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# **Atrial fibrillation, cognitive impairment and neuroimaging**

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# **Abstract**

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**INTRODUCTION—**The objective of our study was to investigate cross-sectional associations of atrial fibrillation with neuroimaging measures of cerebrovascular disease and Alzheimer's disease, and their interactions with mild cognitive impairment (MCI).

**METHODS—**MRI scans of individuals from a population-based study were analyzed for infarctions, total grey matter, hippocampal and white matter hyperintensity (WMH) volumes. A subsample underwent PET imaging.

**RESULTS—**Atrial fibrillation was associated with infarctions and lower total grey matter volume. Compared to subjects with no atrial fibrillation and no infarction, the odds ratio (95% confidence intervals) for MCI was 2.99 (1.57, 5.70;  $p = 0.001$ ) among participants with atrial fibrillation and infarction,  $0.90 (0.45, 1.80; p = 0.77)$  for atrial fibrillation and no infarction, and 1.50 (0.96, 2.34; p= 0.08) for no atrial fibrillation and any infarction.

**DISCUSSION—**Participants with both atrial fibrillation and infarction are more likely to have MCI than participants with either infarction or atrial fibrillation alone.

#### **Keywords**

Atrial fibrillation; mild cognitive impairment; stroke; Alzheimer's disease; cerebrovascular disease

### **1. Introduction**

The lifetime prevalence of atrial fibrillation among individuals age 40 and older is approximately 25% [1]. The prevalence of dementia among those over 71 is approximately 13.9% [2]; (10% in Mayo Clinic Study of Aging [MCSA] [3]. Both atrial fibrillation and dementia increase with age. Numerous studies have reported an association between atrial fibrillation and dementia [4, 5] or cognitive impairment[6–8]. The association remains after controlling for both a history of clinical stroke [8–10] and shared risk factors of atrial fibrillation and dementia. A number of mechanisms have been postulated for this independent association including cerebral hypoperfusion [11, 12], silent infarction [5], and even Alzheimer's disease [13]. Similar to prior studies, the MCSA reported that atrial fibrillation was associated with mild cognitive impairment (MCI), specifically non-amnestic MCI [14]. The objective of this study was to investigate the cross-sectional associations of atrial fibrillation with multimodality neuroimaging measures of cerebrovascular disease and Alzheimer's disease (AD)–related pathology, and their interactions with regard to MCI.

## **2. Methods**

#### **2.1 Study Participants**

Participants were enrolled in the MCSA, a longitudinal population based study designed to investigate predictors of MCI and dementia. The study design of the MCSA has been published previously [15]. Briefly, at the study onset, Olmsted County residents aged 70–89 years were identified using the Rochester Epidemiology Project medical records-linkage system. An age and sex stratified sampling strategy was used to randomly select nondemented subjects for participation in the study. In 2005, participants were invited to undergo MRI imaging. In 2006, participants were invited to undergo PET imaging.

#### **2.2 Cognitive evaluation**

Participants were evaluated at baseline and every 15 months by a study coordinator, physician, and neuropsychometrist. The neuropsychometrist administered nine tests covering four domains including memory, executive function, language, and visuospatial skills. The diagnosis of MCI was based on published criteria [16]. The diagnosis of MCI was made by a consensus decision by the behavioral neurologist, neuropsychologists, and study coordinator who saw the participant, after consideration of education level, occupation, and sensory impairment (hearing or vision)[15] [3].

#### **2.3 Clinical data acquisition**

Clinical data including history of hypertension, smoking, diabetes, dyslipidemia, history of stroke, coronary artery disease factors were obtained by nurse abstraction of information from the detailed medical records included in the medical records–linkage system [17]. Silent infarction was defined as no history of clinical stroke in the medical record or Hachinski score but the presence of stroke on neuroimaging.

#### **2.4 Criteria for atrial fibrillation**

Criteria for atrial fibrillation were based on a physician diagnosis, electrocardiographic evidence of atrial fibrillation and/or treatment for atrial fibrillation using the medical records linkage system from the Rochester Epidemiology Project. Using this method, patients with postoperative atrial fibrillation were included. These data were abstracted by trained research nurses.

#### **2.5 MRI Acquisition**

FLAIR-MRI and MPRAGE images were acquired with 3T MRI scanners and the complete details of the acquisitions can be found elsewhere [18]. THE MPRAGE (structural MRI) images were used to obtain the total grey matter volume estimates and hippocampal volumes using Freesurfer (version 5.3)[19]and the hippocampal volume was adjusted for total intracranial volume as previously described[20]. The FLAIR-MRI was used to ascertain three components of vascular disease – subcortical infarcts, cortical infarcts and white matter hyperintensities. All the brain infarcts were assessed by a trained image analyst and confirmed by a radiologist (K.K.) blinded to all clinical information. Subcortical infarcts included infarcts in white matter (WM), deep grey matter nuclei, cerebellum, and brain stem not involving the hemispheric infarcts. Cortical infarcts were  $\frac{1}{1}$  cm in largest diameter. Intra-rater reliability of this assessment is excellent (proportion in agreement 0.98 for cortical and 0.94 for subcortical infarcts)[21]. White matter hyperintensities (WMH) on FLAIR images were segmented using an automated slice-based seed initialization and region growing methods as previously described[22]. We used WMH divided by the total intracranial volume (TIV) as a measure of white matter disease.

#### **2.6 18F-FDG PET and 11C-PiB PET Acquisition**

PET images were acquired with a PET/CT operating in 3-dimensional mode (septa removed). The complete details of PET acquisition were described previously [23, 24]. The global cortical PiB PET retention ratio was calculated by averaging the PiB retention ratio

from AD signature regions normalized to cerebellar uptake[25]. Similarly, an AD signature 18F-FDG PET ratio was calculated for brain glucose metabolism from an AD signature meta-ROI with normalization to pons glucose uptake [26] [27] [28]. We performed the analysis with and without partial volume correction.

#### **2.7 Standard protocol approvals, registrations, and patient consents**

Study protocols are approved by the Mayo Clinic and Olmsted Medical Center Institutional Review Boards. All participants provided informed written consent prior to participation in the study protocols.

#### **2.8 Statistical analysis**

Differences in characteristics between cases and controls were assessed using chi-square test for dichotomous variables and Wilcoxon Rank Sum test for continuous variables. The associations of atrial fibrillation with i) categorical imaging measures (cortical and subcortical infarctions) were assessed using multivariable logistic regression models, and ii) with continuous imaging measures including FDG-PET, PiB-PET, adjusted hippocampal volumes, and log-transformed continuous MRI measurements (total grey matter, and white matter hyperintensity volumes) were assessed using multivariable linear regression models. White matter hyperintensity was scaled by TIV. All models were adjusted for age, sex, APOE ε4 carrier status and education. To investigate possible biases due to non-participation in neuroimaging, we used the propensity score method as was done in our previous studies[3] [29]. Briefly, we used logistic regression models to estimate the effect of age on neuroimaging participation and estimated a predicted probability of participation (propensity score). We then computed the reciprocal of these predicted probabilities as weights (propensity score); participants who were more similar to non-participants received more weight. The regression models were adjusted for the propensity scores. In additional analyses, we used logistic models to investigate the association between atrial fibrillation and MCI, and examined the interaction between atrial fibrillation and MRI measures with regard to MCI. The basis for the interaction analyses is that the association of atrial fibrillation with cognitive impairment may vary by the presence or absence of existing pathology attributable to infarctions rather than to atrial fibrillation alone. Due to the small sample sizes, we did not have power to investigate interactions of atrial fibrillation and PET with MCI.

#### **3. Results**

#### **3.1 Demographics**

Among 1,044 participants with MRI analyzed, 141 of these had atrial fibrillation, 496 had PET scans analyzed and 63 had atrial fibrillation. Figure 1 represents the flowchart of our study. There were no differences in sex, education, the presence of cognitive impairment or APOE ε4 status among participants with or without MRI scans, with the exception that the group without MRI's were older (p<.0001).

Table 1 summarizes the clinical features of participants who underwent MRI scanning by history of atrial fibrillation. Participants with atrial fibrillation were older, had a higher

frequency of type 2 diabetes, hypertension, coronary artery disease, stroke, and cognitive impairment.

The median time from MRI to PET was 39.9 months.

#### **3.2 Association of neuroimaging features with atrial fibrillation**

Table 2 summarizes the associations of baseline neuroimaging features with atrial fibrillation. Among participants who underwent MRI (median age, 77.8, 51.6% male), 13.5% had atrial fibrillation. Of those with atrial fibrillation, 30.4% had silent infarcts. Among those who underwent PET imaging (median age, 76.1, 54.6% male), 12.7% had atrial fibrillation. Presence of atrial fibrillation was associated with infarction odds ratio [OR], 1.87; p=0.002), subcortical infarctions (odds ratio [OR], 1.85; p=0.003), and lower total grey matter volume (Beta [β],  $-0.022$ , p=0.001) after controlling for age, education, sex, APOE e4 carrier status, coronary artery disease, diabetes, history of clinical stroke, and hypertension. There was a trend for cortical infarctions  $(OR, 1.68; p=0.11)$ . Since infarctions may influence estimates for the total grey matter volume, we performed a sensitivity analysis that investigated the association of atrial fibrillation with total grey matter volume restricted to subjects with no infarctions and found that atrial fibrillation remained associated lower gray matter volume ([β], −0.03, p=0.001). However, atrial fibrillation was not associated with white matter hyperintensity volume, hippocampal volume, Alzheimer's pattern of FDG hypometabolism or PiB uptake (β-amyloid accumulation) with or without partial volume correction. With partial volume correction, FDG hypometabolism was not associated with atrial fibrillation for model 1 (Beta  $[\beta] = 0.023$ , p=0.09) but the association was significant for model 2 (Beta  $[\beta] = 0.03$ , p=0.02).

#### **3.3 Relationship between neuroimaging, cognitive impairment and atrial fibrillation**

There was an interaction of cortical infarction (p for interaction=0.04) and a trend for interaction of subcortical infarction (p for interaction =0.08) with atrial fibrillation with regards to relative odds of MCI in multivariate models adjusting for age, sex, education, APOE ε4 status, hypertension, diabetes mellitus, history of clinical stroke, coronary artery disease. There was no interaction of total grey matter volume with atrial fibrillation with regards to odds of MCI (p for interaction= 0.49). The OR (95% confidence intervals [CI]) for MCI was 2.99 (1.57, 5.70;  $p = 0.001$ ) among participants with atrial fibrillation and any infarction,  $0.90$  (0.45, 1.80; p= 0.77) for atrial fibrillation and no infarction, and 1.50 (0.96, 2.34; p= 0.08) for no atrial fibrillation and any infarction (Figure 2). There was a significant interaction of any infarction with atrial fibrillation with regards to odds of MCI (p for interaction = 0.004). The OR (95% confidence intervals [CI]) for MCI was 6.91 (2.32,  $20.61$ ; p = 0.0005) among participants with atrial fibrillation and cortical infarction, 1.15  $(0.67, 1.94; p= 0.62)$  for atrial fibrillation and no cortical infarction, and 1.43  $(0.65, 3.12; p=$ 0.37) for no atrial fibrillation and any cortical infarction. The OR (95% confidence intervals [CI]) for MCI was 3.05 (1.58, 5.90;  $p = 0.0009$ ) among participants with atrial fibrillation and subcortical infarction,  $0.90$  (0.46, 1.76; p= 0.76) for atrial fibrillation and no subcortical infarction, and 1.43 (0.89, 2.27;  $p= 0.14$ ) for no atrial fibrillation and any subcortical infarction. There was no significant association of atrial fibrillation with MCI among the MRI group (OR, 1.38, 95% CI, 0.87, 2.16; p=0.17).

The introduction of sleep apnea into the multivariate model did not significantly change the odds of MCI and sleep apnea was not significantly associated with MCI ( $p=0.89$ ) (even though it is significantly associated with atrial fibrillation).

#### **3.3. Association of atrial fibrillation and infarction by PET status**

There was an association of atrial fibrillation with infarction among those that were PiB positive (p=0.004), adjusting for age, sex, education, APOE ε4 status, hypertension, diabetes mellitus, history of clinical stroke, and coronary artery disease. There was no association of atrial fibrillation with infarction among those that were PiB negative ( $p= 0.59$ ).

There was a trend for an association of atrial fibrillation with infarction among those with Alzheimer's pattern of FDG hypometabolism adjusting for age, sex, education, APOE ε4 status, hypertension, diabetes mellitus, history of clinical stroke, and coronary artery disease (p=0056) and those without and Alzheimer's pattern of FDG PET hypometabolism  $(p=0.07)$ .

#### **4. Discussion**

In our cohort, atrial fibrillation was associated with lower total grey matter volume and the presence of infarctions. This association remained significant after controlling for age, APOE and vascular risk factors including history of clinical stroke. In contrast, atrial fibrillation was not associated with hippocampal volume, white matter hyperintensity, PiB uptake (amyloid burden), or an AD pattern of FDG hypometabolism. Thus, the main finding of our study was that atrial fibrillation with infarction (cortical and subcortical) was associated with increased odds of cognitive impairment compared to atrial fibrillation without infarction and infarction without atrial fibrillation.

Epidemiologic studies have attempted to control for strokes by excluding participants with prevalent and/or incident stroke, but the association between cognitive impairment and atrial fibrillation persisted[8, 10]. However, these studies only excluded clinical strokes. Our results show that the association of atrial fibrillation with MCI varies by the presence of silent (subclinical) infarction since we controlled for a history of clinical stroke. The association between silent infarction and MCI is not surprising since silent infarctions are common in atrial fibrillation [30–32] and numerous studies have demonstrated silent infarctions are a risk factor for dementia[33]. Since the risk of infarction in atrial fibrillation can be reduced by the use of anticoagulation, this association is clinically relevant.

The interaction between atrial fibrillation, infarction, and MCI may help us understand the mechanisms that underlie the association of atrial fibrillation with cognitive impairment. The synergistic relationship between infarction and atrial fibrillation may result from microemboli that occur with atrial fibrillation [12, 34]. The presence of cortical and subcortical infarction is associated with concomitant microinfarctions on pathological studies [35, 36]. Thus, microemboli and microinfarctions may explain why patients with atrial fibrillation and visible infarctions on MRI have a greater risk of cognitive impairment than patients with visible infarctions on MRI but no atrial fibrillation.

We did not find an association between hippocampal volumes and atrial fibrillation. Because of the number of participants with atrial fibrillation and MRI, we may have been underpowered to detect a significant association with hippocampal volume. However, a prior study of subjects with atrial fibrillation without evidence of infarctions on MRI reported that atrial fibrillation was associated with lower hippocampal volumes [37]. In that study, the average age was more than 10 years younger than our study cohort and the control group differed significantly in age, sex, and education, which were included as covariates. These study design differences may explain the differences between the two results. In the present study, we found no interaction between hippocampal volumes and atrial fibrillation in regards to odds of cognitive impairment.

In our cohort there was no association between atrial fibrillation and white matter hyperintensity volume. This is in agreement with prior studies [11]. The absence of a relationship between white matter hyperintensities and atrial fibrillation suggests that the embolic mechanism causing infarction must be different from the pathophysiological mechanisms underlying white matter hyperintensities and lacunar stroke[38], which may be more closely linked to lipohyalinosis.

Atrial fibrillation was not associated with amyloid deposition or AD pattern of FDG PET hypometabolism. This finding, in combination with the absence of an association with hippocampal volumes, would suggest that atrial fibrillation promotes cognitive decline independently of Alzheimer's pathophysiology. Atrial fibrillation likely decreases the threshold for dementia expression in the presence of Alzheimer's pathophysiology through the additive effect of vascular pathology[39].

The association between grey matter volume and atrial fibrillation has been previously reported [11]. Prior studies in younger participants (mean age 62)[40] did not detect differences in grey matter volume in participants with vs. without atrial fibrillation[37], suggesting that longer duration or earlier onset of atrial fibrillation may be an important determinant of its effects on grey matter volume. A longer exposure period to the effects of atrial fibrillation would logically produce greater neuronal injury, neuronal loss, and reduced grey matter volumes [34, 41]. The relationship was not dependent on the presence of macroinfarcts because atrial fibrillation was still associated with decreased grey matter volume even when subjects with infarction were excluded from the analysis.

Interestingly, subcortical infarctions were associated with atrial fibrillation and the presence of subcortical and cortical strokes with atrial fibrillation increased the odds of cognitive impairment. Typically, subcortical strokes are secondary to small vessel disease (mainly lipohyalinosis), rather than embolism. Even after controlling for typical risk factors of small vessel disease such as diabetes and hypertension, the association persisted, suggesting a possible embolic source for some subcortical infarcts. This finding is congruent with a recent MRI study that demonstrated "silent cerebral ischemia (SCI)", defined as sharply outlined areas of hyperintensity on FLAIR imaging, to be associated with atrial fibrillation and cognitive impairment even after controlling for vascular risk factors[42].

Current guidelines for initiating anticoagulation use the CHADS2 [43] or the CHA2DS2- VASc[44] scoring systems which incorporate points for clinical stroke history and have been validated to predict future clinical stroke risk. However, these scoring systems, and the clinical decisions based on them, fail to take into account silent brain infarctions. Silent infarction is present in 15% of atrial fibrillation subjects using non-contrast head CT[30], a less sensitive imaging modality. More recent estimates suggest that silent infarction may occur in up to 28.3% of atrial fibrillation subjects using MRI, similar to the numbers in our study, and silent infarction predicts future stroke risk[45]. Furthermore, 23.1% of these atrial fibrillation subjects with silent stroke have a CHADS2 score of 0 or 1 when the silent infarction is not considered for the grading. Thus, a substantial proportion of patients at risk for cognitive decline are likely being undertreated. In our sample, approximately 53% of those with atrial fibrillation were on anticoagulation which is similar to other large atrial fibrillation samples [46].

Recent evidence suggests that increased time outside the therapeutic window (INR: 2–3) for anticoagulation increases the risk for dementia[47]. In this cross-sectional study, we were unable to assess the quality of anticoagulation with regards to cognitive impairment because INR values were not available but it would be of interest to determine whether the association is independent of infarction.

The strengths of the current study include the comprehensive in-person cognitive evaluations and use of a multi-modality imaging approach to identify neuroimaging abnormalities in a population-based sample. Our study however has some limitations. The cross-sectional nature of the study limits our ability to investigate the temporal relationships among atrial fibrillation, infarction and cognitive status. In addition, we could not examine which imaging features predict future cognitive decline or the trajectory of neuroimaging changes after a diagnosis of atrial fibrillation. Longitudinal studies will be needed to determine whether anticoagulation or dual therapy with antiplatelet agents is associated with the risk of cognitive impairment. Similar to other studies, our method of ascertaining atrial fibrillation likely underestimates cases of paroxysmal atrial fibrillation which are often asymptomatic. Additional individuals may have developed atrial fibrillation after inclusion in the study or may harbor undetected paroxysmal atrial fibrillation only recognizable by prolonged ambulatory monitoring [48]. We did not distinguish which patients had persistent versus paroxysmal atrial fibrillation. However, stroke risk is similar in persistent and paroxysmal atrial fibrillation [49] so this likely did not affect our findings. It would have been of interest to investigate the effect of duration of atrial fibrillation as well as echocardiogram features on cognitive, but our ascertainment method could not accurately record duration and echocardiograms were not performed as part of this study. In this study, we did not find an association of atrial fibrillation with cognitive impairment among patients with MRI, despite the association of atrial fibrillation with nonamnestic mild cognitive impairment in patients with and without MRI in a previous publication [14]. This may be due to limited power or alternatively, to non-participation bias due to a higher prevalence of silent infarctions among participants with atrial fibrillation and without MRI than in patients with atrial fibrillation and MRI. A larger number of participants with naMCI would have allowed us to examine the association with non-amnestic MCI.

# **5. Conclusion**

In summary, these data highlight that presence of both atrial fibrillation and infarctions is associated with a greater odds of MCI compared to atrial fibrillation alone. Therefore, our study suggests that subclinical infarctions (both cortical and subcortical) may mediate cognitive impairment in patients with atrial fibrillation. While the influence of other mechanisms (such as inflammation, endothelial dysfunction, hypoperfusion) still need to be explored since atrial fibrillation is associated with lower grey matter volume even in the absence of visible infarctions on MRI, our cross-sectional findings do not support the notion that atrial fibrillation increases the likelihood of MCI in the absence of brain infarctions. However, our study does suggest that Alzheimer pathology is not associated with atrial fibrillation, but certainly the additive effects of multiple pathologies will logically decrease the threshold of dementia. While differences in the distribution, size, and number of infarctions may explain the increased risk of MCI in patients with infarctions and atrial fibrillation compared to infarctions and no atrial fibrillation, the most important practical implication of our study is that anticoagulation may reduce the risk of infarctions and thereby, confer substantial protection against cognitive decline related to AF, though the extent of this protection needs to be investigated formally through longitudinal studies.

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Flow chart of study participants

MRI-magnetic resonance imaging, PiB-Pittsburgh compound B, FDG-fluorodeoxyglucose, AFIB-atrial fibrillation



# Interaction Between AFib Infarction and MCI



Interaction between Atrial fibrillation, infarction and MCI

#### **Table 1**

#### Characteristics of participants who underwent MRI by atrial fibrillation status



\* 6 subjects in no atrial fibrillation group had missing data

^ CHADS2 score estimates the risk of stroke in atrial fibrillation based on the following risk factors (Congestive heart failure, Hypertension, Age >75 years, Diabetes mellitus, Stroke/transient ischemic attack)

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#### **Table 2**

Association of neuroimaging features with atrial fibrillation





Model 1: adjusted for age, sex, education, and APOE ε4 status.

Model 2: adjusted for age, sex, education, APOE ε4 status, hypertension, diabetes mellitus, history of clinical stroke, coronary artery disease.

Total number of scans analyzed for the continuous variables in parenthesis

Proportion with infarction for categorical variables in parenthesis