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## Randomized Double-blind Placebo-controlled Trial of Celecoxib for Oral Mucositis in Patients Receiving Radiation Therapy for Head and Neck Cancer

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### Abstract

**Objectives**—Oral mucositis (OM) is a painful complication of radiation therapy (RT) for head and neck cancer (H&NC). OM can compromise nutrition, require opioid analgesics and hospitalization for pain control, and lead to treatment interruptions. Based on the role of inflammatory pathways in OM pathogenesis, we investigated effect of cyclooxygenase-2 (COX-2) inhibition on severity and morbidity of OM.

**Methods**—In this double-blind placebo-controlled trial, 40 H&NC patients were randomized to daily use of 200 mg celecoxib or placebo, for the duration of RT. Clinical OM, normalcy of diet, pain scores, and analgesic use were assessed 2–3 times/week by blinded investigators during the 6–7 week RT period, using validated scales.

**Results**—Twenty subjects were randomized to each arm, which were similar with respect to tumor location, radiation dose, and concomitant chemotherapy. In both arms, mucositis and pain scores increased over course of RT. Intention-to-treat analyses demonstrated no significant difference in mean Oral Mucositis Assessment Scale (OMAS) scores at 5000 cGy (primary endpoint). There was also no difference between the two arms in mean OMAS scores over the period of RT, mean worst pain scores, mean normalcy of diet scores, or mean daily opioid

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#### Conflict of Interest

None of the authors reported any conflicts of interest relevant to this work.

#### Drugs and Devices

Celebrex (celecoxib) capsules and placebo capsules, supplied by Pfizer, Inc, New York, USA.

medication use in IV morphine equivalents. There were no adverse events attributed to celecoxib use.

**Conclusions**—Daily use of a selective COX-2 inhibitor, during period of RT for H&NC, did not reduce the severity of clinical OM, pain, dietary compromise or use of opioid analgesics. These findings also have implications for celecoxib use in H&NC treatment regimens (NCT00698204).

### Keywords

Oral Mucositis; Stomatitis; Radiation Therapy; Head and Neck Cancer; Celecoxib; Cyclooxygenase

## Introduction

Oral mucositis (OM) refers to inflammatory, erosive/ulcerative oral mucosal lesions caused by chemotherapy or radiation therapy (RT). Patients receiving > 5000 cGy RT for head and neck cancer (H&NC) are more likely to develop OM [1]. In this population, OM typically causes severe pain, requiring use of systemic opioids. The painful ulcerations compromise dietary intake and cause weight loss [2]. Patients may need hospitalization for pain control and nutritional support, including gastrostomy tube placement [3, 4]. OM significantly reduces quality of life and increases healthcare costs [2]. Furthermore, severe OM can necessitate unplanned RT breaks [1, 3], potentially affecting cancer prognosis [5]. Thus, OM is a major dose-limiting toxicity of head and neck RT (H&NRT).

The inflammatory response to RT plays an important role in the pathogenesis of OM [6]. In a hamster cheek-pouch model of radiation mucositis, mRNA levels of tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) and interleukin-1 $\beta$  (IL-1 $\beta$ ) in oral mucosal tissue correlated with OM severity [7]. TNF- $\alpha$  and IL-1 $\beta$  induce cyclooxygenase-2 (COX-2), a key enzyme in the inflammatory process, responsible for increased production of pro-inflammatory prostanoids. These prostanoids, notably prostaglandin E<sub>2</sub> (PGE<sub>2</sub>) and prostacyclin (PGI<sub>2</sub>), mediate tissue injury and pain.

In patients receiving high-dose chemotherapy, mucositis pain scores were correlated with tissue levels of COX-1 and PGE synthase, and salivary prostaglandins [8]. In another human study, chemotherapy administration caused significant increases in Nuclear Factor kappa B (NF $\kappa$ B) and COX-2 in oral mucosa [9]. In a hamster radiation mucositis model, radiation induced a dramatic increase in COX-2 expression, which paralleled mucositis severity [10]. A similar finding was reported in a rat radiation mucositis model [11]. Thus, COX-2 inhibition appears to be a logical therapeutic target.

Furthermore, preclinical models indicate that celecoxib, a specific COX-2 inhibitor, may selectively increase radiosensitivity of tumor cells (overexpressing COX-2), but not normal cells [12]. There is interest in using celecoxib in treatment regimens for H&NC [13], however, its effects on OM were unclear. This study investigated the anti-inflammatory effect of celecoxib on severity and morbidity of OM.

## Methods

### Study Design

This was a prospective, randomized, double-blind, placebo-controlled, parallel-arm, multi-center study, conducted at UConn Health Center and Hartford Hospital, which serve a predominantly white, middle-class population in Hartford County. We received Institutional Review Board approvals; all subjects provided written informed consent.

### Participants

Eligible patients were 18–75 years old and planned to receive a cumulative dose of 5000 centigray (cGy) RT (Intensity Modulated RT or Tomotherapy, 2Gy/day), for H&NC, to 2 of 14 pre-defined oral sites. Patients were approached by the coordinator or Principal Investigator, who were clinically involved in their pre-radiation dental assessment. Approximately 20% of approached patients declined participation. Key exclusion criteria included history of GI bleeding/ulcers or inflammatory bowel disease, severe hepatic/renal impairment, and allergy to celecoxib. After participation of the first 4 subjects in 2004, this study was suspended in 2005 following market withdrawal of rofecoxib (Vioxx) due to concerns about risk of thrombotic events. Based on new celecoxib labeling, eligibility criteria were modified to exclude patients with history of thromboembolic events, cardiac arrhythmia, or revascularization procedures. Enrollment resumed in 2006 and continued until the planned sample size was reached in 2011. A list of inclusion/exclusion criteria is on [clinicaltrials.gov](http://clinicaltrials.gov), NCT00698204.

### Interventions

Celecoxib and placebo capsules, identical in appearance, were supplied by Pfizer. At study initiation, subjects were randomized to 200 mg oral celecoxib or placebo to be taken twice daily, 7 days/week, starting 5 days before first RT day and continuing until 3 days after RT completion. This regimen was modified in response to the revised celecoxib labeling. For all subjects after the first four, dose of study drug was reduced to 200 mg celecoxib or placebo used once daily only on days of RT, between start and end dates of RT.

### Randomization and Blinding

Subjects were randomized by the research pharmacist to celecoxib or placebo using an allocation ratio of 1:1. Randomization assignments in blocks of 10 were generated by the research pharmacist using a web-based pseudo-random number sequence generator specific to number of treatment arms and randomization block size [14]. The research pharmacist dispensed the study drug and kept confidential records of group assignment. Study personnel, subjects and clinical providers were all blinded to subjects' assignments until the end of the clinical phase of the study.

### Clinical Data Collection and Outcome Measures

Subjects were seen for study visits 2–3 times/week during the 6–7 week period of RT. At each visit, we assessed OM, and collected information on diet, mouth pain, and opioid analgesic use. The primary outcome measure was the calibrated examiner's blinded

evaluation of OM clinical severity at 5000 cGy, using the Oral Mucositis Assessment Scale (OMAS) [15]. This validated scale scores ulceration and erythema at nine oral sites. Mucositis severity was also assessed using the World Health Organization (WHO) Oral Mucositis Scale [16] and the National Cancer Institute Common Toxicity Criteria (NCI-CTC) Scale v2 [17]. Ninety-three percent of mucositis assessments were performed by one of two primary examiners (inter-rater reliability 0.94).

Secondary outcome measures included severity of mouth pain, normalcy of diet and opioid analgesic use. Mouth pain was assessed using the severity subscale of the Brief Pain Inventory [18], asking subjects to indicate mouth pain level (worst, least, average, and current) on a validated 11-point scale ranging from 0 (no pain) to 10 (worst pain imaginable). Normalcy of diet was assessed using the normalcy of diet subscale from the Performance Status Scale for H&NC patients [19]. This validated subscale is a ranking of ten food categories arranged from easy-to-eat to hard-to-eat. Ratings are based on the highest ranking food the subject is able to eat ranging from 0 (no alimentation possible) to 10 (full diet). Daily opioid analgesic use data was collected at study visits and validated against the subjects' daily diary and medical record. Conversion of opioid analgesic use to IV morphine equivalents was performed using the Advanced Opioid Converter [20].

### Sample Size and Statistical Methods

Sample size calculations were performed to achieve high power for detection of a one-point difference in mean OMAS score (range 0–5) at 5000cGy RT. Published data for peak OMAS scores in RT patients (no intervention) indicate a standard deviation of  $\pm 1.1$  points [21]. Based on this information, 20 subjects per group were needed to obtain 80% power when applying a two-tailed, two-sample t-test at the 5% level of significance.

The primary endpoint was assessment of OM severity as measured by the OMAS at 5000 cGy RT. The mean OMAS score was derived by dividing the total OMAS score (range 0–45) by the number of sites measured (9). Mean OMAS score at this time-point was compared between the celecoxib and placebo groups using two-sample t-test. Linear mixed models were used to compare OMAS scores, average pain scores, and normalcy of diet scores between groups over the study duration. Since the two groups were similar with respect to important confounders that influence mucositis severity, only treatment assignment was included in these models. To compare adjusted pain scores, daily opioid analgesic use, in IV morphine equivalents, was used as a covariate in linear mixed models. Mean daily opioid analgesic use was compared between groups using two-sample t-test.

For each comparison, two analyses were conducted: an intention to treat (ITT) analysis, in which all data is included regardless of study medication use status, and a per-protocol (PP) analysis in which assessment data are included through the last date of compliance with study medication use.

## Results

Participant flow is summarized in Figure 1. Randomization resulted in a balanced distribution of subjects between the celecoxib and placebo arms with regard to factors that influence OM severity (Table 1).

### Severity of Clinical OM

For the primary endpoint ITT analysis, scores from 39 subjects (19 celecoxib, 20 placebo) were compared at the first OMAS assessment after 5000 cGy RT was reached (conducted at a mean of 0.87 days after 5000cGy reached, SD 1.08 days), since all subjects participated in study assessments at this dose point except one in the celecoxib arm who withdrew at 4000 cGy. The primary endpoint PP analysis included scores from the 35 subjects (16 celecoxib, 19 placebo) who were still taking study medication at 5000 cGy RT. No significant difference was seen in the primary endpoint or other OMAS measures in either the ITT or PP analysis (Table 2). The mean cumulative radiation dose on the date of primary endpoint assessment was similar between the groups (5120 vs. 5126 cGy,  $p=0.91$ ). Two additional OM scales, WHO and NCI-CTC, were also compared with no difference between groups detected in either the ITT or PP analyses (Table 2). No center effects were found (data not shown).

### Pain Scores

Mean pain scores across the period of RT were compared between groups and no difference was found in worst pain, least pain, average pain, or pain now, in either ITT or PP analyses. To assess a standardized time point during peak OM, mean worst pain scores at 5000 cGy were evaluated using ITT and PP analyses, again with no significant difference (Table 3). Since all subjects, except 1 placebo subject, used opioid analgesics, ITT and PP analyses were also performed on pain scores adjusted for opioid analgesic use. However, there remained no difference in pain scores between groups (data not shown).

### Opioid Medication Use

Opioid analgesic use in IV morphine equivalents was compared between groups using ITT and PP analyses. Data from 1992 individual opioid analgesic daily use reports were used for ITT analysis and 1620 for PP analysis. There was no difference between groups in the mean daily use of opioid analgesics in IV morphine equivalents (Table 3).

### Normalcy of Diet

Normalcy of diet was evaluated by comparing mean diet scores, mean lowest diet scores, and number of days from start of RT to the first reduced diet score, using ITT and PP analysis. There was no significant difference between the celecoxib and placebo groups for any of these measures (Table 3).

### Adverse Events

This study was monitored by an independent Data and Safety Monitoring Board (DSMB) composed of a cardiologist, an oral medicine specialist, and a dentist scientist. The DSMB

reviewed all adverse events, recruitment status, completions, and drop outs, on an annual and occurrence basis, and determined that there were no adverse events attributable to study participation.

## Discussion

In this randomized, double-blind, placebo-controlled trial, no difference was found in OM severity between celecoxib and placebo groups, on any of the 3 scales used. The number of days with severe OM was similar between the groups, indicating that the intervention did not delay onset of severe OM. The rationale for measuring pain scores was that reduction of OM severity by celecoxib would result in a reduction of OM-associated pain. We found no difference in pain scores or opioid analgesic use between the two groups. Since most subjects were on high doses of potent opioids, the relatively small analgesic effect of 200 mg celecoxib daily may not have an appreciable direct analgesic effect. The level of dietary compromise was also similar between the groups, documented by the Normalcy of Diet scale as well as by the WHO OM scale which scores the effect of OM on ability to consume solids and/or liquids.

Strengths of this study include the randomized, double-blind, placebo controlled design which minimized the risk of bias in outcome measurements. The celecoxib and placebo groups were similar with regard to important factors that influence OM severity including tumor site, and radiation dose/fields. Use of concomitant chemotherapy can significantly influence OM severity; however, there was no significant difference between the groups in the proportion of such patients. This increases confidence that the study accurately assessed the impact of the intervention. However, other heterogeneity in the study population may have obscured a clinical effect. Limitations of the study include the small sample size. The sample size was calculated on the basis of a relatively large effect size of a 1 point difference on a 5 point scale. Thus, while this study demonstrates the absence of a large effect of the intervention, a smaller effect, albeit less clinically significant, cannot be ruled out. Another limitation is the change in celecoxib total daily dose from 400 mg to 200 mg after the first 4 subjects. Fortunately, these 4 subjects were evenly distributed between the celecoxib and placebo groups. It is possible that the 200 mg dose was insufficient to have a discernible effect on OM. However, there was no difference in OM severity between the subjects receiving the higher dose of celecoxib and the remaining subjects in the celecoxib or placebo groups (data not shown).

In conclusion, daily use of a selective COX-2 inhibitor, during H&NRT, did not reduce severity of clinical OM, mouth pain, dietary compromise, or use of opioid analgesics. Since the initiation of this study, other literature has appeared on the effects of selective inhibitors of inflammation on OM. In a mouse model of radiation mucositis, administration of celecoxib or infliximab (an inhibitor of TNF $\alpha$ ) did not change the radiation dose required for ulcer formation, as compared to controls [22]. A pilot study examined the use of a tooth patch containing flurbiprofen (a non-selective COX inhibitor) in 12 patients receiving H&N RT. As compared to historical controls, no differences were found in the severity or duration of OM between the two groups [23]. A recent update of the evidence-based mucositis guidelines developed by the Multinational Association of Supportive Care in Cancer/

International Society of Oral Oncology (MASCC/ISOO) suggested against the use of misoprostol (prostaglandinE1 analog) mouthrinse for OM in H&NRT [24]. On the other hand, benzydamine, which has anti-inflammatory effects via multiple pathways, was found to have some benefit in moderate levels of H&NRT, without concomitant chemotherapy [6]. These results suggest that use of agents targeting a single inflammatory pathway may not be an effective strategy for radiation-induced OM. Our findings also have important implications for the use of celecoxib in treatment regimens for H&NC.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

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### Highlights

- Oral mucositis (OM) is a painful complication of radiation therapy (RT) for head and neck cancer (H&NC).
- We investigated the effect of cyclooxygenase-2 inhibition on severity and morbidity of OM.
- In this double-blind placebo-controlled trial, 40 H&NC patients were randomized to daily celecoxib or placebo during RT.
- Use of celecoxib did not reduce the severity of clinical OM, pain, dietary compromise or use of opioid analgesics.
- These findings also have implications for celecoxib use in H&NC treatment regimens.

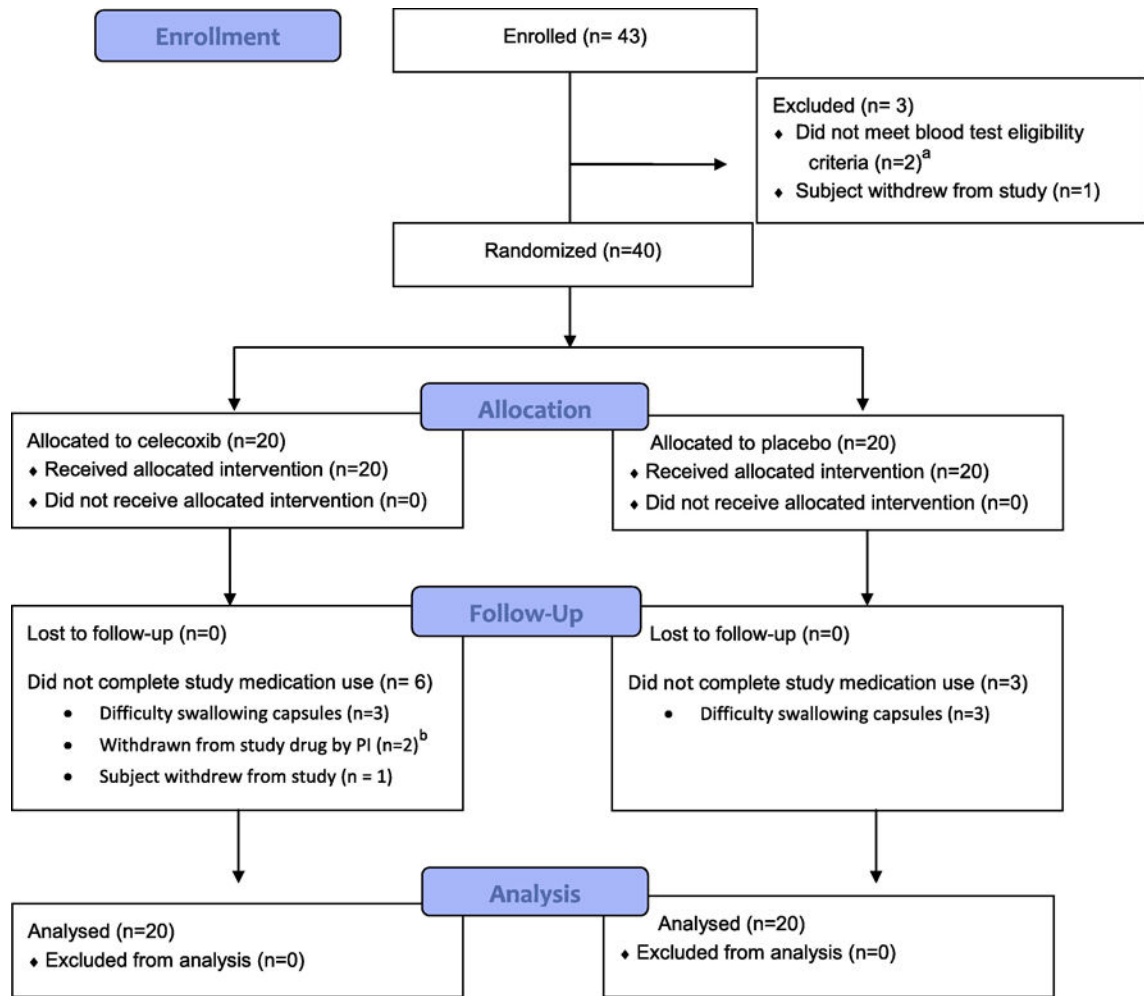


Figure 1.

**Table 1**

Baseline Characteristics of 40 Randomized Study Participants receiving Radiation Therapy for Head and Neck (H&N) Cancer

CHARACTERISTIC	CELECOXIB ARM	PLACEBO ARM
Number of Participants (n)	20	20
Demographics		
Mean Age in Years (minimum-maximum)	53.2 (34–71)	56.0 (36–69)
Male (n, %)	17 (85%)	15 (75%)
Race and Ethnicity (n, %)		
White, non-Hispanic	20 (100%)	17 (85%)
White, Hispanic	0 (0%)	2 (10%)
Black, non-Hispanic	0 (0%)	1 (5%)
Enrollment Site (n, %)		
UConn Health Center	18 (90%)	20 (100%)
Hartford Hospital	2 (10%)	0 (0%)
Cancer Treatment		
Mean Radiation Dose to Primary Site in Centigray (SD)	6873 (266.9)	6857 (270.9)
Bilateral radiation dosing (n, %)	16 (80%)	17 (85%)
Concomitant Chemotherapy (n, %)	17 (85%)	15 (75%)
Received surgery before radiation therapy	9 (45%)	10 (50%)
History of prior H&N radiation therapy	1 (5%)	0 (0%)
Primary Tumor Site by Category (n, %)		
Oral cavity region	5 (25%)	6 (30%)
Soft palate/nasopharynx/tonsillar region	6 (30%)	4 (20%)
Pharynx and adjacent	5 (25%)	5 (25%)
Larynx and neck	4 (20%)	5 (25%)
H&N Cancer Stage (n, %)		
I	1 (5%)	1 (5%)
II	2 (10%)	3 (15%)
III	5 (25%)	8 (40%)
IV	12 (60%)	8 (40%)

**Table 2**

Clinical Oral Mucositis Scores

Oral Mucositis Assessment Scale (OMAS)						
	Intention to Treat Analysis		Per Protocol Analysis (period of study medication use only)			
	Celecoxib n=20	Placebo n=20	P value	Celecoxib n=20	Placebo n=20	P value
Mean OMAS Score (range 0–5) (SD)	.98 (.77)	.97 (.86)	.840 <sup>2</sup>	.86 (.72)	.93 (.86)	.674 <sup>2</sup>
Mean OMAS Ulceration (range 0–3), (SD)	.52 (.53)	.50 (.53)	.668 <sup>2</sup>	.45 (.49)	.47 (.52)	.763 <sup>2</sup>
Mean OMAS Erythema (range 0–2) (SD)	.46 (.28)	.47 (.38)	.840 <sup>2</sup>	.42 (.27)	.46 (.38)	.580 <sup>2</sup>
Mean OMAS Score (0–5) immediately after 5000 centigray radiation (SD)	1.42 (.67) n=19	1.36 (.88)	.831 <sup>1</sup>	1.31 (.68) n=16	1.41 (.87) n=19	.721 <sup>1</sup>
Mean Peak OMAS Score (range 0–5) (SD)	1.71 (.59)	1.70 (.95)	.982 <sup>1</sup>	1.65 (.58)	1.69 (.95)	.877 <sup>1</sup>

World Health Organization (WHO) Oral Mucositis Scale						
	Intention to Treat Analysis		Per Protocol Analysis (period of study medication use only)			
	Celecoxib n=20	Placebo n=20	P value	Celecoxib n=20	Placebo n=20	P value
Mean WHO Score (range 0–4) (SD)	1.94 (1.40)	1.85 (1.43)	.654 <sup>2</sup>	1.74 (1.35)	1.80 (1.43)	.888 <sup>2</sup>
WHO Score immediately after 5000 cGy radiation (SD)	2.47 (1.17) n=19	2.55 (1.00)	.828 <sup>1</sup>	2.31 (1.20) n=16	2.58 (1.02) n=19	.481 <sup>2</sup>
Peak WHO Score (SD)	3.40 (.68)	2.95 (1.15)	.139 <sup>1</sup>	3.05 (.76)	2.95 (1.15)	.747 <sup>1</sup>
Number of Days with WHO Grade 3 or 4 Mucositis (SD)	15.85 (13.48)	17.05 (15.16)	.793 <sup>1</sup>	10.05 (10.79)	13.85 (12.76)	.316 <sup>1</sup>

National Cancer Institute Common Toxicity Criteria (NCI-CTC) Oral Mucositis Scale						
	Intention to Treat Analysis		Per Protocol Analysis (period of study medication use only)			
	Celecoxib n=20	Placebo n=20	P value	Celecoxib n=20	Placebo n=20	P value
Mean NCI-CTC Score (Range 0–4) (SD)	2.00 (1.07)	1.85 (1.12)	.324 <sup>2</sup>	1.86 (1.07)	1.81 (1.13)	.654 <sup>2</sup>

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National Cancer Institute Common Toxicity Criteria (NCT-CTC) Oral Mucositis Scale						
	Intention to Treat Analysis		Per Protocol Analysis (period of study medication use only)			
	Celecoxib n=20	Placebo n=20	P value	Celecoxib n=20	Placebo n=20	P value
NCI-CTC immediately after 5000 cGy Radiation (SD)	2.63 (.60) <i>n=19</i>	2.50 (.83)	.574 <sup>1</sup>	2.56 (.63) <i>n=16</i>	2.53 (.84) <i>n=19</i>	.888 <sup>1</sup>
Peak NCI-CTC (SD)	2.85 (.37)	2.55 (.37)	.093 <sup>1</sup>	2.84 (.38)	2.55 (.69)	.110 <sup>1</sup>
Number of Days with NCI-CTC Grade 3 or higher (SD)	19.60 (14.12)	18.20 (15.50)	.767 <sup>1</sup>	14.35 (12.27)	16.15 (13.96)	.667 <sup>1</sup>

<sup>1</sup>Two-sample t-test,

<sup>2</sup>linear mixed models

**Table 3**

Pain Scores, Opioid Analgesic Use, and Diet Scores.

Evaluation of Pain Severity (0–10 scale, 0 = no pain, 10 = worst pain imaginable)						
Parameter	Intention to Treat Analysis			Per Protocol Analysis*		
	Celecoxib n=20	Placebo n=20	P value	Celecoxib n=20	Placebo n=20	P value
Mean Average Pain Score (SD)	2.44 (2.41)	2.20 (2.39)	.576 <sup>2</sup>	2.23 (2.39)	2.14 (2.39)	.907 <sup>2</sup>
Mean Worst Pain Score (SD)	3.38 (3.07)	3.31 (3.32)	.830 <sup>2</sup>	3.01 (2.95)	3.18 (3.27)	.733 <sup>2</sup>
Mean Least Pain Score (SD)	1.75 (2.15)	1.31 (1.91)	.324 <sup>2</sup>	1.63 (2.16)	1.28 (1.93)	.472 <sup>2</sup>
Mean Pain Now Score (SD)	2.30 (2.46)	2.05 (2.48)	.582 <sup>2</sup>	2.08 (2.38)	1.96 (2.44)	.798 <sup>2</sup>
Mean Worst Pain at 5000 cGy RT (SD)	4.47 (2.82) n=19	3.70 (3.20)	.429 <sup>1</sup>	4.25 (2.89) n=19	3.89 (3.16)	.733 <sup>1</sup>
Opioid Analgesic Use in IV Morphine Equivalents						
Mean Daily Opioid Analgesic Use In IV morphine equivalents in mg (SD)	19.08 (16.56)	20.48 (19.07)	.806 <sup>1</sup>	14.06 (11.79)	17.77 (15.29)	.396 <sup>1</sup>
Evaluation of Normalcy of Diet (0–10 scale, 0 = no alimentation possible, 10 = can consume a full diet)						
Mean diet score (SD)	5.43 (3.86)	5.11 (3.94)	.654 <sup>2</sup>	5.81 (3.80)	5.37 (3.90)	.391 <sup>2</sup>
Mean lowest score (worst ability to eat) (SD)	1.15 (1.42)	1.65 (3.01)	.506 <sup>1</sup>	1.90 (1.68)	1.80 (3.00)	.897 <sup>1</sup>
Number of days from start of RT to first reduced diet score due to mucositis (SD)	15.75 (11.53)	16.65 (12.81)	.817 <sup>2</sup>	22.20 (10.88)	21.85 (11.77)	.921 <sup>1</sup>

\* Per protocol analysis: Data included during period of study medication use only.

<sup>1</sup>Two-sample t-test.

<sup>2</sup>Linear mixed models