

Broad distribution of ataxin 1 silencing in rhesus cerebella for spinocerebellar ataxia type 1 therapy

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Spinocerebellar ataxia type 1 is one of nine polyglutamine expansion diseases and is characterized by cerebellar ataxia and neuronal degeneration in the cerebellum and brainstem. Currently, there are no effective therapies for this disease. Previously, we have shown that RNA interference mediated silencing of *ATXN1* mRNA provides therapeutic benefit in mouse models of the disease. Adeno-associated viral delivery of an engineered microRNA targeting *ATXN1* to the cerebella of well-established mouse models improved motor phenotypes, neuropathy, and transcriptional changes. Here, we test the translatability of this approach in adult rhesus cerebella. Nine adult male and three adult female rhesus macaque were unilaterally injected with our therapeutic vector, a recombinant adeno-associated virus type 1 (rAAV1) expressing our RNAi trigger (miS1) and co-expressing enhanced green fluorescent protein (rAAV1.miS1eGFP) into the deep cerebellar nuclei using magnetic resonance imaging guided techniques combined with a Stealth Navigation system (Medtronic Inc.). Transduction was evident in the deep cerebellar nuclei, cerebellar Purkinje cells, the brainstem and the ventral lateral thalamus. Reduction of endogenous *ATXN1* messenger RNA levels were $\geq 30\%$ in the deep cerebellar nuclei, the cerebellar cortex, inferior olive, and thalamus relative to the uninjected hemisphere. There were no clinical complications, and quantitative and qualitative analyses suggest that this therapeutic intervention strategy and subsequent reduction of *ATXN1* is well tolerated. Collectively the data illustrate the biodistribution and tolerability of rAAV1.miS1eGFP administration to the adult rhesus cerebellum and are supportive of clinical application for spinocerebellar ataxia type 1.

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Abbreviations: DCN = deep cerebellar nuclei; GFP = green fluorescent protein; miRNA = microRNA; rAAV = recombinant adeno-associated virus; RNAi = RNA interference; SCA1 = spinocerebellar ataxia type 1

Introduction

Spinocerebellar ataxia type 1 (SCA1), one of nine polyglutamine (polyQ) repeat diseases, is a dominantly inherited neurodegenerative disorder with no available treatment or cure. The incidence of SCA1 is ~1–2 per 100 000 people, indicating that there are ~3000 6000 patients in the USA alone (Submarony, 1998; Subramoni, 2014). SCA1 is caused by an unstable CAG expansion in the *ATXN1* gene which encodes ataxin 1 (Orr *et al.*, 1993; Banfi *et al.*, 1994). Normally, a range of CAG repeats interspersed with 1–3 CATs are found in *ATXN1*. In SCA1 patients, the *ATXN1* CAG repeat is >39, conferring a toxic gain-of-function to ataxin 1.

Although clinical onset of SCA1 may occur from childhood through adulthood, most patients present between 30–40 years of age with progressive wide-based gait, and difficulties with balance, speech, swallowing, coordination and spasticity. Extracerebellar dysfunction may also appear with increased deep tendon reflexes and oculomotor abnormalities. Mild cognitive impairment occurs in 10–20% of patients. Neuropathological studies of tissues from SCA1 patients show that the primary sites of degeneration are the dentate nucleus, the inferior olive and cerebellar Purkinje cells. There is more degeneration in the upper vermis, less in the lateral cerebellar cortex, and mild changes in the flocculonodular lobes. There is also involvement of brainstem nuclei and spinocerebellar tracts and variable reports of cerebral involvement (Robitaille *et al.*, 1995, 1997; Donato *et al.*, 2012; Rub *et al.*, 2013). Ataxin 1 is ubiquitously expressed and is prevalent in cerebellar Purkinje cells (Servadio *et al.*, 1995). Tissues from SCA1 patients also show ataxin 1-positive nuclear inclusions in Purkinje cells and brainstem neurons and in cerebrum (Duyckaerts *et al.*, 1999).

Animal studies have been pivotal in defining the cellular and molecular mechanisms underlying SCA1 pathogenesis (Serra *et al.*, 2004, 2006; Tsuda *et al.*, 2005; Lam *et al.*, 2006; Lambrechts and Carmeliet, 2006; Bowman *et al.*, 2007; Cvetanovic *et al.*, 2007; Lim *et al.*, 2008; Morrison, 2009; Zoghbi and Orr, 2009; Lai *et al.*, 2011; Orr, 2012; Rodriguez-Lebron *et al.*, 2013). There is extensive evidence supporting the notion that the disease-causing mutation acts through a toxic gain-of-function mechanism, and that suppressing its expression would not only arrest disease progression, but may reverse disease phenotypes (Zu *et al.*, 2004; Oz *et al.*, 2011). Using a doxycycline-inducible transgenic mouse model for SCA1, Orr and colleagues (Zu *et al.*, 2004) showed that repressing mutant protein expression 12 weeks after sustained expression significantly improved pathology and behavioural deficits. Thus, a window of opportunity for gene silencing strategies, initiated after disease onset, may exist.

Gene silencing approaches include RNA interference (RNAi) (Xia *et al.*, 2002, 2004), antisense oligonucleotides (Kole *et al.*, 2011), inhibitory antibodies, and more recently

DNA editing approaches (Wood *et al.*, 2011; Basu *et al.*, 2015; Ousterout *et al.*, 2015). We previously used recombinant adeno-associated viral vectors (rAAV) expressing short hairpin RNAs or artificial microRNAs (miRNAs) in mouse models of SCA1 to test the therapeutic utility of RNAi for SCA1 (Xia *et al.*, 2004; Keiser *et al.*, 2013, 2014). Those studies informed us about the neuroanatomical area of coverage and degree of silencing required for clinical efficacy in both transgenic and knock-in models of SCA1. These data showed that a single injection of rAAV1 expressing inhibitory RNAs to the deep cerebellar nuclei (DCN) afforded widespread transduction of Purkinje cells and brainstem nuclei. While promising, the scalability of this approach for SCA patient therapy is unknown.

In this work we devised and tested a surgical approach aimed at providing adequate coverage of brain regions affected in patients with SCA1. We show that directed delivery of rAAV1 to the DCN of the rhesus macaque brain results in broad transduction of Purkinje cells and brainstem neurons, achieving widespread gene silencing activity. These data also indicate the safety of *ATXN1* silencing in primate brain.

Materials and methods

Animals and treatment groups

Nine adult male and three adult female rhesus monkeys (*Macaca mulatta*) were obtained from Abbott, Harlan, Oregon National Primate Research Center, and Tulane National Primate Research Center. Animals were pair-housed on a 12-h light/12-h dark cycle. Nine were adult male monkeys, 9.9 ± 0.8 years of age and weighed 15.7 ± 0.7 kg, and three were adult female monkeys, 7.3 ± 0.9 years old and weighed 8.3 ± 1.0 kg. All procedures were approved by the University of Illinois Chicago Institutional Animal Care and Use Committee and the Rush University Institutional Animal Care and Use Committee and accredited by the Association for Assessment and Accreditation of Laboratory Animal Care. Animal care was supervised by veterinarians skilled in the care and maintenance of non-human primates.

Stereotaxic surgery

All surgical procedures were conducted under isoflurane anaesthesia (1–3% maintenance, inhalation) and sterile field conditions. Using MRI-guided techniques combined with a Stealth Navigation system (generously donated by Medtronic Inc.), a 100- μ l Hamilton syringe fitted with a 30 G needle loaded with rAAV1.miS1eGFP or vehicle was lowered through small burr holes to the individual target deep cerebellar nuclei described in Table 1. Injection volumes shown in Table 1 were infused at 0.5–1.0 μ l/min to minimize injectate reflux, inflammation or damage to the parenchyma. After the injection, the needle was left in place for 2 min, then slowly retracted. The following pre- and postoperative analgesics were given: meloxicam (0.1 mg/kg subcutaneously once daily \times 3 days), hydromorphone (0.1 mg/kg intramuscular once preoperatively), and

Table 1 Optimization of surgical sites and doses in non-human primates

Study	Surgical target	Viral genomes	Volume	Total viral genomes	Total volume
1	Fastigial	4.00×10^{10}	50 μ l	8×10^{10} or 2.5×10^{11}	100 μ l
		1.25×10^{11}	50 μ l		
	Interposed	4.00×10^{10}	50 μ l		
		1.25×10^{11}	50 μ l		
2	Fastigial	5.20×10^{11}	20 μ l	2.6×10^{12}	100 μ l
	Interposed	7.90×10^{11}	30 μ l		
	Dentate	1.30×10^{12}	50 μ l		

sustained release buprenorphine (0.2 mg/kg subcutaneously once postoperatively). Animals received cefazolin (25 mg/kg intravenously once preoperatively) and postoperatively (25 mg/kg intramuscular twice daily for 3 days).

General health assessment

Baseline body weights were obtained before surgery. Postoperatively, animals were monitored for activity, appetite, urine/faecal output, incision healing and general appearance daily ≥ 6 days by the University of Illinois Chicago veterinary staff for adverse reactions. None of the animals were reported to have any neurological deficits from the surgeries. All of the animals were reported being bright/alert/responsive within 2 days of surgery.

Necropsy and brain tissue processing

Six or 8 weeks post-surgery, animals were deeply sedated and euthanized by transcardial saline perfusion. The brain was removed from the calvarium and placed in a chilled coronal or sagittal block and 4-mm slabs were made. On ice, bilateral tissue punches from the cerebellar cortex, DCN, inferior olive, and thalamus were collected for molecular analyses and immediately frozen to preserve DNA, RNA and protein. Remaining tissue was post-fixed in 4% paraformaldehyde solution for 48 h then transferred to a 30% sucrose solution. Coronal or sagittal slices (40 μ m) were sectioned on a microtome, and stored in cryoprotectant solution at -20°C until processed.

Semi-quantification of miRNA and ATXN1 messenger RNA expression

Total RNA was extracted from tissue punches using TRIzol[®] (Life Technologies). RNA was isolated from left and right cerebellar lobules, dentate nuclei, inferior olivary complexes from the medulla oblongata, and ventral lateral thalamic nuclei from each animal from both studies. Punches from uninjected hemispheres served as endogenous controls. MicroRNA (miRNA) specific complementary DNA was generated using miRNA stem-loop-specific primers and the High Capacity cDNA Reverse Transcription Kit (Life Technologies). Semi-quantitative PCR detected expression of S1 as previously described (Keiser *et al.*, 2013) using the BIOLASE[™] PCR Kit (Biolone). In addition, complementary DNA libraries were also generated. Endogenous mRNA levels of ATXN1 and rhesus GFAP were quantified by TaqMan[®] primer/probe sets (Applied

Biosystems). Endogenous rhesus GAPDH was used to normalize expression across samples.

Histopathological examination

Free floating (40- μ m thick) brain sections were processed by immunohistochemical visualization of enhanced GFP expression [Living Colors[®] A.V. Monoclonal Antibody (JL-8), 1:2000; Clontech], for activated microglia (Iba1, 1:1000; Wako), and for glial fibrillary acidic protein (GFAP, 1:1000; Dako) as previously described (McBride *et al.*, 2011). Sagittal cerebellar sections (two to three from each animal) were immunostained for enhanced GFP and inspected using a Leica DM600B microscope. Enhanced GFP-positive Purkinje cells were visually scored as a percentage of the total number of Purkinje cells in lobules II–X.

Neutralizing antibody assay

Serum samples were heat inactivated at 56°C for 30 min. rAAV1CMVeGFP (multiplicity of infection: 5×10^3) was diluted in serum-free Dulbecco's modified Eagle's medium and incubated with 2-fold serial dilutions (initial dilution, 1:10) of heat-inactivated serum samples on Dulbecco's modified Eagle's medium for 1 h at 37°C . Subsequently, the serum-vector mixture was added to 96-well plates seeded with 4×10^4 HEK 293 cells/well. After 48 h, the intensity of enhanced GFP was measured with Spectra Max i3x Multi-Mode detection platform. The neutralizing antibody titre was reported as the highest serum dilution that inhibited rAAV1.CMVeGFP transduction (eGFP intensity) by 50% compared with the no serum control.

In vitro analysis

HEK293 cells were transfected using Lipofectamine[®] 2000 (Invitrogen[™]) with AAV shuttle plasmid expressing miS1 or miS1eGFP. Cells were collected 24 h later and RNA was extracted for quantitative real-time PCR analysis to assess silencing.

Statistical analysis

Statistical analyses were conducted using GraphPad Prism 6.0 software. For all analyses, *P*-values < 0.05 were considered statistically significant. Quantitative analyses between left and right hemispheres for each animal were performed using paired, two-tailed, *t*-tests.

Results

Verification of inhibitory RNA expression and ataxin I suppression in non-human primate

The study design is outlined in Fig. 1. First, we tested if rAAV1.miS1eGFP delivered to the DCN of non-human primates would transduce Purkinje cells as well as DCN neurons, and that expression of miS1 would reduce endogenous non-human primate ataxin 1. Tissue punches were taken from the site of injection (medial DCN), the cerebellar cortex, inferior olive (medulla), and the ventral lateral thalamic nuclei from both hemispheres for protein and RNA evaluation (Fig. 2A) and subjected to semi-quantitative PCR confirming miS1 expression in the left DCN and cerebellar cortex (Fig. 2B). miS1 was expressed in the left and right inferior olivary complexes due to contralateral and ipsilateral projections to the DCN. We also detected miS1 in the contralateral ventral lateral thalamic nuclei in axonal projections from the DCN. The remaining brain tissue was blocked in the coronal plane in 4-mm thick sections and post-fixed for histological analysis. Immunohistochemical analyses for enhanced GFP on coronal sections demonstrated that rAAV1.miS1eGFP transduced neuronal cell bodies in the entire left DCN (fastigial, interposed and dentate) and 10–30% of the left cerebellar cortex (Fig. 2C). GFP-positive soma and dendritic arborization from a partially transduced lobule shows clear labeling of Purkinje cells (Fig. 2C, inset). Quantitative real-time PCR data showed a significant reduction in rhesus *ATXN1* mRNA levels in the cerebellar cortex, DCN, brainstem and contralateral thalamus (Fig. 2D). Biodistribution studies to assess if rAAV was present in peripheral tissues indicated that vector was not shed systemically. Vector genomes were undetectable in liver and testes as analysed by semi-quantitative PCR (Supplementary Fig. 1). These experiments demonstrate that delivery of rAAV to the DCN permits transduction of the cerebellar cortex and brainstem nuclei similar to what was found in mice, as well as cerebellar-thalamic projections, a characteristic not previously noted in earlier mouse work.

Improving biodistribution with delivery to the dentate nucleus

Because the dentate is one of the more severely affected structures affected in SCA1 (Robitaille *et al.*, 1995; Donato *et al.*, 2012), we next tested if an additional injection into the dentate was tolerated, and how the approach would impact transduction biodistribution. All animals ($n = 6$) received the same total volume of rAAV1.miS1eGFP as the first cohort, but with a different infusion paradigm (Table 1) and a higher dose of vector genomes. This increase in vector genomes provides additional information

on tolerability as we consider human dosing paradigms. As before, animals did not display overt neurological symptoms and were euthanized 8 weeks after injection. The cerebellum was removed, blocked in the sagittal plane in 4-mm thick slabs, and alternating slabs used for RNA extraction or post-fixed intact for immunohistological studies. GFP-positive Purkinje cells were found in most lobules of the cerebellar cortex (Fig. 3A). GFP-positive neurons were also notable in DCN and inferior olivary complex in the brainstem (Fig. 3B and C). GFP-positive axonal projections and presynaptic termini of DCN neurons were also detected in the contralateral thalamus, indicating anterograde (fibres) transport of rAAV1 and/or GFP (Fig. 3D).

Representative sagittal sections from the cerebellum were scored for % Purkinje cells transduced (GFP immunohistochemistry) for each animal (Fig. 4A). We found greater coverage of the anterior lobe (paleocerebellum; $33.5\% \pm 3.9$; $n = 49$) compared to the posterior lobe ($13.7\% \pm 2.3$; $n = 51$) (Fig. 4B). Tissue punches taken from the cerebellar lobules and subjected to quantitative real-time PCR analysis showed significant suppression of endogenous *ATXN1* distributed among the anterior (0.3497 ± 0.0397) and posterior lobes (0.28 ± 0.0413) relative to the uninjected cerebellar hemispheres (Fig. 4C–E). Cumulatively, punches taken from the left cerebellar hemisphere showed >30% lower levels of *AXTN1* mRNA (0.68 ± 0.05) relative to the uninjected right hemisphere (1.01 ± 0.001) ($*P < 0.05$; Fig. 5A and Supplementary Fig. 2). Punches from the left DCN (0.54 ± 0.35), left inferior olive (0.71 ± 0.06) and right thalamus (0.69 ± 0.05) also showed significantly lower levels of *ATXN1* mRNA compared to their uninjected hemisphere (paired *t*-test $*P < 0.05$; Fig. 5B–D). Notably, pre-existing neutralizing antibody titres did not impact knockdown efficacy (Supplementary Fig. 2). These data show that this delivery approach provides for widespread gene silencing in the primate cerebellum and associated structures.

rAAV1.miS1eGFP is well tolerated in non-human primate cerebellum

Inhibitory RNAs can cause toxicity in the brain (McBride *et al.*, 2008) even when expressed in the context of an artificial miRNA (Monteys *et al.*, 2014). Thus, we evaluated microglial and astroglial markers to gauge inflammatory responses after rAAV1.miS1eGFP delivery. Eight weeks post-injection, microglial activation, assessed by Iba1 immunohistochemistry, showed a slight enhancement in immunoreactivity in the left (Fig. 6A) versus the right (Fig. 6B) cerebellar cortex. There were no notable differences between the left and right hemispheres of the DCN (Fig. 6C and D), brainstem (Fig. 6E and F) or thalamus (Fig. 6G and H). Iba1-positive cells in DCNs from injected versus uninjected regions are shown for reference (Fig. 6I and J). Astrogliosis, assessed by GFAP immunohistochemistry, showed slight enhancement in immunoreactivity in

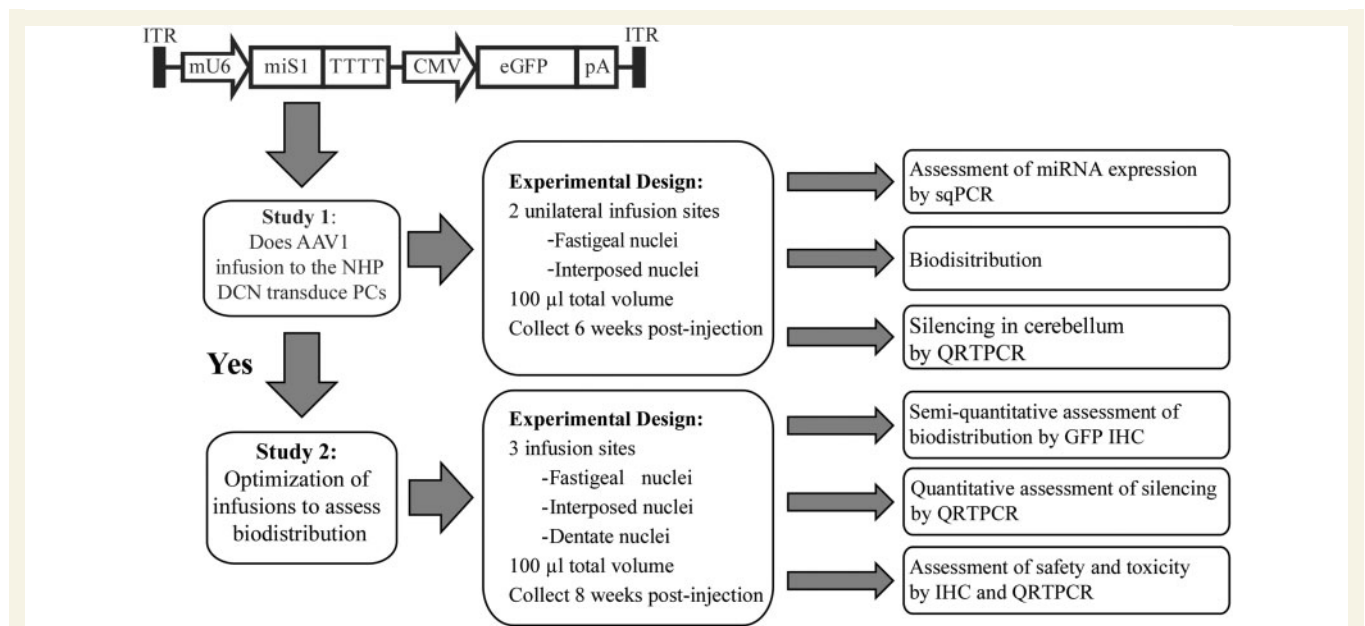


Figure 1 rAAV1.miS1eGFP construct and experimental outline for Studies 1 and 2. sqPCR = semi-quantitative PCR; QRTPCR = quantitative real-time PCR; IHC = immunohistochemistry.

the left (Fig. 6K) versus the right (Fig. 6L) cerebellar cortex and in the left (Fig. 6M) versus the right (Fig. 6N) DCN. There were no noticeable differences between the left and right hemisphere of the brainstem (Fig. 6O and P) or thalamus (Fig. 6Q and R). GFAP-positive cells from left and right DNCs (site of injection) are included for comparison (Fig. 6S and T). No significant differences in *GFAP* mRNA levels were found in punches harvested from these same areas (DCN, cerebellar cortex, inferior olive, and thalamus) as measured by quantitative real-time PCR, another sensitive indicator of glial activation (Supplementary Fig. 3).

Discussion

We previously demonstrated that injection of vectors expressing inhibitory RNAs targeted to *Atxn1* mRNA into the DCN of SCA1 mice models improved behavioural and neuropathological phenotypes (Keiser *et al.*, 2013, 2014). However, the technical feasibility and safety of this approach in primate brain was unknown, as was the biodistribution and extent of silencing that could be achieved. We show that rAAV1.miS1eGFP into the DCN of adult rhesus macaques results in broad distribution of transgene expression and reduction of *ATXN1* mRNA in the cerebellum and extracerebellar areas affected in SCA1. rAAV1 was transported retrograde to Purkinje cells and neurons of the inferior olive, similar to what is seen in rodents after DCN injection (Keiser *et al.*, 2014). rAAV1 vector is also transported anterograde allowing for expression in the presynaptic axonal projections from the DCN.

In earlier work, Bu and colleagues (2012) injected rAAV1 into the motor cortex, occipital cortex, striatum, thalamus,

hippocampus, and the DCN to test an approach for overall CNS gene therapy. Our work is consistent with their findings from their DCN injections. We expanded on their approach by performing injections encompassing the medial, fastigial and dentate nuclei. As the extent of transduction from these approaches was not known, we quantified Purkinje cell transduction, and found 33% of Purkinje cells to be transduced on average, with the anterior lobules transduced more readily than posterior lobules. As the superior medial cerebellum (anterior lobe) is more affected than the lateral cortex in SCA1, these results are encouraging for translating this work to the clinic for patient treatment (Robitaille *et al.*, 1995, 1997; Donato *et al.*, 2012).

Prior work in mice models of SCA1 indicate that between 10–30% Purkinje cell transduction may be sufficient for clinical efficacy. We found significant benefit from transducing ~10% of Purkinje cells after injection of rAAVs expressing a short hairpin RNA targeting human *ATXN1* mRNA into the cerebellar cortex of a SCA1 transgenic mouse model (Xia *et al.*, 2004). In later work in this same model, we found ~30% reduction of mutant *ATXN1* mRNA from directed delivery to the DCN, again with significant improvements in disease readouts. Finally, using a knock-in model but the same gene delivery approach, we found 27% reduction in murine *Atxn1* mRNA; however, the tissue lysates in that work included transduced Purkinje cells and non-transduced cells in the granule and molecular layers. Similarly, we find ~31% reduction in rhesus *ATXN1* mRNA levels in cerebellar punches that include the molecular layer (Purkinje cell bodies, their dendrites and other cells within the molecular layer) and cells within the granule cell layer. These data

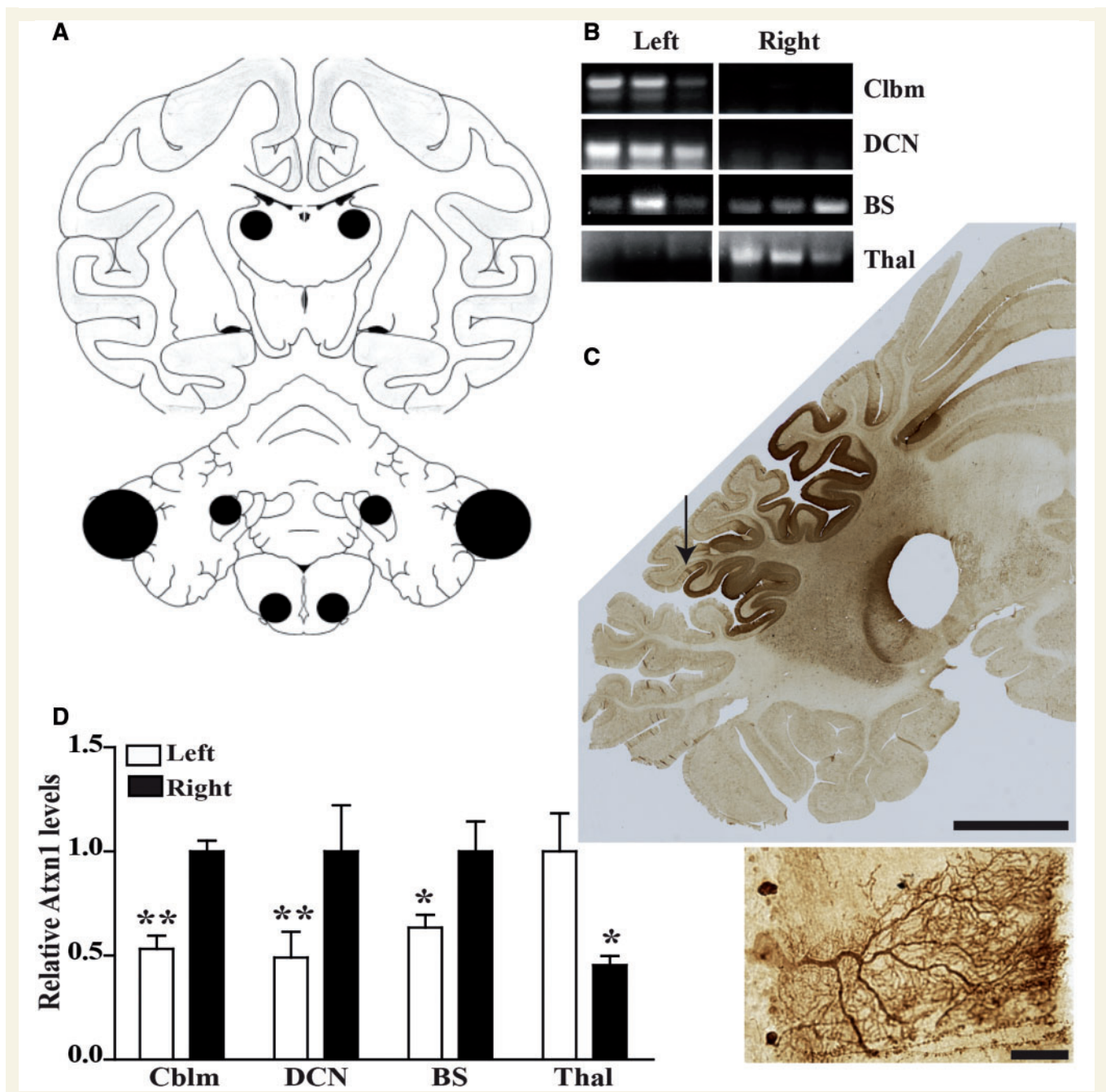


Figure 2 Purkinje cell transduction and ATXN1 mRNA silencing. (A) Cartoon showing locations of tissue punches taken from the ventral lateral thalamic nuclei (*top*), the DCN, the cerebellar cortex, and the inferior olivary complex (*bottom*). (B) miRNA expression by semi-quantitative PCR from three representative animals from left and right cerebellum (Cblm), DCN, inferior olive from the brainstem (BS), and thalamus (Thal). (C) Representative stitched sagittal photomicrograph of the injected (*left*) hemisphere immunostained for enhanced GFP (dark brown). Scale bar = 1 mm. Arrow depicts region of inset (*below*), showing transduced Purkinje cell body and associated dendritic tree. Scale bar = 50 μ m. (D) ATXN1 mRNA levels quantified from punches taken from left (white bars) and right (black bars) lobules ($n = 6$ punches per hemisphere per location) of the cerebellar cortex (Cblm: 0.52 ± 0.6), dentate nucleus (DCN: 0.49 ± 0.12), inferior olive (BS: 0.63 ± 0.06) and thalamus (Thal: 0.46 ± 0.04) expressed as a percentage of the average amount detected in the right hemisphere (Cblm: 1.00 ± 0.05 ; DCN: 1.00 ± 0.22 ; BS: 1.00 ± 0.14) or left hemisphere (Thal: 1.00 ± 0.18). * $P < 0.05$; ** $P < 0.01$.

indicate that infusions of rAAV into these deep cerebellar nuclei will provide sufficient coverage and knockdown of mutant ATXN1 mRNA levels in the cerebellar cortex for clinical benefit in patients.

In addition to the cerebellar cortex, the dentate and inferior olive are among the most severely affected regions (Robitaille *et al.*, 1995; Donato *et al.*, 2012), as well as the ventral lateral thalamic nuclei (Rub *et al.*, 2012,

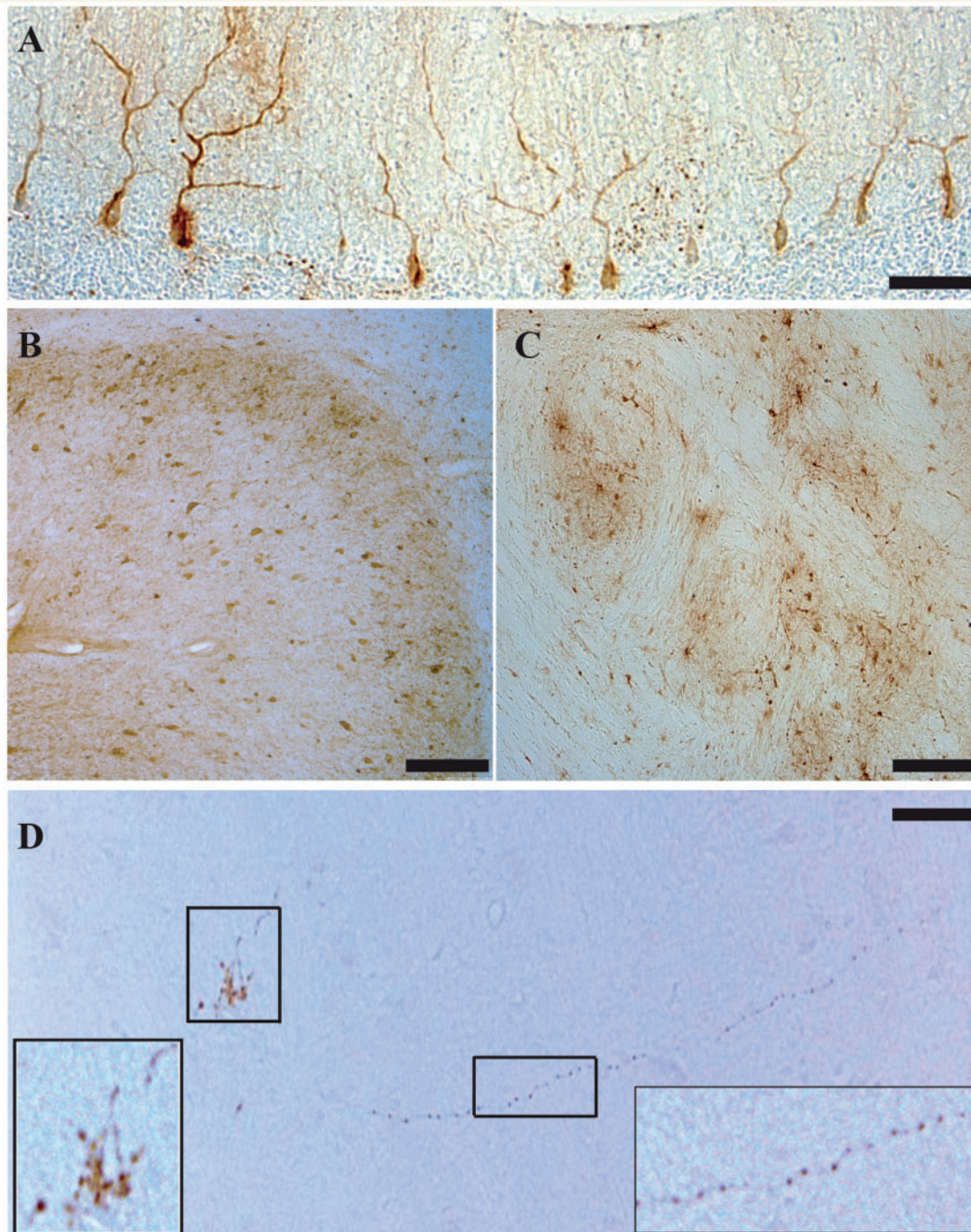


Figure 3 Enhanced GFP immunohistochemistry. (A) Representative sagittal section from the left hemisphere of an injected cerebellum. Enhanced GFP positive immunostaining in Purkinje cell somas and their dendritic arbors. Scale bar = 100 μm . (B) Coronal section of an injected cerebellum showing enhanced GFP positive neurons in the dentate nucleus. Scale bar = 400 μm . (C) Enhanced GFP positive neurons within the left inferior olivary complex. Scale bar = 200 μm . (D) Enhanced GFP positive axonal projections from the contralateral DCN synapsing onto the ventral lateral nuclei of the right thalamus. Left inset shows enhanced GFP-positive presynaptic termini. Right inset shows enhanced GFP-positive magnified axon. Scale bar = 800 μm .

2013). In support of SCA1 therapy, we see $\sim 50\%$ silencing in the DCN with transduction of most cells, and $\sim 30\%$ silencing in the inferior olive and ventral lateral thalamus. Thus this delivery paradigm affords sufficient coverage of areas affected in SCA1, with reduction of *ATXN1* mRNA

(or mouse *Atxn1* mRNA) to levels shown to be efficacious in mouse models (Xia *et al.*, 2004; Keiser *et al.*, 2013, 2014).

rAAV delivery is known to elicit a cellular immune response (Louis Jeune *et al.*, 2013). This could be

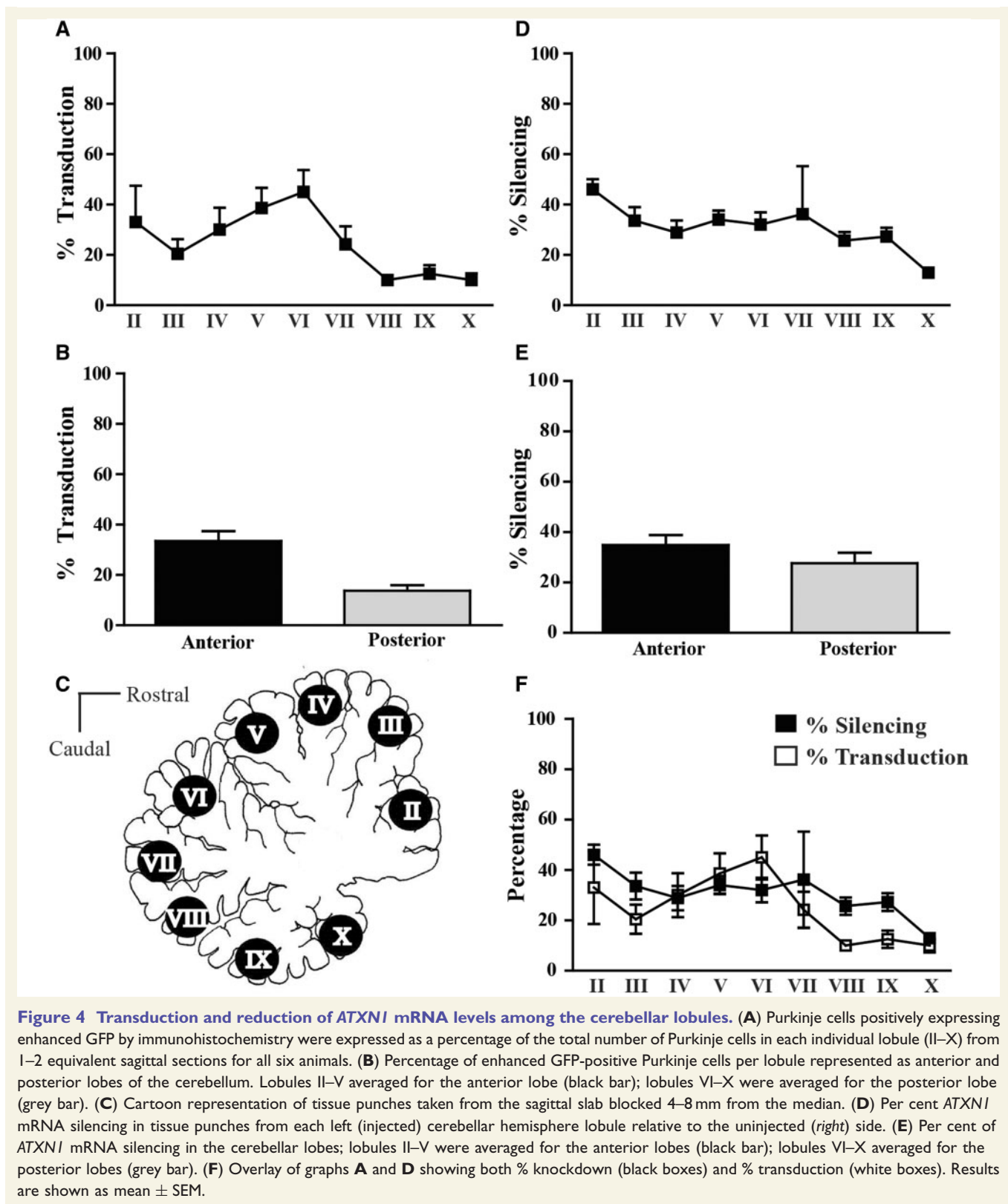


Figure 4 Transduction and reduction of *ATXN1* mRNA levels among the cerebellar lobules. **(A)** Purkinje cells positively expressing enhanced GFP by immunohistochemistry were expressed as a percentage of the total number of Purkinje cells in each individual lobule (II–X) from 1–2 equivalent sagittal sections for all six animals. **(B)** Percentage of enhanced GFP-positive Purkinje cells per lobule represented as anterior and posterior lobes of the cerebellum. Lobules II–V averaged for the anterior lobe (black bar); lobules VI–X were averaged for the posterior lobe (grey bar). **(C)** Cartoon representation of tissue punches taken from the sagittal slab blocked 4–8 mm from the median. **(D)** Per cent *ATXN1* mRNA silencing in tissue punches from each left (injected) cerebellar hemisphere lobule relative to the uninjected (right) side. **(E)** Per cent of *ATXN1* mRNA silencing in the cerebellar lobes; lobules II–V were averaged for the anterior lobes (black bar); lobules VI–X averaged for the posterior lobes (grey bar). **(F)** Overlay of graphs **A** and **D** showing both % knockdown (black boxes) and % transduction (white boxes). Results are shown as mean \pm SEM.

problematic if readministration was required. However, work by Bankiewicz and colleagues (Bankiewicz *et al.*, 2006; Hadaczek *et al.*, 2010) has shown that rAAV vector expression persists for up to 8 years in non-human

primates and others have seen long lasting expression in dogs (Acland *et al.*, 2005; Stieger *et al.*, 2009), making readministration unlikely. Moreover, a recent publication demonstrated the safety and tolerability of readministering

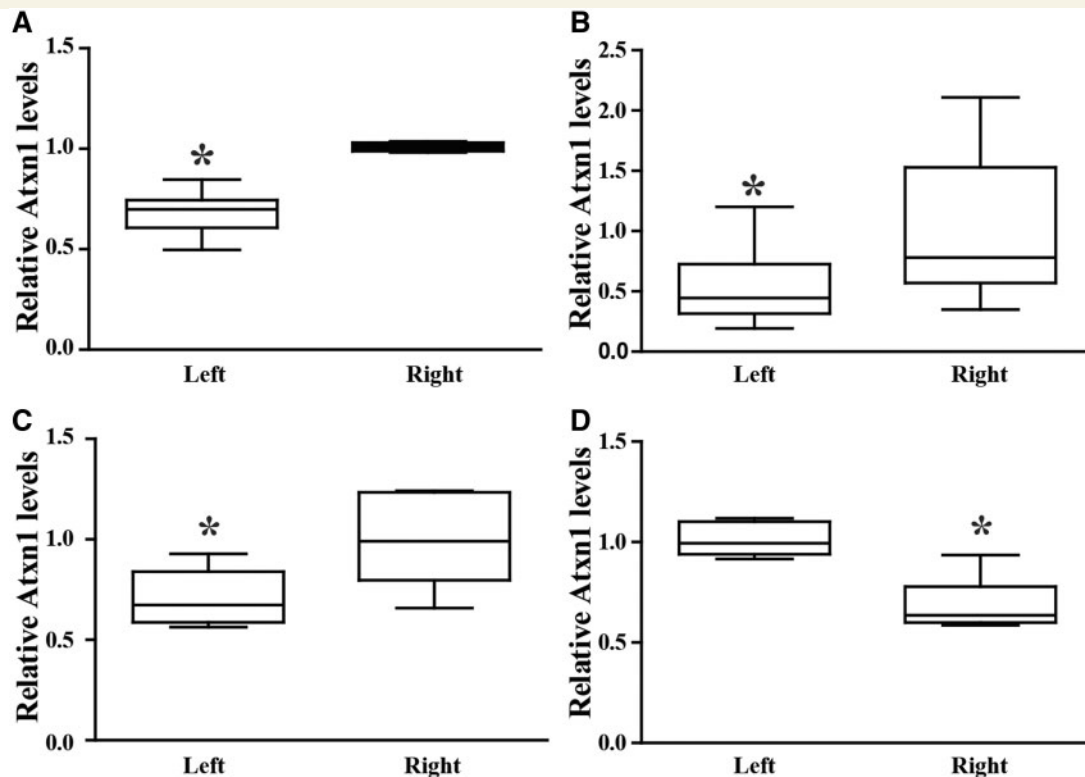


Figure 5 ATXN1 mRNA levels. (A) Expression of *ATXN1* mRNA levels from tissue punches taken from the left cerebellar cortex (0.68 ± 0.05) relative to the right (1.01 ± 0.001 ; $n = 6$; $*P < 0.05$). (B) Expression of *ATXN1* mRNA levels from tissue punches taken from left dentate nuclei (0.54 ± 0.14) relative to the right (1.00 ± 0.26 ; $n = 6$; $*P < 0.05$). (C) Expression of *ATXN1* mRNA levels from tissue punches taken from the left inferior olivary complex (0.71 ± 0.06) relative to the right (0.99 ± 0.09 ; $n = 6$; $*P < 0.05$). (D) Expression of *ATXN1* mRNA levels from tissue punches taken from the right ventral lateral thalamic nuclei (0.69 ± 0.05) relative to the left (1.01 ± 0.03 ; $n = 6$; $*P < 0.05$). Results are shown as whisker plots.

rAAVs to patients; Bennett *et al.* (2012) showed that readministration of rAAV to the retina contralateral to the one treated 1.7 to 3.3 years earlier was not only tolerated, but that there was no detrimental effect to the earlier beneficial effect from the eye treated first. In the case of delivery of rAAV to brains of primates with pre-existing immunities, McBride *et al.* (2011) have analysed the effects of neutralizing antibodies to rAAV1 in non-human primate on the volume of transduction in striatum. They saw no significant difference in the amount of striatal transduction in animals with higher levels of neutralizing antibody versus animals that had lower neutralizing antibody levels (McBride *et al.*, 2011). Similarly, in this work, we saw no correlation between pre-existing neutralizing antibody titres and knockdown efficiency or biodistribution (Supplementary Fig. 2).

rAAV delivery for gene silencing in non-human primates has to date been reported for cerebral but not cerebellar targets. McBride *et al.* (2011) and Grondin *et al.* (2012) showed that 6 weeks or 6 months reduction of huntingtin in rhesus macaques was well tolerated. Other studies in rodents have shown that inhibitory RNAs, either in the form of short hairpin RNAs or miRNAs, can induce

off-target silencing or cause toxicity through other mechanisms (McBride *et al.*, 2008; Monteys *et al.*, 2014), with associated glial activation and in some cases, cell loss (Gorbatyuk *et al.*, 2010). In the present study, *ATXN1* mRNA levels were reduced from 30–50% depending on the region examined, and both quantitative and qualitative observations using inflammatory markers for astroglia and microglia suggest that this reduction is well tolerated. Additionally, enhanced GFP can be toxic (Samaranch *et al.*, 2014), which may underlie the modest qualitative increase in GFAP- and Iba1-immunoreactivity in the cerebellar cortex in the injected, versus the uninjected hemispheres. While there were no significant differences in *GFAP* mRNA levels, further studies with vectors lacking enhanced GFP will be important to fully assess the safety of our approach. Importantly, removing enhanced GFP from the expression cassette does not impact the potency of *ATXN1* knockdown (Supplementary Fig. 4).

Overall, our data demonstrate the efficacy and tolerability of rAAV1.miS1eGFP administration to the DCN of non-human primate and the data are supportive of clinical application of this gene therapy in SCA1.

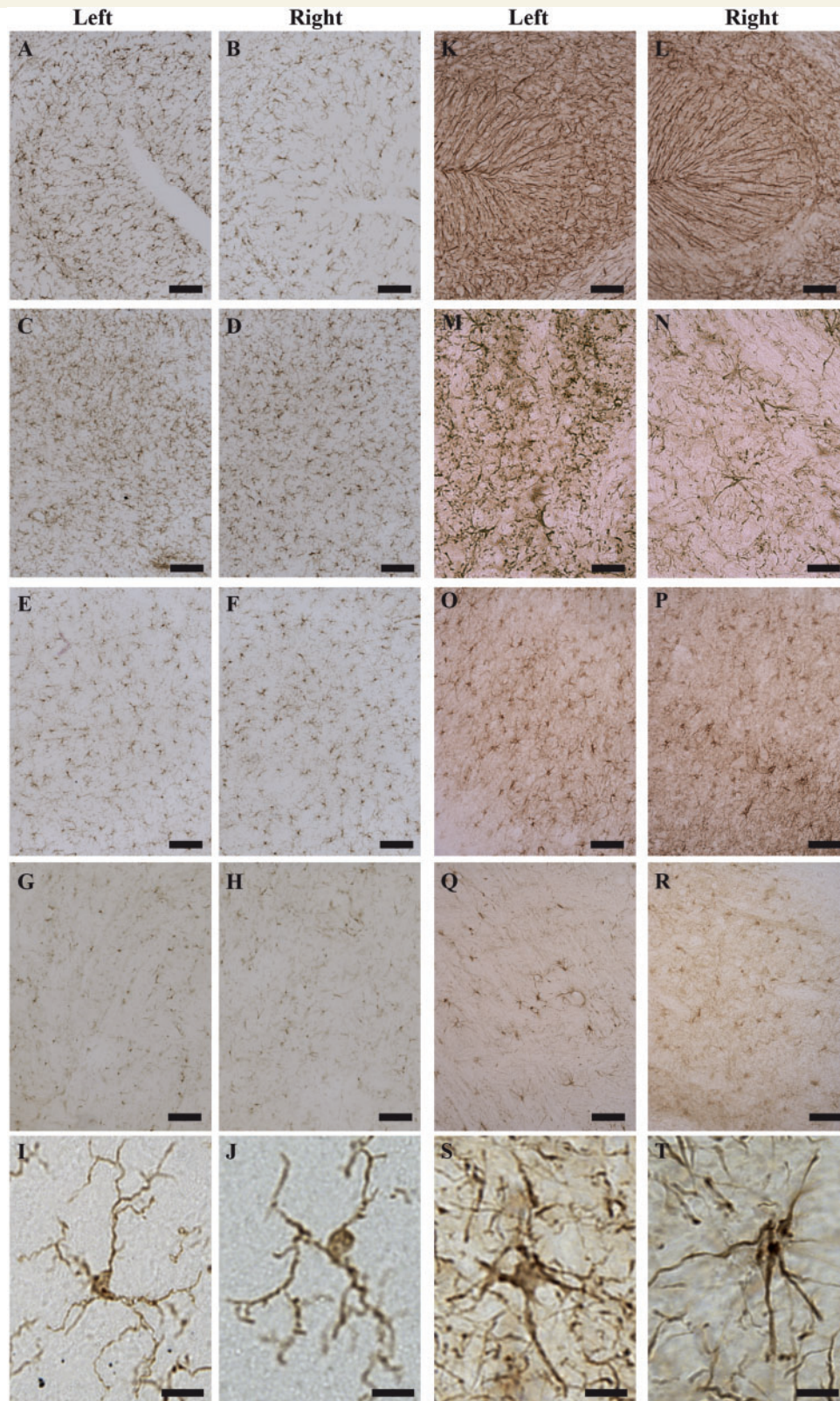


Figure 6 rAAV1.miSIeGFP is tolerated in non-human primate cerebellum. (A–J) IBA1 immunostaining in the left and right cerebellar cortex (A and B); left and right DCN (C and D); left and right inferior olivary complex (E and F); and left and right thalamus (G and H). Scale bars = 100 μ m. Ramified microglia from left (I) and right (J) hemispheres. Scale bars = 50 μ m. (K–T) GFAP immunostaining in the left and right cerebellar cortex (K and L); left and right DCN (M and N); left and right inferior olivary complex (O and P); and left and right thalamus (Q and R). Scale bars = 100 μ m. Astroglia at higher magnification from left (S) and right (T) hemispheres. Scale bars = 50 μ m.

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Supplementary material

Supplementary material is available at *Brain* online.

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