# Diabetes, Triglyceride Levels, and Other Risk Factors for Glaucoma in the National Health and Nutrition Examination Survey 2005–2008

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**M**ETHODS. Participants age 40 and older from the National Health and Nutrition Examination Survey underwent questionnaires, physical examination, laboratory tests, and vision tests including fundus imaging. Glaucoma was determined based on expert grading of fundus photographs. Regression modeling of glaucoma risk factors was performed.

**RESULTS.** Participants with glaucoma (172) were older (mean age 68.1 [95% confidence interval (CI) 65.6-70.7] vs. 56.4 years [95% CI 55.6-57.2, P < 0.001]), likely to have less than high school education (25.1% vs. 18.1%, P = 0.05), to have diabetes (23.1% vs. 10.8%, P < 0.001), to have central obesity (72.5% vs. 60.7%, P = 0.01), to have systolic hypertension (30.3% vs. 20.1%, P = 0.01), to have diastolic hypotension (30.3% vs. 13.9%, P < 0.001), and to be nonsmokers (91.0% vs. 79.3%, P = 0.002). Sex, poverty, access to health care, fasting glucose, insulin dependence, body mass index, cholesterol levels, diastolic hypertension, systolic hypotension, obstructive sleep apnea, and marijuana were not associated with glaucoma. Multivariable modeling showed associations between glaucoma and older age (odds ratio [OR] 1.09 per year, 95% CI 1.04–1.14), black race (OR 4.40, 95% CI 1.71–11.30), and poverty (OR 3.39, 95% CI 1.73–6.66). Diabetes was no longer associated with glaucoma after adjustment for triglyceride levels. Sex, education, insurance status, body mass index, blood pressure, obstructive sleep apnea, and smoking were not associated with glaucoma.

Conclusions. People who are older, of black race, and with lower income levels have a higher prevalence of glaucoma. A novel association between diabetes, triglyceride levels, and glaucoma is also identified.

Keywords: glaucoma, glaucoma risk factors, NHANES

O cular hypertension is an established risk factor for glaucoma, and treatments for glaucoma are primarily aimed at lowering intraocular pressure.<sup>1-4</sup> Other risk factors for glaucoma prevalence include age, first-degree relatives with glaucoma, African American race, thinner central corneal thickness, observed features of the optic nerve, pseudoexfoliation, pigment dispersion, and myopia.<sup>5,6</sup> Glaucoma has been also associated with both systemic hypertension<sup>7,8</sup> and hypotension.<sup>8,9</sup> Diabetes, hypothyroidism, obstructive sleep apnea, smoking tobacco cigarettes, and marijuana use have also been reported as risk factors for glaucoma, but evidence

remains inconclusive.<sup>1,10-16</sup> Although the literature on potential risk factors for glaucoma is extensive, most studies have been limited by the use of highly selected, nonrepresentative populations.

This study analyzes data from the National Health and Nutrition Examination Survey (NHANES) from 2005 to 2008, a representative sample of the US population. This phase of the NHANES included fundus imaging, questionnaires, physical examination, and laboratory data that can be used to identify risk factors for the presence of glaucoma in the US population.



The NHANES 2005–2008 protocol was reviewed and approved by the National Center for Health Statistics research ethics review board. Expert grading of disc photos was reviewed and approved by the Johns Hopkins University School of Medicine Institutional Review Board. Written informed consent was obtained from all participants. The study adhered to the tenets of the Declaration of Helsinki.

## **Study Population**

The National Health and Nutrition Examination Survey is a representative cross-sectional survey of the civilian noninstitutionalized US population conducted by the National Center for Health Statistics of the Centers for Disease Control and Prevention, with ongoing surveys performed in 2-year cycles since 1999. Participants in NHANES complete a household interview and are invited for an extensive examination in a mobile examination center (MEC), including a physical examination, specialized measurements, and laboratory tests. We used data from the 2005 to 2008 cycles, when additional eye and vision testing including fundus imaging was performed in participants  $\geq$  40 years of age (n = 6797). We excluded 1051 participants with missing or ungradable fundus photographs. A detailed comparison of the characteristics of the participants who were included and excluded is available elsewhere (Gupta et al.<sup>17</sup>). The final sample included 5746 participants (2883 men and 2863 women).

## **Data Collection**

Data on demographic characteristics, lifestyle habits, history of disease, medication use, diabetes, obstructive sleep apnea, smoking, marijuana use, socioeconomic status, insurance status, and access to health care were collected by standard questionnaires at the household interview.

Educational attainment was defined as less than high school if the participant reported having less than 12 years of schooling or equivalent. Poverty was defined as a poverty-to-income ratio (PIR)  $\leq$  1, where PIR is a ratio of family income to the poverty threshold. Access to health care and health insurance coverage at the time of survey were assessed via the questions "Is there a place you usually go when you are sick or need advice about your health"? and "Are you covered by health insurance or some other kind of health care plan"? We categorized health insurance as private only, government only, a combination of private and government, or no health insurance.

Self-reported diabetes was assessed by asking participants whether they had been informed by a doctor that they had diabetes, and at what age they received the diagnosis. This was then used to calculate the duration of diabetes since diagnosis. Participants also reported whether they required insulin for diabetes. Similarly, obstructive sleep apnea, smoking, and marijuana use were assessed by questionnaire. People who formerly smoked but had quit were defined as nonsmokers. A drug use questionnaire was administered to those less than 69 years of age. Marijuana use was defined as using marijuana at least 5 days per month, the median reported use determined during sensitivity testing.<sup>18,19</sup>

Anthropometry, blood pressure measurement, and collection of blood samples were performed at the MEC using standardized methods.<sup>18,19</sup> Obesity was defined as a body mass index (BMI) greater than or equal to 30 kg/m<sup>2</sup>, and central obesity was defined as a waist circumference greater than 102 cm in men or 88 cm in women. Hypertension was defined as having a systolic pressure of 140 mm Hg or greater and a diastolic pressure of 90 mm Hg or greater. Hypotension was defined as having a systolic pressure of less than 90 mm Hg or a diastolic pressure of less than 60 mm Hg. We evaluated current blood pressure, as opposed to historic blood pressure; thus, a person who was previously hypertensive but is receiving medication could potentially be categorized as normotensive or hypotensive.

Participants were instructed to fast prior to measurements for glucose, triglyceride, and low-density lipoprotein (LDL) levels.<sup>18,19</sup> Other laboratory testing included hemoglobin A1c (HbA1c), total cholesterol, and high-density lipoprotein (HDL).<sup>20</sup> Tests that required fasting were performed for a subset of participants: Where appropriate, this was accounted for by weighting to account for sampling of half the study population.<sup>21,22</sup> Hemoglobin A1c > 6.5%, fasting glucose > 125 mg/dL, total cholesterol greater than or equal to 240 mg/ dL, HDL less than 40 mg/dL in men or 50 mg/dL in women, LDL  $\geq$  160 mg/dL, and triglycerides  $\geq$  200 mg/dL were evaluated as potential risk factors for glaucoma.

## Grading of Optic Disc Photographs

Photographs of the optic nerve were obtained using a nonmydriatic fundus camera (CR6-45NM; Canon USA, Melville, NY, USA). Initial grading of cup-to-disc ratio (CDR) was performed at the University of Wisconsin Fundus Photograph Reading Center.<sup>23</sup> All people with a CDR > 0.6 in at least one eve per the reading center were regraded by three glaucoma specialists (DSF, MVB, PR) at the Wilmer Eye Institute. In addition, 180 participants with CDR < 0.6 in both eyes on initial grading were randomly selected for review by the glaucoma specialists. Of these 180 with CDR < 0.6, 3 cases (1.7%) were designated as glaucoma by expert graders. These were not included in statistical analysis, but were taken into consideration when interpreting results. At the Wilmer Eye Institute, the images were evaluated by using a tablet-based review system (TruthMarker; IDx, LLC, Iowa City, IA, USA) and graded to determine image quality, vertical CDR, notching of the neuroretinal rim, excavation of the optic cup, optic disc hemorrhage, tilting of the disc, and disc size. The three glaucoma specialists graded each image to determine likelihood of glaucoma (No, Possible, Probable, Definite, Unable), and the results were adjudicated where necessary (Gupta et al.<sup>17</sup>). A participant was designated as having glaucoma if the consensus expert grading in either eye was "Probable" or "Definite." For this analysis, we assumed that participants with CDR < 0.6 in both eyes did not have glaucoma (this value is close to the optimal cutoff point for defining glaucomatous optic neuropathy in population-based glaucoma risk factor analysis).<sup>24</sup> As a consequence, all glaucoma cases in the analysis were derived from participants who had at least one eye with  $CDR \ge 0.6$  on initial grading.

#### **Statistical Analysis**

We analyzed NHANES data to assess potential risk factors for prevalent glaucoma based on optic disc photos. Regression analysis was first performed with individual variables to identify factors that were significantly associated with glaucoma ( $P \le 0.05$ ). These variables, together with basic demographic variables, were then included in a multivariable regression model to identify factors that remained independently associated with glaucoma. Stepwise logistic regression analysis was performed to identify factors that were independently associated with glaucoma. A *P* value  $\le 0.05$  was used to define statistical significance for this study.

All data were analyzed using SAS (version 9.2; SAS Institute, Cary, NC, USA). Because of the multistage probability sampling design of the NHANES, weights computed by National Center

TABLE 1.	Characteristics	of Those	With and	Without	Glaucoma Based	l on l	Expert-Graded	Disc Photos
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	Glaucoma ( $\pm$ SE),	No Glaucoma (± SE),	
	n = 172	n = 5574	P Value
Age, mean	68.1 (± 1.3)	56.4 (± 0.4)	< 0.001
Female sex	46.2 (± 3.8)%	52.7 (± 0.7)%	0.16
Race			
White	71.3 (± 3.5)%	77.2 (± 0.6)%	0.13
Black	17.0 (± 2.9)%	9.6 (± 0.3)%	0.008
Mexican American	4.8 (± 1.6)%	5.4 (± 0.3)%	0.77
Other	6.9 (± 1.9)%	7.8 (± 0.4)%	0.72
Poverty income ratio $\leq 1$	11.9 (± 2.6)%	9.4 (± 0.4)%	0.41
Education < high school	25.1 (± 3.3)%	18.1 (± 0.5)%	0.05
Lack of access to health care Health insurance, age $< 65$	5.7 (± 1.8)%	8.7 (± 0.4)%	0.25
Private only	69.0 (± 7.1)%	64.7 (± 0.8)%	0.61
Private and government	$2.1 (\pm 2.2)\%$	$6.9 (\pm 0.4)\%$	0.01
Government only	$16.4 (\pm 5.7)\%$	$11.7 (\pm 0.5)\%$	0.28
None	$12.5 (\pm 5.0)\%$	$16.7 (\pm 0.6)\%$	0.52
Health insurance, age $\geq 65$			0.92
Private only	8.0 (± 2.4)%	7.7 (± 0.6)%	0.90
Private and government	65.1 (± 4.3)%	55.4 (± 1.1)%	0.05
Government only	26.1 (± 3.9)%	35.7 (± 1.1)%	0.04
None	$0.8 (\pm 0.8)\%$	1.2 (± 0.3)%	0.66
All diabetes	23.1 (± 3.2)%	$10.8 (\pm 0.4)\%$	< 0.001
Duration $\geq 10$ y	7.5 (± 2.0)%	4.6 (± 0.3)%	0.15
HbA1c > 6.5%	12.8 (± 0.3)%	8.9 (± 0.4)%	0.14
Fasting glucose $> 125$ mg/dL	16.9 (± 4.7)%	11.1 (± 0.6)%	0.23
Insulin dependence	4.0 (± 1.5)%	2.4 (± 0.2)%	0.26
Body mass index $\geq 30$	42.9 (± 3.8)%	36.7 (± 0.6)%	0.17
Central obesity	72.5 (± 3.5)%	60.7 (± 0.7)%	0.01
High cholesterol indices			0.05
Triglycerides HDL	$6.2 (\pm 3.1)\%$	$17.6 (\pm 0.8)\%$	0.05 0.56
HDL LDL	26.1 (± 3.4)% 13.3 (± 4.3)%	28.7 (± 0.6)% 12.1 (± 0.7)%	0.50
Total cholesterol	$15.5 (\pm 4.5)\%$ $12.1 (\pm 2.6)\%$	$12.1 (\pm 0.7)\%$ $18.4 (\pm 0.5)\%$	0.09
Hypertension			
Systolic $\geq 140 \text{ mm Hg}$	30.3 (± 3.6)%	20.1 (± 0.5)%	0.01
Diastolic $\geq 90 \text{ mm Hg}$	7.8 (± 2.1)%	6.3 (± 0.3)%	0.52
Hypotension			
Systolic $\leq$ 90 mm Hg	1.4 (± 0.9)%	1.9 (± 0.2)%	0.69
Diastolic $\leq 60 \text{ mm Hg}$	30.3 (± 3.5)%	13.9 (± 0.5)%	< 0.001
Obstructive sleep apnea	8.1 (± 2.1)%	6.5 (± 0.3)%	0.48
Current smoker	9.0 (± 2.2)%	20.7 (± 0.5)%	0.002
Marijuana $\geq$ 5 d/mo	2.1 (± 2.9)%	4.5 (± 0.4)%	0.59

SE, standard error.

for Health Statistics were used to obtain valid estimates of population prevalence and standard errors. In other words, NHANES oversamples small subgroups, such as ethnic minorities, then accounts for this through weighting to model the actual US census population. The weights are based on the probability of a person's being selected and are adjusted for likelihood of nonresponse.<sup>20</sup> Appropriate weights were also applied to the fasting lab values included in the multivariable models.<sup>20</sup> These results were confirmed using nonfasting weights, and there were no significant differences.

## RESULTS

Among 6797 participants, 5764 had adequate-quality disc photos graded by the reading center. Of these, 1073 eyes from 548 participants with cup-to-disc ratio of 0.6 or larger were regraded by glaucoma experts, who identified 172 glaucoma cases. Participants with glaucoma were significantly older (mean age 68.1 vs. 56.4, P < 0.001) and more likely to be African American (17.0% vs. 9.6%, P = 0.008). They were also significantly more likely to have education less than high school (25.1% vs. 18.1%, P = 0.049), to have diabetes (23.1% vs. 10.8%, P < 0.001), to have central obesity (72.5% vs. 60.7%, P = 0.01), to have systolic hypertension  $\geq$  140 mm Hg (30.3% vs. 20.1%, P = 0.01), and to have diastolic hypotension  $\leq$  60 mm Hg (30.3% vs. 13.9%, P < 0.001). They were less likely to be current smokers (9.0% vs. 20.7%, P = 0.002) (Table 1). Among those 65 years and older, those with glaucoma were more likely to have a combination of private and government sponsored insurance, and less likely to have government

 TABLE 2.
 Single-Variable Regression of Age as a Risk Factor for Glaucoma

	Odds Ratio	Confidence Interval
Age (per year)	1.08	1.06-1.10
Age (65+ vs. 40-64)	6.18	4.05-9.42

insurance only; there were no significant differences among other types of insurance, and there were no significant differences in insurance type among those younger than 65 years old. Sex, poverty, lack of access to health care, fasting glucose, insulin dependence, BMI  $\geq$  30, lipid levels, diastolic hypertension, systolic hypotension, obstructive sleep apnea, and marijuana use were not significantly associated with the prevalence of glaucoma.

Single-variable analysis confirmed that older age was significantly associated with glaucoma (odds ratio [OR] 1.08, 95% confidence interval [CI] 1.06-1.10 per year). Regression analyses including demographic variables, controlled for age, showed that black race was significantly associated with glaucoma (OR 2.59, 95% CI 1.44-4.64). Regression analyses of variables related to general health were controlled for age, sex, and race and showed that diabetes (OR 1.77, 95% CI 1.03-3.04) and BMI > 30 (OR 1.63, 95% CI 1.10-2.41) were significantly associated with glaucoma. Diabetes of 1 to 9 years duration (OR 2.42, 95% CI 1.23-4.75) was significantly associated with glaucoma, whereas diabetes of greater than or equal to 10 years duration was not significantly associated with glaucoma. Furthermore, triglyceride levels  $\geq 200 \text{ mg/dL}$ were inversely associated with the prevalence of glaucoma, and this was statistically significant. The associations with education less than high school, insurance status, central obesity, systolic hypertension, diastolic hypotension, and smoking status were not statistically significant when these variables were adjusted for age (Tables 2, 3, 4).

Multivariable regression modeling was performed with all risk factors described above. Age (OR 1.09, 95% CI 1.04–1.14) and black race (OR 4.40, 95% CI 1.71–11.3) remained significantly associated with glaucoma. In addition, poverty

 
 TABLE 3.
 Univariable Logistic Regression of Demographic Risk Factors for Glaucoma, Controlling for Age

	Odds Ratio	Confidence Interval
Female sex	0.69	0.46-1.05
Ethnicity		
White	reference	reference
Black	2.59	1.44-4.64
Mexican American	1.49	0.83-2.67
Other	1.40	0.74-2.63
Poverty income ratio $\leq 1$	1.34	0.87-2.06
Education < high school	1.07	0.61-1.88
Lack of access to health care	1.21	0.74-1.99
Health insurance, age $< 65$		
Private only	reference	reference
Private and government	0.27	0.06-1.34
Government only	1.22	0.39-3.83
None	0.73	0.27-1.94
Health insurance, age $\geq 65$		
Private only	reference	reference
Private and government	1.01	0.42-2.42
Government only	0.66	0.25-1.76
None	0.62	0.07-5.79

 TABLE 4.
 Multivariable Logistic Regression of Health-Related Risk

 Factors and Glaucoma, Controlling for Age, Sex, and Ethnicity

	Odds Ratio	Confidence Interval
Diabetes	1.77	1.03-3.04
Duration		
1-9 y	2.42	1.23-4.75
≥10 y	1.16	0.60-2.22
HbA1c > 6.5%	1.13	0.63-2.00
Fasting glucose > 125 mg/dL	1.06	0.48-2.32
Insulin dependence	1.25	0.60-2.58
Body mass index $\geq 30$	1.63	1.10-2.41
Central obesity	1.63	0.99-2.68
High cholesterol		
Triglycerides	0.31	0.10-0.96
HDL	1.04	0.61-1.77
LDL	1.29	0.56-2.98
Total cholesterol	0.69	0.42-1.11
Hypertension		
Systolic $\geq 140 \text{ mm Hg}$	0.98	0.61-1.57
Diastolic $\geq$ 90 mm Hg	1.68	0.87-3.25
Hypotension		
Systolic $\leq$ 90 mm Hg	0.60	0.17-2.12
Diastolic $\leq 60 \text{ mm Hg}$	1.32	0.87-2.00
Obstructive sleep apnea	1.37	0.64-2.92
Current smoker	0.63	0.30-1.33
Marijuana $\geq$ 5 d/mo	0.50	0.07-3.88

appeared as a risk factor (OR 3.39, 95% CI 1.73-6.66). Diabetes was not significantly associated with glaucoma once all confounders, including triglyceride levels, were included in the model. Of note, diabetes was significant only if triglyceride levels were excluded from the multivariable regression model, but diabetes was not significant if triglyceride levels were included in the model. Sex, education less than high school, insurance status, and BMI  $\geq$  30 were not statistically related to glaucoma prevalence in the multivariable model (Table 5).

#### DISCUSSION

Using optic nerve appearance on fundus photographs, we found that older age (OR 1.09 per year), black race (OR 4.89 compared to whites), and poverty (OR 3.14) were significantly associated with glaucoma. Interestingly, diabetes appeared to be significantly associated with glaucoma if considered alone, and even after controlling for demographic factors. However, if triglyceride levels were included in multivariable regression modeling, then the association with diabetes was no longer statistically significant.

Older age and black race, both of which are nonmodifiable, have been identified as strong risk factors in multiple studies,<sup>5</sup> and our findings confirm these results. A recent meta-analysis found that diabetes, diabetes duration, and fasting glucose levels were all associated with increased risk of glaucoma.<sup>15</sup> Potential mechanisms proposed for this increased risk include hyperglycemia leading to trabecular meshwork dysfunction, osmotic gradient changes related to autonomic dysregulation, or microvascular insult to the retina or optic nerve.<sup>25-28</sup> Interestingly, single-variable analysis suggests that duration of diabetes is associated with different risk of glaucoma compared to those without diabetes. This may be due to survivor effect that is, people who have diabetes for a longer duration may 
 TABLE 5.
 Multivariable Logistic Regression of Risk Factors for Glaucoma

	Odds Ratio	Confidence Interval
Age (per year)	1.09	1.04-1.14
Female sex	1.60	0.89-2.88
Ethnicity		
White	reference	reference
Black	4.40	1.71-11.30
Mexican American	1.87	0.76-4.60
Other	2.57	0.74-8.87
Poverty income ratio $\leq 1$	3.39	1.73-6.66
Education $<$ high school	0.67	0.31-1.48
Lack of access to health care	1.70	0.57-5.04
Insurance		
Private only	reference	reference
Private and government	1.18	0.37-3.78
Government only	0.52	0.12-2.19
None	0.29	0.07-1.25
Diabetes duration		
None	reference	reference
HbA1c $> 6.5\%$ without	1.16	0.13-10.39
Diabetes diagnosis		
1-9 у	2.22	0.82-6.04
≥10 y	0.70	0.24-2.05
Body mass index $\geq 30$ High cholesterol	0.94	0.47-1.89
Triglycerides	0.58	0.15-2.20
HDL	0.27	0.06-1.18
LDL	2.43	0.55-10.72
Total cholesterol	0.44	0.14-1.36
Systolic hypertension $\geq 140 \text{ mm Hg}$	0.85	0.37-1.92
Diastolic hypotension $< 60 \text{ mm Hg}$	1.39	0.69-2.78
Obstructive sleep apnea	0.46	0.08-2.49
Current smoker	0.64	0.28-1.43

have had comorbidities that limited the quality of their fundus photos and prevented grading; furthermore, the effect disappears after triglycerides are added to the model. To our knowledge, no previous reports have noted an inverse association of triglyceride levels with the prevalence of glaucoma. This confounding role of triglyceride levels may explain the conflicting evidence for the association between diabetes and glaucoma.<sup>5,29,30</sup> Alternatively, this effect may be related to use of systemic medications in people with metabolic disturbances. If systemic medications for glaucoma prevention and treatment. Further studies are needed to elucidate the role of lipid levels on glaucoma risk.

In the present study, glaucoma experts graded the optic disc photographs of NHANES participants to identify those with likely glaucoma. The majority of previously reported risk factors were not statistically related to glaucoma prevalence in this study. One possible explanation is that prior studies may have been affected by selective sampling or reporting that is less likely in the NHANES. Furthermore, risk factors previously thought to be independent may actually be markers of general poor health or older age. The lack of association may also be due to insufficient power in the current study, or to misclassification of those with and without glaucoma. One potential source of misclassification is the assumption of no glaucoma in the group with cup-to-disc ratios < 0.6. FDT was considered in making the diagnosis of glaucoma; however, work by others in our group has shown poor sensitivity and specificity.<sup>31</sup> Furthermore, 25% of those studied did not successfully complete frequency-doubling technology (FDT); thus, FDT was not used to diagnose glaucoma in this study. Using self-report may also lead to misclassification of those with diabetes, although this is likely mitigated by inclusion of those with elevated fasting blood glucose or HbA1c. It is possible that certain variables, especially vascular risk factors, may be significant in only specific subtypes of glaucoma, such as so-called normal-tension glaucoma, which cannot be diagnosed without assessment of intraocular pressure and thus could not be separately analyzed in the current study.

Strengths of our study include its representative populationbased sampling and standardized methodology. We studied a large database of optic disc photos graded by glaucoma experts to find associations with glaucoma. Our study is limited by its reliance on optic disc photos to identify people with glaucoma. Images were nonstereoscopic; however, they were high quality and multiple features of the optic nerve were considered, including not only vertical CDR, but also notching of the neuroretinal rim, excavation of the optic cup, optic disc hemorrhage, tilting of the disc, and disc size. Furthermore, three experts separately graded the images and resolved any discrepancies with adjudication. Given that characteristic optic disc changes are the defining feature of glaucomatous nerve damage, we believe that the majority of optic nerves with glaucoma were identified in the current study.

Our findings showed that people who are older, are of black race, and have lower income levels were at higher risk of glaucoma. We also identified a novel association between triglyceride levels and glaucoma that may help us understand inconsistent previous findings relating diabetes to increased glaucoma risk. This has implications for public health programs and may help to guide the direction of future research.

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