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# Stress-induced suppression of hippocampal neurogenesis in adult male rats is altered by prenatal ethanol exposure

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#### Abstract

In adulthood, both alcohol (ethanol) and stress are known to suppress hippocampal neurogenesis in male rats. Similarly, most studies report that prenatal alcohol exposure (PAE) reduces cell proliferation and/or cell survival in the hippocampus of adult males. Furthermore, PAE is known to have marked effects on behavioral and hypothalamic–pituitary–adrenal (HPA) responsiveness to stressors. However, no studies have examined the modulation of adult hippocampal neurogenesis by stress in PAE animals. We hypothesized that, in accordance with previous data, PAE would suppress basal levels of adult hippocampal neurogenesis, and further that stress acting on a sensitized HPA axis would have greater adverse effects on adult hippocampal neurogenesis in PAE than in control rats.

Adult male offspring from PAE, pair-fed (PF) control, and *ad libitum*-fed control (C) groups were subjected to restraint stress (9 days, 1 h/day) or left undisturbed. Rats were then injected with bromodeoxyuridine (BrdU) on day 10, perfused 24 h (proliferation) or 3 weeks (survival) later, and brains processed for BrdU immunohistochemistry. We found that (1) under non-stressed conditions, PAE rats had a small but statistically significant suppressive effect on levels of hippocampal neurogenesis and (2) unexpectedly, repeated restraint stress significantly reduced neurogenesis in C and PF, but not PAE rats. We speculate that the failure of PAE males to mount an appropriate (i.e. suppressive) neurogenic response to stressors, implies reduced plasticity and adaptability or resilience, which could impact negatively on hippocampal structure and function.

#### Keywords

Neurogenesis; alcohol; prenatal ethanol; corticosterone; hypothalamic-pituitary-adrenal axis; stress

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#### Introduction

Events early in life can dramatically affect developing systems, permanently changing their structure and function in a process referred to as fetal or early programing (Welberg and Seckl 2001). Such reorganization of key systems by early environmental events may link early life experiences to long-term health consequences (Matthews et al. 2002). Prenatal exposure to alcohol (ethanol) is an early life insult that can result in a wide range of adverse outcomes, collectively described under the umbrella term fetal alcohol spectrum disorder (FASD) (Sokol et al. 2003), with fetal alcohol syndrome at the most severe end of the spectrum (Jones and Smith 1973; Jones et al. 1973). Changes resulting from prenatal exposure to alcohol can include central nervous system dysfunction, growth deficiencies, behavioral, and cognitive alterations such as hyperactivity and hyperresponsivity to stressors, dysregulation of many physiological functions, and deficits in learning, response inhibition, and appropriate use of environmental cues (Riley and McGee 2005). Of particular relevance to the present study is the finding that the hypothalamic-pituitary-adrenal (HPA) axis, a key system that mediates physiological responses to stressors, is markedly altered following prenatal alcohol exposure (PAE). Children with FASD often show increased basal and/or stress levels of cortisol (Ramsay et al. 1996; Jacobson et al. 1999; Haley et al. 2006). Similarly, in animal models of FASD, long-term changes in central HPA regulation and increased stress responsiveness have been observed (Taylor et al. 1984; Nelson et al. 1986; Lee et al. 2000; Glavas et al. 2007; Weinberg et al. 2008), indicating fetal programing of the HPA axis.

The hippocampus is a primary target for corticosteroids (McEwen et al. 1968; De Kloet et al. 1998), containing a high concentration of glucocorticoid receptors and mediating negative feedback by glucocorticoids on HPA activity. In humans and in animal models, excessive levels of corticosteroids are associated with reduced hippocampus volume and impaired hippocampus-dependent performance (Lupien and McEwen 1997; Lupien et al. 1998; Starkman et al. 2001; McEwen 2004). Importantly, the hippocampus is also susceptible to the teratogenic effects of PAE. For example, the left hippocampus may be smaller in children with FASD compared to control children (Riikonen et al. 1999; Willoughby et al. 2008), and these children also show poorer spatial performance (Willoughby et al. 2008). Similarly, in animal models, PAE typically results in learning impairments in hippocampus-dependent tasks (Berman and Hannigan 2000), as well as impaired long-term potentiation, a cellular model for learning and memory (Sutherland et al. 1997; Richardson et al. 2002; Savage et al. 2002; Christie et al. 2005). Together, these findings demonstrate abnormal hippocampal development and function following PAE, which may underlie or exacerbate HPA dysregulation.

New neurons are continually produced and integrated into the dentate gyrus throughout life (Cameron and McKay 2001). Studies suggest that hippocampal neurogenesis plays a role in learning, memory (Gould et al. 1999; Shors et al. 2001), and depression (Duman et al. 2000; Malberg et al. 2000), as well as in the ability of the brain to process, adapt, and respond to stimuli (Duman et al. 2000). Exposure to acute or chronic stress in prenatal life (Lemaire et al. 2000, 2006; Mandyam et al. 2008) or in adulthood (Holmes and Galea 2002; Mirescu et al. 2004; Mirescu and Gould 2006) suppresses both cell proliferation and cell survival in the

hippocampus of adult male rats. Similarly, PAE can reduce levels of hippocampal neurogenesis, but interestingly, these deficits were attenuated with exercise (Redila et al. 2006). Early postnatal ethanol exposure (postnatal 4–9 d, during the brain growth spurt) similarly reduced the number of neurons in the dentate gyrus of young (50 d) and mature (80 d) male rats (Klintsova et al. 2007). Furthermore, while a study on mice found no significant differences between PAE and control males in basal levels of adult hippocampal neurogenesis, PAE eliminated the enhancement in neurogenesis seen in controls after exposure to an enriched environment (Choi et al. 2005). Collectively, these findings indicate that PAE alters neurogenesis and/or the neurogenic response to a treatment. However, no studies have examined the modulation of adult hippocampal neurogenesis by stress in PAE animals. This issue is important in view of the altered hippocampal volume, impaired cognition, increased responsiveness to stressors, and higher rates of neuropsychiatric disorders, including depression and anxiety, shown in both human (Famy et al. 1998; Barr et al. 2006; O'Connor and Paley 2006) and animal (Hellemans et al. 2009) studies of FASD.

The present study investigated the effects of prenatal ethanol exposure and repeated stress in adulthood on both hippocampal cell proliferation and cell survival in adult male rats. We hypothesized that, consistent with previous studies from our laboratory and others, prenatal ethanol exposure would suppress basal levels of hippocampal neurogenesis in our model. Moreover, in view of the data suggesting that both stress and PAE can suppress neurogenesis, and that PAE sensitizes the HPA response to later life stressors, we hypothesized that there would be an additive effect of PAE and stress, i.e. the expected stress-induced suppression of neurogenesis would be significantly greater in PAE than in control rats.

#### Materials and methods

#### Breeding of animals

Adult virgin female (250–275 g; n = 36) and male (275–300 g; n = 18) Sprague–Dawley rats were obtained from Charles River Laboratories (St Constant, PQ, Canada). Rats were group housed by sex and maintained on a 12:12 h light/dark cycle (lights on 06:00 h). The ambient temperature in the colony room was controlled at 21–22°C. Rats were given *ad libitum* access to water and standard rat chow (Jamieson's Pet Food Distributors Ltd, Delta, BC, Canada). One to two weeks following arrival, each female was paired with a male in a stainless steel suspended cage ( $25 \times 18 \times 18$  cm), with a wire mesh front and floor. Wax paper was placed under the cages and checked daily for the presence of vaginal plugs indicating day 1 of gestation (GD1). Once a vaginal plug was found, the female was assigned to one of the three experimental groups (described below) and housed alone in a clear polycarbonate cage lined with bedding. All animal use and care procedures were in accordance with the National Institutes of Health Guidelines for the Care and Use of Laboratory Animals (Council 1996), and were approved by the University of British Columbia Animal Care Committee. At the beginning of breeding, rats were weighed and each pair-fed (PF) control dam was yoked to a weight-matched ethanol-treated dam.

#### **Prenatal treatments**

Rats were housed in polycarbonate cages  $(24 \times 16 \times 46 \text{ cm})$  with pine shavings as bedding. On the first day of pregnancy (GD1), females were randomly assigned to one of the three prenatal treatment groups: (1) Ethanol (PAE), fed a liquid ethanol diet *ad libitum* (n = 9dams); (2) PF, fed an isocaloric liquid control diet, in the same amount consumed by a PAE partner (g/kg/body weight per day of gestation), to control for nutritional effects of the reduced food intake that occurs with ethanol consumption (n = 8 dams); and (3) Control (C) group, fed lab chow and water, *ad libitum* (n = 10 dams). All dams had *ad libitum* access to water throughout gestation and lactation.

For the ethanol-containing diets, we used 95% ethanol (Commercial Alcohol Inc., Chatham, Ont., Canada). The liquid diets were formulated in our laboratory to provide an optimal nutrition, with 36% of the total calories derived from ethanol. Maltose-dextrin was isocalorically substituted for ethanol in the liquid control diet (PF group). Diets were supplied by Dyets Inc., Bethlehem, PA, USA. Fresh diets were presented daily at 16:00-17:00 h. This feeding schedule permits maintenance of relatively normal glucocorticoid rhythms in the PF dams, as the glucocorticoid rhythm in animals receiving a reduced ration, such as PF animals, re-entrains to the time of feeding (Krieger 1974; Gallo and Weinberg 1981). Every day, diet bottles from the previous day were removed and weighed to determine the amount of diet consumed. Experimental diets were provided to dams until GD 21, at which time they were replaced with standard laboratory chow ad libitum. We have previously shown that pregnancy outcome and lactation are more successful if the alcohol diet is removed prior to parturition (Weinberg 1989). Pregnant females remained undisturbed except for routine cage changing and weighing on GDs 1, 7, 14, and 21. On postnatal day 1 (PND1), pups were weighed and litters randomly culled to 10 (5 females and 5 males when possible), to control for any confounding effects of litter size or sex ratio. If necessary, pups were cross-fostered from a litter in the same prenatal treatment group on the same day to maintain litter size or sex ratio. Dams and pups were weighed on PND 1, 7, 14, and 21; pups were weaned on PND22, after which the offspring were pair-housed by litter and sex, with ad libitum access to standard rat chow and water. We utilized adult (90-120 days old) male PAE, PF, and C offspring in this study, as all data available to date, examining PAE and neurogenesis, come from males and they show a consistent decrease in hippocampal neurogenesis in response to stress. To control for litter effects, only 1 or 2 pups from any one litter were assigned to each adult treatment condition.

#### **Blood ethanol concentration measurements**

To determine the maximal (or near maximal) blood ethanol concentration (BEC) achieved by ethanol-treated dams, blood samples were taken from the tail on GD15, 2 h after the presentation of the ethanol diet, to coincide with the end of a major eating bout. Tails were briefly warmed in a water bath, dried, and 1–2 mm of the tip sliced off with a razor. The tail was gently stroked, and 300–400  $\mu$ l blood was collected into a 1.5 ml Eppendorf tube. Blood samples were allowed to coagulate for 2 h at room temperature and then centrifuged at 1880*g* for 20 min at 4°C to separate serum. Serum was collected and stored at –20°C until the time of assay. BECs were measured using a Alcohol Reagent Set, Pointe Scientific Inc. (Lincoln Park, MI, USA; minimum detectable concentration of ethanol of 2 mg/dl).

## Restraint stress, bromodeoxyuridine injections, perfusion-fixation of brains, and blood collection

The experimental groups and time line of the procedures are presented in Figure 1. The effects of repeated restraint stress on the proliferation and survival of new cells were examined following 9 days of restraint stress. Rats in the stressed condition were confined in polyvinyl chloride restraint tubes for 1 h/day for nine consecutive days (12:00-13:00 h), during which time control rats were left undisturbed (non-stressed) in their home cages. Restraint tubes were  $5.5 \times 20$  cm (inner diameter  $\times$  length) for rats weighing less than 380 g and  $7.5 \times 20$  cm (inner diameter  $\times$  length) for rats weighing more than 380 g at testing. The front cap of the tube had four ventilation holes 1 cm apart and the end cap had a 1.5 cm diameter opening for the tail. Restraint is primarily a psychological stressor, and causes no pain or injury (Briski and Gillen 2001).

Twenty-four hours following the last restraint stress, all rats were injected i.p. with 200 mg/kg bromodeoxyuridine (BrdU; a DNA synthesis marker) and singly housed. This dose of BrdU is nontoxic and widely used in the field (Cameron and McKay 2001; Redila et al. 2006). BrdU is a thymidine analog that is incorporated into the DNA of dividing cells during the synthetic phase of the cell cycle. BrdU can be used to accurately assess cell proliferation if the animals are given one injection and perfused within 24 h, as one mitotic division occurs in approximately 24 h (Cameron and McKay 2001). BrdU can also be used to assess cell survival by perfusing animals weeks after injection to track survival of BrdUimmunoreactive (BrdU-IR) cells. Three weeks after BrdU injection, the majority of BrdU-IR cells will begin to express mature neuronal protein (NeuN) (Taupin 2007; Galea et al. 2008). Accordingly, rats were perfused either 24 h (proliferation group) or 3 weeks (survival group) after BrdU injection. Rats were anesthetized with a lethal dose of chloral hydrate (35% wt/ vol; 1 ml per 100 g body weight; i.p. injection), the chest cavity was opened, blood was collected from the heart into EDTA-containing tubes for measurement of corticosterone (CORT) concentration, and rats were perfused transcardially with 0.9% saline for 10 min, followed by 4% formaldehyde in phosphate buffer (PFA) for 20 min, using perfusion pumps (Welch Pumps, VWR, Mississauga, Ont., Canada). Brains were removed and stored in PFA for 4 h after perfusion and then saturated in 20% sucrose in PFA at 4°C and kept in this buffer until sectioning. Coronal sections (40 µm) were obtained throughout the entire extent of the hippocampus using a microtome cryostat (HM 505E; MICROM International GmbH, Walldorf, Germany).

#### BrdU immunohistochemistry

Tissue was processed to reveal BrdU labeling by applying solutions to a series of every 10th section from the anterior–posterior extent of the hippocampus of each rat (10–14 sections per rat; 5–6 rats per group). All incubations were performed at room temperature unless stated otherwise. Brain sections were rinsed overnight at 4°C in TBS (0.1 M tris-PFA in 0.9% saline; pH 7.4). The next day, sections were rinsed in TBS (3×10 min), incubated in 0.6% H<sub>2</sub>O<sub>2</sub> for 30 min and then rinsed again in TBS. Tissue was then incubated in 2 N HCl for 30 min at 37°C, incubated in 0.1 M borate buffer for 10 min, rinsed in TBS (3×10 min) and blocked with 3% normal horse serum (NHS) in 0.3% Triton X in TBS (TBS+) for 30 min. Tissue was then incubated overnight in mouse monoclonal antibody against BrdU

(Roche Diagnostic Corp., Indianopolis, IN, USA) at a dilution of 1:200 in 3% NHS/TBS+ at 4°C. The following day, tissue was rinsed in TBS and incubated in mouse secondary antibody IgG (Vector Laboratories, Burlingame, CA, USA) at a dilution of 1:100 in 3% NHS/TBS+ for 4 h. Following another rinse in TBS, tissue was incubated in an avidin– biotin conjugate (Elite Kit, 1:50; Vector Laboratories) for 2 h and rinsed in TBS. Sections were reacted for 5 min in 0.02% diaminobenzidine (Sigma-Aldrich, St Louis, MO, USA) with H<sub>2</sub>O<sub>2</sub>. Finally, sections were rinsed in TBS and mounted on slides, counterstained with Cresyl Violet acetate (ACROS ORGANICS, Fair Lawn, NJ, USA), dehydrated in graded ethanol, cleared with CitriSolv and cover-slipped with Per-mount (Fisher Scientific, Fair Lawn, NJ, USA).

#### Fluorescent double-staining immunohistochemistry

Eight sections per rat (n = 4-5 per group) from a series of hippocampus slices, adjacent to those used for quantifying cell survival, were simultaneously processed for BrdU (DNA synthesis marker) and NeuN (neuronal marker) immunohistochemistry to assess the phenotype of BrdU-IR cells. Unless otherwise stated, all sections were rinsed several times with TBS between steps. Sections were blocked in 3% normal donkey serum (NDS) in TBS + (Chemicon, Temecula, CA, USA) for 30 min followed by incubation with mouse anti-NeuN (Chemicon) at a dilution of 1:100 in 3% NDS/TBS+ for 48 h at 4°C. The next day, tissue was blocked in 3% NDS/TBS+ for 30 min, followed by incubation in donkey antimouse Alexa 488-conjugated antibody at a dilution of 1:200 (Invitrogen, Burlington, Ont., Canada) in 3% NDS/TBS+ for 4 h. Sections were then rinsed in TBS, fixed in 4% formaldehyde for 10 min, rinsed in 0.9% saline (3×10 min), incubated in 2 N HCl at 37°C for 30 min, and rinsed again in 0.9% saline. Next, tissue was blocked in 3% NDS/TBS+ for 30 min and incubated in rat anti-BrdU antibody (Oxford Biotechnology Ltd, Kidlington, Oxfordshire, UK) at a dilution of 1:250 in 3% NDS/TBS+ for 48 h at 4°C. The following day, tissue was blocked again in 3% NDS/TBS+ for 30 min and incubated overnight in donkey anti-rat Cy3-conjugated antibody (Jackson Immunoresearch, West Grove, PA, USA) at a dilution of 1:200 in 3% NDS/TBS+. Sections were mounted on microscope slides and coverslipped with 2.5% PVA-DABCO (Sigma, Oakville, Ont., Canada) and stored in the dark at 4°C.

#### Quantification of data

Slides were labeled with codes prior to analysis so that at the time of manual counting, the experimenters were blind to treatment condition. All BrdU-IR cells in the granule cell layer (GCL, including the subgranular zone (SGZ), defined as a 50 µm band between the inner edge of the GCL and the hilus) and hilus of the dentate gyrus were counted manually in every stained section throughout the rostral–caudal extent of the hippocampus. Thus, the counting frame was the entire GCL and SGZ. BrdU-IR cells were counted in the hilus and compared to the counts in the granule cell region for a number of reasons: (1) to determine whether any effects of treatment on cell counts in the GCL are due to generalized effects on blood–brain barrier permeability; (2) progeny from progenitor cells in the hilus give rise to a different population of cells that are mainly glial cells, compared to those from progenitor cells in the SGZ, which give rise to cells that are mainly neurons (Cameron et al. 1993), and (3) new neurons in the hilus are considered ectopic (McCloskey et al. 2006; Scharfman and

Hen 2007). We considered as BrdU-IR, those cells in which there was visible 3,3'diaminobenzidine brown product, either completely filling the nucleus or in a punctate pattern within the nucleus. To estimate total BrdU-IR cell numbers, BrdU-IR cells were counted in every 10th section in both hemispheres under a 100× objective using a E600 microscope (Nikon), and these counts were summed and the total number was multiplied by 10 to estimate the total number of BrdU-IR cells in the entire GCL, consistent with previous studies (Gould 1999; Cameron and McKay 2001; Mazzucco et al. 2006; Mirescu and Gould 2006; Redila et al. 2006; Spritzer and Galea 2007). As section thickness was 40 µm and we counted cells in every 10th section, the distance between two counted sections was 400 µm. We excluded cells that were touching the uppermost focal plane of each slide to avoid counting cells that are sectioned (caps). For the phenotyping of cells, 25 randomly selected BrdU-IR cells in each of eight sections per brain were analysed to determine whether they also expressed NeuN, a protein found in mature neurons (BrdU/NeuN-IR). A subset of BrdU/NeuN-IR cells found under epifluorescence was further examined under a confocal microscope (BioRad 200, Philadelphia, PA, USA) to confirm double labeling. The data are presented as percentage of double-labeled cells per all analysed BrdU-IR cells. Depending on availability of tissue, our sample size was 4-5 rats per group. For volume measurements, an Image J (NIH) software was used to digitize images of the dentate gyrus. Area measurements were then obtained and used to calculate the volume of GCL + SGZ and hilus using Cavalieri's principle. Because there were no statistically significant differences in the GCL volume among groups, we report total number of BrdU-IR cells.

#### Radioimmunoassay for CORT

Blood samples were collected from the heart at the time of perfusion, as noted above, and centrifuged at 2140g for 10 min at 4°C to separate the plasma, which was transferred into 600 µl Eppendorf tubes and stored at -80°C until assayed using a commercial kit (MP Biomedicals, Orangeburg, NY, USA). The antiserum cross-reacts were 100% for CORT, 2.3% for deoxycorticosterone, 0.47% for testosterone, 0.17% for progesterone, and 0.05% for aldosterone. The minimum detectable CORT concentration was 0.25 µg/dl and the intraand inter-assay coefficients of variation were 1.55 and 4.26%, respectively.

#### Statistical analysis

Body weight data were analysed using repeated-measures analysis of variance (ANOVA) for the factors of prenatal group (PAE, PF, and C), sex, and day, as appropriate, with day as a repeated measure. The number of BrdU-IR cells and the percentage of double-labeled cells were analysed using repeated-measures ANOVAs with prenatal group and treatment (nonstress and stress) as the between-subjects factors and region (GCL, hilus) as the withinsubjects factor. The CORT data were analysed using ANOVA with prenatal group (PAE, PF, and C) and treatment (non-stress and stress) as the between-subjects factors. All statistical analyses were performed using a Statistica 6.0 software (StatSoft, Inc., Tulsa, OK, USA). Significant main or interaction effects were followed by Fisher's LSD *post-hoc* tests. In addition, *a priori* tests were conducted to explore our hypotheses that basal levels of hippocampal neurogenesis would be suppressed in our model, and that stress would have greater adverse effects on adult neurogenesis in PAE than in control rats.

#### Results

#### **Developmental data**

**Blood ethanol concentration**—The mean BEC for ethanol-exposed dams was 144.4  $\pm$  31.32 (SD) mg/dl, measured ~2 h after lights off, consistent with previous studies that have employed the same breeding and feeding protocols (Sliwowska et al. 2008; Lan et al. 2009). It has been shown that BECs of this level induce biological and behavioral deficits in the offspring (Sliwowska et al. 2006; Weinberg et al. 2008).

**Dam body weights during gestation and lactation**—Analysis of maternal body weights during gestation revealed significant main effects of group (R(2,24) = 17.40, p < 0.001) and time (R(3,72) = 512.76, p < 0.001), and a group × time interaction (R(6,72) = 17.08, p < 0.001). Fisher's *post-hoc* analysis revealed that while there were no significant differences in body weights among groups on GD1 (all p > 0.74), both PAE and PF dams had lower body weights than C dams on GDs 7, 14, and 21 (all p < 0.001; Table I). Analysis of maternal body weights during lactation revealed a main effect of time (R(3,72) = 128.20, p < 0.001) and a group × time interaction (R(6,72) = 5.665, p < 0.001). Fisher's *post-hoc* analyses revealed that on lactation day 1 (LD 1) both PAE and PF females had lower body weights than C females (p < 0.001). On LD 7 and LD 14, only PF females had lower body weights than C females (p < 0.008 and p < 0.028, respectively), while on LD 21, there were no differences in maternal body weights among groups (all p > 0.59; Table I).

**Offspring body weights**—Analysis of pup body weights in the pre-weaning period revealed significant main effects of group (R(2,24) = 9.892, p < 0.001) and time (R(3,72) = 888.7, p < 0.001), and a group × time interaction (R(6,72) = 5.086, p < 0.001). There were no significant group differences in body weights on PNDs 1–8 (p > 0.06), but body weights were lower in PAE than in C pups on PND15, and lower in PAE than both PF and C pups on PND21 (p < 0.001; Table I). Thus, PF but not PAE pups showed catch-up growth during the pre-weaning period.

#### Cell proliferation and cell survival in adulthood

**Dentate gyrus volume did not differ among groups**—As expected, the volume of the hilus was greater than that of the GCL (main effect of region, F(1,28) = 430.8, p < 0.001; Table II). There were no other significant main effects or interactions.

The majority of BrdU-labeled cells express NeuN—The majority (73–83%) of BrdU-IR cells expressed NeuN (Figure 2C, Table III). There was a main effect of region on the proportion of cells immunoreactive for both BrdU and NeuN ( $F(1,22) = 332.1 \ p < 0.001$ ), with more double-labeled cells in the GCL + SGZ than in the hilus. There were no other main effects or interactions (p > 0.18; Table III).

Ethanol-treated rats had lower levels of cell survival than control rats, and stress reduced cell survival in control and PF but not in ethanol-treated rats— Rats in all groups had BrdU-IR cells in the GCL of the dentate gyrus at both 24 h and 3 weeks after BrdU injection (Figure 2). Prenatal ethanol exposure did not affect cell proliferation, determined by the number of BrdU-IR cells in rats perfused 24 h after BrdU injection, under either non-stressed or stressed conditions (all p > 0.39, Figure 3A). There was, however, an expected significant main effect of region, with more proliferating BrdU-IR cells in the GCL than the hilus (R(1,30) = 293.4, p < 0.0001).

The ANOVA on cell survival revealed main effects of treatment (F(1,28) = 4.57, p < 0.05), and region (F(1,28) = 430.8, p < 0.001), a treatment × region interaction (F(1,28) = 4.27, p < 0.05), and a trend for a three-way interaction among group, treatment, and region (p < 0.095; Figure 3B). *Post-hoc* analysis of the treatment × region interaction revealed that overall, stress reduced BrdU-IR cell number in the GCL + SGZ (p < 0.03) but not in the hilus (p > 0.52). We then performed *a priori* comparisons to test our hypotheses that PAE would reduce cell survival and that stress would have greater adverse effect on PAE than on control rats. We found that under non-stressed conditions, PAE rats had significantly lower numbers of BrdU-IR cells surviving in the GCL + SGZ than C (p < 0.04, one-tailed) rats, while PF rats were intermediate and did not differ from either PAE (p < 0.57) or C (p < 0.22; Figure 3B). Importantly, exposure to stress reduced cell survival in the GCL + SGZ in C and PF but not PAE rats (C, p < 0.001; PF, p < 0.02 and E, p < 0.87, Figure 3B). There were no significant differences among groups in the hilus (Figures 3C,D).

#### Plasma CORT concentrations

CORT concentrations were measured in cardiac blood samples obtained under terminal anesthesia with the chest opened. As illustrated in Table IV, plasma CORT concentrations obtained under these conditions represent mild to moderate stress levels rather than basal levels, indicating that the collection procedure itself was not rapid enough to obtain a measure of true basal hormone concentrations. At 24 h after BrdU injection, CORT concentrations were higher in rats previously exposed to stress than in non-stressed rats (p < 0.01), but there were no significant effects of prenatal treatment. At 3 weeks after the end of stress exposure and BrdU injection, CORT concentrations were affected by both prior stress treatment and prenatal ethanol exposure (Table IV). *Post-hoc* analysis of the group × treatment interaction (F(2,28) = 3.95, p < 0.03), indicated that CORT concentrations were higher in C males previously exposed to stress than in their non-stressed counterparts (p < 0.02), whereas there were no effects of stress condition for PAE or PF males. In addition, for rats in the non-stressed condition, CORT concentrations in PAE males were higher than in PF males (p < 0.02) and marginally higher than in C males (p = 0.059).

#### Discussion

The present data demonstrate that prior exposure to repeated restraint stress reduced neurogenesis in PF and C, but not PAE rats. Furthermore, male rats exposed to ethanol *in utero* had a small but statistically significant suppression in basal levels of hippocampal neurogenesis. However, there were no significant differences among prenatal groups in cell proliferation or dentate gyrus volume under either stressed or non-stressed conditions. Of note, approximately 80% of BrdU-IR cells were NeuN-IR, regardless of prenatal treatment or exposure to stressors in adulthood.

#### Volume of the dentate gyrus in PAE, PF, and C rats

In the present study, consistent with a previous report (Maier and West 2001), we found no statistically significant differences in dentate gyrus volume among prenatal treatment groups. In contrast, other studies have reported reduced dentate gyrus granule cell density and cell number in 10-day-old rat pups exposed to ethanol during early postnatal life (the brain growth spurt or third trimester equivalent) (Livy et al. 2003), and reductions in hippocampal volume in 3-day-old PAE pups (Zimmerberg and Reuter 1989). Interestingly, there are equivocal findings on hippocampus volume in human studies as well. For example, one study found that a small percentage of children (3 out of 17) exposed prenatally to alcohol, exhibited relatively small hippocampi (Autti-Ramo et al. 2002), whereas other studies found similar hippocampus sizes in the control and FASD subjects (Archibald et al. 2001; Geuze et al. 2005). Interestingly, in contrast to non-exposed children, alcohol-exposed children may also have asymmetrical hippocampi, such that the right hippocampus is significantly larger than the left, but not significantly different from those of control subjects (Riikonen et al. 1999; Willoughby et al. 2008). The timing of prenatal exposure, the age of the offspring, and BECs may all contribute to the different results obtained by different groups of investigators.

#### Basal rates of neurogenesis in the hippocampus are lower in PAE rats

The disruptive effects of exposure to ethanol *in utero* on the development of many brain structures are well known (West et al. 1981; Miller and Dow-Edwards 1988; Miller 1995; Maier and West 2001; Mattson et al. 2001; Bookstein et al. 2007), but only recently investigations have begun to explore the effects of PAE on adult hippocampal neurogenesis. Our finding of reduced hippocampal neurogenesis in PAE males under basal conditions is consistent with previous studies in rats, which reported reductions in both cell proliferation and cell survival following prenatal ethanol (Redila et al. 2006) or reduced numbers of mature neurons in the dentate gyrus following early postnatal ethanol exposure (Klintsova et al. 2007). In contrast, a study in mice found no significant differences between PAE and control males in basal levels of adult hippocampal neurogenesis (Choi et al. 2005). Methodological differences, including age, handling conditions, the species used, alcohol, and BrdU administration paradigms may all influence the observed effects of PAE on cell proliferation and/or survival and these factors need to be considered in comparing results among studies. For example, rats in the present study were adults (90-120 days) which remained pair-housed and undisturbed for several weeks prior to testing, whereas Redila and collaborators used 50-day-old males that were singly housed prior to BrdU injection. Males at 50 days of age are in the late stages of puberty (Lewis et al. 2002; Gomez et al. 2004; Romeo et al. 2006), when dramatic fluctuations in ACTH, CORT, and testosterone levels, and altered metabolic responsiveness to stressors occur, all of which could influence hippocampal neurogenesis (Akana et al. 1999; Gomez and Dallman 2001; Gomez et al. 2002; Viau et al. 2005). Similarly, species differences between mice and rats in physiological and metabolic function could also influence blood alcohol concentrations and/or impact of alcohol on the brain, and hence influence the results obtained. Nevertheless, although consistent with previous work in rats, we interpret our data cautiously, as the reduction in basal levels of neurogenesis in the present study were seen

only using a one-tailed test based on an *a priori* hypothesis, and thus may not be a robust finding.

The possible role of the HPA hormones in mediating alterations in neurogenesis in PAE rats remains to be determined. The data do suggest that prior exposure to restraint stress differentially altered the response to the stress of blood collection at 24 h vs. 3 weeks. At 24 h following the end of restraint stress and BrdU injection, CORT concentrations were higher in all rats previously exposed to stress than in non-stressed rats, whereas at 3 weeks, CORT concentrations were affected by both prior stress and prenatal ethanol exposure. However, because our blood collection method in itself appears to have induced a mild stress response, and thus did not allow an assessment of true basal hormone levels, we cannot, at this time, draw conclusions about the possible role of the HPA hormones in mediating either the reduced basal levels of neurogenesis or the absence of the normal neurogenic response to stress stress seen in PAE rats.

### Possible significance of the lack of stress-induced suppression in adult neurogenesis in PAE rats

In view of previous data suggesting that both stress and PAE can suppress neurogenesis, and that PAE sensitizes the HPA response to later life stressors, we hypothesized that there would be an additive effect of PAE and stress, i.e. that stress would have significantly greater adverse effects on hippocampal neurogenesis in PAE than in control rats. Unexpectedly, we found exactly the opposite: while PF and C males showed a significant stress-induced suppression of neurogenesis, PAE rats showed no change in neurogenesis, i.e. a blunting of the expected stress-induced suppression of cell survival. One possible interpretation of these data is that PAE had protective effects against stress-induced damage, as measured by cell survival. Interestingly, a number of studies examining effects of alcohol consumption in adulthood have shown that alcohol in moderation (not, more than 2 drinks/day) may have cardioprotective and neuroprotective effects (Collins et al. 2009). However, this is in marked contrast to the data on ethanol exposure of the fetus, where neurotoxicity is typically observed (Farber et al. 2004). Indeed, there are no known reports in the literature demonstrating protective effects of PAE. Therefore, we believe that a more likely interpretation of our data is that the absence of the normal neurogenic response to stress represents a maladaptive outcome. In healthy organisms, during stress, energy is redirected away from non-essential systems, including digestion, growth, and reproduction, so that all resources can be used to cope with the stressor. Thus, we suggest that the stress-induced suppression of neurogenesis seen in control male rats may be adaptive, redirecting energy to increase coping and thus increasing adaptability and resiliency in the face of challenge. For example, it has been shown that during exposure to 21 days of restraint stress, the glucocorticoid hormones may act together with excitatory amino acids to mediate a reversible stress-induced atrophy or remodeling of apical dendrites in the CA3 region of the hippocampus (Magarinos and McEwen 1995). While this type of plasticity does have some adverse effects on learning and short-term memory (McEwen 2004), it was suggested that in the long term it may be beneficial or adaptive, in that a retraction of dendrites may reduce cell death caused by overexposure to glutamate and glucocorticoids (Magarinos and McEwen 1995). Such structural plasticity is an example of resilience and adaptability,

particularly evident in the young brain, which can withstand and adapt to challenge. The term allostasis, meaning "achieving stability through change", refers to physiological mediators such as the HPA hormones, catecholamines, and cytokines, whose production varies according to environmental challenges and demands to allow the organism to respond and adapt, and hence cope with stressors (McEwen 2002). However, the cumulative cost to the body of adapting repeatedly to adverse psychosocial or physical situations can lead to allostatic load, and dysregulated activity of these mediators of allostasis-failure to shut off or habituate, or failure to turn on when needed (McEwen 2002). We propose that because rats prenatally exposed to ethanol have a sensitized or dysregulated HPA axis, they are more vulnerable to the adverse effects of stressors, and when exposed to stressors later in life thus show reduced plasticity and ability to adapt. In the present study, this is reflected in loss of the normal stress-induced decrease in neurogenesis, seen in control rats. Support for this possibility comes from data of Choi et al. (2005) who found that while control adult mice benefited from an enriched environment by doubling the number of new neurons in the dentate gyrus, PAE mice did not show this increased neurogenesis in response to enrichment. Similarly, it was shown that although voluntary exercise increased hippocampal neurogenesis in both PAE and control rats, suggesting adaptability in the adult brain, PAE rats never reached the levels of neurogenesis seen in their control counterparts (Redila et al. 2006). Moreover, postnatal handling, which typically modulates HPA responses and enhances learning and performance in normal animals (Levine 1967, 1969; Meaney et al. 1989), does not attenuate the HPA hyperresponsiveness and spatial navigation deficits in adult PAE animals (Gabriel et al. 2000, 2002). This further indicates reduced resilience and an inability to benefit from stimulation in PAE animals. In view of the discussion above, we speculate that the failure of PAE males to maintain an appropriate (i.e. suppressive) neurogenic response to stressors implies reduced adaptability, and possibly an inability to maintain homeostasis, which could have a negative impact on hippocampal structure and function. In turn, these effects on the hippocampus could adversely impact learning and memory, as well as appropriate neuroendocrine responses to stressors, and could play a role in increasing vulnerability to the development of affective disorders later in life.

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#### Figure 1.

Diagram presenting the experimental groups and time line of the procedures.



#### Figure 2.

BrdU-IR cells in the GCL of dentate gyrus at (A) 3 weeks (survival) and (B) 24 h (proliferation) after injection. (C) Confocal image of BrdU/NeuN-IR cells in the GCL of dentate gyrus of the hippocampus. BrdU-IR cells are indicated by arrows. The scale bar is  $20 \ \mu m$  in (A)–(C).



#### Figure 3.

(A) Mean (±SEM) number of BrdU-IR cells in the dentate gyrus 24 h after BrdU injection (n = 5-6 rats/group). Prenatal ethanol exposure (PAE) did not significantly affect cell proliferation nor did stress influence cell proliferation in any group (all p > 0.39). (B) Mean (±SEM) number of BrdU-IR cells in the dentate gyrus 3 weeks after BrdU injection (n = 5-6 rats/group). Stress decreased cell survival in control (C; p < 0.001) and PF (p < 0.02) rats but not in the PAE group (p < 0.87) rats. Prenatal ethanol exposure reduced the survival of BrdU-IR cells under non-stressed conditions (p < 0.04, one-tailed). Asterisks indicate significant differences between groups. (C), and (D) Mean (±SEM) number of BrdU-IR cells in the hilus 24 h (C) or 3 weeks (D) after BrdU injection (n = 5-6 rats/group). Prenatal ethanol exposure did not significantly affect cell proliferation or cell survival in the hilus. GCL, granule cell layer.

#### Table I

Developmental data from PAE, PF, and C dams and offspring from birth to weaning.

	Treatment		
Pregnancy outcome variables	PAE	PF	Control
Number of pregnant dams	9	8	10
Maternal death	1	0	0
Perinatal death	4	0	0
Length of gestation (days) $\pm$ SEM	$22.9\pm0.3$	$22.9\pm0.2$	$22.8\pm0.2$
Dam weight (g) mean ± SEM			
GD1	$267.9\pm2.3$	$269.9\pm2.7$	$264.3\pm2.3$
GD7	$276.9 \pm 2.9$ *	$268.8 \pm 3.7$ *	$293.3\pm3.8$
GD14	$303.0 \pm 6.4$ *	$292.3\pm4.6^{\ast}$	$329.2\pm5.2$
GD21	$372.9 \pm 10.9$ *	$363.0 \pm 9.1  {}^{*}$	$426.4\pm6.7$
LD1	$302.0 \pm 6.4$ *	$299.7 \pm 7.7^{*}$	$326.4\pm5.4$
LD7	$341.3\pm5.8$	$337.4 \pm 7.5$ *	$344.5\pm3.7$
LD14	$359.3\pm7.5$	$355.8\pm8.0^{\ast}$	$353.7\pm5.2$
LD21	$338.6\pm5.3$	$341.6\pm5.3$	$335.4\pm4.4$
Litter size	$15.3\pm0.7$	$16.1\pm0.5$	$15.8\pm0.3$
Offspring weight (g) mean $\pm$ SEM			
Males			
PND1	$5.7\pm0.2$	$6.1\pm0.2$	$6.7\pm0.1$
PND7	$15.3\pm0.6$	$16.8\pm0.4$	$17.6\pm0.4$
PND14	$30.3\pm0.8^{\ast}$	$33.1\pm0.8$	$33.4\pm0.8$
PND21	$45.1 \pm 1.4$ *	$51.5 \pm 1.4$ *	$54.9\pm2.1$

For dam weight data: for GDs 7, 14 and 21: E = PF < C (p < 0.001); for lactation day 1 (LD1): PAE = PF < C (p < 0.001); for lactation day 7 (LD7): PF < C (p < 0.001); for lactation day 14 (LD14): PF < C (p < 0.028). For male offspring weight data: for postnatal day 14 (PND14): PAE < C (p < 0.02); for postnatal day 21 (PND21): PAE = PF < C (p < 0.001). GD, gestational day; LD, lactation day; PND, postnatal day; PAE, rats prenatally exposed to ethanol; PF, pair-fed rats; and C, control rats.

\*Indicates difference vs. control. Please note: one maternal death of a PAE female and four perinatal deaths in the PAE group (perinatal death refers to the number of dead pups).

#### Table II

Mean GCL volume (mm<sup>3</sup>) in prenatal ethanol exposure (PAE), PF, and C adult male rats at 3 weeks post BrdU injections (survival).

	Mean GCL volume ± SEM	Mean hilus volume ± SEM
Basal		
PAE	$1.464\pm0.116$	$4.144\pm0.490$
PF	$1.335\pm0.113$	$3.827\pm0.546$
С	$1.272\pm0.086$	$4.733\pm0.620$
Stress		
PAE	$1.309\pm0.092$	$4.180\pm0.434$
PF	$1.187\pm0.157$	$2.707\pm0.163$
С	$1.141\pm0.133$	$3.506\pm0.417$

Note: Each point is a mean  $\pm$  SEM of 5–6 rats. Volume of hilus > volume of GCL (p < 0.001) for all groups.

#### Table III

Mean percentage of double (BrdU/NeuN) labeled cells  $\pm$  SEM across groups and treatment (n = 4-5 rats/ group).

	Treat	Treatment			
Groups	Basal	Stress			
PAE	$76.0\pm3.6$	$73.6\pm3.0$			
PF	$81.2\pm3.9$	$76.5\pm6.0$			
С	$76.0\pm4.4$	$83.0\pm3.0$			

Note: PAE, Prenatal ethanol exposure; PF, pair-fed; and C, control.

No significant differences among prenatal groups.

#### Table IV

Terminal plasma CORT concentrations, 24 h (proliferation) or 3 weeks (survival) after BrdU injection.

	CORT concentrations (µg/dl) (mean ± SEM) 24 h after BrdU injection		CORT concentrations (µg/dl) (mean ± SEM) 3 weeks after BrdU injection	
Prenatal treatment group	Non-stressed	Stressed*	Non-stressed	Stressed
PAE	$12.89 \pm 1.61$	$30.61 \pm 4.02$	$16.58 \pm 4.09  t$	$11.52\pm3.51$
PF	$10.19\pm2.66$	$15.67 \pm 4.35$	$6.52 \pm 1.26$	$12.50\pm2.36$
С	$15.49\pm3.42$	$22.29\pm6.57$	$8.07 \pm 1.19$	$19.72 \pm 3.97 \%$

At 24 h, plasma CORT concentration was higher in rats previously exposed to stress than in non-stressed rats (\*p < 0.01). No significant differences were found among the prenatal groups.

At 3 weeks, for non-stressed;

 $^{/}$ PAE > PF ( p < 0.02);

 $^{\ddagger}PAE > C (p = 0.059);$ 

 $\frac{1}{p}$  For C rats previously exposed to stress (stressed) > non-stressed, p < 0.02. PAE, prenatal ethanol exposure; PF, pair-fed (PF); C, control. n = 6 rats per group.