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Atypical teratoid/rhabdoid tumors—current concepts, advances in biology, and potential future therapies

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Atypical teratoid/rhabdoid tumor (AT/RT) is the most common malignant CNS tumor of children below 6 months of age. The majority of AT/RTs demonstrate genomic alterations in *SMARCB1* (*INI1*, *SNF5*, *BAF47*) or, to a lesser extent, *SMARCA4* (*BRG1*) of the SWItch/sucrose nonfermentable chromatin remodeling complex. Recent transcription and methylation profiling studies suggest the existence of molecular subgroups. Thus, at the root of these seemingly enigmatic tumors lies a network of factors related to epigenetic regulation, which is not yet completely understood. While conventional-type chemotherapy may have significant survival benefit for certain patients, it remains to be determined which patients will eventually prove resistant to chemotherapy and thus need novel therapeutic strategies. Elucidation of the molecular consequences of a disturbed epigenome has led to the identification of a series of transduction cascades, which may be targeted for therapy. Among these are the pathways of cyclin D1/ cyclin-dependent kinases 4 and 6, Hedgehog/GL11, Wnt/ß-catenin, enhancer of zeste homolog 2, and aurora kinase A, among others. Compounds specifically targeting these pathways or agents that alter the epigenetic state of the cell are currently being evaluated in preclinical settings and in experimental clinical trials for AT/RT.

Keywords: AT/RT, BAF47, epigenetics, INI1, rhabdoid tumor, SMARCB1, SNF5, SWI/SNF.

Tremendous advances in technologies for cancer research have been seen in recent years—among many others, the tools for array hybridization, massive parallel sequencing, gene targeting, cell and tissue engineering, and, foremost, bioinformatics.^{1,2} The resulting data have altered our view on the mechanisms of cancer as such but have also modified some of the previously purely descriptive, morphology-based classification systems of malignancies toward more molecular and genetic assessments of cancer. Even more importantly, these findings have significant impact on the clinical management of affected patients.³

In 1978 Beckwith and Palmer⁴ initially described malignant rhabdoid tumors as a separate entity distinct from Wilms tumor on the basis of morphology. Subsequent *en detail* research recognized atypical teratoid/rhabdoid tumors (AT/RTs) as the CNS counterpart of rhabdoid tumors in the kidney and soft tissues.⁵ Further analyses have led to the elucidation of mutations in genes for components of the chromatin remodeling complex SWItch/sucrose nonfermentable (SWI/SNF) (foremost *SMARCB1*, rarely *SMARCA4*) as the only recurring theme.^{6,7} Rhabdoid tumors within and outside the CNS represent one end of a spectrum of malignancies characterized by a rather simple genome compared with other cancers, especially of adults, that may exhibit hundreds of mutations in an individual tumor.⁸ Most recently, profiling the transcript and epigenome has shed new light on AT/RT biology and suggests possible subgroup classification.⁹

While revisions of neuropathology taxonomy may not immediately impact clinical management, defining molecular mechanisms is a desperately needed key for treatment. The first phase I/II trials employing agents targeting molecular defects specifically designed for rhabdoid tumors are beginning to emerge, and the list of promising compounds is growing at a steady pace. AT/RTs are no longer enigmatic but rather

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increasingly understood malignancies that are approached in a systematic fashion from diagnosis to therapy.¹⁰

The Burden of Atypical Teratoid/Rhabdoid Tumors

Within registries (eg, the Central Brain Tumor Registry of the United States, with 16 044 children registered from 2007–2011), AT/ RTs account for ~40%–50% of all embryonal CNS tumors in the first year of life.¹¹ Age-specific incidence rates decrease thereafter: 8.1 per million below 1 year, 2.2 at 1–4 years, 0.6 at 5–9 years, and close to zero at 10–14 years (http://www.kinderkrebsregister. de/dkkr/veroeffentlichungen/jahresbericht.html). The median age of onset in most series is ~18 months. All series report a male predominance, with a 1.3 to 1.5 male to female ratio. AT/RT is the most common malignant CNS tumor in children below 1 year of age.^{11–13}

Primary locations may be the CNS, peripheral nerve roots, kidneys, head and neck, paravertebral muscles, liver, mediastinum, retroperitoneum, bladder, pelvis, heart, scrotum, and subcutis.^{14–16} Rhabdoid tumors may occur synchronously in 2 or more locations, typically due to the patient carrying a germline *SMARCB1* alteration.¹⁷ In most instances, one location will be the CNS.

Among 116 AT/RTs in the European Rhabdoid Registry (EU-RHAB), 57 (49%) were located within the cerebellum or IVth ventricle; 40 (34%) were located within the hemispheres including the basal ganglia; 5 (4%) each were detected in the mesencephalic and pineal regions, respectively; 2 (1.7%) were found in the spine; and 7 (6%) had extended across anatomic borders so that no clear origin could be determined (M. Frühwald, data from EU-RHAB). Metastases via cerebrospinal fluid are common and may be found in 20%–30% of cases at diagnosis.¹⁸

Demonstration of loss of the SWI/SNF-related matrixassociated actin-dependent regulator of chromatin B1 (SMARCB1) protein has tremendously helped in defining this entity and is close to pathognomonic for AT/RT.¹⁹ Rare AT/RTs with preserved SMARCB1 are on record, but novel entities such as CRINET (cribriform neuroepithelial tumor) have recently been described that also demonstrate inactivating mutations in *SMARCB1*.^{20,21} Previous reports on choroid plexus tumors and CNS primitive neuroectodermal tumors with lost SMARCB1 protein expression may in fact represent AT/RT variants with a less prominent rhabdoid component and more undifferentiated features.²²

Patients with multiple primary rhabdoid tumors and those from rare families with more than one affected sibling have a genetic predisposition to a rhabdoid tumor due to an underlying germline copy number alteration or mutation in *SMARCB1* or *SMARCA4*. Mutations of *SMARCB1* in the germline have been documented in ~25%-35% of patients with AT/RT, who are in general younger and exhibit more extensive disease.^{23,24} Despite the presence of a germline mutation, long-term survival has been recorded in some affected individuals.^{17,25} Apart from *SMARCB1*, *SMARCA4* may be mutated in the germline.²⁶ The majority of germline mutations appear de novo, and pedigrees with transmission across generations are rare.^{27,28} It is presumed that gonadal mosaicism accounts for familial cases with incomplete penetrance. Rhabdoid tumors have also been reported following *in vitro* fertilization, although it remains to be established whether the incidence is significantly increased.²⁹

State of the Art Clinical Management of Atypical Teratoid/Rhabdoid Tumors

Survival rates for patients with AT/RT are generally poor but have improved over recent years (Table 1). This is due to the development of trials specifically designed for this entity and to an improvement in focus on the vulnerability of affected young children.³⁰ A standard of therapy has yet to be defined.

Hilden et al¹³ reported that only 4 of 22 children with less than gross total resection (GTR) remained free of disease (21.5–96 mo from diagnosis). Lafay-Cousin and Hilden and their colleagues reported GTR in 30% (n = 50) and 48% (n =42), respectively.^{13,31} A meta-analysis by Athale et al³² and reports by Chi et al³³ and Lafay-Cousin and colleagues³¹ demonstrated improved survival rates for patients with GTR (19 vs 14.6 mo mean survival in complete vs partial resections, with 2-y overall survival [OS] of $60\% \pm 12.6\%$ vs $21.7\% \pm 8.5\%$). Conversely, several cases are on record of long-term survival without radical surgery using aggressive multimodality regimens.^{33,34}

The first successful therapy of AT/RT was reported by Olson et al³⁵ in 3 patients who survived for more than 5 years (F. Ruymann, personal communication).

The Children's Cancer Group study CCG-9921 identified a 1.5-fold lower risk of ensuing death in infants with progressive disease if they had received radiotherapy (AT/RT n = 28). Five-year event-free survival (EFS) for AT/RT was low at $14\% \pm$ 7%.³⁶ Pai Panandiker et al³⁷ reported 2-year progression-free survival (PFS) of $32.2\% \pm 10\%$ and OS of $53.5\% \pm 10\%$, revealing delayed radiation therapy (>1 mo from surgery) as more likely to induce an event in 31 patients. Seventeen patients from Taipei (1990–2003) received craniospinal irradiation (CSI) ranging from 25.5 to 36 Gy or 36 Gy plus a focal boost up to 44 Gy for spinal seeds. Multivariate analysis demonstrated a significant prognostic role of both time from surgery to radiotherapy and time to radiotherapy completion.³⁸ Lafay-Cousin and colleagues³¹ retrospectively reported 50 patients (1995–2007). Radiation at any time during therapy (adjuvant or salvage) significantly influenced survival (median survival 17.8 mo vs 14 mo; P = .64). In reviewing the Surveillance, Epidemiology, and End Results collection (1973–2008) of 144 patients, Buscariollo and colleagues¹⁸ verified a benefit of radiation when used as part of initial therapy. Curiously patients above 4 years of age experienced less benefit than younger patients.¹

The significant risk for leukoencephalopathy or even overt radionecrosis in the vulnerable nervous system of infants, who may additionally have been treated with intraventricular methotrexate (MTX), raises the concern as to whether radio-therapy may be either postponed or even replaced by alternative therapeutic means such as high-dose chemotherapy (HDCT).³⁹ In the series of 50 consecutive patients with AT/RT from the Canadian Paediatric Brain Tumor Consortium, a significant number (6/12) of surviving children had never received

| Reference Time | n | Age | M+ | Surgery (GTR) | Chemotherapy | Radiotherapy | Outcome | Comment |
|--|----|------------------------------------|-------------------|------------------|--|---|--|---|
| J. Hilden 2004 ¹³ n.s. | 42 | 12 ≥ 3 y 20 < 3 y | n=9 | 20 | COG 99703 $n = 8$; CCG 9921 $n = 6$ and individual; n = 16 i.th. therapy; n = 13 HDCT | n = 9 tumor bed; n = 4 CSI; various doses | ≥3 y: median EFS 16 mo; <2 y: median EFS 7.75 mo 2-3 y: median EFS 10.5 mo | 14 long-term survivors; GTR and older age prognostic |
| T. Tekautz 2005 ¹² 1984–2003 | 31 | 9≥3 y 22 < 3 y | 6/31 | 21 | Non-uniform: \geq 3 y, n = 7 SJMB96: <3 y, n = 7 BB98 and various others | <3 y, $n = 2$ local, $n = 1$ CSI + boost \geq 3 y, $n = 7$ CSI + boost | 2-y OS ~17% ±8% | Age >3 y prognostic; both long-term survivors received radiation |
| S. Chi 2009 ³³ 2004–2006 | 20 | Median 26 mo 2.4 mo-9.5 y | 6/20 | 10 | IRS III-like | 11 conformal 4 CSI 4 none 1 off study 54 Gy focal, 36 Gy CSI + boost | 2-y PFS 53% ± 13% 2-y OS 70% ± 10% | Only prospective phase II trial exclusively for AT/RT |
| K. von Hoff 2011 ⁴⁷ 1988–2004 | 56 | Median 1.2 y 0.1-14 y | 26/56 | 18 | HIT medulloblastoma protocols | n = 15 on primary therapy n = 14 at relapse 10/29 focal 19/29 CSI focal: 44.5 - 59.4 Gy CSI: 23.4 - 36.8 Gy | 3-y EFS: 13%±5% 3-y OS: 22%±6% | Retrospective analysis of medulloblastoma cohort; age, achievement of CR, and M-disease prognostic |
| C. Dufour 2012 ¹¹⁶ 1998–2008 | 58 | 38 ≤ 2 y | 17/58 10 < 2 y | 27 18 ≤ 2 y | n = 24 ATRT04; n = 9 baby SFOP; n = 11 HDCT | Radiation in all but baby SFOP n = 16 $7 \le 2 y$ | Median OS 9 mo 1-y EFS: 17% 1-y OS: 41% | Age <2 y, M+ disease and strong claudin; 6 staining negative prognosticators |
| L. Lafay-Cousin 2012 ³¹ 1995–2007 | 50 | 12 ≥ 3 y 21 = 1-3 y 17 < 1 y | 19/50 | 15 | 22 conventional; 18 HDCT eg, baby brain, IRS III-like, ICE; <i>n</i> = 9 anthracyclines | 21 as part of initial regimen; 6 at relapse | 2-y OS: 36.4±7.7 median survival 9.6 mo in <1 y; 19.1 mo ≥ 3 y | 6/12 survivor no radiation HDCT: 2-y OS: 47.9% \pm 12.1% convent.: 2-y OS 27.3% \pm 9.5% |

 Table 1. Selection of larger data sets for patients with AT/RT in consistent registries and clinical trials

| I. Slavc 2014 ⁵⁴ | 22 | 22 A: 24 mo | A: 4 | A: 5 | Cohort A: MUV (IRS III- | A: focal in all | Cohort A: | various i.th. regimens |
|-----------------------------|--------|--------------------|--------------|---------------|---|--|----------------------------------|--|
| 1992-2012 | A: 9 | A: 9 B: 30 mo | B: 3 | B: 5 | like + HD-MTX + HDCT) | B: 3 focal, 4 CSI, 6 none 5-y OS 100%; | 5-y OS 100%; |) |
| | B: 13 | B: 13 median age | | | cohort B: HIT-SKK, PEI, and | | 5-y EFS 88.9% $\pm 10.5\%$ | |
| | | | | | others | | cohort B: | |
| | | | | | | | 5-y OS and EFS: | |
| | | | | | | | $28.8\% \pm 13.1\%$ | |
| K. Bartelheim | | 12 < 1 y; 11 | 9 | 10 | Rhabdoid $2007 = IRS III - like < 18 mo and in$ | $<\!18$ mo and in | 6-y OS: 46 $\%\pm$ 0.10 $\%$ and | 6-y OS: $46\% \pm 0.10\%$ and Germline mutation in 29%; |
| submitted 2015 | | @1–3 y; | | | | localized disease | 6-y EFS 45%±0.09% | age, achievement of CR prognostic |
| 2005-2009 | | 8 <u>></u> 3 y | | | | focal 54 Gy | | |
| | | | | | | in CSI \ge 18 mo | | |
| | | | | | | 24 Gy + boost | | |
| | | | | | | | | |
| Abbreviations: M+, | metast | atic; n.s., not sp | secified; i. | .th., intrath | ecal; SFOP, French Society of Pedic | atric Oncology; HIT-SKK, Th | herapieprotokoll für Säuglin | Abbreviations: M+, metastatic; n.s., not specified; i.th., intrathecal; SFOP, French Society of Pediatric Oncology; HIT-SKK, Therapieprotokoll für Säuglinge und Kleinkinder mit Hirntumoren |

[Brain Tumor Radiotherapy for Infants and Toddlers with Medulloblastoma]; PEI, percutaneous ethanol injection; COG, Children's Oncology Group; SJMB, St Jude Medulloblastoma trial; ICE, ifosfamide/carboplatin/etoposide; IRS III, intergroup rhabdomypsarcoma study III.

radiotherapy.³¹ In a correlation of molecularly defined AT/RT subgroups with clinical variables, Torchia et al⁹ speculated that a group of patients defined by expression of the Notch signaling pathway gene *ASCL1* may have an improved outcome even without radiotherapy. This will have to be validated in future prospective clinical trials.

In another approach to minimize the side effects of radiotherapy, a major focus of research has been on the development of more focal and thus potentially less harmful radiotherapy (eg, proton beam radiotherapy).⁴⁰⁻⁴³ The Massachusetts General Hospital's experience enumerates 10 consecutive patients in whom proton therapy succeeded in sparing at-risk organs such as the hypothalamus and cochlea.⁴⁰ Researchers at MD Anderson treated 31 patients by proton beam. Median PFS and OS were 20.8 and 34.3 months, respectively.⁴¹ Five patients developed radiation reactions in the brainstem necessitating use of bevacizumab or steroids. A series from Indianapolis demonstrated radiographic signs of radionecrosis in 3/3 patients with AT/RT.³⁹ In a Swiss study (n = 15), 2-year OS and PFS were 64.6% and 66.0%, respectively. Furthermore, toxicity was encouraging, with no greater than grade 2 acute toxicity and an estimated 2-year toxicity-free survival of 90%. Using the PedsQoL tool, no decrease in quality of life was noted.44

Whether the long-term benefits (eg, avoidance of infertility, hypothyroidism, cardiac toxicity, and pulmonary fibrosis) will outweigh the risks of complications such as radionecrosis deserves investigation ideally in the frame of a controlled clinical trial.

Patients with AT/RT have displayed rather poor median survival when treated in protocols for other CNS tumors affecting the same age groups, such as medulloblastoma and CNS primitive neuroectodermal tumor (15.4 mo vs 156.4 mo; n = 11 and n =121, respectively).⁴⁵ Weinblatt and Kochen⁴⁶ reported success employing focal radiotherapy (41.4 Gy) and rhabdomyosarcomabased therapy in a single patient. In a follow-up, Olson et al³⁵ published the long-term survivors mentioned above. Tekautz et al¹² conveyed the experience comprising 31 patients at St Jude Children's Research Hospital. Eighty-nine percent of children below 3 years and thus the majority (n = 20) succumbed to the disease. In the German HIT-trials (1988-2004), 29 of 56 patients were <1.5 years old. Patients with metastases were typically younger. GTR was achieved in 18 cases. Three-year EFS and OS were 22% \pm 6% and $13\% \pm 5\%$, respectively. Children who achieved complete remission (CR) following induction chemotherapy had higher OS than patients with less than CR. By multivariate analyses, age at diagnosis was the only independent prognostic factor.⁴⁷ Currently the only published data from a formal trial specifically designed for AT/RT are from the Dana-Farber Cancer Institute (NCT00084838). Following intensive anthracycline-based induction chemotherapy including intraventricular chemotherapy, early radiotherapy was followed by continuation therapy. The OS and EFS rates at 2 years were 70% + 10% and 53% + 13%, respectively. Toxicity of the regimen was pronounced with 1 toxic death and severe adverse events such as transverse myelitis and radiation recall.^{33,48}

As 2 primary rhabdoid tumors may present in a synchronous fashion, especially in patients with germline mutations, it appears judicious to target intra- as well as extracranial rhabdoid tumors (eg, the Third Intergroup Rhabdomyosarcoma Study [IRS III]).¹⁷ The EU-RHAB registry has included rhabdoid tumors

regardless of anatomic origin since 2005 and demonstrated the feasibility of a multimodal regimen even in the youngest and in those with synchronous tumors.¹⁴ Data from the Dana-Farber Cancer Institute and EU-RHAB demonstrate OS and EFS rates of ~45% (Table 1).

In 1998 Hilden and colleagues⁴⁹ reported a series of patients who had undergone HDCT as an alternative consolidation. One of these patients remained alive 46 months from diagnosis. The HDCT regimens of Head Start (HS) I and HS II proved toxic, with 8 events of bacterial sepsis in 6 patients in HS I and in all 7 HS II patients. One patient in HS II died of bacterial meningitis. At the time of publication, 3 of 13 patients were alive (all HS II). The 3-year EFS was $43\% \pm 19\%$.⁵⁰ In HS III (2003–2009), 5 intensive induction cycles with highdose (HD) MTX in 3 cycles plus temozolomide, etoposide, vincristine, and cyclophosphamide in 2 were followed by secondlook surgery and HDCT. Among 19 children, only 4 completed induction. Four survivors were noted at 40, 42, 46, and 79 months. Worrisome were 5 toxic deaths and a rather insufficient 3-year EFS of 21% \pm 9% and OS of 26% \pm 10%.⁵¹ Four of 6 patients treated in Toronto between 2003 and 2008 were alive with no evidence of disease after HDCT and a median follow-up of 52 months.³⁴

A phase I trial using tandem HDCT with thiotepa plus BCNU in the first course and thiotepa plus carboplatin in the second included 2 patients with AT/RT. Both were alive more than 7 years post-HDCT.⁵² Lafay-Cousin et al³¹ reported 18 patients (45%) who received HDCT. Various induction regimens were utilized, essentially "baby brain protocols," IRS III, intergroup rhabdomypsarcoma study III, and anthracycline-based approaches. As HDCT 4 patients received HS I consolidation therapy (see above), 7 received 3 sequential cycles of HD carboplatin and thiotepa, and another 7 an MTX-based induction followed by 3 sequential high doses of carboplatin and thiotepa. Patients with HDCT fared significantly better, achieving 2-year OS of $47.9\% \pm$ 12.1% (n = 18) compared with 27.3% \pm 9.5% (n = 22). In a prospective phase I/II trial. Park et al⁵³ reported a total of 9 patients (<3 y) treated with 6 courses of induction. Six children received tandem HDCT with carboplatin, thiotepa, and etoposide followed by cyclophosphamide and melphalan. All 5 survivors had received tandem HDCT and radiotherapy. Three-year OS was $53.3\% \pm 17.3\%$.⁵³

In a series of 10 patients treated from 1997 to 2012 in an approach termed MUV-ATRT from the Medical University of Vienna, 5-year OS and EFS were reported as 100% and 88.9% \pm 10.5%, respectively. The regimen consisted of three 9-week courses of doxorubicin, cyclophosphamide, vincristine, ifosfamide, cisplatinum etoposide, and HD-MTX augmented by intrathecal drugs (liposomal cytarabine, etoposide) and completed by HDCT similar to HS II. These data have not been confirmed in a multi-institutional setting but are quite provocative. Other HDCT approaches employing HD-MTX-based induction such as the HS III regimen have been much less successful.⁵⁴

Investigators from EU-RHAB analyzed 19 patients who had undergone HDCT.⁵⁵ Before HDCT 6 were in CR, 8 in partial remission, and 2 each had stable disease and progressive disease (in 1 no data). Fourteen patients progressed with a median follow-up time of 16 months. The EFS and OS at 2 years

were estimated at $29\% \pm 11\%$ and $50\% \pm 12\%$, respectively. It remains undetermined whether conventional or HDCT offers the greater survival benefit for affected patients. In line with this issue, the Children's Oncology Group since 2008 has been recruiting patients into a clinical trial employing induction chemotherapy with HD-MTX, focal radio-therapy in children as young as 6 months, and 3 cycles of HDCT with thiotepa and carboplatin followed by stem cell rescue (NCT00653068).

It has become evident that children with AT/RT should be treated in trials targeted specifically for this entity, potentially along with rhabdoid tumors in other anatomic locations. Aggressive multidrug regimens containing anthracyclines and alkylating agents may be viewed as standard induction chemotherapy measures, which along with resection (if possible, complete) offer the highest chance at a cure. It remains to be determined whether consolidation by HDCT and/or radiotherapy adds crucial survival benefit and/or improved functional outcome for affected patients.

The roles of intrathecal chemotherapy as a potential replacement for radiotherapy and maintenance therapy as an approach for relapse prevention remain currently unclear.

Advances in Understanding the Molecular Biology of AT/RT

In order to specifically target AT/RT with novel, potentially less toxic compounds, it is imperative to clearly understand the driving molecular mechanisms.

Mutation of the SWI/SNF Chromatin Remodeler Is the Central Event in AT/RT

Chromatin, the term for DNA wrapped around histone supports, plays an important role in gene regulation and is modified through movement of histones along the DNA strand, by control of dearee of compaction, as well as by covalent modification of histones.⁵⁶ Changes of the nucleosome, the most basic unit of chromatin consisting of DNA wrapped around a histone octamer, are mediated by the action of different multiprotein chromatin remodelers such as the SWI/SNF complex. The core component proteins of this complex (SMARCC1, SMARCC2, and SMARCB1) are complemented by a single ATPase (mutually exclusively either SMARCA4 or SMARCA2) and up to 15 known accessory subunits, potentially combining to hundreds of different versions of the complex.⁵⁷ At least 9 subunit genes of the SWI/SNF complex are recurrently mutated in cancer, spanning malignancies from virtually every tissue type and collectively occurring in up to 20% of all human malignancies.^{24,58} Å study by Kadoch et al⁵⁸ determined SWI/SNF as the most frequently mutated chromatin remodeler in human cancer.

Murine knockout models of the core component *SMARCB1* exhibit embryonic lethality in homozygously deleted animals and a predisposition to aggressive tumors, including those with classic rhabdoid histology, in heterozygotes.^{59–63} Interestingly, mice with a conditional biallelic inactivation of *SMARCB1* employing a construct of the Mx1-Cre transgene rapidly develop mature CD8+ peripheral lymphomas with a short

delay (median of 11 wk, compared with 20 wk following inactivation of tumor protein 53); however, no brain tumors have been observed.⁶⁰ Recently a mouse model was developed with conditional inactivation of SMARCB1 using the Rosa26-Cre^{ERT2} system (Han et al, submitted). SMARCB1 inactivation at early embryonal stages induced aggressive CNS tumors resembling human AT/RT, with complete penetrance within a short time frame (median 2.5 mo). Notably, tumors form only when SMARCB1 is inactivated before day E9 of development, raising the possibility that the cell of origin might exist only during fetal development. Genetically engineered homozygous knockout mice for SMARCA4 are embryonic lethal, while heterozygous animals do not show rhabdoid tumors but develop gynecologic and pulmonary neoplasms.^{64,65} In an attempt to specify the cell of origin for AT/RT, Moreno et al⁶⁶ deleted SMARCB1 and SMARCA4 in cerebellar granule neurons of transgenic mice. While these animals demonstrated a severely hypomorphic cerebellum and neurologic deficits, they did not develop tumors, arguing against a cerebellar granule cell origin for AT/RT.⁶⁶

Recent functional analyses demonstrated complex contributions of SWI/SNF to chromatin structure and gene regulation. Tolstorukov and colleagues⁶⁷ explored the interactions of SWI/SNF and chromatin at transcription start sites (Fig. 1). They revealed a landscaping function of SWI/SNF at promoter regions, a role that may also function at enhancers and superenhancers to modulate transcription.^{67,68} Previous work by Kassabov and others had indicated roles for SWI/SNF in the directional unwrapping of DNA from nucleosomes as well as sliding in *cis* and nucleosome transfer.⁶⁹ Employing

protein screening and short interfering RNA profiling techniques, it was established that positioning of the SWI/SNF complex at nucleosomes is mediated by interactions with Argonaute2 (AGO2), a protein known to participate in heterochromatin assembly, and a new class of sRNAs. These swiR-NAs apparently determine nucleosome occupancy at transcription start sites by recruiting SWI/SNF complexes and AGO2.⁷⁰ Furthermore, SWI/SNF complexes appear to fulfill central functions in the assembly of nuclear bodies such as paraspeckles, subnuclear structures involved in stress responses. Subunits of SWI/SNF interact with long noncoding RNAs, and inactivation of SWI/SNF components resulted in paraspeckle disintegration.⁷¹

AT/RTs Display a Lack of Genomic Alterations but Comprise Molecularly Defined Subgroups

Tumors in children on average display fewer mutations than those in adults. In a multiplatform approach employing single nucleotide polymorphism-based oligonucleotide arrays, multiplex ligation-dependent probe amplification, fluorescence in situ hybridization, and sequence analysis, biallelic alterations of *SMARCB1* located in chromosome 22q11.2 were detected in 50 of 51 rhabdoid tumors. *SMARCB1* inactivation was due to a variety of mechanisms, such as deletions, mutations, and loss of heterozygosity, thus making it the single most important recurrent potentially driving mutation in rhabdoid tumors.⁷² Experiments using a molecular inversion probe single nucleotide polymorphism assay, whole-exome sequencing, and OncoMap (a mass spectrometric method

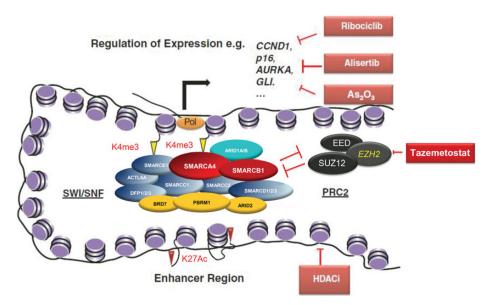


Fig. 1. Epigenetic roles of SMARCB1 in promoter activation, occupancy, and enhancer modification, including potential therapeutic targeting. The SWI/SNF complex modulates gene transcription including by (i) regulation of nucleosome positioning at promoters and consequently transcription factor binding and accessibility to the transcription machinery (Pol), (ii) antagonism of the Polycomb repressive complex 2 (PRC2), thus opposing the repressive H3k27Me3 mark written by PRC2 and favoring an active H3k4Me3 modified promoter, and (iii) localization at enhancers where the complex may contribute to transcription regulation, although the mechanism remains poorly understood. The SWI/SNF complex is bound at most active genes and the transcription of many genes are affected by SMARCB1 loss. Among others these include *CCND1*, *GLI1*, and *AURKA*, which may be therapeutically targeted by ribociclib, arsenic trioxide, and alisertib, respectively. The chromatin antagonizing effects of EZH2 may be therapeutically targeted by TAZEMETOSTAT.

for allele detection) demonstrated the absence of recurrent genomic alterations other than in *SMARCB1* in a combined 76 AT/RTs.^{6,7,73}

As mutations of genes other than *SMARCB1/SMARCA4* are exceedingly rare in AT/RT, scanning approaches have been employed to detect further genomic, epigenomic, and transcriptional changes. In a modifier screen of a *Drosophila* model for SMARCB1-negative AT/RT, Jeibmann and colleagues⁷⁴ identified changes in members of the Notch and Hippo signaling pathways, with roles in neural development and cell-cell interactions.

Birks et al⁷⁵ had analyzed the microarray expression profiles of 18 AT/RTs and identified 4 molecularly defined major subgroups. Survival differed significantly among the different groups. Significant upregulation of genes of the bone morphogenic protein signaling cascade (ie, BMP4) was detected in the group with the lowest survival.

More recently, by employing DNA methylation or gene expression analyses, 3 distinct subgroups of AT/RT were described by Johan and colleagues. Whole-genome DNA and RNA sequencing found no other recurrent mutations explaining the differences among subgroups; however, whole-genome bisulfite sequencing furthermore confirmed differences in methylation patterns among the subgroups (Johan et al, submitted).

Utilizing gene expression and high-resolution copy number analyses, Torchia and colleagues⁹ identified 2–3 distinct AT/RT subgroups correlating not only with anatomic location within the CNS, but also with clinical features. Expression of the gene *ASCL1* involved in the Notch activation cascade was more common in supratentorial AT/RT and associated with improved 5-year OS rates (34% vs 9% for achaete-scute homolog 1 [ASCL1] positive vs negative tumors).

Whether the molecular heterogeneity of AT/RT may also explain the differences seen in response to treatments needs to be validated in clinically annotated and equally treated AT/RT cohorts.

Innovative Treatment in AT/RT: Compound-Driven Approaches

A first description of resistance to cytostatic agents followed by a confirmatory report demonstrated doxorubicin and actinomycin-D as the only compounds with a sizable response.^{76,77} Employing *in vitro* proliferation assays, Lunenburger et al. demonstrated *in vitro* activity of vinorelbine, sorafenib, rapamycin, and the herbal compound curcumin. Another group utilized antisense oligonucleotides against insulinlike growth factor 1 receptor to establish chemosensitization against cytostatics such as cisplatin and doxorubicin.⁷⁸

As opposed to the above-mentioned single system approaches, systematic preclinical testing programs such as the PPTP (Pediatric Preclinical Testing Program) have detected responses to conventional and targeted drugs *in vitro* and *in vivo* in a series of compounds including multiple tyrosine kinase inhibitors such as sorafenib and mammalian target of rapamycin inhibitors as well as oncolytic viruses and others (Tables 2 and 3). Testing combinations of tyrosine kinase inhibitors with topoisomerase 1 inhibitors, synergistic growth inhibition was observed in 2 cell lines.⁷⁹ As primary AT/RTs (18/23) expressed high levels of the stem cell factors LIN28A/B, Weingart and colleagues⁸⁰ evaluated signaling pathways such as the mitogen-activated protein kinase cascade.

They presented excellent *in vitro* responses of AT/RT cell lines to the selective mitogen/extracellular signal-regulated kinase (MEK) inhibitor selumetinib. MEK inhibitors that potentially penetrate the blood-brain barrier are in phase I trials for children (NCT02124772).

Major drawbacks of the aforementioned analyses are the inherent inefficiencies of testing drugs in cell lines (eg, culture dependency, selection) and dependent xenografts. Not surprisingly, a whole array of compounds prompt responses in the cell line KT16 *in vitro* and *in vivo*, while very few affect the AT/RT-derived BT29 (http://gccri.uthscsa.edu/pptp/).

On a broader scale, researchers from POETIC (the Pediatric Oncology Experimental Therapeutics Investigators' Consortium) profiled 129 small-molecule inhibitors in 3 AT/RT-derived cell lines. While these authors presented only data on the efficacy of the compound lapatinib, a dual epidermal growth factor receptor/Her-3 neu inhibitor, they present a vast amount of information on compounds yet to be tested (see Table 1).⁸¹

As an asset to cells grown in culture and/or xenografts, patient-derived orthotopic tumor models have been employed. Girard et al⁸² recently provided efficacy data of the drug cabazitaxel in patient-derived orthotopic tumor models of embryonal CNS tumors including AT/RT.

Innovative Treatment in AT/RT: Mechanism-Driven Approaches

Only very recently have phase I/II trials specifically aimed at AT/ RT been introduced, in part due to the elucidation of involved signaling pathways (Table 2).

Changes in the function of the SWI/SNF complex may affect a whole array of signal transduction cascades (eg, cyclin-dependent kinases [CDKs] 4 and 6/cyclin D1/retinoblastoma, Sonic Hedgehog [SHH], Polycomb complexes) with significance for the origin and/or spread of tumors.^{24,83,84} Proteomic, bioinformatic, and functional epigenetic analyses indicate that up to one third of all promoters of genes may be bound by SWI/SNF complexes.^{58,64,67}

Targeting Cell Cycle Regulators in Rhabdoid Tumors

Evaluating a cohort of infant brain tumors, Fujisawa et al⁸⁵ detected overexpression of cyclin D1 in AT/RT. Experimental reintroduction of SMARCB1 into cell lines resulted in GO/G1 cell cycle arrest, repression of cyclin D1, induction of $p16^{INK4A}$, and hypophosphorylation of retinoblastoma, thus offering a growth advantage via release of the oncogene E2F.^{86,87} Crossing mice negative for cyclin $D1^{-/-}$ with those heterozygous for SMARCB1^{-/+}, the occurrence of rhabdoid tumors was abolished.⁶³ Not surprisingly pan-CDK inhibitors such as flavopiridol combined with tamoxifen affected cyclin D1 and inhibited rhabdoid tumor cell growth.^{88,89} However, in a murine xenograft model, animals bearing tumors with high levels of cyclin D1 demonstrated resistance to flavopiridol.⁸⁹ Recently a clinical trial, the results of which are pending, employed the CDK4/6 inhibitor ribociclib in patients with rhabdoid tumors, neuroblastomas, and CDK4-amplified malignancies (Table 2). It is to be anticipated that such a compound may be used in a combinatorial trial with conventional chemotherapy.

| Table 2. | Phase I/II | trials recruiting | patients | with AT/RT |
|----------|------------|-------------------|----------|------------|
|----------|------------|-------------------|----------|------------|

| Phase | Title | Status | IND | Target/Comment |
|-----------|--|----------------------------|-----------------------------------|---|
| Ι | Simvastatin with Topotecan and Cyclophosphamide in Relapsed and/ or Refractory Pediatric Solid and CNS Tumors (Aflac ST1402) | Recruiting | Simvastatin | HMG CoA reductase |
| Ι | p28 in Treating Younger Patients with Recurrent or Progressive Central Nervous System Tumors | Recruiting | p28 | Azurin-derived cell-penetrating peptide p28 |
| I/II | Molecular-Guided Therapy for Childhood Cancer | Recruiting | n.a. | Guided therapy |
| I/II | Crizotinib in Treating Young Patients with Relapsed or Refractory Solid Tumors or Anaplastic Large Cell Lymphoma | Recruiting | Crizotinib | ALK-, MET-, ROS1-tyrosine kinases |
| II II | Phase 2 Study of Alisertib Therapy for Rhabdoid Tumors Iodine I 131 Monoclonal Antibody 3F8 in Treating Patients with Central Nervous System Cancer or Leptomeningeal Cancer | Recruiting Recruiting | Alisertib 3F8-I ¹³¹ | Aurora kinase A Gd2 |
| III | Risk-Adapted Therapy for Young Children with Embryonal Brain Tumors, Choroid Plexus Carcinoma, High Grade Glioma or Ependymoma | Recruiting | n.a. | Feasibility study |
| Ι | Study of Safety and Efficacy in Patients with Malignant Rhabdoid Tumors (MRT) and Neuroblastoma | Active, not recruiting | Ribociclib | Cyclin D1/CDK4, 6 |
| Ι | Aflac ST0901 CHOANOME Sirolimus in Solid Tumors | Active, not recruiting | Sirolimus | mTOR |
| Ι | AZD2171 in Treating Young Patients with Recurrent, Progressive, or Refractory Primary CNS Tumors | Active, not recruiting | Cediranib | VEGFR 1-3 |
| I/II | Methotrexate Infusion into the Fourth Ventricle in Children with Malignant Fourth Ventricular Brain Tumors: A Pilot Study | Active, not recruiting | Methotrexate | DHFR inhibition |
| I/II | Dasatinib, Ifosfamide, Carboplatin, and Etoposide in Treating Young Patients with Metastatic or Recurrent Malignant Solid Tumors | Active, not recruiting | Dasatinib | BCR/ABL and SRC-TK |
| Ι | ABT-888 and Temozolomide in Treating Young Patients with Recurrent or Refractory CNS Tumors | Completed | Veliparib | PARP inhibitor; DNA repair inhibition |
| Ι | Vorinostat with or without Isotretinoin in Treating Young Patients with Recurrent or Refractory Solid Tumors, Lymphoma, or Leukemia | Completed | Vorinostat | HDAC |
| Ι | Vorinostat and Temozolomide in Treating Young Patients with Relapsed or Refractory Primary Brain Tumors or Spinal Cord Tumors | Completed | Vorinostat | HDAC |
| Ι | Lenalidomide in Treating Young Patients with Recurrent, Progressive, or Refractory CNS Tumors | Completed | Lenalidomide | Immune modulation |
| Ι | Talabostat Combined with Temozolomide or Carboplatin in Treating Young Patients with Relapsed or Refractory Brain Tumors or Other Solid Tumors | Completed | Talabostat | Inhibitor of dipeptidyl peptidase IV |
| Ι | SCH 66336 in Treating Children with Recurrent or Progressive Brain Tumors | Completed | Lonafarnib | Farnesyl transferase |
| Ι | Temozolomide, Vincristine, and Irinotecan in Treating Young Patients with Refractory Solid Tumors | Completed | Irinotecan | Conventional chemotherapy |
| II | Oxaliplatin in Treating Children with Recurrent or Refractory Medulloblastoma, Supratentorial Primitive Neuroectodermal Tumor, or Atypical Teratoid Rhabdoid Tumor | Completed | Oxaliplatin | Cytostatic |
| Ι | Gamma-Secretase Inhibitor RO4929097 in Treating Young Patients with Relapsed or Refractory Solid Tumors, CNS Tumors, Lymphoma, or T-Cell Leukemia | Terminated | RO4929097 | γ-Secretase |
| Ι | MK0752 in Treating Young Patients with Recurrent or Refractory CNS Cancer | Terminated | MK0752 | γ-Secretase inhibitor, which reduces Aβ40 production |
| Trials ir | volving combinations of conventional chemotherapy (incl. HDCT) | | | · |
| III | Combination Chemotherapy, Radiation Therapy, and an Autologous Peripheral Blood Stem Cell Transplant in Treating Young Patients with Atypical Teratoid/Rhabdoid Tumor of the Central Nervous System | Ongoing, not recruiting | | NCT00653068 |

Continued

| Table 2. | Continued |
|----------|-----------|
|----------|-----------|

| Phase | Title | Status | IND | Target/Comment |
|-------|--|----------------------------|----------------|------------------------------------|
| III | Treatment of Patients with Newly Diagnosed Medulloblastoma, Supratentorial Primitive Neuroectodermal Tumor, or Atypical Teratoid Rhabdoid Tumor | Ongoing, not recruiting | | NCT00085202 |
| Ι | Chemotherapy Plus Peripheral Stem Cell Transplantation in Treating Infants with Malignant Brain or Spinal Cord Tumors | Completed | | NCT00003141 |
| Ι | Chemotherapy and Stem Cell Transplantation in Treating Children with Central Nervous System Cancer | Completed | | NCT00053118 |
| III | Combination Chemotherapy Followed by Second-Look Surgery and Radiation Therapy in Treating Children with Nonmetastatic Medulloblastoma or Primitive Neuroectodermal Tumor | Completed | | NCT00006461 |
| III | Combination Chemotherapy with or without Etoposide Followed by an Autologous Stem Cell Transplant in Treating Young Patients with Previously Untreated Malignant Brain Tumors | Unknown | Head Start III | NCT00392886 |
| III | Intrathecal and Systemic Chemotherapy Combined with Radiation Therapy in Treating Young Patients with Newly Diagnosed Central Nervous System Atypical Teratoid/Rhabdoid Tumors | Unknown | | NCT00084838 Chi et al, JCO 2009 |

Abbreviations: IND, investigational new drug; HMG CoA, 3-hydroxy-3-methylglutaryl coenzyme A; ALK, anaplastic lymphoma kinase; VEGFR, vascular endothelial growth factor receptor; DHFR, dihydrofolate reductase; PARP, poly(ADP-ribose) polymerase; DNA, desoxyribonucleic acid; n.a., depending on the identified target; BCR/ABL and SRC-TK, BCR/ABL and SRC tyrosine kinases.

Aurora Kinase A Inhibitors for AT/RT Treatment

Tyrosine kinases are expressed in cell lines and in primary rhabdoid tumor samples. Imatinib reduced rhabdoid cell growth *in vitro.*⁹⁰ Genome-wide analyses demonstrated that the serine/ threonine kinase aurora A is highly expressed in rhabdoid tumors. Reexpression of *SMARCB1* downregulates aurora A by repression of promoter activity. Targeting aurora A in rhabdoid tumor cell lines by short interfering (si)RNA or small-molecule inhibitors induced cell death *in vitro.*⁹¹ Rhabdoid tumor xenograft models demonstrated intermediate to strong responses to the inhibitor MLN8237.⁹² Aurora A inhibition enhanced radiation sensitivity in rhabdoid tumor cell lines, making this compound attractive for combined therapy.⁹³

The aurora kinase inhibitor MLN8237 is currently in clinical trials in phase I/II for different tumor entities in adults and children (Table 2). Employing single agent MLN8237, also known now as alisertib, has produced noteworthy responses. Four patients affected by relapsed or progressive AT/RT received 80 mg/m² alisertib by mouth. All 4 displayed disease stabilization and/or regression of tumors and 2 are alive 1 and 2 years, respectively, on therapy.⁹⁴ A trial combining alisertib with conventional therapy in newly diagnosed patients with rhabdoid tumors is currently recruiting patients (NCT02114229).

Hedgehog/GLI Inhibition as a Window of Therapeutic Opportunity

Employing chromatin immunoprecipitation assays, Jagani et al⁹⁵ determined that SMARCB1 and GLI1 were enriched at regions upstream of the transcriptional start sites of both promoters. They furthermore demonstrated that SMARCB1 directly interacts with GLI1 on a protein level and that loss of SMARCB1 increased expression of GLI1 up to 10-fold in cell

lines.⁹⁵ Furthermore GLI1 was upregulated in primary AT/RT compared with control samples. As expected, inhibitors of Patched or Smoothened (eg, LDE225) do not show any inhibiting effect on rhabdoid tumor cell growth, as activation of the SHH pathway mediated by GLI1 in these tumors is downstream of the receptors Patched and Smoothened.⁹⁵ Using cytotoxicity assays, xenograft models, and siRNA targeting, Kerl et al⁹⁶ demonstrated that the GLI1 inhibitor arsenic trioxide (As_2O_3) may significantly inhibit the growth of Hedgehog-driven rhabdoid cells in vitro and in vivo.⁹⁶ Linking the HH/GLI pathway with epigenetic changes, Tang et al⁹⁷ demonstrated excellent control of HH-driven tumors by employing the bromodomain and extraterminal inhibitor JQ1.97 It appears that bromodomains recognize lysine residues in the N-terminal tails of histone H3. Bromodomains containing complexes often localize with the promoter of proproliferative genes such as the oncogene Myc. It has been demonstrated that SMARCA4 antagonizes Myc activity.98

Wnt/ß-Catenin Signal Alterations in AT/RT

Similar to canonical Wnt signaling, the SWI/SNF complex has repeatedly been implicated in lineage specification, proliferation, and differentiation. By the use of conditional mouse and cell culture models, Mora-Blanco et al⁹⁹ demonstrated that inactivation of *SMARCB1* leads to aberrant body patterning due to overexpression of the Wnt/ß-catenin pathway. Employing the bioinformatics tool of Gene Set Enrichment Analysis (GSEA), these authors exposed an elevation of Wnt-target genes in *SMARCB1*-deficient rhabdoid tumors. Upon reexpression of *SMARCB1*, ß-catenin target genes such as *AXIN2*, *APC*, *BTRCP*, *LEF1*, and *HDAC4* were inhibited.

Analogous to the dependencies of the Hedgehog/GLI pathway, classic upstream inhibition of the ß-catenin pathway did not result in a repression of colony formation or proliferation, suggesting

| Compound | Target | Xenograft Response | Date | Ref. | Translational Aspects |
|--------------------------------------|--------------------------------------|-----------------------------------|------|------|---|
| Irofulven | Alkylating agent | 3/6 CR; 3/6 PR | 2002 | PPTP | Derivative of mushroom toxin illudin; mechanism not clear |
| Gefitinib | EGFR family | 2/2 CR | 2004 | 113 | No clinical trials in rhabdoid tumors |
| Dasatinib | BCR/ABL-, SRC-TK | No effect (1 cell line sensitive) | 2007 | PPTP | In clinical trials for rhabdoid tumors |
| Rapamycin | mTORC1 inhibition | 1/1 PR | 2007 | PPTP | In clinical trials for rhabdoid tumors |
| ABT-751 | Tubulin binding agent | 1/2 SD, 1/2 PD | 2008 | PPTP | 7% OR in phase I trial on neuroblastoma |
| Sunitinib | Multi-TK inhibitor | 1/3 CR | 2008 | PPTP | Response in an adult with rhabdoid renal cell carcinoma |
| Flavopiridol | CDK4,6 and cyclin D1 | 1/1 OR | 2008 | 114 | Phase I with related compound ribociclib completed |
| Ispinepib | Kinesin spindle protein inhibitor | 1/2 CR | 2009 | PPTP | Phase I in children completed; no rhabdoid patients included |
| Seneca Valley Virus | Oncolytic virus | 1/3 OR | 2010 | PPTP | Phase I in adults completed, phase II on lung cancer active |
| MLN8237 | Aurora kinase A | 1/3 CR; 1/3 SD | 2010 | 92 | Phase I/II; compound: alisertib |
| GSK923295A | CENP-E inhibition | 2/3 CR | 2011 | PPTP | Phase I in adults completed; no trials for children |
| AZD8055 | mTORC1 and 2 inhibition | 1/2 PR | 2012 | PPTP | Phase I in adults completed; no trials for children |
| Genz-644282 | Topoisomerase 1 | 1/1 PD | 2012 | PPTP | Phase I in adults completed; no trials for children |
| Lapatinib | EGFR | 1/1 CR | 2013 | 81 | Phase II in children with ependymoma completed |
| EPZ-6438 | EZH2 | 1/1 CR | 2013 | 104 | Phase I in adults recruiting |
| SAHA | HDAC | n.a. | 2013 | 107 | In phase I trials in children (combination therapy) |
| NVP-BG398 | FGFR | 1/1 OR | 2013 | 115 | No clinical trials yet; |
| As ₂ O ₃ (ATO) | GLI1 | 1/1 CR | 2014 | 96 | multiple phase I/II trials in children; no clinical trials in rhabdoid tumors |
| JQ1 | BRD4 | n.a.ª | 2014 | 108 | Bromodomain inhibitors in phase I trials in adults |

Table 3. Preclinical and clinical aspects of selected targeted compounds for rhabdoid tumor therapy

Abbreviations: PR, partial response; SD, stable disease; PD, progressive disease; CENP-E, centrosome-associated protein E; EGFR, epidermal growth factor receptor; mTORC, mammalian target of rapamycin complex; TK, tyrosine kinase; FGFR, fibroblast growth factor receptor; BRD4, bromodomain containing protein 4; OR, objective response; BCR/ABL-, SRC-TK, BCR/ABL- and SRC tyrosine kinases.

PPTP: results may be viewed at http://gccri.uthscsa.edu/pptp/.

^aCompound tested in HH-driven medulloblastomas.

activation of the pathway independently of the canonical Wnt pathway, and more likely on a chromatin and transcriptional level. These experiments underline a tissue- and context-associated regulation of the Wnt pathway by the SWI/ SNF complex.

Employing transcriptome sequencing analyses, significant upregulation of *WNT5B* was revealed. SiRNAs against the gene induced a decrease in expression of the genes for the receptors *FRIZZLED 1* and *RYK*. It furthermore influenced cell viability significantly.¹⁰⁰

Therapeutic Approaches Targeting the Histone Trimethylase Enhancer of Zeste Homolog 2

It has been demonstrated that SWI/SNF and the Polycomb complex PRC2 possess largely antagonistic properties.^{57,101,102} Expression experiments demonstrated upregulation of the histone trimethylase *EZH2* in *SMARCB1*-deficient rhabdoid tumors. On further experimentation it was shown that *SMARCB1* loss led to an added upregulation of *EZH2*, widespread trimethylation of histone H3K27, and repression of *p16^{INK4}*. GSEA comparing primary rhabdoid tumors and normal brain indicated a set of H3K27 modified target genes defined from embryonic stem cells which were negatively enriched in rhabdoid tumors.¹⁰¹

Consistent with these findings, Unland et al¹⁰³ employed the antagonist of enhancer of zeste homolog 2 (EZH2), DZNep (3-Deazaneplanocin A), a nonspecific S-adenosylhomocysteine hydrolase analogue, alone and combined with drugs such as doxorubicin and etoposide or epigenetically active compounds like the methylation inhibitor 5-Aza-CdR or the histone deacetylase (HDAC) inhibitor suberoylanilide hydroxamic acid (SAHA). Proliferation assays in rhabdoid cell lines demonstrated that DZNep synergistically and significantly enhanced the antiproliferative activity of etoposide, 5-Aza-CdR, and SAHA. Pretreatment with DZNep significantly increased the effects of 5-Aza-CdR and SAHA on apoptosis, cell cycle progression, and clonogenicity. Microarray analyses following sequential treatment with DZNep and 5-Aza-CdR or SAHA revealed changes in global gene expression affecting apoptosis, neuronal development, and metabolic processes. Even closer to the clinical situation were experiments using the EZH2 inhibitor EPZ-6438 (tazemetostat) in vitro and in vivo. Suppression of H3K27 trimethylation (H3K27Me3) induced selective cell killing of human lymphoma cells bearing point mutations in the EZH2 catalytic domain.¹⁰⁴ In a murine xenograft model, therapy of EZH2-mutant xenografts with EPZ-6438 caused dose-dependent tumor growth inhibition, including complete and sustained tumor regression with a corresponding reduction in H3K27Me3 levels in tumors and normal tissues.

Mice that received the drug for 28 days stayed tumor free for up to 63 days after the compound was stopped. Treatment of mice carrying rhabdoid xenografts with an EZH2 inhibitor was also shown to be beneficial.¹⁰⁴ Furthermore it was demonstrated that EZH2 inactivation increased the radiosensitivity of rhabdoid tumor cells.¹⁰⁵ A preliminary report of a phase I trial result revealed a complete response in the first rhabdoid tumor patient enrolled. Consequently a clinical trial employing EPZ-6438 in children with rhabdoid tumors is in the planning stages.¹⁰⁶

Histone Alterations as Targets for Experimental Therapy

Histone modifications are also affected by SWI/SNF complexes.^{56,57} Alterations in enzymes influencing the epigenome often cause global effects on chromatin. Acetylation of histones facilitates accession of transcription factors to chromatin.⁵⁷ The acetylation status of histones is controlled by 2 different groups of enzymes: histone acetyltransferases and HDACs. Targeting histone acetylation presents an attractive tool for AT/RT, as HDAC1 and HDAC2 are overexpressed in primary tumors and cell lines. To evaluate whether inhibitors of HDACs might influence the proliferation of rhabdoid tumor cell lines, Kerl et al¹⁰⁷ employed proliferation assays, apoptosis detection, cell cycle analysis, and RNA expression. They detected synergistic actions of the HDAC inhibitor SAHA with fenretinide, tamoxifen, and doxorubicin.¹⁰⁷ Targeting HDAC induced cell cycle arrest and apoptosis. GSEA disclosed deregulation of gene sets derived from MYCC, retinoblastoma 1, and the stem cell clusters in treated cells. It appears conceivable that some of the novel HDAC inhibitors might be assets in the treatment of affected patients. While SAHA has successfully been evaluated as a radiosensitizer in a xenograft model,¹⁰⁸ other compounds such as panobinostat and resminostat offer potentially favorable pharmacokinetic and pharmacodynamic properties suitable especially for small children.^{109,110}

Chromatin-directed therapy approaches

While at least 9 different subunits of the SWI/SNF complex are recurrently mutated in cancer, emerging data raise the possibility that these mutations do not inactivate the complex but rather result in a dysfunctional residual complex. In the case of cancer cell lines carrying mutations in either the *ARID1A* or *SMARCA4* subunits, it has been shown that these mutations result in related SWI/SNF subunits becoming preferentially essential, and therefore potentially a therapeutic vulnerability. The residual SWI/SNF complex has also been shown to be essential for the growth of *SMARCB1* mutant rhabdoid tumors. Whether the residual complex may constitute a therapeutic target remains thus far speculative.^{57,111,112}

Conclusion

It has been recognized that rhabdoid tumors represent a model disease for malignancies not purely based on genomic mutational events but also on epigenetic alterations. Investigations of signaling pathways altered in AT/RT have yielded a whole array of compounds with potential therapeutic activity.⁸¹ Recently phase I/II trials have made use of this knowledge and are beginning to enroll affected patients. Major obstacles for rapid success are the time-intensive evaluation process for novel targeted drugs, the rarity of the disease, and the highly vulnerable population of very young children affected by an aggressive malignancy which, once proven resistant to conventional therapy, may grow at a pace too quickly for enrollment into a clinical trial. Additionally it remains to be determined which patients respond to conventional-type chemotherapy and which exhibit primary chemotherapy resistance.

As information about potential subgroups and potential drug targets evolves, international collaborations are highly desirable. Recruiting enough patients in a stratified fashion according to novel biomarkers will benefit from international consortia and the use of new statistical approaches in order to test new compounds in a rapid succession.

Despite these challenging obstacles, the pace of new developments and the newly awakened international interest in pediatric cancer—as reflected, for instance, by international funding initiatives—raise high hopes for a steady improvement in outcome for these severely affected patients.

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