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## Identification of recurrent truncated *DDX3X* mutations in chronic lymphocytic leukaemia

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### Keywords

*DDX3X*; chronic lymphocytic leukaemia; targeted sequencing; genomics; tumour suppressor gene

The last few years have seen tremendous advances in understanding the genomic landscape, clonal architecture and evolution of the chronic lymphocytic leukaemia (CLL) genome (Braggio *et al*, 2012; Quesada *et al*, 2012; Schuh *et al*, 2012; Landau *et al*, 2013). Significant efforts are already underway to understand the clinical implications of some novel “driver” genes, including *SF3B1*, *NOTCH1*, *MYD88* and *BIRC3* (Rossi *et al*, 2012, 2013). Despite the advance in the characterization of these genes, there is high genetic heterogeneity in CLL with several additional genes recurrently affected where no further analyses have been provided.

Furthermore, most of the studies were performed in heterogeneous cohorts collected at different disease stages from patients subjected to multiple therapeutic approaches. Here we focused on the characterization of a homogeneous cohort of untreated CLL with active disease prior to the inclusion in a PCR (pentostatin/cyclophosphamide/rituximab) trial (Kay *et al*, 2007). Detailed clinical information is available in the Supplementary material. We analysed 12 cases by whole exome sequencing (WES), and additional 36 cases by targeted deep sequencing (TDS). In two cases, we further analysed sequential samples collected at relapse by WES and TDS. Clonal B-cells were enriched using the EasySep Human CD19+ Cell Enrichment Kit with an average purity of 91% cells after enrichment (range 66–99%). T-cells were enriched using a CD3-Positive Selection Kit and subsequently used as germline samples. For WES, a paired-end library was generated as per Illumina protocol followed by

### Conflict of interest

The remaining authors have no conflicts of interest to disclose.

### Supporting Information

Additional Supporting Information may be found in the online version of this article:

**Data S1.** Materials and methods.

**Table S1.** List of recurrently mutated genes in CLL sequenced by targeted deep sequencing.

**Table S2.** Clinical information for 48 PCR-CLL cases.

**Table S3.** List of mutations identified.

**Table S4.** Copy number abnormalities found in the cohort by aCGH.

exome capture using SureSelect 50 Mb Enrichment kit (Agilent, Santa Clara, CA, USA) and 100 bp paired-end libraries were sequenced in HiSeq2000. Somatic single nucleotide variants and insertions/ deletions were called by SomaticSniper and GATK Somatic Indel Detector, respectively. Pair-wise analyses were performed by comparing respective tumour samples with germline samples. Somatic variants were functionally annotated with snpEFF, PolyPhen-2 and MutationTaster. Targeted sequencing was performed in 24 genes that have been found recurrently mutated in CLL (Supplementary Table SI) using IonTorrent PGM sequencer (Life Technologies, Carlsbad, CA, USA) as per manufacturer's protocol. Somatic variants were identified using the variant caller of IonTorrent suite. Protein expression was assessed by Western blotting. Overall survival was measured from date of initial disease presentation to the date of death or last follow-up using Kaplan–Meier. Significance ( $P < 0.05$ ) was estimated by log-rank test.

One-third of cases (17 of 48) had mutated IGHV, 37% were ZAP70-positive and 37% were CD38-positive (Supplementary Table SII). Patients were followed-up for a median of 40 months after first-line therapy with 48% of cases showing disease progression after therapy (median of 17 months between treatment and progression). WES and TDS were performed in 12 (102-fold coverage) and 36 (720-fold coverage) cases, respectively. Copy-number abnormalities (CNAs) were screened in all 48 cases by array-based comparative genomic hybridization.

*NOTCH1* was the most commonly mutated gene (19%), followed by *ATM*, *SF3B1* (12% each), *XPO1*, *DDX3X* (10% each) and *TP53* (8%). All mutations were predicted to be damaging by PolyPhen, SIFT and MutationTaster. The most common CNAs were -13q14 (50%), +12 (23%), -11q22 (17%), -8p11-p12 and -17p13 (6% each). In 39 cases (81%), at least one of the 24 genes of interest was mutated and mutations and/or CNAs were found in 45 cases (94%). The complete list of mutated genes and CNAs are included in Supplementary Tables SIII and SIV, respectively.

The incidence of mutations in driver genes were  $3 \times$  higher in unmutated IGHV (U-CLL) compared to mutated IGHV (M-CLL) (Fig 1A). *ATM*, *SF3B1*, *EGR2*, *KRAS* and *BIRC3* were found mutated only in U-CLL. Additionally, the incidence of *NOTCH1*, *DDX3X* and *XPO1* mutations in U-CLL was double that of M-CLL.

Considering only the cases that progressed after therapy ( $n = 23$ ), the most commonly mutated genes were *NOTCH1* (30%), followed by *DDX3X*, (17%), *TP53* (13%), *BIRC3*, *SF3B1* and *XPO1* (9% each)(Fig 1B). The clonal status of mutations varied from clonal to subclonal presence in different cases (Fig 1C).

Overall, we identified a higher prevalence of mutations in *NOTCH1*, *XPO1* and *DDX3X* in our cohort. Eight of nine mutations in *NOTCH1* were either nonsense or frameshift indels in the PEST domain and p.P2514Rfs\*4 was the most common mutation (5/9 cases). *XPO1* was mutated in five cases and the most frequent mutation was found in codon 571 (4/5 cases). The remaining mutation was identified in the HEAT domain (p.D624G).

An interesting finding was the identification of *DDX3X* mutations in 10% of cases. *DDX3X* is located on chromosome X and was preferentially mutated in males (4/5 cases). All

identified mutations have a truncating effect, either nonsense mutations or frameshift indels (Fig 2A). Moreover, we identified two independent truncating mutations in two of five cases. Analysis of sequential samples from these two cases showed that these mutations waxed and waned differently between time points, confirming their presence into different subclones (Fig 2B). Next, we confirmed loss of protein expression in cases harbouring *DDX3X* mutations (Fig 2C). Moreover, we recently found *DDX3X* mutations in premalignant monoclonal B-cell lymphocytosis (Ojha *et al*, 2014).

Our analysis suggested an association between *DDX3X* inactivation and clinically unfavourable features and poor outcome. Thus, *DDX3X* mutations were preferentially found in U-CLL (24% cases with mutations) compared with M-CLL (3%), and ZAP70-positive (25%) compared with ZAP70-negative (4%). Furthermore, *DDX3X* mutations were more frequently found in cases that relapsed after therapy (17%) than cases with stable disease (4%)(Fig 2D). Finally, cases with mutated *DDX3X* showed a trend to shorter survival, even though the differences were not statistically significant ( $P=0.15$ ).

*DDX3X* is an ATP-dependent RNA helicase involved in several steps of RNA processing pathways, including transcription, translation initiation, splicing and mRNA export. Recent studies have identified *DDX3X* as a tumour suppressor gene in medulloblastoma and documented its role in signal transduction through pathogenic WNT/b-catenin signalling (Pugh *et al*, 2012). Furthermore, synergistic *DDX3X* and *TP53* transcriptional suppression of *CDKN1A* expression has been documented in non-small cell lung carcinoma (Wu *et al*, 2013).

We recognize the limitation of the relatively small number of cases in this study; nevertheless, this is a unique study with homogeneous cohort of CLL patients with active disease needing initial therapy. We identified recurrent inactivating *DDX3X* mutations, especially in cases with unfavourable clinical markers. Furthermore, the truncated nature of all mutations, the presence of multiple mutations in different subclones and the association with unfavourable clinical markers implicate *DDX3X* as a *bona fide* tumour suppressor gene in CLL.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

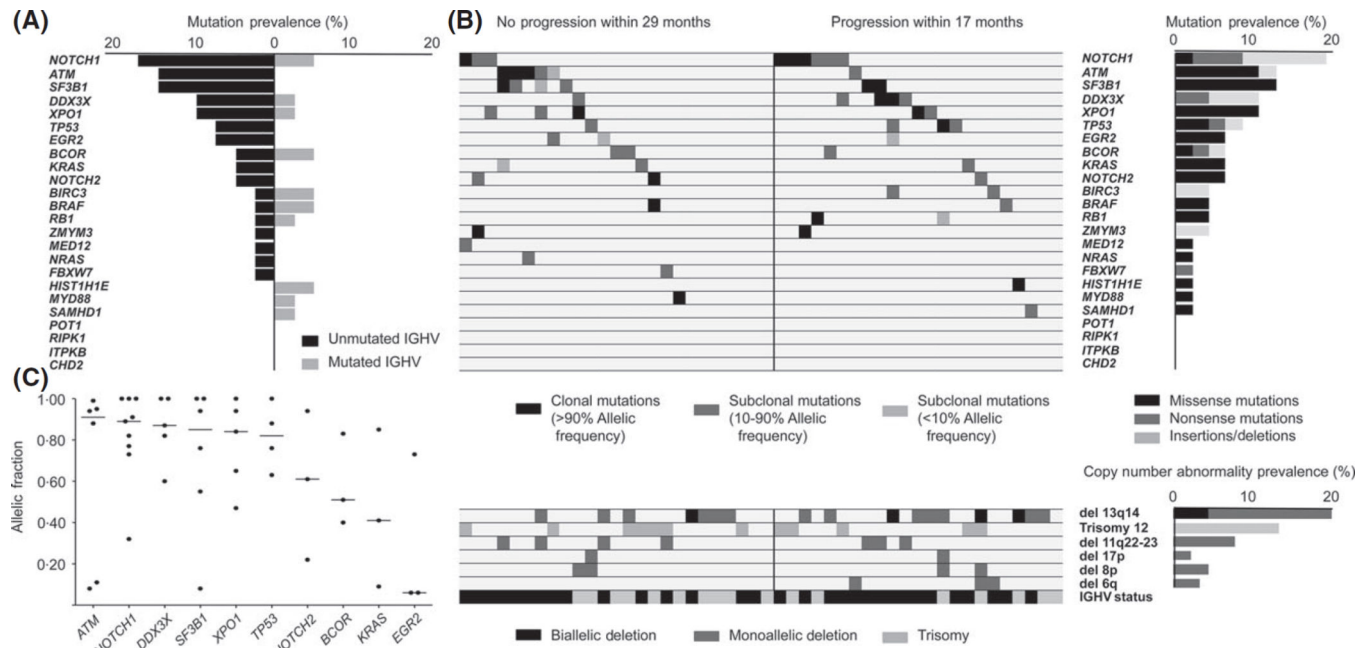
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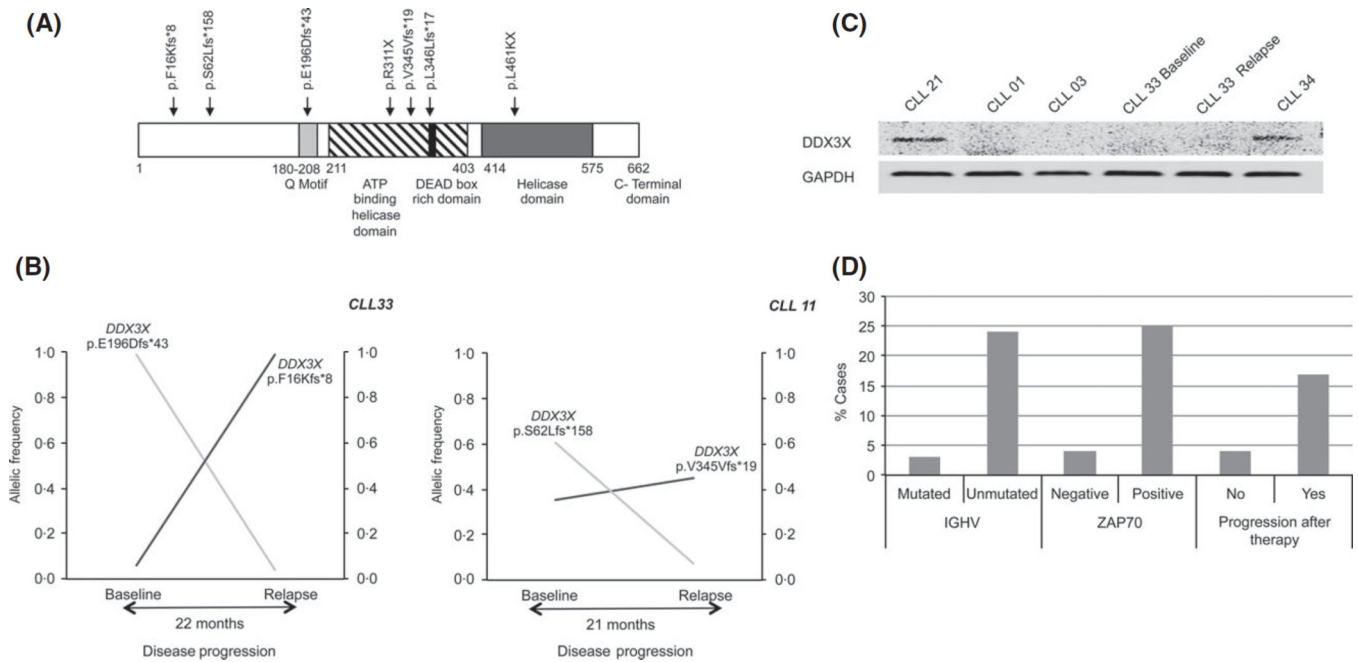
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**Fig 1.** Genomic landscape in a cohort of untreated active CLL. (A) Prevalence of mutations in 24 genes in unmutated (U-CLL) and mutated (M-CLL) IGHV CLL cases. (B) Upper left panel: Heatmap of mutations in CLL cohort. Horizontal rows depict mutations in a particular gene and vertical columns represent individual patients. Black, dark grey and light grey closed boxes show the mutation status as clonal (more than 90%), subclonal (present in 10–90%) and small subclonal (present in <10%) allelic fractions, respectively. Solid black vertical line differentiates the cases with no progression after therapy (left side) and cases that progressed after therapy (right side). Upper right panel: Mutation prevalence. Black, dark grey and light grey closed boxes represent the percentage of missense mutations, nonsense mutations and frameshift indels, respectively. Lower left panel: Copy-number aberrations in the six most commonly affected chromosomal regions. Horizontal rows depict particular aberration and vertical columns represent individual patients. Lower right panel: Copy number abnormality prevalence. For lower panel (left and right), black, dark grey and light grey closed boxes represent biallelic deletion, monoallelic deletion and monoallelic gain, respectively. (C) Clonal status of mutated genes. Horizontal axis represents genes mutated in three or more cases and vertical axis represents allelic frequency distribution mutation in genes in cohort. Each dot represents a case and horizontal solid black line is the median allelic fraction affected per mutations in the particular gene.

**Fig 2.**

Characterization of somatic mutations in *DDX3X*. (A) Location and type of somatic mutations found in *DDX3X*. (B) Two independent *DDX3X* mutations affecting different subclones were found in two cases. Allelic frequency of each mutation in sequential samples is denoted by black and grey lines. X-axis denotes disease progression in months. Y-axis denotes allelic frequency. (C) Protein expression level of *DDX3X* and GAPDH in cases with *DDX3X* mutation (CLL01, CLL03, CLL33 Baseline and Relapse) and controls with wild type *DDX3X* (CLL21 and CLL34). (D) Association of *DDX3X* mutation and clinical variables. Vertical axis shows % cases with *DDX3X* mutation.