

# Distribution and Relationships of Antimicrobial Resistance Determinants among Extended-Spectrum-Cephalosporin-Resistant or Carbapenem-Resistant *Escherichia coli* Isolates from Rivers and Sewage Treatment Plants in India

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**To determine the distribution and relationship of antimicrobial resistance determinants among extended-spectrum-cephalosporin (ESC)-resistant or carbapenem-resistant *Escherichia coli* isolates from the aquatic environment in India, water samples were collected from rivers or sewage treatment plants in five Indian states. A total of 446 *E. coli* isolates were randomly obtained. Resistance to ESC and/or carbapenem was observed in 169 (37.9%) *E. coli* isolates, which were further analyzed. These isolates showed resistance to numerous antimicrobials; more than half of the isolates exhibited resistance to eight or more antimicrobials. The *bla*<sub>NDM</sub> gene was detected in 14/21 carbapenem-resistant *E. coli* isolates: *bla*<sub>NDM-1</sub> in 2 isolates, *bla*<sub>NDM-5</sub> in 7 isolates, and *bla*<sub>NDM-7</sub> in 5 isolates. The *bla*<sub>CTX-M</sub> gene was detected in 112 isolates (66.3%): *bla*<sub>CTX-M-15</sub> in 108 isolates and *bla*<sub>CTX-M-55</sub> in 4 isolates. We extracted 49 plasmids from selected isolates, and their whole-genome sequences were determined. Fifty resistance genes were detected, and 11 different combinations of replicon types were observed among the 49 plasmids. The network analysis results suggested that the plasmids sharing replicon types tended to form a community, which is based on the predicted gene similarity among the plasmids. Four communities each containing from 4 to 17 plasmids were observed. Three of the four communities contained plasmids detected in different Indian states, suggesting that the interstate dissemination of ancestor plasmids has already occurred. Comparison of the DNA sequences of the *bla*<sub>NDM</sub>-positive plasmids detected in this study with known sequences of related plasmids suggested that various mutation events facilitated the evolution of the plasmids and that plasmids with similar genetic backgrounds have widely disseminated in India.**

The global spread of bacteria showing resistance to a broad spectrum of antimicrobials is universally recognized to be a serious public health concern (1). The Centers for Disease Control and Prevention reported that every year more than 2 million people are infected with antimicrobial-resistant (AMR) pathogens in the United States alone, of which 23,000 die (2). Among the AMR bacteria, extended-spectrum-cephalosporin (ESC)-resistant or carbapenem-resistant members of the family *Enterobacteriaceae* are recognized to be some of the most serious microbial threats globally because in most cases they also exhibit resistance to other classes of antimicrobials, such as aminoglycosides, fluoroquinolones, macrolides, phenicols, sulfonamides, tetracyclines (TETs), and trimethoprim, leaving few or no therapeutic options (1, 2).

The Indian subcontinent is one of the most important areas for the global risk management of ESC- or carbapenem-resistant *Enterobacteriaceae*. New Delhi metallo- $\beta$ -lactamase (NDM) hydrolyzes all  $\beta$ -lactam antimicrobials except monobactam, and most of the NDM-positive isolates of the *Enterobacteriaceae* exhibit resistance to a broad spectrum of antimicrobials. NDM-1 was first reported in a *Klebsiella pneumoniae* strain isolated from a Swedish resident who traveled to New Delhi, India (3). Although NDM-1-positive *Enterobacteriaceae* strains have subsequently been iso-

lated throughout the world, most of the patients have reported a connection with the Indian subcontinent or the Balkan countries (4). The patients had visited and/or were hospitalized there or were potentially linked to other patients who had been hospitalized there. Walsh et al. (5) suggested that the Indian environment

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is also an important source of infection by NDM-1-positive *Enterobacteriaceae*, but this is still controversial (6, 7).

Information on environmental contamination by AMR bacteria in India is scanty. Hospital wastewater is reported to be an important source of contamination by AMR *Enterobacteriaceae* (8, 9). AMR bacteria can also be detected from river water (10, 11). However, in these studies, water samples were collected in a limited area and the molecular characteristics of the antimicrobial resistance determinants were not fully elucidated. More detailed investigations are required to evaluate the importance of the environmental factors that contribute to community-acquired infection by AMR bacteria. The purpose of this study was to manifest the distribution of ESC- and/or carbapenem-resistant *Escherichia coli* in the Indian aquatic environment as well as sewage treatment plants (STPs), which act as an important anthropogenic source of AMR bacteria in the environment. Further, comparison of the DNA sequences of plasmids conferring AMR was performed to help obtain an understanding of the nationwide pattern of dissemination of AMR determinants.

## MATERIALS AND METHODS

**Collection of water samples and isolation of *E. coli*.** A total of 74 water samples were collected from rivers and STPs in the Indian states of Bihar, Goa, Karnataka, Tamil Nadu, and Telangana between February 2013 and May 2014. The water samples were appropriately diluted with sterilized phosphate-buffered saline and spread onto Chromocult coliform agar (Merck KGaA, Darmstadt, Germany) plates to randomly isolate violet colonies (which are positive for both  $\beta$ -galactosidase and  $\beta$ -glucuronidase, which are indicators of the presence of *E. coli*). Up to 10 violet colonies were obtained from each sample. To check for the production of oxidase and indole, a cytochrome oxidase test strip (Nissui Pharmaceutical, Tokyo, Japan) and the dimethylaminocinnamaldehyde indole reagent (Becton, Dickinson and Company, Sparks, MD) were used. Among the violet colonies, the oxidase-negative and indole-positive isolates were identified to be *E. coli* and were stored in Luria-Bertani broth (Becton, Dickinson and Company) with 25% glycerol at  $-80^{\circ}\text{C}$  until further analyses.

**Antimicrobial susceptibility testing.** A Kirby-Bauer disc diffusion test was performed using Mueller-Hinton agar plates (Becton, Dickinson and Company) according to the recommendations of the Clinical and Laboratory Standards Institute (12, 13). The following antimicrobials were tested: ampicillin (AMP; 10  $\mu\text{g}$ ), cefazolin (CFZ; 30  $\mu\text{g}$ ), cefoxitin (FOX; 30  $\mu\text{g}$ ), cefotaxime (CTX; 30  $\mu\text{g}$ ), imipenem (IMP; 10  $\mu\text{g}$ ), chloramphenicol (CHL; 30  $\mu\text{g}$ ), TET (30  $\mu\text{g}$ ), streptomycin (STR; 10  $\mu\text{g}$ ), kanamycin (KAN; 30  $\mu\text{g}$ ), sulfamethoxazole-trimethoprim (SXT; 23.75/1.25  $\mu\text{g}$ ), nalidixic acid (NAL; 30  $\mu\text{g}$ ), and ciprofloxacin (CIP; 5  $\mu\text{g}$ ). The MICs of AMP, CTX, NAL, CIP, and ofloxacin were determined by agar dilution methods according to the recommendations of the Clinical and Laboratory Standards Institute (13).

**Genotyping of the  $\beta$ -lactamase gene by PCR and sequencing.** To determine the genotypes of the  $\beta$ -lactamase gene, PCR was conducted using the primers listed in Table S1 in the supplemental material. PCR amplification was performed using an iCycler apparatus (Bio-Rad Laboratories, Hercules, CA). TaKaRa *Ex Taq* DNA polymerase (TaKaRa Bio, Shiga, Japan) was used according to the manufacturer's instructions. To determine the whole sequences of the *bla*<sub>CTX-M</sub> and *bla*<sub>NDM</sub> genes, primer pair seq-CTX-F and seq-CTX-R and primer pair Pre-NDM A and Pre-NDM B, respectively, were used. The nucleotide sequences on both strands were determined using an Applied Biosystems 3130xl genetic analyzer with a BigDye Terminator cycle sequencing kit (version 3.1; Applied Biosystems, Foster City, CA, USA). The sequences were assembled using the Sequencher program (version 4; Hitachi Solutions, Kanagawa, Japan), and DNA alignments and deduced amino acid sequences were

examined using the Basic Local Alignment Search Tool (BLAST; <http://blast.ncbi.nlm.nih.gov/Blast.cgi>) (14).

**Whole-genome sequencing of plasmids and data analysis.** To obtain the draft genome sequence, pulsed-field gel electrophoresis (PFGE) and next-generation sequencing (NGS) were performed. Briefly, plasmid DNA was purified from S1 nuclease-digested genomic DNA that had been separated by PFGE, as previously described (15), and the bands were visualized with SYBR Safe gel stain (Life Technologies Japan, Tokyo, Japan) under a blue-light transilluminator, followed by purification using a ZR-96 Zymoclean gel DNA recovery kit (Zymo Research, Irvine, CA, USA). A DNA sequencing library (insert size, 750 to 1,000 bp) was prepared using a Nextera XT DNA sample preparation kit (Illumina, Inc., San Diego, CA) for sequencing on an Illumina MiSeq sequencer (Illumina) according to the manufacturer's instructions. *De novo* assembly was performed with the A5-miseq pipeline (16), followed by annotation with the Prodigal program (version 2.60) (17) and a search of the NCBI nucleotide database for homologous sequences by use of the BLASTP program (14). A BLAST Atlases view was generated using a search for homologous sequences by use of the BLASTN program and the GView program (18). A search for the replicon type of the query contigs was performed by use of a search for sequences homologous to the amplicon sequences generated by PCR-based replicon typing (PBRT) and by use of an E value of  $<1\text{E}-10$ , a cover ratio of  $\geq 90\%$ , and the BLASTN program (19).

**Plasmidome network analysis.** These related plasmids were used to perform a network analysis similar to a previously described analysis (20). Briefly, the putative proteins carried by these plasmids were clustered using the UCLUST program (version 6.0.307) and the following parameters after sorting by sequence length, following the instructions accompanying the software:  $-\text{cluster\_smallmem}$ ;  $-\text{id}$ , 1.0;  $-\text{minsl}$ , 0.9;  $-\text{minqt}$ , 0.9;  $-\text{maxqt}$ , 1.1;  $-\text{query\_cov}$ , 0.9; and  $-\text{target\_cov}$ , 0.9. These parameters were chosen to cluster genes that had 100% amino acid sequence identity with at least 90% coverage and a less than 10% length difference. Plasmids sharing at least two homologous genes were connected as a network. Subsequently, a community was detected using the multilevel community method in the igraph library in R and default parameter settings. The network graph was drawn with the Cytoscape program (version 3.2.0) (43).

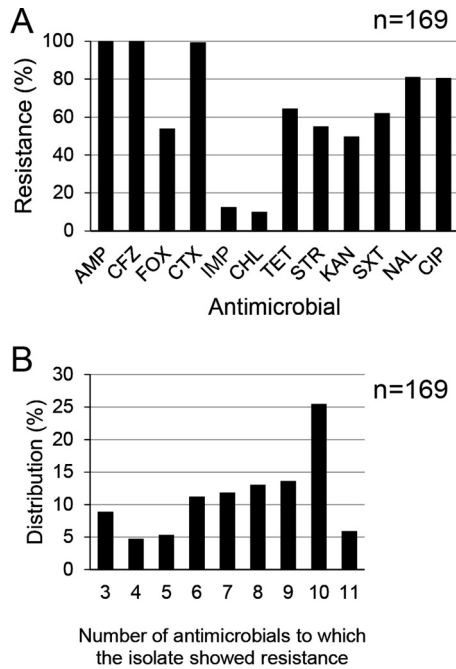
**Nucleotide sequence accession numbers.** The nucleotide sequences of the  $\beta$ -lactamase genes and plasmids were deposited in the DNA Data Bank of Japan under accession numbers LC095449 to LC095574 for  $\beta$ -lactamase genes, AP014876 to AP014877 for the complete nucleotide sequences of two plasmids, and LC056077 to LC056712 and LC069379 to LC069386 for the nucleotide sequences of 644 contigs detected in 47 plasmids.

## RESULTS

### Selection of cefotaxime- or imipenem-resistant *E. coli* isolates.

A total of 446 *E. coli* isolates were obtained from the 74 water samples. Among them, 168 isolates detected in 49 water samples showed resistance to CTX. Twenty of the 168 isolates also exhibited resistance to IMP. One isolate that showed intermediate resistance to CTX and that was detected in a different water sample also exhibited resistance to IMP. The diameter of the inhibition zone of this isolate resistant to IMP was 17 mm. We further examined these 169 CTX- and/or IMP-resistant isolates (37.9%) detected in a total of 50 water samples (67.6%) in this study. These isolates originated from four states, including Bihar, Karnataka, Tamil Nadu, and Telangana, as shown in Table S2 in the supplemental material. We could not detect CTX- and/or IMP-resistant isolates from water samples collected in Goa.

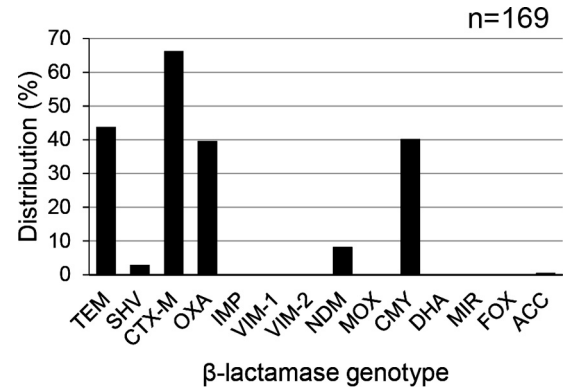
**Antimicrobial susceptibility.** The susceptibility data for a few isolates collected from Karnataka have been previously published (8). In the current study, additional isolates from other parts of



**FIG 1** (A) Distribution of resistance to 12 antimicrobials among the extended-spectrum-cephalosporin-resistant and/or carbapenem-resistant *E. coli* isolates. The x axis indicates the antimicrobials used in this study: ampicillin (AMP), ceftazolin (CFZ), cefoxitin (FOX), cefotaxime (CTX), imipenem (IMP), chloramphenicol (CHL), tetracycline (TET), streptomycin (STR), kanamycin (KAN), sulfamethoxazole-trimethoprim (SXT), nalidixic acid (NAL), and ciprofloxacin (CIP). The y axis indicates the prevalence of antimicrobial-resistant isolates. (B) The numbers of antimicrobials to which the same *E. coli* isolates for which the results are shown in panel A are resistant.

India were included to understand the nationwide distribution pattern of antimicrobial resistance. **Figure 1A** shows the prevalence of resistance to the 12 antimicrobials tested among the selected 169 isolates. The prevalence of resistance to FOX, TET, STR, KAN, SXT, NAL, and CIP was 50.0% to 81.1%. These isolates exhibited resistance to more than two antimicrobials, and 58.0% of the isolates showed resistance to eight or more antimicrobials. The most predominant number of antimicrobials to which the isolates showed resistance was 10 (**Fig. 1B**). The MIC<sub>90</sub>s of AMP, CTX, and NAL were  $\geq 512$   $\mu\text{g/ml}$ , whereas those of CIP and ofloxacin were 256 and 64  $\mu\text{g/ml}$ , respectively (see Table S2 in the supplemental material).

**Genotype distribution of  $\beta$ -lactamase genes.** As shown in **Fig. 2**, the genes for the TEM, SHV, CTX-M, OXA, NDM, CMY, and ACC  $\beta$ -lactamases were detected in this study. The CTX-M  $\beta$ -lactamase gene was the most predominant and was detected in 112 isolates (66.3%), comprising 108 isolates carrying *bla*<sub>CTX-M-15</sub> and 4 isolates carrying *bla*<sub>CTX-M-55</sub>. The distributions of TEM, OXA, and CMY were 43.8%, 39.6%, and 40.2%, respectively. In addition, 1 to 4  $\beta$ -lactamase genes were detected in each isolate. Among the 21 isolates with resistance to IMP, NDM was detected in 14, comprising 2 isolates carrying *bla*<sub>NDM-1</sub>, 7 isolates carrying *bla*<sub>NDM-5</sub>, and 5 isolates carrying *bla*<sub>NDM-7</sub>. The diameters of the inhibition zones for IMP ( $\geq 23$  mm for susceptible, 20 to 22 mm for intermediate,  $\leq 19$  mm for resistant) were 6 to 9 mm for these isolates, whereas those for the remaining seven isolates without *bla*<sub>NDM</sub> genes were 12 to 19 mm. In addition, 1 to 3 different



**FIG 2** Distribution of  $\beta$ -lactamase genes among the extended-spectrum-cephalosporin-resistant and/or carbapenem-resistant *E. coli* isolates.

$\beta$ -lactamase genes (other than NDM) were detected in each of the seven isolates.

**Identification of antimicrobial resistance genes and replicon types in the whole-genome sequences of the plasmids.** We selected 36 isolates based on their AMR patterns and sample origins (see Table S2 in the supplemental material). Some of the 36 isolates may have originated from the same sample but were selected as they showed resistance to different antimicrobials. Whole-genome sequencing of the plasmids from these isolates yielded a total of 49 plasmid genome sequences (2 complete sequences and 47 draft sequences) (see Table S3 in the supplemental material). Fifty antimicrobial resistance genes which contribute to resistance to aminoglycosides,  $\beta$ -lactams, bleomycin, fluoroquinolones, macrolides, phenicols, rifampin, sulfonamides, TETs, and trimethoprim were detected in these sequences (**Fig. 3A**; see also Fig. S1 in the supplemental material). The *mph(A)* gene, which contributes to macrolide resistance, was the most prevalent among the 50 genes, and its prevalence was 38.8%. *bla*<sub>CTX-M-15</sub> and *bla*<sub>TEM-1b</sub> were the most prevalent  $\beta$ -lactamase genes, and their prevalences were 34.7% and 32.7%, respectively. The prevalence of *aac(6')-Ib-cr*, which contributes to resistance to both aminoglycosides and fluoroquinolones, was 26.5%.

A total of eight PBRT amplicon sequences (replicon types) were detected in 42/49 plasmids. We could not identify any replicon type in the remaining seven plasmids (**Fig. 3B**). Up to three replicon types were detected in 1 plasmid, and 5 different combinations of the replicon types were observed among 17 plasmids. The most prevalent combination of replicon types was FIA, FIB, and FII (see Fig. S1 and Table S3 in the supplemental material). This type of plasmid is subsequently described to be FIA + FIB + FII in this article. A solitary replicon type was detected in the remaining 25 plasmids.

**Network analysis of the plasmid sequences.** Network analysis was used to determine the number of genes in each plasmid shared by other plasmids. These numbers reflect the width of the lines between each plasmid in **Fig. 4**. Network analysis of the 49 plasmids revealed that plasmids belonging to the same replicon type shared more genes (**Fig. 4A**). Seventeen plasmids belonging to replicon types FIA + FIB, FIA + FIB + FII, FIA + FII, FIB + FII, and FIB + FIC + FII formed the largest community and shared 7 to 124 genes with each other. Fifteen of the 17 plasmids (pV044-c, pV048-a, pV085-a, pV097-a, pV123-a, pV130-a, pV147-a,

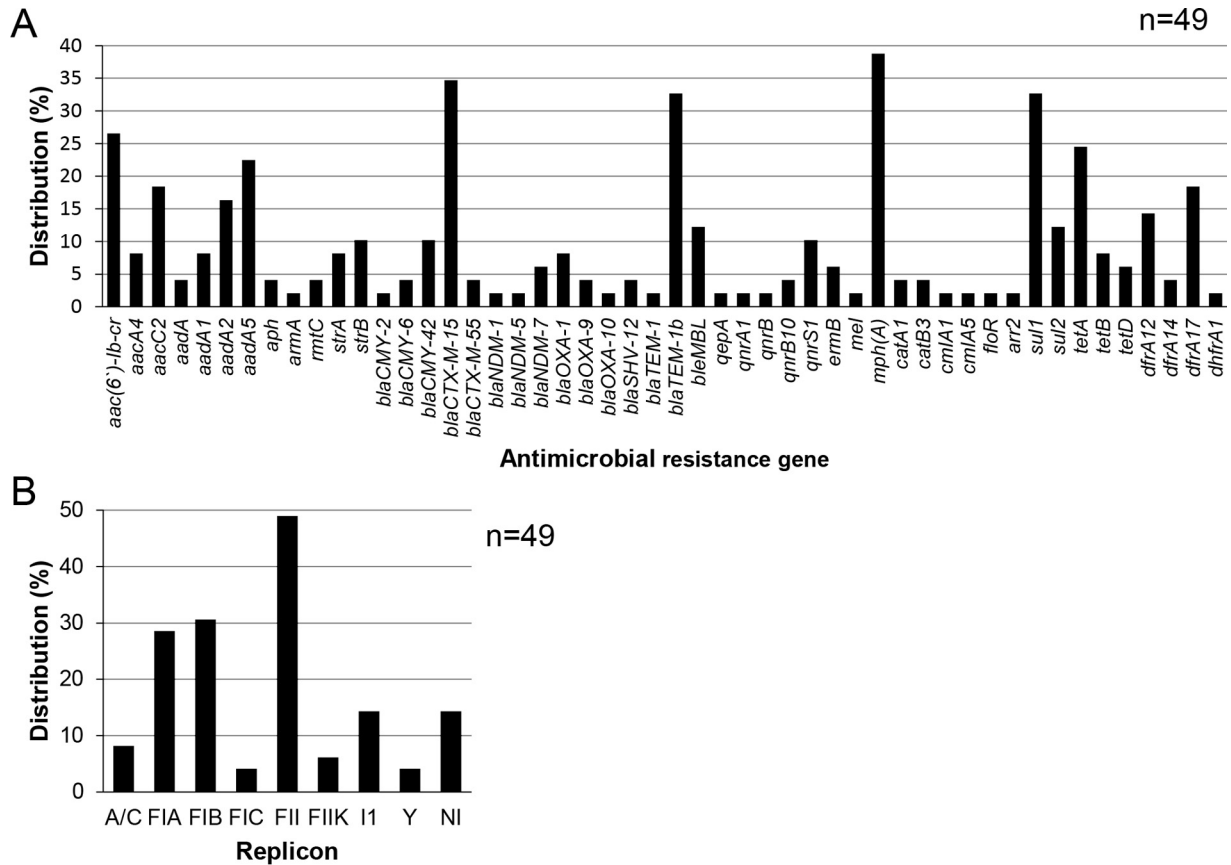


FIG 3 (A) Distribution of genes conferring antimicrobial resistance detected in the sequences of 49 selected plasmids obtained from extended-spectrum-cephalosporin-resistant and/or carbapenem-resistant *E. coli* isolates; (B) distribution of replicon types detected in the 49 selected plasmids.

pV158-a, pV228-a, pV244-b, pV251-a, pV275-a, pV294-a, pV318-a, and pV323-a) were detected in 12 water samples collected from three STPs in Karnataka. The remaining two plasmids (pV001-b and pV004-b) were detected in two river water samples collected in Bihar. Five replicon type FII plasmids (pV021-b, pV035-b, pV294-b, pV300-b, and pV318-b), which were detected in four water samples collected from two STPs in Karnataka, formed a community and shared 37 to 64 genes with each other. Each plasmid in this community also shared <24 genes between plasmids in the largest community. Four A/C plasmids (pV001-a, pV004-a, pV139-a, and pV266-a), which were detected in four water samples collected from an STP in Karnataka and a river in Bihar, formed a community and shared 72 to 289 genes with each other. Six I1 plasmids (pV123-b, pV147-c, pV233-b, pV272-c, pV294-c, and pV420-c), which were detected in six water samples collected from three STPs in Karnataka and a river in Tamil Nadu, formed a community and shared 22 to 54 genes with each other.

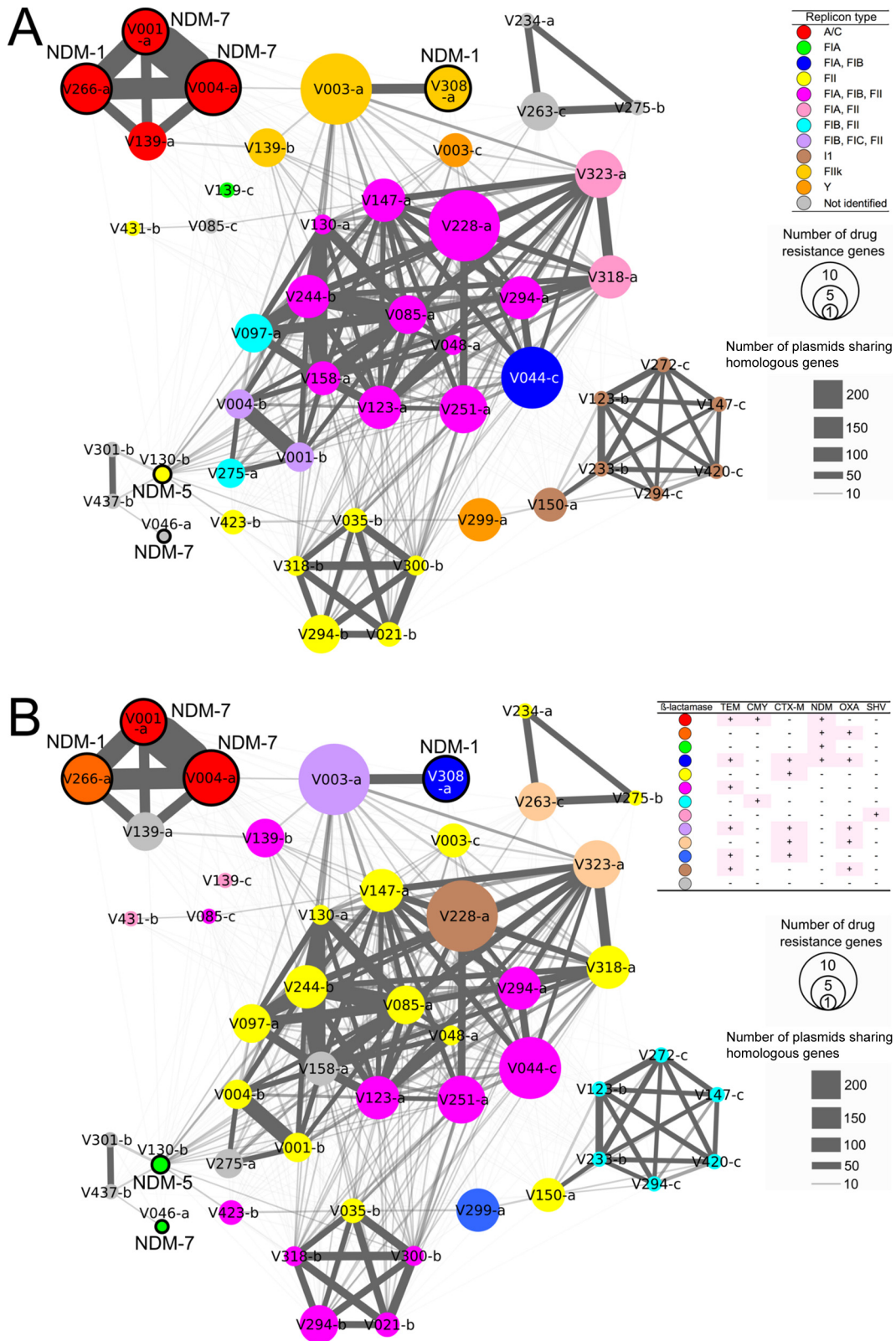
Twelve different patterns of detection of  $\beta$ -lactamase genes in one plasmid were observed. Plasmids with the same replicon types did not exclusively show the same patterns of  $\beta$ -lactamase genes in most cases (Fig. 4B; see also Fig. S1 in the supplemental material). NDM was detected in six plasmids (pV001-a, pV004-a, pV046-a, pV130-b, pV266-a, and pV308-a) which belonged to replicon types A/C, FII, and FIIk and one not identifiable replicon type. These plasmids were detected in five water samples collected from a river in Bihar and two STPs in Karnataka (see Table S3 in the supplemental material).

#### Comparison of DNA sequences of *bla*<sub>NDM</sub>-positive plasmids.

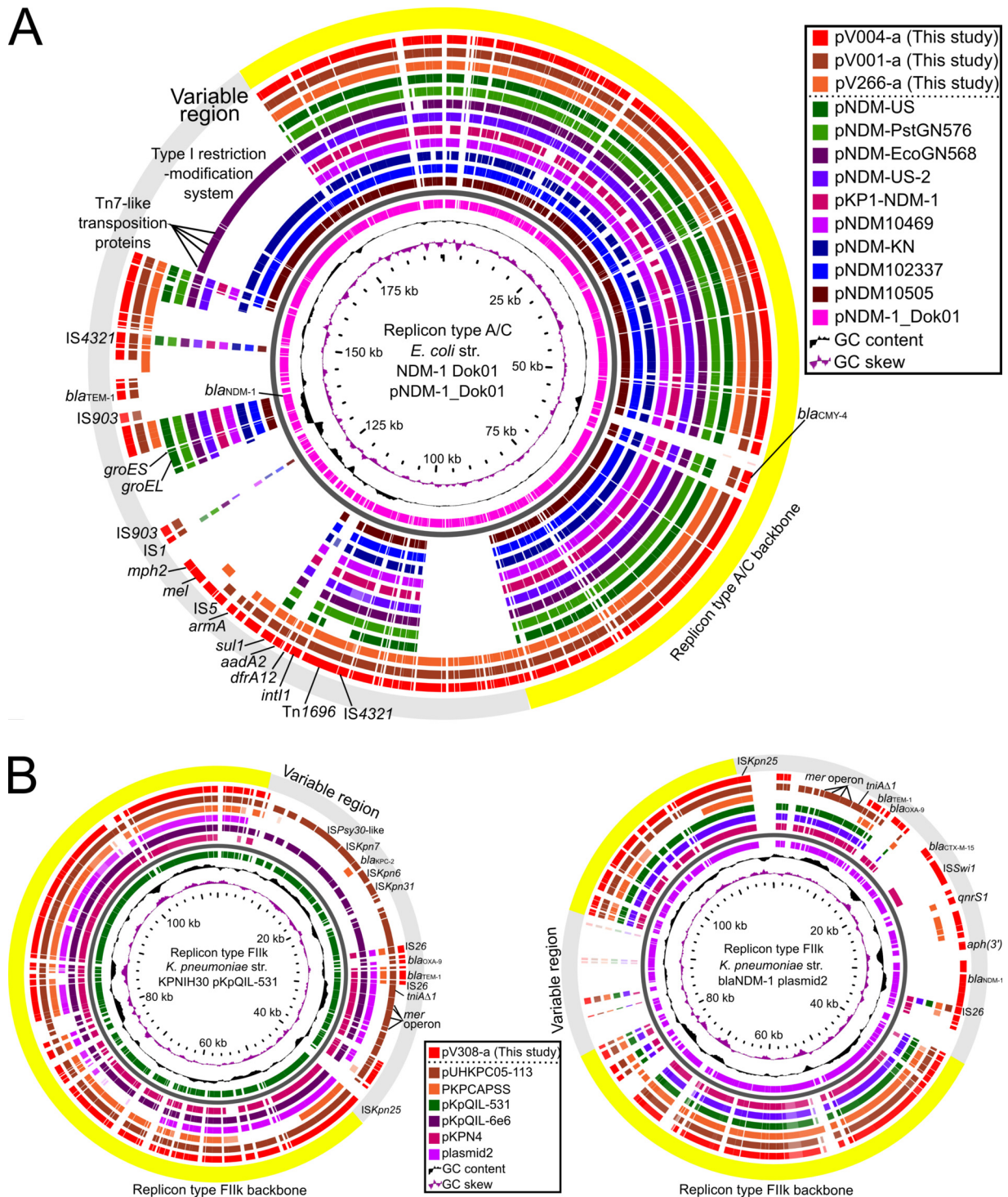
To assess the evolution of *bla*<sub>NDM</sub>-positive plasmids, we compared the whole-genome sequences of the six *bla*<sub>NDM</sub>-positive plasmids detected in this study with known sequences of related plasmids. The replicon type A/C backbone of plasmids pV001-a, pV004-a, and pV266-a showed high degrees of sequence similarity with the backbone of known replicon type A/C plasmids containing *bla*<sub>NDM</sub> genes. Most of the antimicrobial resistance genes were located in the variable region; the exception was *bla*<sub>CMY-4</sub> (Fig. 5A). The *bla*<sub>NDM</sub> genes of pV001-a, pV004-a, and pV266-a were located in the variable regions of these plasmids. The replicon type FIIk backbone of pV308-a showed a high degree of sequence similarity with that of several known *bla*<sub>KPC-2</sub>-positive plasmids (Fig. 5B, left). Although the *bla*<sub>KPC-2</sub> gene was not detected in pV308-a, a genetic region containing antimicrobial resistance genes *bla*<sub>NDM-1</sub>, *aph*(3'), *qnrS1*, and *bla*<sub>CTX-M-15</sub> was located in the variable region in this plasmid (Fig. 5B, right). Three open reading frames (ORFs) adjacent to the *bla*<sub>NDM</sub> gene of the six plasmids, including *ble*<sub>MBL</sub>, *trpF*, and *descC*, were common with those found in other related plasmid sequences. Multiple transposase genes were located in the flanking regions (Fig. 6).

#### DISCUSSION

In this study, more than half of the 169 ESC- and/or carbapenem-resistant *E. coli* isolates exhibited resistance to eight or more antimicrobials (Fig. 1B). Eighty percent of the 169 *E. coli* isolates also exhibited a high level of resistance to fluoroquinolones (see Table



**FIG 4** Network community analysis of the 49 selected plasmids based on the whole or draft plasmid genome sequences. Each circle represents a plasmid. The circle diameters correlate with the number of antimicrobial resistance genes in the plasmid. *bla*<sub>NDM</sub>-positive plasmids are highlighted by black borders. Plasmids sharing at least 2 homologous genes (at least 100% identity at the amino acid level, 90% ORF coverage, and a length difference of less than 10%) are connected by gray lines. The widths of the gray lines correlate with the quantity of homologous genes shared. Each plasmid is colored by replicon type (A) or the pattern of possession of  $\beta$ -lactamase genes (B). The prefix “p” was removed from the plasmid names.



**FIG 5** Circular alignments of the DNA sequences of four *bla*<sub>NDM</sub>-positive plasmids obtained in this study and known related plasmid sequences. The visualized area shows that the percent identity of similar genes between the reference plasmid and other plasmids was at least 80%. The known sequences of the following plasmids (GenBank accession numbers) were included: pNDM-US (NZ\_CP006661), pNDM-PstGN576 (KJ802405), pNDM-EcoGN568 (KJ802404), pNDM-US-2 (KJ588779), pKP1-NDM-1 (KF992018), pNDM10469 (JN861072), pNDM-KN (JN157804), pNDM102337 (JF714412), pNDM10505 (JF503991), pNDM-1\_Dok01 (AP012208), pKPCAPSS (KP008371), pKpQIL-531 (CP008833), pKpQIL-6e6 (CP007730), pKPN4 (CP000649), and plasmid2 (CP009115). (A) Alignment of replicon type A/C plasmids. Draft genome sequence data for plasmids pV001-a, pV004-a, and pV266-a were obtained in this study. Ten known sequences of *bla*<sub>NDM</sub>-positive plasmids were included, and pNDM-1\_Dok01 was used as a reference. (B) Alignments of replicon type FIIk plasmid sequences. Draft genome sequence data for plasmid pV308-a were obtained in this study. Six known sequences of *bla*<sub>KPC-2</sub> or *bla*<sub>NDM-1</sub>-positive plasmids were included, and pKpQIL-531 (left) and plasmid2 (right) were used as references.

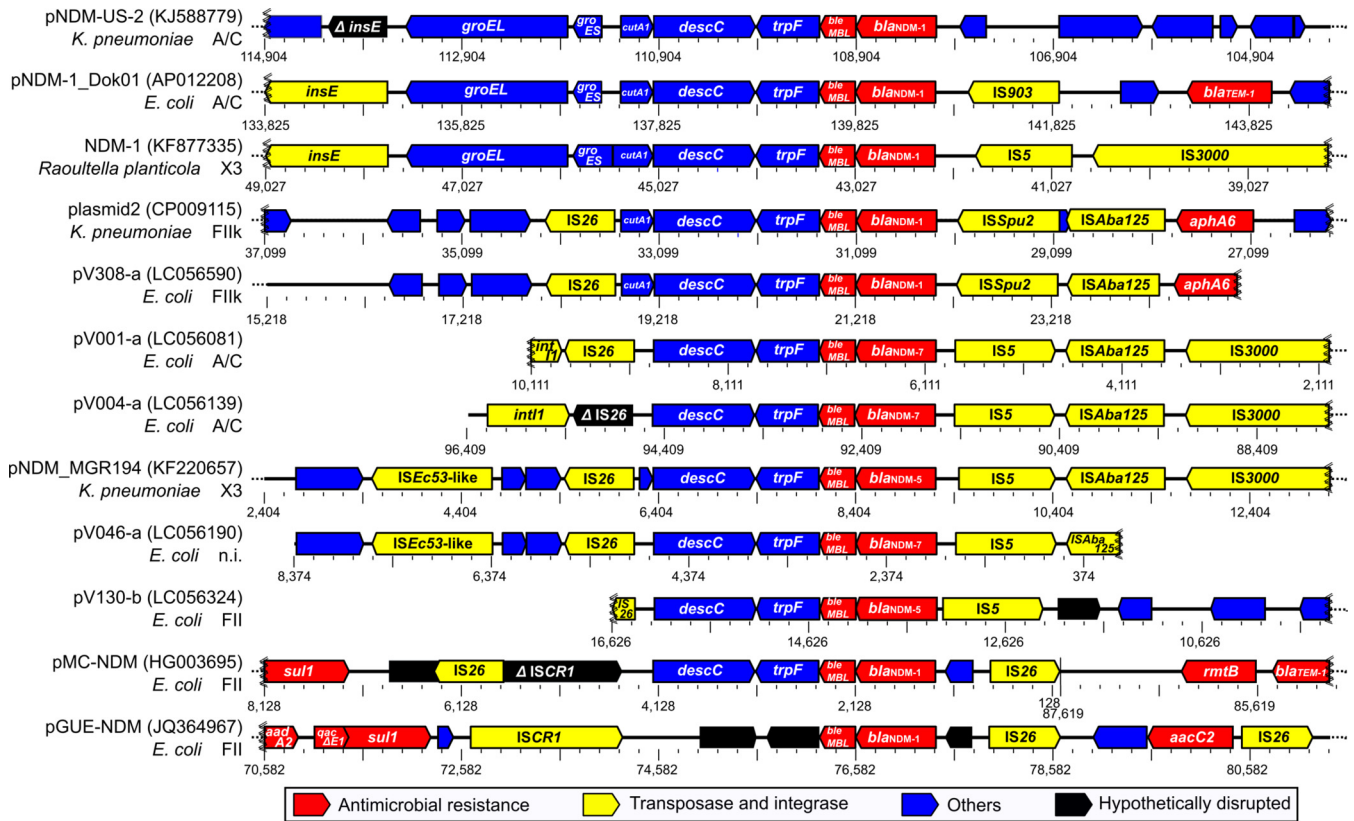


FIG 6 Linear alignment of DNA sequences of *bla*<sub>NDM</sub> genes and the flanking regions of various plasmids. Draft genome sequence data for five *bla*<sub>NDM</sub>-positive plasmids obtained in this study were used. Data for pV266-a were omitted because the *bla*<sub>NDM-1</sub>-positive contig originating from this plasmid does not contain flanking regions. Seven known sequences of *bla*<sub>NDM</sub>-positive plasmids, as indicated, were included. GenBank accession numbers are given in parentheses.

S2 in the supplemental material). Fluoroquinolone resistance is mainly mediated by the accumulation of point mutations in the chromosomal genes encoding DNA gyrase and/or DNA topoisomerase IV (21). Although we did not check the DNA sequences of the quinolone resistance-determining regions of these genes in this study, most of the fluoroquinolone-resistant isolates should have these point mutations because wild-type *E. coli* isolates are highly susceptible to this antibiotic (21). Plasmid-mediated quinolone resistance genes, including *aac*(6′)-*Ib-cr*, *qepA*, *qnrA1*, *qnrB*, *qnrB10*, and *qnrS1*, that contribute to the low level of resistance to fluoroquinolones (22) were detected among the 49 plasmids analyzed in this study (Fig. 3A). To some extent, these genes contribute to the fluoroquinolone resistance of these isolates.

Among the 12 antimicrobials to which the susceptibility of the isolates was tested, the rate of resistance to CHL was the lowest (10.1%) (Fig. 1A). Historically, CHL, AMP, and SXT were used for the treatment of typhoid fever. Since the global emergence of multidrug-resistant *Salmonella enterica* serovar Typhi isolates, CIP has become the first-line drug of choice for the treatment of typhoid fever in India (23). The lower level of CHL consumption compared with the level of consumption of the other antimicrobials could be reflected by the lower prevalence of resistance to this antibiotic among the isolates (24).

We detected seven different  $\beta$ -lactamase genes, of which CTX-M was predominant (Fig. 2). Most of the CTX-M-positive *E. coli* isolates had the *bla*<sub>CTX-M-15</sub> gene, which is quite common in India (11, 25, 26). The *bla*<sub>CMY-2</sub>, *bla*<sub>CMY-6</sub>, *bla*<sub>CMY-42</sub>, *bla*<sub>OXA-1</sub>,

*bla*<sub>OXA-9</sub>, and *bla*<sub>SHV-12</sub>  $\beta$ -lactamase genes, in addition to the *bla*<sub>CTX-M</sub> gene, were detected in the plasmid sequences (Fig. 3A) and can confer resistance to ESCs (27–29). In this study, we found 21 IMP-resistant *E. coli* isolates. *bla*<sub>NDM</sub> genes were detected in 14 of these 21 isolates. The diameters of the IMP inhibition zone for the remaining 7 isolates were larger than those for these 14 isolates with *bla*<sub>NDM</sub> genes. Because we detected 1 to 3 different  $\beta$ -lactamase genes other than the *bla*<sub>NDM</sub> gene, expression of an extended-spectrum  $\beta$ -lactamase and/or a AmpC  $\beta$ -lactamase combined with the decreased permeability of the cell membrane may have contributed to the lower level of resistance to IMP among the seven isolates (30).

Limited reports on the prevalence of  $\beta$ -lactamase genes among bacteria isolated from the environment are available. Bajaj et al. (11) reported that *bla*<sub>TEM</sub> was the most widespread (100%)  $\beta$ -lactamase gene, followed by *bla*<sub>CTX-M</sub> (16%), among 61 *E. coli* isolates which originated from a river in the northern part of India. They did not select *E. coli* isolates according to their antimicrobial resistance, while we selected *E. coli* isolates showing resistance to ESC and/or carbapenem for the detailed analyses. The difference in  $\beta$ -lactamase gene prevalence may be due to the difference in the methodology of selection of *E. coli* isolates. *bla*<sub>CTX-M-15</sub> is also the most prevalent  $\beta$ -lactamase gene conferring ESC resistance among bacteria in the environment in Bangladesh (31). *bla*<sub>CTX-M</sub> genes seem to be commonly detected from the environment in East Asia, Europe, and Australia (32–35). *bla*<sub>NDM</sub> genes have been detected in the environment in Ban-

gladesh and China (31, 36). Novovic et al. (37) could not detect *bla*<sub>NDM</sub>-positive bacteria from environmental waters in Serbia, which is recognized to be an area where NDM-1-producing bacteria are the most prevalent.

The results of network analysis suggest that plasmids sharing replicon types tend to form a community, which is based on the predicted gene similarity among the plasmids. The largest community consisted of different combinations of replicon type FIA, FIB, and/or FII (Fig. 4A). In this community, the integration of plasmids with different replicon types seemed to facilitate the evolution of these plasmids. In contrast, the remaining three communities consisted of plasmids with a single replicon type, A/C, FII, or I1 (Fig. 4A). Two different  $\beta$ -lactamase gene sets were observed among the communities of replicon types A/C and FII (Fig. 4B), suggesting that evolution had occurred within the variable regions of these plasmids. The plasmids within three of the four communities were detected in two different states, suggesting that dissemination of the ancestor plasmids to multiple states has occurred in India.

Three different *bla*<sub>NDM</sub> genes, *bla*<sub>NDM-1</sub>, *bla*<sub>NDM-5</sub>, and *bla*<sub>NDM-7</sub>, from six plasmids with replicon types A/C, FII, FIIk, and untypeable were detected in this study (Fig. 4A). *bla*<sub>NDM-5</sub> and *bla*<sub>NDM-7</sub> were reported to be variants of *bla*<sub>NDM-1</sub>, and each one has two amino acid substitutions compared with the sequence of *bla*<sub>NDM-1</sub>: V87L and M154L for *bla*<sub>NDM-5</sub> and D130N and M154L for *bla*<sub>NDM-7</sub> (38–40). Among these substitutions, M154L was reported to increase the hydrolytic activity of the enzymes (41). The results of comparisons of the DNA sequences of *bla*<sub>NDM</sub>-positive plasmids of replicon types A/C and FIIk showed that the backbone regions among these plasmids were highly conserved (Fig. 5A and B). The variable region contained multiple antimicrobial resistance genes, including *bla*<sub>NDM</sub>. The region adjacent to the *bla*<sub>NDM</sub> gene was highly conserved and was flanked by several insertion sequences (Fig. 6). These observations suggest that the point mutation, recombination, and transposition of the variable region facilitated the evolution of these plasmids. *bla*<sub>NDM</sub> genes were detected in plasmids belonging to replicon types A/C, L/M, FII, FIIk, FIB-M, and HI1, which have been found to be harbored by more than 30 species of bacteria thus far (4, 20).

In summary, most of the ESC- and/or carbapenem-resistant *E. coli* isolates from rivers and STPs in India evaluated in this study exhibited resistance to multiple antimicrobials, in addition to  $\beta$ -lactams. Fifty different resistance genes were detected in plasmids with various genetic backgrounds. Among the  $\beta$ -lactamase genes, *bla*<sub>CTX-M</sub> was predominant, and *bla*<sub>NDM</sub> was also detected. The results of network analysis and comparison of the DNA sequences suggest that various mutation events facilitated the evolution of the plasmids and that plasmids with similar genetic backgrounds have widely disseminated in India. Eighty percent of these isolates also exhibited resistance to fluoroquinolones. As ESCs and fluoroquinolones are often used for the treatment of serious infectious diseases in humans (42), environmental contamination with *Enterobacteriaceae* with this type of resistance is a serious threat to public health. The prevention of environmental contamination by anthropogenic sources is required to reduce community-acquired infection in India and to prevent the worldwide dissemination of ESC- or carbapenem-resistant *Enterobacteriaceae*.

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