

# Prospective Study on Noninvasive Assessment of Intracranial Pressure in Traumatic Brain-Injured Patients: Comparison of Four Methods

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## Abstract

Elevation of intracranial pressure (ICP) may occur in many diseases, and therefore the ability to measure it noninvasively would be useful. Flow velocity signals from transcranial Doppler (TCD) have been used to estimate ICP; however, the relative accuracy of these methods is unclear. This study aimed to compare four previously described TCD-based methods with directly measured ICP in a prospective cohort of traumatic brain-injured patients. Noninvasive ICP (nICP) was obtained using the following methods: 1) a mathematical “black-box” model based on interaction between TCD and arterial blood pressure (*nICP\_BB*); 2) based on diastolic flow velocity (*nICP\_FVd*); 3) based on critical closing pressure (*nICP\_CrCP*); and 4) based on TCD-derived pulsatility index (*nICP\_PI*). In time domain, for recordings including spontaneous changes in ICP greater than 7 mm Hg, *nICP\_PI* showed the best correlation with measured ICP ( $R=0.61$ ). Considering every TCD recording as an independent event, *nICP\_BB* generally showed to be the best estimator of measured ICP ( $R=0.39$ ;  $p<0.05$ ; 95% confidence interval [CI]=9.94 mm Hg; area under the curve [AUC]=0.66;  $p<0.05$ ). For *nICP\_FVd*, although it presented similar correlation coefficient to *nICP\_BB* and marginally better AUC (0.70;  $p<0.05$ ), it demonstrated a greater 95% CI for prediction of ICP (14.62 mm Hg). *nICP\_CrCP* presented a moderate correlation coefficient ( $R=0.35$ ;  $p<0.05$ ) and similar 95% CI to *nICP\_BB* (9.19 mm Hg), but failed to distinguish between normal and raised ICP (AUC=0.64;  $p>0.05$ ). *nICP\_PI* was not related to measured ICP using any of the above statistical indicators. We also introduced a new estimator (*nICP\_Av*) based on the average of three methods (*nICP\_BB*, *nICP\_FVd*, and *nICP\_CrCP*), which overall presented improved statistical indicators ( $R=0.47$ ;  $p<0.05$ ; 95% CI=9.17 mm Hg; AUC=0.73;  $p<0.05$ ). *nICP\_PI* appeared to reflect changes in ICP in time most accurately. *nICP\_BB* was the best estimator for ICP “as a number.” *nICP\_Av* demonstrated to improve the accuracy of measured ICP estimation.

**Key words:** noninvasive ICP monitoring; transcranial Doppler; traumatic brain injury

## Introduction

**I**NTRACRANIAL PRESSURE (ICP) has at least four components, driven by different physiological mechanisms.<sup>1</sup> The first component is associated with arterial blood inflow and volume of arterial blood. The most common phenomenon associated with this component is plateau wave of ICP. The second component of ICP is associated with venous blood outflow. Every obstruction to the outflow of blood leads to elevation of ICP (like venous compression resulting from wrong head position, but also venous thrombosis). The third component is related to problems with cerebrospinal fluid

(CSF) circulation, as commonly observed in “acute hydrocephalus” after brain injury or subarachnoid hemorrhage. In neurocritical care, this component is commonly eradicated by extraventricular drainage. Finally, the fourth component is related to increase in brain volume (edema) or volume of contusion (like hematoma). Osmotherapy or surgical decompression is commonly used to eradicate this component. In clinical practice, it is important not only to monitor absolute value of ICP, but also to recognize which component is responsible for observed intracranial hypertension (ICH), given that clearly different measures are appropriate for controlling different components.

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Direct ICP measurement requires invasive insertion of a pressure transducer within the CSF compartment or the brain tissue. However, because of the risk of infection or bleeding, direct measurement of ICP is not often considered. To provide alternatives for patients who might benefit from ICP monitoring, some attempts have been made to develop methods to assess it noninvasively and continuously.

Several methods for noninvasive assessment of ICP (nICP) have been described so far: transcranial Doppler ultrasonography (TCD) to measure cerebral blood flow velocity indices<sup>2</sup>; skull vibrations<sup>3</sup>; brain tissue resonance<sup>4</sup> or transcranial time of flight<sup>5</sup>; venous ophthalmodynamometry<sup>6</sup>; optic nerve sheath diameter assessment (ONSD)<sup>7</sup>; sensing tympanic membrane displacement (TMD)<sup>8,9</sup>; otoacoustic emissions<sup>10</sup>; magnetic resonance imaging to estimate intracranial compliance<sup>11</sup>; ultrasound-guided eyeball compression<sup>12</sup>; and recordings of visual evoked potentials.<sup>13</sup> These methods are better suited for one-point assessment of instant value of ICP rather than continuous monitoring. Reported absolute accuracies (95% confidence interval [CI] for prediction of ICP) are described for transcranial time of flight as 20 mm Hg and 9 mm Hg; 3–5 mm Hg for ophthalmodynamometry; 5–10 mm Hg for ONSD; 15–20 mm Hg for TMD and otoacoustic emissions<sup>14</sup>; and 9–16 mm Hg for methods based on TCD waveforms.

TCD waveform analysis, owing to its sensitivity to detect changes in cerebral blood flow, has been investigated as a noninvasive ICP estimator.<sup>15–28</sup> In these methods, the insonated compliant middle cerebral artery (MCA) is interpreted as a “biological” pressure transducer, with walls that can be deflected by transmural pressure (equivalent to cerebral perfusion pressure [CPP]), modulating accordingly the pulsatile waveform of cerebral blood flow velocity (FV). Transmission of this “transducer,” its linearity, stability in time, and calibration coefficients are unknown—and these factors mainly contribute to limited accuracy of TCD-based methods. The absolute error may be compensated for by the ability to monitor dynamics of changes in measured ICP and also because monitoring can easily be repeated bedside without any risk for the patient.

Existing noninvasive ICP methods based on TCD waveform analysis present with different CIs for prediction of ICP in traumatic brain injury (TBI) patients. Schmidt and colleagues, applying a mathematical “black-box” model (i.e., based not on physiological structure, but rather on a set of formal mathematical expressions) to estimate ICP from cerebral blood FV and arterial blood pressure (ABP), found a maximum 95% CI for ICP prediction of 12.8 mm Hg<sup>15</sup>; Heldt and colleagues, also using a model based on FV and ABP, found 15 mm Hg<sup>26</sup>; and Bellner and colleagues, investigating the relationship between ICP- and TCD-derived pulsatility index (PI) reported a 95% CI for prediction of 4.2 mm Hg.<sup>17</sup> Such an optimistic accuracy was not confirmed by other investigators: With pediatric patients, the absolute value of TCD-derived pulsatility index (PI) was found to be an unreliable noninvasive estimator for ICP in TBI.<sup>18</sup> Correlation with ICP in this case was 0.36 ( $p=0.04$ ), much weaker than the correlation found by Bellner and colleagues in adults ( $R=0.938$ ;  $p<0.0001$ ).<sup>17</sup>

Other TCD approaches for noninvasive ICP monitoring were originally intended for estimating the noninvasive cerebral perfusion pressure (nCPP). However, noninvasive ICP can be calculated based on the assumption that  $nICP = ABP - nCPP$ .<sup>29,30</sup>

Because of the variability in the reported degree of agreement between TCD-based nICP methods and measured ICP, this study aimed to compare four TCD-based nICP methods with measured ICP in a single prospective cohort of TBI patients.

## Methods

### Patient population

This study included prospectively collected data from 40 TBI patients (32 males [80%], 8 females [20%]; population mean age,  $35 \pm 15$  years), hospitalized in the Neurocritical Care Unit of Addenbrooke’s Hospital (Cambridge, UK) between 2013 and 2015. Patients were sedated, ventilated, and managed in the Neurocritical Care Unit with a therapeutic protocol aiming for an ICP  $<25$  mm Hg and CPP around 60–70 mm Hg. The median pre-intubation Glasgow Coma Scale (GCS) score of the patients was 6 (range, 3–14). The data included daily recordings of ABP, ICP, and TCD, in a total of 66 recordings. Informed consent was obtained from all patients (or their next of kin) for the use of collected data for research purposes. The study was approved by the research ethics committee (29 REC 97/291).

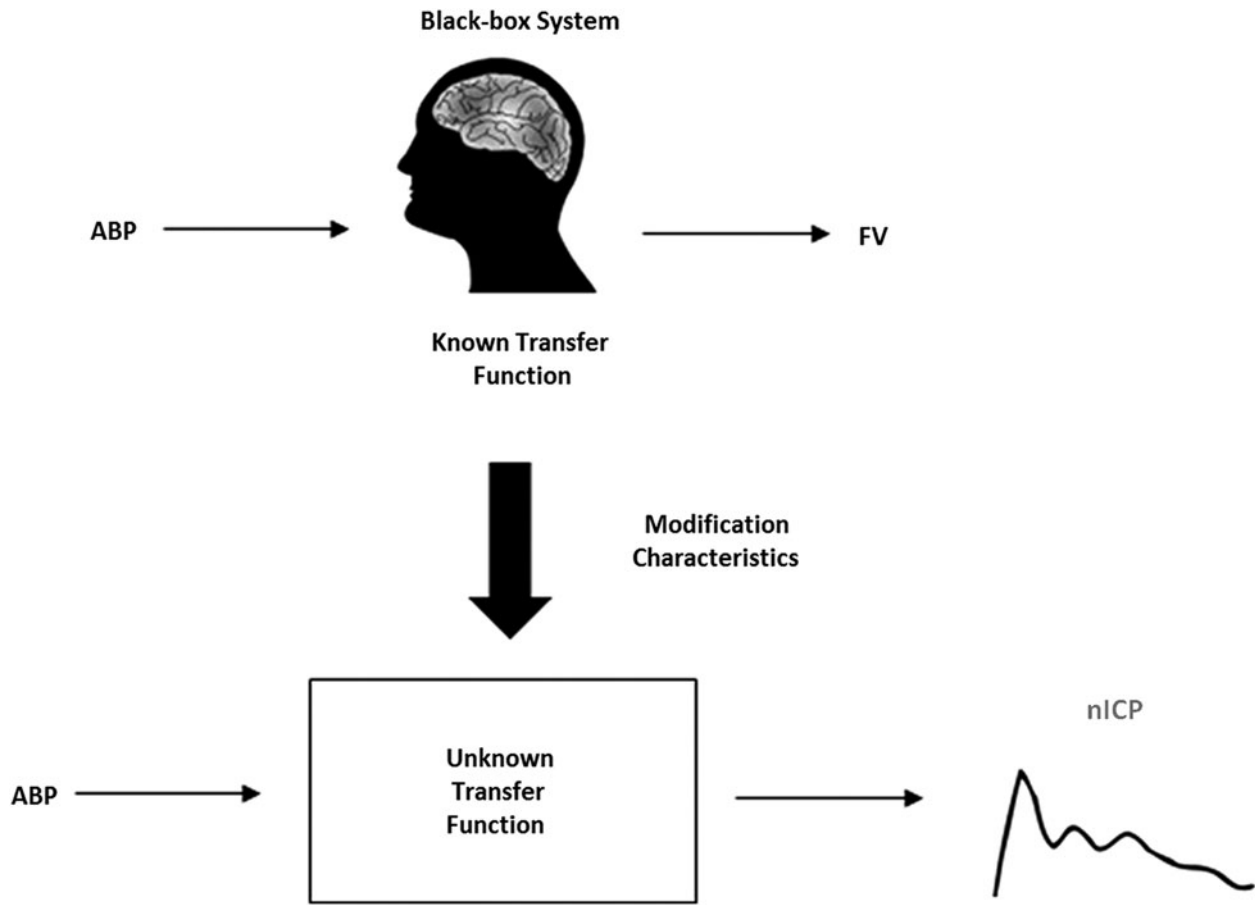
### Data collection and calculations

For ABP recordings, a pressure monitoring kit (Baxter Healthcare Health Care Corp. Cardio Vascular Group, Irvine, CA) at the radial artery was used, zeroed at the level of the heart. ICP monitoring was performed by an intraparenchymal probe (Codman ICP MicroSensor; Codman & Shurtleff, Raynham, MA). Cerebral blood FV was obtained from the MCA, bilaterally when possible, with a 2-MHz probe and monitored with the Doppler Box (DWL Compumedics Ltd, Singen, Germany). TCD recordings were performed for periods ranging from 10 min up to 1 h, starting from the day of initiation of invasive ICP monitoring. An analog-digital converter was used to digitize the raw data signals at a sampling frequency of 50 Hz, which were then recorded using ICM+ software (Cambridge Enterprise: <http://www.neurosurg.cam.ac.uk/icmplus/>). All calculations, including mean values of ABP, ICP, and FV, were performed over a moving average window of 10 sec. For FV, the right MCA was chosen because the intraparenchymal ICP probe was inserted on the right side, but in recordings which right FV was of poor quality and left side was better, the left side was taken instead.

### Noninvasive intracranial pressure methods

The four methods used for nICP estimation in this study were:

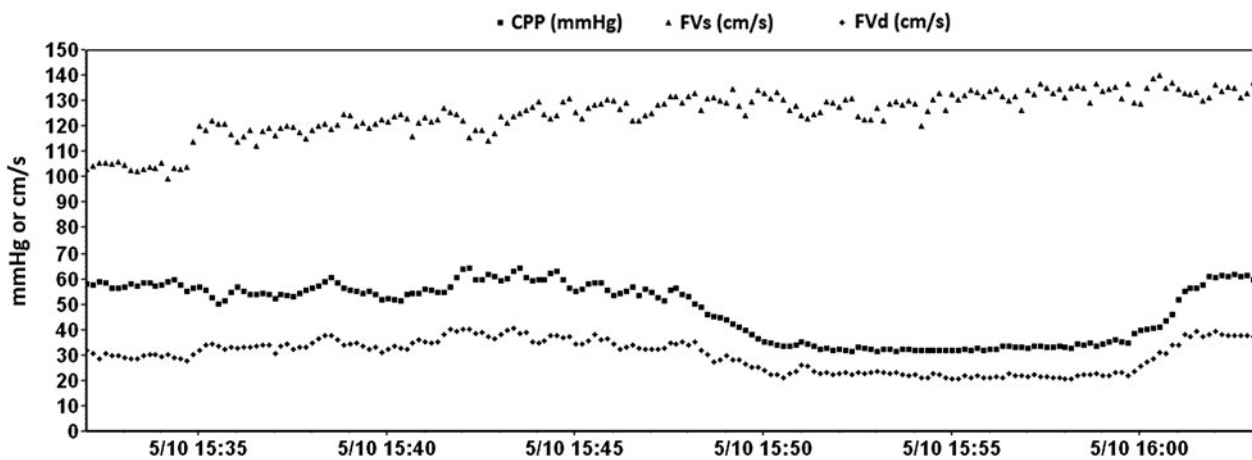
- 1) Schmidt and colleagues “black-box” (BB) model<sup>20</sup> (*nICP\_BB*): In this model, the intracranial compartment was considered a black-box system. This mathematical model is based on results from systems analysis, which provides a method to describe systems, in particular, physiological systems, with input and output signals. The outgoing signals are considered the system’s responses to its stimulation by incoming signals. In this case, the intracranial compartment was indirectly described by a transfer function approach,<sup>31,32</sup> which connected the assumed input signal ABP with the output signal ICP (nICP). The transformation rules between ABP and ICP were controlled and continuously adjusted by selected hemodynamic parameters (TCD characteristics), characterizing patterns of FV as well as the ABP-FV relationship. The output data provide full waveform of nICP (in mm Hg). A constant relationship between FV-ABP and ABP-nICP transformations was derived from analysis of database including 140 TBI patients. Noninvasive ICP estimation using this method was performed using a plugin developed for ICM+ software. An illustrative representation of this model is presented in Figure 1.
- 2) Czosnyka and colleagues<sup>29</sup> (*nICP\_FVd*): TCD ultrasonography offers nonquantitative measurements of cerebral



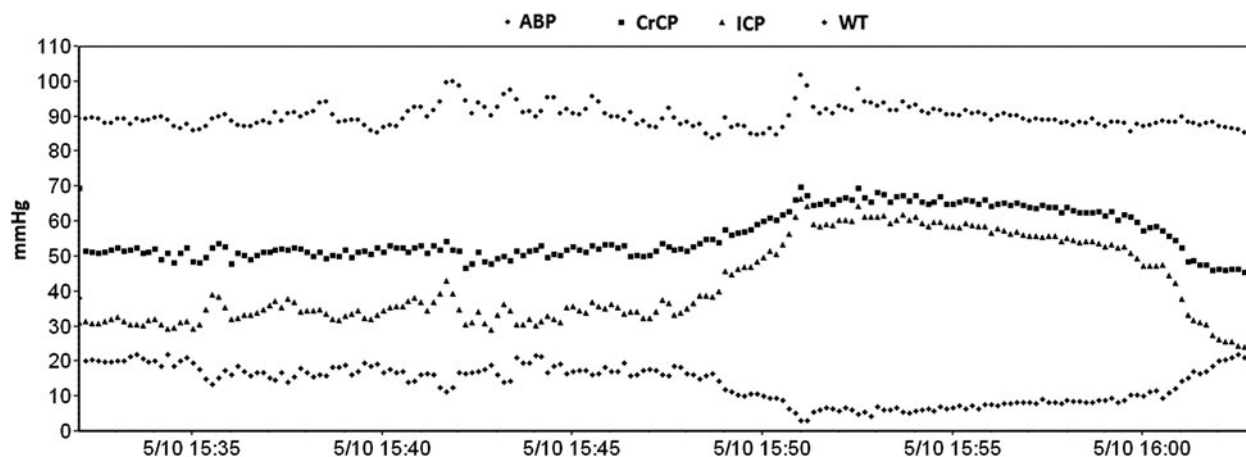
**FIG. 1.** Schematic representation of the black-box model for nICP estimation. A known transfer function between ABP and FV alongside modification (transcranial Doppler ultrasonography) characteristics is used as a means to continuously find a relationship between ABP and nICP transformations (unknown transfer function). ABP, arterial blood pressure; FV, flow velocity; nICP, non-invasive assessment of intracranial pressure.

blood flow (CBF). Global changes in CBF can be monitored continuously and noninvasively using blood FV.<sup>33</sup> Over this concept, some studies have demonstrated that specific patterns of TCD waveform reflect inadequate cerebral perfusion caused by a decrease in CPP.<sup>29,34</sup> In such cases, there is

a drop in diastolic FV, whereas the systolic component remains relatively unchanged (as illustrated in Fig. 2). These characteristics observed in the CBF velocity waveform pattern can be used as indicators of perfusion derangements and have been applied as variables for nCPP estimation. For



**FIG. 2.** Systolic and diastolic flow velocities behaviour during a drop of cerebral perfusion pressure. Low FVd component in this case indicates inadequate CPP (resulting from plateau increase of intracranial pressure). CPP, cerebral perfusion pressure; FVs, systolic flow velocity; FVd, diastolic flow velocity.



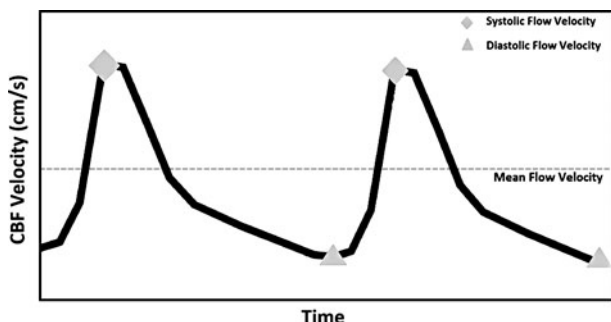
**FIG. 3.** Representation of the CrCP interaction with ICP and WT in a situation of intracranial hypertension (plateau increase of ICP). ABP, arterial blood pressure; CrCP, critical closing pressure; ICP, intracranial pressure; WT, vascular wall tension.

this method, based on waveform analysis of blood FV measured in the MCA, the diastolic FV was used for the estimation of nCPP. nICP, on the other hand, was calculated as the difference between ABP and nCPP (nICP = ABP - nCPP). The equation for nCPP estimation was (Equation 1):

$$nCPP = ABP \times \frac{FVd}{FVm} + 14 \text{ mmHg} \quad (1)$$

FVd and FVm (cm/s) represent diastolic and mean FV, respectively. 14 mm Hg is “zero compensation factor” established in a cohort of TBI patients.<sup>29</sup>

- Varsos and colleagues<sup>30</sup> (nICP\_CrCP): Similarly, this method calculates nICP based on nCPP, in this case specifically using the concept of critical closing pressure (CrCP). According to Burton’s model, CrCP is equal to the sum of ICP and vascular wall tension (WT)<sup>35,36</sup>: CrCP = ICP + WT. By definition, CrCP denotes a threshold of ABP, below which the brain microvascular blood pressure is inadequate to prevent the collapse and cessation of blood flow.<sup>35</sup> Given the association of CrCP with the vasomotor tone of small blood vessels (i.e., wall tension), this concept may be able to provide information regarding the state of cerebral hemodynamics in several neurological conditions<sup>35,37-40</sup> and for this method was applied as a variable for nCPP estimation. Figure 3 illustrates the concept of CrCP,



**FIG. 4.** Representation of the flow velocity components (FVs, FVd, and FVm) used for pulsatility index calculation. CBF, cerebral blood flow; FVs, systolic flow velocity; FVd, diastolic flow velocity; FVm, mean flow velocity.

showing its interaction with ICP and WT in a situation of ICH. The equation for nCPP estimation is (Equation 2):

$$nCPP = ABP \times \left[ 0.734 - \frac{0.266}{\sqrt{(CVR \times Ca \times HR \times 2\pi)^2 + 1}} \right] - 7.026 \quad (2)$$

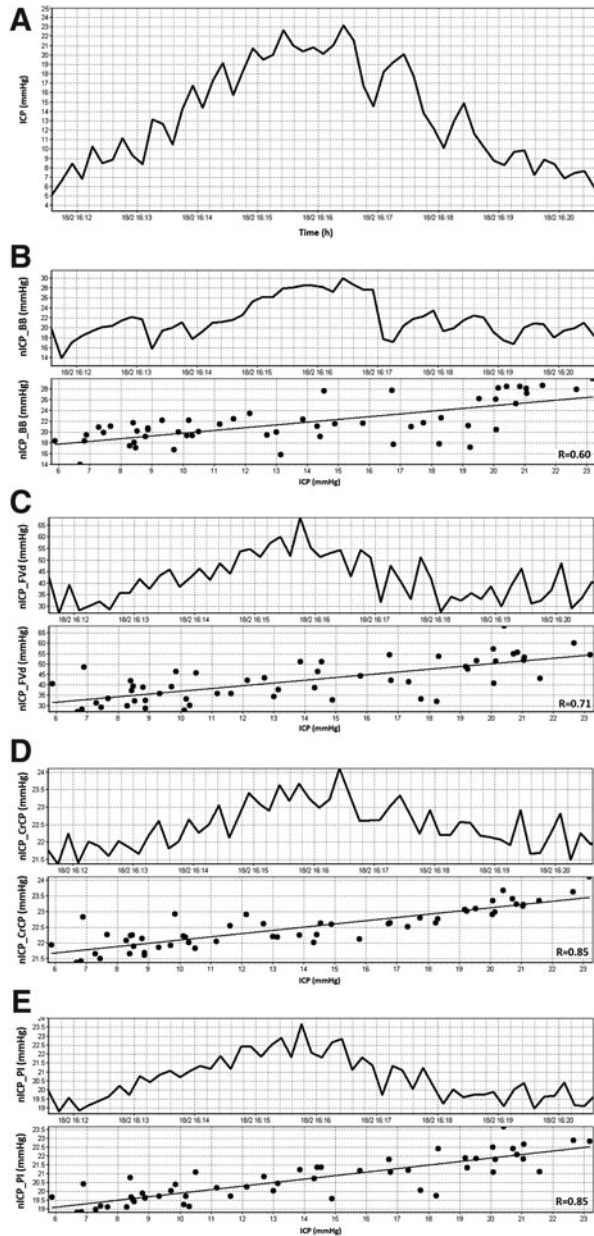
CVR (mmHg/(cm/sec)) represents cerebral vascular resistance, Ca (cm/mmHg) denotes compliance of the cerebral arterial bed, and HR expresses heart rate (beats/sec), with ABP and FV as the required measurements. Finally, nICP can be obtained as the difference between ABP and nCPP (nICP = ABP - nCPP). Constant coefficients (0.734, 0.266, and 7.026 mm Hg) are derived from analysis of database of 232 retrospective cases.<sup>30</sup>

- nICP\_Pi: Pulsatility index (PI) describes quantitatively and qualitatively changes in the morphology of the TCD waveform resulting from cerebrovascular resistance (CVR) changes. It is a relationship between the difference of FVs (systolic flow velocity) and FVd divided by FVm (Fig. 4; Equation 4). PI-based methods rely on the observation that during rise in ICP, PI increases. However, there are several situations in which PI may increase independently of an increase in ICP. This may occur, for example, during lowering in CPP (which may involve either a rise in ICP or a decrease in ABP) and also during hypocapnia or increase in pulsatility of ABP waveform. nICP estimation based on TCD-derived PI was derived

TABLE 1. DEMOGRAPHICS OF DATA

No. of patients	40
No. of recordings	66
Age	35 ± 15
Sex	32 males/8 females
GCS	6 ± 3
ICP (mm Hg)	13.57 ± 4.96
ABP (mm Hg)	93.74 ± 12.72
CPP (mm Hg)	80.17 ± 12.30

GCS, Glasgow Coma Scale; ABP, arterial blood pressure; CPP, cerebral perfusion pressure.



**FIG. 5.** Example of recording of nICP with four investigated methods in a case when ICP changed considerably. (A) ICP; (B) *nICP\_BB*; (C) *nICP\_FVd*; (D) *nICP\_CrCP*; (E) *nICP\_PI*. ICP, intracranial pressure; nICP, noninvasive assessment of ICP; BB, black box; FVd, diastolic flow velocity; CrCP, critical closing pressure; PI, pulsatility index.

from the linear regression among known values of ICP and PI from a population cohort of 292 TBI patients. The regression equation was based on data analysed by Budohoski and colleagues<sup>41</sup> and given by Equations 3 and 4:

$$nICP = 4.47 \times PI + 12.68 \text{ mmHg} \quad (3)$$

$$PI = \frac{FVs - FVd}{FVm} \quad (4)$$

**Statistical analysis**

Statistical analysis of the data was conducted with OriginPro statistical software (version 8; OriginLab Corporation, Wellesley, MA). The analysis included correlations between noninvasive ICP estimators and measured ICP in terms of mean values, with *R* representing the Pearson’s correlation coefficient, with the level of significance set at 0.05. Results are presented as mean ± standard deviation (SD). Bland-Altman’s method was used to determine the agreement between invasive ICP and the different nICP methods, with their respective 95% CI for prediction and bias. The CI represents the method’s estimation performance and contemplates the range of values around the bias (absolute difference between mean values of nICP and ICP) in which data can be found with a significance level of 0.05. The area under the curve (AUC) of the receiver operating characteristic curve (ROC) was applied to determine the ability of the noninvasive methods to predict raised ICP (using a threshold of 17 mm Hg). This threshold was chosen because of its proximity to values that would commonly prompt treatment in the clinical setting (normally above 20–25 mm Hg<sup>1</sup>). The predicting ability is considered reasonable when the AUC is higher than 0.7 and strong when the AUC exceeds 0.8.<sup>42</sup> For recordings in which mean ICP changes were greater than 7 mm Hg, averaged correlation between ICP and nICP methods was calculated in time domain, as well as correlation between ΔICP and ΔnICP. In this case, “Δ” is the difference between maximum and minimum mean value in each recording during ICP changes.

**Results**

Table 1 presents basic demographic characterization of the prospective cohort.

Of the 66 recordings, eight presented a considerable spontaneous variation of ICP (ΔICP ≥ 7 mm Hg in time domain. An example of nICP recording with the four investigated methods is presented in Figure 5. Averaged correlation coefficients between real trend of ICP and nICP are summarized in Table 2. In the same table, correlations between ΔICP and ΔnICP were compared.

Statistical comparisons among noninvasive methods adopted in this work are presented in Table 3. It takes into consideration all 66 TCD recordings as separate events and includes Pearson’s

**TABLE 2.** CORRELATIONS BETWEEN NONINVASIVE ICP METHODS WITH INVASIVE ICP IN TIME DOMAIN AND BETWEEN ΔICP AND ΔnICP IN CASES WHEN ΔICP ≥ 7 MM HG (N=8)

<i>R</i> (time domain)				<i>R</i> (ΔICP vs ΔnICP)			
<i>nICP_BB</i>	<i>nICP_FVd</i>	<i>nICP_CrCP</i>	<i>nICP_PI</i>	Δ <i>nICP_BB</i>	Δ <i>nICP_FVd</i>	Δ <i>nICP_CrCP</i>	Δ <i>nICP_PI</i>
0.48 ± 0.40	−0.28 ± 0.69	0.18 ± 0.56	0.61 ± 0.35	0.68	−0.32	0.28	0.19

Correlation between ΔICP and ΔnICP was not significant in any of the methods. ICP, intracranial pressure; nICP, noninvasive assessment of ICP; BB, black box; FVd, diastolic flow velocity; CrCP, critical closing pressure; PI, pulsatility index.

TABLE 3. COMPARISONS OF NONINVASIVE METHODS WITH INVASIVE ICP (N=66)

Method	Pearson's correlation	95% CI for prediction (mm Hg)	Bias (mm Hg)	AUC
<i>nICP_BB</i>	0.39*	9.94	-0.50 ± 5.07	0.66 <sup>‡</sup>
<i>nICP_FVd</i>	0.39*	14.62	7.34 ± 7.45 <sup>†</sup>	0.70 <sup>‡</sup>
<i>nICP_CrCP</i>	0.35*	9.19	4.44 ± 4.69 <sup>†</sup>	0.64
<i>nICP_PI</i>	0.15	9.62	4.11 ± 4.90	0.43
<i>nICP_Av</i>	0.47*	9.17	3.76 ± 4.69 <sup>†</sup>	0.73 <sup>‡</sup>

\*Correlation is significant at the 0.05 level. <sup>†</sup>At the level of 0.05, the population mean is significantly different from the test mean (0). <sup>‡</sup>Asymptotic probability is significant at the level of 0.05.

ICP, intracranial pressure; nICP, noninvasive assessment of ICP; BB, black box; FVd, diastolic flow velocity; CrCP, critical closing pressure; PI, pulsatility index; Av, average (estimator of ICP calculated as arithmetic average of nICP\_BB, nICP\_FVd, and nICP\_CrCP); CI, confidence interval; AUC, area under the curve.

correlations, Bland-Altman's analysis (95% CI for predictions and bias), and AUCs obtained from ROC analysis for an ICP threshold of 17 mm Hg. As observed in Table 1, the cohort presented a low range of mean ICP values, which made necessary the use of a threshold close to, but below, critical values for ICH treatment, in order to obtain a consistent ROC analysis.

*nICP\_BB*, *nICP\_FVd*, and *nICP\_CrCP* demonstrated moderate, but significant, correlations ( $p < 0.05$ ) with measured ICP, whereas *nICP\_PI* had poor correlation with measured ICP ( $p > 0.05$ ; Fig. 6). In regard to Bland-Altman's analysis, *nICP\_BB* and *nICP\_PI* showed biases close to zero and, along with *nICP\_CrCP*, presented similar 95% CI (around 10 mm Hg). The FVd-based method showed greater

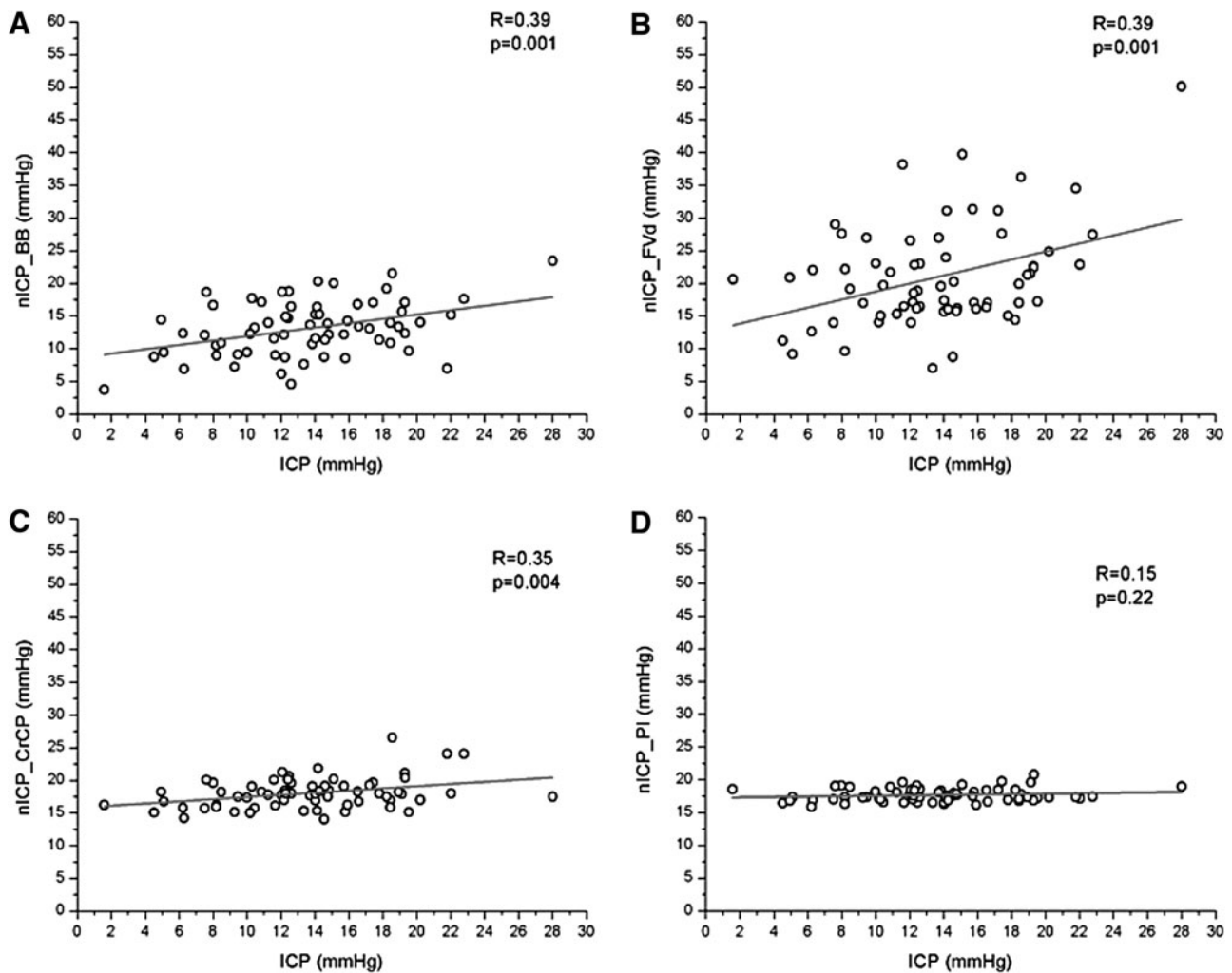


FIG. 6. Linear regressions and Pearson's correlation coefficients with  $p$  values for *nICP\_BB* (A), *nICP\_FVd* (B), *nICP\_CrCP* (C), and *nICP\_PI* (D). ICP, intracranial pressure; nICP, noninvasive assessment of ICP; BB, black box; FVd, diastolic flow velocity; CrCP, critical closing pressure; PI, pulsatility index.

bias and 95% CI (Fig. 7). In Figure 7, each plot was complemented by the corresponding error histogram, on which the plot of a Gaussian (normal) distribution of the same bias and 95% CI is superimposed for visual comparison. The Gaussian distribution and 95% CI represent the interval in which data are not randomly distributed.

The best AUC value was presented by *nICP\_FVd* (AUC=0.70). In addition, Table 3 also presents results from the arithmetic average of only the best noninvasive ICP estimators (*nICP\_Av*), that

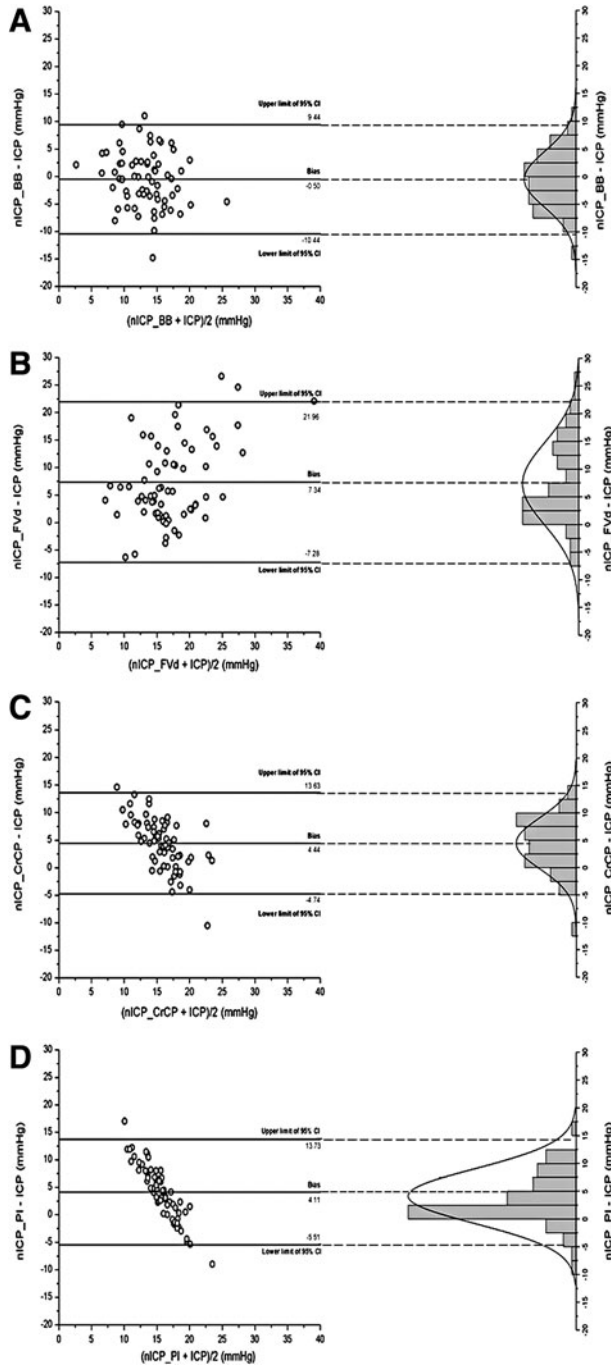
is, *nICP\_BB*, *nICP\_FVd*, and *nICP\_CrCP*, which generally showed slightly improved statistics, with AUC=0.73 (Fig. 8).

**Discussion**

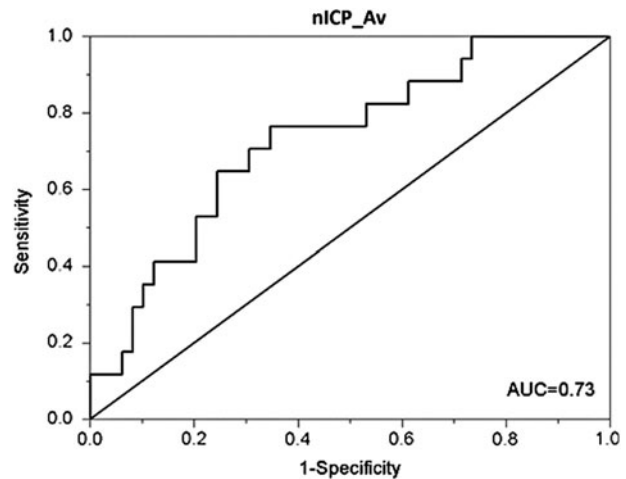
In this comparison of TCD-based nICP estimators, we found a significant, albeit not very strong, relationship between nICP and measured ICP. Of the four studied estimators, *nICP\_BB* appeared to have the strongest relationship with measured ICP understood “as a number”—that is, averaged value of nICP assessed during single TCD session. For replicating trace of measured ICP in time in an individual patient, *nICP\_PI* proved to provide best accuracy. Potential explanations and comparison with previous studies are discussed below.

Monitoring of ICP dynamics can be done most efficiently with the *nICP\_PI* method, which showed the strongest mean correlation coefficient across 8 patients ( $R=0.61$ ), followed by *nICP\_BB* ( $R=0.48$ ). Although none of the methods presented satisfactory correlation of  $\Delta nICP$  with  $\Delta ICP$ , *nICP\_BB* was the best considering variations of  $ICP \geq 7$  mm Hg ( $R=0.68$ ;  $p=0.06$ ). Considering “ $\Delta$ ” as the difference between maximum and minimum values, it represents the ability of the nICP methods to detect differences in the magnitude of a change in measured ICP recorded in time.

Certain events, as critically reduced CPP in the setting of ICH in TBI, as well as episodic rises in ICP caused by hyperemia, can be identified by marked reductions in TCD flow velocity.<sup>43</sup> As ICP increases and CPP correspondingly decreases, a characteristic highly pulsatile FV pattern is observed. Continuing increases in ICP result first in a reduction and then loss of diastolic flow, progressing to an isolated systolic spike of flow in the TCD waveform, and eventually to an oscillating flow pattern, which signifies onset of intracranial circulatory arrest.<sup>44,45</sup> Even though accuracy for mean ICP changes presented in this work did not demonstrate a strong correlation with measured ICP, cerebral circulation dynamics can be observed with the TCD-based methods as nICP changes in time domain, and tracked in real-time in the clinical setting. This form of monitoring is one of the advantages of TCD ultrasonography and may become particularly useful as a primary assessment tool in centers where ICP measurements are not routinely applied, or in patients in whom ICP monitoring is unavailable or may not be clearly indicated (e.g., mild closed head injury).



**FIG. 7.** Bland-Altman and error histograms plots showing bias and 95% CI for prediction of ICP for *nICP\_BB* (A), *nICP\_FVd* (B), *nICP\_CrCP* (C), and *nICP\_PI* (D). ICP, intracranial pressure; nICP, noninvasive assessment of ICP; BB, black box; FVd, diastolic flow velocity; CrCP, critical closing pressure; PI, pulsatility index; CI, confidence interval.



**FIG. 8.** Receiver operating characteristic curve showing AUC for averaged nICP estimator (*nICP\_Av*). AUC, area under the curve; nICP, noninvasive assessment of intracranial pressure.

Treating each monitoring session as an independent event and calculating averaged  $nICP$ , the comparison of four methods indicates  $nICP_{BB}$  to be the best statistically, given that it presented the most consistent indicators for prediction of ICP. In regard to bias, for instance, a nonsignificant difference between noninvasive and invasive methods is desirable, which means that both methods are not different in rendering mean ICP values. For  $nICP_{BB}$ , bias was not significantly different from zero, and 95% CI for prediction was even smaller as previously reported by Schmidt and colleagues (12.8 mm Hg)<sup>15</sup> or Heldt and colleagues (15 mm Hg [SD of error (SDE) of 7.6 Hg]), in their model also based on TCD and ABP.<sup>26</sup> In addition, AUCs for  $nICP_{BB}$  were close to reasonable values (0.7), and asymptotic probabilities for ROC analysis were also significant, denoting the method's ability to detect differences between high and normal ICP values.

In contrast,  $nICP_{FVd}$  and  $nICP_{CrCP}$  both presented biases significantly different from zero. However, for  $nICP_{FVd}$ , moderate correlation coefficients and reasonable AUCs were observed.  $nICP_{CrCP}$ , conversely, did not present significant asymptotic probabilities for AUC according to ROC analysis. Considering 95% CI,  $nICP_{FVd}$  had the greatest prediction error and  $nICP_{CrCP}$  the smallest.

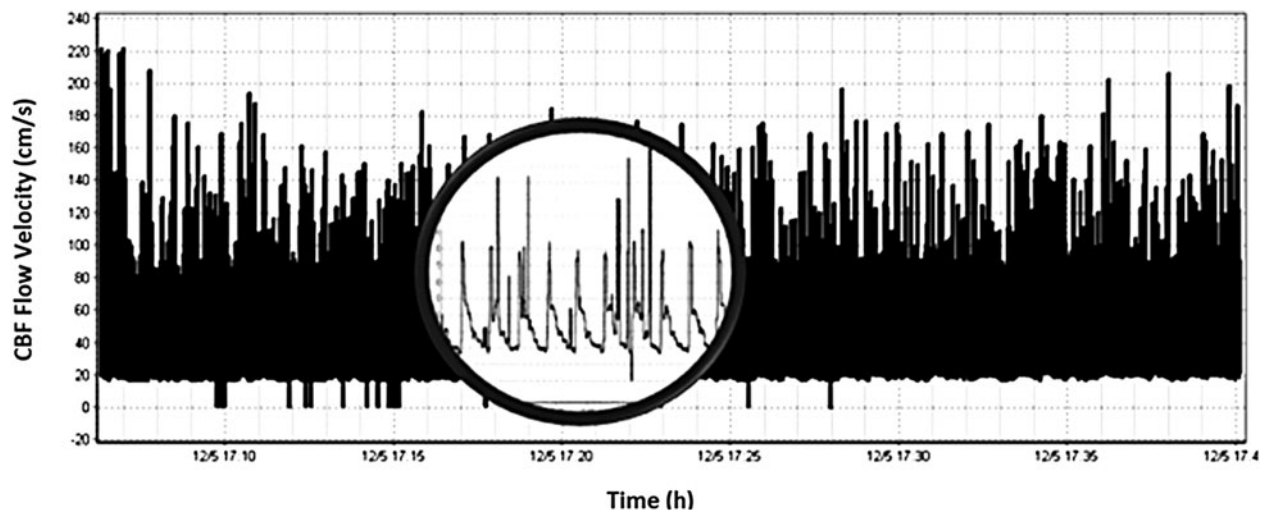
$nICP_{PI}$ , despite the best ability to detect changes in ICP across time, did not show any consistent statistical parameter for estimating ICP as to correlation with mean values, CI and AUC, and thus can be considered the weakest estimator. This assumption contradicts results published by Bellner and colleagues in their previous study for assessment of the relationship between PI and ICP, that PI would strongly correlate with ICP.<sup>17</sup> On the other hand, it is in agreement with results from Figaji and colleagues, whose work shows that PI is not a reliable noninvasive estimator of ICP in children with severe TBI.<sup>18</sup>

Averaging estimation methods is a useful computational technique, capable of approximating the different features of each estimator considered. In our case, in an attempt to find a more reliable method using this approach, we averaged those which presented the best estimation for ICP "as a number"—that is,  $nICP_{BB}$ ,  $nICP_{FVd}$ , and  $nICP_{CrCP}$ . The inclusion of  $nICP_{PI}$  in the average did not yield any improvement in estimation. Named  $nICP_{Av}$ , it proved to approximate the most consistent character-

istics of its three components, in comparison to single methods. In comparison to  $nICP_{BB}$ , for instance, which was the best estimator of the three considered,  $nICP_{Av}$  only presented inferior values as to bias, which was significantly different from zero. Thus, this new estimator might represent a more reliable way to predict ICP noninvasively, possibly because it takes advantage of a broader set of inputs (ABP, FV, FVd, and CrCP).

Regarding inputs, the different  $nICP$  accuracies observed may be explained by what each method is fundamentally based on.  $nICP_{BB}$ , for instance, reflects ABP waveform being constantly modified by TCD characteristics and then is mostly susceptible to changes of vascular components (such as CVR and arterial compliance) and, consequently, cerebral blood flow.  $nICP_{FVd}$ , which is derived from a noninvasive estimation of CPP (Equation 1), is mostly modulated by the factor, FVd/FVm, which is evident during hyperventilation, when FVd/FVm decreases as a result of vasodilation.<sup>29</sup> It also replicates changes in ICP provoked by rapid changes in ABP, given that mean ABP is a multiplier in the formula.  $nICP_{CrCP}$ , according to Equation 2, is also modulated by changes in CVR and Ca. For  $nICP_{PI}$ , it is known that decreasing CPP produces (like during plateau waves of ICP) specific changes in FV with stable systolic and falling diastolic values.<sup>44,46</sup> These changes may be observed in the PI, which has been reported to be inversely proportional to CPP.<sup>44,46</sup> Although all methods essentially reflect changes in cerebrovascular parameters, which lead to variations of cerebral blood flow velocity acquired via TCD ultrasonography, each one is modulated by different factors.

Provided that the CIs for prediction of ICP for all  $nICP$  methods were determined, a question that can be raised out of this is regarding the degree of accuracy expected or required for a noninvasive monitor to be considered a clinically useful tool in estimating ICP. According to the Association for Advancement of Medical Instrumentation, all sorts of ICP monitoring devices should have continuous output in the 0- to 100-mm-Hg range with an accuracy of  $\pm 2$  mm Hg in the 0- to 20-mm-Hg range, and maximum prediction error of 10% for ICP above 20 mm Hg, the specifications supported by the Brain Trauma Foundation guidelines.<sup>14,47</sup> In the case of this study, the estimation performance represented by the 95% CI for prediction of ICP ranged around 10 mm Hg, with all methods above these specified limits.



**FIG. 9.** Example of transcranial Doppler ultrasonography recording in which it is possible to observe considerable presence of noise (time series) and depleted signal resolution (zoom in). CBF, cerebral blood flow.



Another aspect that should be taken into consideration when assessing new noninvasive modalities is to examine the accuracy of current invasive methods and their mutual agreement. In clinical practice, ventricular and parenchymal pressure methods remain as the primary approaches to ICP monitoring. However, epidural probes are also often used. Simultaneous measurement of ICP by a parenchymal probe and ventriculostomy showed a bias of  $-1.2$  and a 95% CI of 6.8 mm Hg (SDE of 3.4 mm Hg).<sup>48</sup> In another study, simultaneous measurements of ICP using a parenchymal probe and an epidural probe presented a bias of 4.3 mm Hg, with 95% CI of 17 mm Hg (SDE of 8.5 mm Hg).<sup>49</sup>

Although it would be ideal that TCD-based nICP methods presented similar measures of accuracy to the invasive ones, it is important to highlight that these techniques are subjected to certain interferences (e.g., signal attenuation and movement artefacts), which certainly influence their degree of accuracy. Nevertheless, considering the performance characteristics reported for invasive methods, the nICP methods applied in this study, showing biases ranging from  $-0.5$  to 7.34 mm Hg and 95% CI from 9.19 to 14.68 mm Hg (Table 3), in general performed better than the invasive epidural method still used in the clinical management of patients.

As mentioned previously, TCD-based nICP methods, despite their intrinsic limitations to predict absolute mean ICP values, may have a potential clinical utility as a primary assessment tool in diagnosing ICH in TBI and other conditions, especially in the early stages of management, owing to its ability to detect cerebrovascular derangements originated from ICP changes.

In this context, of the four methods compared, *nICP\_BB* proved to be the best estimator for ICP in this cohort of TBI patients. Methods based on diastolic FV and CrCP showed intermediate accuracy. The PI method presented good correlation in time domain during variations of ICP. We suggested a new method based on averaging *nICP\_BB*, *nICP\_FVd*, and *nICP\_CrCP*, which overall demonstrated stronger statistical indicators for ICP prediction.

### Limitations

During the process of data analysis, we found that poor quality of TCD recordings has profound effects on the accuracy of the methods assessed. Aspects such as depleted signal resolution and noise (example in Fig. 9) may act as limitations to the study and must be prevented for meaningful nICP estimation. Good quality of TCD and ABP recordings are essential requirements for ICP estimation using TCD-based methods, and then must be met accordingly in future investigations. TCD quality depends, among other parameters, on the experience of the operator for accurately insulating the targeted artery (MCA). Additionally, unlike ABP measurements, TCD monitoring was not continuous, but instead it consisted of short recordings for every patient, therefore preventing a continuous nICP assessment. Shortcomings for continuous monitoring were mainly related to the routine of the neurointensive care environment, where treatment of patients requires changes of body position and transfers for imaging procedures, which hindered the possibility of continuous or longer TCD recordings with existing probe holders.

The use of radial artery ABP zeroed at the level of the heart instead of actual blood pressure in the brain could also be considered a limitation to the study. This condition might nonaccurately approximate peripheral ABP to intracranial ABP, which can specifically change the accuracy of methods that rely on ABP waveform analysis, such as *nICP\_BB*. Moreover, heart-level calibration

leads to an overestimation of CPP,<sup>50</sup> yielding a difference that might affect the calculation of nCPP (i.e., for *nICP\_CrCP* and *nICP\_FVd* methods) that derives information from ABP measurements.<sup>31</sup>

Changes in CVR, such as that produced by variations in PaCO<sub>2</sub>, may disturb CPP estimation (nCPP) and could also act as a limitation or confounding factor to the study. As observed by Czosnyka and colleagues,<sup>29</sup> although an increase in arterial CO<sub>2</sub> tension (from mild hypo- to normocapnia) decreased the measured CPP (as a result of an associated decrease in ABP), it resulted in a slight increase in nCPP (mainly because of an increase in the FVd/FVm factor resulting from vasodilation). In such conditions, for example, the *nICP\_FVd* method would render an underestimation of nICP.

A low range of ICP values found in the patient's cohort as observed in Table 1 may also consist of a limitation, given that it prevented a more extensive analysis on how nICP methods behave in conditions of elevated ICP. This characteristic may be attributed to the therapeutic protocol patients were submitted to.

Finally, the fifth possible method based on TCD, as described by Heldt and colleagues,<sup>19,26</sup> was not compared, because a replication of very complex algorithm on a basis of description given in literature was not possible, mainly owing to phase shift between ABP and FV time series (M.B., personal communication).

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