

Vaccine-Induced Immunogenicity and Protection Against *Pneumocystis* Pneumonia in a Nonhuman Primate Model of HIV and *Pneumocystis* Coinfection

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Background. The ubiquitous opportunistic pathogen *Pneumocystis jirovecii* causes pneumonia in immunocompromised individuals, including human immunodeficiency virus (HIV)-infected individuals, and pulmonary colonization with *P. jirovecii* is believed to be a cofactor in the development of chronic obstructive pulmonary disease. There is no vaccine for *P. jirovecii*; however, most adults are seropositive, indicating natural immune priming to this pathogen. We have shown that humoral response to a recombinant subunit of the *P. jirovecii* protease kexin (KEX1) correlates with protection from *P. jirovecii* colonization and pneumonia.

Methods. Here we evaluated the immunogenicity and protective capacity of the recombinant KEX1 peptide vaccine in a preclinical, nonhuman primate model of HIV-induced immunosuppression and *Pneumocystis* coinfection.

Results. Immunization with KEX1 induced a robust humoral response remained at protective levels despite chronic simian immunodeficiency virus/HIV-induced immunosuppression. KEX1-immunized macaques were protected from *Pneumocystis* pneumonia, compared with mock-immunized animals ($P = .047$), following immunosuppression and subsequent natural, airborne exposure to *Pneumocystis*.

Conclusions. These data support the concept that stimulation of preexisting immunological memory to *Pneumocystis* with a recombinant KEX1 vaccine prior to immunosuppression induces durable memory responses and protection in the context of chronic, complex immunosuppression.

Keywords. *Pneumocystis*; vaccine; HIV/SIV; macaque; nonhuman primate; humoral immunity.

Pneumocystis jirovecii (formerly *Pneumocystis carinii*) is an opportunistic fungal pathogen and causative agent of life-threatening pneumonia in immunocompromised individuals, including human immunodeficiency virus (HIV)-infected persons. *Pneumocystis* pneumonia (PCP) and pulmonary colonization by *Pneumocystis* are associated with permanent, obstructive lung damage, and *P. jirovecii* colonization is believed to be a cofactor in the development of chronic obstructive pulmonary disease (COPD) [1–4]. Despite introduction of antiretroviral therapy (ART) and anti-*Pneumocystis* prophylaxis, PCP remains a significant cause of morbidity and mortality in HIV-infected individuals [5], particularly those with undiagnosed HIV infection. In developing countries where HIV infection rates are high, diagnosis is difficult, and access to ART is suboptimal [6]. Furthermore, there is an increased PCP incidence among persons receiving immunosuppressive therapies [7],

including patients with cancer, transplant recipients [8], and individuals with inflammatory diseases [9], and among persons who are immunosuppressed because of aging, congenital immunosuppressive states, or acquired immunosuppressive states [10].

Exposure to *P. jirovecii* is common, as most individuals have *P. jirovecii* antigens detected by serological analysis by 4 years of age [11–13]. *Pneumocystis*-specific antibodies are important for protection, as demonstrated in animal models of *Pneumocystis* infection and clinical studies [14–21]. High titers of antibody to a recombinant subunit of the *P. jirovecii* protein kexin (KEX1) but not antibody to the *P. jirovecii* major surface glycoprotein correlated with a reduced incidence of PCP among HIV-infected subjects [15] and in a nonhuman primate (NHP) model of HIV and *Pneumocystis* coinfection [22]. Additionally, in HIV-negative smokers and patients with COPD, we found that low anti-*P. jirovecii* KEX1 antibody titers were independently associated with more-severe airway obstruction, suggesting that anti-KEX1 may contribute to protection from *P. jirovecii* colonization and progressive COPD [2]. Epidemiologic studies in macaques revealed a high prevalence of anti-KEX1 on serological analysis, similar to that among humans, suggesting that most macaques have been previously exposed to *Pneumocystis* and immunologically primed to KEX1 [23], thus making macaques an ideal model to test vaccine immunogenicity and efficacy. In

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the macaque model of simian immunodeficiency virus (SIV) and *Pneumocystis* coinfection, we established the following correlates of protection: plasma KEX1-specific immunoglobulin G (IgG) reciprocal end point titers of >10 000, early detectable KEX1-specific immunoglobulin A (IgA) antibodies in bronchoalveolar lavage (BAL) fluid, and peripheral blood KEX1-specific memory B cells [22].

The observation that most individuals have been primed to KEX1 suggests that protective immunologic responses could be achieved by boosting memory responses prior to immunosuppression. In this study, we tested the capacity of a recombinant KEX1 vaccine to boost the memory response in healthy macaques that had been naturally exposed to *Pneumocystis*, and we examined immune responses and protective efficacy following chronic immunosuppression by SIV/HIV (SHIV) infection and *Pneumocystis* exposure. KEX1 immunization induced significant and durable humoral responses that were well above those of previously established correlates of protection and were maintained despite SHIV-induced immunosuppression. KEX1 immunization prior to immunosuppression provided significantly longer protection against the development of PCP, compared with mock immunization. These studies present a strategy for immunization of healthy individuals at risk of subsequent immunosuppression and for protection against PCP in immunocompromised individuals.

METHODS

Vaccine Construction and Purification

A 270-nucleotide fragment of macaque-derived *KEX1* was cloned into the pET28b(+) expression vector (Novagen) in *Escherichia coli* BL21(DE3) pLysS (ThermoFisher, Scientific) and used to produce an approximately 11-kDa recombinant protein, as confirmed by Western blot (Supplementary Figure 1). KEX1 was used for immunization, enzyme-linked immunosorbent assay (ELISA), and enzyme-linked immunospot (ELISpot) assay [23] (accession no. EU918304).

Animals, Study Design, and Sample Collection

Adult, Chinese-origin rhesus macaques (*Macaca mulatta*; 24 males) and cynomolgus macaques (*Macaca fascicularis*; 6 each of males and females) were purchased from vendors approved by the University of Pittsburgh. Studies were approved by the Institutional Animal Care and Use Committee of the University of Pittsburgh. Before study entry, all macaques were screened for anti-*Pneumocystis* KEX1 plasma antibody titers and pulmonary colonization with *Pneumocystis* (described below). Only *Pneumocystis*-negative macaques with an anti-KEX1 antibody titer of <6000 were used. Macaques were randomly assigned into one of 2 groups for immunization and challenge studies. Blood and bronchoalveolar lavage (BAL) fluid samples were collected at baseline and following immunization, as described elsewhere [1, 24, 25]. Plasma samples and

BAL supernatants were collected and stored at -80°C until evaluation for the presence of anti-KEX1 antibodies, using ELISA (Supplementary Materials) [22, 23]. An ELISpot assay was used for detection of cells secreting anti-KEX1 antibody, to determine the presence of KEX1-specific memory B cells, according to previously described methods (Supplementary Materials) [22]. Flow cytometry of cells from peripheral blood and BAL fluid is described in the Supplementary Materials [22, 26, 27].

Immunization of Macaques

Eighteen macaques were intramuscularly immunized with 100 μg of recombinant KEX1 and aluminum hydroxide (Imject Alum, Thermo Scientific) mixed in a 1:1 ratio. Eighteen mock-immunized animals received sham inoculation with protein derived from pET28b(+) expression vector and alum. Animals were rested for 12 weeks, after which KEX1-immunized animals were boosted with 50 μg of KEX1 and alum, and mock-immunized animals were sham inoculated as described above. Following a 10-week resting period, macaques were intravenously inoculated with 1×10^5 SHIV_{89.6P} (kindly provided by Keith Reimann, Beth Israel Deaconess Medical Center), which induces CD4⁺ T-cell lymphopenia and AIDS-like disease [28, 29]. Viral infection was monitored at weekly time points for 4 weeks and monthly thereafter up to 36 weeks after infection. Immunologic parameters (described below and in the Supplementary Materials) were monitored at monthly intervals after infection.

Pneumocystis Challenge and Determination of *Pneumocystis* Infection

Pneumocystis cannot be reliably cultured in vitro. Thus, *Pneumocystis* challenge of KEX1- and mock-immunized rhesus macaques was performed via natural airborne transmission by cohousing these animals with animals coinfecting with SIV and *Pneumocystis*, as described previously [22, 23, 30]. Following SHIV infection, *Pneumocystis* colonization status was evaluated at monthly intervals by nested polymerase chain reaction (PCR) analysis of BAL fluid samples, as described previously [22, 23, 30]. To control for the DNA quality in BAL fluid samples, PCR for detection of β -globin was also performed [23, 24]. A diagnosis of PCP was made on the basis of detection of *Pneumocystis* in BAL fluid by first-round PCR and/or microscopy-based detection of *Pneumocystis* clusters, using *Pneumocystis*-specific (3FC) immunohistochemical (IHC) staining, in lung tissue at study termination (Supplementary Materials) [31, 32]. *Pneumocystis* colonization was defined as detection of *Pneumocystis* DNA in the nested round of PCR only, as described previously [23, 25, 33, 34]. During coinfection with SHIV and *Pneumocystis*, animals exhibiting evidence of end-stage AIDS (ie, persistent anorexia, weight loss of >20%, or symptoms of opportunistic infections) were euthanized. All other animals were euthanized at study termination (ie, 40 weeks after SHIV infection).

Statistical Analysis

Statistical analyses were performed using Prism software (GraphPad, La Jolla, California). An unpaired Student *t* test

was used to compare baseline plasma anti-KEX1 IgG reciprocal end point titers between KEX1-immunized and mock-immunized animals. Paired Student *t* tests or Wilcoxon signed rank tests were performed as indicated to evaluate data between 2 time points. One-way repeated measures analyses of variance (ANOVAs) were performed to assess changes in plasma anti-KEX1 IgG at all subsequent time points following immunizations. Two-way repeated measures ANOVAs were performed to assess differences in cell activation between control and immunized monkeys for an entire time series.

RESULTS

Humoral Immune Responses of Macaques to KEX1 Vaccination

Prior to immunizations, plasma samples from 36 macaques were evaluated for preexisting anti-KEX1 antibodies. Baseline mean titers (\pm SD) were not different between 18 KEX1-immunized macaques (1808 ± 1515) and 18 mock-immunized macaques (1388 ± 1540 ; $P = .415$).

In KEX1-immunized animals, mean plasma anti-KEX1 IgG titers (\pm SD) peaked 4 weeks following the initial immunization ($64\,022 \pm 48\,679$) and 2 weeks following the boost ($2.35 \times 10^6 \pm 1.35 \times 10^6$; Figure 1A). The first peak was 35.4-fold greater than that before immunization ($P < .0001$); the second peak was 36.7-fold greater than the initial peak ($P < .0001$) and approximately 1300-fold greater than the titer before immunization ($P < .0001$). At the time of SHIV infection, mean plasma anti-KEX1 IgG titers (\pm SD) had declined somewhat but were significantly higher in KEX1-immunized animals ($338\,444 \pm 345\,501$), compared with mock-immunized macaques (1707 ± 1385 ; $P = .0002$). Peripheral blood KEX1-specific memory B-cell responses peaked in KEX1-immunized animals 4 weeks after boost (Figure 1C); however, individual animals peaked at different time points, from 2 to 10 weeks after boost. When considering all individual peak antigen-specific memory B-cell responses, the mean peak frequency of circulating anti-KEX1 IgG memory B cells in KEX1-immunized macaques

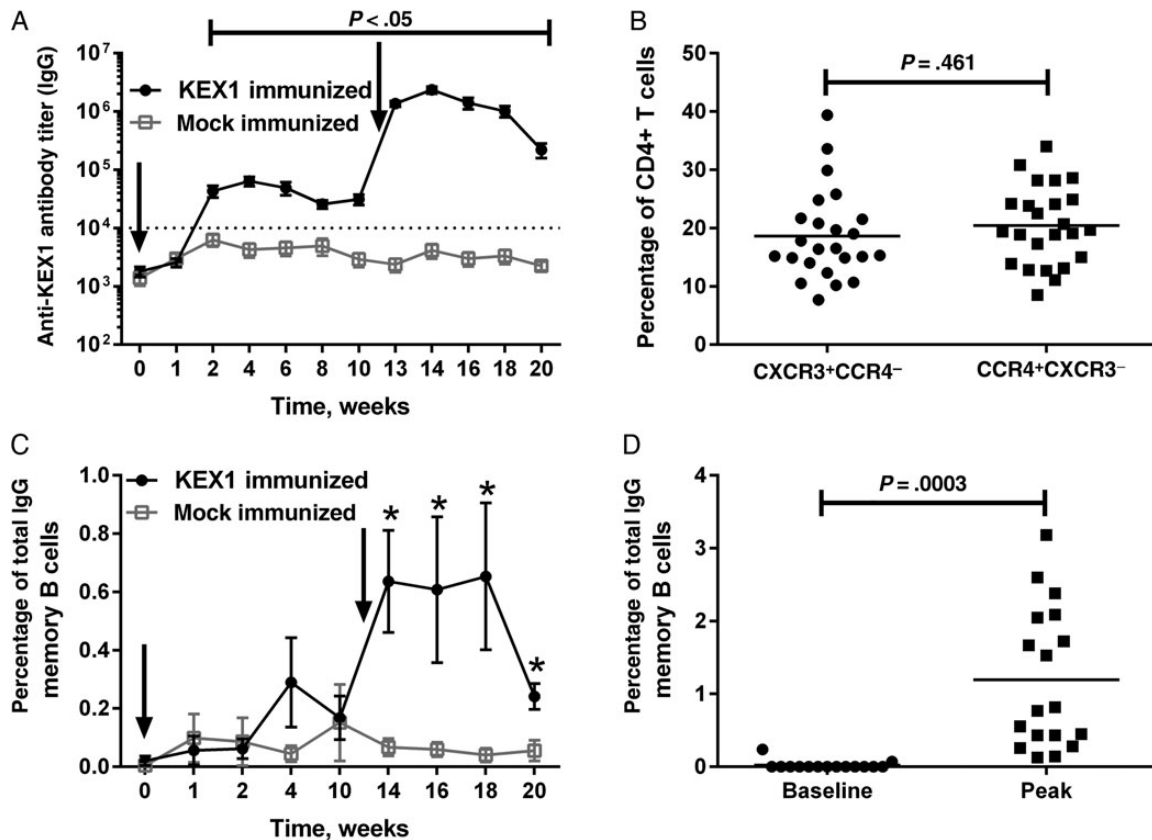


Figure 1. KEX1-specific humoral immune responses following immunization and boost with KEX1/alum in normal macaques. *A*, Mean plasma KEX1-specific immunoglobulin G (IgG) titer, as determined by enzyme-linked immunosorbent assay. Dashed line indicates KEX1-specific IgG titer correlate of protection. Arrows indicate time of immunizations. *B*, To evaluate skewing of T-helper cell phenotype, peripheral blood lymphocytes were analyzed by flow cytometry for surface markers associated with either T-helper type 1 (Th1; CXCR3⁺CCR4⁻) or T-helper type 2 (Th2; CCR4⁺CXCR3⁻) CD4⁺ T cells and expressed as a percentage of total peripheral blood CD4⁺ T cells. The frequency of peripheral blood CD4⁺ Th1 and Th2 cells at the time of SHIV-infection was similar ($P = .461$). *C*, Kinetics of KEX1-specific memory B-cell responses, determined by B-cell enzyme-linked immunosorbent analysis and expressed as the mean percentage of total IgG B cells. *D*, Comparison of peak KEX1-specific memory B cells to baseline data (KEX1-immunized animals only). Paired Student *t* tests or Wilcoxon signed rank tests were performed to compare data baseline to data at indicated time points. * $P < .05$, compared with baseline.

(1.19% of total IgG memory B cells) was significantly increased over baseline values ($P = .003$; Figure 1D) and was 5.7-fold higher than the mean peak KEX1 memory B-cell frequency for mock-immunized animals (0.209% of total IgG memory B cells; $P = .01$).

Effects KEX1 Immunizations on Total Circulating CD4⁺ T-Helper Type 1 (Th1) and Th2 Cell Populations

A subset of 24 animals was evaluated for T-helper cell response skewing following immunization. A transient decline in the frequency of CD4⁺ Th1 cells (CXCR3⁺CCR4⁻; $P < .0001$) and a concomitant increase in the frequency of CD4⁺ Th2 cells (CCR4⁺CXCR3⁻; $P < .0001$) occurred 1 week following initial immunization (data not shown). This was seen in KEX1-immunized animals and mock-immunized animals, suggesting that the effects were associated with adjuvant administration. By 6 weeks after boost, the frequency of CD4⁺ Th2 cells was similar to the frequency at baseline in KEX1-immunized animals ($P = .73$; Figure 2C) and mock-immunized animals ($P = .83$; Figure 2D). No significant change in the frequency of Th1 cells was seen in mock-immunized animals at this time ($P = .18$; Figure 2B); however, a modest but significant increase in frequency of peripheral blood Th1 cells was sustained at this

time in KEX1-immunized macaques ($P = .004$; Figure 2A). There was no significant difference between the frequencies of Th1 and Th2 cells at time of SHIV infection ($P = .46$; Figure 1B), suggesting a balanced CD4⁺ T-helper cell response.

Antigen-Specific IgG and IgA Responses Detected in BAL Fluid Following Systemic KEX1 Immunization

In KEX1-immunized macaques, increases in anti-KEX1 IgG titers were seen in BAL fluid 4 weeks following initial immunization ($P = .006$; Figure 3A). One week after boost, significant boosting of anti-KEX1 IgG titers occurred (mean [±SD], 7089 ± 4973; $P < .0001$) and remained significantly increased at 4 weeks following boost (mean [±SD], 11 881 ± 13 998; $P = .002$). Additionally, following booster immunizations, KEX1-immunized animals had a significant increase in IgA titers present in BAL fluid by 1 week following immunization ($P = .001$; Figure 3B). No significant increase from baseline in mean anti-KEX1 IgG or IgA titers occurred in mock-immunized animals ($P > .05$; data not shown).

KEX1-Specific Humoral Response Following SHIV-Induced Immunosuppression

Following SHIV infection, numbers of circulating CD4⁺ T cells declined precipitously in both groups by 2 weeks after infection

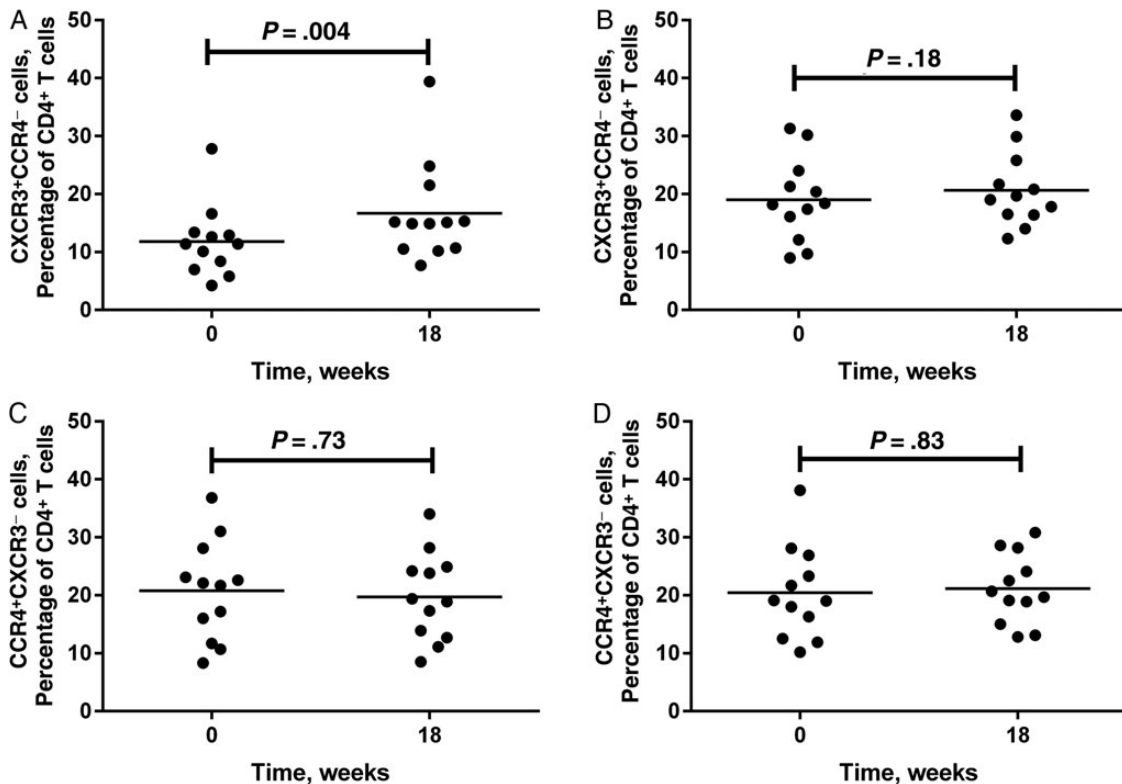


Figure 2. Frequencies of T-helper type 1 (Th1) and Th2 cells in peripheral blood prior to and following KEX1 and mock immunizations. To evaluate skewing of the T-helper cell phenotype, peripheral blood lymphocytes were analyzed by flow cytometry for surface markers associated with either Th1 (CXCR3⁺CCR4⁻) or Th2 (CCR4⁺CXCR3⁻) CD4⁺ cells and expressed as a percentage of total peripheral blood CD4⁺ T cells. Comparisons were made between data before immunization and data 18 weeks following initial immunization (6 weeks after boost). Frequencies of T-helper populations for KEX1-immunized animals are given in panels A and C; frequencies of T-helper populations for mock-immunized animals are given in panels B and D.

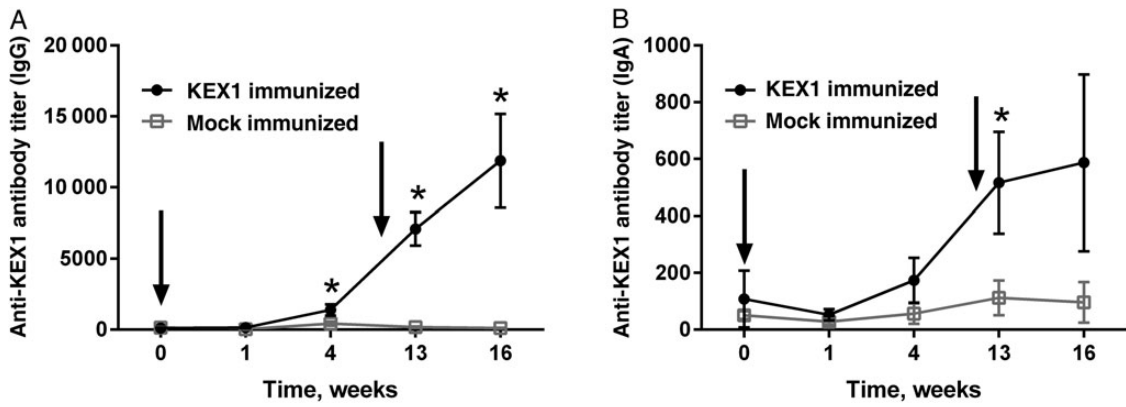


Figure 3. Mean KEX1-specific antibody titers in bronchoalveolar lavage fluid following immunization and boost. KEX1-specific immunoglobulin G (IgG; *A*) and immunoglobulin A (IgA; *B*) titers are shown. Error bars show standard errors of the means. There was no significant difference between peak and baseline anti-KEX1 titers in the mock-immunized group. Paired Student *t* tests or Wilcoxon signed rank tests were performed to compare data baseline to data at indicated time points. **P* < .05, compared with baseline.

(*P* < .0001; Figure 4*A*). Mean CD4⁺ T-cell numbers (±SD) remained depressed and, 36 weeks after SHIV infection, were 517 ± 301 cells/μL in KEX1-immunized animals (*P* = .0004, compared with baseline) and 594 ± 563 cells/μL in mock-

immunized animals (*P* < .0001, compared with baseline). Plasma virus loads were also not different between KEX1-immunized animals and mock-immunized animals (peak viral load, *P* = .80; viral set point, *P* = .79; Figure 4*B*). We previously

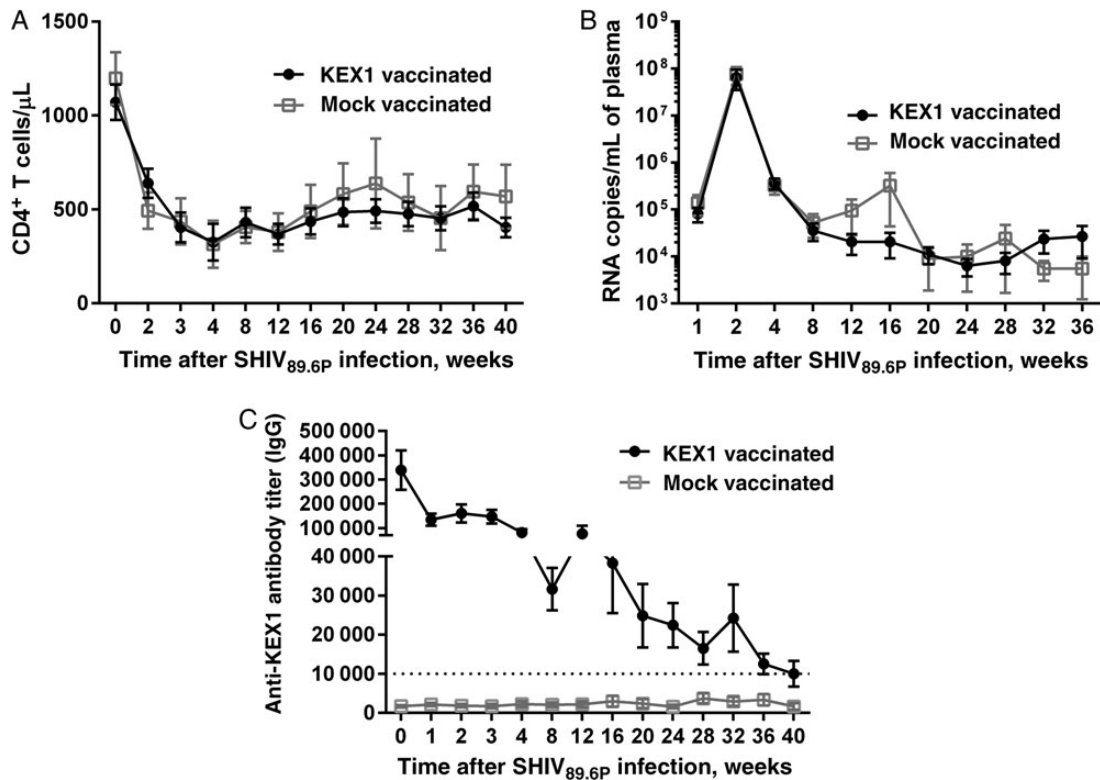


Figure 4. Vaccine-induced humoral immune responses following simian/human immunodeficiency virus (SHIV) infection. At 10 weeks after boost, macaques were infected with SHIV_{89.6P}. *A*, Mean peripheral blood CD4⁺ T-cell levels for KEX1-immunized animals and mock-immunized macaques, as determined by flow cytometric analysis. *B*, Mean plasma virus loads for KEX1-immunized animals and mock-immunized animals. *C*, Mean plasma KEX1-specific immunoglobulin G (IgG) titer for KEX1-immunized macaques and mock-immunized macaques following SHIV infection, as determined by enzyme-linked immunosorbent assay. Dashed line indicates KEX1-specific titer correlate of protection. Error bars show standard errors of the means.

reported that mean plasma KEX1 titers of 10 000 correlated with protection from natural *Pneumocystis* infection in SHIV-infected macaques [22]. In the current study, the mean plasma anti-KEX1 IgG titer in KEX1-immunized macaques remained >30 000 up to 16 weeks after SHIV infection (Figure 4C), well above the correlate of protection [22], and remained at $\geq 10\ 000$ through 40 weeks after SHIV infection (Figure 4B). In mock-immunized animals, the mean plasma anti-KEX1 IgG titer remained below 4000 through 40 weeks after SHIV infection.

KEX1 Immunization Protects Against PCP in SHIV-Infected Macaques

In a pilot study, 6 KEX1-immunized macaques and 6 mock-immunized macaques were infected with SHIV and challenged with *Pneumocystis* by natural, airborne transmission [22, 23, 30]. The mean KEX1 titer (\pm SD) for the KEX1-immunized group at the time of SHIV infection was $157\ 500 \pm 177\ 022$ and that of the mock-immunized group was 2067 ± 1475 ($P = .002$, by the Mann–Whitney test). None of the monkeys had evidence of *Pneumocystis* colonization at the time of SHIV infection. There was also no difference in the mean age of KEX1-immunized and mock-immunized animals (8.0 years and 7.7 years, respectively; $P = .73$; Supplementary Tables 1 and 2). Both groups were simultaneously exposed to *Pneumocystis*, and colonization was detectable in some animals by 4 weeks after SHIV infection (Supplementary Figures 2 and 3), when peripheral blood CD4⁺ T cells had declined, as previously reported [23]. Two mock-immunized animals required euthanasia prior to study termination, for clinical reasons other than PCP, and were censored in the Kaplan–Meier analysis. At study termination (40 weeks after challenge), 4 of 6 (67%) of the remaining mock-immunized macaques had developed PCP, compared with 1 of 6 KEX1-immunized macaques (17%), indicating that KEX1-immunized animals were protected from developing PCP ($P = .047$, by Kaplan–Meier analysis; Figure 5), despite comparable immunosuppression (ie, CD4⁺ T-cell count decline) and viral loads. The presence of *Pneumocystis* in the lungs was confirmed by IHC analysis at study termination (Supplementary Figure 4). Among the KEX1-immunized animals, the 5 protected animals did not develop signs or symptoms of PCP (up to 40 weeks after SHIV infection), and all were negative by IHC analysis for *Pneumocystis* organisms in the lung (Supplementary Figure 4). Of the 4 mock-immunized animals with evidence of PCP, 2 became first-round PCR positive for *Pneumocystis* by 16–20 weeks following SHIV infection. The remaining 2 were also colonized with *Pneumocystis* and had IHC-based evidence of *Pneumocystis* present in the lung (Supplementary Figure 4).

As expected with genetically distinct NHPs, responses to SHIV infection were variable within the cohort, with some animals experiencing a rapid decrease in CD4⁺ T-cell count and persistently high viral loads and others appearing capable of effectively controlling virus replication for a longer duration. One

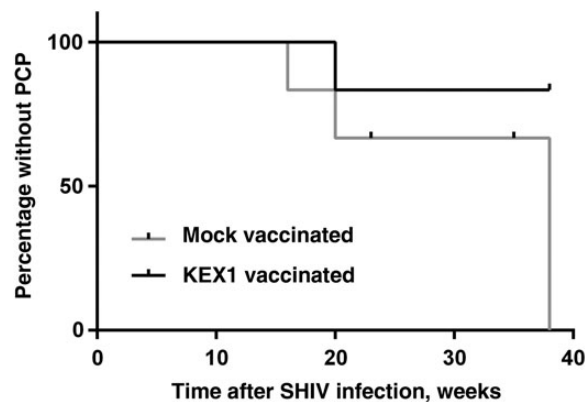


Figure 5. Time to development of *Pneumocystis pneumonia* (PCP) in KEX1-immunized animals, compared with mock-immunized animals. Despite comparable immunosuppression, KEX1-immunized animals were significantly protected from developing PCP following simian/human immunodeficiency virus (SHIV) infection, compared with mock-immunized controls ($P = .047$, by Kaplan–Meier analysis). A diagnosis of PCP was made by detection of *Pneumocystis* DNA by polymerase chain reaction (first-round) analysis of bronchoalveolar lavage fluid and/or by detection of *Pneumocystis* in lung tissue by immunohistochemical analysis.

animal in the KEX1-immunized group (monkey 17911) experienced rapid progression of SHIV infection (CD4⁺ T cell count, <20 cells/ μ L by 2 weeks after SHIV infection) and developed evidence of PCP (ie, positive results of first-round PCR) by 20 weeks after SHIV infection; however, euthanasia was not required until 31 weeks after infection. When compared to a monkey with rapid disease progression in the mock-immunized group (monkey 19111), there were notable differences in immune responses to infection and in survival time between the 2 animals (Figure 6). Despite a profound loss of CD4⁺ T cells by 3 weeks after SHIV infection and evidence of *Pneumocystis* colonization by 4 weeks, the humoral immune response of monkey 17911 to *Pneumocystis* infection was robust, in comparison with that for monkey 19111, and may have contributed to prolonged survival time, despite evidence of PCP. Monkey 19111 (from the mock-immunized group) succumbed more quickly to PCP, required euthanasia (19 weeks after infection) owing to rapid clinical decline, and was unable to mount an effective immune response to *Pneumocystis* infection. IHC analysis confirmed ubiquitous presence of *Pneumocystis* organisms in lung tissue. Summary data for individual animals are presented in Supplementary Figures 2 and 3.

DISCUSSION

We examined the immunogenicity of the *Pneumocystis* vaccine candidate KEX1 and the durability of humoral immunity, induced by vaccination in immunosuppressed macaques, as a model of chronic HIV infection and other complex immunocompromised hosts. In addition, we tested the efficacy of this vaccine in SHIV-infected NHPs as a model of HIV infection. We

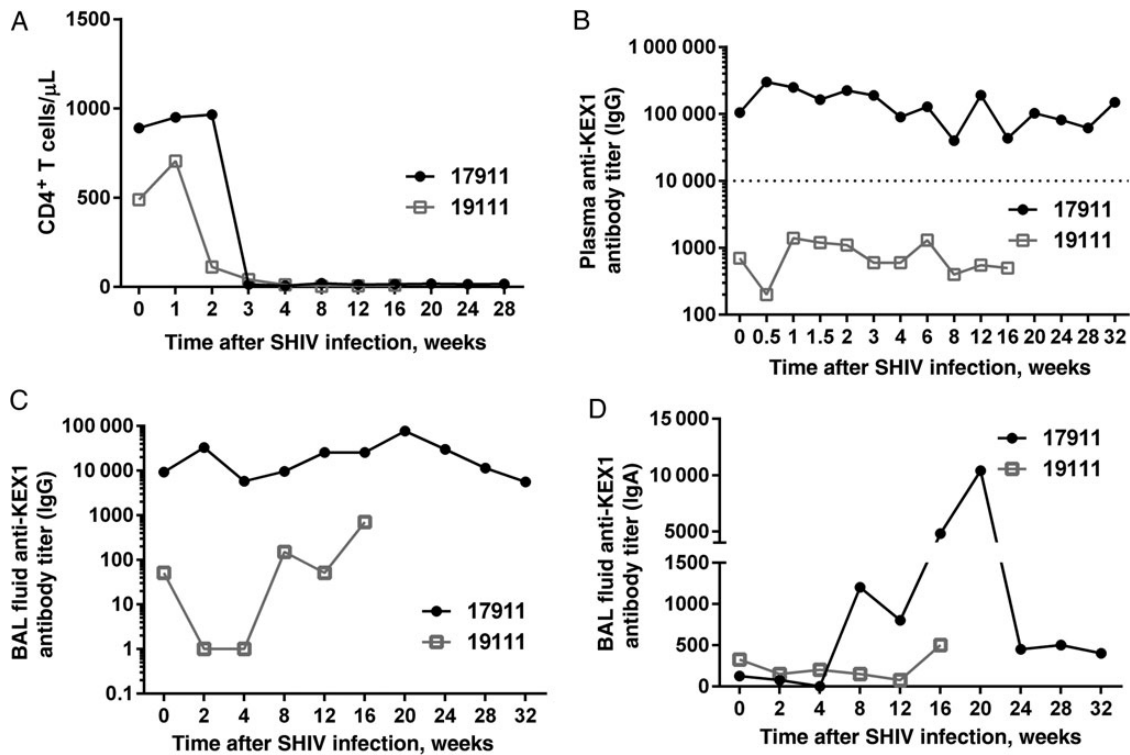


Figure 6. CD4⁺ T-cell depletion and KEX1-antibody responses in KEX1-immunized animals and mock-immunized animals with rapid progression of disease. *A*, Comparable rapid decreases in CD4⁺ T-cell counts occurred in animals 17911 (KEX1 immunized) and 19111 (mock immunized). *B–D*, However, plasma anti-KEX1 immunoglobulin G (IgG; *B*) and bronchoalveolar lavage (BAL) fluid IgG (*C*) and immunoglobulin A (IgA; *D*) responses were notably greater in animal 17911, compared with animal 19111. These data suggest that immune responses to KEX1 immunization prior to simian/human immunodeficiency virus (SHIV) infection can be maintained at comparably high levels despite severe CD4⁺ T-cell depression. Dashed line indicates KEX1-specific IgG titer correlate of protection [22].

found that immunization of healthy macaques with KEX1 induced robust humoral memory responses, which exceeded previously determined correlates of protection [22], and that this response was maintained during chronic SHIV-induced immunosuppression. The *Pneumocystis* vaccine candidate, KEX1, is a protein to which most adults have some level of preexisting immunity and are likely restimulated regularly as a result of environmental reexposure to this ubiquitous opportunistic organism. We surmise that induction of extraordinarily high anti-KEX1 antibody titers ($>1 \times 10^6$) following a modest immunization regimen and that long-lasting B-cell memory, despite subsequent immunosuppression, may be due to high prevalence of KEX1-positive serological findings in NHPs, thus bypassing the need for immunologic priming by immunization. The high frequency of KEX1-positive serological results in adults (both HIV positive and HIV negative) [2, 15, 35] is comparable to that found in extensive studies of normal rhesus and cynomolgus macaques [23, 36], thus we predict similarly strong responses to this vaccine regimen in human subjects, even those who subsequently become immunocompromised.

Immunization of immunocompetent macaques with recombinant KEX1 resulted in generation of high levels of plasma anti-KEX1 IgG antibodies and sustained anti-KEX1 IgG–

releasing memory B cells. Following boost, mean peak titers exceeded 2×10^6 , which greatly exceeded plasma anti-KEX1 IgG titers previously shown to be associated with protection from natural *Pneumocystis* infection [22]. We also report a significant increase in the KEX1-specific memory B-cell population, which was greater than the KEX1-specific memory B-cell frequency previously reported to correlate with protection from natural *Pneumocystis* colonization [22], suggesting stimulation of immunological memory. Importantly, we report stimulation of anti-KEX1 IgG and IgA antibodies in the lung [22]. We previously demonstrated that early detection of anti-KEX1 IgA in the lung correlated with protection from *Pneumocystis* infection and subsequent evidence of COPD [22]. This is supported by evidence that local *Pneumocystis*-specific antibodies likely participate in host defense against *Pneumocystis* [14, 37, 38].

Achieving a balanced helper T-cell response following immunization has important implications for immunizing individuals at risk for acquiring HIV, as type 1 T-helper cell responses are critical for viral control [39]; thus, we serially analyzed Th1 and Th2 responses following immunization and boost. Early after immunization with KEX1/alum, we found moderate, transient skewing of CD4⁺ T-cell responses toward type 2, though this skewing was not augmented by subsequent

immunizations and resolved to the preimmunization balance by 10 weeks after vaccination. Thus, we did not observe persistent Th2 skewing as a result of alum-based vaccination.

As anticipated, SHIV infection of macaques resulted in a precipitous decline in numbers of peripheral blood CD4⁺ T cells. Despite the decline in CD4⁺ helper T-cell counts, the mean circulating anti-KEX1 IgG titer remained $\geq 10\,000$ (a previously established correlate of protection) until approximately 10 months after SHIV infection, a point when some animals were approaching end-stage AIDS. During SHIV infection, it is possible that restimulation of humoral responses from *Pneumocystis* colonization/exposure accounts for a portion of the anti-KEX1 antibody response; however, high anti-KEX1 antibody titers persisted in immunized animals, even when *Pneumocystis* colonization was not evident by PCR (Supplementary Figure 2). Thus, we conclude that immunization prior to SHIV infection accounts for at least a portion of persistently high antibody titers observed in these animals. Additionally, circulating KEX1-specific memory B cells were maintained above preimmunization levels, despite immunosuppression indicating induction of strong and durable memory responses to KEX1 vaccination.

In addition to CD4⁺ T-cell loss, HIV-infected individuals have a number of B-cell compartment perturbations, which likely influence responses to immunizations. Hyperactivation, exhaustion, and reduced memory populations and reduced capacity to respond to stimulation in vitro have all been shown in B-cell populations in HIV infection and in NHP models of HIV infection [40–45] and may contribute to impaired immunization responsiveness in vivo. Several studies indicate that HIV-infected individuals have reduced antibody responses to common recall antigens, including influenza [46], hepatitis A [47], tetanus [48], and pneumococcal [49] vaccines, compared with non-HIV-infected individuals. Conflicting reports on maintenance of recall responses may be related to the type of antigen assessed (protein vs carbohydrate), the level of circulating CD4⁺ T cells, and/or the age of study subjects [48, 50]. We reported that, despite B-cell perturbations mimicking HIV-induced B-cell dysregulation, some SHIV-infected macaques were capable of maintaining significant levels of peripheral blood KEX1-specific memory B cells and were protected from *Pneumocystis* infection, compared with those susceptible to *Pneumocystis* infection [22, 26]. These studies suggested maintenance of durable memory B-cell responses to KEX1 antigen and supported the feasibility of KEX1 as a *Pneumocystis* vaccine candidate. The current study supports the concept that peptide vaccine-induced B-cell memory responses can be maintained following immunosuppression, similar to that induced by HIV infection.

In challenge studies, KEX1-immunized animals were significantly less likely to develop PCP following SHIV-induced immunosuppression and *Pneumocystis* exposure, compared with mock-immunized animals. Of the mock-immunized animals

that were either euthanized due to severe PCP or remained in the study for the full duration, all had histological evidence of PCP and thereby met the criteria for PCP diagnosis. KEX1-associated immune responses to *Pneumocystis* exposure were low in mock-immunized animals, as would be expected for animals with low plasma titers (<10 000 for IgG) prior to SHIV infection [22] and negligible B-cell memory responses, and thus ineffective at controlling *Pneumocystis* infection.

The model of *P. jirovecii* infection (natural transmission by airborne exposure) used for this study presents a number of challenges. Although it more accurately emulates the likely route by which susceptible humans acquire *P. jirovecii* infection, it lacks dose-associated precision. Furthermore, because macaques are outbred, genetically distinct animals, they demonstrate variable responses to SHIV infection, and as in human HIV infection, we observed a spectrum of disease progression within a single cohort. However, variation in responses also reveals pertinent information for characterizing different responses to *Pneumocystis* infection. We have noted 2 animals with rapidly progressing disease that had comparable CD4⁺ T-cell depletion and peak viral loads, 1 KEX1-immunized animal and 1 mock-immunized animal. The KEX1-immunized macaque maintained high KEX1 titers in plasma and BAL fluid throughout SHIV infection until euthanasia was required (31 weeks after SHIV infection), despite severely depressed CD4⁺ T-cell numbers. The comparable mock-immunized animal did not produce effective humoral immune responses to *Pneumocystis* infection, quickly developed PCP, and required euthanasia at 19 weeks after SIV infection.

Importantly, protection from PCP development in KEX1-immunized animals was not due to lack of *Pneumocystis* exposure. Transient *Pneumocystis* colonization was evident in KEX1-immunized animals, but the majority (83%) did not develop PCP. Immune responses to *Pneumocystis* exposure were evident by transient increases in anti-KEX1 antibodies, which may have contributed to control of colonization. Anti-KEX1 antibody responses were evident in some mock-immunized controls, but responses were low (titers, <6000), compared with those for KEX1-immunized animals, and these responses were insufficient at preventing PCP.

Given the robust responses induced and the protective efficacy of the vaccine, an important question to be addressed in subsequent studies is whether vaccination is effective after SHIV infection, during a phase of infection when CD4⁺ T cells are stabilized above the level at which patients are at risk of acquiring PCP. Our preliminary studies suggest a robust KEX1-specific immune responses can be stimulated in SHIV-infected, immunosuppressed animals, and studies to address the protective capacity of this immunization strategy are currently underway.

In summary, we report a method for immunization against PCP in a preclinical model of HIV infection. The durability of memory responses in immunosuppressed animals suggests that

this vaccination approach may be useful for the development of vaccination strategies for other pathogens for which there is immunologic memory.

Supplementary Data

Supplementary materials are available at <http://jid.oxfordjournals.org>. Consisting of data provided by the author to benefit the reader, the posted materials are not copyedited and are the sole responsibility of the author, so questions or comments should be addressed to the author.

Notes

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Potential conflict of interest. H. M. K. and K. A. N. are coinventors of kexin-associated technologies related to methods of vaccination for fungal infections and may be entitled to royalties. Both authors have submitted the ICMJE Form for Disclosure of Potential Conflicts of Interest. Conflicts that the editors consider relevant to the content of the manuscript have been disclosed.

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