

RESEARCH PAPER

## Genome-wide methylation analysis of retrocopy-associated CpG islands and their genomic environment

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### ABSTRACT

Gene duplication by retrotransposition, i.e., the reverse transcription of an mRNA and integration of the cDNA into the genome, is an important mechanism in evolution. Based on whole-genome bisulfite sequencing of monocyte DNA, we have investigated the methylation state of all CpG islands (CGIs) associated with a retrocopy ( $n = 1,319$ ), their genomic environment, as well as the CGIs associated with the ancestral genes. Approximately 10% of retrocopies are associated with a CGI. Whereas almost all CGIs of the human genome are unmethylated, 68% of the CGIs associated with a retrocopy are methylated. In retrocopies resulting from multiple retrotranspositions of the same ancestral gene, the methylation state of the CGI often differs. There is a strong positive correlation between the methylation state of the CGI/retrocopy and their genomic environment, suggesting that the methylation state of the integration site determined the methylation state of the CGI/retrocopy, or that methylation of the retrocopy by a host defense mechanism has spread into the adjacent regions. Only a minor fraction of CGI/retrocopies ( $n = 195$ ) has intermediate methylation levels. Among these, the previously reported CGI/retrocopy in intron 2 of the *RB1* gene (*PPP1R26P1*) as well as the CGI associated with the retrocopy *RPS2P32* identified in this study carry a maternal methylation imprint. In conclusion, these findings shed light on the evolutionary dynamics and constraints of DNA methylation.

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CpG islands; DNA methylation; imprinting; *RPS2P32*; retrotransposition

### Introduction

During evolution, new genes mainly arise from gene duplications.<sup>1</sup> Two mechanisms for gene duplication are known: segmental duplication, which leads to a gene copy retaining the exon-intron-structure and nearby regulatory elements, and retrotransposition, which leads to a gene copy lacking introns and non-exonic regulatory elements. Whereas gene copies resulting from segmental duplication are usually found close to the ancestral gene, retrocopies are often located on another chromosome.<sup>1–3</sup>

For a long time, potential functions of retrocopies and their evolution into expressed (pseudo)genes were unknown.<sup>1,2,4</sup> The genome-wide study of Vinckenbosch et al. analyzed the transcription of all human retrocopies and found more than 1,000 transcribed retrocopies.<sup>5</sup> During evolution, more than 10% of these have developed into so called *bona fide* genes. Several mechanisms have been described regarding how retrocopies can develop into functional retrogenes, such as the use of a foreign promoter at the integration site or through natural selection at CpG-rich sequences or CpG islands.<sup>2,5</sup> CpG islands (CGIs) are CpG-rich sequences that meet specific criteria regarding length and CG-content, and are mainly located at the 5' end of a gene.<sup>6–8</sup>

Imprinted genes can also arise from retrotransposition, and this has been shown in several studies. In mouse, five imprinted genes (*Mcts2*, *Nap115*, *U2af1-rs1*, *Inpp5f\_v2*, and *Peg12*) are known to have arisen from retrotransposition.<sup>9–11</sup> This phenomenon can also be observed in the human genome for a number of genes, e.g., *MAGEL2*, *MKRN3*, *NDN*, and *NPAP1*.<sup>9,12–14</sup> In the case of *RB1*, a differentially methylated CpG island (CpG85), which is part of a retrocopy (*PPP1R26P1*) and located in intron 2, is responsible for imprinting of the human *RB1* gene. Parent-of-origin-specific methylation of CpG85 has been confirmed by other studies.<sup>15–18</sup> At present, nearly 100 imprinted human genes have been identified, and several studies have taken a genome-wide look at parent-of-origin-specific DNA methylation in order to identify novel imprinted genes.<sup>18,19</sup>

Retrocopies are instructive genomic elements for studying the evolutionary dynamics and constraints of DNA methylation. Based on a whole genome methylome data set with single base pair (bp) resolution, we have investigated the methylation status of all human CGIs associated with a retrocopy and of their genomic environment, which we defined as the region 1,000 bp up- and down-stream of a retrocopy. The aim of this study was to analyze the epigenetic fate of human retrocopies.

## Results

### Identification of CGIs overlapping a retrocopy

To analyze CGIs overlapping a retrocopy, we first investigated the location of 27,537 human CGIs with regard to 13,173 human retrocopies, including pseudogenes and expressed retrogenes (see Fig. 1 for a flow diagram of our study). Only 10% (1,319) of all human retrocopies overlap a CGI. Approximately 50% of these CGI/retrocopies are located in coding regions, while the other 50% are located between genes or in non-coding pseudogenes (RefSeq). Most of the 1,319 retrocopies are classified by the UCSC genome browser as expressed retrogenes (1,122; 85%) and only a small number as pseudogenes (197; 15%) without expression.

Next, we analyzed whether the CGI/retrocopies were the result of a single or of multiple retrotransposition events. We found that 41% of the retrocopies are the result of a single event (Table S1). The other retrocopies are the result of two or more independent events.

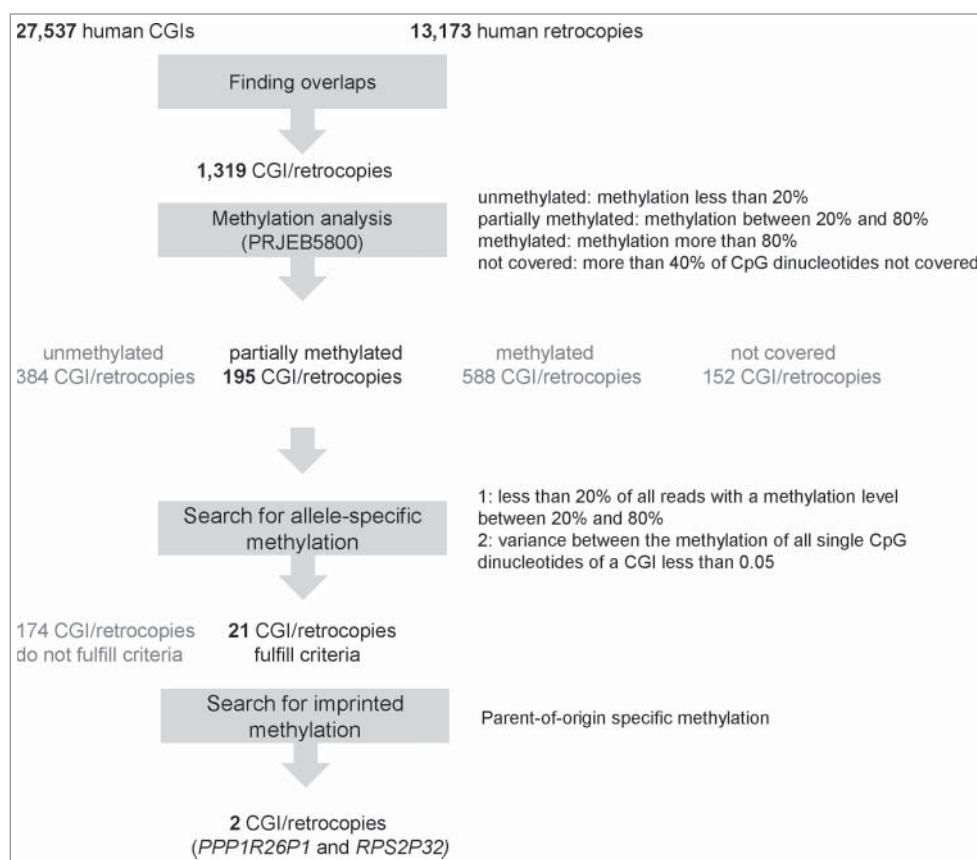
### Methylation analysis of human CGIs overlapping a retrocopy

The methylation level of all 1,319 CGIs overlapping a retrocopy was analyzed in a monocyte methylome data set obtained by whole-genome bisulfite sequencing (WGBS; ENA accession PRJEB5800). Fig. 2 visualizes the methylation level of all 1,319 CGIs, sorted by the number of independent transposition

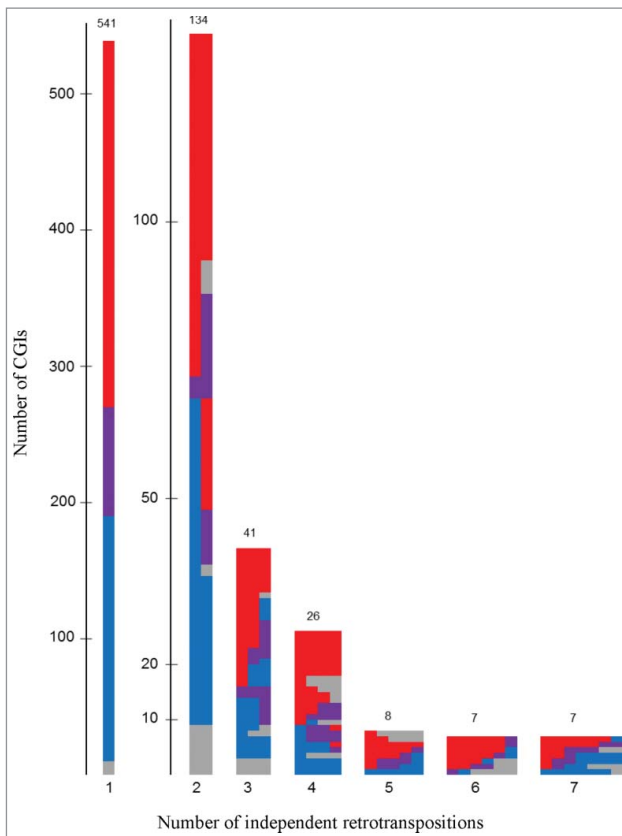
events. The majority of CGIs are either fully methylated or fully unmethylated, but the methylation level of different CGI/retrocopies derived from the same ancestral gene often differs.

Because of low coverage (more than 40% of CpG dinucleotides were not covered; Fig. 2 colored in gray), 152 CGIs were excluded from further analysis. The methylation level of the remaining 1,167 CGIs/retrocopies is divided into 384 (32%) unmethylated CGIs (methylation less than 20%), 588 (50%) methylated CGIs (methylation more than 80%), and 195 (17%) partially methylated CGIs (methylation level between 20% and 80%). We did not find any methylation differences between intergenic/intragenic CGIs/retrocopies and expressed/not-expressed retrogenes (Table S2-S6).

Moreover, we compared the methylation level of all 1,167 CGI/retrocopies for which we have high quality methylation data to the methylation level of the surrounding region. For this, the methylation level of the region 1,000 bp upstream and downstream of the retrocopy was analyzed. First, we calculated the Pearson correlation coefficient ( $r = 0.78$ ), which indicates a very strong positive relationship between the methylation level of the CGI and the surrounding region. Further we analyzed the data by k-means cluster analysis ( $k = 4$ ; Fig. 3). Approximately 52% of the CGIs overlapping a retrocopy are methylated and so is the surrounding region of the retrocopy (red cluster; 603 elements, 52%). Unmethylated CGIs (32%) can be found in unmethylated regions (blue cluster; 204 elements, 17%) and methylated regions (dark gray cluster; 136 elements, 12%), with a slight bias for unmethylated regions. Only a small number of

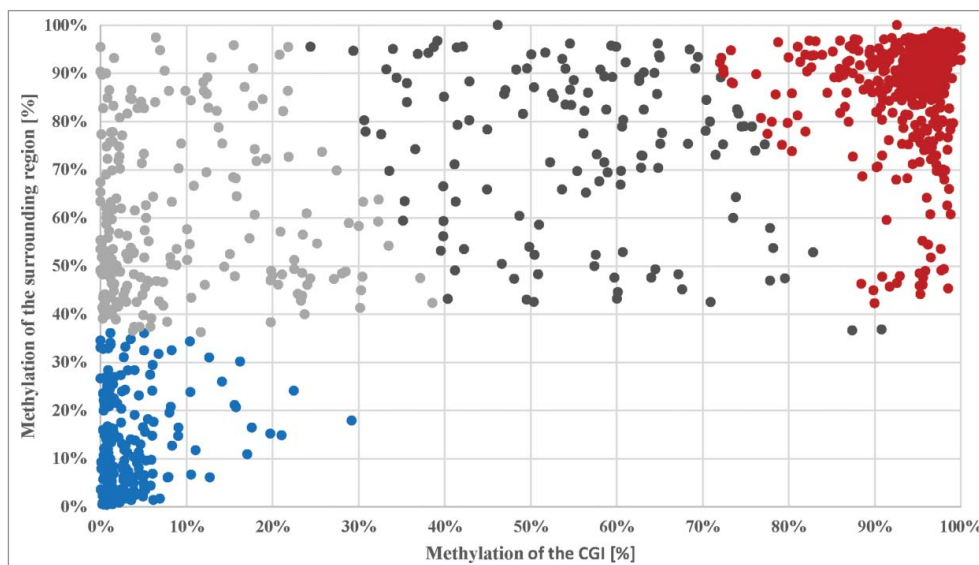


**Figure 1.** Flow diagram. This figure gives an overview of the analysis steps including the criteria for filtering.



**Figure 2.** Methylation status of 1,319 CGIs overlapping a retrogene in monocytes. This figure shows the methylation (m) status of single CGIs overlapping a retrogene sorted by the number of independent retrotranspositions. Red: methylated CGIs ( $m > 80\%$ ); blue: unmethylated CGIs ( $m < 20\%$ ); violet: methylation between 20% and 80% and gray: CGIs with more than 40% of single CpG dinucleotides not covered and excluded for further analysis. The numbers can be found in the supplemental material, Table S1.

CGIs (12%) have a methylation level between 20% and 80%. These CGIs are found in regions containing a methylation level between 40% and 100%.



**Figure 3.** Cluster analysis of the methylation level of the 1,167 CGI dependent on the methylation status of the surrounding region ( $\pm 1,000$  bp) of the retrogene using k-means. The vertical axis gives the percentage of the methylation level of the surrounding region of the retrogene and the horizontal axis the percentage of the methylation level of the CGI. The cluster analysis resulted in four clusters ( $k = 4$ ): Cluster 1: dark gray, 136 elements (12%), centroid (56%/74%); Cluster 2: red, 603 elements (52%), centroid (95%/89%); Cluster 3: blue, 204 elements (17%), centroid (4%/13%); Cluster 4: light gray, 224 elements (19%), centroid (9%/60%).

In addition, we analyzed if the presence of a DNA repeat close to the retrocopy is linked to the methylation status of the CGI. In most cases (839; 72%) both the 1,000 bp upstream region and the 1,000 bp downstream region overlapped with at least one repeat. The absence of a repeat on both sides (47), in the upstream region only (137) or in the downstream region only (144) did not change the clustering of the CGIs (Figs. S1-S4).

### Comparative methylation analysis of ancestral CGIs and retrocopy-associated CGIs

Nearly all ancestral genes (96%) contain at least one CGI. We compared the sequence identity of the CGIs overlapping a retrocopy with the ancestral CGIs to distinguish between retrotransposed CGIs and newly formed CGIs. Only 372/1167 CGIs (32%) showed an identity over 60% to the ancestral CGI, suggesting that most of the CGIs developed during evolution. In 310 of 372 cases, the ancestral CGI was unmethylated, whereas 262/372 of the retrotransposed copies were methylated, suggesting a gain of methylation.

### Search for allele-specific methylation

For identification of allele-specific methylation, we analyzed the 195 partially methylated CGIs in more detail. Allele-specific methylation should be reflected by the presence of mainly unmethylated and mainly methylated single sequence reads in the WGBS data set and only a small number of reads with a methylation level between 20% and 80% (less than 20% of all reads). As described in Rademacher et al. 2014, we checked the methylation of each single CpG dinucleotide by calculating the variance (variance equal or less than 0.05) to exclude CGIs having a high methylation in one part and a low degree of methylation in another part. Based on the two criteria, we found 21 CGIs (Table 1; Figs. S5-S21 and Table S11) including the four

**Table 1.** Read analysis and CpG methylation with intermediate methylation levels.

CGI_ID	Genomic coordinates	Ancestral gene	Mean meth. [%]	Mean cov.	Number reads	Mean mapping quality of all reads***	Reads unmethylated (< 20% meth.)		Reads methylated (≥ 80% meth.)		Reads partially methylated (≥ 20% and < 80% meth)		CpG methylation	
							[#]	[%]	[#]	[%]	[#]	[%]	VAR	SD
2391_1_hg19	chr1:240656253-240656720	<i>GREM1</i>	56%	12	83	60	29	35%	44	53%	10	12%	0.04	0.2
6141_1_hg19	chr4:144833114-144833512	<i>SAV1</i>	49%	18	111	57	67	60%	39	35%	5	5%	0.04	0.2
9224_1_hg19**	chr7:16890768-16891087	<i>ARHGAP20</i>	58%	13	62	59	24	39%	27	44%	11	18%	0.06	0.23
9261_1_hg19	chr7:23530434-23530690	<i>RPS2</i>	72%	15	59	60	16	27%	40	68%	3	5%	0.01	0.11
9377_1_hg19	chr7:36010997-36011407	<i>PPP1R14B</i>	39%	7	48	60	25	52%	15	31%	8	17%	0.04	0.21
9473_1_hg19	chr7:52341468-52342266	<i>CCDC115</i>	79%	12	108	56	19	18%	74	69%	15	14%	0.03	0.17
13085_1_hg19	chr10:66813635-66814061	<i>NEK4</i>	76%	8	58	49	9	16%	40	69%	9	16%	0.02	0.16
13250_1_hg19	chr10:91596974-91597792	<i>MARK2</i>	22%	10	109	58	83	76%	23	21%	3	3%	0.01	0.07
14414_1_hg19*	chr11:62138621-62138873	<i>ASRGL</i>	55%	10	40	58	17	43%	21	53%	2	5%	0.02	0.13
15224_1_hg19*	chr12:3947922-3948620	<i>PARP11</i>	42%	11	108	49	53	49%	45	42%	10	9%	0.05	0.20
15400_1_hg19	chr12:31405184-31405545	<i>RPL13AP5</i>	69%	16	97	53	26	27%	61	63%	10	10%	0.02	0.15
15512_1_hg19	chr12:49782965-49783193	<i>FGFR1OP2</i>	54%	18	59	60	22	37%	30	51%	7	12%	0.02	0.15
16448_1_hg19	chr13:21893156-21893605	<i>GRK6</i>	79%	13	88	58	9	10%	67	76%	12	14%	0.02	0.12
16458_1_hg19	chr13:23412207-23412623	<i>IPMK</i>	31%	11	66	59	41	62%	17	26%	8	12%	0.02	0.15
16634_1_hg19*	chr13:48892635-48893857	<i>PPP1R26</i>	75%	13	193	60	69	36%	116	60%	8	4%	0.02	0.13
17031_1_hg19	chr14:21191657-21191860	<i>XPO6</i>	42%	7	30	60	18	60%	11	37%	1	3%	0.02	0.16
19100_1_hg19*	chr16:15083366-15084045	<i>KIAA2013</i>	75%	11	104	44	22	21%	67	64%	15	14%	0.02	0.16
20403_1_hg19	chr17:15686218-15686474	<i>IL6ST</i>	20%	13	49	57	41	84%	7	14%	2	4%	0.01	0.10
23548_1_hg19	chr19:21860792-21861016	<i>MTDH</i>	59%	14	52	59	14	27%	27	52%	11	21%***	0.03	0.17
24982_1_hg19	chr20:30135076-30135292	<i>MCTS1</i>	55%	20	64	60	25	39%	37	58%	2	3%	0.01	0.10
26859_1_hg19	chrX:37026348-37026706	<i>FAM47A</i>	77%	8	44	46	6	14%	34	77%	4	9%	0.02	0.15

This table shows the results of the read methylation and CpG methylation analysis of 17 CGIs overlapping a retrogene and containing an intermediate methylation level and four already published CGIs by Rademacher et al. (same dataset; indicated by \*).<sup>20</sup> In addition to CGI\_ID, genome coordinates, ancestral gene, mean methylation, mean coverage the number of reads is shown. The reads are divided into three classes, unmethylated (< 20% methylation), methylated (≥ 80% methylation) and partially methylated (≥ 20% and < 80% methylation). For each class, the total number of reads and the percentage is shown. The last column shows the results of the CpG methylation analyses; variance (VAR) and standard deviation (SD) over all single CpGs were calculated. More information of the CGIs, retrocopies, and ancestral genes can be found in the supplemental material (Table S11).

\*Published by Rademacher et al.<sup>20</sup>

\*\*Fulfill only one criteria (out of two) described by Rademacher et al.<sup>20</sup>

\*\*\*Mean of the phred quality score of all analyzed reads. The score quantifies the probability that a read is misplaced (for further information see supplemental material).

human/non-murine intronic CGIs reported previously by Rademacher et al.<sup>20</sup>

In addition to monocytes, we analyzed the newly discovered 17 CGIs/retrocopies in an additional methylome data set (Rademacher et al; EGA accession: EGAS000001000719), 16 already published methylome data sets by Ziller et al. and two oocyte methylome data sets published by Okae et al. (Table S8).<sup>20-22</sup> Out of these 17 CGIs/retrocopies, seven CGIs (2391\_1\_hg19, 9224\_1\_hg19, 9261\_1\_hg19, 9377\_1\_hg19, 17031\_1\_hg19, 23548\_1\_hg19 and 24982\_1\_hg19) showed an intermediate methylation level in

nearly all tissues except for human sperm DNA, where all CGIs are typically unmethylated. No methylation in nearly all tissues was found in three CGIs (13250\_1\_hg19, 16458\_1\_hg19, and 20403\_1\_hg19), six CGIs are fully methylated in at least three tissues, and one CGI (6141\_1\_hg19) is not methylated in fetal tissues. Without exception, all analyzed CGIs show a methylation level lower than 20% in human sperm DNA. In oocytes, 13 out of 17 CGIs/retrocopies showed no methylation and the other four CGIs/retrocopies were fully methylated in these cells. Single reads were not available for these data sets.

### Deep bisulfite amplicon sequencing of 17 CGIs overlapping a retrocopy

We performed deep bisulfite amplicon sequencing on monocyte DNA samples from unrelated donors to find out whether the intermediate methylation levels of the newly discovered 17 CGIs overlapping a retrocopy resulted from allele-specific methylation. We did not find heterozygous samples (we analyzed 21 unrelated monocyte DNA samples) for a single-nucleotide polymorphism for five CGIs out of 17. For the remaining 12 CGIs we were able to establish amplicons for deep bisulfite sequencing. Table 2 shows the results of this analysis. We found two heterozygous samples for 11 CGIs, except for CGI 16458\_1\_hg19, where we just found only one heterozygous sample out of 21. In contrast to the *in silico* methylation analysis, two CGIs (13250\_1\_hg19 and 20403\_1\_hg19) appeared to be fully unmethylated after deep bisulfite amplicon sequencing. Five CGIs showed more than 10% methylation differences between both alleles in two samples. For two CGIs (6141\_1\_hg19 and 9473\_1\_hg19), one allele was always more methylated than the other allele in both samples. This could be an indication of a *cis*-acting SNP. The remaining three CGIs (9261\_1\_hg19, 15400\_1\_hg19, and 23548\_1\_hg19) show also more than 10% methylation differences between both alleles, but the methylation status of the single alleles differ between both samples. These results could hint to genomic imprinting. CGI 9261\_1\_hg19 shows a methylation difference greater than 40% between both alleles and this CGI is methylated in oocytes

and unmethylated in sperm. Because of these results, we decided to analyze 9261\_1\_hg19 in more detail.

### Parent-of-origin of CGI 9261\_1\_hg19 methylation

To determine whether allelic methylation of CGI 9261\_1\_hg19 is parent-of-origin-specific, we analyzed blood of three parent-child trios informative for SNP rs10228640 (I-III; Fig. 4A). The methylation level of CGI 9261\_1\_hg19 is between 49% and 60% in all three children (Fig. 4B). Similar to the results in monocytes, one allele is always more methylated than the other allele (more than 55% methylation differences between both alleles). In all three analyzed trios, the more methylated allele was maternally inherited (Fig. 4B: I maternally methylated G-allele; II and III maternally methylated A-allele) and the less methylated allele was paternally inherited (Fig. 4B: I paternally less methylated A-allele; II and III maternally less methylated G-allele). This result excludes a *cis*-acting genetic effect on DNA methylation and points to imprinted DNA methylation.

Next, we analyzed the methylation of CGI 9261\_1\_hg19 in six patients with a known multilocus imprinting defect (MLID) (Fig. 5). Four of the MLID patients (MLID 3, MLID 4, MLID 5, and MLID 6) showed a hypomethylation (methylation level less than 20%) in the analyzed amplicon of CGI 9261\_1\_hg19. The remaining two patients (MLID 1 and MLID 2) showed a methylation level about 50% as the four controls (NC 1, NC 2, NC 3 and NC 4).

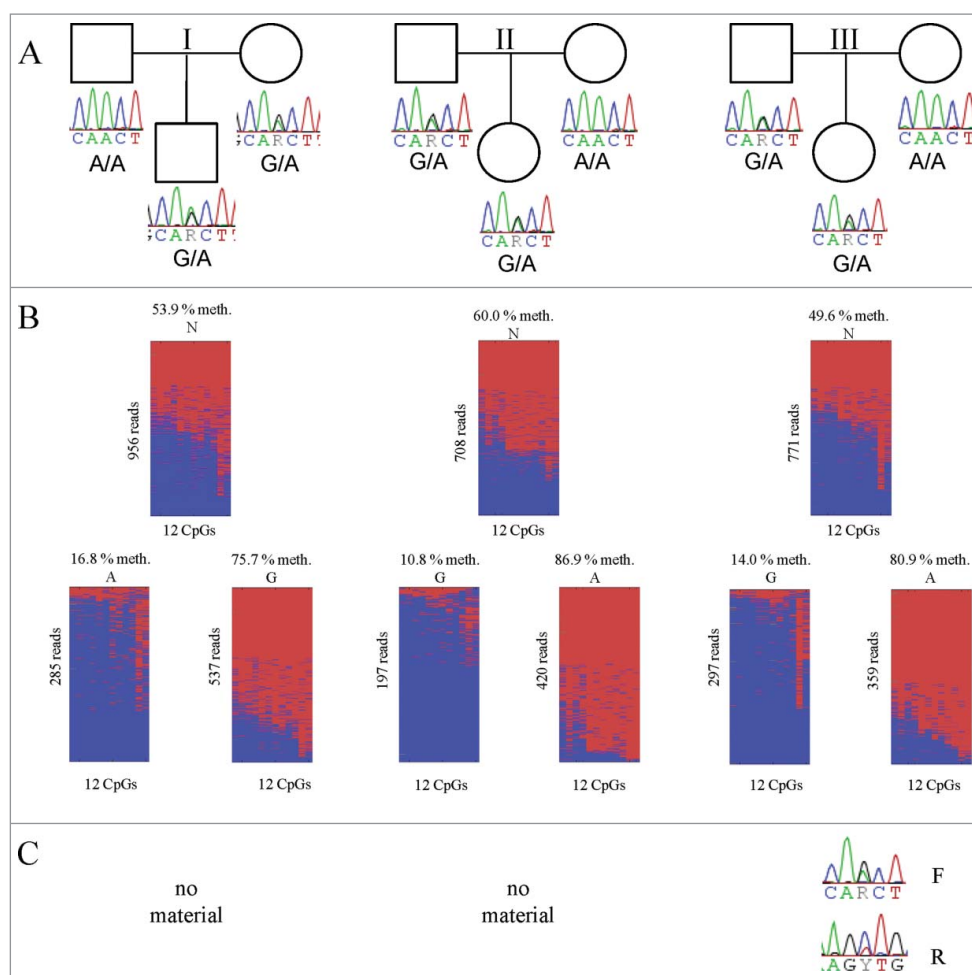
**Table 2.** Results of deep bisulfite amplicon sequencing on monocyte DNA samples.

CGI_ID	Sample	Mean meth. [%]	Analyzed CpGs	Number reads	Allele a			Allele b		
					Base	Meth. [%]	Number reads	Base	Meth. [%]	Number reads
2391_1_hg19	R3	59%	13	714	G	60%	213	A	58%	478
	R9	57%	13	607	G	60%	135	A	55%	458
6141_1_hg19	R7	28%	41	1452	A	47%	393	T	20%	1057
	R13	23%	41	1127	A	39%	366	T	16%	759
9224_1_hg19	R6	32%	13	2179	G	8%	960	A	51%	1150
	R7	23%	13	2800	G	26%	1226	A	21%	1484
9261_1_hg19	R5	64%	16	897	G	87%	536	A	27%	326
	R13	47%	16	1002	G	23%	428	A	66%	542
*9377_1_hg19	—	—	—	—	—	—	—	—	—	—
9473_1_hg19	R8	77%	18	2491	A	86%	1393	G	66%	929
	R10	79%	18	2542	A	86%	1369	G	70%	1017
**13085_1_hg19	—	—	—	—	—	—	—	—	—	—
13250_1_hg19	R3	3%	19	1801	G	4%	677	A	2%	934
	R5	4%	19	3060	G	6%	1338	A	2%	1499
15400_1_hg19	R4	70%	29	1840	G	62%	1012	A	81%	810
	R14	78%	29	1482	G	82%	1063	A	66%	411
*15512_1_hg19	—	—	—	—	—	—	—	—	—	—
16448_1_hg19	R3	61%	31	516	A	81%	240	G	44%	272
	R5	94%	31	982	A	94%	406	G	94%	566
16458_1_hg19	P2	22%	27	984	A	15%	302	G	25%	509
17031_1_hg19	R4	29%	18	4187	G	19%	2038	T	40%	2124
	R14	17%	18	4814	G	12%	2313	T	21%	2469
20403_1_hg19	R1	3%	32	3397	T	2%	1503	G	3%	1889
	R8	3%	32	2255	T	3%	1116	G	3%	1135
23548_1_hg19	R2	25%	22	3633	G	38%	1910	T	11%	1700
	R15	25%	22	3438	G	19%	1569	T	30%	1851
*24982_1_hg19	—	—	—	—	—	—	—	—	—	—
*26859_1_hg19	—	—	—	—	—	—	—	—	—	—

This table summarizes the results of the deep bisulfite amplicon sequencing on monocyte DNA samples. In addition to the CGI\_ID, the in-house sample number, the mean methylation level, the number of analyzed CpG dinucleotides and the total number of reads are shown. For each separated allele (allele a and allele b) base, methylation level and number of reads are specified.

\*non-informative sample;

\*\*no Amplicon design possible.



**Figure 4.** DNA methylation analysis and expression analysis of CGI 9261\_1\_hg19 in blood. (A). Pedigrees of the four trios (I-IV) investigated including the genomic genotype of all family members. (B). Single molecular methylation analysis of the CGI 9261\_1\_hg19 in heterozygous individuals (children of trios shown in A). The amplicon covers 16 out of 21 CpGs of the CGI. The upper part of the figure shows all amplicon reads, whereas the lower part shows the sequence reads sorted by SNP allele. Lines represent sequence reads, columns CpGs. Mean methylation level, SNP allele and number of reads is given. Blue unmethylated; red methylated. Part (C) shows the results of the expression analysis. For one individual (trio II) no RNA was available.

### Expression analysis

For determining if *RPS2P32* is monoallelically or biallelically expressed, we performed strand-specific expression analyses of RNA from blood of child III (other RNA samples were not available). As shown in Fig. 4C, *RPS2P32* is expressed from both alleles in both directions. By quantitative primer extension analyses (Table S12) we found an equal expression of the forward strand (ratio = 1.18) and a slight skewing (ratio = 1.78) of the reverse strand in favor of the paternal (unmethylated) allele.

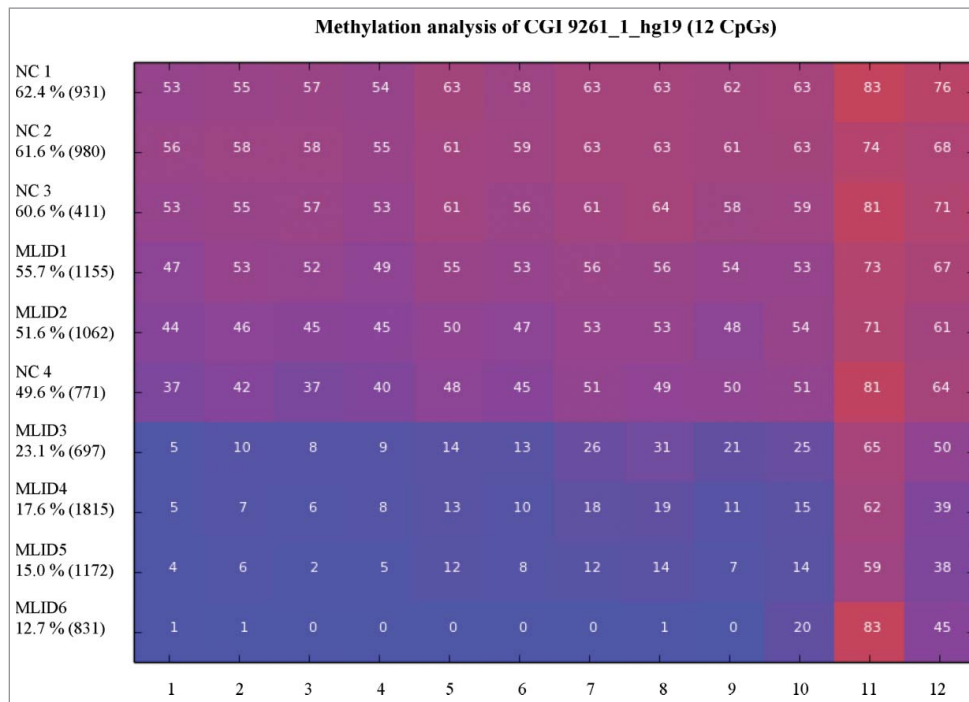
Because the CGI does not appear to regulate the expression of *RPS2P32*, we inspected the neighboring genes (Fig. 6). About 15 kb upstream is the *TRA2A* (ENSG00000164548) gene, which encodes a sequence-specific RNA-binding protein involved in the regulation of pre-mRNA splicing. Circa 20 kb downstream is the *IGF2BP3* gene, which encodes the insulin-like growth factor 2 mRNA binding protein 3 (ENSG00000136231).

Owing to the lack of a common expressed SNP in *TRA2A*, we could not analyze this gene. Since *IGF2BP3* is poorly expressed in blood, we analyzed DNA and RNA from placentas of different trimesters of pregnancy. As shown in Fig. S22, we detected biallelic expression in all informative samples.

### Discussion

Based on whole-genome bisulfite sequencing of human monocyte DNA, which provided DNA methylation data at single base pair resolution, we have investigated the methylation status of all CGIs associated with a retrocopy and their genomic environment as well as the CGIs associated with the ancestral genes. Our major findings are as follows:

1. In contrast to the majority of human genes, only 10% of retrocopies are associated with a CGI.
2. Whereas almost all CGIs are unmethylated, 68% of the CGIs associated with a retrocopy are methylated.
3. In retrocopies resulting from multiple retrotranspositions of the same ancestral gene, the methylation state of the CGI often differs.
4. There is a strong positive correlation between the methylation state of the CGI/retrocopy and their genomic environment.
5. In addition to the previously reported CGI/retrocopy in intron 2 of the *RB1* gene (CpG85), we have identified one other retrocopy (*RPS2P32*) associated CGI with a maternal methylation imprint.

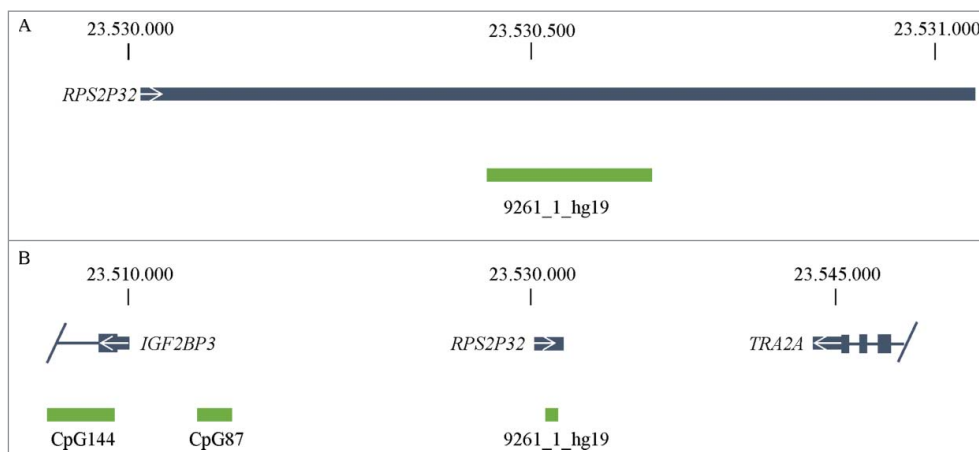


**Figure 5.** Comparative methylation analysis of CGI 9261\_1\_hg19 in blood. This figure shows the results of the methylation analyses by deep bisulfite sequencing of six individuals with a confirmed MLID (MLID 1–6) and four controls (NC 1–4). Each line represents one analyzed individual and each column an analyzed CpG dinucleotide. Mean methylation and number of reads for each individual are given. The color indicates the average methylation level of each CpG dinucleotide from blue unmethylated to red methylated. For clinical classification of patients with a confirmed MLID see Material.

Most of the CGIs in the human genome span the promoter/exon 1 region of a gene and are unmethylated. Apparently, the binding of transcription factors to these regions prevents their methylation.<sup>23</sup> Lack of methylation has led to retention of the cytosine residues during evolution, which is in contrast to other regions of the human genome where deamination of methylcytosine has led to C->T transitions. Since only exons, but not promoter regions are retrotransposed, retrocopies lack a CGI or have a shorter CGI. Furthermore, exonic CGI fragments may have deteriorated after retrotransposition. On the other hand, other CGIs may have newly evolved after retrotransposition. All of this explains why only 10% of retrocopies are associated with a CGI.

As mentioned above, the binding of transcription factors to CGIs appears to prevent their methylation.<sup>23</sup> This is the case for most of the human genes. In contrast, retrocopies are non-functional or expressed at low levels only. This may explain why only one third of the retrocopy associated CGIs are unmethylated. Furthermore, retrotransposed sequences may be subject to host defense mechanisms that methylate invading DNA. Unmethylated CGIs may have escaped this mechanism, or they have gained a function after retrotransposition.

Methylation differences between CGI/retrocopies derived from the same ancestral gene (Fig. 2) demonstrate that the methylation status is not or not only determined by the



**Figure 6.** Genomic position of *RPS2P32* on chromosome 7. This figure visualizes the genomic position of *RPS2P32* in the human genome. The structure of the gene and the location of CGI 9261\_1\_hg19 are shown in (A). In (B) the neighboring genes (*IGF2BP3* and *TRA2A*) including CGIs are represented. Gray: RefSeq genes including transcription direction (white arrow); green: CpG islands.

retrotransposed DNA sequence. As discussed below, a major determinant appears to be the genomic environment.

In fact, there is a strong correlation between the methylation state of the genomic environment and the CGI/retrocopy. We did not detect any link between methylation and the expression status of the retrocopies or the presence of repeats in their immediate vicinity. The presence of methylated CGIs in a methylated environment only (red cluster in Fig. 3) suggests that methylation at the integration site has a strong effect on the methylation status of a CGI/retrocopy.<sup>24</sup> With regard to imprinted CGIs, there are several examples showing that a retrogene can acquire the epigenetic state of their integration site: *NDN*, which is derived from the X chromosome, and *NPAP1*, which is derived from chromosome 7.<sup>14,25,26</sup> Both genes jumped into the imprinted chromosome 15q11q13 domain and came under the control of a *cis*-acting imprinting center. *NDN* is in the list of 1,319 CGIs associated with a retrocopy in this study, but it did not pass the threshold for differential methylation, because more than 40% of the WGBS reads had mixed methylation, which is a rather unusual finding for an imprinted gene. This may be due to the very large size of the CGI (1 kb). *NPAP1* and some other retrogenes, such as *PEG10*, are not in the list of 1,319 CGIs, because they are not indicated as retrocopies in the UCSC data.<sup>27</sup> The origin of *NPAP1* has only recently been described by Neumann et al.<sup>14</sup>

However, it is also possible that methylation of the retrocopy by the host defense mechanism may have spread into adjacent regions.<sup>28</sup> In this case, the correlation between the methylation state of the genomic environment and the CGI/retrocopy were due to *de novo* methylation after retrotransposition. Therefore, we do not know whether the presence of methylated CGIs in methylated regions and unmethylated CGIs in unmethylated regions indicates that retrocopies can integrate in methylated and unmethylated regions with equal probability.

Interestingly, CGI/retrocopies with intermediate levels of methylation are exclusively found in regions with > 40% methylation. In a pure cell population like monocytes, intermediate levels of methylation can be due to several situations: cellular heterogeneity, patchy methylation of both alleles, a gradient of methylation along the CGI, allele-specific methylation due to *cis*-acting genetic variation, and imprinted methylation. Among the 195 CGI/retrocopies with intermediate levels of methylation, we identified only 2 CGIs with a methylation imprint: The previously reported CpG85, which is associated with *PPP1R26P1* and located within the *RB1* gene, and the CGI associated with the *RPS2P32* retrocopy described here. In both cases, the retrocopy jumped into a non-imprinted region and acquired maternal methylation. The genomic environment of *PPP1R26P1* has a methylation level of 79%. CpG85 harbors an alternative start exon, which is spliced onto exon 3 of the *RB1* gene. This alternative *RB1* transcript is transcribed from the paternal allele only. The integration of this retrocopy led to *RB1* imprinting as its expression is skewed in favor of the maternal allele.<sup>16,17</sup>

*RPS2P32* on chromosome 7 is derived from the gene *RPS2* on chromosome 16 (Fig. 6). The genomic environment shows a degree of methylation of 90%. The ancestral gene also contains a CGI, but this is unmethylated. As demonstrated by oocyte-specific methylation, maternal methylation in blood cells,

hypermethylation in maternal uniparental disomy 7 (matUPD7<sup>29</sup>), and loss of methylation in 4 of 6 patients with MLID, the *RPS2P32* associated CGI is maternally imprinted. However, we found biallelic expression of *RPS2P32* in blood, although there may be a slight skewing of allelic expression of the reverse strand (Fig. 4C). Also, Hannula-Jouppi et al. did not find a significantly different expression between matUPD7 and controls.<sup>29</sup> At first sight, this excludes *RPS2P32* as a novel imprinted gene, but it is possible that it is monoallelically expressed in other tissues.

As we could not detect monoallelic expression for *RPS2P32*, we looked at the neighboring genes *TRA2A* and *IGF2BP3*. *IGF2BP3* encodes a protein that regulates the stability and translation of the mRNA of *IGF2*, an imprinted gene involved in development and growth.<sup>30-32</sup> Thus, *IGF2BP3* is growth promoting and thus is an excellent candidate for an imprinted gene. However, in blood the gene is expressed from both alleles, as has already been shown by Monk et al.<sup>33</sup> Li et al. showed that *IGF2BP3* is highly expressed in placenta, where the expression is strongest in the 1st trimester and decreases in the 3rd trimester of pregnancy.<sup>34</sup> Moreover, they detected that *IGF2BP3* expression is decreased in pre-eclamptic placentas, which is accompanied by intrauterine growth retardation. Thus, we also investigated the expression in 1st, 2nd, and 3rd trimester placenta samples. Our analyses revealed that in all analyzed placenta samples *IGF2BP3* is biallelically expressed (Fig. S22). Still, as mentioned above for *RPS2P32*, it is possible that imprinted *IGF2BP3* expression is restricted to certain tissues or cell types. A good example for this is the *UBE3A* gene, which is biallelically expressed in almost all tissues except for brain, where it is transcribed from the maternal allele only.<sup>35</sup> Its transcription is controlled by an antisense-transcript that in turn is regulated by an ICR.<sup>36-39</sup> This ICR is paternally unmethylated and maternally methylated in all tissues.<sup>40</sup>

In conclusion, our study has shed light on the evolutionary dynamics and constraints of DNA methylation and identified a novel human locus carrying a genomic imprint.

## Materials and methods

### Material

Genomic DNA for the four informative trios was isolated from peripheral blood using the FlexiGene DNA Kit (Qiagen, Hilden, Germany) according to the manufacturer's manual. RNA from peripheral blood was extracted with PAXgene blood Kit (PreAnalytiX, Hombrechtikon, Switzerland) following the manufacturer's instructions.

DNA and RNA from human placenta were ordered from the Baby Bio Bank (University College London and Imperial College London, London, United Kingdom) and from the Institute of Molecular Biology / Department of Gynecology and Obstetrics, University Hospital Essen, Germany. Blood and placenta samples were obtained after informed consent.

MLID patients have been recruited through the BMBF consortium 'Diseases caused by imprinting defects' (01GM1513) and are described by Bens, S., Kolarova, J., Beygo, J., Buiting, K., Caliebe, A., Eggermann, T., Gillissen-Kaesbach, G., Prawitt,



D., Thiele-Schmitz, S., Begemann, M., Enklaar, T., Gutwein, J., Haake, A., Paul, U., Richter, J., Soellner, J., Vater, I., Monk, D., Horsthemke, B., Ammerpohl, O. and Siebert, R. (submitted). Three MLID patients (MLID1, MLID3, and MLID5) have a Silver-Russell syndrome-like phenotype; two patients (MLID2 and MLID6) have a Beckwith-Wiedemann syndrome-like phenotype and one patient (MLID4) has a pseudohypoparathyroidism type 1b-like phenotype.

### Data collection

The following tracks for humans (CRCh37/hg19) were downloaded from the UCSC Genome Browser for chromosomes 1 to 22 and chromosome X: CpG islands (information and sequences;  $n = 27,537$ ; last accessed 04.09.2014), retrocopies (information;  $n = 13,173$ ; last accessed 11.11.2014), RefSeq genes (information;  $n = 49,451$ ; last accessed 16.12.2014), and all repeats (Repeat Masker;  $n = 5,189,085$ ; last accessed 16.12.2015).<sup>41</sup>

The monocyte methylome data (methylome 1: ENA accession PRJEB5800; methylome 2: EGA accession EGAS000001000719) were already published by Rademacher et al.<sup>20</sup> In addition, 16 methylomes of different tissues published by Ziller et al. were downloaded from the gene expression omnibus (GSE46644) and two oocyte methylomes published by Okae et al. were downloaded from the Japanese Genotype-phenotype archive under the Accession number JGA S00000000006.<sup>21,22</sup>

### Data analysis

All human CGIs were serially numbered with a unique ID as described by Rademacher and colleagues.<sup>20</sup> By comparing the position of CGIs and retrocopies using the Perl programming language (<http://www.perl.org/>), all CGIs overlapping a retrocopy were determined. To divide CGIs/retrocopies in intergenic and intragenic once, we compared the positions of all CGIs/retrocopies to the positions of RefSeq genes. For sequence comparison between CGIs overlapping a retrocopy and CGIs of the ancestral gene blast2seq (blast two sequences) is used.<sup>42</sup>

### Whole genome bisulfite sequencing and whole genome methylation analysis

‘Whole Genome Bisulfite Sequencing’ and ‘Whole Genome Methylation Analysis’ were performed as previously described in Rademacher et al.<sup>20</sup>

In addition to the methylation status of single CGIs, we also calculated the average methylation level of 1000 bp upstream to the retrocopy start and 1000 bp downstream to the retrocopy end.

### Statistical analysis

To statistically determine a correlation between the methylation level of a CGI/retrogene and the surrounding region of a retrogene we used the Pearson correlation coefficient. The cluster analysis of these methylation data was done by a k-means cluster analysis ( $k = 4$ ) using the algorithm of Hartigan and

Wong.<sup>43</sup> For both statistically analyses the statistical software R was used (<https://www.r-project.org/>; cor(stats); kmeans(stats)).

### Genotyping

Primers for genotyping of the 17 CGIs overlapping a retrogene are listed in Table S8 of the supplemental material. For 14 out of 17 CGIs (indicated in Table S8 with a ‘no’ in row ‘betain’), each 25  $\mu\text{l}$  reaction contained 100 ng of genomic DNA, 200  $\mu\text{M}$  of each dNTP (dATP, dCTP, dTTP, and dGTP), 0.4  $\mu\text{M}$  of each primer, 1.5 mM  $\text{MgCl}_2$ , 1 x Green GoTaq Reaction Buffer and 1.25 units GoTaq G2 DNA Polymerase (Promega, Fitchburg, USA). The PCR conditions for these 17 CGIs were as follows (for  $T_m = X$  see supplemental material, Table S8): 95°C for 2 min; 35 cycles of 95°C for 30 s, X°C for 30 s and 72°C for 45 s; and 72°C for 5 min.

For the remaining three CGIs, each 25  $\mu\text{l}$  reaction contained 130 ng of genomic DNA, 80  $\mu\text{M}$  of each dNTP (dATP, dCTP, and dTTP), 32  $\mu\text{M}$  of dGTP, 48  $\mu\text{M}$  of 7-deaza-2'-deoxy-guanosine-5'-triphosphate (Roche, Basel, Switzerland), 0.4  $\mu\text{M}$  of each primer, 1.5 mM  $\text{MgCl}_2$ , 0.5 mM betaine (USB Corporation, Cleveland, OH, USA), 1 x Green GoTaq Reaction Buffer and 5 units GoTaq G2 DNA Polymerase (Promega, Fitchburg, USA). The PCR conditions were as follows (for  $T_m = X$  see supplemental material, Table S8): 95°C for 2 min; 45 cycles of 96°C for 30 s, X°C for 30 s and 72°C for 45 s; and 72°C for 7 min. The PCR products were sequenced using Big Dye Terminators (BigDye Terminator v1.1 Cycle Sequencing Kit; Applied Biosystems, Foster City, CA) on an ABI 3130xl Genetic Analyzer. For analyses, Sequencing Analysis software (Applied Biosystems) and Geneious (Biomatters, Auckland, New Zealand) were used.

### Deep bisulfite amplicon sequencing

Genomic DNA was bisulfite treated using the EZ DNA Methylation-Gold Kit (Zymo Research Europe, Freiburg, Germany) according to the manufacturer’s protocol. The following steps including generation of the bisulfite amplicon libraries, sample preparation and sequencing on the Roche 454 GS Junior system were realized as previously described.<sup>44</sup> Primer sequences and annealing temperatures can be found in the supplement (supplemental material, Table S9). The Python-based amplikyzer software was used to analyze the bisulfite sequencing data generated by the Roche 454 GS junior.<sup>45</sup>

### Expression analysis

All RNA samples were treated with RQ1 DNase (Promega, Fitchburg, USA) to remove residual traces of genomic DNA. For the *RPS2P32* locus a strand-specific reverse transcriptase (RT) for each strand was performed using SuperScript<sup>TM</sup> III Reverse Transcriptase (Invitrogen, Carlsbad, CA, USA) according to the manufacturer’s protocol. For each informative sample 2  $\mu\text{g}$  RNA from PAX-blood (PreAnalytiX, Hombrechtikon, Switzerland) was reverse transcribed with strand-specific primers (9261\_1\_hg19\_F and 9261\_1\_hg19\_R; see supplemental material Table S10). For the PCR each 50  $\mu\text{l}$  reaction contained

12  $\mu$ l of the RT reaction, 0.2 mM of dNTPs, 0.4  $\mu$ M of each primer, 1.5 mM MgCl<sub>2</sub>, 1x Green GoTaq Reaction Buffer and 2.5 U GoTaq G2 DNA Polymerase (Promega, Fitchburg, USA). The PCR conditions for *RPS2P32* were as follows: 95°C for 2 min; 40 cycles of 95°C for 30 s, 63°C for 30 s and 72°C for 45 s; and 72°C for 5 min. Since both DNA and RNA products have the same size a PCR for the  $\beta$ -actin gene was carried out to rule out DNA contamination and to verify the integrity of the RNA samples.<sup>46</sup>

For the *IGF2BP3* locus the reverse transcription was performed using the GeneAmp RNA PCR Kit (Applied Biosystems, Foster City, CA, USA). For each informative sample 2  $\mu$ g total RNA from PAX-blood or rather placenta (1st, 2nd, 3rd trimester of pregnancy) was reverse transcribed using random hexamers (reaction volume 50  $\mu$ l).<sup>16</sup> The subsequent touch down PCR (reaction volume 50  $\mu$ l) contained 16  $\mu$ l of the RT reaction, 0.2 mM dNTPs, 0.4  $\mu$ M of each primer, 1.5 mM MgCl<sub>2</sub>, 1x Green GoTaq Reaction Buffer and 5 U GoTaq G2 DNA Polymerase (Promega, Fitchburg, USA). PCR conditions were as follows: 95°C for 2 min; 14 cycles of 95°C for 30 s, 68°C for 30 s and 72°C for 30 s (annealing temperature was gradually reduced for 0.5°C/cycle); 45 cycles of 95°C for 30 s, 62°C for 30 s and 72°C for 30 s; and 72°C for 5 min.

The PCR products for both loci were gel-purified using the Wizard SV Gel and PCR Clean-Up System (Promega, Fitchburg, USA). Sequencing and sequence analysis were performed as described above.

### Primer extension analysis

For measuring the allelic ratios of heterozygous mRNA (after conversion to cDNA) and genomic DNA (as reference), single nucleotide primer extension assays were performed. For this, we used the ABI Prism SNaPshot ddNTP Primer Extension Kit (Applied Biosystems, Foster City, CA, USA). Equal amounts of amplicons of cDNA and genomic DNA were subjected to capillary gel-electrophoresis on ABI 3130 (Applied Biosystems, Foster City, CA, USA). Gene Mapper 4.0 software was used to analyze the electropherograms. Allelic cDNA ratios were normalized using the allelic DNA ratios. Primers for SNaPshot can be found in Table S10.

### Disclosure of potential conflicts of interest

No potential conflicts of interest were disclosed.

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