#### SLEEP DISORDERED BREATHING

# Risk of Occupational Accidents in Workers with Obstructive Sleep Apnea: Systematic Review and Meta-analysis

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**Study Objectives:** Obstructive sleep apnea (OSA) is the single most important preventable medical cause of excessive daytime sleepiness (EDS) and driving accidents. OSA may also adversely affect work performance through a decrease in productivity, and an increase in the injury rate. Nevertheless, no systematic review and meta-analysis of the relationship between OSA and work accidents has been performed thus far.

**Methods:** PubMed, PsycInfo, Scopus, Web of Science, and Cochrane Library were searched. Out of an initial list of 1,099 papers, 10 studies (12,553 participants) were eligible for our review, and 7 of them were included in the meta-analysis. The overall effects were measured by odds ratios (OR) and 95% confidence intervals (CI). An assessment was made of the methodological quality of the studies. Moderator analysis and funnel plot analysis were used to explore the sources of between-study heterogeneity.

Results: Compared to controls, the odds of work accident was found to be nearly double in workers with OSA (OR = 2.18; 95% CI = 1.53–3.10). Occupational driving was associated with a higher effect size.

Conclusions: OSA is an underdiagnosed nonoccupational disease that has a strong adverse effect on work accidents. The nearly twofold increased odds of work accidents in subjects with OSA calls for workplace screening in selected safety-sensitive occupations.

**Commentary:** A commentary on this article appears in this issue on page 1171.

**Keywords:** excessive daytime sleepiness, injury, mean effect size, meta-analysis, obstructive sleep apnea, safety, systematic review, work accident, workplace **Citation:** Garbarino S, Guglielmi O, Sanna A, Mancardi GL, Magnavita N. Risk of occupational accidents in workers with obstructive sleep apnea: systematic review and meta-analysis. *SLEEP* 2016;39(6):1211–1218.

# Significance

This is the first systematic review and meta-analysis of studies about the relationship between obstructive sleep apnea (OSA) and work accidents. Workers with OSA have a nearly doubled risk of having an accident at work. Until now there was a large consensus about this concept, but our study shows how urgent the need for high-quality studies on this issue is. Screening for OSA in the workplace may help to prevent work accidents.

#### INTRODUCTION

Obstructive sleep apnea (OSA) is an underdiagnosed<sup>1</sup> chronic disease characterized by recurrent episodes of apneas and hypopneas during sleep, associated with repetitive episodes of intermittent hypoxemia, intrathoracic pressure changes, arousals, and excessive daytime sleepiness (EDS).<sup>2</sup>

A recent study estimates the prevalence of moderate to severe OSA (≥ 15 events/h) at 23.4% in women and 49.7% in men age 40 years or older.³ Patients with untreated OSA are at significantly increased risk of cardiovascular, metabolic, neurocognitive disease⁴-6 and motor vehicle accidents (MVAs).⁵ It has been recently estimated that 7% of road traffic injuries for a population of male drivers involved in MVAs are attributable to OSA.⁵

OSA may also adversely affect work performance, work processes, and business in general. Studies show that it is associated with an increase in the injury rate, 9,10 a decrease in productivity, 11 and has a substantial adverse effect on economic systems. 12,13

Given its associated morbidity, and the effectiveness of treatment, identification of patients with OSA is an important public health issue.<sup>14,15</sup> In the United States, to prevent accidents and related injuries in driving workers, a protocol for the screening and monitoring of commercial vehicle drivers with a clinical suspicion or diagnosis of OSA has been validated.<sup>16</sup> With a wider target, extended to all drivers, the European Commission recently recommended mandatory testing for OSA and EDS, in all European countries, before a driver's license is granted or renewed.<sup>17</sup>

Nevertheless, so far there are no systematic analyses of the literature on OSA and accidents at work. Previous review analyses were narrative<sup>18,19</sup> or included studies adopting heterogeneous case definitions (e.g., snoring,<sup>20–22</sup> EDS,<sup>22–24</sup> low quantity<sup>24–26</sup> or low quality of sleep<sup>25</sup>) and/or a number of different diagnostic criteria (self-reporting of symptoms or instrumental monitoring). Although there is a lack of decisive evidence that OSA increases the risk of accidents at work, some companies have already started health promotion programs regarding OSA.<sup>27</sup> The elevated prevalence of OSA and the high incidence of work accidents make it vital to pinpoint the relationship between the two phenomena.

The aim of our research was to quantify the risk of occupational accidents associated with OSA, through a systematic review and a meta-analysis of the literature.

# **METHODS**

We followed the guidelines developed by the PRISMA (Preferred Reporting Items for Systematic Review and Meta-Analysis) group.<sup>28</sup>

# **Search Strategy**

We systematically searched PubMed, PsycInfo, Scopus, Web of Science, and the Cochrane Library. All pre-September 2015 literature in English, Italian, French, Spanish, and Portuguese was included. The overall search strategy combined keywords from population (professional drivers OR occupational OR truck OR lorry OR commercial OR taxi OR bus), workplace

(occupational OR worker OR working OR job OR employment OR occupational diseases), (risk OR relative risk), diagnosis (sleep apnea syndrome OR apnea OR obstructive sleep apnea) and endpoint (accidents OR safety OR injuries). In addition, we manually searched for additional published articles.

#### **Selection of Published Studies**

Two independent investigators (SG and OG) first reviewed all titles/abstracts to identify potentially relevant articles. They then performed the study selection, based on a full-text review, according to inclusion/exclusion rules. Disagreements were resolved by discussion. When full manuscript texts were not retrievable, the corresponding authors were contacted directly. All the empirical studies (cross-sectional, prospective, case-control, and quasi-experimental) were included. Studies on the frequency of accidents in the workplace (including traffic accidents involving professional drivers) were selected if they involved adult workers (age 18 y or older) with OSA in whom the condition had been diagnosed using polygraphy, i.e., portable, limited channel devices; polysomnography (PSG), i.e., full, multiparametric test; or standardized questionnaires, and compared with a control group of subjects not affected by OSA. In the selected studies, subjects with others sleep disorders, medical or other conditions, or who consumed drugs, alcohol, or other substances that provoke EDS were excluded. We excluded studies concerning accidents occurring outside of work, or not specifically regarding workers; studies based on driving simulators; studies regarding all breathing disorders during sleep not specifically diagnosed as OSA, studies that failed to distinguish different sleep disorders or that failed to diagnose OSA using one of the aforementioned methods; and studies analyzing the prevalence of sleep disorders without reference to accidents at work. Finally, we excluded all secondhand studies, such as systematic reviews or meta-analyses.

Selected studies were included in the systematic review. Two meta-analyses were made: the first including cases of suspected OSA (diagnosis performed by a validated questionnaire), the second limited to studies in which diagnosis was confirmed using instrumental techniques (polygraphy or PSG).

# Assessment of the Methodological Quality of the Selected Studies

Two authors (OG and SG) assessed each study independently by applying the Newcastle-Ottawa scale (NOS).<sup>29</sup> This inventory, which is used to check the quality of nonrandomized studies, is based on three broad perspectives: the study and control group selection criteria, the comparability of the groups, and ascertainment of the exposure/outcome of interest. Disagreement on quality assessment was resolved by discussion and consensus finding.

# **Data Extraction**

The following data were extracted for each study: general data (study design, year of publication), type of job and characteristics, country, mean age of workers; number of subjects, diagnostic criteria for OSA. Other risk factors and outcomes

(accidents) were obtained independently by two reviewers (OG and NM), who also calculated the odds ratios (OR) and the corresponding confidence intervals (95% CIs) when they were not reported, for every study included. Disagreement was resolved by discussion and consensus finding, and the opinion of a third reviewer (SG).

# **Quantitative Data Synthesis**

Data concerning effect sizes were extracted from each article. Most of the studies reported the OR as the effect size. The other effect size estimates were standardized to the OR. We reported results according to a random-effect model, based on the assumption that the effects being estimated in the different studies were not identical, but followed some distribution.

Heterogeneity among studies was assessed by visual inspection of the forest plot and by using a standard chi-square test with a significance level of alpha = 0.01.<sup>30</sup> The percentage of heterogeneity among effect size in the various studies was quantified by  $I^2$ , with an  $I^2$  of 25%, 50%, and 75% corresponding to a small, medium, and large degree of heterogeneity, respectively.<sup>31</sup>

Moderator analysis was performed to analyze the source of heterogeneity in the different study results. Moderator analysis with categorical models analogous to analysis of variance was carried out to ascertain whether coded study characteristics systematically predict variation in effect sizes across studies. Categories included in the *a priori* model were: type of occupational accident (traffic accident/other work injuries); type of work (commercial vehicle drivers/bus drivers/others); method of diagnosis (questionnaire/PSG). Publication bias was evaluated using the funnel plot<sup>32</sup> and was quantified by the Egger test.<sup>33</sup>

Analyses were performed with Comprehensive Meta-Analysis, version 2.2.064 (Biostat, Inc. Englewood, NJ 07631 USA) software. Moderator analysis and publication bias analysis were conducted using the statistical R program.

# **RESULTS**

Our search strategy yielded a total of 1,099 studies (Figure 1). There were 794 papers that were discarded because they were not related to the research, 33 records were duplicate papers, and 241 studies were not empirical. From the remaining 31 papers we had to discard 5 studies because they did not distinguish OSA from other sleep disorders, 7 because diagnosis was based on non-standardized questionnaires, 6 because they did not report work-related accidents, and 3 because OR was not reported nor calculable. The final sample for systematic review comprised 10 studies, with a total of 12,553 participants. Seven studies were cross-sectional, 3 were case-control. Sample sizes ranged from 87 to 6,933 workers. Quality of studies, assessed with NOS method, was often low (Table 1).

Most studies calculated the OR and 95% CI; some studies did not report the OR and 95% CI that were calculated from the number or the frequency of accidents in workers affected by OSA and in controls. One study evaluated the relative risk<sup>34</sup> and another study reported the chi square value of a comparison of workers with OSA and those without OSA.<sup>35</sup> In one study,<sup>36</sup> an incorrect OR value was recalculated. The different

effect sizes were then standardized to the unadjusted OR in the meta-analysis.

Most of the included studies<sup>34–40</sup> regarded work-related traffic accidents; the others<sup>41–43</sup> comprised all occupational injuries. In most cases, the workers were professional drivers, and in one study they were firefighters.<sup>43</sup> The three case-control studies<sup>38,44,45</sup> recruited observations in outpatient clinics; occupations were then mixed and included both high- and low-risk jobs. The study of Horstmann et al.<sup>35</sup> investigating traffic accidents occurring during the previous 3 y, observed up to a 15-fold increase of the accident rate per 1 million driven km in the group with severe OSA compared to normal, and dropping of the motor vehicle accident rate after appropriate treatment of OSA. In two other case-control studies, the increase of accident rate in the OSA group was not significant.

The recall period varied greatly, ranging from 1 mo in the study of Barger et al.<sup>43</sup> to more than 14 y<sup>41</sup> or the whole working life in others.<sup>36,42</sup> In addition, the accident rate greatly varied, from a low 1.74 per 100 workers per year in Italian white and blue collar workers,<sup>41</sup> to approximately 10% per month in US firefighters.<sup>43</sup>

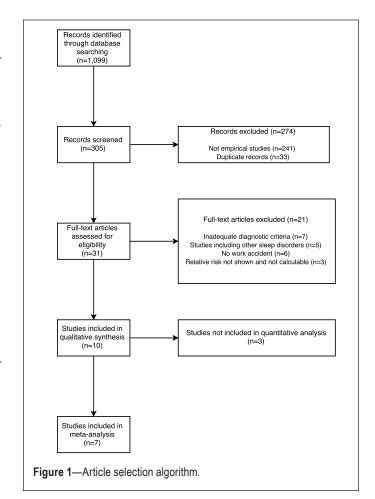
The occurrence of accidents was generally self-reported with reference to the previous 3 or 5 y, more rarely drawn from accident recording,<sup>40</sup> or compared to them.<sup>43</sup> All studies showed high prevalence of OSA and EDS, associated with higher odds of falling asleep at the wheel or having accidents and near-miss accidents (Table 1).

One cross-sectional study<sup>38</sup> defined cases as responsible for driving accidents; for this reason it could not be included in the meta-analysis with all the other studies that defined cases as affected by OSA. Two other studies<sup>37,39</sup> did not report the number of cases and controls, but only the resulting ORs, so they could not be included in the meta-analysis. The first meta-analysis was performed on 7 studies (Figure 2).

Diagnosis of suspect OSA was mainly obtained with the Berlin Questionnaire. In two studies, OSA was diagnosed by polygraphy,  $^{34,41}$  and in two further studies OSA diagnosis was performed by PSG.  $^{35,42}$  In these studies, according to the American Academy of Sleep Medicine diagnostic criteria,  $^{44,45}$  OSA was diagnosed if apnea-hypopnea index was  $\geq 5^{34,41,42}$  or  $\geq 10$ .  $^{35}$  The second meta-analysis was therefore performed in

four studies where diagnosis was confirmed with polygraphy/ PSG (Figure 3).

Workers with suspected OSA had a near twofold increased odds of being involved in occupational accidents compared to workers without OSA: OR = 2.18; 95% CI: 1.53–3.10 in studies including also suspected OSA; OR = 1.78; 95% CI: 1.03–3.07 in studies with confirmed diagnosis. No data on the effect of severity of OSA on the risk of occupational accidents were found.



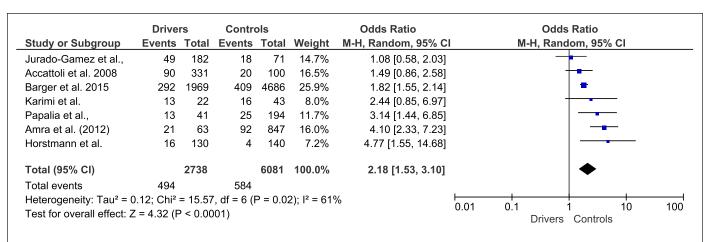


Figure 2—Meta-analysis of the association of self-reported obstructive sleep apnea and workplace accidents (seven studies).

Table 1—Systematic analysis and study characteristics.

Study	Study Design	Study Quality	Sample n (Location)	Population (mean age or age range in y)	Method of Diagnosis	Definition of Work Injury	Follow-up Period	Odds Ratio (95% CI)
Accattoli et al. (2008) <sup>41</sup>	Case-control	*	431 (Italy)	White and blue- collar workers (33.4–60.1)	Polygraphy	Self-reported work accidents, excluding musculoskeletal and commuting accidents	14.3 y	OR = 1.49 (0.86–2.58)*
Amra et al. (2012) <sup>36</sup>	Cross- sectional	*	931 (Iran)	Commercial vehicle drivers (40.2 ± 10.1)	Berlin Questionnaire	Self-reported lifelong traffic accidents	Not indicated	OR = 4.10 (2.33–7.23)#
Barger et al. (2015) <sup>43</sup>	Cross- sectional	***	6,933 (USA)	Firefighters (40.4 ± 8.9)	Berlin Questionnaire	Self-reported work accidents	1 mo	OR = 1.82 (1.55–2.14)
Catarino et al. (2014) <sup>37</sup>	Cross- sectional	*	714 (Portugal)	Commercial vehicle drivers (43.1 ± 10.0)	Berlin Questionnaire	Self-reported traffic accidents	5 y	OR = 1.44 (0.97–2.14)
Ebrahimi et al. (2015) <sup>39</sup>	Cross- sectional	*	556 (Iran)	Commercial vehicle drivers (no data)	STOP-Bang Questionnaire	Self-reported traffic accidents	5 y	OR = 1.30 (1.01–1.55)
Horstmann et al. (2000) <sup>35</sup>	Case-control	**	316 (Switzerland)	Working population (56.5 ± 10.4)	PSG	Self-reported traffic accidents	3 y	OR = 4.77 (1.55–14.68)#
Howard et al. (2004) <sup>38</sup>	Cross- sectional	***	2,079 (Australia)	Commercial vehicle drivers (42.4 ± 10)	Multivariable Apnea Prediction Questionnaire (PSG)	Self-reported traffic accidents	3 y	OR = 1.30 (1.00–1.69)
Jurado-Gámez et al. (2014) <sup>42</sup>	Case-control	*	253 (Spain)	Working population (47 ± 9)	PSG	Self-reported work accidents	Not indicated	OR = 1.08 (0.58–2.03)
Karimi et al. (2013) <sup>34</sup>	Cross- sectional	*	87 (Sweden)	Bus drivers (22–64)	Polygraphy	Self-reported traffic accidents	5 y	OR = 2.44 (0.85-6.97)#
Papalia et al. (2012) <sup>40</sup>	Cross- sectional	*	253 (Italy)	Bus drivers (36–52)	Berlin Questionnaire	Recorded occupational and traffic accidents	10 y	OR = 3.14 (1.44–6.85)#

<sup>\*</sup>Low quality of evidence. \*\* Medium quality of evidence. \*\*\* High quality of evidence. #Calculation based on data contained in the article.

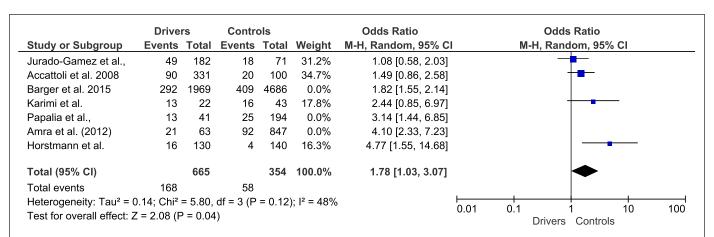


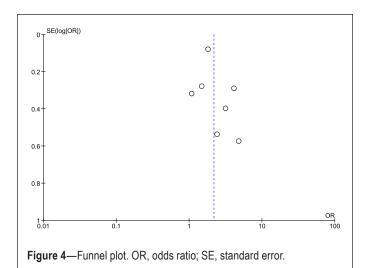
Figure 3—Meta-analysis of the association of instrumentally diagnosed obstructive sleep apnea and workplace accidents. Studies without polygraphy/PSG were excluded from calculation. CI, confidence interval.

# **Heterogeneity and Publication Bias**

Homogeneity analysis performed using the  $\chi^2$  statistic yielded a  $\chi^2 = 15.57$ , P = 0.02. Because this result may be a sensitive statistic in a meta-analysis based on a limited number of studies, the analysis was supplemented with the  $I^2$  statistic,

with a resulting total of 61% of real heterogeneity, indicating a medium to high degree of heterogeneity between studies.

Moderator analysis showed that the effect size was influenced by the type of occupational accident. In studies that analyzed work-related traffic accidents, the effect size was



significantly higher than in research focused on other types of work accidents (traffic accident: Cohen d = 0.717, P < 0.001; work accident: Cohen d = 0.304, P < 0.001). The type of work was also found to exert an influence as the result was significantly higher in the case of commercial vehicle drivers (Cohen d = 0.780, P < 0.001) compared to bus drivers (Cohen d = 0.576, P < 0.001) and unspecified jobs (Cohen d = 0.313, P < 0.001). Finally, the method of diagnosis was another significant source of heterogeneity; studies that used questionnaires had a higher effect size (Cohen d = 0.380, P < 0.001), whereas no significant differences in effect size were observed in studies using PSG (Cohen d = 0.229, P = 0.131).

A funnel plot (Figure 4) was used to evaluate the possible imbalance between positive and negative results reported in the studies selected in this meta-analysis. The Egger test was used to evaluate asymmetry in the negative and positive effect size. Because no asymmetry was detected in the funnel plot and the Egger test was not significant (P = 0.610), we found no evidence of publication bias, even if we cannot definitively rule out this possibility, given the low number of observations.

Given the variation in sample sizes, we have recalculated several times the meta-analysis by removing one study at a time. The results of each analysis were not significantly different from the previous calculation (Table 2).

# DISCUSSION

Our review, which is the first to be entirely based on studies in which OSA was diagnosed with standardized criteria, shows that workers with OSA have a near twofold increased odds of being involved in an accident at work compared to workers not affected by OSA.

Our results are in line with those of previous studies. In a recent systematic review and meta-analysis of studies on sleep disorders and work-related injuries, <sup>46</sup> OSA had the strongest association with workplace accidents compared to all other sleep disorders, with a relative odds of 2.88. Studies included in the aforementioned meta-analysis adopted a number of different case definitions (snoring, <sup>47–49</sup> EDS, <sup>47</sup> breathing pauses during sleep, <sup>48</sup> low quantity or low quality of sleep <sup>47,50</sup>). Moreover, the

**Table 2**—Meta-analysis of the studies on obstructive sleep apnea and work accidents.

Type of Analysis	OR	95% CI	l <sup>2</sup> (%)	Р
Meta-analysis, random model, 7 studies	2.18	1.53–3.10	61	< 0.001
Removing: Jurado et al.	1.95	1.69-2.25	59	< 0.001
Removing: Accattoli et al.	1.93	1.67-2.23	66	< 0.001
Removing: Barger et al.	2.11	1.58-2.83	65	< 0.001
Removing: Karimi et al.	1.88	1.63-2.17	67	< 0.001
Removing: Papalia et al.	1.86	1.61–2.15	64	< 0.001
Removing: Amra et al.	1.82	1.57-2.10	38	< 0.001
Removing: Horstmann et al.	1.85	1.61-2.14	62	< 0.001

The value of odds ratio (OR) and 95% confidence interval (95% CI), inconsistency ( $I^2$ ), and significance (P) were recalculated by removing one study at a time.

review included studies in which OSA was diagnosed with different methods. Our methodological approach, based on a systematic review of all available data, an assessment of the methodological quality of the studies, and a meta-analysis of studies in which OSA was diagnosed instrumentally, enabled us to quantify more accurately the risk of occupational accidents attributable to OSA.

In a previous review on motor vehicle crashes, <sup>7</sup> on observing that only two studies<sup>38,51</sup> had considered the relationship between work-related traffic accidents and OSA, the authors concluded that there was only limited evidence for the reported association. Because the literature now includes a number of additional studies that deal specifically with OSA, we were able to conduct two meta-analyses on work-related accidents. The analysis based only on studies in which OSA was diagnosed instrumentally (OR = 2.18; 95% CI: 1.53–3.10) yielded results similar to the other, which also included self-reported OSA (OR = 1.78; 95% CI: 1.03–3.07). Given the limited number of retrieved studies, this should be considered a preliminary finding. The analysis of subsequent, high-quality studies will give a better estimate of the association.

Based on the results, OSA screening may decrease or prevent some accidents in safety-sensitive occupations. Positive screens should then receive formal diagnostic tests to confirm or rule out OSA. Previous studies demonstrated that screening followed by PSG for high-risk subjects can have a high yield among workers.<sup>52</sup> Body mass index cutoffs are a very useful and simple approach for workplace screening that can be augmented by clinical means.16 Even workers of normal weight may be affected by OSA.53 It is well known that in the workplace setting, when job security is potentially at stake, questionnaire techniques might be subject to underreporting from the workers who want and learn to avoid the diagnosis. Thus, the most effective approach will likely be a clinical evaluation, with the help of validated questionnaires, aimed at seeking the signs and symptoms most frequently associated with OSA. Such assessments, aimed at addressing instrumental diagnosis and OSA treatment in workers, should be made by a physician skilled in the management of patients with OSA.

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Our research has shown that a number of variables may affect the magnitude of the results obtained. First, diagnostic criteria influence the variance observed. In studies in which OSA was diagnosed with a questionnaire, the risk of occupational injury was found to be greater than in those in which diagnosis was confirmed with instrumental examination. This could be because of smaller sample size, or by the confounding effect of sleepiness. Second, effect size was influenced by the type of outcome observed. Studies that analyzed accidents occurring while driving at work obtained a higher OR than those that included all types of work accidents. Third, the type of job was relevant. Studies that included only professional drivers obtained higher ORs than studies in which cases were drawn from all types of jobs. It is apparent that OSA represents a hazard, especially while driving. This result is in agreement with the findings of studies showing that drivers with OSA have a twofold to eightfold increased risk of motor vehicle crash.54-57 In addition, our results suggest that, like other sleep disorders and EDS,58 OSA is a major risk factor for occupational accidents during workplace activities that do not involve driving.

Our study has some limitations. Given the high level of heterogeneity of studies, some challenges in data interpretation should be kept in mind: variability in how OSA is defined, inconsistency with ascertainment of occupational accidents as well as type of accidents, varying populations which have been studied (bus drivers, firefighters, white collar workers, etc.), with very different accident rates, and differences in epidemiological designs. The fact that the injuries were mainly retrospectively self-reported and that the observation period was often very long can lead to recall bias. A possible source of bias that occurs in this meta-analysis is represented by the work organization that in some categories of workers implies night shift: the consequent sleep-wake cycle disruption and the sleep loss accumulation could be a variable that affects the relationship between OSA and work-related accidents. Furthermore, patients with OSA frequently present with co-morbidities (hypertension, obesity, diabetes, respiratory failure) that could influence the results. The use of sedative medications should be considered as a potential confounder.

According to Cochrane guidelines<sup>59</sup> we incorporated heterogeneity into random-effect models, and tried to address heterogeneity through moderator analysis, and publication bias through Egger test; however, the statistical power of these measures is limited, given the low number of selected studies. Moderator analysis could not include all the specific variables that may influence the association between OSA and work accidents. In addition, Egger test results should be viewed with caution. Indeed, few workplace data are available regarding OSA. The results of a limited number of high-quality studies conducted in the workplace need to be confirmed in larger studies involving subjects undergoing PSG. Moreover, the elevated prevalence and suspected negative effect of OSA on working processes in general indicate the need for high-quality studies, especially interventional trials, to be conducted in workplaces.

The lack of well-conducted studies is impressive, if we consider the number of workers using vehicles, heavy machinery,

and other safety-sensitive devices, and the fact that so many people have to drive to the workplace or while working. The main reason for this seems to be that OSA is not an occupational disease. The traditional, labor approach to occupational health and safety, and the legislation that derives from this model (still the most common in developed countries), indicated that the surveillance would apply only to aspects related to the work environment. Consequently, despite the high prevalence of OSA among the general population and therefore in adults at work, OSA is still not considered a risk factor pertaining to work. Fortunately, a new holistic approach to occupational health is raising awareness that a number of stakeholders (employers, physicians, sickness insurance, health and environment authorities, and the workers themselves) must work together to control all risk factors, both occupational and nonoccupational. The aforementioned European directive stems from this awareness.

Weaknesses and heterogeneities of published studies undoubtedly reduce the power of statistical association that we have actually quantified. Our study confirms that the risk of occupational accidents is associated with OSA. Because the EDS has a significant and clinically relevant association with OSA, neurologists and neurophysiologists could be playing an active role in prevention.

As part of medical assessment in the workplace, there is an urgent need to develop and implement better strategies for screening workers for OSA, especially (but not only) if their job involves the use of vehicles or machinery.

Screening for OSA can be done at a low cost. An instrumental diagnosis can be reserved for workers with suspected OSA. A sleep specialist evaluation is mandatory in the case of workers with OSA who have an associated sleep disorder other than OSA or residual EDS, although in optimal treatment for OSA. The early identification and successful treatment of OSA would probably reduce the number of occupational accidents and improve work performance, with benefits for work processes and business in general.<sup>60</sup>

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#### **ACKNOWLEDGMENTS**

The authors thank Ms. Elisabeth Ann Wright for assistance with the English version of this manuscript, and Dr. Enrico Di Stasio, MD, Università

Cattolica del Sacro Cuore, Roma, for checking statistical analyses. Authors' contributions: Dr. Garbarino conceived, designed, and coordinated the study, and collaborated in paper selection and drafting of the manuscript. Dr. Guglielmi performed the study and participated in statistical analysis and drafting of the manuscript. Dr. Sanna collaborated in study design and critically revised the manuscript. Dr. Mancardi collaborated in conceptualization of the study and paper selection. Dr. Magnavita performed the statistical analysis, drafted the manuscript, and critically revised it. Dr. Garbarino is the Principal Investigator or Guarantor, he has access to all the data and takes responsibility for the data, accuracy of the data analysis, and the conduct of the research. Dr. Magnavita is the Corresponding Author, responsible for all communications with the Journal throughout the review process, including ensuring that all Authors have approved the final submitted version, revised versions before they are submitted, and the final accepted version of the manuscript. All authors gave final approval of the version to be published and take responsibility for the conduct of the research.

#### SUBMISSION & CORRESPONDENCE INFORMATION

Submitted for publication October, 2015
Submitted in final revised form February, 2016
Accepted for publication February, 2016
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# **DISCLOSURE STATEMENT**

This was not an industry supported study. The authors have indicated no financial conflicts of interest.