

# Striatopallidal Neuron NMDA Receptors Control Synaptic Connectivity, Locomotor, and Goal-Directed Behaviors

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The basal ganglia (BG) control action selection, motor programs, habits, and goal-directed learning. The striatum, the principal input structure of BG, is predominantly composed of medium-sized spiny neurons (MSNs). Arising from these spatially intermixed MSNs, two inhibitory outputs form two main efferent pathways, the direct and indirect pathways. Striatonigral MSNs give rise to the activating, direct pathway MSNs and striatopallidal MSNs to the inhibitory, indirect pathway (iMSNs). BG output nuclei integrate information from both pathways to fine-tune motor procedures and to acquire complex habits and skills. Therefore, balanced activity between both pathways is crucial for harmonious functions of the BG. Despite the increase in knowledge concerning the role of glutamate NMDA receptors (NMDA-Rs) in the striatum, understanding of the specific functions of NMDA-R iMSNs is still lacking. For this purpose, we generated a conditional knock-out mouse to address the functions of the NMDA-R in the indirect pathway. At the cellular level, deletion of GluN1 in iMSNs leads to a reduction in the number and strength of the excitatory corticostriatopallidal synapses. The subsequent scaling down in input integration leads to dysfunctional changes in BG output, which is seen as reduced habituation, delay in goal-directed learning, lack of associative behavior, and impairment in action selection or skill learning. The NMDA-R deletion in iMSNs causes a decrease in the synaptic strength of striatopallidal neurons, which in turn might lead to an imbalanced integration between direct and indirect MSN pathways, making mice less sensitive to environmental change. Therefore, their ability to learn and adapt to the environment-based experience was significantly affected.

**Key words:** goal-directed behavior; NMDA receptor; spine morphology; striatopallidal neuron; striatum; synaptic transmission

## Significance Statement

The striatum controls habits, locomotion, and goal-directed behaviors by coordinated activation of two antagonistic pathways. Insofar as NMDA receptors (NMDA-Rs) play a key role in synaptic plasticity essential for sustaining these behaviors, we generated a mouse model lacking NMDA-Rs specifically in striatopallidal neurons. To our knowledge, this is the first time that a specific deletion of inhibitory, indirect pathway medium-sized spiny neuron (iMSN) NMDA-Rs has been used to address the role of these receptors in the inhibitory pathway. Importantly, we found that this specific deletion led to a significant reduction in the number and strength of the cortico–iMSN synapses, which resulted in the significant impairments of behaviors orchestrated by the basal ganglia. Our findings indicate that the NMDA-Rs of the indirect pathway are essential for habituation, action selection, and goal-directed learning.

## Introduction

The striatum serves as the primary input nucleus of the basal ganglia (BG) circuitry and therefore receives glutamatergic excit-

atory inputs from the cortex and the thalamus. The striatal projection neurons (so-called medium-spiny neurons, MSNs) are characterized by their high spine density and their GABAergic-inhibitory outputs (for review, see Gerfen and Surmeier, 2011). From these spatially intermixed MSNs arise two inhibitory outputs forming two main efferent pathways called the direct and the indirect pathways. The striatonigral neurons, expressing the do-

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pamine D<sub>1</sub> receptors, form the direct pathway and project to the substantia nigra pars reticulata (SNr) and the internal globus pallidus. The striatopallidal neurons, expressing dopamine D<sub>2</sub> receptors and adenosine A<sub>2A</sub> receptors (A<sub>2A</sub>Rs; Schiffmann et al., 2007), form the indirect pathway and project to the external globus pallidus (GPe). This pathway, in turn, inhibits the subthalamic nucleus, which excites output nuclei. BG output nuclei integrate information from both pathways to fine-tune motor processes; therefore, the direct pathway MSNs (dMSNs) facilitate motor activity and the indirect pathway MSNs (iMSNs) inhibit motor activity (Tepper et al., 2007; Durieux et al., 2009, 2012; Bateup et al., 2010; Kravitz et al., 2010, 2012). Moreover, these segregated circuits play an essential role, not only in simple motor functions, but also in controlling goal-directed actions and habit formation (Albin et al., 1989; Graybiel et al., 1994; Yin and Knowlton, 2006; Shan et al., 2014). Importantly, the disruption of either neuronal subpopulation has been implicated in major neuropsychiatric disorders such as Parkinson's disease and Huntington's disease, as well as in drug addiction (Albin et al., 1989; Everitt and Robbins, 2005; Day et al., 2006; Hyman et al., 2006; Kreitzer and Malenka, 2007; Redgrave et al., 2010).

At the cellular and synaptic level, the transfer of information throughout BG circuitry undergoes major dynamic changes. The striatum, which receives mainly glutamatergic afferents, represents a major site of synaptic plasticity (Bolam et al., 2000; Gerdeman et al., 2003; Gerfen and Surmeier, 2011). Because glutamate N-methyl-D-aspartate receptors (NMDA-Rs) contribute to this process, they play a crucial role in mediating learning and memory and in many other forms of experience-dependent plasticity (Malenka and Bear, 2004). Previous work has shown that MSNs have a large number of NMDA-Rs (Albin et al., 1992; Standaert et al., 1999) that are essential to striatal function, contributing to locomotion and goal-directed behaviors (Heusner and Palmiter, 2005; Dang et al., 2006; Ohtsuka et al., 2008; Jin and Costa, 2010; Parker et al., 2011; Beutler et al., 2011b; Eldred and Palmiter, 2013; Darvas and Palmiter, 2015). Moreover, some studies have reported that glutamate signaling, specifically through NMDA-Rs in dMSNs, is required for the long-term changes taking place during repeated exposure to addictive drugs or in cognitive flexibility (Heusner and Palmiter, 2005; Beutler et al., 2011a; Darvas and Palmiter, 2015). However, so far, the potential involvement of iMSN NMDA-Rs in various forms of behavior has not been addressed.

In this study, we used a conditional NMDA-R knock-out (cKO) mouse specifically targeting striatopallidal neurons to address the functions of the NMDA-R in iMSNs. We generated a cell-type-specific genetic model in which Cre recombinase selectively ablates the essential GluN1 subunit expression of NMDA-R in striatopallidal neurons (Monyer et al., 1992). This conditional gene knock-out strategy allowed us to characterize the physiological functions of iMSN NMDA-R signaling within the BG circuitry. We found that the GluN1-ablated iMSNs demonstrated abnormal neuronal morphology, including reduced number and size of spines. The reduction in spine number and size correlated with an overall reduction in functional glutamatergic synaptic inputs. Furthermore, the cKO mice were impaired in goal-

directed behavior, habituation, and action selection during a motor learning task. These behavioral impairments likely reflected the disruption in the synaptic function of neurons in the iMSN pathway. Together, these data demonstrate that imbalanced NMDA-R signaling in iMSNs disrupts the orchestrated activities across BG circuits.

## Materials and Methods

### Animals

To generate a mutant strain of mice lacking NMDA-R in iMSNs, Adora2a-Cre<sup>+/-</sup> transgenic mice expressing the Cre recombinase under the control of the striatopallidal specific adenosine A<sub>2A</sub> receptor (*Adora2a*) promoter (Durieux et al., 2009) were bred with *Grin1* floxed mice in which the two *loxP* sites flanked exon 9 and 10 of the *Grin1* gene and separated by a distance of 2.1 kb (Dang et al., 2006). Double transgenic mice Adora2a-Cre<sup>+/-</sup>Grin1<sup>lox/lox</sup> (A<sub>2A</sub>Cre<sup>+</sup>Grin1) were obtained and then crossed with homozygous Rosa26-Lox-Stop-Lox-YFP reporter mice (Srinivas et al., 2001). The breeding between A<sub>2A</sub>Cre<sup>+/-</sup>Grin1<sup>lox/lox</sup> and Grin1<sup>lox/lox</sup> Rosa26-LSL-YFP mice results in 50% of A<sub>2A</sub>Cre<sup>+/-</sup>Grin1<sup>lox/lox</sup>Rosa26YFP (cKO mice) and 50% Grin1<sup>lox/lox</sup>Rosa26-LSL-YFP (Control) mice; the latter were used as controls for behavioral tests. Cells expressing the Cre recombinase in the cKO mouse line were identified by YFP fluorescence. These cells were considered to be GluN1 depleted. A<sub>2A</sub>Cre mice were also crossed directly with Rosa26-Lox-Stop-Lox-YFP mice to obtain the A<sub>2A</sub>Cre<sup>+/-</sup>Rosa26YFP mice, which were also used as controls for electrophysiological recordings and immunostaining. The YFP expression of A<sub>2A</sub>Cre<sup>+/-</sup>Rosa26YFP allows the identification of the wild-type (WT) iMSNs. All the mice of the A<sub>2A</sub>Cre, Rosa26YFP, and Grin1 lines were first backcrossed for at least four generations to the C57BL6 background and then used to produce the experimental mice. All procedures were performed according to Institutional Animal Care Committee guidelines and were approved by the local ethics committees. All efforts were made to minimize the number of animals used and their suffering.

### Striatal cell dissociation and FACS

Only mice homozygous for the transgene Rosa26-YFP (A<sub>2A</sub>Cre<sup>-/-</sup>Grin1<sup>lox/lox</sup>Rosa26YFP and A<sub>2A</sub>Cre<sup>+/-</sup>Rosa26YFP) were used for FACS-based screening (Ena et al., 2013). cKO and control mice were age matched and a minimum of 21 d old. Control (A<sub>2A</sub>Cre<sup>-/-</sup>Grin1<sup>lox/lox</sup>Rosa26YFP<sup>lox/lox</sup>) and cKO (A<sub>2A</sub>Cre<sup>+/-</sup>Rosa26YFP<sup>lox/lox</sup>) adult mice were deeply anesthetized with halothane and brains were removed and sectioned in the coronal plane at 250 μm on a VT1000S vibratome (Leica) in a chilled physiological solution containing the following (in mM): 180 sucrose, 2.5 KCl, 26 NaCO<sub>3</sub>, 1.2 Na<sub>2</sub>HPO<sub>4</sub>, 25 glucose, 0.1 CaCl<sub>2</sub>, 1 MgCl<sub>2</sub>, and 19 MgSO<sub>4</sub> bubbled with 5% CO<sub>2</sub> and 95% oxygen. The striatum was dissected out, first dissociated enzymatically (Protease type XIII 1.5 mg/ml; Sigma-Aldrich) in HBSS trehalose 10% and then triturated. To remove the excess debris, the cell suspension was subjected to a discontinuous density gradient medium of iodixanol (OptiPrep) at 1900 rpm for 15 min and then resuspended in a buffered medium (L-15 Leibovitz medium with CO<sub>2</sub> without phenol red; 10 mM HEPES) and filtered twice through a 40 μm cell strainer (Falcon; BDBiosciences). Cells were treated with Hoechst 33342 (1:4000; H3570; Invitrogen) to label dead cells and sorted on a FACSAria cell sorter (BDBiosciences) for fluorescein isothiocyanate signal (detecting YFP) and F13 (detecting Hoechst). First, samples obtained from WT mice were used to set the forward and side scatter to determine the optimal criteria for the sorting before each FACS session. Those parameters were then readjusted after elimination of Hoechst<sup>-</sup> cells. The four test samples (striatal Hoechst<sup>-</sup>/YFP<sup>-</sup> and Hoechst<sup>-</sup>/YFP<sup>+</sup> from control and cKO mice) were then analyzed and neurons were sorted. Sorted cells were collected directly in Qiagen RLT lysis buffer to proceed with the RNA extraction. Each sample was a collection of cells pooled from 2–4 animals. All steps of this protocol were performed at 4°C except for the enzymatic dissociation, which was performed at 34°C.

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**Table 1. Primers used for qPCR analysis**

Gene	Forward	Reverse
RER-1	5' CCACCTAAACCTTTTCATTGCG 3'	5' TTTGTAGTCGCGTCCAAAAT 3'
RPL13	5' CCCGTGGCGATTGTGAA 3'	5' TCATTGCTCTCTGTGCAGGTT 3'
GAPDH	5' TGTGTCCGTCGTGGATCTGA 3'	5' CCTGCTCACCACCTCTTGA 3'
$\beta$ -Actin	5' AACCGTAAAAGATGACCCAG 3'	5' GCCTGGATGGCTACGGCTACGTACATG 3'
GluN1	5' ACTGGCCGTGTGAATTC 3'	5' CATTGTAGATGCCCACTTGCA 3'

### RNA extraction, reverse transcription, and qRT-PCR

Total RNA was extracted from sorted cells using RNeasy Microkit (Qiagen). All RNA samples were treated with an RNase Free DNase Set (Qiagen). RNA quality and quantities were assessed by gel electrophoresis on a RNA assay chips (HighSens analysis kit; Bio-Rad). Purified total RNA was used to synthesize a first-strand cDNA using an MMLV-RT kit (Invitrogen) and random hexamer (Roche). qRT-PCR analysis was performed with 200 pg of cDNA as a template with Power SYBR green (Applied Biosystems) on the ABI 7500 Fast Real Time PCR system (Applied Biosystems). Primers used for qRT-PCR analysis are listed in Table 1. All primers were designed using Primer Express (Applied Biosystems) on different exons to avoid genomic DNA amplification. The gene amplification efficiency was measured and only primers with an amplification efficiency of 90% were used. Ct (threshold cycle) values for each gene were normalized to the Ct value for RER-1, RPL13, and GAPDH to obtain relative expression level using qBASE 1.3.4 (Hellems et al., 2007). The sample obtained from control iMSNs was used as reference and set at an arbitrary value of 1 (Ena et al., 2013). This study was validated using qRT-PCR on three independent biological replicates.

### Acute brain slice preparation

In the rodent striatum, excitatory synapse density rises markedly between postnatal day 10 (P10) and P21 (Tepper et al., 1998). Therefore, we only worked with P21–P45 mice. Those animals were deeply anesthetized using halothane and killed by decapitation. Brains were rapidly removed and immersed in ice-cold cutting solution containing the following (in mM): 110 choline, 2.5 KCl, 1.25 NaH<sub>2</sub>PO<sub>4</sub>, 7 MgCl<sub>2</sub>, 0.5 CaCl<sub>2</sub>, 25 NaHCO<sub>3</sub>, and 7 glucose equilibrated with a 95% O<sub>2</sub> and 5% CO<sub>2</sub> mixture. Next, 220  $\mu$ m cortico-striatal coronal slices were prepared (Leica VT1000S vibratome) in the cutting solution. Slices were washed, then transferred to a chamber filled with a standard artificial CSF (aCSF) containing the following (in mM): 127 NaCl, 2.5 KCl, 1.25 NaH<sub>2</sub>PO<sub>4</sub>, 2 MgCl<sub>2</sub>, 4 CaCl<sub>2</sub>, 25 NaHCO<sub>3</sub>, and 10 glucose equilibrated with a 95% O<sub>2</sub> and 5% CO<sub>2</sub>. Slices were left in the chamber at 34°C for at least 1 h.

### Electrophysiology

At the end of the recovery period, slices were placed in a submersion-type chamber at room temperature with 1.5 ml min<sup>-1</sup> flux rate of aCSF saturated with 95% O<sub>2</sub> and 5% CO<sub>2</sub>. Striatopallidal neurons were recorded at room temperature in acute brain slices obtained as described in the previous section, Acute brain slice preparation. Somatic whole-cell patch-clamp recordings were obtained from YFP<sup>+</sup> neurons from cKO and compared with a control A<sub>2A</sub>cre<sup>+/-</sup> Rosa26YFP animal. MSNs within the dorsal striatum were identified with a 63 $\times$  water-immersion objective of a Zeiss upright microscope (Axioskop 2FS Plus; Zeiss) and somatic YFP expression was verified routinely using epifluorescence from a source composed of a LED array (OptoLED; Cairn Research) emitting at 488 nm and coupled to the microscope that was equipped with a dichroic mirror and a high-pass emission filter centered at 505 and 507 nm, respectively. Whole-cell recordings were made under infrared differential interference contrast optics with a back-illuminated CCD camera (iXon+; Andor Technology) with borosilicate-glass patch electrodes (Hilgenberg) obtained with a vertical two-stage puller (PIP 5; HEKA). Pipette resistance was typically 4–7 M $\Omega$ . Recordings were started 2 min after obtaining the whole-cell configuration to allow diffusion of the intracellular solution containing the following (in mM): 125 potassium methylsulfonate, 7 KCl, 4 MgCl<sub>2</sub>, 10 K-HEPES, 0.1 K-EGTA, 5 Na<sub>2</sub>-phosphocreatine, 0.5 Na<sub>2</sub>-GTP, 4 K<sub>2</sub>-ATP, and 0.022 CaCl<sub>2</sub> (pH 7.2 adjusted with KOH). Using Ca/Mg/ATP/EGTA Calculator version 1 (Winmacs), the EGTA and calcium concentrations were adjusted to

reach a basal intracellular free calcium concentration of 102.3 nM (adapted from Seutin and Engel, 2010). The liquid junction potential was evaluated at 12.23 mV using Patcher's Power Tools on Igor Pro and was adjusted for the AP threshold measurements. Current- and voltage-clamp recordings were obtained with a Dual EPC-10 operational amplifier (Molecular Devices) interfaced to an OS X iMac running PatchMaster software (HEKA). The signal for voltage-clamp recordings was filtered at 3 kHz and digitized at 20 kHz and that for current-clamp recordings was filtered at 3 kHz and digitized at 10 kHz using the built-in EPC-10 filter (HEKA).

**Passive cellular recordings.** Membrane and access resistance measures were extracted in voltage clamp by analyzing current relaxation induced by a 10 mV step change from a holding potential of -80 mV as described previously (D'Angelo et al., 1995). Membrane capacitance was then obtained after exponential fit to voltage profile in response to current step injection (Golowasch et al., 2009).

**Intrinsic excitability.** Intrinsic excitability was investigated in current clamp by setting the resting membrane potential at -80 mV and injecting 1 s depolarizing steps (from 0 to 250 pA in 10 pA increments). Action potential (AP) frequency was measured by dividing the number of interspike intervals (ISIs) by the time interval between the first and the last spike. Rheobase current was defined as the first current step within a series of 10 pA steps beginning at 0 pA that was capable of eliciting at least one AP (Gertler et al., 2008).

**Spontaneous EPSCs (sEPSCs).** To isolate spontaneous excitatory synaptic input, cells were held at the estimated reversal potential for inhibitory input of  $\sim$ -60 mV. Data were then acquired and analyzed using the MiniAnalysis program (Synaptosoft). For the detection of spontaneous currents, the threshold detection for negative events was first determined as twice the SD of the average baseline noise. This threshold was then used to automatically detect events, which were visually controlled, and permitted us to quantify frequencies and amplitudes of those spontaneous synaptic events. "Rise time" and "decay  $\tau$ " of sEPSCs are, respectively, the time to rise from 20–80% of peak amplitude and the monoexponential time constant of decay. Recordings lasted from 3 to 10 min for each cell to obtain 100 to 1000 events.

**Evoked EPSCs (eEPSCs).** In response to cortical input stimulation within the corpus callosum, excitatory synaptic currents were recorded at -70 mV and +40 mV, repeated 10 times, with 50  $\mu$ M picrotoxin (Sigma-Aldrich). AMPA receptor (AMPA-R)-mediated current was measured as the peak current at -70 mV and NMDA-R-mediated current was estimated at +40 mV as the current amplitude 50 ms after the AMPA-R peak at -70 mV measured previously. The ratio between NMDA and AMPA current (NMDA/AMPA ratio) was calculated by dividing the estimated NMDA-R current by the peak AMPA-R current.

**Spontaneous IPSCs (sIPSCs).** sIPSCs were recorded by the use of a high-chloride Cs<sup>+</sup>-based intracellular solution containing the following (in mM): 127 CsCl, 0.022 CaCl<sub>2</sub>, 4 MgCl<sub>2</sub>, 10 HEPES, 0.1 EGTA, 5 Na<sub>2</sub>-phosphocreatine, 4 K<sub>2</sub>-ATP, and 0.5 Na<sub>2</sub>-GTP. The membrane potential was held at -70 mV in the presence of 10  $\mu$ M CNQX (Sigma-Aldrich) to block glutamatergic receptors. Because we used a high-chloride Cs<sup>+</sup>-based intracellular solution to record sIPSCs, the GABA<sub>A</sub>-mediated currents were inward currents when membrane potential was held at negative values because the reversal potential of Cl<sup>-</sup> channel under such condition is  $\sim$ 0 mV. Data were then acquired and analyzed as described for sEPSCs.

**Peak-scaled nonstationary fluctuation analysis (NSFA).** NSFA was performed from an ensemble of sEPSCs at a holding potential of -60 mV to estimate the mean single-channel conductance of AMPA-Rs using Mini Analysis software (Benke et al., 2001; Hartveit and Veruki, 2007). Unitary sEPSCs were carefully selected for analysis by visual inspection based on the following criteria: fast rise time to allowing for precise alignment, stable baseline, and exponential decay. The sEPSCs were aligned by their point of maximal rise and averaged. The average response waveform was scaled to the peak of individual responses and the variance of the fluctuation of the decay around the mean was calculated. This variance was binned (100 bins of equal current decrement) and plotted versus the mean current amplitude of the decay. The shape of the variance-amplitude relationship was fitted with the following equation:  $\sigma^2 = iI -$

$I/2N + b$  where  $i$  was the mean single-channel AMPA-R current,  $I$  the mean current,  $N$  the number of channels activated at the peak, and  $b$  the baseline variance. Parameter  $i$  was estimated as the slope of the linear fit of the first portion of the parabola because the equation becomes linear when AMPA-R open probability gets close to zero. The number of open channels at the peak was calculated by dividing the average mEPSC amplitude by the unitary current  $i$ .

### Immunocytochemistry and biocytin labeling

To label neurons of interest, 0.5% biocytin was included in the internal electrophysiological solution (see “Electrophysiology” section, above). After a high-resistance outside-out manipulations, slices were fixed directly in cold 4% paraformaldehyde (PFA), prepared in 0.01 M PBS, pH 7.4, and kept overnight at 4°C. After several washes in a PBS solution, slices were permeabilized in a 0.1% Triton X-100 (Sigma-Aldrich) solution prepared in PBS for 90 min. Streptavidin-NL557 (1:2000; NL999; R&D Systems) was then added to the permeabilization solution and slices were left for 2 h under stirring at room temperature in the dark. Sections were finally washed several times in a PBS solution and mounted between slide and coverslip (thickness no. 1.5; VWR) using Fluorsave mounting medium (refraction index: 1.358; Calbiochem).

### Histology and immunostaining

Transgenic cKO and  $A_{2A}Cre^{+/+}$ -Rosa26YFP control mice were transcardially perfused with a 0.1 M PBS solution followed by PFA 4% in 0.1 M PBS solution. Brains were removed and postfixed in PFA 4% overnight at 4°C. The 100- $\mu$ m-thick 10° tilted parasagittal sections were cut on a vibratome through all of the striatum (Beurrier et al., 2006). Sections were incubated in 10% normal horse serum in 0.01 M PBS-0.1% Triton X-100 for 60 min at room temperature to block the nonspecific antibody binding. For single immunofluorescence, sections were then incubated overnight at 4°C with the chicken anti-GFP antibody (1:2000; ab13970; Abcam) in 1% normal horse serum, 0.01 M PBS, and 0.1% Triton X-100. Antibody was then detected using a goat anti-chicken Alexa Fluor 488 (1:400; A11039; Invitrogen). For the double-immunofluorescence YFP/enkephalin, antigen retrieval was performed by preincubation of slides in sodium citrate buffer (10 mM; pH 8.5) at 80°C for 30 min and rinsed in PBS (0.01 M). Sections were blocked and permeabilized as above, then coincubated overnight at 4°C with the chicken polyclonal anti-GFP antibody (1:2000; ab13970; Abcam) and the rabbit polyclonal anti-pre-pro-enkephalin antibody (1:500; RA14124; Neuromics) in 0.01 M PBS-0.1% Triton X-100. After several washes, the antibodies were detected using a goat anti-chicken Alexa Fluor 488 (1:400; A11039; Invitrogen) and a donkey anti-rabbit IgG coupled with an Alexa Fluor 594 (1/200; 711-585-152; Jackson ImmunoResearch) for the pre-pro-enkephalin. Hoechst 33342 was used for nuclear staining (1:5000; H3570; Invitrogen). Sections were rinsed and mounted between slide and coverslip using Fluor-Save mounting medium.

### Confocal microscopy

Serial optical sections (Z-stacks) were acquired on a laser-scanning confocal system (LSM 780; Zeiss) mounted on an Axio Observer Z1 inverted microscope (Zeiss) equipped with a GaAsP spectral detector. The excitation beam of an argon laser (488 nm) was used for the detection of the YFP labeled by Alexa Fluor 488 fluorophore with a band-pass emission filter of 500–600 nm. For biocytin staining with NL557 and enkephalin immunostaining with Alexa Fluor 594, excitation was provided by an He-Ne laser (543 nm) and fluorescence was measured between 560 and 650 nm or 585 and 700 nm, respectively. A 405 nm blue laser diode was used for excitation of Hoechst nuclear labeling with band-pass emission filter of 410–550 nm. Imaging of single-immunofluorescence YFP was performed with an EC Plan NeoFluar 5 $\times$ /0.16 numerical aperture (NA) dry objective, total dendrite arborization with a 20 $\times$ /0.8 NA dry objective, double-immunofluorescence YFP/enkephalin with a C-Apochromat 40 $\times$ /1.1 NA water-immersion objective, and dendrite endings with an  $\alpha$  Plan-Apochromat 63 $\times$ /1.46 NA oil-immersion objective (Zeiss). The ideal sampling density during acquisition, which depends on the optics of the microscope, was calculated for dendrite endings in the axial direction as  $\left(\Delta y = \frac{\lambda_{ex}}{4n}(1 - \cos \alpha)\right)$  and in the

lateral direction as  $\left(\Delta x = \frac{\lambda_{ex}}{8n \sin \alpha}\right)$  based on Nyquist criterion. Therefore, the dendrite endings were sampled with a lateral sampling distance of 40 nm and an axial sampling distance of 120 nm. Z-stack scans were acquired with constant imaging parameters using ZEN 2010 software (Zeiss). Figures were prepared using Fiji software and Adobe Illustrator.

### Imaging processing

The postacquisition computer image processing consists of three stages: (1) image deconvolution, (2) correction for image mismatch, and (3) surface rendering 3D image reconstruction.

**Deconvolution.** Stacks of images were processed first with Huygens-II deconvolution software (Scientific Volume Imaging). During the deconvolution step, an algorithm (Classic Maximum Likelihood Estimation) determines a point spread function, which is used to calculate, for each pixel of each acquired image in a Z-series, the statistical likelihood of the exact origin of the photons emitted by its fluorescent source.

**Correction for image mismatch.** A mismatch correction factor was calculated from the multiple Z-series of the same neuron acquisition (tile scan). This correction factor consisted of an offset shift parameter (along the x, y, and z axes) necessary to stitch perfectly these Z-series into one 3D image. This image processing was performed using Fiji software (X\_Shifter plugin). The mismatch-corrected Z-series were subsequently used for the final 3D reconstruction.

**3D computer reconstruction.** Reconstruction of the entire or partial dendritic arbor of the neurons was done by the computer-aided filament tracing function in the 3D image analysis software Imaris (Bitplane). A complementary manual tracing strategy adjusted the automatic reconstruction. Total dendritic arborization reconstruction allowed the study of the dendrite branching and measures of dendrite segment length. Dendrite endings reconstructions allowed us to quantify automatically the density, length, and volume, head and neck volumes, and diameters of spines.

### Behavior

Behavioral experiments were conducted during the light phase of the day with 10- to 16-week-old male mice except for the Pavlovian-to-instrumental transfer (PIT), in which females were used. For each task, three different genotype cohorts were tested:  $A_{2A}cre^{-/+}$  Grin1<sup>lox/lox</sup>Rosa26-YFP (cKO),  $A_{2A}cre^{-/-}$  Grin1<sup>lox/lox</sup>Rosa26-YFP (control), and WT C57BL/6 mice. No significant difference was obtained between control mice and WT mice. For this reason, data relative to WT mice are not presented. Behavioral tests were performed in a video-tracking room by an overhead video camera (Ethovision XT; Noldus Information Technology).

**Open field.** Animals were placed in a square open field (40  $\times$  40 cm) for a 1 h period during 3 consecutive days in 20 lux illumination condition (Durieux et al., 2009). Total distance traveled during the 1 h session of the 3 d was reported. In addition, animals were also placed into the open field for a 15 min in 120 lux illumination condition. The open field was virtually divided into peripheral zones and a square, central 20  $\times$  20 cm zone. The percentage of time spent in the center versus periphery of the field during the 15 min was reported.

**Novel object recognition.** The days before the experiment, mice were placed for 10 min in a square open field (40  $\times$  40 cm) in 20 lux illumination with two copies of an unfamiliar object (accommodation phase). The next day, mice were placed in the same open field for 10 min and were presented with two copies of a new unfamiliar object (habituation phase). After 1 h, 1 of the 2 objects was replaced with a novel object (test phase). The number of visits to both objects was measured. The preference scores for the objects can be further demonstrated by the ratio between novel versus total object explorations during the object phase, which therefore allows comparison between groups (Le Merrer et al., 2013).

**Conditioned place preference (CPP).** CPP was done in a 3-compartment apparatus consisting of a small middle neutral zone (6  $\times$  20 cm) connected to 2 large compartments (18  $\times$  20 cm) with different visual patterns on the walls and different tactile patterns on the floors. Three days before the experiment, mice were handled daily and received a single

saline injection and returned to their home cage. Preconditioning phase (day 1, for 18 min) was as follows: mice were placed in the central neutral area and allowed to explore both compartments. Mice were then randomly assigned to the various experimental groups (unbiased protocol). Conditioning (days 2–7) was as follows: mice were confined to one compartment for 20 min after intraperitoneal injection of D-amphetamine (Certa; 3 mg/kg) on days 2, 4, and 6, or to the other compartment after saline injection on days 3, 5, and 7. Control mice always received saline. The test phase (day 8) occurred when mice were placed in the neutral zone and allowed to explore both compartments freely for 18 min. Results are expressed as the difference between test and preconditioning time spent in the drug-paired compartment (score is in seconds) (Maldonado et al., 1997; Durieux et al., 2009).

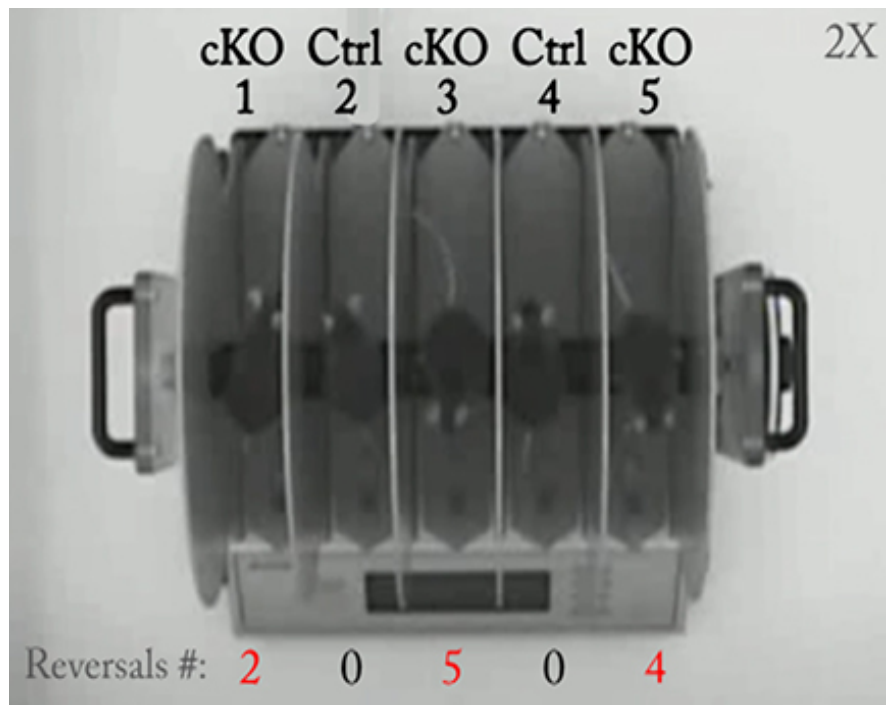
**Home cage tracking.** Ambulatory cage activity was assessed in individually housed mice in standard home cages and expressed as the total distance traveled. Cages were moved into the tracking room 36 h before the beginning of the acquisition and were then monitored for 12 h during light phase of the day.

**Light and dark box.** Mice were individually exposed to a box consisting of a small compartment (15 × 20 × 25 cm) with black walls and black floor that was dimly lit (5 lux) and connected by a 4-cm-long tunnel to a large compartment (30 × 20 × 25 cm) with white walls and floor under intense illumination (120 lux). Each animal was placed in the dark compartment facing the tunnel at the beginning of each experiment. The time spent in each compartment was recorded during an 8 min session (Bourin and Hascoët, 2003).

**Accelerating rotarod.** The rotarod apparatus (accelerating model; Ugo Basile) consisted of a plastic roller (3 cm diameter) with small grooves running along its turning axis (Movie 1). Mice received 4 trials per day for 5 consecutive days. During trials, animals were placed on the rotating rod at a constant speed (4 rpm) and then the rod was accelerated continuously from 4 to 40 rpm over a time period of 300 s. The latency to fall off the rotarod was measured for each session, as well as the number of horizontal retrievals during the last session. Animals that did not fall during the entire experiment were scored as 300 s (Bearzatto et al., 2006).

**Run away.** Mice ran along an elevated runway (100 cm long, 1.2 cm width) with low obstacles (a 1-cm-diameter wooden rod was placed every 10 cm) intended to impede their progress. The number of slips of the hind legs on the experimenter side was counted. Mice were placed on one brightly illuminated extremity of the runway and had to run to the dark side, where they found their home cage. Mice were given four trials per hour on the same day (Bearzatto et al., 2006).

**Single-pellet reaching task.** In this paradigm, mice are trained to extend their forelimbs through a narrow slit to grasp and retrieve food pellets (chocolate pellets, Dustless Precision Pellets Rodent, Purified; Bioserv) positioned at



**Movie 1.** Accelerating Rotarod. Deletion of NMDA-R in iMSN changes the process of action selection during accelerating rotarod without altering motor performance. During the accelerating rotarod task, cKO mice reversed horizontally (180°) in contrast to control mice which keep the same orientation. The number of horizontal reversals (180°) accomplished by mice is reported at the bottom of the image. Movie is shown at 2x normal speed.



**Movie 2.** Single Pellet Reaching Task. iMSN NMDA-Rs deletion prevent learning of single pellet reaching task. The average number of success as well as the average success rates during the training period of the single-pellet reaching task are significantly higher for the control mice than the cKO mice. The cKO mice are not able to learn the task since there is no effect of the time on their number of success or their speed of success. Movie is shown at normal speed.



a fixed location (Movie 2). Mice were placed on a food restriction schedule, kept at 80–85% of their free-feeding weight, and trained in daily trials. The animals received first the group and the individual habituation session (2 d), then the shaping training (3 d), and then the single-pellet training (8 d). Two parameters were measured to quantify mouse behavior: the success rate (successful reaches/time) presented as a percentage and the speed of the success rate (successful reaches/total reaching attempts) presented as successful reaches per minute. In most cases, the speed of success continued to increase, even when the success rate plateaued. The protocol used was largely as described previously (Chen et al., 2014).

**Grip strength test.** Grip strength was assessed on a grip strength meter (Bioseb). Grip strength of the forelimbs was tested by holding the mouse over the grid and moving it down until its front legs grasped the grid. The mouse was then pulled following the axle of the sensor until it released the grid and the maximum force exerted appeared on the display. Individual animals were exposed to five successive measurements. The mean of the three highest values was used for statistical analysis (Chintawar et al., 2009).

**Instrumentally conditioned reinforcement.** The task was conducted in four identical automated operant chambers (Imetronic), each set in a ventilated, sound-isolated cubicle. Test cages were equipped with a grid floor, a pellet dispenser, and a nose poke (NP) operandum. Mice were placed on a food restriction schedule and kept at 80–85% of their free-feeding weight. Mice were trained in daily trials of 30 min during which they learned to use a nose poking device to obtain food pellets (Dustless Precision Pellets Rodent, Purified; Bioserv). Training started with one continuous reinforcement (CRF, every NP rewarded) with additional guaranteed pellet delivery every 120 s (CRF + 120, 1 d), followed by CRF (every NP rewarded until they reached 80 NP), fixed ratio trials (FR3, reward on every third NP, 1 d; FR5, reward on every fifth NP until 300 NPs; FR10, reward on every 10th NP until 500 NPs), and variable ratio (VR) trials (VR10, reward on average every 10th NP until 600 NPs; VR20, reward on average every 20th NP). Once the animals were poking stably on the VR20 schedule for 3 consecutive days, they received food *ad libitum* again and training continued on a VR20 schedule for 3 more days (devaluation step). Therefore, there are three learning phases: the initial learning phase with the fixed ratio schedule, the late learning phase with the variable ratio schedule, and the devaluation step (adapted from Piccart et al., 2011). The rate of nose poking in each trial was recorded with Imetronic software.

**PIT.** The PIT (Bertran-Gonzalez et al., 2013) was conducted in the same automated operant chambers (Imetronic) except that two levers replaced the NP operandum of each. In PIT, animals received first an instrumental training phase, then a Pavlovian training phase, and then a probe trial.

Instrumental trainings were administered across 10 d during which two responses (left and right lever presses) were trained with the two different food outcomes (O1 and O2) in two separate daily sessions. Each session ended when 20 outcomes were earned or when 30 min had elapsed. Training started with one CRF (for 2 d), followed by VR trials (VR5 for 3 d; VR10 for 5 d). Learning during instrumental training was assessed using the number of lever presses. This rate of lever press was calculated for each animal during each session.

Pavlovian training involved eight daily sessions during which the levers were retracted. Each session was of 60 min duration and consisted of presenting two conditioned stimuli (CS1 and CS2; noise, clicker, or tone), each paired with one or another food outcome (O1 and O2; chocolate and vanilla pellets). One half of mice received CS1–O1 and CS2–O2 pairing and the other half received CS1–O2 and CS2–O1 pairing. Each CS lasted 2 min in duration and was presented 4 times in a pseudorandom order with a variable intertrial interval of 5 min. O1 or O2 was delivered on a random-time 30 s schedule throughout the appropriate CS. Conditioned responding (CR) was analyzed using an elevation ratio of magazine entries. This ratio was obtained by dividing the total number of magazine entries during CS1 and CS2 by the addition of that number with the total number of magazine entries in the pre-CSs period [i.e., CS/(CS + pre-CS)]. A pre-CS period was defined for each CS presentation as the 2 min preceding that presentation. One elevation ratio per animal was calculated on each training day. An elevation ratio of 0.5

indicated that the animals entered the magazine as much during the CSs as outside of the CSs (i.e., poor learning). In contrast, an elevation ratio close to 1 showed that the animal entered the magazine substantially more in the presence of the CSs than in their absence (i.e., good learning). The CR for each animal was defined as the average elevation ratio displayed across the last 3 d of PIT.

Finally, during the test, no outcomes were delivered. Responding was extinguished on both levers for 8 min to establish a low rate of baseline performance. Each CS was presented four times over the next 40 min in the following order: CS2-CS1-CS1-CS2-CS1-CS2-CS2-CS1. Stimulus presentations lasted 2 min and were separated by a 3 min fixed interval. Conditioning during the test was evaluated as lever press rate minus baseline when the stimulus predicted the same outcome as the response (Same), and when the CS predicted a different outcome from the response (Different). The transfer score was calculated as follows:

$$\frac{Rate_{same} - Rate_{different}}{Rate_{baseline}}$$

#### Data analysis and statistics

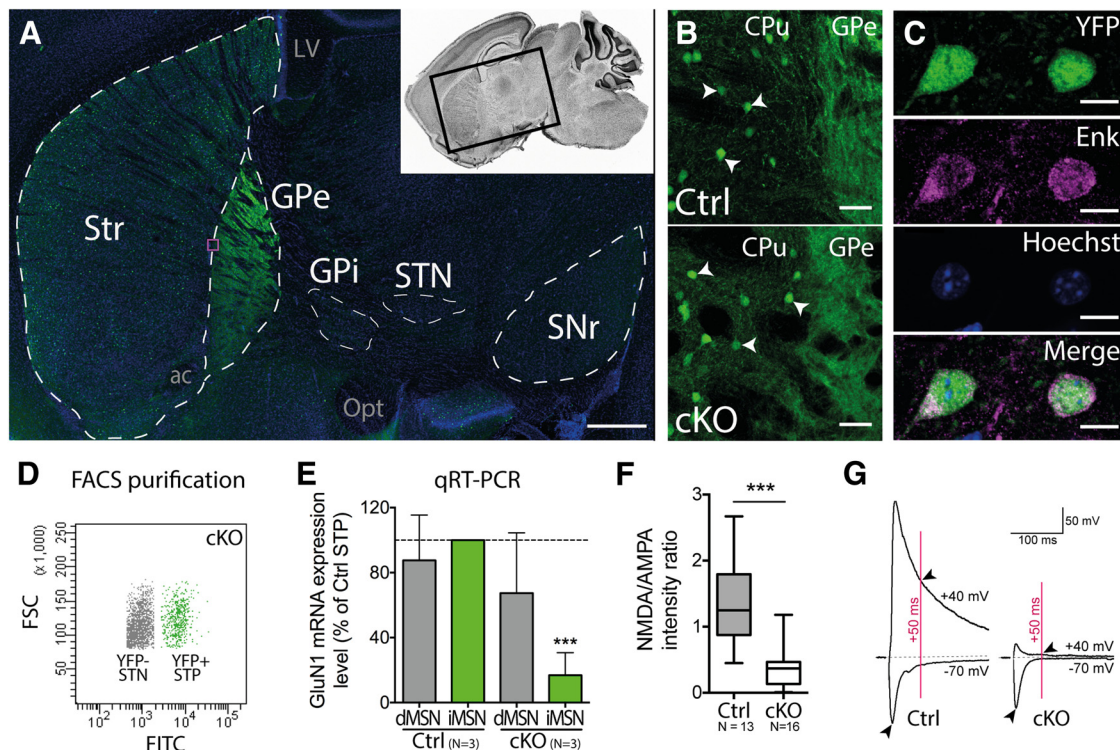
Curve fitting and data analyses were done with Igor Pro 6.0 (Wavemetrics) and GraphPad Prism 6. Histogram and box plots were used for graphic representation. On the histograms, mean with SEM are shown. On the box plot, the central line represents the median, the edges represent the interquartile ranges, and the whiskers represent the overall distribution. The D'Agostino–Pearson omnibus normality test was used to determine whether sample data had been drawn from a Gaussian distribution. Pairwise comparisons were performed on normal distribution using unpaired or paired *t* test, as appropriate; non-normal distributions were analyzed by the Mann–Whitney *U* test. Some data were also analyzed by a one-way repeated-measures ANOVA followed by a Dunnett's *post hoc* test or by a two-way repeated-measures ANOVA followed by a Sidak's multiple-comparison *post hoc* assessment, depending on the experimental design. Correlations were examined by a Spearman rank correlation test. Values are expressed as mean  $\pm$  SEM. The level of significance was established as follows: \**p* < 0.05, \*\**p* < 0.01, and \*\*\**p* < 0.001.

## Results

### Inactivation of NMDA-R in striatopallidal neurons and functional validation of the transgenic model

NMDA-Rs are heterotetramers that require two essential GluN1 subunits for their formation and functionality (Monyer, 1992). The *Grin1*<sup>lox/lox</sup> mouse model carries two LoxP sequences flanking the exons 9 and 10 of *Grin1*, the gene encoding the GluN1 subunit (Dang et al., 2006). To obtain specific ablation of *Grin1* in iMSNs, these mice were crossed with Adora2a-Cre (*A<sub>2A</sub>Cre*<sup>+/-</sup>) mice (Durieux et al., 2009; Durieux et al., 2012) expressing Cre recombinase selectively in iMSNs. Subsequently, to identify cells lacking GluN1, the *A<sub>2A</sub>Cre*<sup>+/-</sup>*Grin1*<sup>lox/lox</sup> mice were crossed with the Rosa26-LSL-YFP reporter line (Srinivas et al., 2001), allowing specific expression of YFP in the striatopallidal neurons and resulting in *A<sub>2A</sub>Cre*<sup>-/+</sup>*Grin1*<sup>lox/lox</sup>*Rosa26-YFP* (cKO) mice.

We first validated the specificity and efficiency of NMDA-R deletion using immunofluorescence, FACS, and reverse transcription qRT-PCR analyses. YFP was highly expressed within the striatum and the GPe, the target nucleus of striatopallidal neurons (Fig. 1A,B). No YFP staining was detected in the SNr, the target nucleus of the striatonigral neurons. Therefore, YFP expression appears to be restricted to the indirect pathway. Some scattered positive neurons were also found in the piriform cortex, the dentate gyrus of the hippocampus, and in the vestibular nucleus, as described previously (Durieux et al., 2009). Those structures, containing only few YFP<sup>+</sup> cells, do not project directly onto striatopallidal neurons (Wall et al., 2013); therefore, the basal ganglia related behavior is not likely to be influenced by this limited number of neurons. This indicates that the highest den-



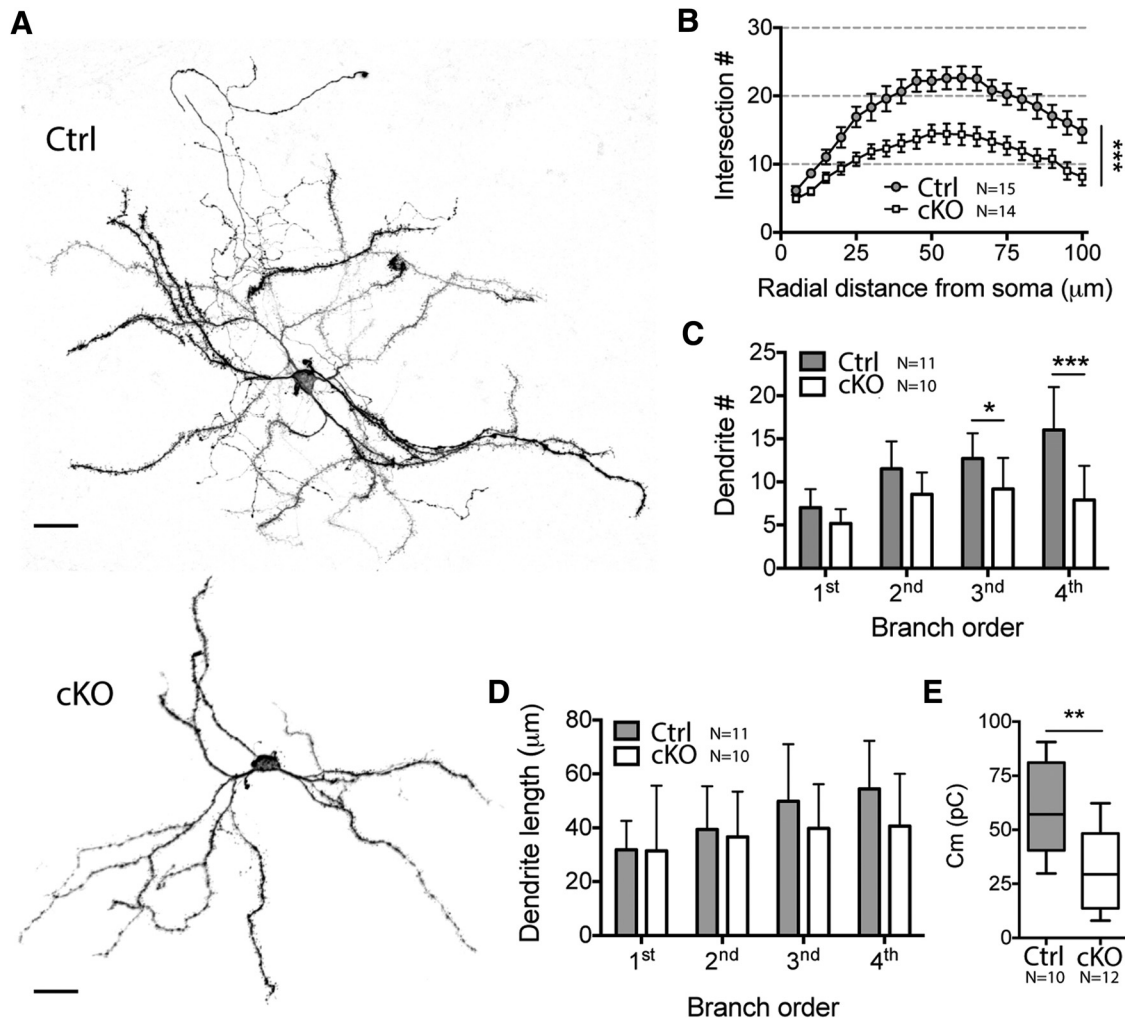
**Figure 1.** Confocal images, cell sorting coupled to qRT-PCR analysis, and voltage-clamp recordings of cKO and Ctrl ( $A_{2A}cre^{-/-}$  Rosa26-YFP) mouse brain slices showing the specificity and efficiency of striatopallidal inactivation of GluN1. **A**, Single YFP immunostaining of parasagittal tilted ( $10^\circ$ ) brain slice section showing the specific labeling of the striatum (Str) and the GPe, whereas the internal part of the globus pallidus (GPe), the subthalamic nucleus (STN), and the SNr are unstained. Targeted zone for **B** is shown with a red square. LV, Lateral ventricle; ac, anterior commissure; Opt, Optic tract. Scale bar,  $500 \mu\text{m}$ . **B**, YFP immunostaining showing labeled somata (arrowheads) only located in the caudate putamen (CPu), whereas GPe contains labeled nerve fibers. Scale bar,  $40 \mu\text{m}$ . **C**, Double immunostainings showing colocalization between YFP and enkephalin (Enk). Scale bars,  $10 \mu\text{m}$ . **D**, Examples of FACS purification of YFP neurons. Only the viable cells (Hoechst $^-$ ) were selected. Among those cells, the YFP $^+$ /Hoechst $^-$  corresponding to striatopallidal neurons (STP) and the YFP $^-$ /Hoechst $^-$  neurons, mostly composed of striatonigral neurons (STN) are purified. **E**, qRT-PCR analysis using RNA extracted from YFP $^+$  and YFP $^-$  sorted striatal neurons shows a strong reduction of GluN1 mRNA in cKO YFP $^+$  cells. **F**, Mean NMDA/AMPA ratios from Ctrl and cKO mice. **G**, Representative average evoked eEPSCs from control and cKO mice recorded at membrane potential  $-70 \text{ mV}$  and  $+40 \text{ mV}$ . Scale bar,  $50 \text{ mV}$  or  $50 \text{ ms}$ .

sity of YFP expression, that due to  $A_{2A}Cre$  expression, is localized in the striatum. Double-immunofluorescence labeling of YFP and enkephalin, a specific marker for striatopallidal neurons, confirmed selective Cre expression in striatopallidal neurons (Fig. 1C), as described previously (Durieux et al., 2009; Durieux et al., 2012; Ena et al., 2013). Finally, qRT-PCR was performed on cKO and on  $A_{2A}cre^{-/-}$  Rosa26-YFP control mice. Our analysis showed that FACS-purified YFP $^+$  cells of cKO mice (Fig. 1D) displayed a significant reduction in mRNA of the GluN1 subunit ( $n = 3$ ; Mean $_{\text{cKO STP}}$ :  $16.81 \pm 13.88\%$ ; Wilcoxon signed-rank test,  $p < 0.0001$ ; Fig. 1E).

To characterize the functional consequences of GluN1-specific deletion on basal synaptic transmission, we performed whole-cell patch-clamp recordings on acute striatal slices in combination with cortical input stimulation within the corpus callosum (Fig. 1G,H). The ratio between AMPA-R- and NMDA-R-mediated currents was obtained by recording eEPSCs in voltage clamp at  $-70 \text{ mV}$  and  $+40 \text{ mV}$  (Fig. 1K). As expected, the NMDA/AMPA ratio showed a dramatic decrease in the YFP $^+$  cells of cKO animals ( $n_{\text{Ctrl}} = 13$ ,  $n_{\text{cKO}} = 16$ ;  $1.40 \pm 0.19 \text{ pA}$  vs  $0.38 \pm 0.30 \text{ pA}$ ; Mann-Whitney test,  $p < 0.0001$ ; Fig. 1F), demonstrating that the reduction in GluN1 subunit expression leads to a functional decrease of NMDA signaling. Next, we investigated the cellular consequences of the functional decrease of NMDA signaling in iMSNs.

### Striatopallidal neurons lacking NMDA-R have a decreased dendritic arborization, spine density, and spontaneous excitatory synaptic currents

Previous reports have demonstrated that inactivation of GluN1 in both MSN populations altered their morphology (Beutler et al., 2011b). We performed a detailed morphological study of iMSNs in cKO and control mice (Fig. 2A). The dendritic morphological characteristics of iMSNs were first quantified by 3D Sholl analysis (Sholl, 1953), which counts intersections between the dendritic tree and imaginary spheres of increasing radius centered on the soma. By reporting the number of intersections as a function of the distance from the soma, this method showed that iMSNs of cKO mice have significantly less dendritic arborization ( $n_{\text{Ctrl}} = 15$ ,  $n_{\text{cKO}} = 14$ ; two-way ANOVA,  $p = 0.0004$ ; Fig. 2B). We also plotted the number and the length of dendritic branches against a hierarchy branch order. This analysis demonstrated that reduced dendritic arborization can be explained by a smaller number of dendritic segments ( $n_{\text{Ctrl}} = 10$ ,  $n_{\text{cKO}} = 11$ ; two-way ANOVA,  $p = 0.0013$ ; Sidak's multiple-comparisons test,  $p_{1st} = 0.2078$ ,  $p_{2nd} = 0.0830$ ,  $p_{3rd} = 0.0479$ ,  $p_{4th} < 0.0001$ ; Fig. 2C) rather than a reduction in dendritic length per branch order (two-way ANOVA,  $p = 0.2473$ ; Fig. 2D). Moreover, using whole-cell current-clamp recordings, we observed a smaller membrane capacitance ( $n_{\text{Ctrl}} = 10$ ,  $n_{\text{cKO}} = 12$ ;  $58.94 \pm 6.46 \text{ pF}$  vs  $31.71 \pm 5.17$ , unpaired  $t$  test,  $p = 0.0033$ ; Fig. 2E), indicating that iMSNs



**Figure 2.** NMDA-R-deficient iMSNs are smaller due to reduced dendritic arborization. **A**, Representative maximal intensity projections of deconvoluted Z-stack obtained from biocytin-filled control (Ctrl) and cKO iMSNs. Scale bar, 20  $\mu\text{m}$ . **B**, 3D Sholl analysis of reconstructed neurons showed a reduced dendritic arborization for cKO mice. **C**, Dendrite number in function of branch order is reduced in cKO iMSNs. **D**, Dendritic branch length in function of dendrite order is not different between the two groups. **E**, Whole-cell current-clamp recordings showed a smaller membrane capacitance for cKO iMSNs, indicating a smaller surface area.

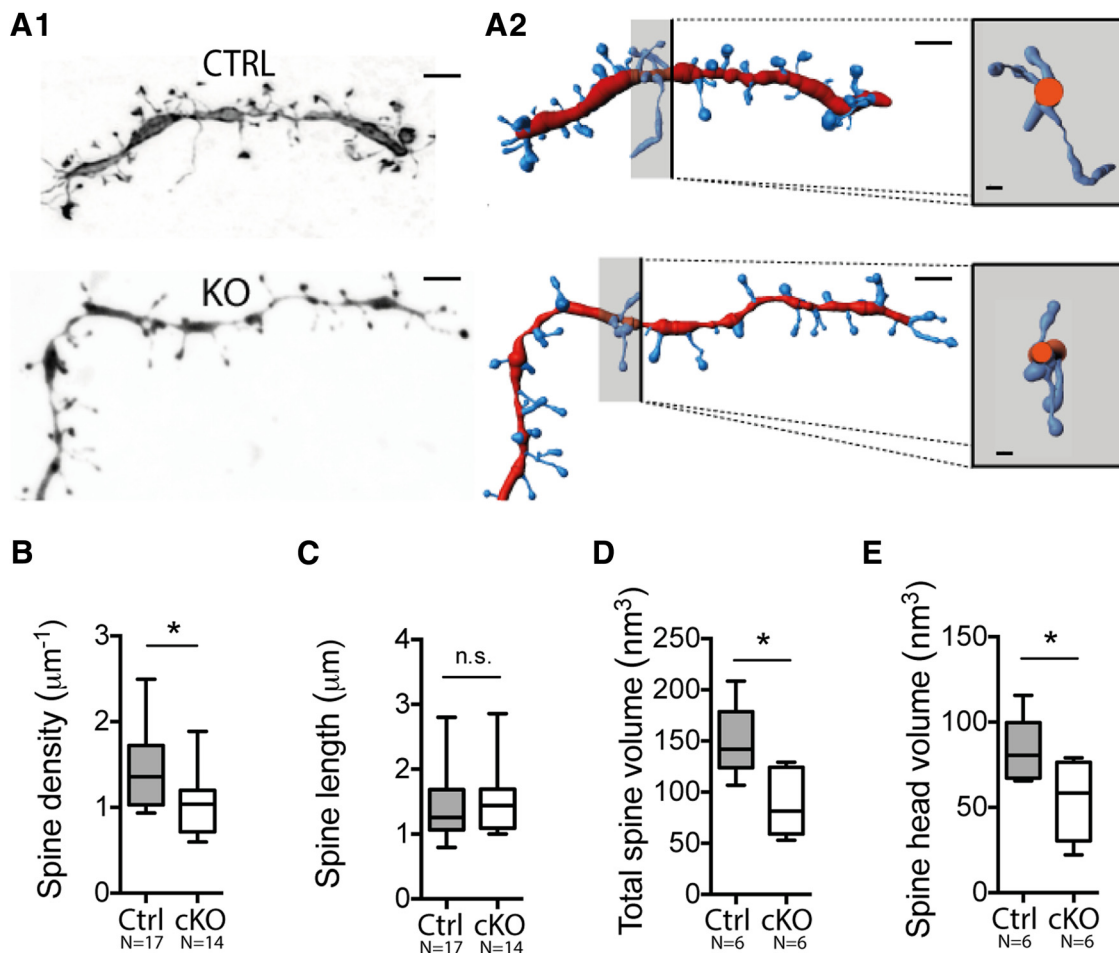
in cKO mice had a smaller membrane surface, which confirms morphological measurements of dendritic arborization.

In the striatum, dopaminergic terminals from SNc and glutamatergic cortical afferents converge onto the dendritic spines of MSN (Reynolds and Wickens, 2002), forming essential sites of information processing (Surmeier et al., 2007; Gardoni et al., 2010). Therefore, further quantifications were performed on the biocytin-filled dendritic endings in control and cKO neurons, followed by 3D reconstruction of higher-resolution image sets (Fig. 3A). Spine density was significantly lower in cKO striatopallidal neurons than in controls ( $n_{\text{Ctrl}} = 18$ ,  $n_{\text{cKO}} = 15$ ;  $1.463 \pm 0.119 \mu\text{m}^{-1}$  vs  $1.041 \pm 0.0902 \mu\text{m}^{-1}$ ; unpaired *t* test,  $p = 0.0109$ ; Fig. 3B). Spines that undergo subtle structural rearrangements, including changes in length and width, are proposed to reflect differences in synaptic potentiation processes. Spine length in cKO striatopallidal neurons was comparable to that of controls ( $1.427 \pm 0.128 \mu\text{m}$  vs  $1.519 \pm 0.128 \mu\text{m}$ ; Mann–Whitney test,  $p = 0.4581$ ; Fig. 3C), whereas the spine volume in cKO neurons was considerably smaller than that in controls ( $n_{\text{Ctrl}} = 6$ ,  $n_{\text{cKO}} = 6$ ;  $140.1 \pm 7.72 \text{ nm}^3$  vs  $94.93 \pm 13.28 \text{ nm}^3$ ; Mann–Whitney test,  $p = 0.0152$ ; Fig. 3D). This difference was due to a decrease of spine head volume in iMSN of cKO mice ( $84.07 \pm 7.70 \text{ nm}^3$  vs

$54.46 \pm 9.33 \text{ nm}^3$ ; Mann–Whitney test,  $p = 0.0411$ ; Fig. 3E). Interestingly, reconstructions also revealed that the mean dendrite diameter of cKO neurons was reduced compared with controls ( $n_{\text{Ctrl}} = 17$ ,  $n_{\text{cKO}} = 14$ ;  $0.805 \pm 0.053 \mu\text{m}$  vs  $0.567 \pm 0.051 \mu\text{m}$ ; unpaired *t* test,  $p = 0.0221$ ).

We also performed a functional assessment of the glutamate-mediated spontaneous synaptic activity onto cKO striatopallidal neurons by recording sEPSCs (Fig. 4A). The distribution plot of sEPSC amplitudes was shifted leftward in cKO neurons (Fig. 4B) as the sEPSC amplitudes were decreased ( $n_{\text{Ctrl}} = 13$ ,  $n_{\text{cKO}} = 12$ ;  $13.93 \pm 1.538 \text{ pA}$  vs  $9.155 \pm 0.7718 \text{ pA}$ ; unpaired *t* test,  $p = 0.0217$ ; Fig. 4C). However, the kinetic parameters of those currents were not modified (rise slope:  $2.766 \pm 0.217 \text{ pA/ms}$  vs  $2.899 \pm 0.1827 \text{ pA/ms}$ ; unpaired *t* test,  $p = 0.5594$ ; decay slope:  $-10.45 \pm 1.058 \text{ pA/ms}$  vs  $-12.03 \pm 0.8971 \text{ pA/ms}$ ; unpaired *t* test,  $p = 0.5767$ ; Fig. 4E), suggesting that there was no subunit change. In addition, the sEPSC frequency was also reduced in cKO neurons ( $1.942 \pm 0.1903 \text{ pA}$  vs  $0.9019 \pm 0.1187 \text{ pA}$ ; unpaired *t* test,  $p < 0.0001$ ; Fig. 4F). This frequency is thought to reflect the probability of vesicle release from the presynaptic terminal and the number of release sites. Further, because most





**Figure 3.** NMDA-R-deficient iMSNs have reduced spine density and dendrite diameter. **A**, 3D morphological analysis of iMSNs in Control (Ctrl) and cKO mice. **A1**, Representative maximal intensity projections of deconvoluted Z-stack obtained from biocytin-filled Ctrl and cKO iMSNs. Scale bar, 2  $\mu\text{m}$ . **A2**, Microvolumetric reconstructions of terminal dendrites (red) and spines (blue) using Imaris Filament. Insert scale bar, 0.4  $\mu\text{m}$ . Right, Dendritic section of dendrite endings from the section plan indicated in black. **B**, Mean spine density in dendrite endings is reduced in cKO mice. **C**, No difference in spine lengths was observed between the two groups. **D**, **E**, Spine volume of cKO cells (**D**) is reduced due to a reduced spine head volume (**E**).

central synapses contain only one release site each, frequency may reflect the number of spines (Kerchner and Nicoll, 2008). The sEPSC amplitude corresponds to the glutamate sensitivity at the postsynaptic membrane, which in turn reflects the number and conductance of its glutamate receptors (Kerchner and Nicoll, 2008). Therefore, in our cKO model, the reduction in sEPSC frequency could be explained by reduced spine density, whereas decreased sEPSC amplitude could be explained by a reduction in the number or conductance of receptors.

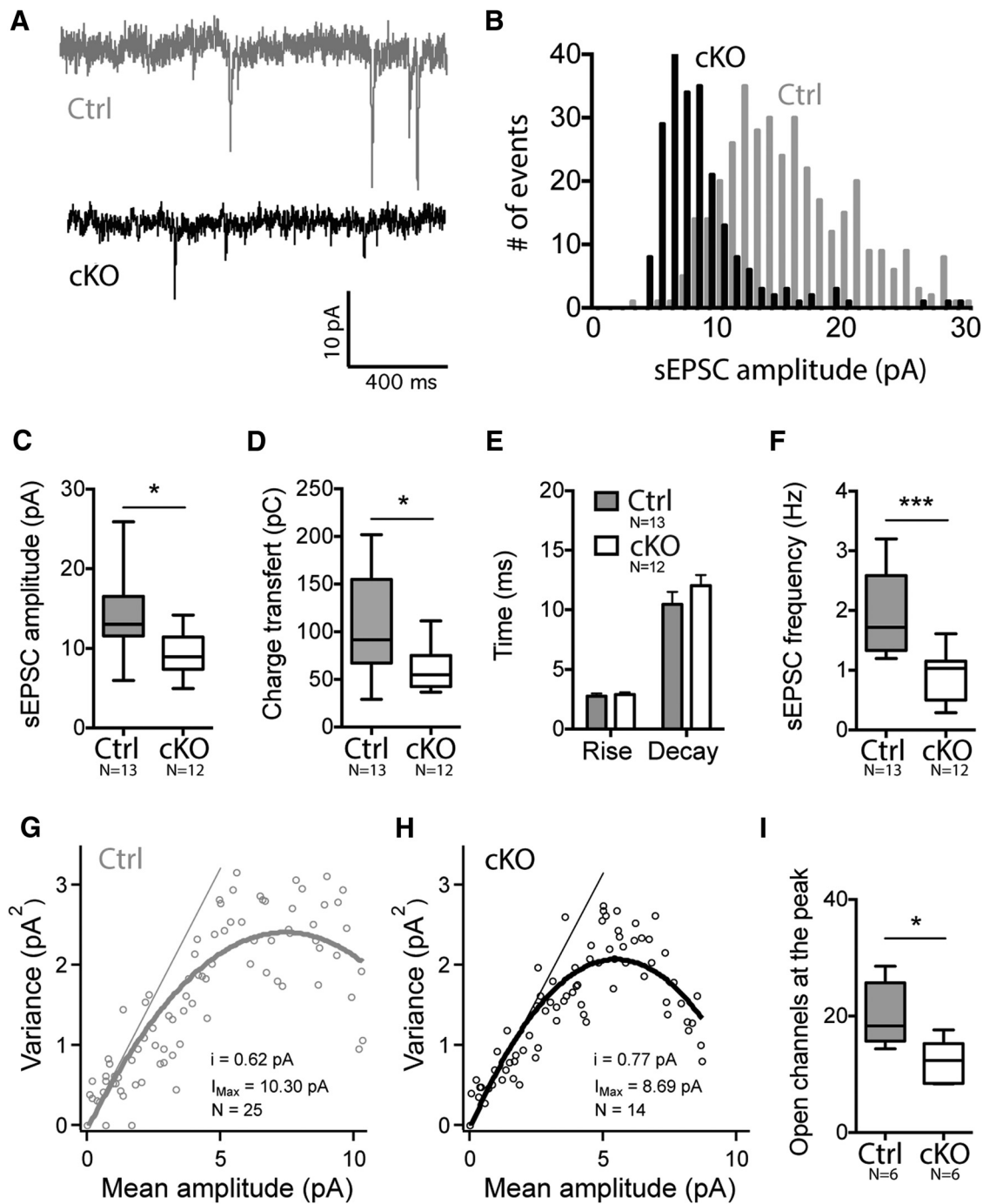
To investigate the potential alteration of AMPA-R number or conductance, we applied peak-scaled NSFA to sEPSC recordings. For each cell, a parabolic variance versus mean current curve was obtained (see individual samples in Fig. 4G,H). The calculated number of open channels was significantly lower in iMSNs from cKO mice ( $n_{\text{Ctrl}} = 6$ ,  $n_{\text{cKO}} = 6$ ;  $20.08 \pm 2.24$  vs  $12.29 \pm 1.51$  Mann–Whitney test,  $p = 0.0260$ ; Fig. 4I). However, unitary currents were not significantly different ( $0.77 \pm 0.09$  pA and  $0.79 \pm 0.08$  pA; Mann–Whitney test,  $p = 0.6753$ ). Therefore, it follows that the reduction in sEPSC amplitude induced by the deletion of NMDA-R could be attributed to a decrease in the number of opened receptor channels instead of a change in unitary currents.

The significant reduction in cortical excitatory inputs to cKO striatopallidal neurons could be partially compensated by a balanced reduction in auto-inhibitory feedback or other inhibitory

inputs to the iMSN. In other words, a reduction in inhibitory feedback may occur as a mechanism of homeostatic plasticity in the context of chronically reduced excitatory input. To test this possibility, sIPSCs in iMSNs were recorded with a high-chloride  $\text{Cs}^+$ -based intracellular solution. In addition, the membrane potential was held at  $-70$  mV in the presence of CNQX (10  $\mu\text{M}$ ) to block glutamatergic receptors. The inward  $\text{GABA}_A$ -mediated currents recorded did not differ between cKO and control mice in terms of frequency ( $n_{\text{Ctrl}} = 8$ ,  $n_{\text{cKO}} = 10$ ;  $1.07 \pm 0.25$  Hz vs  $1.35 \pm 0.28$  Hz; Mann–Whitney test,  $p = 0.6498$ ) or amplitude ( $36.37 \pm 7.45$  pA vs  $35.92 \pm 7.42$  pA; Mann–Whitney test,  $p = 0.9156$ ). In fact, the recording of sEPSCs and sIPSCs showed a shift in the ratio of excitation to inhibition in favor of inhibition in iMSNs in the cKO mice. These results show that the deletion of the NMDA-R in iMSNs only leads to a reduction in excitatory drive.

#### Removing NMDA-R from striatopallidal neurons induced homeostatic changes in intrinsic excitability

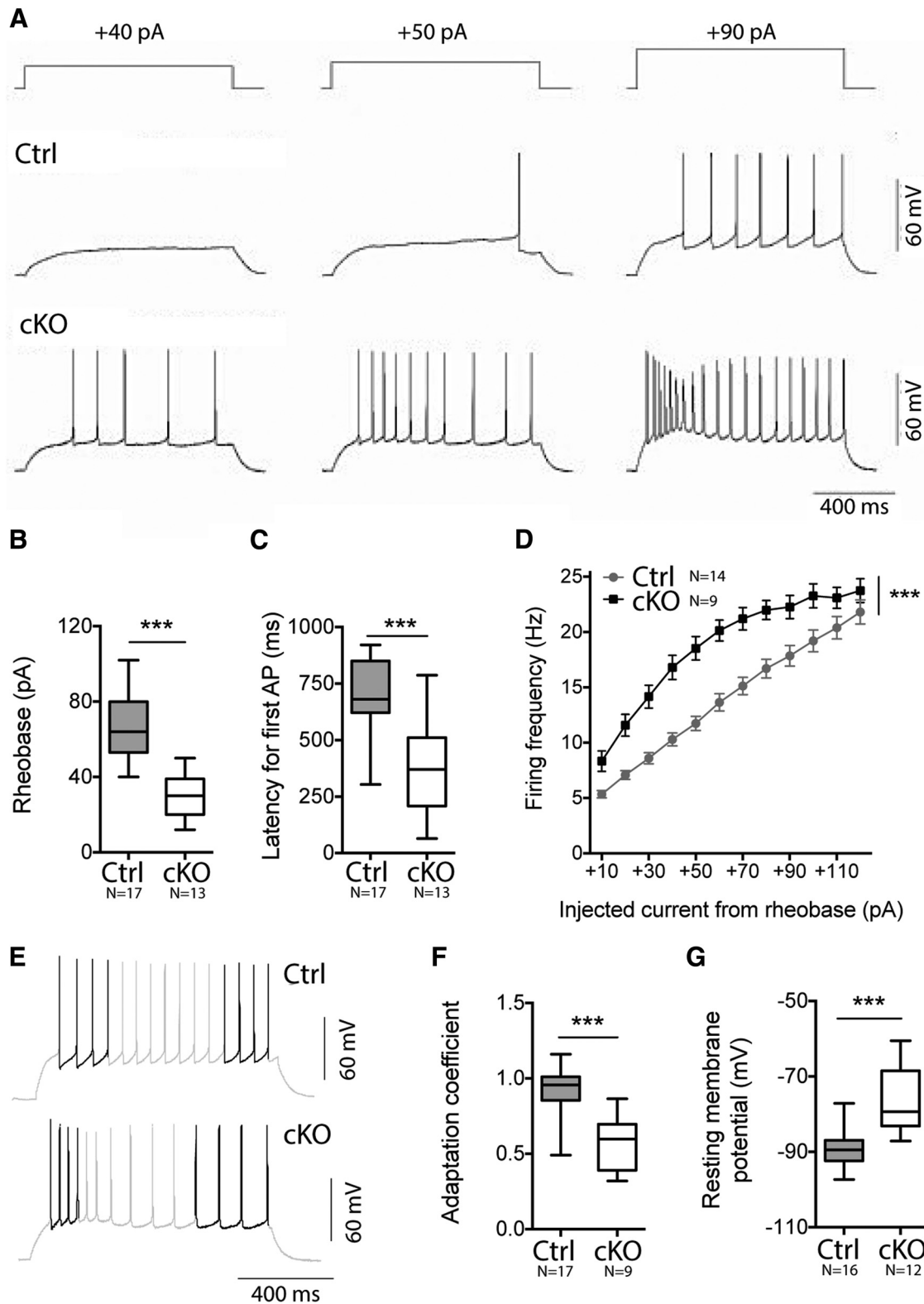
Patch-clamp recordings were performed in current-clamp configuration to investigate the electroresponsiveness of striatopallidal neurons. By measuring the voltage response to intrasomatic injection of depolarizing currents of increasing intensities, we first evaluated the active cell membrane properties (Fig. 5A). The rheobase current was significantly smaller in cKO neurons ( $n_{\text{Ctrl}} = 17$ ,  $n_{\text{cKO}} = 13$ ;  $67.65 \pm 4.03$  pA vs  $29.54 \pm 3.58$  pA;



**Figure 4.** Spontaneous EPSCs are decreased in NMDA-R-deficient iMSNs. *A*, Representative current traces of sEPSCs recorded from control and cKO mice. *B*, Distribution of sEPSC amplitudes in a cKO and a control cell showing a significant shift to the left for the cKO neuron. *C*, *D*, Summary graph showing a decrease of sEPSC amplitude in cKO mice (*C*), leading to a reduced charge transfer (*D*). *E*, There was no difference in kinetic parameters for either the rise slope or the decay slope. *F*, sEPSC frequency was decreased in cKO animals. *G*, *H*, Peak-scaled nonstationary fluctuation analysis was performed on sEPSC recordings and analysis of representative control and cKO cells is presented. The slope represents the unitary channel current. *I*, Estimated number of AMPA-R open at the peak is lower in cKO cells.

unpaired *t* test,  $p < 0.0001$ ; Fig. 5*B*). The difference in rheobase was not due to a difference in spike threshold ( $-47.36 \pm 2.339$  mV vs  $-48.56 \pm 4.163$  mV; unpaired *t* test,  $p = 0.8354$ ), which is in agreement with previously reported values (Cepeda et al., 2008). However, the reduced rheobase value can be explained by the observed increase in the cKO cell input resistance ( $n_{\text{Ctrl}} = 12$ ,  $n_{\text{cKO}} = 14$ ;  $274.2 \pm 40.67$  M $\Omega$  vs  $677.1 \pm 91.56$  M $\Omega$ , Mann–Whitney test,  $p < 0.0001$ ). The mean value of input resistance of the control cells is similar to those reported previously (Azdad et al., 2009; Marty and Spigelman, 2012). In addition, there was a

decrease in latency for first APs during current injection in cKO neurons ( $n_{\text{Ctrl}} = 17$ ,  $n_{\text{cKO}} = 13$ ;  $710.0 \pm 39.09$  ms vs  $375.9 \pm 54.04$  ms; unpaired *t* test,  $p < 0.0001$ ; Fig. 5*C*). The relationship between spike frequencies and injected current from the rheobase was determined at a basal membrane potential of  $-80$  mV and used to construct current–frequency plots (Fig. 5*D*). At low current intensities, the current–frequency plots were interpolated with a straight line and the slope of this line permitted us to evaluate the intrinsic excitability. We observed that the slope of the current frequency plot was steeper for cKO cells ( $n_{\text{Ctrl}} = 14$ ,



**Figure 5.** NMDA-R-deficient iMSNs show altered excitability. **A**, Traces resulting from 40, 50, and 90 pA current injections are shown for representative striatopallidal neurons in control (Ctrl) and cKO animals. **B**, **C**, Rheobase is smaller for cKO neurons (**B**) and latency for first APs during current injection is decreased in cKO neurons (**C**). **D**, Spiking frequency as a function of injected current (10 pA increment) plots. Firing frequency is overall higher in cKO animals. **E**, Representative traces from cKO and Ctrl striatopallidal neuron resulting from current injection inducing a firing rate close to 15 Hz (gray). The three first and three last ISIs within the same trace are shown in black. **F**, Comparison between the three first and three last ISIs within the same trace shows a higher adaptation of cKO neurons. **G**, Resting membrane potential is significantly more depolarized in cKO neurons.

$n_{\text{cKO}} = 9$ ;  $1.312 \pm 0.1188 \text{ Hz}\cdot\text{pA}^{-1}$  vs  $3.301 \pm 0.2497 \text{ Hz}\cdot\text{pA}^{-1}$ ,  $p = 0.0079$ ), indicating that the excitability of cKO cells was increased even after adjustments for the rheobase. At low frequencies, APs of control cells occurred in regular trains. However, a moderate spike frequency adaptation is usually observed. In contrast, cKO cells showed strong firing adaptation even at low firing rates. To quantify the extent of spiking adaptation, we computed the ratio of the ISIs measured within the four first and the four last APs of the current step injection, inducing a 15 Hz average firing rate (Fig. 5E). The adaptation coefficient of cKO cells was lower ( $0.91 \pm 0.04$  vs  $0.56 \pm 0.06$ ; Mann–Whitney test,  $p = 0.0003$ ; Fig. 5F), showing a higher adaptation. Finally, the resting membrane potential in cKO cells was more depolarized than in control cells ( $n_{\text{Ctrl}} = 16$ ,  $n_{\text{cKO}} = 12$ ;  $-89.60 \pm 1.234 \text{ mV}$  vs  $-76.79 \pm 2.51 \text{ mV}$ , unpaired  $t$  test,  $p = 0.0003$ ; Fig. 5G). In conclusion, we showed that reduced cortical drive to iMSNs is partially balanced in cKO mice by an augmentation in cellular excitability.

### NMDA-R is required in memory processes involved in habituation

Given that structural elements that mediate both chemical and structural synaptic plasticity regulate the connectivity of the cortex to the striatum and that the changes in spine density as well as in sEPSC frequency and amplitude positively correlate with cognitive performance, these changes might likely contribute to change in behaviors of these cKO mice.

We have demonstrated previously the involvement of striatopallidal neurons in locomotor and exploratory behaviors (Durieux et al., 2009; Durieux et al., 2012). Therefore, here, we first evaluated the consequences of GluN1 deletion in iMSNs on those behaviors by comparing cKO and  $A_{2A}^{\text{cre}}^{-/-}$  Grin<sup>lox/lox</sup> Rosa26-YFP control littermates in an open-field task for 3 consecutive days. There were no differences in exploratory behavior on the first day between control and cKO mice ( $n_{\text{Ctrl}} = 21$ ,  $n_{\text{cKO}} = 25$ ; Sidak's multiple-comparisons test,  $p > 0.05$ ; Fig. 6A). In control mice, repeated exposure to the same environment across 3 consecutive days induced habituation, as expected (Thompson and Spencer, 1966). A significant difference was detected in open-field habituation over time (two-way ANOVA,  $p = 0.0027$ ; Fig. 6A) between the cKO and control mice, with cKO mice showing greater locomotor activity on day 3 (Sidak's multiple-comparisons test,  $p < 0.001$ ). To further assess alterations in habituation behavior, both groups were tested in a spontaneous object recognition task. In this task, mice are introduced to an open field with two similar objects placed inside and allowed to explore the objects (Le Merrer et al., 2013). In the initial trial, with the novel objects, control and cKO mice did not exhibit any difference in object exploration measured by the number of interactions with both objects ( $n_{\text{Ctrl}} = 22$ ,  $n_{\text{cKO}} = 24$ ; Mann–Whitney test,  $p = 0.7155$ ). In other words, cKO mice did not show any alteration in novelty-induced exploration of the objects, confirming the results obtained in the open-field task. The mice were subsequently returned to the test apparatus, which contained one familiar object that had been presented in the first trial and one novel object. Control mice interacted more with the novel object (ratio paired  $t$  test,  $p = 0.0380$ ; Fig. 6B). Conversely, cKO mice did not show any detectable preference in exploration of either object (ratio paired  $t$  test,  $p = 0.2329$ ; Fig. 6B). Together, these results suggest that the habituation to novel spaces and objects is mediated by NMDA-R in iMSNs. However, some scattered YFP<sup>+</sup> neurons were also found in the dentate gyrus of the hippocampus. Because only a few cells displayed YFP staining and

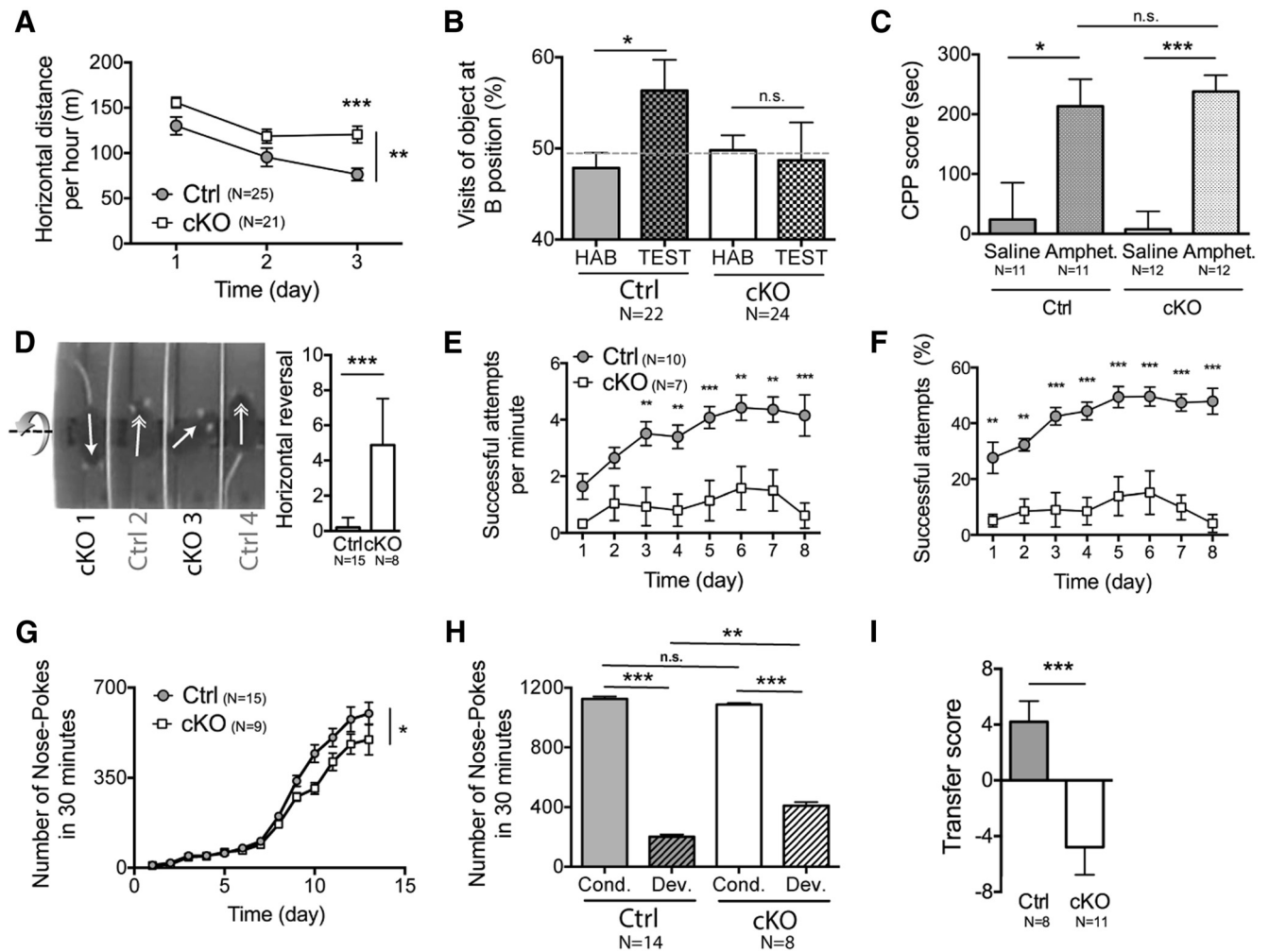
NMDA-R functional deletion was not confirmed in these cells, we suggest that the reduced novel object habituation is likely due to NMDA-R in iMSNs.

To exclude potential confounding factors such as altered levels of anxiety-related behaviors or differences in general locomotor activity, we first performed a light and dark box test, a behavioral task used to study anxiety-related behavior (Bourin and Hascoët, 2003). No significant difference was found between groups for the time spent in either box ( $n_{\text{Ctrl}} = 19$ ,  $n_{\text{cKO}} = 21$ ; Mann–Whitney test,  $p = 0.3913$ ). Second, we measured innate anxiety levels by introducing the mice to a brightly lit open field. Here also, no differences were found in this task, assessed by estimating the time spent in the central zone ( $n_{\text{Ctrl}} = 19$ ,  $n_{\text{cKO}} = 27$ ; Mann–Whitney test,  $p = 0.1666$ ). We therefore conclude that cKO mice do not present alterations in anxiety-related behavior. We also investigated general locomotor activity using home cage tracking. Again, we did not find a significant difference between groups in the total horizontal distance traveled ( $n_{\text{Ctrl}} = 22$ ,  $n_{\text{cKO}} = 24$ ; unpaired  $t$  test,  $p = 0.1446$ ). To further validate the results of decreased habituation found in the cKO mice during the novel object recognition task, the discriminating abilities of the mice should be functional. We performed an additional experiment, the CPP task. CPP occurs when a subject comes to prefer one place more than another because the preferred location has been paired previously with a rewarding event. In this paradigm, the rewarding properties of amphetamine were paired with initially neutral visual and tactile cues on the walls of an apparatus compartment (dots or lines) (Durieux et al., 2009). After amphetamine conditioning, both genotypes showed a preference for the amphetamine-paired compartment ( $n_{\text{Ctrl\_SALIN}} = 11$ ,  $n_{\text{Ctrl\_AMPHET.}} = 11$ ,  $p_{\text{Ctrl}} < 0.0001$ ;  $n_{\text{cKO\_SALIN}} = 12$ ,  $n_{\text{cKO\_AMPHET.}} = 12$ ,  $p_{\text{cKO}} < 0.0381$ ; Fig. 5C) without genotype differences ( $n_{\text{Ctrl\_AMPHET.}} = 11$ ,  $n_{\text{cKO\_AMPHET.}} = 12$ ,  $p = 0.6468$ ). This experiment showed that cKO mice are able to use and remember visual and tactile cues; therefore, the changes that we observed during the novel object recognition task were not due to deficits in visual or tactile memory, but rather were more likely due to the altered habituation. In addition, our data showed that the iMSN NMDA-R is not implicated in the rewarding effect of amphetamine, whereas previous reports have demonstrated the role of dMSN NMDA-R in the amphetamine-mediated reward effect in CPP (Beutler et al., 2011). Therefore, the NMDA-R of the direct pathway, and not of the indirect pathway, seems to be implicated in the rewarding effect of amphetamine.

Together, our data provide evidence that the deletion of iMSN NMDA-R induces alteration of habituation phenotype in cKO mice. This phenotype occurs independently of their anxiety-related behavior, general locomotor activity levels, or visual memory.

### Striatopallidal NMDA-R deletion alters action selection or fine motor learning

The striatum also plays a key role in motor learning, enabling the performance of many types of actions from simple movements to more complicated organized behaviors, including multiple distinct actions performed in serial order (Rothwell et al., 2015). Such motor learning is regulated by several synaptic inputs to both MSN pathways. Because previous studies indicated that striatal NMDA-Rs are required in motor learning (Dang et al., 2006; Beutler et al., 2011b; Eldred and Palmiter, 2013), we determined the specific role of iMSN NMDA-Rs in simple motor learning and more complex behavioral acquisition. Previous studies using the accelerating rotarod tasks indicated that striatal NMDA-R is



**Figure 6.** Habituation and learning are altered in mice depleted of NMDA-R in iMSNs. **A**, One hour open-field activity analyzed in cKO and  $A_{2A}^{Cre-/-}Grin^{lox/lox}Rosa26-YFP$  (control, Ctrl) mice shows an increase in the total horizontal distance run by cKO mice at day 3. **B**, Habituation is impaired in cKO mice during the novel object recognition task (HAB, habituation phase; TEST, test phase). **C**, CPP is not altered in cKO mice, confirming that the visual memory of the cKO is functional. **D**, During the accelerating rotarod task, cKO mice (i.e., cKO 1) reversed horizontally ( $180^\circ$ ) and continued the walk backwards, in contrast to control mice, which kept the same walk orientation. Arrows indicate the walking orientation of each mouse. The number of horizontal reversals ( $180^\circ$ ) accomplished by cKO mice is significantly higher than in Ctrl. **E**, **F**, The average speed of success (**F**) as well as the average success rates over time from the same group of mice presented in **E** during the training period of the single-pellet reaching task are significantly higher for the Ctrl mice than for the cKO mice. The cKO mice are not able to learn the task because there is no effect of the time on their speed of success or their rate of success. **G**, Mice were trained in a Skinner box to do an NP to obtain a food pellet with a first period of fixed ratio (starting with 1:1, ending with 10:1) followed by a variable ratio (starting with  $\pm 10:1$ , ending with  $\pm 20:1$ ) schedule. Conditioned instrumental reinforcement with a fixed ratio schedule reveals impaired attribution of NP to a reward in cKO animals during this initial learning phase (days 1–13). **H**, After 25 d of learning, cKO and Ctrl mice reached a plateau for 3 d (Cond.), which was not different between the two groups, whereas, afterward, the devaluation was significantly lower for cKO mice because the NP level was significantly higher. **I**, During the PIT, the Ctrl mice exhibited a significantly higher lever press rate when the stimulus predicted the same outcome as the response than when the stimulus predicted a different outcome, whereas cKO mice displayed unspecific lever pressing.

required for motor skill learning (Dang et al., 2006; Beutler et al., 2011b; Eldred and Palmiter, 2013). To evaluate specifically the involvement of iMSN NMDA-R in these behaviors, mice were trained to perform two motor skill learning tasks: an accelerating rotating rod and a run-away test (Bearzatto et al., 2006). Across training sessions, the two genotypes improved their motor performance similarly in the two learning tasks ( $n_{Ctrl} = 16$ ,  $n_{cKO} = 9$ ; Two-way ANOVA,  $p_{RunAway} = 0.2636$ ,  $p_{Rotarod} = 0.1706$ ). Those results demonstrated that iMSN NMDA-Rs are not required for simple motor learning. Interestingly, during the accelerating rotarod task, cKO mice performed frequent horizontal reversals (Mann–Whitney test,  $p < 0.0001$ ; Fig. 6D), which were absent in the control group (see also Movie 1). This result indicates that iMSN NMDA-R deletion may change the process of action selection within the BG. To investigate whether this behavior reflects alterations in action selection, we performed a more

sophisticated motor-skill learning paradigm: the single-pellet reaching task (Whishaw et al., 1986; Faraji and Metz, 2007; Sloan et al., 2015). This paradigm requires a precise and coordinated sequence of movements in serial order of the forelimb as mice reach for and retrieve objects through a narrow slit. The speed and accuracy of the successful movements were quantified across daily sessions. The average speed and the average success rate over time during the training period of the single-pellet reaching task were significantly higher for the control mice compared with cKO mice ( $n_{Ctrl} = 10$ ,  $n_{cKO} = 7$ ; two-way ANOVA,  $p_{speed} = 0.0009$ ,  $p_{rate} < 0.0001$ ; Fig. 6E,F; see also Movie 2). In fact, the cKO mice were unable to learn the task because there was no effect of the time on their speed of success (one-way ANOVA,  $p = 0.1082$ ; Fig. 6E) or their rate of success (one-way ANOVA,  $p = 0.1683$ ; Fig. 6F). However, that cKO mice failed to learn the task was due neither to

reduced forelimb grip strength ( $n_{\text{Ctrl}} = 12$ ,  $n_{\text{cKO}} = 10$ ; unpaired  $t$  test,  $p = 0.1266$ ) nor to a lack of interest in reaching the pellet because the number of attempts was not significantly different between groups ( $n_{\text{Ctrl}} = 10$ ,  $n_{\text{cKO}} = 7$ ; two-way ANOVA,  $p = 0.0822$ , attempts per minute mean for all sessions: Control =  $7.98 \pm 0.43$  cKO =  $9.54 \pm 1.12$ ).

### Initial phase of instrumental learning is delayed and PIT is lacking in NMDA-R striatopallidal-deficient mice

Due to the involvement of the striatum in reward-directed behavior (Balleine et al., 2009; Horvitz, 2009) and the NMDA-R in instrumental (Yin et al., 2005) and Pavlovian conditioning (Parker et al., 2011), we characterized the operant behavior, Pavlovian conditioning, and its interaction in our cKO mice. Food-deprived mice were exposed to an instrumental protocol in which they had to associate NP action with food outcome (Piccart et al., 2011). We showed that mice in both groups increased NP rates during the instrumental task. However, cKO animals performed significantly fewer NPs during the initial instrumental learning phase ( $n_{\text{Ctrl}} = 15$ ,  $n_{\text{cKO}} = 9$ ; two-way ANOVA,  $p = 0.0381$ ; Fig. 6G). The decreased appetitive response rates of cKO mice during the first training schedules cannot be related to performance or motor defects because these mice were able to reach higher NP rates in later stages of the training and reached the same performance as controls after 1 month of training ( $n_{\text{CT}} = 14$ ,  $n_{\text{cKO}} = 8$ , unpaired  $t$  test,  $p = 0.7804$ ; Fig. 6H), suggesting that the initial delay in NPs may be due to a learning impairment.

In goal-directed behavior, changes in the value of the expected outcome lead to changes in the rate of response to receive the expected outcome, and goal-directed behaviors are sensitive to “goal devaluation” (Balleine and Dickinson, 1998). To evaluate this process, the food restriction diet was stopped to induce outcome devaluation. Both mice groups showed a decrease in NPs ( $n_{\text{CT}} = 14$ ,  $n_{\text{cKO}} = 8$ , paired  $t$  test,  $p_{\text{Ctrl}} < 0.0001$ ,  $p_{\text{cKO}} < 0.0001$ ), confirming that the behavior was sensitive to devaluation. However, this devaluation was lower for cKO mice (unpaired  $t$  test,  $p = 0.0067$ ; Fig. 6H), suggesting that the inactivation of GluN1 in striatopallidal neurons leads to a slower adaptation.

To further characterize the behavioral alteration that indicates either learning or motivation deficits, we performed a PIT paradigm (Bertran-Gonzalez et al., 2013) to distinguish between these two aspects. The first step of PIT uses an appetitive Pavlovian conditioning procedure in which two auditory cues (either a tone noise or a clicker) are paired with the delivery of either a vanilla- or chocolate-flavored food pellet. Over the course of training, the mice learned to approach the location of the pellet's delivery selectively and to associate pellet flavors with specific auditory stimuli. Our data show that cKO and control mice had similar rates of acquisition and performance ( $n_{\text{CT}} = 11$ ,  $n_{\text{cKO}} = 12$ ; two-way ANOVA,  $p = 0.8176$ ), suggesting that the phenotype shown by cKO is not due to altered motivation.

Acquisition curves alone must be interpreted with caution (Gallistel et al., 2004), so we also investigated the motivational behavior of these cKO mice. Pavlovian conditioned responses, including those reflecting the activation of central motivational states, can exert a strong influence on the performance of instrumental actions (Lovibond, 1983; Holland, 2004; Yin et al., 2008). For instance, a conditioned stimulus that predicts food delivery independently can increase instrumental responding for the same food. This effect is commonly studied using the PIT paradigm. In PIT, animals received Pavlovian training phase first, as shown above, followed by the instrumental training phases. In both phases, they learned to associate a cue with food and to press

a lever for the same food, respectively. Then, on probe trials, the cue was presented with the lever available and the elevation of response rates in the presence of the conditioned stimulus was measured for both mouse groups. Only control mice selected the action previously associated with the outcome predicted by the stimulus more than the other action; cKO mice failed the transfer ( $n_{\text{Ctrl}} = 8$ ,  $n_{\text{cKO}} = 11$ ; Mann–Whitney test,  $p = 0.0008$ ; Fig. 6I). This provides evidence that iMSN NMDA-Rs are important for associative learning.

### Discussion

Previous studies have shown that striatal NMDA-R plays an important role in locomotor learning, conditioning behaviors, and cognitive flexibility (Dang et al., 2006; Ohtsuka et al., 2008; Jin and Costa, 2010; Parker et al., 2011; Beutler et al., 2011b; Eldred and Palmiter, 2013; Darvas and Palmiter, 2015). Here, for the first time to our knowledge, we address specifically the involvement of iMSN NMDA-Rs in these behaviors. We generated a genetic model allowing specific GluN1 ablation in iMSNs. Using FACS, qRT-PCR, and whole-cell patch-clamp recordings, we confirmed the selective ablation in iMSNs (Durieux et al., 2009, 2012; Ena et al., 2013) by a drastic reduction of GluN1 mRNA and functional inhibition of the NMDA-R current in iMSNs.

At the cellular level, we demonstrate that the loss of GluN1 in iMSNs alters dendrite arborization and synaptic connectivity. Indeed, we observed a decrease in dendritic complexity, spine density, and morphology. As already reported, the NMDA-R deletion in both MSN populations resulted in shorter dendrites and a trend toward fewer branches for each dendrite order (Beutler et al., 2011b). In addition, our findings that the selective deletion of the NMDA-R in iMSNs produces dendritic atrophy are consistent with the fact that dendritic development is largely regulated by glutamate-dependent activity via NMDA-Rs (Sin et al., 2002; Lee et al., 2005; Ewald et al., 2008; Balu et al., 2012; Andreae and Burrone, 2015).

At the synaptic level, the capability of dendritic spines to rapidly respond structurally to transmembrane signals means that they play an important role in learning and memory (Rosi et al., 2008; Chen et al., 2010). Using micromorphometric reconstructions of dendritic endings of cKO neurons, we found a lower spine density and a decrease in the spine volume. Concomitantly, recent data demonstrated that ionotropic glutamate receptor activation is required for spine and synapse formation in iMSNs (Thibault et al., 2015). In addition, we show that decreased dendritic spine density on iMSNs is associated with a loss of functional synaptic connectivity of iMSNs because sEPSC amplitude and frequency were decreased in cKO animals. The reduction in sEPSC frequency in cKO iMSNs can be explained by the reduction in spine density, whereas the decrease of sEPSC amplitude is due to a reduction in number of its AMPA-Rs associated with smaller head spines. This evidence agrees with previous data in hippocampal CA1 neurons showing that spine enlargement is associated with an increase in AMPA-R-mediated currents and that it is dependent on NMDA-Rs (Matsuzaki et al., 2001). Together, our observations suggest that deficiency in NMDA-R signaling leads to changes in spine density and morphology, as well as EPSC frequency and amplitude. These results emphasize the importance of NMDA-R for the maintenance of synaptic weights and connectivity as well as structure in the iMSN synapses.

The decreased cortical excitatory input to cKO striatopallidal neurons is partially balanced by an increased output of the iMSNs. Our observations are consistent with several studies showing that NMDA-R deletion induces persistent changes in

intrinsic excitability. Fan et al. (2005) showed that inactivation of NMDA-Rs enhances the excitability of hippocampal CA3 neurons. Therefore, the occurrence in cKO neurons of such augmentation in cellular excitability is likely due to a negative feedback mechanism that normalizes neuronal output firing despite a reduction in synaptic inputs, thus promoting network stability. This plasticity of intrinsic cellular excitability could be envisaged as an attempt at homeostatic plasticity in the context of reduced excitatory input. Homeostatic regulation of neuronal activity has been shown previously to occur in MSNs during dopamine depletion (Azdad et al., 2009). However, the postnatal NMDA-R deletion may have led to circuit adaptations. Indeed, a recent study has shown that the balance of activity between dMSNs and iMSNs has a direct effect on excitatory innervation of the striatum (Kozorovitskiy et al., 2012). Therefore, the excitatory inputs to both direct and indirect pathways could be altered in GluN1 iMSN KO mice. However, in our case, the decreased activity in iMSNs due to NMDA-R deletion decreases the spine number, whereas the decreased activity in iMSNs due to vesicular GABA transporter deletion or DREADD inactivation increases the spine number (Kozorovitskiy et al., 2012).

The factors that mediate both chemical and structural synaptic plasticity also regulate the connectivity of the cortex to the striatum. Changes in spine morphology and density, as well as in EPSC frequency and amplitude, are consistently found to correlate with cognitive performance. Therefore, we might expect differences in KO spine and EPSC properties that are likely to correspond with significant behavioral changes in our cKO mice, as discussed below.

NMDA-R loss in iMSNs induces impairments of environmental and object habituation without alterations in novelty-induced exploration (Eisenstein and Eisenstein, 2006; Salomons et al., 2010). Interestingly, the selective ablation of iMSNs in the dorsomedial part of the striatum (DMS), induced an incremental kinetics in the open field and an increase of the exploration behavior in a decoupled delayed spontaneous object recognition task, indicating an alteration of the habituation process (Durieux et al., 2012).

The striatum also plays a key role in motor learning, mediating the performance of many types of actions, from simple movements to more complicated serial-order behaviors (Rothwell et al., 2015). iMSN NMDA-R is not required for simple motor learning, but its deletion may change the process of action selection within the BG. Interestingly, our results showed that iMSN NMDA-Rs regulate the learning of serial-order tasks and their deletion prevents normal action selection during behavioral tasks without changes in movement initiation and induces alteration of complicated motor learning. This alteration in action selection or fine skill learning is probably due to a lack of inhibition between competing motor programs. During normal behavior, coactivation of both MSN subpopulations is important for action selection, with dMSNs promoting the appropriate motor program and iMSNs inhibiting competing motor programs (Cui et al., 2013). Inhibiting or ablating most iMSNs abolished suppression of inappropriate motor programs and induced hyperkinesia (Durieux et al., 2009, 2012; Bateup et al., 2010). Moreover, Yin et al. (2009) found that iMSNs of the dorsolateral striatum showed an increase in synaptic strength in comparison with dMSNs after motor rotarod training. This increase in synaptic strength in iMSNs resulting from learning was accompanied by an increase in NMDA and AMPA currents. Altogether, these results highlight the requirement of iMSNs as well as the contribution of NMDA-Rs for normal acquisition of motor learning.

The BG have a critical role in goal-directed behavior (Andrzejewski et al., 2004; Schultz, 2004; Horvitz, 2009). Jin and Costa (2010) showed that operant conditioning in striatal NMDA-R cKO mice

was impaired. In contrast, Beutler et al. (2011b) showed that this conditioning was completely absent. Importantly, we show that iMSN NMDA-R-deficient mice had a delay in the initial learning phase, but performed similarly to control mice in later stages of training. These results confirm the involvement of iMSNs in the initial learning phase (Durieux et al., 2012). In a recent study, Shan et al. (2014) demonstrated that acquisition of a goal-directed behavior induced plasticity in both dMSNs and iMSNs, specifically in the posterior DMS, but in opposing directions, with a decrease of AMPA/NMDA ratio after learning in iMSNs and an increase of this ratio in dMSNs. First, these results indicate the increased contribution of NMDA-R in iMSNs for the normal acquisition of a goal-directed behavior and support our findings that the inactivation of GluN1 in iMSNs prevents normal goal-directed learning. Second, goal-directed behaviors are sensitive to “goal devaluation” (Balleine and Dickinson, 1998) and our work reveals the role of iMSN NMDA-Rs in the devaluation process.

Our results could be indicative of either a learning deficit or a decrease in motivation, so we investigated the motivational behavior of these cKO mice. Pavlovian conditioned responses, including those reflecting the activation of central motivational states, can exert a strong influence on the performance of instrumental actions (Lovibond, 1983; Holland, 2004). Our data showed that selective NMDA-R deletion in iMSNs completely abolishes Pavlovian cues from augmenting instrumental performance without abolishing Pavlovian or instrumental learning. This suggests that iMSN GluN1 deletion blocks the outcome-selective interaction of Pavlovian and instrumental learning systems.

Together, our data suggest that the NMDA-R deletion leads to the scaling down of structural and functional inputs at corticostriatal synapses, weakening synaptic strength in iMSNs. Weakened synaptic strength alters input integration that leads to the imbalance between direct and indirect pathways and dysfunction in BG output. The reduced cortical drive to iMSNs was partially balanced in these cKO mice by an increase in cellular excitability to normalize neuronal output and promote network stability. Synaptic plasticity and modulation, mediated by NMDA-R, is known to explain enduring changes of synaptic weights in microcircuits important for learning and memory storage (Malenka and Bear, 2004; Barbour et al., 2007; Aceves et al., 2011). Consistent with this view, our cKO mice displayed severe phenotypic alterations in behavior. We propose that the NMDA-R deletion in iMSNs causes a scale-down of the synaptic strength in the indirect pathway, leading to imbalanced input integration between the two striatofugal pathways. This imbalance resulted in robust neurological deficits in functions specifically orchestrated by BG, making the cKO mice less sensitive to changes. In summary, our experiments have identified the highly important function of iMSN NMDA-Rs in the learning and memory of procedures and habits, action selection, and the coordination and storage of motor programs. These receptors are essential for the regulation of learning and adaptation to environment-based experience.

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