN activation data fitting section) at low supersaturation (0.1%) (Fig. 1d) during the PE I and II episodes also indicated that a large proportion of CCN active particles existed in polluted weather. However, the MAF_t at higher supersaturation (0.7%) showed no significant change during pollution, indicating that easily activated particles were mainly in the accumulative mode. In Fig. 1e, the effective hygroscopicity of the aerosols, κ_a (detailed in CCN activation data fitting section), was relatively higher during the aged and polluted episodes, and was more obvious at lower supersaturation (0.1%), which could be the result of the increase in the proportion of nitrate and other inorganic components during the polluted episode (Fig. 1b).

The variation in κ_a was opposite to the critical diameter of CCN active particles D_a (detailed in CCN activation data fitting section). During the polluted episode, the D_a at both 0.1 and 0.7% supersaturation shifted to smaller diameters, and the variation in D_a at low supersaturation (0.1%) was more obvious (Fig. 1f1,f2), indicating that particles were more hygroscopic and more easily activated as CCN in the polluted episode, especially larger particles. All these findings suggest that there are large differences in CCN activation abilities in polluted and clean episodes.

Classification of CCN activation measurements. During the measurement period, pollution episodes like PE I and PE II happened frequently. To separate the CCN activation capabilities under different pollution conditions, nine types of weather-pollution phenomena were classified into clean, haze, mist, and fog conditions, corresponding to different extents of aerosol pollution, associating with mean relative humidity (RH) and visibility during each CCN measurement cycle (Table 1). The classification is based generally on the WMO definition of haze, mist, and fog. The corresponding size-resolved aerosol non-refractory species mass concentration and mass concentration fraction measured by the AMS under different weather types can be seen in Fig. 2.

From Table 1, it can be seen that clean weather conditions with low RH and high visibility (type 0) happened most frequently during the CCN measurement period, accounting for $\sim 30\%$ of the total. This was followed by haze and heavy haze weather (types 2 and 4, respectively), accounting for $\sim 23\%$ of the total, and then fog and

| Type | Visibility (km) | Relative humidity (%) | Episode | Number of CCN spectra |
|------|--------------------------|--------------------------|-----------------------------|-----------------------|
| 0 | $\text{VIS} \geq 10$ | ≤ 80 | Clean | 1065 |
| 1 | $5 \leq \text{VIS} < 10$ | $80 < \text{RH} \leq 90$ | Mist | 145 |
| 2 | $5 \leq \text{VIS} < 10$ | $\text{RH} \leq 80$ | Haze | 675 |
| 3 | $1 \leq \text{VIS} < 5$ | $80 < \text{RH} \leq 90$ | Heavy mist | 525 |
| 4 | $1 \leq \text{VIS} < 5$ | $\text{RH} \leq 80$ | Heavy haze | 716 |
| 5 | $\text{VIS} < 1$ | $80 < \text{RH} \leq 90$ | Transition from mist to fog | 0 |
| 6 | $\text{VIS} < 1$ | $\text{RH} \leq 80$ | Severe haze | 52 |
| 7 | $1 \leq \text{VIS} < 5$ | $\text{RH} \geq 90$ | Fog | 189 |
| 8 | $\text{VIS} < 1$ | $\text{RH} \geq 90$ | Heavy fog | 227 |

Table 1. Classification of different weather corresponding to different extents of aerosol pollution.

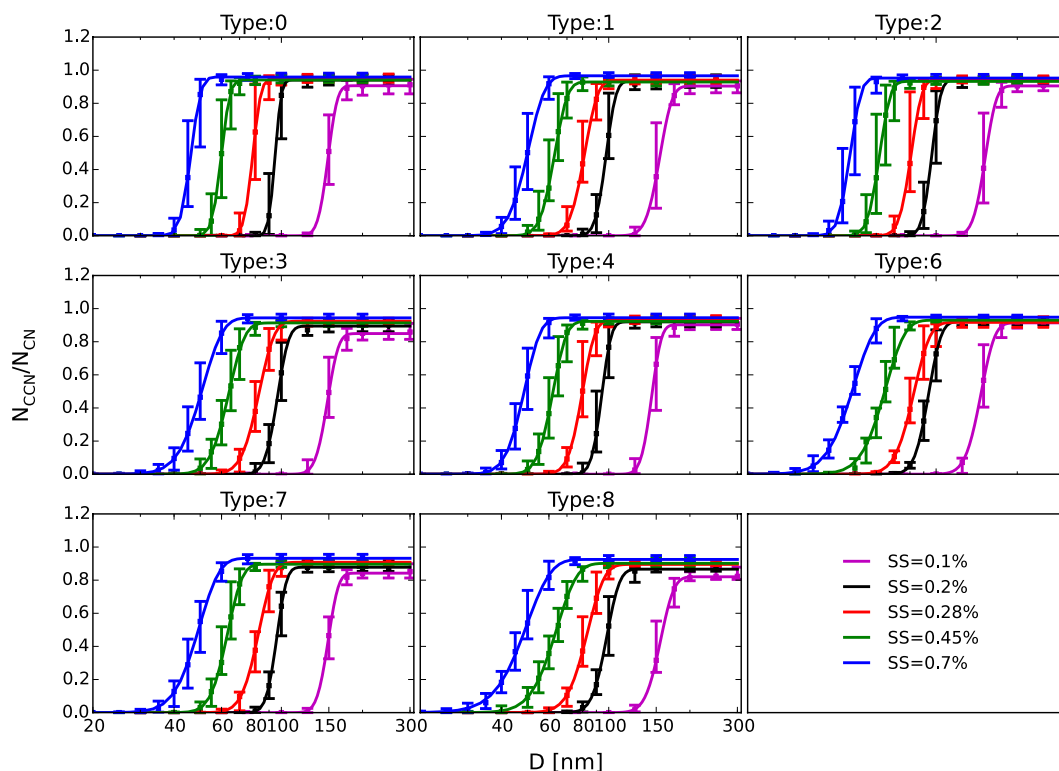


Figure 3. Averaged CCN activation spectra under different weather-pollution conditions. The data points are the median value of all measured spectra during the weather type, the lower and upper bars represent the quartile error, and the lines are derived from three-parameter CDF (Gaussian distribution function) fitting.

heavy fog weather (types 7 and 8, respectively), accounting for $\sim 11\%$. These weather types were the most common during the measurement period. During the continuous observation period, no CCN spectra were measured under type 5 (transition from mist to fog), which may indicate that transition time from mist to fog was relatively short, probably < 30 min of one CCN spectrum scan in this study. In type 7 and 8 weather episodes, the observed aerosol particles were those residual particles, since many CCN active aerosols have been activated into the cloud (fog) droplets which normally have diameters larger than $10 \mu\text{m}$, and therefore could not be collected by the inlet of our system³⁰.

The lowest mass concentrations for each chemical component were all found in type 0 weather conditions (Fig. 2a), with a peak concentration of $\sim 50 \mu\text{g m}^{-3}$ at 300 nm . From clean to severe haze weather episodes, i.e., from type 0 to type 6, the size-resolved aerosol particle mass concentrations and their peak increased progressively (Fig. 2a), accompanied by mode diameters moving to a larger size, and an increase of inorganic aerosol concentration mass fraction (Fig. 3b). The highest total mass concentration value was found during a type 6 episode, with a peak value around $190 \mu\text{g m}^{-3}$, almost four times higher than a type 0 episode. The largest changes in mass concentration (Fig. 2a) and mass concentration proportion (Fig. 2b) were found for NO_3^- , which increased from ~ 3 to $60 \mu\text{g m}^{-3}$ and from 5 to 30% from type 0 to type 6.

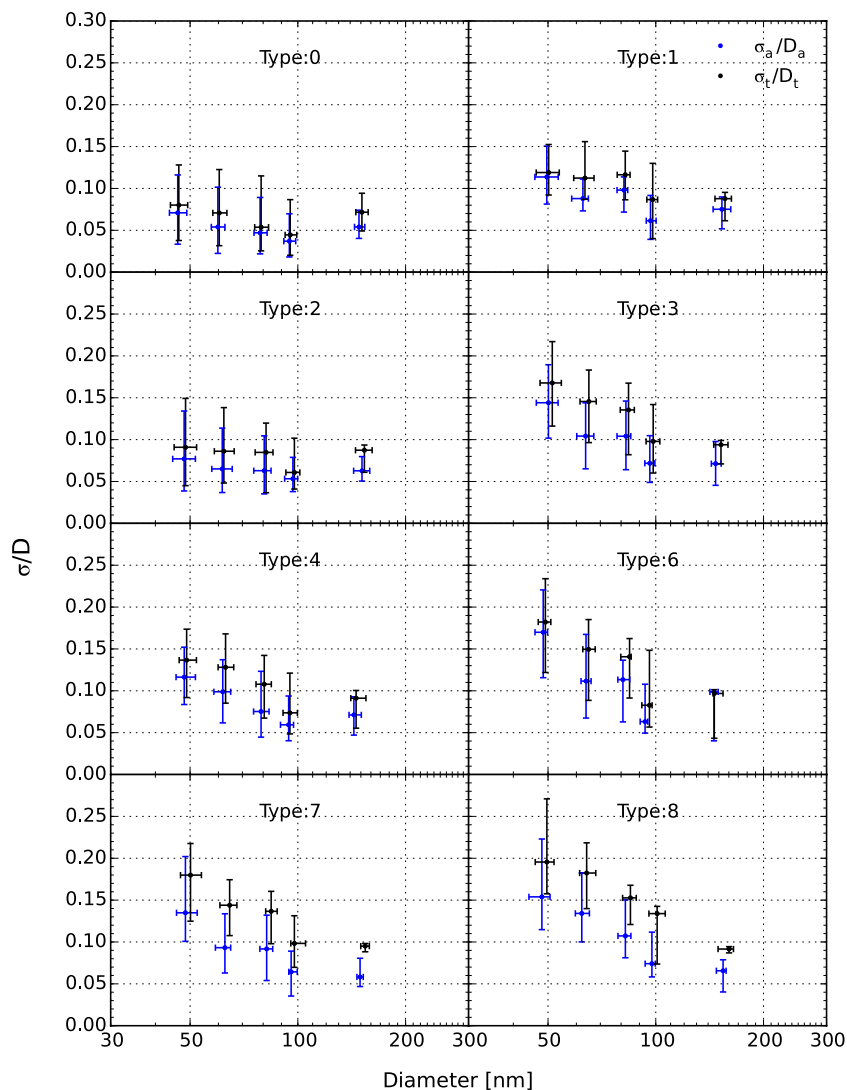


Figure 4. The averaged heterogeneous parameters (σ_a/D_a , σ_t/D_t) derived from CCN activation spectra under different weather-pollution types plotted against the critical diameter (D_a or D_t , respectively). The data points are the median value corresponding to the weather-pollution type and given supersaturation, and the lower and upper bars represent the quartile error.

During the type 7 and 8 conditions (fog and heavy fog episodes, respectively), the size-resolved mass concentration was similar to type 1 conditions (mist) with a larger NO_3^- proportion. This probably indicates that fog episodes in the YRD region, which form in polluted conditions, are quite different from those in clean areas.

CCN activation curves and heterogeneity of chemical components. The averaged CCN activation spectra under different weather conditions are illustrated in Fig. 3. The CCN activation curve in type 0 (clean) weather was close to the ideal shape at all measured supersaturations, with the sharpest slope of the curves and a maximum CCN active fraction (MAF_c) close to 1, indicating that aerosol particles in clean episodes were mostly internally mixed with a homogeneous chemical composition.

A flatter CCN activation curve was the most remarkable characteristic in type 8 and type 6 relative to type 0 conditions, showing increasing heterogeneity in particle chemical compositions and increasing proportion of externally mixed particles¹¹ with worsening pollution. The difference in CCN activation curves under different weather episodes again indicated that haze and fog pollution can impact CCN activation and further impact cloud and climate.

The heterogeneity of the aerosol particle chemical components can be inferred from the variation in σ_a/D_a and σ_t/D_t (the ratio of the fitting standard deviation and its corresponding critical active diameter, detailed in CCN activation data fitting section) as shown in Fig. 4. σ_a/D_a and σ_t/D_t characterize the degree of heterogeneity of CCN active particles at $\sim D_a$ and the overall degree of heterogeneity including CCN active and inactive particles at $\sim D_t$, respectively¹¹. From the type 0 (clean period) to type 6 (polluted condition) episodes, both σ_a/D_a and σ_t/D_t increased with the aggravation of pollution, suggesting both enhancement of aerosol heterogeneity and external

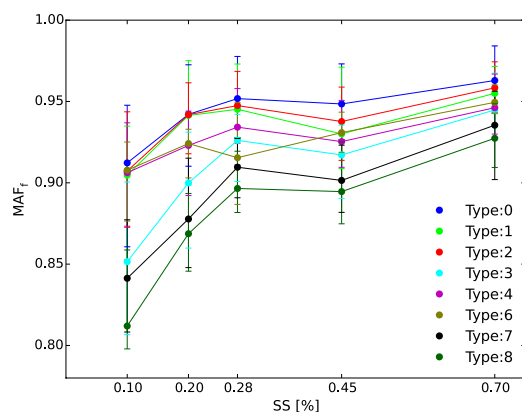


Figure 5. The averaged MAF_f derived from three-parameter CDF of CCN activation spectra at different weather-pollution types plotted against supersaturation. The data points are the median value of all MAF_f corresponding to the type and supersaturation, and the lower and upper bars represent the quartile error.

mixing along with worsening pollution conditions. For pollution with the same visibility level, the particles under higher RH weather conditions were more heterogeneous at the same diameters, i.e., the particles with the same diameters in mist (type 1) or heavy mist (type 3) episodes were more heterogeneous than in haze (type 2) or heavy haze (type 4) episodes, which was probably because the internally mixed particles took up water and grew to droplets or large particles and the rest at investigated diameters were generally externally mixed at high RH conditions.

For 45–100 nm size particles, σ_a/D_a and σ_t/D_t decreased as the aerosol particles grew for all weather types, showing that particles become more internally mixed with homogeneous chemical composition through nucleation and coagulation processes and so on when growing during the Aitken mode. The values of σ_a/D_a and σ_t/D_t at ~ 150 nm were relatively stable, only varying in the range of 0.05–0.10 in all episodes. For mist and heavy mist weather (types 1 and 3), the heterogeneous parameters at ~ 150 nm generally remained the same as that at ~ 100 nm, especially for σ_t/D_t , while for the haze, heavy haze, and severe haze weather (types 2, 4, and 6), both σ_a/D_a and σ_t/D_t increased from ~ 100 to ~ 150 nm. This may indicate that the mixing state of particles in the accumulation mode was less influenced by the weather, whereas in the Aitken mode, the particles were more heterogeneous in high RH weather conditions, which may also because the fine particles at high RH weather were externally mixed.

During the fog episodes (types 7 and 8), all σ_a/D_a and σ_t/D_t values were higher than those during types 0 to 6, indicating that the residual aerosol particles in fog days were more heterogeneous and externally mixed, with low CCN activation abilities.

Maximum active fraction (MAF_f). The comparison of MAF_f at five supersaturations during different weather conditions are presented in Fig. 5. The MAF_f in type 0 (clean) conditions was the highest at all measured supersaturations, as expected. A value around 0.9 in lower supersaturation conditions (0.1%), indicated that about 10% of the aerosol particles in the diameter range ~ 150 – 250 nm were CCN inactive particles, as the $1-MAF_f$ represents the inactive particle fraction¹¹. The MAF_f during type 7 and type 8 (fog and heavy fog) conditions were the lowest and second lowest at all supersaturations, respectively, and the lowest value (~ 0.8) at 0.1% supersaturation was found during heavy fog in this study (Fig. 5), indicating that a larger proportion of hydrophobic ($\sim 20\%$) particles existed at 150–200 nm under high RH.

For different pollution episodes with the same visibility levels, the MAF_f under high RH was lower than under low RH at the five supersaturations, which was the same as the variation in heterogeneous parameters, i.e., the MAF_f during mist (type 1) or heavy mist (type 3) episodes were lower than during haze (type 2) or heavy haze (type 4) episodes at the five supersaturations. This may because all these episodes with high RH were associated with abundant water vapor available to form cloud (fog) droplets, and only aerosol particles with a large proportion of insoluble chemical components and CCN inactive particles remain in these types of weather conditions, which could reduce the MAF_f . This phenomenon was more obvious in fog episodes (types 7 and 8) under low supersaturations.

Hygroscopic ability The change in the hygroscopicity parameter κ with increasing aerosol particle size during different weather conditions is shown in Fig. 6. Both κ_a and κ_t (detailed in CCN activation data fitting section) increased with increasing particle size and the highest values were found at ~ 150 nm during all conditions, reflecting that larger particles were more hygroscopic.

κ_a and κ_t represent the hygroscopic abilities of CCN active particles and all particles (CCN active and inactive), respectively. As illustrated in Fig. 6, κ_a and κ_t were close to each other in the relatively clean episodes (types 0, 1, and 2), indicating a low fraction of CCN inactive particles. The difference between κ_a and κ_t increased with worsening pollution and increasing RH, and finally reached a maximum in type 8 (heavy fog episodes), indicating again that the CCN inactive particles increased at investigated diameters (25–200 nm) under relatively high RH and higher aerosol concentration conditions.

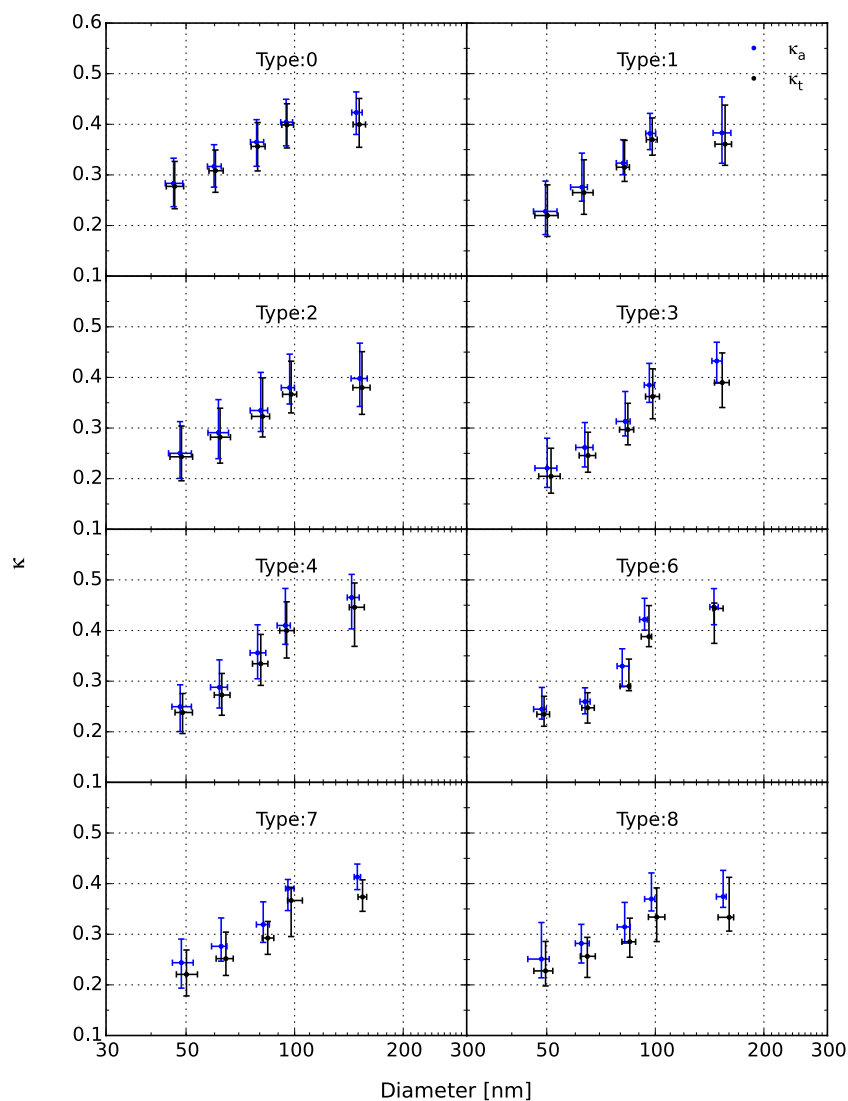


Figure 6. The averaged hygroscopic parameters (κ_a , κ_t) derived from CCN activation spectra under different weather-pollution types plotted against the critical diameter (D_a or D_b , respectively). The data points are the median values corresponding to the weather-pollution type and given supersaturation, and the lower and upper bars represent the quartile error.

For different pollution episodes with the same visibility level, a similar conclusion was found that both κ_a and κ_t were lower under high RH episodes, i.e. κ_a and κ_t under mist (type 1) or heavy mist (type 3) episodes were lower than under haze (type 2) or heavy haze (type 4) episodes at all measured diameters. This phenomenon can also be interpreted as the remaining hydrophobic particles in the atmosphere at high RH weather episodes.

The highest κ_a and κ_t (~ 0.45) were found at diameters of ~ 150 nm in heavy haze episodes (type 4), which was slightly above the value in severe haze episodes (type 6). This can be interpreted in terms of the large increase in the proportion of NO_3^- , the slight increase in NH_4^+ , and the decrease in the organic fraction during types 4 and 6 relative to type 0 (Fig. 2b). It also suggests that the aerosol particles were easily activated in heavy or severe haze episodes. The lowest κ_a and κ_t at ~ 150 nm were found in type 8 (heavy fog) as expected.

Parameterization of aerosol CCN prediction. The activation of CCN depends on the particle diameter, chemical composition, and mixing state³¹. The particle diameter has been proved to play the main role in CCN activation^{8,32}. However, on the basis of long-term measurements in continental rural environments in the YRD region of China, the chemical composition of aerosols was found to have a great influence on CCN activity, especially under low supersaturation conditions. Based on this fact, the CCN predictions in this study used particle diameter as the variable, then estimated the effect of particle chemical composition and mixing state on CCN activation.

Parameterization using experimental average hygroscopicity (AH). This was a simplified calculation method. The aerosol particles were classified into nine categories according to the weather episode types (Table 1), and a constant value for each category (measured averaged κ_t) was used to represent the particle chemical composition

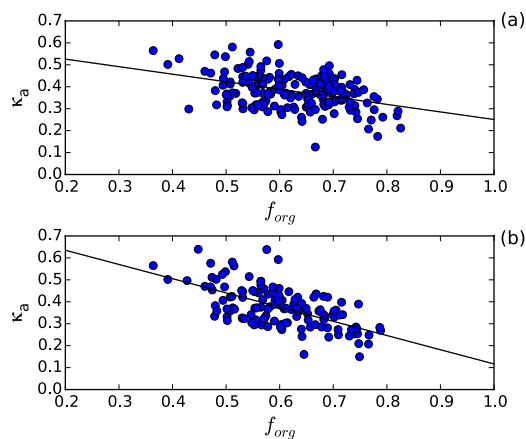


Figure 7. Correlation between the observed effective hygroscopicity parameter of CCN active particles (κ_a) and the organic volume fraction (f_{org}) during weather (a) type 0, 1, 3, 7, and 8 and (b) type 2, 4, and 6.

and mixing state. However, it only represents the regional CCN activation due to the κ_i only represents the aerosol hygroscopicity in YRD.

Parameterization using chemical composition variables. In this parameterization scheme, both AMS-derived aerosol particle chemical composition and particles size distribution were considered as variables and the mixing state of particles were assumed to be either internally or externally mixed. The following four methods were used.

- Bulk chemical composition and internally mixed (BI).
The particle chemical composition was assumed to be size independent and internally mixed in this method, i.e., all particles have identical chemical composition in the entire size range, and the averaged chemical composition was derived from the bulk mass concentration of species measured by AMS and MAAP.
- Bulk chemical composition and externally mixed (BE).
In this method, the particle chemical compositions was assumed to be size independent as in BI; however, the particles were assumed to be externally mixed in the entire size range, i.e., there were four types of particles at each size: $(\text{NH}_4)_2\text{SO}_4$, NH_4NO_3 , organics, and black carbon, and the concentrations of these four types of particle at each size were identical.
- Size-resolved chemical composition and internally mixed (SI).
The aerosol particle chemical compositions were considered to be varied in the entire size range in this method. At each particle size, the particles were assumed to be internally mixed with identical compositions. The compositions were derived from the mass size distribution measured by AMS. It is noteworthy that due to the measured error of size-resolved mass concentration by AMS, in the CCN predictions with size-resolved chemical concentration, the aerosols were divided into two categories: inorganic and organic. In this method, those two categories of particle were internally mixed.
- Size-resolved chemical composition and externally mixed (SE).
The particle chemical compositions were considered to be varied in the entire size range; however, the particles were assumed to be externally mixed in this method. At each particle size, there were two types of aerosol particles: inorganic and organic.

The predicted CCN number concentrations were calculated using the method in CCN prediction section. The κ of aerosol inorganic species were known³³; however, κ for organic particles was uncertain due to the complexity of organic aerosols. To investigate the general hygroscopic ability of organic aerosols in the YRD region, the observed effective κ_a was fitted with the measured organic volume fraction, and the data where mass concentration $< 1 \mu\text{g m}^{-3}$ were excluded to reduce the error¹⁵.

As illustrated in Fig. 7, the correlation between κ_a and the organic volume fraction can divide into two categories. Category 1 (Fig. 7a) represents the correlation under weather types 0, 1, 3, 7, and 8 (clean, mist, heavy mist, fog, and heavy fog episodes), where $\kappa_{org} = 0.2$ and $\kappa_{inorg} = 0.6$. Category 2 (Fig. 7b) represents the correlation under weather types 2, 4, and 6 (haze, heavy haze, and severe haze episodes), where $\kappa_{org} = 0.1$ and $\kappa_{inorg} = 0.7$.

The result shows that the hydrophilic organic chemical component fraction decreased in haze pollution, which is different to a previous study in Hong Kong¹⁹. For the hygroscopic capability of inorganic aerosols, in haze weather episodes, κ_{inorg} was higher than in mist weather, which can be explained by the large increase in highly hygroscopic particles such as nitrate (Fig. 2b). The obtained κ values of both organic and inorganic aerosols under clean and mist and fog episodes were similar to observations in North America and the Amazon region^{13,34,35}.

The fitting result of predicted and measured CCN number concentration is illustrated in Table 2. From Table 2, it can be seen that the external mixing methods were more accurate for the fog and clean episodes (types 7, 8, and 0) at all five supersaturations. This may be because the internally mixed particles with homogeneous composition had already hygroscopically grown into larger particles or were active as cloud droplets under high

| | | Type 0 | Type 1 | Type 2 | Type 3 | Type 4 | Type 6 | Type 7 | Type 8 |
|-------|----|------------|------------|-------------|------------|------------|------------|------------|------------|
| 0.1% | AH | 1.04(0.95) | 1.00(0.97) | 1.02(0.96) | 0.95(0.89) | 0.96(0.95) | 0.98(0.99) | 1.00(0.97) | 0.93(0.95) |
| | BI | 0.97(0.96) | 1.05(0.97) | 0.98(0.97) | 1.03(0.91) | 0.94(0.98) | 0.94(0.99) | 1.05(0.98) | 1.06(0.98) |
| | BE | 0.91(0.95) | 0.99(0.97) | 0.85(0.96) | 0.98(0.91) | 0.83(0.98) | 0.84(0.99) | 0.99(0.98) | 0.99(0.98) |
| | SI | 0.99(0.93) | 1.00(0.96) | 0.97(0.96) | 0.94(0.91) | 0.92(0.98) | 0.92(0.99) | 0.94(0.99) | 0.91(0.99) |
| | SE | 1.00(0.93) | 1.02(0.94) | 0.89(0.96) | 0.96(0.89) | 0.84(0.98) | 0.85(0.99) | 0.98(0.98) | 0.97(0.98) |
| 0.2% | AH | 1.08(0.97) | 1.07(0.98) | 1.05(0.98) | 1.03(0.94) | 1.02(0.96) | 1.00(0.99) | 1.08(0.96) | 1.01(0.97) |
| | BI | 1.03(0.95) | 1.1(0.99) | 1.03(0.99) | 1.08(0.94) | 1.02(0.98) | 1.00(0.99) | 1.09(0.97) | 1.05(0.98) |
| | BE | 0.97(0.95) | 1.04(0.99) | 0.90(0.98) | 1.03(0.94) | 0.91(0.98) | 0.91(0.99) | 1.03(0.97) | 0.99(0.99) |
| | SI | 1.09(0.93) | 1.07(0.99) | 1.01(0.98) | 1.03(0.93) | 0.99(0.99) | 0.97(0.99) | 1.04(0.97) | 0.97(0.99) |
| | SE | 1.01(0.95) | 1.03(0.99) | 0.89(0.98) | 1.00(0.93) | 0.88(0.99) | 0.88(0.99) | 1.02(0.97) | 0.96(0.99) |
| 0.28% | AH | 1.05(0.98) | 1.03(0.98) | 1.03(0.99) | 1.00(0.98) | 1.00(0.98) | 1.00(0.99) | 1.03(0.98) | 1.00(0.98) |
| | BI | 1.04(0.97) | 1.09(0.99) | 1.04(0.99) | 1.10(0.98) | 1.07(0.98) | 1.06(0.99) | 1.11(0.97) | 1.06(0.99) |
| | BE | 0.99(0.99) | 1.04(0.99) | 0.93(0.99) | 1.05(0.98) | 0.96(0.98) | 0.96(0.99) | 1.05(0.98) | 1.01(0.99) |
| | SI | 1.13(0.77) | 1.08(0.99) | 1.02(0.99) | 1.08(0.98) | 1.03(0.99) | 1.03(0.99) | 1.08(0.96) | 1.01(0.99) |
| | SE | 1.01(0.96) | 1.03(0.99) | 0.91(0.98) | 1.03(0.98) | 0.93(0.98) | 0.93(0.99) | 1.04(0.97) | 1.00(0.99) |
| 0.45% | AH | 1.03(0.98) | 1.03(0.97) | 1.03(0.99) | 1.02(0.99) | 1.01(0.99) | 1.02(0.98) | 1.04(0.99) | 1.03(0.99) |
| | BI | 1.07(0.97) | 1.11(0.99) | 1.08(0.98) | 1.11(0.99) | 1.08(0.99) | 1.04(0.99) | 1.10(0.98) | 1.10(0.99) |
| | BE | 1.02(0.97) | 1.08(0.99) | 0.98(0.99) | 1.07(0.99) | 1.00(0.99) | 0.97(0.99) | 1.07(0.99) | 1.06(0.99) |
| | SI | 1.14(0.97) | 1.14(0.98) | 1.08(0.98) | 1.11(0.98) | 1.09(0.99) | 1.04(0.99) | 1.10(0.98) | 1.08(0.99) |
| | SE | 1.06(0.98) | 1.07(0.99) | 0.97(0.98) | 1.06(0.99) | 0.98(0.99) | 0.97(0.99) | 1.06(0.98) | 1.04(0.99) |
| 0.7% | AH | 1.03(0.99) | 1.03(0.99) | 1.03(0.99) | 1.02(0.99) | 1.02(0.99) | 1.02(0.98) | 1.03(0.99) | 1.03(0.99) |
| | BI | 1.09(0.98) | 1.09(0.11) | 1.09(0.97) | 1.1(0.94) | 1.08(0.99) | 1.05(0.99) | 1.10(0.97) | 1.07(0.99) |
| | BE | 1.05(0.98) | 1.06(0.99) | 1.01(0.976) | 1.07(0.99) | 1.02(0.99) | 0.98(0.99) | 1.07(0.98) | 1.05(0.99) |

Table 2. Fitting results of measured and predicted CCN concentrations. The values are the fitting factors and R^2 (in brackets).

RH environments. Simultaneously, heterogeneous reactions may have existed in the fine particle formation and the remaining particles were mostly externally mixed. As for the clean episodes, the low concentration and simple species made the external mixing method better for CCN prediction.

Figure 8 shows the comparison of different prediction methods. From Fig. 8a, it can be seen that in general the predictions obtained using the AMS bulk chemical composition method were more accurate than those obtained using the AMS size-resolved chemical composition method under 0.1% supersaturation, while at higher supersaturation (0.45%), the size-resolved chemical composition method was more robust. According to Table 2, the predictions from the size-resolved chemical composition method were closer to observations than those from the bulk chemical composition method when the supersaturation was $>0.2\%$ and for weather types from 1 to 6 (different levels of mist and haze included). This indicated that for high supersaturation environments (strong convection weather or over the ocean), the size-resolved chemical composition method is needed for CCN predictions. As shown in Fig. 8b, it was more reliable to assume that aerosols were internally mixed when at low supersaturation (0.1%), due to the external mixing method significantly underestimating the CCN concentration. However, when at high supersaturation (0.45%), the external mixing method was more accurate. This may be because large critical active diameters at low supersaturation corresponding to internally mixed particles, while the critical active diameters at high supersaturation were small, corresponding to fine and mostly externally mixed particles. As summarized in Fig. 8a,b, in general, when at 0.1% supersaturation, the BI method should be used in CCN prediction, and when at high supersaturation, the SE method should be used.

As illustrated in Fig. 8c, at 0.2% supersaturation, the results from the AH method were slightly worse than the SE method, while under higher supersaturation, the AH method was more accurate. From Table 2, it can be seen that the AH was best for all weather episodes. This result demonstrated that under high supersaturation, the chemical method was still unreliable due to the critical diameters of particles at high supersaturation being too small. Figure 8d shows that even at low supersaturation (0.1%) and using the bulk chemical composition method, the predictions from the external mixing method (BE) were better than the internal mixing method (BI) for mist weather (types 1 and 3); however, for haze weather (types 2 and 4), even at relatively high supersaturation (0.28%) and using the size-resolved chemical composition method, the predictions from the internal mixing method (SI) were better. From Table 2, it can be seen that when supersaturation was $>0.45\%$, the SE method was finally better than the SI method, indicating again that in haze episodes, due to the large concentration of highly aged aerosols and particles, the aerosol particles can be considered to be internally mixed for CCN predictions when supersaturation is not relatively low. In the mist episodes, the external mixing assumption was better.

In general, the prediction method using bulk chemical composition was accurate enough under low supersaturation ($\leq 0.1\%$), and the aerosol external mixing assumption was better in predictions for mist, heavy mist, and fog weather, whereas the internal mixing assumption was applicable to the other weather types.

When the supersaturation was $\geq 0.2\%$, the method using size-resolved chemical compositions was better. For hazy and heavy haze weather, the internal mixing assumption was better when $SS \leq 0.28\%$, while the external

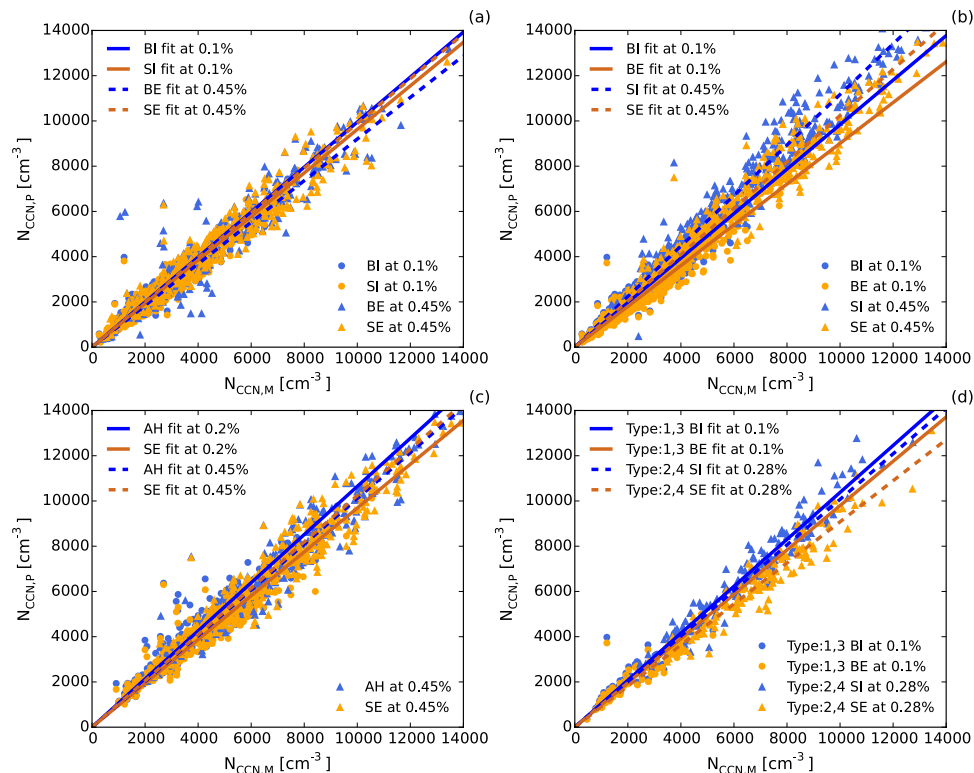


Figure 8. Comparisons of measured and predicted CCN concentrations by (a) BI and SI methods at SS of 0.1% and BE and SE methods at SS of 0.45%, (b) BI and BE methods at SS of 0.1% and SI and SE methods at SS of 0.45%, (c) AH and SE methods at SS of 0.2% and 0.45%, (d) BI and BE methods for weather-pollution types 1 and 3 (mist and heavy mist) at SS of 0.1%, and SI and SE methods for weather types 2 and 4 (haze and heavy haze) at SS of 0.28% respectively. The data were fitted by least squares fitting.

mixing assumption was more accurate when $SS > 0.28\%$. For others weather conditions, the external mixing assumption were always better when $SS \geq 0.2\%$.

Comparison of CCN activation and prediction with other researches. Some major parameters related to CCN activation under similar supersaturations from various sites of the world are listed in Table 3. Generally, chemical compositions are important to the CCN activation. In relatively clean areas, such as N. America, Europe and S. America, the critical active diameter D_c is significantly greater than that in polluted areas, such as Asia, exhibiting a possible higher proportion of organic matters and lower hygroscopic ability of aerosols in the clean areas. The largest κ was found in Jeju Island, Korea, showing the influence of sea salts; and the smallest κ was found in Amazonia area in S. America, reflecting a larger fraction of organic aerosols. Correspondingly, in relatively clean areas (N. America, Europe and S. America), the CCN number concentration is far less than those in relatively polluted areas (such as Asian area) (Table 3). This is mainly because the CCN number concentration is closely linked to the aerosol number concentration. In the relatively polluted areas, there are larger numbers of anthropogenic aerosols, which can be easily activated as CCN and interact with cloud processes. By contrast to LinAn's clean and heavy haze episodes, one can find the κ values were similar, but the activation ratio in heavy haze episode was much higher, showing the effects of aging degree of aerosol on CCN activation ratio. This was also true for sites in Europe, N. America and S. America, where the activation ratio of urban sites with relatively more fresh aerosols was lower than that at rural sites, where the aerosols were relatively aged.

In terms of CCN prediction, most researches in Table 3 have taken aerosol chemical compositions (bulk or size resolved) into consideration; while the mixing state of aerosol particle was often assumed to be internally mixed. In this work, we found that the mixing assumptions (external or internal) and chemical composition information (bulk or size-resolved) should be chosen according to various weather conditions, which can effectively improve the prediction results.

Conclusions

To investigate the impact of pollution on the CCN activation ability of aerosol particles, a long-term experiment were carried out at LinAn regional GAW station in the YRD area of China. The weather (or pollution) conditions were classified into nine types, representing increasing pollution states from clean to haze and fog. From clean to severe haze pollution (types 0 to 6), the inorganic fraction of aerosol increased, in which nitrate increased significantly, and the mode diameters of the size distribution moved to a larger one, exhibiting the aging of particles. During the experiment, the occurrence of many pollution episodes began with an NPF event, and only under high supersaturation the CCN concentration increased significantly during the growth period of new particles.

| | Site location | Air mass type | SS (%) | D_c (nm) | κ | Nccn (cm^{-3}) | Activation ratio | Prediction | |
|---------------|--|-----------------|--------|-----------------|------------------|---------------------------|------------------|----------------------|--------------|
| | | | | | | | | Chemical composition | Mixing state |
| This Work | LinAn-clean, China | rural-clean | 0.45 | 60.1 ± 4.4 | 0.32 ± 0.07 | 4757 ± 2179 | 0.46 ± 0.11 | bulk | external |
| | LinAn-heavy haze, China | rural-pollution | 0.45 | 62.0 ± 4.7 | 0.3 ± 0.07 | 8697 ± 2692 | 0.71 ± 0.07 | bulk | external |
| | LinAn-heavy haze, China | rural-pollution | 0.28 | 79.3 ± 5.7 | 0.34 ± 0.08 | 7183 ± 2424 | 0.62 ± 0.06 | size-resolved | internal |
| Asia | Beijing-aged pollution ⁴³ , China | urban-pollution | 0.46 | 59.3 ± 3.0 | 0.31 ± 0.05 | 8830 ± 1600 | 0.74 ± 0.08 | — | — |
| | PRD ^{11,15} , China | urban | 0.47 | 58.3 ± 5.8 | 0.33 ± 0.08 | 9760 ± 5320 | 0.53 ± 0.19 | size-resolved | internal |
| | Hong Kong ¹⁹ , China | urban | 0.5 | 56 ± 6.0 | 0.31 ± 0.10 | 1815 ± 1285 | 0.57 ± 0.14 | size-resolved | internal |
| | Kanpur-summer ⁴⁴ , India | urban | 0.5 | 64.4 ± 11.6 | 0.24 ± 0.13 | 5074 | 0.71 | no | — |
| | Jeju Island ¹² , Korea | coastal | 0.58 | 44 ± 3 | 0.48 ± 0.1 | 3496 ± 1510 | — | bulk | internal |
| Europe | Vienna ⁴⁵ , Austria | urban | 0.5 | — | — | 820 | 0.13 | — | — |
| | Hyytiälä ⁴⁶ , Finland | rural | 0.4 | 74.82 | 0.21 | — | 0.42 | — | — |
| | Vavihill ^{46,47} , Sweden | rural | 0.5 | — | 0.21 | 1285 | 0.44 | no | — |
| North America | Colorado ³⁴ , USA | rural | 0.36 | 83.9 ± 7.1 | 0.18 ± 0.04 | — | — | — | — |
| | Tucson-winter ⁴⁸ , USA | urban | 0.2 | — | 0.19 | 420 | 0.08 | no | — |
| South America | Amazonia ¹³ , Brazil | remote-clean | 0.46 | 82.8 ± 8.8 | 0.122 ± 0.04 | 141 ± 147 | 0.53 ± 0.13 | bulk | internal |
| | São Paulo ²¹ , Brazil | urban | 0.45 | — | 0.15 ± 0.04 | 2202 ± 1035 | 0.19 ± 0.09 | size-resolved | internal |

Table 3. The comparison of CCN activation and prediction in LinAn with other researches.

The CCN activation curve and its parameters showed different variations for different pollution episodes. In the clean episodes, the aerosol particles were the most internally mixed, had a maximum MAF_i at all measured supersaturations with an ideal-shaped activation curve. For pollution with the same level of visibility associated with relative higher RH, the particles were more heterogeneous and externally mixed with lower hygroscopicity and MAF_i . This may be because in the mist and heavy mist weather, due to the abundant water vapor, the internally mixed particles took up water and coagulated at large diameters or grew to droplets, and the rest at investigated diameters were heterogeneous and externally mixed particles; at the same time, heterogeneous reactions probably generated some heterogeneous particles that may also led to this situation; therefore, particles in relatively higher RH conditions exhibited a poor activation ability. In the fog and heavy fog episodes, the aerosols sampled were generally externally mixed particles, with the lowest degree of homogeneity and MAF_i of all of the weather types.

The hygroscopic capacity of organic and total inorganic aerosols under nine weather (pollution) types could be classified into two categories: in the mist, heavy mist, fog, heavy fog, and clean episodes, the κ of inorganic and organic fractions were ~ 0.6 and ~ 0.2 , respectively; whereas in the haze, heavy haze, and severe haze episodes, the κ of inorganic and organic fractions were ~ 0.7 and ~ 0.1 , respectively. This result was different from a previous study, and was associated with the variation in aerosol particle chemical composition during different weather in China.

For the time dependent CCN predictions with aerosol size distribution and chemical composition information, the prediction method using bulk chemical composition (all particles have identical chemical composition for the entire size range) was found to be accurate enough under low supersaturation ($\leq 0.1\%$), while for supersaturation $\geq 0.2\%$, the size-resolved chemical composition method was more accurate. As to mixing states, the internal mixing assumption was better in predictions for haze and heavy haze weather conditions when $\text{SS} \leq 0.28\%$, while for other weather/SS conditions, the external mixing assumption was better. This result was understandable in fog and heavy weather, while in clean weather, it may have resulted from the low concentration and few species of aerosol particles. The experimental average hygroscopicity method was also robust for CCN prediction, especially at high supersaturations, although limited in the YRD due to the limitation of measured κ .

Methods

Station and experimental setup. The measurements were performed during January to October 2013 at LinAn regional background station, which is a World Meteorological Organization (WMO) Global Atmosphere Watch regional station (30.3°N , 119.73°E , 138 m a.s.l.) located in the center of the Yangtze River Delta, China³⁶. The prevailing winds at LinAn station were northeasterly and southwesterly, accompanied by clean and haze weather.

All instruments were placed in an air-conditioned laboratory with the indoor temperature maintained at 25°C . An aerosol inlet system through a commercially available PM_{10} impactor (PM_{10} inlet, URG Corporation) was fixed on the rooftop (~ 5 m a.g.l.). An automatic regenerating adsorption aerosol dryer (Tuch *et al.* 2009) was used with the inlet system to provide low relative humidity (RH) air to ensure that the dried aerosols passed through a splitter via $3/4''$ stainless steel tubes and reached the different instruments. The total sample flow through the dryer inlet was kept at 16.7 lpm to ensure 50% collection efficiency at $10\ \mu\text{m}$ aerodynamic diameter³⁷. The dried PM_{10} aerosols ($\text{RH} < 30\%$) then reached different instruments for measurements, including an aerodyne aerosol mass spectrometer (AMS) to measure the particle chemical composition, a twin differential mobility particle sizer (TDMPS) to measure the particle number size distributions, and a size-resolved CCN measurement system to obtain the size-resolved CCN activation curves. In addition, a multi-angle absorption photometer

(MAAP, model 5012, Thermo Scientific Inc.) was used in parallel to obtain the equivalent mass concentration of black carbon at 637 nm wavelength³⁸.

The size-resolved CCN measurement system consisted of a differential mobility analyzer (DMA, TSI 3080), a condensation particle counter (CPC, TSI 3772), and a single-column continuous-flow streamwise thermal-gradient CCN counter (DMT CCNC-100). The DMA was operated at a total flow rate of 1.5 L min⁻¹, and the sample flow rates of the CCNC and CPC were 0.5 and 1.0 L min⁻¹, respectively. The sample to sheath flow ratio was set to 10 and 9 for the CCNC and DMA, respectively.

For each CCN measurement cycle, five different supersaturations were set: 0.1, 0.2, 0.28, 0.45, and 0.7%. During each supersaturation measurement, 12 different dry aerosol particle diameters were selected by the DMA, ranging from 20 to 300 nm. For each diameter, the CPC and CCNC simultaneously measured the total number of aerosol particles (condensation nuclei, CN) and CCN under the current supersaturation. The measurements took 160 s at each diameter to avoid uncertainty from diameter change, and took 2 min when the supersaturation changed to stabilize the temperature. At 0.1% supersaturation, a longer stable time (6 min) was set when the supersaturation changed from high to low. The whole cycle took ~3 h.

Calibration. For the supersaturation calibration, the CCNC was calibrated with ammonium sulfate aerosol as described by Rose, *et al.*³⁹. The CCNC flow was calibrated according to the CCNC manual. During the experiment, three supersaturation and four flow calibration experiments were performed. For all of the calibrations, high agreement was achieved, with R² values all higher than 0.98.

The particle number size distributions concurrently measured by the TDMPs were used in the CCN multiply charged correction and the total CCN number concentration calculation. The TDMPs calibration and data processing have been described in a previous paper²⁹. The aerosol chemical composition size-resolved mass concentration was measured by the AMS; the calibration and data correction for the AMS can also be found in a previous paper²⁸.

CCN activation data fitting. The time series of size-resolved CCN data were first coupled with the CN data measured by the CPC. All data were collected after removing the unstable flow data and abnormal values using the Pauta criterion method. Then the measured CCN data were corrected for multiply charged particles⁴⁰ and the DMA transfer function³⁹. After correction, all CCN activation data were fitted with a cumulative Gaussian distribution function (CDF) with three and two parameters as described in a previous paper^{11,39}.

The midpoint activation diameters (i.e., the critical active diameter) D_a and D_t , and the CDF standard deviations σ_a and σ_t were determined from three-parameter and two-parameter CDF, respectively. The maximum active fraction MAF_t was derived from three-parameter CDF. In two-parameter CDF, the MAF_t was set to 1 by assuming that all particles were eventually active. σ_a and σ_t can be regarded as indicators for the extent of external mixing and the heterogeneity of CCN active particles at $\sim D_a$ and all particles (CCN active and inactive) at $\sim D_t$, respectively. The ratio of the CDF standard deviation and its corresponding critical active diameter can be regarded as an indicator of the degree of heterogeneity of the aerosol particles. More specifically, σ_a/D_a represents the heterogeneity of the CCN active particle fraction at $\sim D_a$, and σ_t/D_t represents the overall heterogeneity of both the CCN active and inactive fractions at $\sim D_t$. A detailed description of the CDF and its derived parameters can be found in a previous paper¹¹.

Effective hygroscopicity parameters calculation. The equilibrium supersaturation (SS) of a particle with given diameter (D), κ , and surface tension of the solution (σ_{sol}) were determined by the maximum of Equation 1^{33,39}:

$$SS = \frac{D_{drop}^3 - D^3}{D_{drop}^3 - D^3(1 - \kappa)} \exp\left(\frac{4\sigma_{sol}M_w}{RT\rho_w D_{drop}}\right) \quad (1)$$

where D_{drop} is the droplet diameter, M_w the molecular weight of water, R the gas constant, T the absolute temperature, ρ_w the density of water. Therefore, the effective hygroscopicity parameters κ_a and κ_t were obtained by using the observed critical diameters (D_a and D_t) and corresponding supersaturation from Equation 1. κ_a and κ_t represent the average hygroscopicity of CCN active particles at $\sim D_a$ and all particles (CCN active and inactive) at $\sim D_t$, respectively.

CCN prediction. In the CCN prediction, κ values derived from the different methods were used to calculate the critical active diameter (D_c) using Equation 1. Then the CCN concentration was obtained by integrating the measured aerosol size distribution above the calculated D_c . For a particle comprising multiple components, κ was determined using the simple mixing rule³³:

$$\kappa = \sum_i \chi_i \kappa_i \quad (2)$$

The subscript i denotes species, χ_i and κ_i are the volume fraction and corresponding hygroscopic capability of the species, respectively. In the predictions, the values $\kappa((NH_4)_2SO_4) = 0.61$, $\kappa(NH_4NO_3) = 0.67$, $\kappa(\text{black carbon}) = 0$ were used²⁰. The AMS size-resolved data were taken as a 3 h average to improve the signal-to-noise ratio, and the volume of measured species was calculated according to their density^{41,42}.

After the CCN concentration calculations, all CCN prediction data were fitted by orthogonal distance regression and weighted by inverse measurement uncertainties, for the both measured CCN number concentration ($N_{ccn,m}$) and the predicted number concentration ($N_{ccn,p}$). A 5% relative error was associated with the fitting. Note that at 0.7% supersaturation, the critical diameters were mainly < 50 nm, and the AMS size-resolved mass

concentration was unreliable in that size range. Therefore, the CCN prediction methods using size-resolved AMS data were only used when the supersaturation was <0.7%.

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Author Contributions

X.Y.Z., Y.Q.W. and J.Y.S. designed research; H.C.C., L.Z., X.J.S., Y.M.Z., Y.W.Z. and Q.L.M. carried out the field observation; H.C.C., L.Z. and T.T.W. calibrated the CCNC; H.C.C. performed CCN analysis, X.J.S. provided TDMPMS data; Y.M.Z. and Y.W.Z. provided AMS data; H.C.C. wrote the manuscript; X.Y.Z. revised the manuscript. All authors read and approved the final version.

Additional Information

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