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# Identification of an immunogenic subset of metastatic uveal melanoma

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### Abstract

**Purpose**—Uveal melanoma (UM) is a rare melanoma variant with no effective therapies once metastases develop. Although durable cancer regression can be achieved in metastatic cutaneous melanoma (CM) with immunotherapies that augment naturally existing anti-tumor T cell responses, the role of these treatments for metastatic UM remains unclear. We sought to define the relative immunogenicity of these two melanoma variants and determine whether endogenous anti-tumor immune responses exist against UM.

**Experimental Design**—We surgically procured liver metastases from UM (n=16) and CM (n=35) patients and compared the attributes of their respective tumor cell populations and their infiltrating T cells (TIL) using clinical radiology, histopathology, immune assays and whole exomic sequencing.

**Results**—Despite having common melanocytic lineage, UM and CM metastases differed in their melanin content, tumor differentiation antigen expression, and somatic mutational profile. Immunologic analysis of TIL cultures expanded from these divergent forms of melanoma revealed CM TIL were predominantly composed of CD8+ T cells, while UM TIL were CD4+ dominant. Reactivity against autologous tumor was significantly greater in CM TIL compared to UM TIL. However, we identified TIL from a subset of UM patients which had robust anti-tumor reactivity comparable in magnitude to CM TIL. Interestingly, the absence of melanin pigmentation in the parental tumor strongly correlated with the generation of highly reactive UM TIL.

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**Conclusions**—The discovery of this immunogenic group of UM metastases should prompt clinical efforts to determine whether patients who harbor these unique tumors can benefit from immunotherapies that exploit endogenous anti-tumor T cell populations.

### Keywords

Uveal melanoma; cutaneous melanoma; T cells; liver metastases

### INTRODUCTION

Uveal melanoma (UM) is a rare and aggressive variant of melanoma that has specific origin within the vascular layers of the eye including the choroid, ciliary body, and iris (collectively known as the uvea) (1). Although UM is the most common intraocular tumor in adults, it accounts for only 3% of all melanomas (2). With an annual incidence of 5.1 per million in the U.S, UM is significantly less common than cutaneous melanomas (CM). Interestingly, UM and CM have a shared lineage, with each arising from neural crest derived melanocytes that are resident to their respective tissues of origin (3). Both forms of melanoma, consequently, share prominent expression of prototypic melanocytic differentiation antigens (MDAs) such as MART-1, gp100, and tyrosinase (4-6). Despite these similarities, UM can be distinguished from CM by characteristic cytogenetic changes (7) and an unusual predilection to primarily metastasize to the liver (1). Further, there exists a striking dichotomy between the clinical management of patients with advanced UM and CM. Immunotherapies have become the main treatment modality for metastatic CM based upon substantial evidence that tumor antigens expressed by CM can be vigorously recognized by T cell populations endogenous to the host immune system (8). By clinically augmenting these immune responses with either systemic cytokines (9), antibodies targeting T cell checkpoint molecules (10, 11), or adoptive transfer of autologous tumor infiltrating lymphocytes (TIL) (12), significant and potentially curative cancer regression can now be achieved in advanced CM patients. However, the role of these immune based therapies for the treatment of metastatic UM remains unclear. Patients with UM are frequently excluded from metastatic melanoma immunotherapy clinical trials because UM is generally thought to be an immunotherapy resistant subtype of melanoma. It has been speculated that since the primary tumor arises in the eye, an immune privileged site, the tumor and its metastases harbor local immunosuppressive or cellular immuno-evasive factors that render immunotherapies unsuccessful (13-16). Another theory proposes that since UM tumors have far fewer somatic mutations compared to sun-exposed CM tumors (17), there are consequentially fewer potential mutated neo-epitope targets for effective anti-tumor immunity. The poor immunogenicity of UM has been further suggested based upon the comparatively low response rates seen in UM patients enrolled into small pilot trials of immune modulating agents such as interleukin-2 (18) and anti-CTLA-4 antibody (19-21). Collectively, these observations have fostered the prevalent belief that UM, in distinction to CM, is a non-immunogenic form of melanoma. However, this hypothesis has largely been based upon inference without formal comparative studies performed directly upon UM and CM metastases to accurately assess their relative immunogenicity. In this study, we aimed to address this deficiency by comparing tumor antigen expression, tumor mutational load, and endogenous anti-tumor immunologic reactivity found in fresh surgically resected UM versus

CM metastases. By determining whether tumor specific immune responses naturally exist against UM metastases, we sought to provide insight into the management of this rare melanoma variant with immunotherapies that can exploit these endogenous T cell populations.

### **METHODS**

### Study population

A retrospective review of a prospectively maintained database identified 49 patients who underwent liver metastatectomy with a diagnosis of metastatic melanoma at the Surgery Branch of the National Cancer Institute between 2004 and 2014. All patients signed an institutional review board approved consent for tumor tissue procurement and participation in subsequent immunotherapy protocols if the patient required further systemic therapy. Inclusion criteria included pathologically confirmed melanoma, 16 years of age or older, negative serology for HIV, Hepatitis B and C, good performance status (Eastern Cooperative Oncology Group 2) and life expectancy greater than 3 months. Patients were stratified into two cohorts based upon the anatomic origin of their primary melanoma. The cutaneous melanoma (CM) cohort included 35 patients; 33 of these patients had documented primary tumors arising from the cutaneous epithelium and 2 additional patients had primary tumors of unknown origin. The uveal melanoma (UM) cohort included 14 patients who had ophthalmologic documentation that their primary melanoma tumors arose specifically from the uveal tract. Patients with documented primary tumors arising from mucosal and conjunctival sites were excluded from analysis.

#### **Tumor procurement**

Patients typically underwent resection of a single metastatic liver deposit or a closely approximated cluster of tumors using standardized hepatobiliary surgical techniques. Immediately upon resection, the fresh tumor underwent pathologic assessment, dissection, and processing in the Surgery Branch Cell Production facility in conjunction with a clinical surgical pathologist and research staff. Tumor tissue was assigned a unique liver metastasis identification number (ID #) and allocated for gross and histopathologic analysis, mutational analysis, and TIL culture establishment using methods as described below. Although the main study exclusively focused upon liver metastases, a set of extrahepatic metastases from 8 additional UM patients were incorporated into the tumor driver mutational analysis, as described below.

### In situ MRI assessment of tumor melanin content

All patients underwent pre-operative MRI liver imaging as part of their radiographic tumor staging. Quantitative T1-weighted signal intensity measurements (without gadolinium enhancement) of the *in situ* liver metastases and adjacent normal tissue were obtained using clinical radiology imaging software (Carestream Vue Solutions, version 11.3). Mean tumor and normal intensity were calculated by averaging three separate signal intensity measurements. Hyperintense tumors were defined as having a mean tumor/normal (T/N) intensity ratio > 1.5. Hypointense tumors had a mean T/N ratio < 0.7. Mixed intensity tumors had both hyperintense and hypointense components. The T/N signal intensity ratio

for each liver metastasis was objectively calculated for each metastasis and scored as either hyperintense (2+), mixed intensity (1+), or hypointense (0), as illustrated in Supplementary Figure 1.

### Gross pathologic assessment of tumor melanin pigmentation

After surgical resection, all liver metastases underwent independent gross pathological assessment and photo documentation by a board certified pathologist who was blinded to the comparative analysis. Each metastasis underwent serial sectioning to assess their melanin pigmentation. Tumors were scored based on their level of pigmentation as either hyperpigmented (2+), mixed pigmented (1+), or hypopigmented (0).

### Immunohistochemical staining analysis of tumor metastases

Surgically resected tumor specimens were fixed in 10% neutral buffered formalin for up to 24 hours and routinely processed. Paraffin-embedded tissue sections of 5 mm were deparaffinized through xylene and graded series of alcohols. Immunohistochemical staining was performed following heat-induced epitope retrieval with target retrieval solution (low pH; DAKO, Carpinteria, CA). Slides were incubated in Tris with 3% goat serum for 15 minutes and then incubated at room temperature with primary antibody for 1 to 2 hours. Immunohistochemical staining was carried out using the Dako Autostainer or Ventana BenchMark XT Slide Stainer (for CD3 antibody) using manufacture supplied reagents and standard protocols with the following primary antibodies: MART-1 (no. CMC756, 1:200; Cell Marque, Rocklin, CA); HMB45 (no. 30930, 1:4; Enzo Life Sciences, Farmingdale, NY); Tyrosinase (no. NCL-TYROS, 1:20; Novocastra Division, Leica Microsystems, Buffalo Grove, IL); MHC Class I (HC-10, 1:1000; provided by Dr. Soldano Ferrone); HLA-DR (TAL.1B5, 1:200; DAKO); CD20 (L26, 1:500; DAKO); CD8 (CD8/144B, 1:50; DAKO); CD4 (1F6, 1:80; Novacastra); CD3 (2GV6, prediluted; Ventana). Detection was carried out using an automated slide stainer (Autostainer; DAKO) with either horseradish peroxidase/3,3'-diaminobenzidine polymer-based detection system (Envision+; DAKO) or a red chromogen (Liquid Permanent Red Substrate-Chromogen; DAKO) for darkly pigmented tumors. The immunohistochemical staining was prospectively assessed and quantitated by 2 board certified pathologists who were blinded to the comparative analysis of the study. The percentage of viable tumor cells expressing a given marker was quantified as 0-5%, 6-50%, or >50%. Staining intensity for each marker was graded on a scale of 0 (no staining), 1+, 2+, or 3+ (high intensity staining). Lymphocytic infiltrate was assessed with CD4, CD8, CD3, and CD20 staining and quantified based upon the percentage of tumor occupied by infiltrating lymphocytes as 0 (no lymphocytes detected), 1 + (<5% of tumor field), 2 + (5 - 1)50% of tumor field), or 3+ (extensive lymphoid aggregation occupying over 50% of the tumor field).

### Generation and assessment of TIL cultures

Geographically discrete 1 to 2 mm<sup>3</sup> tumor fragments (n=24) were freshly dissected from each tumor metastasis and placed individually in wells of a 24-well culture plate containing complete media with human AB serum and recombinant IL-2 (3000IU/ml) as previously described(22). After approximately 2 weeks of culturing, each of the wells was assessed for successful TIL expansion based upon cell count and visual inspection. Expanded TIL

cultures underwent flow cytometric phenotypic analysis after staining with anti-human CD3, CD8, and CD4 monoclonal antibodies and their respective isotype controls (BD Biosciences). Immunofluorescence, analyzed as the relative log fluorescence of live cells, was measured using a FACSCanto II flow cytometer with FACSDiva software (BD Biosciences) and FlowJo software (Tree Star, Inc.). The specific anti-tumor reactivity of individual TIL cultures was assessed by co-culture with autologous tumor digest which had been freshly cryopreserved at the time of surgical procurement. Briefly, TIL cells ( $1x10^5$  cells) and autologous tumor digest ( $1x10^5$  cells) were co-incubated in a 0.2-ml volume in individual wells of a 96-well plate. Supernatants were harvested from duplicate wells after 20–24 hours and IFN- $\gamma$  secretion was measured in culture supernatants using commercially available IFN- $\gamma$  ELISA kits (Endogen). All data is presented as a mean of duplicate samples. Cultures with IFN- $\gamma$  production greater than 100 pg/ml and twice background of unstimulated TIL and autologous tumor digest alone were considered as having specific anti-tumor reactivity.

### Whole exomic sequencing and driver mutational analysis

Exome libraries were prepared from paired UM metastasis and normal samples using Agilent SureSelectXT Human All Exon V5+UTR target enrichment kit as per the manufacturer's protocols (Agilent, Santa Clara, USA). The samples were pooled 3 samples per lane and sequenced on an Illumina HiSeq2000 sequencer with TruSeq V3 chemistry (paired-end, 101bp read length). Basecalling was carried out using Illumina RTA 1.12.4.2 run-time analysis software and demultiplexing was carried out using Casava 1.8.2. Each sample had >99 million pass filtered reads, with >92% bases having a base quality value >Q30 (Q30: The percentage of bases called with an inferred accuracy of 99.9% or above, a measure of basecalling quality). The percentage of unique library fragments was >90% across all samples. The capture efficiency as measured by the percentage of the reads mapping on the target regions, was >60%. The mean coverage on target regions for all samples was between 60X to 90X with >82.9% of the target regions having at least 30X coverage. The quality of the raw reads was assessed using FastQC(23) and NGSQCtoolkit(24). Reads were trimmed and filtered for adapters using Trimmomatic(25). Alignment was carried out to the human Hg19 reference sequence using BWA-0.7.4(26). Alignment files were indexed, sorted and duplicates were removed. Realignment around InDels and base-quality score recalibration was carried out as per the GATK best practices for exome-seq analysis(27). MuTect was used in the high-confidence (HC) mode for calling somatic point mutations(28). The subset of calls that passed the high-confidence filters after the statistical analysis within Mutect were annotated using Annovar(29) to find both the location and the functional significance of the mutations. Mutations were filtered to keep only those that had Mutation\_info: exonic or splicing only; Consequence: non-synonymous or stopgain SNV. To serve as a reference group, WES data was obtained for 278 CM metastases via the "Skin Cutaneous Melanoma" (SKCM) data portal of The Cancer Genome Atlas (TCGA). Somatic mutation counts for the metastatic patient samples for the SKCM cohort were extracted from the Level 2 MAF file downloaded off the GDAC Firehose resource(30). As only somatic point mutations were of interest while comparing the distribution of somatic mutations between CM and UM cohorts, InDel calls (1.2%) were removed from the TCGA-SKCM cohort. As the annotations for the point mutations in the

TCGA-SKCM cohort did not have the same terminology as for the UM cohort, the SKCM mutations were re-annotated using Annovar, with the same filters applied based on the combination of 'Consequence' and 'Mutation info' columns. The frequency among the CM

and UM tumors for specific mutations in BRAF, GNAQ, and GNA11 was determined primarily from WES analysis. As validation in selected samples, library preparation with 10-20ng genomic DNA was performed using Ion AmpliSeq Cancer Hotspot, Panel V2 and Ion AmpliSeq Library Kit 2.0, using the corresponding User Guide (Life Technologies). The amplicon panel includes 207 primer sets covering ~2,800 COSMIC hotspot mutations in 50 genes. Next generation sequencing was performed in an Ion Torrent Personal genome machine (PGM), and analyzed with Torrent Suite Software (Life Technologies). Annotation and interpretation of all variants were performed in Ion Reporter that links to multiple databases such as RefSeq, OMIM, Oncomine, COSMIC, and dbSNP. Reported mutations were confirmed by inspection of alignments using the Integrative Genomics Viewer(31).

### Statistical Analysis

Fisher's exact test was used to determine associations between dichotomous demographic parameters and the Wilcoxon rank sum test was utilized for the comparison of continuous parameters such as patient age. Non-parametric comparisons between the UM and CM cohorts were performed with the Mann-Whitney test. Student's *t* test was used to compare the means of parametric variables. Linear regression analysis was used for correlation studies and presented as R<sup>2</sup> values. All P values are 2-tailed and have not been adjusted for multiple comparisons. In view of the exploratory analyses performed, p<0.05 would be considered statistically significant while 0.05 would be considered a trend. Exceland GraphPad Prism (v6.01) were used for analyses.

### RESULTS

### Patient demographics and procurement of liver metastases

Between 2004 and 2014, a total of 49 patients with metastatic melanoma underwent liver metastasectomy in the context of approved clinical trials in the Surgery Branch, NCI. The current study selectively analyzed liver metastases because of our previous finding that human melanoma metastases demonstrate significant heterogeneity in tumor antigen expression and lymphocytic infiltrate when stratified based upon their anatomic location in the body (6). Further, since UM predominantly metastasizes to the liver, this homogeneous source of metastases would prevent potential site-specific bias in our comparative assessment of tumors. Patients undergoing liver metastasectomy were stratified into two cohorts, CM and UM, based upon the anatomic origin of their primary melanomas. The CM cohort included 35 patients; 33 of whom had documented primary tumors arising from the cutaneous epithelium and 2 additional patients had primary tumors of unknown origin. Patients with melanoma of unknown origin were included in the CM cohort based upon recent molecular genetic studies which revealed these tumors strongly resembled cutaneous melanomas (32). The UM cohort included 14 patients who had ophthalmologic documentation that their primary melanoma arose specifically from the uveal tract. The characteristics for each of the patients who underwent liver metastasectomy are shown in Table 1 and the comparison of the CM and UM cohorts are shown in Table 2. The age (mean

and range) and gender distribution of the patients in the two cohorts were similar. At the time of referral to our center, there was a greater trend for the UM patients to have not received prior systemic therapy for their metastatic disease when compared to the CM patients (UM: 71% vs. CM: 37%, P= 0.06). This finding likely reflected the growing availability of approved systemic agents and clinical trial opportunities for patients with metastatic CM during the study period. Of note, 63% of the CM patients had undergone prior systemic immunotherapy, whereas only 21% of UM patients had received such treatments (CM vs. UM, P=0.01). Although both melanoma cohorts demonstrated metastatic spread to extrahepatic sites, the UM patients had metastases more often confined to the liver (UM: 43% vs. CM: 14%, P= 0.05), while the CM patients demonstrated a trend toward more frequent metastases to lymph nodes and soft tissues (CM: 60% vs. UM: 29%, P= 0.06). For surgical tumor procurement, patients typically underwent resection of a single metastatic liver deposit or a closely approximated cluster of tumors. The size (mean/median) of the tumor deposits resected from the patients were not significantly different between the groups (CM: 6.2/6.0cm vs. UM: 7.2/5.5cm, P= 0.50). The cumulative metastatic tissue that was procured at operation was assigned a unique liver metastasis identification number (ID #) for subsequent analysis. Two UM patients (1 and 3) developed metachronous liver metastases during the study period. These patients underwent two independent liver metastasectomy operations and their individual tumor procurements were assigned unique liver metastasis ID #. Thus, in sum, there were 35 CM liver metastases and 16 UM liver metastases that were available for direct comparative analysis.

# Radiographic and pathologic comparison of the melanin content between CM and UM liver metastases

Both CM and UM primary tumors arise from transformed melanocytes. Yet, despite this common origin from melanin-producing cells, the metastases of these tumors can display significant heterogeneity in the quantitative expression of prototypic melanin associated proteins (6). These prior findings prompted us to ask whether CM and UM metastases demonstrated fundamental differences in their melanin pigmentation. To address this question, we utilized pre-operative clinical radiographic imaging and post-operative gross pathologic examination to evaluate the melanin content found in the liver metastases procured from CM and UM patients. A prior study characterizing melanoma metastases with clinical MRI imaging found the *in situ* tumor signal intensity from T1-weighted sequences strongly correlated with the degree of melanin pigmentation found in those tumors after resection (33). For the current study, we utilized these defined MRI parameters to perform in situ characterization of 30 liver metastases identified in CM patients and 16 liver metastases in UM patients. Quantitative T1-weighted signal intensity measurements (without gadolinium enhancement) of the in situ tumor and adjacent normal tissue were obtained using clinical radiology imaging software (Carestream Vue Solutions, version 11.3). The normalized tumor signal intensity (relative to normal liver) was objectively calculated for each metastasis and scored as either hyperintense (2+), mixed intensity (1+), or hypointense (0), as illustrated in Supplementary Figure 1. Additionally, after surgical resection, metastases underwent independent gross pathologic examination and tumor pigmentation was visually scored as either hyperpigmented (2+), mixed pigmented (1+), or hypopigmented (0). The comparison of pre-operative in situ MRI intensity scoring and

postoperative pathologic pigmentation scoring for representative liver metastases is shown in Figure 1A. Analysis of the entire population of liver metastases (n=46) revealed a strong and direct correlation between the MRI and the pathologic scoring of pigmentation ( $R^2 = 0.88$ , P < 0.0001) for the individual tumors. Next, we used these two parameters to independently compare the melanin content found in the CM and UM liver metastases. In situ MRI tumor signal intensity was significantly different between the two melanoma cohorts (P=0.003) (Figure 1B). Whereas, 70% of CM metastases had hypointense (0) MRI signal, only 25% of UM metastases displayed this low signal intensity. Conversely, the UM cohort demonstrated a greater frequency of metastases with hyperintense (2+) MRI signal (UM: 38% vs. CM: 10%). When these same UM and CM metastases underwent pathologic examination after resection, we similarly found a significant difference in their gross pigmentation (P = 0.008) (Figure 1C). CM metastases were more often visually hypopigmented (0) (CM: 70% vs. UM: 25%) and UM metastases were more often hyperpigmentated (2+) (UM: 44% vs. CM: 20%). Thus, we concluded that despite having common lineage from melanin-producing cells, CM and UM metastases displayed significant differences in their overall melanin content.

## Comparison of melanocyte differentiation antigens and MHC expression between CM and UM liver metastases

To better understand the differences observed in melanin pigmentation between the CM and UM liver metastases, we next performed immunohistochemistry (IHC) to compare the cellular expression of proteins associated with melanocyte differentiation. The tumor expression (% of viable cells and staining intensity) for MART-1, gp100, and tyrosinase were prospectively assessed by pathologists blinded to the comparative analysis (Figure 2A). Among the CM liver metastases, we found prominent heterogeneity in cellular MART-1 expression between individual metastases (interlesional heterogeneity) and within individual metastases (intralesional heterogeneity). In 20% of CM metastases, MART-1 was either nearly absent (0-5% of tumor cells) or expressed on a fraction of viable tumor cells (6-50% of tumor cells). Further, only 34% of the CM metastases displayed strong cellular staining (3+) for MART-1, while the remaining tumors had weak to intermediate staining (0 to 2+). In contrast, all of the UM liver metastases displayed homogeneous, diffuse, and strong MART-1 staining (>50% of tumor cells with 3+ staining intensity). When the pattern of MART-1 expression was compared between the CM and UM liver metastases, we found UM tumors had significantly stronger MART-1 staining intensity (P<0.0001) and a trend toward a greater percentage of MART-1 stained tumor cells (P=0.08). Expression for gp100 was also greater in the UM metastases compared to the CM metastases based upon percentage of tumor cells stained (P=0.05) and staining intensity (P=0.01). Diffuse gp100 staining (>50%) of tumor cells) was seen in 88% of UM tumors versus only 58% of CM tumors. Strong staining intensity (3+) for gp100 was found in 63% of UM tumors versus only 30% of CM tumors. Interestingly, tyrosinase expression (% of tumor cells and staining intensity) was highly variable in both CM and UM metastases and not significantly different between the melanoma cohorts (P=0.52 and 0.37, respectively).

Next, we compared the expression of major histocompatibility complex (MHC) class I and II proteins on tumor cells in the CM and UM liver metastases (Figure 2B). For tumor

antigens to be recognized by T cells, antigens must be internally processed into peptides that are presented on the tumor cell surface by these MHC molecules. We found MHC class I expression was equally expressed in both cohorts based upon percentage of tumor cells stained (P=0.73) and staining intensity (P=0.65). Diffuse MHC class I staining (>50% of tumor cells) was observed in the majority of tumors from both cohorts (CM: 67% vs. UM: 75%) with equally strong staining intensity (3+) (CM: 63% vs. UM: 56%). In contrast, we found CM tumors had significantly greater percentage of MHC class II stained tumor cells (P=0.04) and a trend toward stronger MHC class II staining intensity (P=0.07). MHC class II expression was nearly undetectable (0–5% of tumor cells) in 88% of UM tumors versus only 55% of CM tumors. In sum, these IHC studies demonstrated that UM metastases had greater expression of melanocyte lineage antigens and lower expression of MHC class II molecules when compared to CM metastases.

### Comparison of tumor infiltrating lymphocytes found in CM and UM liver metastases

High levels of tumor infiltrating lymphocytes (TIL) have been reported to correlate with favorable prognoses in a variety of solid organ malignancies (34-36). Specific immunologic studies of TIL expanded from CM metastases have found that these infiltrating cells can often recognize antigens expressed by the tumor (37). Further, the autologous adoptive transfer of such TIL has shown durable and complete tumor regression in metastatic CM (12). These findings have provided compelling evidence for the natural immunogenicity of CM metastases. However, it is unclear whether UM tumors can similarly elicit adaptive immune responses in vivo. To provide insight, we sought to compare the attributes of TIL found in UM and CM liver metastases. First, the degree of infiltrating T cells (CD3, CD4, and CD8 staining) and B cells (CD20 staining) associated with each of the metastases was prospectively assessed by pathologists blinded to the comparative analysis. From both tumor cohorts, we found significant heterogeneity in the numbers of peripheral and infiltrating T cells which ranged from no lymphocytes detected (0) to extensive lymphoid aggregation occupying over 50% of the tumor field (3+). When the CM and UM metastases were compared, we found no significant differences in the levels of peripheral and infiltrating CD3+, CD4+, or CD8+ T cells between the cohorts (Supplementary Figure 2). Further, B cells (CD20+ cells) were undetectable in the majority of tumors and also not significantly different between the cohorts.

Having observed that the degree of lymphocytic infiltration was similar between the CM and UM liver metastases, we next sought to assess the phenotypic and functional attributes of the TIL after ex vivo expansion. Consecutive metastatic liver tumors were procured from 8 CM and 13 UM patients during a shared time period. To account for intra-tumoral heterogeneity that might influence TIL growth, 24 geographically discrete tumor fragments were freshly dissected from each of the metastases and placed in culture media containing human IL-2 (3000IU/ml). After approximately 2 weeks of culturing, we found that the percentage of tumor fragments that could successfully generate TIL were equivalent between the CM and UM tumors (95% vs. 94%, respectively). Each of these independently expanded TIL cultures were then assessed by flow cytometry to determine their percentage of CD8+ and CD4+ T cells. We observed a significant difference between the CM and UM liver metastases in the ratio of these T cell subsets (Figure 3A). The TIL cultures from 88% of the

CM metastases (7 of 8 CM metastases) were composed predominantly of CD8+ T cells. In contrast, only 23% of UM metastases (3 of 13 UM metastases) gave rise to CD8+ enriched TIL. Cumulatively, the mean percentage of CD8+ T cells in the CM derived TIL cultures was significantly greater than in the UM derived TIL (CM: 71% vs. UM: 42%, P<0.0001) (Figure 3B). Conversely, UM derived TIL cultures possessed a greater mean percentage of CD4+ cells when compared with the CM derived TIL cultures (UM: 49% vs. CM: 21%, P<0.0001) (Figure 3B).

Next, we compared the anti-tumor reactivity of the individual CM and UM TIL cultures by overnight co-culture with tumor digests of their respective parental tumors which had been freshly cryopreserved at the time of surgical procurement. Reactive TIL cultures were defined as having tumor induced IFN- $\gamma$  production 100 pg/ml and twice the background of unstimulated TIL and tumor digest alone. The autologous anti-tumor reactivity for each of the TIL cultures from their respective metastases are shown in Figure 3C. The TIL cultures from 88% of the CM metastases (7 of 8 CM metastases) demonstrated mean tumor specific IFN-γ production 100 pg/ml. In contrast, 46% of UM metastases (6 of 13 UM metastases) had mean reactivity above this threshold. Cumulatively, CM derived TIL cultures produced higher mean levels of IFN- $\gamma$  in response to autologous tumor digest when compared to UM derived TIL cultures (CM: 1044 pg/ml vs. UM: 209 pg/ml, P<0.0001) (Figure 3D). Interestingly, however, we identified individual TIL cultures from 46% of UM metastases (6 of 13 UM metastases) (L-UM 3b, 5, 7, 8, 12, and 14), with IFN- $\gamma$  production which was comparable in magnitude to the responses identified from CM TIL (Figure 3C). Thus, although specific autologous anti-tumor T cell responses were more prevalent among the CM liver metastases, there was a subset of UM tumors that could also elicit strong tumor reactive T cell responses.

### Metastasis hypopigmentation identifies an immunogenic subset of uveal melanoma

Having found that a subset of UM metastases could naturally elicit auto-reactive TIL responses, we next sought to determine if there was a clinically relevant means to prospectively identify UM patients who harbored these immunogenic tumors. Since the majority of CM metastases possessed TIL with autologous tumor reactivity, we postulated that similar adaptive T cell responses might preferentially be found in UM metastases with attributes akin to CM tumors. Pre-operative MRI and gross pathologic examination had demonstrated that the majority of CM liver metastases lacked melanin pigmentation (Figure 1B). Thus, we investigated whether the *in situ* melanin content of UM metastases, as determined by pre-operative MRI imaging, might correlate with the subsequent growth of auto-reactive TIL populations. The liver metastases from 13 consecutive UM patients (described in Figure 3C) underwent stratification based upon their pre-operative in situ radiographic attributes. MRI signal intensity scores identified four metastases as hyperpigmented (2+) (L-UM 1b, 4, 9, and10), five metastases as mixed pigmented (1+) (L-UM 3b, 5, 6, 11, and 13), and four metastases as hypopigmented (0) (L-UM 7, 8, 12, 14). Next, the IFN- $\gamma$  responses from each of the TIL cultures derived from these metastases were assessed based upon the MRI characteristics of their parental tumors (Figure 4). We found that hyperpigmented metastases (2+ MRI signal) uniformly gave rise to TIL cultures (n=96) with low anti-tumor IFN-y production (mean: 35pg/ml) that did not exceed background

control levels. In contrast, the mixed pigmented metastases (1+ MRI signal) generated TIL cultures (n=111) with significantly greater IFN- $\gamma$  production (mean IFN- $\gamma$ : 194 pg/ml) (Mixed vs. Hyper P<0.0001); while the hypopigmented metastases (0 MRI signal) were notable for producing TIL cultures (n=87) with the highest anti-tumor reactivity (mean IFN- $\gamma$ : 419 pg/ml) (Hypo vs. Hyper and Mixed, P<0.0001, respectively). Thus, we concluded that low to absent levels of *in situ* melanin pigmentation based upon pre-operative clinical MRI could identify a subset of UM metastases capable of eliciting potent immunogenic TIL responses. In contrast, UM metastases with high levels of melanin pigmentation identified a non-immunogenic group of tumors.

### Comparison of tumor mutational profile between CM and UM metastases

Although normal differentiation antigens are common targets for endogenous T cells in melanoma patients, recent studies have demonstrated that unique somatic mutations expressed by tumors can also elicit autologous T cell responses (37–39). Further, comparative whole exome sequencing (WES) has revealed sun exposed CM tumors to have the highest number of somatic mutations among common malignancies (40). These observations have fostered the theory that the unique responsiveness of metastatic CM to a variety of immunotherapy approaches is a direct consequence of endogenous immune responses against neo-epitopes encoded by these large numbers of mutations. Thus, we next sought to determine if the identified subset of immunogenic UM metastases also harbored a greater mutational load that might explain their enhanced T cell recognition. Previously, it has been reported that sun-shielded melanomas, including UM, have far fewer nonsynonymous mutations when directly compared with sun-exposed CM tumors (17). However, these analyses were based upon a limited number of UM samples which included a mixture of primary and metastatic tumors. Thus, we first sought to better determine the frequency and characteristics of the non-synonymous mutations occurring in CM and UM metastases. To provide adequate sample numbers for this analysis we obtained WES data for 278 CM metastases via The Cancer Genome Atlas (TCGA) data portal and compared these against data from 14 UM metastases from our cohort. Of note, since the TCGA database does not denote the anatomic site of the metastases, the CM data represents metastases from a variety of sites. Protein-altering somatic point mutations for each tumor were determined using a common analytical workflow based upon comparison to matched germline DNA. We found CM metastases had a broad range in mutation number (range: 6–31,250) when compared to UM metastases (range: 15-168). Further, as a group, CM metastases had significantly more somatic mutations when compared to UM metastases (median counts; CM: 282 vs. UM: 73, P<0.0001) (Figure 5A).

Next, we compared the tumor cohorts for the frequency of prototypic melanoma associated oncogenic driver mutations including, *BRAF*, *GNAQ* and *GNA11* (Figure 5B). We found *BRAF* mutations in 53% of the CM metastases (n=278). However, *BRAF* was not mutated in any of the UM tumors (n=22); (*BRAF* mutation frequency; CM vs. UM metastases, P<0.0001). In contrast, activating mutations in either of the homologous genes, *GNAQ* or *GNA11*, were identified in 91% of the UM metastases, but in only 5% of the CM metastases; (*GNAQ/GNA11* mutation frequency; CM vs. UM metastases, P<0.0001).

Finally, we investigated in 12 UM patients whether the mutational frequency identified in their metastases correlated with the autologous anti-tumor reactivity of their respectively derived TIL cultures (n~24 cultures/tumor). When the tumor induced IFN- $\gamma$  production from each of the TIL cultures was assessed against the number of non-synonymous mutations identified in their respective parental tumors, we found no correlation between the parameters (Figure 5C).

### DISCUSSION

The last 30 years has provided substantial evidence that the human immune system can naturally generate potent immunologic responses against tumor antigens expressed by metastatic cutaneous melanoma (8). Cancer regression can now be achieved in patients with metastatic CM with mechanistically diverse forms of immunotherapy that augment naturally existing tumor specific T cell responses (9-12). However, the role of these immune based therapies for the treatment of metastatic uveal melanoma patients remains unclear. Patients with UM are frequently excluded from metastatic melanoma immunotherapy clinical trials because UM is generally thought to be a non-immunogenic form of melanoma (13-16). However, there have not been formal comparative studies performed directly upon UM and CM metastases to accurately assess their relative immunogenicity. In this study, we compared the tumor antigen expression, tumor mutational load, and endogenous anti-tumor immunologic reactivity found in fresh surgically resected UM and CM metastases. By defining the tumor specific immune responses that are naturally found in these metastases, we sought to provide insight into the role for immune based therapies for the management of UM patients. We previously reported that melanoma metastases demonstrate significant heterogeneity in tumor antigen expression and lymphocytic infiltrate based upon their anatomic location in the body (6). Thus, to avoid potential site-specific bias in the current study, we focused our comparative analysis selectively upon liver metastases resected from UM and CM patients. Our findings revealed that despite having common melanocytic lineage, UM and CM liver metastases were highly dichotomous in their melanin content, tumor differentiation antigen expression, and somatic mutational profile. The majority of CM liver metastases lacked gross melanin pigmentation, whereas UM liver metastases were more commonly hyperpigmented in appearance. In support of this observation, immunohistochemical profiling revealed that CM metastases had lower cellular expression of proteins associated with melanocyte differentiation, including MART-1 and gp100. Further, we found significant differences in the overall somatic mutational profile between CM and UM liver metastases. Comparative whole exomic sequencing revealed CM metastases had significantly greater mutational burden compared to UM metastases with the melanoma variants also possessing quite different oncogenic driver mutations of the MAPK pathway. Similar to previous reports (41-43), nearly all of the UM metastases had GNAQand GNA11 mutations, while CM metastases commonly had BRAF mutations. Collectively, these comparative studies demonstrate CM metastases to be far more de-differentiated from their melanocytic origin when compared to UM metastases in terms of their mutational profile, tumor antigen expression, and gross melanin pigmentation.

When endogenous immune responses in these highly divergent forms of melanoma were characterized, we further identified marked differences in the phenotype and anti-tumor

reactivity of their respective infiltrating lymphocytes. CM TIL were predominantly composed of CD8+ T cells, while UM TIL were CD4+ dominant. Reactivity against autologous tumor was significantly greater in CM TIL compared to UM TIL. However, we identified TIL from a subset of UM patients which had robust anti-tumor reactivity that was comparable in magnitude to that of CM TIL. The identification of this immunogenic group of UM metastasis has not been previously reported and thus, has fostered our interest in determining the specific antigenic targets that are recognized by these UM derived TIL. Interestingly, the level of *in situ* melanin pigmentation found in parental tumors strongly correlated with the generation of tumor reactive UM TIL. Hyperpigmented UM metastases generated TIL cultures with poor tumor reactivity, while the metastases that lacked pigmentation produced the most reactive TIL cultures. Although the precise mechanism underlying the relationship between tumor pigmentation and TIL reactivity is not completely understood, the loss of pigment proteins by metastatic tumor cells is thought to be driven by the stochastic genetic instability of tumor cells combined with the non-stochastic selective pressures of the host immune system (immunoediting)(44-48). Animal models have demonstrated the development of vitiligo and the loss of tumor pigment proteins through the action of antigen specific CD8+ T cells (49). Further, it is well known that human CM TIL frequently possess T cells specific for melanocyte pigment proteins, such as MART-1 and gp100 (50). Thus, in the current study, we hypothesize that the loss of tumor pigmentation in this subset of UM metastases could signify the presence of a vigorous immune response targeting pigment antigens. However, beyond the targeting of these normal differentiation antigens, recent analyses have found that unique somatic mutations expressed by tumors can generate neo-epitopes that also elicit robust autologous T cell responses (37–39). Although our study found no correlation between the mutational frequencies identified in UM metastases and the autologous anti-tumor reactivity of their respectively derived TIL cultures, there may still be individual mutations that are recognized. Thus, we have begun studies to assess the tumor reactive UM TIL for recognition of both non-mutated, as well as, mutated antigen targets.

Although not completely validated as a clinical biomarker, the MRI assessment of melanin content in UM metastases was found in this study to accurately identify tumors that can elicit a strong endogenous immune response. We are, thus, interested in determining whether immune based therapies may be more effective in the subset of UM patients who harbor these unique immunogenic tumors. To help address these questions, we are conducting the first inhuman adoptive T cell transfer trial dedicated to patients with metastatic UM (NCT01814046). In this phase II study, patients with metastatic UM undergo surgical metastasectomy to procure tumor tissue for TIL generation. The expanded lymphocytes are then adoptively transferred back into the host in conjunction with a nonmyeloablative lymphodepleting regimen. The primary endpoint of this study is to define the objective response rate of TIL immunotherapy in patients with metastatic UM. The results of this trial should provide valuable insight into the role of immune based therapies for the treatment of metastatic uveal melanoma.

### Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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### **Statement of Translational Relevance**

Although remarkable strides have been achieved in the management of metastatic cutaneous melanoma (CM) with T cell based immunotherapies, limited progress has been made with metastatic uveal melanoma (UM), a rare and aggressive variant that is hypothesized to be immunotherapy-resistant. In this study, we sought to formally define the relative immunogenicity of these two melanoma variants and determine whether endogenous anti-tumor immune responses exist against UM. Here, we report the novel identification of TIL from a subset of UM metastases with robust anti-tumor reactivity, comparable in magnitude to that of CM TIL. The discovery of this immunogenic group of UM metastases has important clinical implications for the role of immunotherapies in the treatment of patients who harbor these unique tumors.



### Figure 1. UM liver metastases have greater melanin pigmentation when compared to CM liver metastases

(A) Illustrative examples demonstrating the correlation between pre-operative *in situ* MRI intensity scoring and post-operative gross pathologic scoring of pigmentation in selected melanoma liver metastases. Arrows in the left panel indicate the tumors that underwent metastasectomy; right panel displays photos of the resected tumors. (B) Comparison of CM and UM liver metastases based upon MRI tumor signal intensity score and (C) gross pathologic pigmentation score. Numbers within the bubbles denote the percentage of tumors in the specified cohort with the indicated score. Bubble diameter is proportionate to these percentages. Statistical comparisons between CM and UM cohorts were performed with the Mann-Whitney test.

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### Figure 2. UM liver metastases have greater expression of melanocyte differentiation antigens and lower MHC class II compared to CM liver metastases

Comparison of CM and UM liver metastases based upon immunohistochemical staining of (A) prototypic melanocyte differentiation antigens and (B) MHC class I and II molecules. Upper panels demonstrate expression based upon percentage of viable tumor cells within individual metastases that stain with the indicated marker; lower panels demonstrate expression based upon tumor cell staining intensity. Numbers within the bubbles denote the percentage of tumors in the specified cohort with the indicated marker expression. Bubble diameter is proportionate to these percentages. Statistical comparisons between CM and UM cohorts were performed with the Mann-Whitney test.



Figure 3. Phenotypic and functional comparison of TIL cultures derived from CM and UM liver metastases

(A) Mean percentage of CD8+ (filled box) and CD4+ (open box) T cells found in TIL cultures derived from individual CM and UM liver metastases. T cell subset frequency as assessed by flow cytometry and CD3 gating. Box denotes the mean of approximately 24 individual TIL cultures with error bars indicating SEM. (B) Cumulative comparison of CM versus UM derived TIL cultures based upon their percentage of CD8+ and CD4+ T cells. Bars demonstrate the mean with error bars indicating SEM. (C) Autologous tumor reactivity of individual TIL cultures derived from CM and UM liver metastases. Each dot represents the IFN- $\gamma$  production of a single TIL culture in response to overnight co-culture with autologous tumor digest minus the background cytokine levels of unstimulated TIL and tumor digest alone. Bar represents the mean of approximately 24 TIL cultures. Dashed line marks 100pg/ml of IFN- $\gamma$  on the y-axis. (D) Cumulative comparison of the anti-tumor reactivity of CM and UM derived TIL cultures based upon production of IFN- $\gamma$  in response to autologous tumor digest. Bars demonstrate the mean with error bars indicating SEM. Statistical comparisons between CM and UM cohorts were performed with the Student's t test.

# Association between in situ pigmentation status of UM metastases and TIL culture reactivity



**Figure 4. Metastasis hypopigmentation identifies an immunogenic subset of uveal melanoma** Association between the pre-operative melanin content of UM metastases and the autologous anti-tumor reactivity of their derived TIL cultures. UM TIL cultures (n~24) were established from individual liver metastases from 13 UM patients. The cultures were stratified into cohorts based upon the melanin pigmentation status of their parental tumors as assessed by pre-operative *in situ* MRI signal intensity scoring. Each dot represents the IFN- $\gamma$ production of a single UM TIL culture in response to overnight co-culture with autologous tumor digest minus the background cytokine levels of unstimulated TIL and tumor digest alone. Statistical comparisons between the stratified pigmentation cohorts were performed with the Student's t test.



### **Tumor Mutational Analysis**



(A) Comparison of the number of non-synonymous mutations identified in CM and UM metastases. Box extends from 25th to 75th percentile, line through the box indicates median, and bars extend from the smallest to largest values. Statistical comparison between CM and UM cohorts was performed with the Student's t test. (B) Frequency of *BRAF*, *GNAQ* and *GNA11* mutations identified in CM and UM metastases. Statistical comparison of oncogene frequency between CM and UM were performed with Fisher's exact test. (C) Correlation between the number of non-synonymous mutations identified in UM metastases and the autologous anti-tumor reactivity of their derived TIL cultures. Data represents UM TIL cultures (n~24) established from metastases from 12 UM patients. Each dot plots the

mutation frequency of the parental tumor (x-axis) versus the IFN- $\gamma$  production of the derived UM TIL cultures in response to overnight co-culture with autologous tumor digest minus the background cytokine levels of unstimulated TIL and tumor digest alone (y-axis). Linear regression analysis was used to derive R<sup>2</sup> values.

Table 1

Patient demographics and procurement of liver metastases

Cutaneo	us Mela	noma				
Pt ID #	Age	Gender	<b>Primary Site</b>	Sites of Metastasis	<b>Prior Systemic Therapies</b>	Liver metastasis ID #
1	35	Μ	Neck	Li	Immuno	L-CM1
2	51	F	Arm	Li, Lu	None	L-CM2
3	46	F	Scalp	Li, Lu	Immuno, Chemo	L-CM3
4	62	F	Shoulder	Li, Lu, ST	None	L-CM4
5	44	F	Hip	Li, Lu, Kidney, LN	Immuno, Chemo	L-CM5
9	99	М	Back	Li, LN	Immuno	L-CM6
7	61	М	Back	Li, LN	Immuno	L-CM7
8	44	М	Sacral	Li, Lu, LN	Immuno	L-CM8
6	64	Μ	Back	Li, ST	None	L-CM9
10	37	F	Back	Li, Lu, Bn, LN	Immuno	L-CM10
11	54	М	Shoulder	Li	Immuno	L-CM11
12	42	Μ	Back	Li, LN	Immuno	L-CM12
13	47	F	Infra-auricular	Li, Lu	None	L-CM13
14	35	Μ	Unknown	Li, Lu, LN	Immuno, Chemo	L-CM14
15	64	F	Parotid	Li	Immuno	L-CM15
16	62	М	Ear	Li, Lu	Immuno	L-CM16
17	50	F	Neck	Li, Spl, Panc	Immuno, Chemo	L-CM17
18	62	М	Foot	Li, Lu, Spl, LN	None	L-CM18
19	45	М	Shoulder	Li, LN	None	L-CM19
20	57	М	Back	Li	Immuno	L-CM20
21	54	н	Back	Li, ST	None	L-CM21
22	50	М	Back	Li, Lu	Immuno	L-CM22
23	57	М	Back	Li, Lu, ST	None	L-CM23
24	69	F	Scalp	Lu	Immuno	L-CM24
25	48	М	Unknown	Li, LN, ST, Bn	None	L-CM25
26	55	Μ	Leg	Li, Lu, LN	None	L-CM26

Pt ID#	Age	Gender	<b>Primary Site</b>	SILES OF INTELASUASIS	<b>Prior Systemic Therapies</b>	Liver metastasis ID
27	65	M	Scalp	Li, Lu, LN	Immuno	L-CM27
28	53	М	Shoulder	Li, Bn	None	L-CM28
29	34	Μ	Jaw	Li, Lu, LN, Bn	Immuno	L-CM29
30	46	н	Flank	Li	Immuno, Chemo, Targeted	L-CM30
31	52	Μ	Thigh	Li, LN, Adrenal	Immuno	L-CM31
32	62	M	back	Li, LN	None	L-CM32
33	56	Μ	Leg	Li, LN	Immuno	L-CM33
34	19	н	Preauricular	Li, LN, Spl, Bn	Immuno	L-CM34
35	27	ц	Ear	Li, Lu	None	L-CM35
Uveal M	elanom	13				
Pt ID #	Age	Gender	<b>Primary Site</b>	Sites or Metastasis	<b>Prior Systemic Therapies</b>	Liver metastasis ID #
1	56	Ч	Uveal tract	Li, Bn	Immuno, TACE	L-UM1a L-UM1b
2	56	F	Uveal tract	Li	TACE	L-UM2
3	47	Ч	Uveal tract	Li, Lu, Panc, Bn	None	L-UM3a L-UM3b
4	68	М	Uveal tract	Li	None	L-UM4
5	60	М	Uveal tract	Li, Lu, ST, LN, Per	Immuno	L-UM5
9	55	Ĩ	Uveal tract	Li	None	9MU-T
7	64	М	Uveal tract	Li	None	L-UM7
8	56	М	Uveal tract	Li	None	L-UM8
6	17	ц	Uveal tract	Li, Lu, Heart, LN	Immuno	L-UM9
10	54	F	Uveal tract	Li, LN	None	L-UM10
11	58	М	Uveal tract	Li, ST	None	L-UM11
12	53	Ĩ	Uveal tract	Li, Bn, Per, Om	None	L-UM12
13	52	М	Uveal tract	Li	None	L-UM13
14	62	М	Uveal tract	Li, Lu	None	L-UM14

Abbreviations: Bn, Bone; Li, Liver; LN, Lymph Node; Lu, Lung; Om, Omentum; Panc, Pancreas; Per, Peritoneum; Spl, Spleen; ST, Soft tissue

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### Table 2

Comparison of CM and UM patients who underwent liver metastasectomy

	<u>CM</u>	<u>UM</u>	<u>P value</u>
No. of patients	35	14	
Age (mean)	51	54	0.157
(Range)	19–69	17–68	
Gender (no./%)			
F	13 (37)	7 (50)	0.52
Μ	22 (63)	7 (50)	
Prior systemic therapy (no./%)			
Immunotherapy	22 (63)	3 (21)	0.01
Chemotherapy	5 (14)	0 (0)	0.30
None	13 (37)	10 (71)	0.06
Extrahepatic disease (no./%)			
Lung	17 (49)	4 (29)	0.21
LN/ST	21 (60)	4 (29)	0.06
None	5 (14)	6 (43)	0.05