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Diastolic Dysfunction:

Potential New Diagnostics and Therapies

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Abstract

Despite the growing number of patients affected, the understanding of diastolic dysfunction and heart failure with preserved ejection fraction (HFpEF) is still poor. Clinical trials, largely based on successful treatments for systolic heart failure, have been disappointing, suggesting that HFpEF has a different pathology to that of systolic dysfunction. In this review, general concepts, epidemiology, diagnosis, and treatment of diastolic dysfunction are summarized, with an emphasis on new experiments suggesting that oxidative stress plays a crucial role in the pathogenesis of at least some forms of the disease. This observation has lead to potential new diagnostics and therapeutics for diastolic dysfunction and heart failure caused by diastolic dysfunction.

Keywords

Diastolic dysfunction; Heart failure; Myofilament Ca^{2+} sensitivity; Oxidative stress; Ventricular relaxation

> Since the first report of the syndrome of heart failure (HF) with a preserved ejection fraction $(HFpEF)$ nearly 30 years ago,¹ the diagnosis, pathophysiology, and most effective therapies for diastolic dysfunction and HFpEF caused by diastolic dysfunction (ie, diastolic HF) have remained controversial. Some of the confusion exists because diastolic dysfunction can be present in asymptomatic patients, patients with preserved EF, and patients with reduced EF (Figure 1).² Moreover, not all cases of HFpEF or HF with reduced EF (HFrEF) are associated with diastolic dysfunction.³ Therefore, the relationship of diastolic dysfunction to the clinical syndrome of HF is somewhat ill-defined.

Epidemiology

HF is a major and growing public health problem in the USA, affecting approximately 5.1 million patients, and over 23 million patients worldwide.⁴ In Japan, approximately $1-2$

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Disclosures

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million patients have chronic HF and nearly 170,000 patients die annually because of heart disease.⁵ More than 650,000 new patients are diagnosed with HF in the USA each year, and approximately half of them show diastolic dysfunction.^{6,7} Aging is an independent factor in HF incidence. The absolute mortality rate is high, and the prevalence of asymptomatic left ventricular (LV) dysfunction is increasing annually.^{6,8,9} Major risk factors for diastolic dysfunction include age, hypertension, diabetes mellitus, and LV hypertrophy.3,7,10 Diastolic dysfunction is common in diabetic patients and is associated with increased LV mass, wall thickness, and arterial stiffness.⁷ Of note, 34% of patients with diabetes have diastolic dysfunction.⁶

Although these risk factors are similar to those for HFrEF, growing evidence indicates that the mechanism of diastolic dysfunction is quite different from that in systolic dysfunction. Many effective treatments for HFrEF have shown disappointing results when applied to HFpEF patients.11 There are also clear clinical differences between HFpEF and HFrEF. Patients with HFpEF are older and more likely to be female.⁶ In HFpEF, the LV enddiastolic volume is not increased relative to the stroke volume, and there is concentric remodeling. In contrast, HFrEF has eccentric remodeling with LV dilation.12 The major risk factors for diastolic dysfunction are shared between HFpEF and HFrEF.⁶

Relationship of Diastolic Dysfunction to Diastolic HF

Epidemiological evidence suggests there is a latent phase in which diastolic dysfunction is present and progresses in severity before the symptoms of HF arise.³ Asymptomatic mild LV diastolic dysfunction is found in 21%, and moderate or severe diastolic dysfunction is present in 7% of the population.³ Both moderate and severe diastolic dysfunction is associated with an increased risk of symptomatic HF and mortality.³ This asymptomatic phase represents a potential time to intervene to prevent symptomatic HF. Suggesting the success of possible interventions, a mortality benefit has been observed in those whose diastolic dysfunction improved compared with those whose diastolic dysfunction remained the same or worsened.13 In early diastolic dysfunction, elevated LV stiffness is associated with diastolic filling abnormalities and normal exercise tolerance. Asymptomatic diastolic dysfunction may be present for significant periods before it develops into a symptomatic clinical event. When the disease progresses, pulmonary pressures increase abnormally during exercise, producing reduced exercise tolerance. When filling pressures increase further, clinical signs of HF appear.¹⁰ In a significant number of cases of diastolic HF, patients have atrial fibrillation at the time of diagnosis, suggesting an association and a possible common pathogenesis.¹⁴ With atrial fibrillation, diastolic dysfunction may rapidly lead to overt diastolic HF (Figure 2).¹⁵

Mechanisms of Diastolic Dysfunction

Many mechanisms have been proposed. Recently, cardiac oxidative stress has been associated with diastolic dysfunction.¹⁶ Increased levels of cardiac reactive oxygen species (ROS) may explain some of the changes in Ca^{2+} handling proteins and the increased Ca^{2+} sensitivity of myofilaments in diastolic dysfunction.^{11,17} Some of the proposed mechanisms that represent therapeutic targets are reviewed next.

Alterations in Intracellular Ca2+ Transients

Increased diastolic Ca²⁺, delayed Ca²⁺ extrusion from the cytoplasm, or increased myofilament Ca^{2+} sensitivity could theoretically cause diastolic dysfunction. A prolonged Ca^{2+} transient results in elevation of intracellular Ca^{2+} during diastole, leading to abnormalities in both active relaxation and passive stiffness.¹⁸ Ca^{2+} homeostasis is regulated by a number of Ca^{2+} handling proteins, including the sarcoplasmic reticulum (SR) Ca^{2+} release channel (the ryanodine receptor, RyR), the SR Ca²⁺ pump (ie, the SR Ca²⁺-ATPase-SERCA2a), the sarcolemmal L-type Ca^{2+} channel, and the sodium-calcium exchanger (NCX). Increased diastolic intracellular Ca^{2+} may be a result of 3 possible mechanisms: (1) decreased SR Ca²⁺ pump activity, (2) SR Ca²⁺ leakage, or (3) abnormalities in the ionic channels responsible for calcium transport.^{19,20} For example, the NCX couples Ca^{2+} extrusion to the transmembrane Na^+ gradient.⁹ In the failing heart, a small number of Na^+ channels fail to inactivate, creating a late Na⁺ current (I_{N_a}) ,^{10–13} which increases Na⁺ entry into the cell, reducing Ca^{2+} extrusion by the NCX.¹⁴ Oxidative stress may contribute to diastolic dysfunction by RyR S-nitrosylation, resulting in diastolic SR Ca^{2+} leaks and relaxation stiffness of cardiomyocytes.21 In addition, ROS-activated, cardiac-specifi Ca/ calmodulin kinase (CaMK) II expression can regulate relaxation through SERCA2A.²² Redox-mediated SERCA2A sulfonation on the cysteine residue may also play a role.²³

Many of these changes are not unique to diastolic dysfunction, however, and are seen in systolic dysfunction. Nevertheless, it is possible that these changes may contribute to both diastolic and systolic dysfunction or explain the presence of diastolic dysfunction during systolic dysfunction.

Titin Isoform Shifts

Another sarcomere macromolecule, titin, has been recognized as a determinant of diastolic relaxation.19 Titin is expressed in 2 isoforms: a smaller, stiffer N2B and a larger, more compliant N2A. In HFpEF, there is a higher proportion of the N2B isoform.24 Moreover, titin is modulated by phosphorylation.25,26 The cGMP-protein kinase (PK) G-dependent pathway has been suggested to play an important role in regulating diastolic tone and ventricular fi through titin phosphorylation and troponin I phosphorylation.²⁶

Fibrosis

Changes in fibrillar collagen may be responsible for the development of diastolic dysfunction and diastolic HF. Hypertension and aging are associated with diastolic dysfunction and are accompanied by fibrosis. In turn, this fibrosis is associated with increased oxidative stress and profi cytokines. Reed et al reported that senescenceaccelerated mice have diastolic dysfunction in the absence of alterations in systolic function.27 This change in diastolic dysfunction was associated with increased interstitial and perivascular collagen 1A1, collagen 3A, and fibronectin.27 Cardiac fibrosis was accompanied by increased levels of transforming growth factor-β and connective tissue growth factor.

Alterations in collagen degradation have also been associated with diastolic dysfunction. Changes in matrix metalloproteases (MMPs), which degrade collagen, and tissue inhibitors

of MMPs (TIMPs) result in LV remodeling.15 A knockout of MMP-9 results in increased myocardial collagen with increased LV stiffness.28 Increased MMP-1 activity results in excessive collagen deposition and diastolic dysfunction.28 Elevations of MMP-2 and MMP-9 or a decrease of TIMP-1 occur in patients with asymptomatic diastolic dysfunction, as well as in diastolic HF.29 In addition, the magnitude of collagen turnover correlates directly with the severity of diastolic dysfunction.²⁹ In systemic sclerosis, TIMP-1 levels are associated with diastolic dysfunction and LV matrix remodeling.³⁰ In premenopausal, obese women with asymptomatic diastolic dysfunction, plasma MMP-2/-9 and TIMP profiles are altered.³¹

Posttranslational Modification of Cardiac Myosin Binding Protein C (cMyBP-C)

cMyBP-C regulates cross-bridge kinetics. Many of the mutations in cMyBP-C are known to induce diastolic dysfunction, and cMyBP-C knockout mice show higher myofilament Ca^{2+} sensitivity and lower diastolic sarcomere length with impaired relaxation without Ca^{2+} handling proteins changes or fibrosis.³² Phosphorylation of cMyBP-C by protein kinase A accelerates cross-bridge turnover rates, and dephosphorylation of cMyBP-C slows the dissociation of actin and myosin.33 Recently, Jeong EM et al reported that oxidative Sglutathionylation of cMyBP-C correlated with relaxation impairment. The mechanism for this diastolic dysfunction is increased myofilament Ca^{2+} sensitivity.³⁴

Diagnosis of Diastolic Dysfunction

The presence and severity of diastolic dysfunction is commonly evaluated by echocardiography using color Doppler and tissue Doppler imaging (Table 1). Alternative modalities include strain analysis from cardiac magnetic resonance imaging (CMR) and speckle tracking echocardiography (STE). The diagnosis of diastolic HF, a subset of HFpEF, requires 3 conditions to be simultaneously satisfi (1) the presence of signs and symptoms of HF; (2) the presence of normal or only slightly reduced LVEF (EF >50%) and (3) the presence of increased diastolic pressure or impaired filling as indicated by delayed isovolumic relaxation or elevated stiffness.

Two-dimensional echocardiography with Doppler flow measurements is commonly used to assess diastolic dysfunction.³⁵ Exercise may be required to clearly demonstrate diastolic functional changes.36 During diastole, blood flows through the mitral valve when the LV relaxes, causing an early diastolic mitral velocity (E), and then additional blood is pumped through the valve when the left atrium contracts during late diastole (A). The E/A ratio can be altered in diastolic dysfunction. Tissue Doppler imaging is an echocardiographic technique that measures the velocity of the mitral annulus. This velocity has been shown to be a sensitive marker of early myocardial dysfunction. With abnormal active relaxation, mitral annulus velocity during early diastole (e') is decreased while mitral annulus velocity during late diastole (a') is increased, resulting in a lowered e'/a' ratio. In animal models, tissue Doppler imaging has been validated as a reliable tool for the evaluation of diastolic dysfunction.^{15,35,37} LV inflow propagation velocity (V_P) by color M-mode Doppler is another relatively preload-insensitive index of LV relaxation.38 It has been shown to

correlate well with the time constant of isovolumic relaxation (τ), both in animals and humans.³⁵

Recently, STE has emerged as a promising technique for the evaluation of myocardial wall motion by strain analysis. By tracking the displacement of speckles during the cardiac cycle, STE allows semiautomated delineation of myocardial deformation.

CMR imaging is a newer technique for measuring diastolic dysfunction.39 Myocardial tagging allows the labeling of specific myocardial regions. Following these regions during diastole enables them to be analyzed in a manner similar to STE. In addition, the rapid diastolic untwisting motion followed by CMR tagging is directly related to isovolumic relaxation and can be used as an index of the rate and completeness of relaxation.³⁹

Biomarkers may contribute to the diagnosis. B-type natriuretic peptide (BNP) and TnI have been used as HF biomarkers and exhibit strong association with hospitalization.⁴⁰ Nevertheless, they are nonspecifi and not well correlated with diastolic dysfunction. Recently, it has been reported that cMyBP-C could be a new biomarker releases from damaged myofilaments.41 Additionally, elevated S-glutathionylated cMyBP-C level can be detected in the blood of patients with diastolic dysfunction.⁴² Hypertension and diabetes lead to cardiac oxidation and S-glutathionylation of cMyBP-C, a cardiac contractile protein, which leads to impaired relaxation, and modified cMyBP-C in the blood may represent a circulating biomarker for diastolic dysfunction.¹⁷

Novel Therapeutic Strategies

To date, there are no specific treatments for diastolic dysfunction to selectively enhance myocardial relaxation. Moreover, no drug has been developed to improve long-term outcomes for diastolic HF.⁹ Nevertheless, recent trials and new hypotheses about the mechanism of diastolic dysfunction suggest possible directions for specific therapies.

Current Treatment for HFpEF

Recent clinical trials using drugs of advantage in systolic dysfunction have failed to demonstrate improvement in long-term outcome for diastolic HF, further emphasizing differences in the underlying pathophysiology of diastolic dysfunction. Several trials of these drugs for HFpEF are summarized in Table 2. Despite abundant evidence of the efficacy of reninangiotensin system inhibition in systolic dysfunction, the PEP-CHF trial using perindopril showed no overall difference in mortality and or need for HF hospitalization.⁴³ In the Hong Kong Diastolic Heart Failure study, only diuretics in combination with irbesartan or ramipril marginally improved diastolic function and lowered NT-proBNP over 1 year.44 Angiotensin II receptor blockers show a similar lack of efficacy. The CHARMpreserved trial, which randomized 3,023 patients between candesartan and placebo, showed no beneficial effect in cardiovascular death at 3-year follow-up.45 In the I-PRESERVE trial, which randomized 4,128 patients, irbesartan showed no reduction in all-cause mortality or hospitalization for a cardiovascular cause at 49.5-month follow-up.46 In OPTIMIZE-HF, carvedilol, a β-blocker, did not affect primary or long-term outcomes for HFpEF.47 In the SENIORS trial, nebivolol showed limited beneficial effect in the elderly HFpEF group (age

 >70).⁴⁸ The CORONA trial used a statin and showed only LV remodeling improvement without changes in the primary outcomes.⁴⁹ Aldosterone antagonists are known to prevent the development of cardiac hypertrophy and fibrosis.⁵⁰ Aldo-DHF, using spironolactone, revealed little improvement in LV relaxation and no change in the primary outcome in HFpEF patients.⁵¹ In the TOPCAT trial, there was no reduction in mortality, aborted cardiac arrest or hospitalization for HFpEF patients using spironolactone.⁵² Furthermore, the inotropic agent digoxin showed no significant advantage in HFpEF.⁵³

There is accumulating evidence indicating diastolic dysfunction is associated with oxidative stress and the nitric oxide (NO) pathway. Oxidative stress is often associated with reduced NO and cGMP levels, leading to vasoconstriction and cardiac stiffness.⁵⁴ Therefore, it might stand to reason that increasing NO-cGMP signaling by phosphodiesterase (PDE)-5 inhibition would improve diastolic function. Nevertheless, the RELAX trial, which used sildenafil to treat NYHA class II/III HFpEF patients showed no significant difference in clinical outcomes.55 This suggests that diastolic dysfunction is independent of downstream cGMP-dependent signaling, but the result does not clearly rule out the oxidative stress hypothesis.

Ranolazine

Ranolazine, an anti-anginal drug with multiple putative mechanisms of action, has shown some promise as a treatment for diastolic dysfunction. In an animal model of hypertensioninduced diastolic dysfunction, ranolazine worked directly on myofilaments to correct the defect in relaxation.⁵⁶ Ranolazine is also known to decrease the late Na⁺ current, which may lower internal Na⁺ and Ca²⁺ levels in diastole.⁵⁷ In the randomized clinical trial, RALI-DHF, acute infusion of ranolazine in HFpEF patients resulted in modest improvements in hemodynamics, but no improvement in LV relaxation.⁵⁸ It is possible that ranolazine may have therapeutic efficacy in diastolic dysfunction, even if the mechanism is unclear.

Tetrahydrobiopterin (BH4)

NO synthase (NOS) usually produces NO, which relaxes the heart.⁵⁹ When the NOS cofactor, BH4, becomes oxidized and depleted, NOS begins to produce superoxide, an oxidant, rather than NO. This situation is called NOS uncoupling. In hypertension-induced diastolic dysfunction, cardiac NOS is uncoupled, $BH₄$ is reduced, and NO is decreased. Cardiac oxidation generated diastolic dysfunction independent of changes in the vasculature. Supplementation with oral BH4 prevented or reversed the cardiac changes, including the diastolic dysfunction.

The cellular level of $BH₄$ also regulates SERCA2A activity.⁶⁰ HMG-CoA reductase inhibitors (statins) or resveratrol increase BH4 availability and improve LV relaxation in diabetes⁶¹ and in a hyperlipidemia animal model.⁶² Therefore, increasing BH₄ may be a promising therapeutic target for diastolic dysfunction. Currently, oral $BH₄$ is used to treat atypical phenylketonuria and shows a favorable safety profile. $34,63$

Mitochondria-Targeted Antioxidants

Oxidative stress has been implicated in the pathophysiology of cardiac remodeling and diastolic dysfunction.16 Mitochondria are a major source of cardiac oxidative stress, especially in diabetes, and diabetes is a risk factor for diastolic dysfunction. In preliminary data, we have shown that diabetes is associated with cardiac mitochondrial oxidative stress and diastolic dysfunction.⁶⁴ Injecting animals with a mitochondria-targeted antioxidant, mitoTEMPO, prevented diabetic-associated diastolic dysfunction.65 Other mitochondriatargeted antioxidants that have shown beneficial effects in muscle include Mito $Q10^{66}$ and the mitochondria-selective peptide, $SS-31.67$ Any of these may represent a novel therapeutic strategy for diastolic dysfunction.

Summary

The fact that many drugs beneficial in HFrEF are not efficacious in HFpEF, and that systolic and diastolic dysfunction can exist in isolation or together, suggests that diastolic dysfunction has a fundamentally different pathology to that of systolic dysfunction. Among the more promising avenues of ongoing research is the concept that cardiac oxidation can lead to diastolic dysfunction (Figure 3). This hypothesis explains why many of the risk factors for diastolic dysfunction are associated with increased oxidative stress and why cardiac oxidation has been associated with diastolic dysfunction. Also, it explains why select antioxidant therapies have shown potential efficacy in preventing or reversing diastolic dysfunction. The oxidant theory can also explain why the cardiac or circulating level of Sglutathionylated cMyBP-C is associated with diastolic dysfunction.

Although it seems likely that more than one hypothesis will be necessary to explain all cases of diastolic dysfunction, new insights into the pathogenesis of the disease should lead to novel diagnostics and therapies. The latency between dysfunction and symptoms represents an ideal time for using these diagnostics and therapies.

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HFpEF

Diastolic Dysfunction

• Impaired LV relaxation • Increased LV stiffness

HFrEF

Figure 1.

Relationship of diastolic dysfunction to HFpEF and HFrEF. Diastolic heart failure is a subset of HFpEF, diastolic dysfunction can exist in HFrEF, and many patients with diastolic dysfunction are asymptomatic. HFpEF, heart failure with preserved ejection fraction; HFrEF, heart failure with reduced ejection fraction.

Figure 2.

Major risk factors for diastolic dysfunction, which can lead to asymptomatic or symptomatic diastolic dysfunction. HTN, hypertension; LVH, left ventricular hypertrophy.

Figure 3.

Selected possible mechanisms of diastolic dysfunction. Hypertension (HTN) and diabetes lead to oxidative modification of proteins including cMyBP-C and a decreased myofilament relaxation rate. Targeted antioxidants appear to prevent or treat oxidant stress-induced diastolic dysfunction in animal models, and circulating modified cMyBP-C may serve as a biomarker of disease. Independent of myocyte biology, increased extracellular matrix may cause abnormal LV relaxation. BH4, tetrahydrobiopterin; cMyBP-C, cardiac myosin binding protein C; CTGF, connective tissue growth factor; MMP, matrix metalloprotease; NOS, nitric oxide synthase; ROS, reactive oxygen species; TIMP, tissue inhibitors of MMP; TGF, transforming growth factor.

Table 1

Grades of Diastolic Dysfunction as Categorized by Echocardiography Grades of Diastolic Dysfunction as Categorized by Echocardiography

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velocity; e', peak early diastolic mitral annulus velocity; IVRT, isovolumic relaxation time; PW-Doppler, pulse-wave Doppler; S, a larger systolic wave in pulmonary vein flow; TDI, tissue Doppler imaging; velocity; e', peak early diastolic mitral annulus velocity; IVRT, isovolumic relaxation time; PW-Doppler, pulse-wave Doppler; S, a larger systolic wave in pulmonary vein flow; TDI, tissue Doppler imaging; A, late diastolic mitral velocity, Adur, duration of A wave; ARdur, peak pulmonary venous attial reversal flow velocity duration; D, a diastolic wave in pulmonary vein flow; E, early diastolic mitral A, late diastolic mitral velocity; Adur, duration of A wave; ARdur, peak pulmonary venous atrial reversal flow velocity duration; D, a diastolic wave in pulmonary vein flow; E, early diastolic mitral Vp, color M-mode Doppler blood velocity. (Modified from Maharaj $\mathbb{R}^{15})$ Vp, color M-mode Doppler blood velocity. (Modified from Maharaj R15)

Table 2

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*

Study period (years);

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** primary outcome of mortality and hospitalization; primary outcome of mortality and hospitalization;

preclinical trial with acute treatment. preclinical trial with acute treatment. ACEI, angiotensin-converting enzyme inhibitor; ARB, angiotensin II receptor blocker; BNP, brain natriuretic peptide; DHF, diastolic heart failure; DIG, Digitalis Investigation Group trial; HFpEF, heart
failure with preserv ACEI, angiotensin-converting enzyme inhibitor; ARB, angiotensin II receptor blocker; BNP, brain natriuretic peptide; DHF, diastolic heart failure; DIG, Digitalis Investigation Group trial; HFpEF, heart failure with preserved ejection fraction; HMG-CoA, hydroxymethylglutaryl-coenzyme A; INa, sodium current; PDE, phosphodiesterase.