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Magnetic resonance imaging for assessment of cerebrovascular reactivity in cerebral small vessel disease: A systematic review

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Abstract

Cerebral small vessel disease (SVD) pathophysiology is poorly understood. Cerebrovascular reactivity (CVR) impairment may play a role, but evidence to date is mainly indirect. Magnetic resonance imaging (MRI) allows investigation of CVR directly in the tissues affected by SVD. We systematically reviewed the use of MRI to measure CVR in subjects with SVD. Five studies (total $n = 155$ SVD subjects, 84 controls) provided relevant data. The studies included different types of patients. Each study used blood oxygen level dependent (BOLD) MRI to assess CVR but a different vasoactive stimulus and method of calculating CVR. CVR decreased with increasing white matter hyperintensities in two studies ($n = 17$, 11%) and in the presence of microbleeds in another. Three studies ($n = 138$, 89%) found no association of CVR with white matter hyperintensities. No studies provided tissue-specific CVR values. CVR decreased with age in three studies, and with female gender and increasing diastolic blood pressure in one study. Safety and tolerability data were limited. Larger studies using CVR appear to be feasible and are needed, preferably with more standardized methods, to determine if specific clinical or radiological features of SVD are more or less associated with impaired CVR.

Keywords

Blood oxygen level dependent magnetic resonance imaging, cerebral small vessel disease, cerebrovascular reactivity, hypercapnia, white matter hyperintensity

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Introduction

Cerebral small vessel disease (SVD) is an important cause of morbidity and mortality worldwide. Clinically SVD has diverse presentations, including lacunar stroke, intracerebral haemorrhage, cognitive impairment, dementia and depression as well as gait and bladder dysfunction.^{1,2} Radiologically it is characterised by recent small subcortical (or acute lacunar) infarcts, white matter hyperintensities, lacunes, microbleeds and enlarged perivascular spaces.³ This is in contrast to the presentation of large artery, atherothrombo-embolic or cardio-embolic stroke which typically manifests as cortical stroke syndromes clinically and cortical or large striatocapsular infarcts on neuroimaging. The diverse clinical presentations and the presence of SVD features in patients with other brain conditions, such as Alzheimer's disease (AD) ,⁴ have made studying SVD more difficult and as a result the underlying pathophysiology is poorly understood despite its high prevalence.¹

Clinically lacunar stroke and SVD are associated with some vascular risk factors such as hypertension, diabetes and smoking but have only weak associations with others such as large artery atheroma and atrial fibrillation.5,6 While some SVD imaging features and

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large artery stroke can occur in the same individuals, in general, recurrent stroke tends to be of the same type as the initial stroke.⁷ Additionally, SVD features associate more strongly with lacunar than cortical stroke.⁸ This suggests that SVD is not simply 'atherosclerosis of the smaller blood vessels'.^{5,6}

Recently it has been proposed that endothelial dysfunction may play a key role in development of $SVD^{1,9,10}$ Endothelial dysfunction may result in small blood vessels becoming stiff and unreactive, such that they cannot increase brain blood flow when needed, a feature termed cerebrovascular reactivity (CVR).

A systematic review¹¹ showed that patients with lacunar stroke subtype had reduced CVR, assessed using transcranial Doppler (TCD), compared to age matched controls, but not compared to age plus risk factor matched controls, or to patients with cortical stroke subtype. TCD has limitations in terms of assessing vascular reactivity. It only assesses one representative artery and cannot provide information on the distribution of CVR by tissue type or brain region.11,12 It therefore remains unclear whether impairment of CVR is specific to lacunar stroke and SVD or simply reflects exposure to risk factors.

Magnetic resonance imaging (MRI) with blood oxygen level dependent (BOLD) or arterial spin labelling (ASL) techniques can now be used to measure CVR in response to a stimulus, such as breathing carbon dioxide $(CO₂)$. ASL measures blood flow directly but suffers from a low signal to noise ratio. BOLD MRI is the basis of functional MRI (fMRI) where it is used to localise the haemodynamic response to neural activity. BOLD MRI is based on the principal that when blood flow increases to a region of brain this results in a reduction in the venous concentration of paramagnetic deoxyhaemoglobin which can be detected as a small increase in signal on T2*-weighted imaging.

These MRI techniques have been used to investigate CVR in several different conditions including carotid artery stenosis and Moyamoya disease $13,14$ as well as in healthy volunteers. CVR measurement using MRI has the potential to investigate the pathophysiology of SVD directly in the tissues affected, and if reliable could be used as a surrogate endpoint in testing new treatments for SVD.

The purpose of this systematic review is to identify and summarise the knowledge to date from studies using MRI to assess CVR in SVD.

Materials and methods

We performed a literature review seeking full length original research articles assessing CVR using MRI in human subjects with any clinical or imaging features of SVD, published in English. We included studies of patients with AD if the results were reported in relation to SVD imaging features which are common in patients with AD.⁴ We excluded animal studies, studies in patients with Moyamoya disease, intracranial stenosis, extracranial carotid artery stenosis, haemorrhagic stroke or monogenetic disorders such as cerebral autosomal dominant arteriopathy with subcortical infarcts and leucoencephalopathy (CADASIL). Studies which assessed CVR by means of TCD were also excluded as were those using Xenon CT, PET or SPECT scanning as we wanted to avoid techniques which have limited availability, are more expensive, and expose patients to ionising radiation. Studies published only as conference abstracts were also excluded.

We searched the databases Medline and Excerpta Medica Database (EMBASE) for articles published between 1990 and 7 May 2015 with the strategy shown in Appendix 1 (Supplementary Information). Additionally, to validate the search strategy, we hand searched the journals Stroke and Journal of Cerebral Blood Flow and Metabolism for relevant articles.

We assessed article titles and in those deemed relevant we reviewed the abstracts. We then reviewed pertinent articles in full and included them if the above criteria were met (Figure 1). We extracted data from included articles using standardised forms.

We extracted data on study design including quality criteria, patient and control group demographics, the MR protocol, the vasoactive stimulus used, the CVR analysis method and the main study results including any data on tolerability or safety. We used the STROBE checklist (www.equator-network.org) to score the study methodology and reporting of findings to assess study quality. We assigned up to 22 points using this checklist (Appendix 2, Supplementary Information). This score was applied after the decision to include the study and did not influence whether a study was included in the review.

Owing to the small number of studies and the large methodological heterogeneity, it was not possible to do a formal meta-analysis to combine study data.

Results

The search strategy identified 263 potentially relevant articles. Of these, five described CVR using MRI in patients with features of SVD .¹⁵⁻¹⁹ Figure 1 details numbers of papers excluded and the reasons.

The five articles include 239 subjects (155 SVD subjects, 84 controls). The studies had a median STROBE criteria score of 14.5/22 (range 12.5–16). Points were lost most commonly for inadequate description of SVD diagnostic criteria, not explaining rationale for study size, inadequate description of efforts made to control for confounding factors such as age, gender

Figure 1. Search strategy flow chart. Flow chart showing number of papers at each stage of the search and reasons for excluding papers.

and blood pressure, limited description of patient demographics, not indicating study design in the title and inadequate discussion of study limitations such as incomplete CVR examinations (Appendix 2, Supplementary Information).

Patient characteristics

Patient characteristics are shown in Table 1: these varied widely between studies. The clinical phenotype included patients with asymptomatic white matter hyperintensities (WMHs; two studies, $n = 103$),^{15,17} stroke (one study, $n = 5$)¹⁹ and AD (two studies, which described CVR in relation to SVD imaging features, $n = 47$).^{16,18} Four of the five studies included a healthy control group, but in only two of the four studies was the control group of similar age to the patients.16,18 One study did have an older healthy control group however there was an almost five year age difference between patients and controls which may be significant.¹⁹

MR scanner, vasodilatory stimuli and calculation of CVR

Table 2 summarises the methods used by each study to assess CVR. MR scanner magnetic field strength varied: CVR was measured at 3 or 7T and the SVD features were assessed at 1.5, 3 or 7T. Each study used BOLD MRI to determine CVR with a different vasodilatory stimulus, delivered over different lengths of time, although all stimuli were based on manipulation of arterial $CO₂$ concentration to achieve a change in cerebral blood flow. Three studies used $CO₂$ in air to increase partial pressure of carbon dioxide in arterial blood (PaCO_2) , 15,16,18 one study used breath holding to increase CO_2^{-17} and one used hyperventilation to decrease CO_2 .¹⁹ Each study also used a different way of calculating CVR from the $CO₂$ and BOLD signal changes. One study¹⁷ assessed CVR and microbleed burden, assessed using visual rating with the Microbleed Anatomical Rating Scale, 20 at 7T, but compared this with WMH assessed at 1.5 T.

CVR in SVD

Table 3 summarises the associations between SVD and CVR in the five included studies. All studies measured CVR in large brain regions (e.g. whole hemisphere or lobar measurements) and not in specific tissues such as white matter, WMH, or grey matter. Three studies assessed CVR in relation to WMH burden, $15,16,18$ one study assessed CVR in relation to both WMH burden and microbleed burden 17 and one study assessed CVR in relation to combined burden of WMH, lacunes and perivascular spaces.¹⁹

One study $(n = 12)$ reported reduced CVR with worsening WMH,¹⁸ one with worsening combined WMH, lacunes and perivascular spaces $(n = 5)^{19}$ and one with microbleeds but not with WMH $(n = 49)$.¹⁷ In the study by Conjin, CVR and microbleeds were assessed at 7 T and WMH at 1.5 T.¹⁷ Two other studies ($n = 54$ and 35) found no association between WMH and CVR.^{15,16} Thus, CVR did not fall with increasing WMH in a total of 138 of 155 patients (89%) and did fall with increasing WMH or combined WMH, lacunes and perivascular spaces in 17 of 155 patients (11%). However, of the studies that did not find reduced CVR with WMH, one used breath-holding, 17 one administered $CO₂$ via nasal cannulae with no

Study	Sample size	Subjects	Control group	Age
Gauthier et al. ¹⁵	54 subjects 31 controls	Healthy elderly with asymptomatic WMH	Young healthy volunteers	Subjects: 63 ± 5 Controls: 24 ± 3
Richiardi et al. ¹⁶	20 AD 15 MCI 28 controls	AD and MCI	Healthy elderly	AD: $76 + 7$ MCI: 71 ± 10 Controls 73 ± 7
Conijn et al. ¹⁷	49	Patients with history of vascular events or cardiovascular risk factors	None	58.9 \pm 10
Yezhuvath et al. ¹⁸	Subjects: 12 Controls: 13	AD.	Healthy elderly	Subjects: 70.5 ± 8.3 Controls: 68.7 ± 8.4
Hund-Georgiadis et al. ¹⁹	Subjects: 5 Young Controls: 6 Older Controls: 6	Stroke and 'cerebral microangiopathy' (white matter MRI signal changes.)	Young healthy volunteers and older healthy volunteers	Subjects: 61.8 (54-67) Young controls: 24.8 (23-27) Older controls: 57 (51-63)

Table 1. Patient characteristics.^a

AD: Alzheimer's disease, MCI: mild cognitive impairment.

^aPatient characteristics of included studies of CVR in cerebral SVD.

monitoring of end-tidal CO_2 (EtCO₂)¹⁶ and the other only included subjects without vascular risk factors (i.e. very healthy elderly). 15

From the perspective of clinical classification, the four studies with control groups all showed that CVR was impaired in the patient group compared to the control groups,^{15,16,18,19} but in two of these studies^{15,19} the controls were much younger than the patients and therefore the difference between patients and controls could be due to age.

CVR decreased with age in three studies.^{15,17,19} Conijn et al. also showed that female gender and increasing diastolic blood pressure were associated with decreased CVR.¹⁷

Only three studies reported any safety or tolerability data for the CVR procedure. One study excluded two patients out of 83 due to discomfort during $CO₂$ breathing.¹⁵ One study reported no discomfort in 63 participants but this was using nasal cannulae.¹⁶ One study reported that 9 of 34 participants declined to participate in the CVR examination but did not report the $reasons.$ ¹⁸

Discussion

This systematic review found only five studies that assessed CVR in any form of SVD. Collectively these studies do not provide a clear picture of whether CVR is impaired in SVD or not. Small studies found an association between reduced CVR and increased WMH or microbleeds, but three larger studies including 138 of 155 (89%) of the available SVD patients in the literature did not find an association with WMH, although the method of administering the $CO₂$ challenge may

have contributed to the lack of a CVR association. CVR was lower in subjects than controls in these studies, except that most subjects were older than controls and CVR falls with age. All studies combined provide only 155 patients with SVD and the results are difficult to compare between studies due to the patient and methodological differences.

Therefore the question of whether CVR to a $CO₂$ challenge is reduced in SVD as detected by MRI remains unanswered.

Factors affecting measurement of CVR in patients with SVD

Patient characteristics. The type of patients differed between studies and limits the conclusions that can be drawn. The studies included patients with different manifestations of SVD or the SVD features were assessed differently: asymptomatic lesions in patients with vascular risk factors¹⁷; lacunar stroke¹⁹; WMH in mild cognitive impairment and $AD^{16,18}$; and WMH in healthy elderly.¹⁵ We focused on the imaging evidence of SVD to harmonise the studies. As summarized in the introduction, SVD has several clinical and imaging manifestations which should be more clearly discriminated in future studies. It is not possible to say if specific clinical and radiological features are more associated with impaired CVR than others based on these data.

Descriptions of other patient related variables such as age, blood pressure, medical co-morbidity and medication were also limited. Age, blood pressure and gender are in themselves thought to alter $CVR^{17,21}$ and therefore may have affected the results.

Table 2. MR scanner magnetic field strength, vasoactive stimulus and CVR calculation method.² Table 2. MR scanner magnetic field strength, vasoactive stimulus and CVR calculation method.^a

aDescription of MR scanner magnetic field strength, vasoactive stimulus, imaging parameters and CVR calculation method for each included study.

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AD: Alzheimer's disease, MCI: mild cognitive impairment, MMSE: Mini Mental State Examination. AD: Alzheimer's disease, MCI: mild cognitive impairment, MMSE: Mini Mental State Examination.

Even where these variables were reported, the studies varied as to whether they corrected for these factors when assessing CVR.

MR protocol. Both MR scanner magnetic field strength and the scanning protocols vary significantly between the studies, so CVR values are not directly comparable. Four studies used 3 T and one used 7 T.

BOLD signal change at $3T$ is reported to be 69% higher than at $1.5T$ when using breath holding to achieve hypercapnia.²² One study has suggested that protocols using long TE's may suffer from greater BOLD image distortion and that lower spatial resolution is required to detect CVR in white matter at field strengths of 1.5 T,²³ highlighting the effects that the different MR parameters may have on results. All except one of the studies included here used 3T to assess CVR.

However our review highlights a lack of direct comparisons of CVR between 1.5 and $3T²⁴$ Therefore, more data are required to determine the true differences in BOLD signal and artefacts due to field strength.

Vasodilatory stimuli and calculation of CVR. The comparability of the different vasodilatory stimuli (in terms of CVR response and patient tolerability) is also relatively unknown. A review of the different stimuli suggested that $CO₂$ inhalation is a more consistent stimulus than acetazolamide, use of a thigh cuff, or lower body negative pressure.¹² All the included studies used a change in $CO₂$ as the vasoactive stimulus. We found no papers utilizing acetazolamide in combination with MRI to measure CVR in SVD despite its previous extensive use in measuring CVR with TCD methods. 11 Use of acetazolamide may be declining due to problems with the inter-individual variability in response to acetazolamide and the fact that side effects are reported in 40–60% of subjects who receive the drug for CVR examination.¹² However, as the studies described here illustrate, there are many methods and gas concentrations that can be used to administer $CO₂$. The relationship between MR signal and $EtCO₂$ is non-linear, meaning CVR results may depend on the $CO₂$ concentration used and baseline $EtCO₂$.²⁵ Additionally the high oxygen concentrations used in some gas mixtures may cause further complex changes to the BOLD contrast.²⁶ One study has suggested that there is little difference between breath holding and $CO₂$ inhalation, although this study used ASL rather than BOLD to assess CVR ²⁷ This study also found bigger differences between the vasodilatory stimuli it assessed when absolute change rather than percentage change CVR measures were used. This suggests the way the BOLD data are processed is another factor affecting CVR measurement and, along with the lack of an accepted definition of CVR, will hinder comparison of results between studies.

The duration of the stimulus varied between studies from 21 s to 2 min. There is known to be a significant delay between change in $ECO₂$ and change in BOLD signal in the white matter compared to the grey matter.^{21,28} It is therefore vital that the different delay between brain structures is taken into account during analysis and that the period of hypercapnia is long enough for all tissues to achieve a representative change in BOLD signal. The delay itself may be an important part of the assessment of CVR. It may be that the $CO₂$ challenge, in terms of $CO₂$ concentration and duration, requires maximisation of the signal change and dynamic information content, while ensuring adequate patient tolerability and consistency of stimulus.

Tolerability of CVR measurement. Safety and tolerability data are not often reported in studies that use hypercapnia achieved by breathing high percentage $CO₂$ gas mixtures, making it difficult to assess the feasibility of using this technique in a patient population. Only three of the five studies identified here mention tolerability of the CVR technique. From these data, there appear to be few adverse effects associated with the technique, however one study excluded almost any medical comorbidity,¹⁵ one study used nasal cannulae as they believed the patient group would be unable to tolerate a more robust delivery method such as a tight face mask (that would have allowed measurement of $EtCO₂$ ¹⁶ and one study reported no adverse events but did not give the reason why more than a quarter of participants in the study declined to take part in the CVR assessment.¹⁸

One study specifically examined safety and tolerability of CVR using a hypercapnia method mainly in intracranial or carotid atherosclerosis patients with a mean age of 49.5 years. This study assessed 434 patients who underwent CVR studies using the RespiractTM prospective $ECO₂$ targeting system. Out of 434 patients, 48 patients (11%) reported discomfort during a CVR study. The most common complaint was breathlessness (28 patients) followed by anxiety and claustrophobia. Less commonly reported symptoms were headache, dizziness and light-headedness, conjunctival erythema, tremor, paraesthesia, hand weakness and chest tightness.²⁹

Conclusion

Larger, more methodologically robust studies are needed to be certain of the association between SVD and CVR, to clarify if specific clinical or radiological features of SVD are more or less associated with impaired CVR and to measure CVR in specific tissues.

The available data do not support an association between worsening SVD features in general and lower CVR, although do support an association between increasing age or diastolic blood pressure and falling CVR.

Future studies should clearly define the clinical features of the patient population and ensure measurement of, and controlling for, the likely confounding variables. This will allow better comparison of studies. While it is possible to measure CVR at 1.5 T, 3 T scanners yield better signal-to-noise ratio at the same spatial resolution and may be more sensitive for this technique, given the small signal changes seen in the white matter. However direct comparisons in the same patients are lacking and should be performed in future studies to determine the relative strengths and limitations of 1.5 vs. 3 T. The best method for $CO₂$ delivery remains unclear, but avoidance of high oxygen mixtures is probably sensible so that the number of factors influencing the BOLD signal change and measured CVR is kept to a minimum. Standardisation of methods for calculating CVR is also important. Studies appear to be converging towards CVR expressed as percentage BOLD signal change per mm Hg change in $EtCO₂$. Future studies in patients should also report specifically on tolerability and safety in this often frail patient group, and studies should aim for much larger sample sizes.

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Authors' contributions

GWB – performed the search for articles, extracted and analysed data and drafted the manuscript. FND, MJT, IM and JMW – interpreted the data, cross checked the data extraction and reviewed and edited the manuscript.

Supplementary information

Supplementary material for this paper can be found at http:// jcbfm.sagepub.com/content/by/supplemental-data

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