

What hides behind the MASC: clinical response and acquired resistance to entrectinib after *ETV6-NTRK3* identification in a mammary analogue secretory carcinoma (MASC)

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Received 21 December 2015; revised 20 January 2016; accepted 21 January 2016

Background: Mammary analogue secretory carcinoma (MASC) is a recently described pathologic entity. We report the case of a patient with an initial diagnosis of salivary acinic cell carcinoma later reclassified as MASC after next-generation sequencing revealed an *ETV6-NTRK3* fusion.

Patients and methods: This alteration was targeted with the pan-Trk inhibitor entrectinib (Ignyta), which possesses potent *in vitro* activity against cell lines containing various *NTRK1/2/3* fusions.

Results: A dramatic and durable response was achieved with entrectinib in this patient, followed by acquired resistance that correlated with the appearance of a novel *NTRK3* G623R mutation. Structural modeling predicts that this alteration sterically interferes with drug binding, correlating to decreased sensitivity to drug inhibition observed in cell-based assays.

Conclusions: This first report of clinical activity with TrkC inhibition and the development of acquired resistance in an *NTRK3*-rearranged cancer emphasize the utility of comprehensive molecular profiling and targeted therapy for rare malignancies (NCT02097810).

Key words: *ETV6-NTRK3*, TrkC, mammary analogue secretory carcinoma, entrectinib

Introduction

Mammary analogue secretory carcinoma (MASC) of the salivary gland is a recently identified salivary cancer subtype that commonly originates in the parotid gland [1]. Before its designation as a separate entity, MASCs were predominantly grouped with other low-grade salivary cancer histologies [most commonly acinic cell carcinoma (AciCC)]. In 2010, identification of the *ETV6-NTRK3* translocation t(12:15)(p13;q25) confirmed these tumors to be a molecularly distinct disease; the histologic resemblance to secretory carcinoma of the breast (which also harbors this fusion gene) inspired the designation ‘mammary analogue

secretory carcinoma’ [2, 3]. Of note, while *ETV6-NTRK3* represents the most common fusion in MASCs, some cases have been found to harbor rearrangements involving *ETV6* and a non-*NTRK3* partner [4].

Recurrent gene rearrangements such as *ETV6-NTRK3* are a critical mechanism of oncogenic activation for the neurotrophic tyrosine receptor kinase genes, *NTRK1*, *NTRK2*, and *NTRK3*, in human malignancies [5]. These genes encode a family of tropomyosin receptor kinase proteins (TrkA, TrkB, and TrkC, respectively) that are involved in nervous system development. Apart from salivary gland tumors, these rearrangements have been identified in lung, thyroid, and colon cancers, as well as sarcomas, spitzoid neoplasms, and primary brain tumors [6]. Fusion of the intact tyrosine kinase domain of *NTRK1*, *NTRK2*, or *NTRK3* with a variety of upstream partners results in dysregulated activation of several biochemical signaling pathways that promote oncogenic initiation and growth [7].

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Here, we provide the first report of a dramatic clinical response to TrkC inhibition in an *NTRK3*-rearranged malignancy and the development of acquired resistance linked to a novel genomic mechanism.

materials and methods

genomic profiling

Broad, hybrid-capture-based next-generation sequencing was carried out using the Integrated Mutational Profiling of Actionable Cancer Targets (MSK-IMPACT) assay [8] and sequenced on a HiSeq 2500 (Illumina, San Diego, CA). Four hundred ten cancer-related genes were interrogated, capturing base substitutions, small INDELS, copy number alterations, and select rearrangements. To detect somatic structural aberrations via MSK-IMPACT, a framework was developed that first aligns raw reads to the reference human genome (hg19) using the Burrows-Wheeler Alignment tool. Duplicates are then filtered using the Picard-tools java package (samtools) and searched for candidate structural rearrangements using DELLY. All candidate somatic structural aberrations were filtered, annotated using in-house tools, and manually reviewed using the Integrative Genomics Viewer.

The FACETS algorithm was used to estimate tumor purity, ploidy, and allele-specific copy number [9]. Cancer cell fraction was estimated from mutant allele frequency, and corrected for tumor purity and the copy number state of the region of the gene containing the mutation. RNA sequencing was carried out using the Archer™ FusionPlex™ assay (ArcherDx, Boulder, CO); libraries were sequenced on an Illumina MiSeqDx. *NTRK3* break-apart was assessed by a fluorescence *in situ* hybridization (FISH). For TrkC immunohistochemistry (IHC), 4- μ m tissue sections on positively charged slides were deparaffinized and rehydrated, and antigens were retrieved for 30 min in a Tris-EDTA (pH 9) at 98°C. Both a TrkC-specific antibody [TrkC Antibody (798): sc-117, Santa Cruz Biotechnology] (Santa Cruz Biotechnology, Dallas, TX) and a primary antibody mixture that consisted of a cocktail of anti-pan-Trk (C17F1 Rabbit mAb, 1:25 dilution, Cell Signaling), anti-ROS1 (D4D6 Rabbit mAb, 1:500 dilution, Cell Signaling), and anti-ALK (C17F1 Rabbit mAb, 1:500 dilution, Cell Signaling) were used to amplify signal development.

targeted therapy administration

Crizotinib was obtained from a commercial supply. Computed tomography (CT) imaging was carried out at baseline and at various time points on therapy (3, 10, and 18 weeks) to monitor response. The TrkA, TrkB, TrkC, ROS1, and ALK tyrosine kinase inhibitor entrectinib (RXDX-101) was administered on a phase I clinical trial (NCT02097810). The primary end point of the dose escalation phase of this trial was to determine the maximum tolerated and recommended phase II doses of entrectinib. A conventional 3 + 3 dose escalation design was used. The patient was enrolled in the 400 mg/m² cohort of the dose escalation phase, and a fixed daily dose of entrectinib was calculated. Response was assessed via the Response Evaluation Criteria in Solid Tumors (RECIST) version 1.1 at protocol-defined intervals [10]. Treatment was administered until disease progression or unacceptable toxicity.

functional and structural studies

cDNAs encoding various *NTRK1-3* gene rearrangements (*TPM3-NTRK1*, *LMNA-NTRK1*, *ETV6-NTRK1*, *VCL-NTRK2*, *AFAP1-NTRK2*, *ETV6-NTRK2*, *ETV6-NTRK3*, and *ETV6-NTRK3* G623R) were inserted into the lentiviral vector pVL-EF1a-MCS-IRES-Puro (BioSetia, San Diego, CA) and introduced into the murine IL-3 dependent pro-B-cell Ba/F3. Proliferation assays were conducted with various concentrations of vehicle, entrectinib, TSR-011, and LOXO-101. LOXO-101 [11] and TSR-011 [12] were synthesized by Bioduro (Beijing, China) based on publicly disclosed structures. Plates were incubated at 37°C in 5% CO₂ for 72 h, after which cell viability

was assessed by measuring ATP content using Cell Titer-Glo® Luminescent Cell Viability assay (Promega). IC50s were determined by 4-parameter curve fit with variable slope.

To assess the impact of entrectinib upon signaling pathways, Ba/F3-ETV6-NTRK3 cells were treated with 300 nM entrectinib, a concentration that is approximately 50% of the clinically achievable minimal concentration (C_{min}). Cell lysates were prepared 4 h post treatment and probed for phosphorylated/total Trk, PLC γ 1, PI3K (p85), MAPK, and Stat3. All primary antibodies were purchased from Cell Signaling Technology except for anti- β -actin (Millipore). Structural assessments of entrectinib binding were obtained using Glide docking implemented in Maestro [13]. Receptor coordinates were obtained from PDB (code: 4YMJ).

results

ETV6-NTRK3 identification and tumor reclassification

A 34-year-old female presented in January 2006 with a growing left parotid mass. A left superficial parotidectomy was carried out, revealing a stage III (pT3N0M0) parotid cancer initially classified as AcicC (Figure 1A). Surgical margins were microscopically involved with tumor, and postoperative intensity-modulated radiation therapy was administered to the left parotid and ipsilateral lower neck.

In August 2011 the patient was diagnosed with biopsy-confirmed metastatic disease involving the lung, pleura, mediastinum, and chest wall. She was initially asymptomatic and managed with active surveillance. She eventually developed pleuritic right-sided chest pain caused by enlarging right-sided pleural metastases which were treated with two palliative surgical resections and three different lines of cytotoxic chemotherapy (vinorelbine, carboplatin and paclitaxel, and doxorubicin). A more detailed history is outlined in supplementary Table S1, available at *Annals of Oncology* online.

Pathologic review of a right lower lobe paraesophageal mass resected in February 2013 revealed a carcinoma morphologically similar to the patient's initial salivary tumor (supplementary Figure S1A, available at *Annals of Oncology* online). Broad, hybrid-capture-based next-generation sequencing of the mass identified an *ETV6-NTRK3* t(12;15)(p13.2;q25.3) rearrangement (Figure 1B). Additional testing by FISH using an *NTRK3* break-apart probe was positive (Figure 1B). IHC carried out with a TrkC-specific antibody (Figure 1B) and a Trk antibody cocktail that also detects TrkC (supplementary Figure S1B, available at *Annals of Oncology* online) revealed strong staining, confirming TrkC expression. RNA sequencing confirmed the presence of *ETV6-NTRK3* (supplementary Figure S1C, available at *Annals of Oncology* online). The patient's malignancy was thus reclassified as MASC.

crizotinib therapy

Based on *in vitro* data indicating that the multitargeted kinase inhibitor crizotinib may modestly inhibit TrkC kinase in the context of the *ETV6-NTRK3* rearrangement [8], the patient was treated with crizotinib. With the initiation of therapy and the performance of intercostal neurolysis, she quickly experienced resolution of her pleuritic pain. Repeat CT imaging at 3 and 10 weeks revealed stable disease (2% and 19% reduction in disease burden, respectively).

A Pathologic Analysis of Resected Tumor

B Molecular Profiling

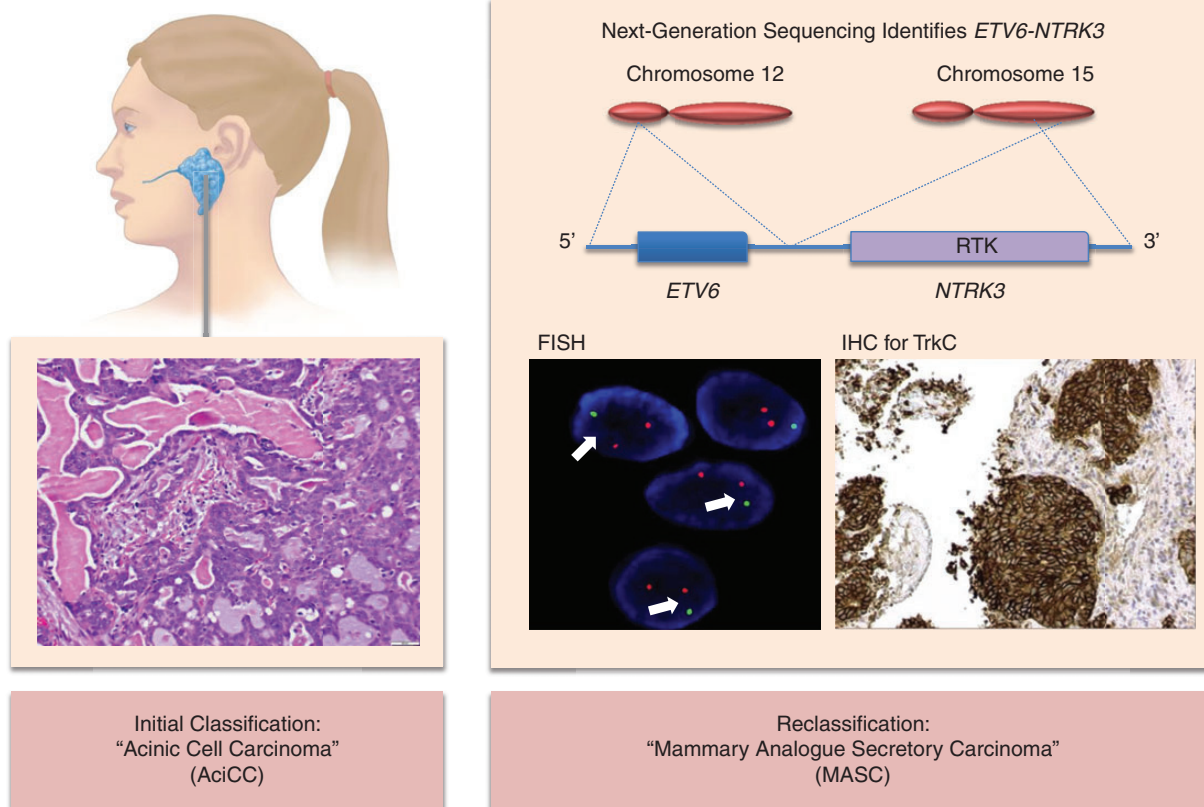


Figure 1. *ETV6-NTRK3* identification results in tumor reclassification. In panel A, pathology from a superficial parotidectomy revealed an infiltrative carcinoma with predominantly tubular and microcystic/macrocystic growth patterns and intraluminal secretions. Tumor cells exhibited granular eosinophilic cytoplasm with occasional vacuolation and had relatively bland cytology with mild atypia. A diagnosis of acinic cell carcinoma (AciCC) was made. In panel B, the *ETV6-NTRK3* fusion detected via broad, hybrid-capture-based next-generation sequencing is depicted. Reciprocal translocation between chromosome 12 and chromosome 15 resulted in fusion of *ETV6* exons 1–5 to *NTRK3* exons 15–20 containing the receptor tyrosine kinase (RTK) domain. On the lower left, a positive break-apart fluorescence *in situ* hybridization (FISH) *NTRK3* assay is shown with split signals (arrows). On the lower right, immunohistochemistry for TrkC revealed strong staining signifying TrkC overexpression. The patient's diagnosis was reclassified as mammary analogue secretory carcinoma (MASC).

A CT scan at 18 weeks unfortunately revealed disease progression accompanied by recurrent, tumor-related, chest wall pain. Additionally, the patient developed acute, painful swelling of the hands, legs, and feet bilaterally, determined to be consistent with a paraneoplastic hypertrophic osteoarthropathy in the setting of progressive malignancy. Nonsteroidal anti-inflammatory therapy was initiated with minimal improvement in symptoms.

response and acquired resistance to entrectinib

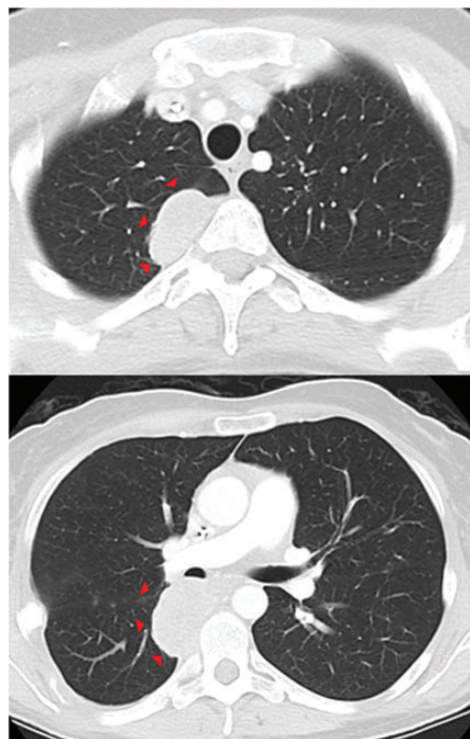
We hypothesized that TrkC activity remained the central oncogenic driver of the patient's disease despite progression on crizotinib and that inhibition with a potent Trk inhibitor would produce tumor regression. She was thus enrolled on to a phase I clinical trial of the pan-Trk inhibitor, entrectinib (NCT02097810). The patient noted a substantial decrease in hypertrophic osteoarthropathy-related limb edema within the first 4 weeks of treatment. These symptoms resolved by week 8 of therapy. CT imaging at 9 weeks (Figure 2) revealed a dramatic partial response with decreased pleural-based metastases. Response was confirmed at 13 weeks, and further disease shrinkage was noted at 21 weeks (89% reduction in tumor burden).

After 7 months of therapy, imaging revealed asymptomatic disease progression in a solitary tumor site in the right lower lobe of the lung. Although this met RECIST criteria for progressive disease, given stable response at all other metastatic sites and the absence of tumor-related symptoms, she continued entrectinib at an increased dose (supplementary Table S1, available at *Annals of Oncology* online). Serial imaging at 8 and 9 months showed more modest growth of the same mass and continued stable response at other sites. Given ongoing clinical benefit and acceptable tolerability, she remained on entrectinib. Assessment 10 months into treatment unfortunately revealed further disease progression in not only the right lower lobe tumor, but also several other adjacent pulmonary nodules, leading to discontinuation of entrectinib.

NTRK3 G623R identification

Next-generation sequencing was carried out on three tumor biopsies: M1 (paraesophageal right lower lobe mass acquired before crizotinib), M2a (separate pleural-based right lower lobe mass acquired before entrectinib), and M2b (pleural-based right lower lobe mass immediately adjacent to M2a that progressively enlarged

CT Scan prior to entrectinib



CT Scan after 9 weeks of entrectinib therapy

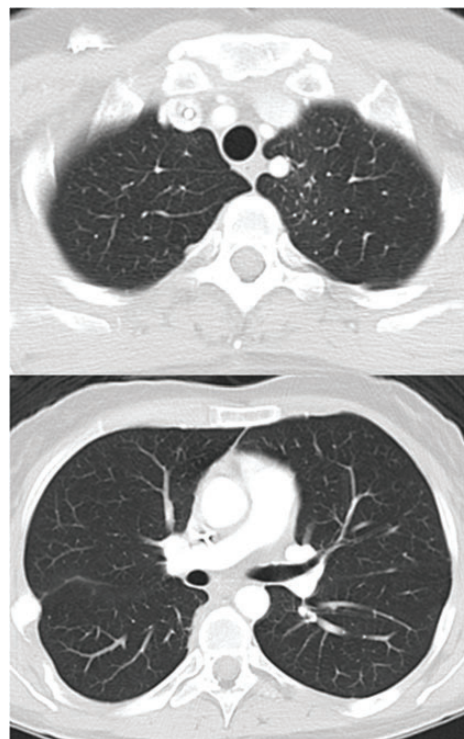


Figure 2. A durable partial response is achieved with entrectinib therapy in an *ETV6-NTRK3*-rearranged mammary analogue secretory carcinoma. Computed tomography (CT) imaging of the patient after progression on crizotinib and before entrectinib therapy is shown on the left. Repeat CT imaging at 9 weeks revealed a dramatic partial response to therapy (RECIST v1.1) with an interval decrease and resolution of pleural-based metastases in the right hemithorax (arrows). This response was confirmed at 13 weeks and further shrinkage was noted at 21 weeks. A best radiologic response of 89% reduction in tumor burden from baseline was achieved.

on entrectinib therapy) (Figure 3A). While *ETV6-NTRK3* was detected in all three tumors (supplementary Figure S2, available at *Annals of Oncology* online), a novel *NTRK3* exon 16 G623R (c.1867G>A) mutation was identified in the M2b tumor, correlating to the development of entrectinib resistance (Figure 3B). The cancer cell fraction estimate for *NTRK3* G623R was 90%, indicating its presence at a clonal level. Concurrent mutations in *RB1* and *MYC* identified in M2a were maintained in M2b (supplementary Figure S3, available at *Annals of Oncology* online).

A review of histology did not identify morphologic changes associated with the development of acquired resistance to therapy. While the patient's primary tumor resected in 2006 showed a lower grade histology with a mitotic count of 2/10 high-power fields and no tumor necrosis, pathologic features of all the metastatic tumors (M1, M2a, and M2b) were similar and more aggressive, each with a mitotic count of 6/10 high-power fields and notable tumor necrosis.

functional and structural evaluation

To evaluate the hypothesis that the *NTRK3* G623R mutation mediates resistance to entrectinib, we investigated Trk inhibitor susceptibility in Ba/F3 cell lines overexpressing *NTRK* constructs. Entrectinib demonstrated potent antiproliferative activity in cell lines overexpressing various *NTRK* family rearrangements (*TPM3-NTRK1*, *LMNA-NTRK1*, *ETV6-NTRK1*,

VCL-NTRK2, *AFAP1-NTRK2*, *ETV6-NTRK2*, *ETV6-NTRK3*) with IC_{50} values ranging between 1 and 5 nM (Figure 3C). This effect was Trk fusion-specific as entrectinib had no effect on parental Ba/F3 cells (IC_{50} >1000 nM) or Ba/F3 cells transfected with empty lentiviral vector.

For the *ETV6-NTRK3* fusion, entrectinib was more potent than other Trk inhibitors: TSR-011 (Tesar), LOXO-101 (LOXO), and crizotinib (IC_{50} values of 2 nM for entrectinib, 14 nM for LOXO-101, 59 nM for TSR-011, and 88 nM for crizotinib, supplementary Figures S4A and S4B, available at *Annals of Oncology* online). Western blots confirmed Trk targeting with entrectinib as phosphorylation of both TrkC and PLC γ 1 was substantially reduced with drug exposure. Other signaling proteins downstream of TrkC also had reduced phosphorylation with entrectinib, including PI3K (p85), MAPK, and Stat3 (Figure 3D).

Introduction of the *NTRK3* G623R mutation to the *ETV6-NTRK3* construct (Ba/F3-*ETV6-NTRK3* G623R) conferred reduced sensitivity to entrectinib, increasing the IC_{50} value in the proliferation assays more than 250-fold (2 to 507 nM) relative to the Ba/F3-*ETV6-NTRK3* cells (Figure 3E). The *NTRK3* G623R mutation conferred even greater loss of sensitivity to the other tested Trk inhibitors, TSR-011 (Tesar) and LOXO-101 (LOXO), eliciting IC_{50} proliferation values of >1000 nM (supplementary Figure S4C, available at *Annals of Oncology* online).

Investigation into the structural impact of the G623R point mutation revealed a potential mechanism of relative resistance to

drivers of oncogenesis. This observation has widespread implications across a number of adult and pediatric hematologic/nonhematologic cancers where *NTRK3* rearrangements have been identified [7]. We demonstrate that entrectinib (RXDX-101), a multikinase inhibitor with activity against TrkA, TrkB, TrkC, ROS1, and ALK, has potent *in vitro* activity against a variety of *NTRK* rearrangements, including *TPM3-NTRK1*, *LMNA-NTRK1*, *ETV6-NTRK1*, *VCL-NTRK2*, *AFAP1-NTRK2*, *ETV6-NTRK2*, and *ETV6-NTRK3*.

While crizotinib has modest *in vitro* activity against Trk [14], it is significantly less potent against *ETV6-NTRK3* in comparison to entrectinib, correlating to the modest benefit achieved clinically in this patient. This observation suggests that the degree of Trk inhibition may be a critical determinant of response, emphasizing the need for potent Trk inhibitors such as entrectinib to achieve meaningful clinical outcomes in patients with Trk-driven tumors. Drug development strategies for these agents will need to take into account that *NTRK* rearrangements occur both frequently in rare cancers, and at a low incidence in more common tumors.

This experience also represents the first reported case of resistance to TrkC inhibition mediated by the appearance of an *NTRK3* G623R mutation. Structural and cellular studies suggest that this alteration reduces entrectinib (and other tested Trk inhibitors) inhibition of TrkC by sterically disrupting drug binding to the kinase domain. Interestingly, solvent front mutations resulting in amino acid substitution at paralogous positions of ALK and ROS1 (*ALK* G1202R [15, 16] and *ROS1* G2032R [17]), have previously been identified as mechanisms of acquired resistance to ALK and ROS1 inhibition by crizotinib in *ALK*- and *ROS1*-rearranged tumors, respectively. More importantly, the TrkC G623 and TrkA G595 residues are paralogous, confirming the work carried out by Russo et al. who identified *NTRK1* G595R as a mechanism of acquired resistance to entrectinib in a patient with *LMNA-NTRK1*-rearranged colorectal cancer [18]. We recommend that molecular profiling be carried out at the onset of acquired resistance to Trk inhibition in *NTRK*-rearranged tumors to identify *NTRK3* G623R, *NTRK1* G595R, and the analogous mutation *NTRK2* G639R. Ongoing drug discovery efforts should focus on the development of targeted therapies with activity against these mutations.

Finally, this report emphasizes the tremendous potential of comprehensive molecular profiling to impact oncologic diagnostics and therapeutics for cancer patients [19], particularly those with rare malignancies for whom effective or standard therapies are lacking [11, 20]. In this case, profiling prompted pathologic reclassification of the patient's diagnosis from AcicC to MASC and identified a class of novel drugs that would not have otherwise been considered for her. In a series of nonparatid AcicC cases, 79% of tumors harbored *ETV6-NTRK3* rearrangements, resulting in a change in diagnosis to MASC [21]. While salient histologic features may suggest a diagnosis of MASC [22], we recommend that all suspected MASC neoplasms, including zymogen-poor AcicC and other morphologically similar low-grade salivary tumors, undergo molecular profiling for *ETV6-NTRK*. For those with recurrent or metastatic disease, such an approach could potentially translate to clinically meaningful therapeutic options.

acknowledgements

We acknowledge Andrew Drilon for creating body diagrams for Figures 1 and 3, and Ryma Benayed of Memorial Sloan Kettering Cancer Center for performing RNA sequencing for *ETV6-NTRK3* to confirm RNA sequencing results carried out at Ignyta.

funding

This study was funded in part by NIH/NCI Cancer Center Support (grant no. P30 CA008748).

disclosure

AD has received honoraria or consulting fees for Ignyta, Exelixis, Roche, and Genentech; GL, GW, NRC, RS, JL, ZH, JC, DL, ECM, and PM are employees or stockholders of Ignyta; AF has consulted for Intervention Insights; SVL has consulted for Genentech and Boehringer Ingelheim; AS has received honoraria or consulting fees from Ignyta, Pfizer, Novartis, Roche, Genentech, Ariad, Daiichi-Sankyo, Blueprint Medicines, and EMD Serono. All remaining authors have declared no conflicts of interest.

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Annals of Oncology 27: 926–933, 2016
doi:10.1093/annonc/mdw027
Published online 22 January 2016

The global decrease in cancer mortality: trends and disparities

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Received 11 October 2015; revised 5 January 2016; accepted 14 January 2016

Background: A decrease in cancer mortality has been reported in the United States, Europe, and other high-income regions during the last two decades. Whether similar trends apply to low-to-middle income countries—and globally—is unclear.

Design: The aim of this descriptive study is to compare cancer mortality in all countries with high- or intermediate-quality data on death certificates according to the World Health Organization (WHO) mortality database for the years 2000 through 2010. We included 60 countries in the analysis and calculated age-adjusted mortality rates for all cancer combined and for the commonest cancers worldwide: lung, stomach, breast, colorectal, uterine, and prostate.

Results: A decrease in overall cancer mortality rate of ~1% per year was observed in higher and lower income regions and in both sexes. In 2010, 696 000 cancer deaths were avoided on a global scale compared with 2000 rates (426 000 in men, 271 000 in women). However, the mortality of liver cancer in both sexes and lung cancer in females increased in many countries¹.

Conclusions: The individual risk of dying from cancer decreased in all countries with reliable data. This decrease was chiefly due to favorable trends in the commonest specific cancers. Liver cancer in both sexes and lung cancer in women, which show increasing mortality rates, constitute a priority for prevention and further research.

Key words: cancer, mortality, global health, data collection/standards, registries/standards, vital statistics

Introduction

Recent descriptive studies report an increase in the global burden of cancer, referring primarily to the number of cancer cases and deaths [1, 2]. However, case and death

counts are sensitive to the population size and age structure, and high-income countries have a substantially higher proportion of older age groups [3]. The most important indicator of the cancer burden is the standardized mortality rate, which takes the population size into account and expresses the probability of developing or dying from cancer for the average individual [4].

With respect to mortality, it has been over 10 years since editorials urged strengthening of vital statistics and registration

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