

# Sensitivity of laser speckle contrast imaging to flow perturbations in the cortex

Mitchell A. Davis,<sup>1</sup> Louis Gagnon,<sup>2</sup> David A. Boas,<sup>2</sup> and Andrew K. Dunn<sup>1,\*</sup>

<sup>1</sup>*Department of Biomedical Engineering, The University of Texas at Austin, Austin, TX 78712, USA*

<sup>2</sup>*Martinos Center for Biomedical Imaging, Massachusetts General Hospital, Harvard Medical School, Charlestown, Massachusetts 02129, USA*

[\\*adunn@utexas.edu](mailto:*adunn@utexas.edu)

**Abstract:** Laser speckle contrast imaging has become a ubiquitous tool for imaging blood flow in a variety of tissues. However, due to its widefield imaging nature, the measured speckle contrast is a depth integrated quantity and interpretation of baseline values and the depth dependent sensitivity of those values to changes in underlying flow has not been thoroughly evaluated. Using dynamic light scattering Monte Carlo simulations, the sensitivity of the autocorrelation function and speckle contrast to flow changes in the cerebral cortex was extensively examined. These simulations demonstrate that the sensitivity of the inverse autocorrelation time,  $1/\tau_c$ , varies across the field of view: directly over surface vessels  $1/\tau_c$  is strongly localized to the single vessel, while parenchymal ROIs have a larger sensitivity to flow changes at depths up to  $500\ \mu\text{m}$  into the tissue and up to  $200\ \mu\text{m}$  lateral to the ROI. It is also shown that utilizing the commonly used models that relate  $1/\tau_c$  to flow resulted in nearly the same sensitivity to the underlying flow, but fail to accurately relate speckle contrast values to absolute  $1/\tau_c$ .

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**OCIS codes:** (290.4210) Multiple scattering; (290.7050) Turbid media; (170.6480) Spectroscopy, speckle.

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## 1. Introduction

Laser speckle contrast imaging (LSCI) is a powerful, low cost method of imaging dynamic motion with high spatial and temporal resolution. LSCI has been heavily adopted for neuroscience applications such as blood flow imaging of neurovascular pathologies [1,2], functional activation [3], and even human cortical blood flow imaging during neurosurgery [4–6].

The dynamic imaging capability of LSCI arises from interactions between coherent photons and tissue. When these photons interact with moving particles, the variance of the detected intensity fluctuations of the speckle pattern changes, which cause spatial blurring when averaging over a fixed exposure duration. This blurring is directly linked to the change in the intensity autocorrelation function  $g_2(t)$ , which in turn is related to sample motion through the field autocorrelation function  $g_1(t)$ . The goal of most speckle contrast models is to relate changes in speckle contrast to changes in the autocorrelation decay time,  $\tau_c$ . The autocorrelation time has been said to be inversely related to the speed of the scatterers [7], with multiple scattering theories including a weighting term for each additional dynamic scattering event [8–10]. Sequential intravascular scattering has been studied in the context of diffuse correlation spectroscopy, but remains to be examined for LSCI [11].

Attempts to develop a more thorough, neurovascular-specific understanding of speckle imaging rely on revisiting assumptions used in dynamic light scattering models and including realistic information about the complex spatial structure of the vascular network. Recent work has shown that the degree of intravascular multiple scattering during speckle imaging is lower than the photon diffusion limit and is significantly different when imaging surface vessels and parenchyma [12]. Furthermore, speckle visibility models that incorporate multiple scattering as a function of vessel caliber have been demonstrated to reliably predict the relationship between  $\frac{1}{\tau_c}$  and red blood cell (RBC) velocity changes in surface vessels *in vivo* [10]. None of these methods have examined the sensitivity of the LSCI signal to flow changes in specific regions of the vascular bed.

The speckle contrast signal results from an ensemble average of all dynamic scattering events experienced by detected photons. Determining the sensitivity of speckle contrast imaging to flow changes therefore requires a description of intravascular scattering not only directly below the detector, but also in every vessel that a detected photon might have traveled through. Using our recently developed method for calculating the autocorrelation function for ordered flow in 3D geometries [13], the sensitivity of LSCI to changes in underlying velocity is examined using Monte Carlo simulations of light scattering in cortical vasculature and a corresponding description of blood velocity in each vessel. Sensitivity is determined by virtually reducing blood flow velocities in the perturbed volume and calculating the resulting change in  $\frac{1}{\tau_c}$ . Differences in sensitivity when imaging over surface vessels and parenchyma are examined. Several different geometries are evaluated to determine the generalizability of the results.

## 2. Theory

To numerically simulate the sensitivity of LSCI to flow perturbations, the effect of the dynamic scattering of light from moving particles must be considered. Traditionally, the spatial contrast

calculation for LSCI has been calculated from the raw speckle image as:

$$K_s = \frac{\sigma_s}{\langle I \rangle} \quad (1)$$

where  $\sigma_s$  is the spatial standard deviation of the intensity and  $\langle I \rangle$  is the mean intensity of a  $N \times N$  pixel sliding window [14, 15]. The speckle contrast is a function of both the camera exposure time,  $T$ , and the autocovariance,  $C_t(t)$ , of the fluctuations in intensity at a single pixel. Provided the integration time is sufficiently long, this relationship is demonstrated through the second moment of the speckle contrast value:

$$K_s^2 = \frac{\sigma_s^2}{\langle I \rangle^2} = \frac{1}{T \langle I \rangle^2} \int_0^T (1 - \frac{t}{T}) C_t(t) dt. \quad (2)$$

The autocovariance,  $C_t(t)$ , is related to the intensity autocorrelation function through the following relation:

$$g_2(t) = 1 + \frac{C_t(t)}{\langle I \rangle^2}. \quad (3)$$

The quantity  $g_2(t)$  is calculated because of its relation to the electric field autocorrelation function,  $g_1(t)$ , which is related to  $g_2(t)$  through the Siegert relation:

$$g_2(t) = 1 + \beta |g_1(t)|^2 \quad (4)$$

where  $\beta$  is an instrumentation factor that accounts for loss of correlation related to the ratio of the detector size to speckle size, as well as the polarization of the source and detector [16]. It then follows that speckle contrast may be directly related to  $g_1(t)$  by:

$$K_s^2 = \frac{1}{T} \int_0^T \beta |g_1(t)|^2 (1 - \frac{t}{T}) dt. \quad (5)$$

The autocorrelation function  $g_1(t)$  depends on the ensemble average of the velocities probed by photons traveling through the tissue. Relating speckle contrast to sample velocities therefore critically depends on an accurate model of the particle motion and the amount of photon-particle interactions.

### 2.1. Velocity distribution

Typically, the form of  $g_1(t)$  has been calculated based on an assumed number of scattering events and the type of particle motion. Table 1 shows four forms that are commonly used for dynamic light scattering methods. Note that while the correlation time in each case is defined as  $\tau_c$ , the interpretation of that value is dependent on the scattering regime and particle motion [23]. For particle motion, unordered (Brownian) motion is characterized by diffusion coefficient  $D$  and ordered (linear) motion is characterized by mean squared velocity  $v_{rms}$ . Since Fercher and Briers, the majority of LSCI studies have assumed a Lorentzian line shape, which corresponds to the exponential form of  $g_1(t)$  and is appropriate for single dynamic scattering and unordered motion [14].

Duncan and others have recently pointed out that the motion probed in biological applications arises primarily from blood flow, which is not unordered [17–19]. They assert that the correct line shape, and therefore the correct form of  $g_1(t)$ , falls between the limiting behaviors of the Lorentzian (unordered motion) and Gaussian (ordered motion) line shapes.

Much of the discussion on the correction of the form of  $g_1(t)$  is based on a single dynamic scattering assumption. However, in our previous work, we have shown that single dynamic

Table 1. Forms of  $g_1(\tau)$

$g_1(\tau) =$	Light scattering	Particle motion
$\exp(-t/\tau_c)$	Single	Unordered
$\exp(-(t/\tau_c)^2)$	Single	Ordered
$\exp(-t/\tau_c)$	Multiple	Ordered
$\exp(-\sqrt{t/\tau_c})$	Multiple	Unordered

scattering is never an accurate assumption of the scattering characteristics in the cerebral cortex [12]. This is likely also true of imaging in other highly vascularized tissues. Therefore, the true form of  $g_1(t)$  lies not only between the two single dynamic scattering forms of  $g_1(t)$ , but also between the single and multiple scattering forms as well.

To add an additional complication, the motion models assume that dynamic scattering events along a single photon path are uncorrelated. This assumption has been justified by asserting that photons scatter only once inside vessels, and then travel outside vessels for a sufficient amount of time for their direction to become randomized before encountering another vessel [14]. However, the photon mean free path length inside blood vessels is 8-16  $\mu\text{m}$ , which is much smaller than many arteries, veins, arterioles and venules [30]. This leads to the breakdown of the uncorrelated dynamic scattering assumption because with the high scattering anisotropy in blood vessels, successive dynamic scattering events would in fact be highly correlated. To reformulate a model in which each scattering event along a photon path can be considered independently, we must return to the basic principles behind the formulation of the autocorrelation function  $g_1(t)$ .

## 2.2. Simulation of $g_1(t)$

The dynamic light scattering Monte Carlo (DLS-MC) model was used, which allows  $g_1(t)$  to be directly calculated if both the photon paths through a sample are known in addition to the speed and direction of flow at every intravascular scattering location [13]. The form of the calculation is:

$$g_1(t) = \langle E(0)E^*(t) \rangle = \int_{-\infty}^{\infty} P(Y) \exp(-jk_0 Y t) dY, \quad (6)$$

where  $k_0$  is the wave number in the sample and  $Y$  is the total amount of phase shift experienced by photons undergoing dynamic scattering events. The value of  $Y$  depends on the incident and scattering wavevectors,  $\mathbf{k}_i$  and  $\mathbf{k}_f$ , and velocity,  $\mathbf{V}$ , at each scattering event  $n$  along a photon's path:

$$Y = \sum_n ((\hat{\mathbf{k}}_{f,n} - \hat{\mathbf{k}}_{i,n}) \cdot \mathbf{V}_n). \quad (7)$$

Monte Carlo simulations can be used to determine the photon path through the sample if a geometry that is derived from the sample is used along with appropriate optical properties. Methods such as the recently developed vascular anatomical network (VAN) model, or dynamic light scattering optical coherence tomography (DLS OCT) may be used to determine the vector direction of flow at each point in the sample [20, 21]. With this information,  $g_1(t)$  can be directly calculated for an imaging scenario by using the appropriate illumination and detection parameters in the DLS Monte Carlo simulation. The simulated autocorrelation time constant,  $\tau_c$ , is then determined by finding the time when  $g_1(t)$  crosses  $1/e$ , which is consistent with the time constant definitions for each of the models shown in Table 1.

### 2.3. Sensitivity of autocorrelation time to velocity changes

The relationship between changes in velocity and the measured correlation time has not been well established. Using DLS-MC the relationship between the inverse of the autocorrelation time constant  $\frac{1}{\tau_c}$  and velocity may be directly examined. The sensitivity function,  $s(\mathbf{r})$ , described as

$$s(\mathbf{r}) = \frac{\frac{\partial 1/\tau_c}{1/\tau_c}}{\frac{\partial v(\mathbf{r})}{v(\mathbf{r})}} = \frac{v(\mathbf{r})}{1/\tau_c} \frac{\partial 1/\tau_c}{\partial v(\mathbf{r})}, \quad (8)$$

relates the change in the width of the correlation function to changes in particle motion at  $\mathbf{r}$ , and can be determined from DLS-MC by changing the velocity in a given volume about  $\mathbf{r}$  and then calculating the resulting change in  $\tau_c$ . The degree of velocity change can be varied to determine the relationship between  $\frac{\partial 1/\tau_c}{1/\tau_c}$  and  $\frac{\partial v(\mathbf{r})}{v(\mathbf{r})}$ .

### 2.4. Sensitivity of speckle contrast to $1/\tau_c$ changes

Experimentally, once the speckle contrast value is obtained, the autocorrelation time is a result of fitting according to the assumed form of  $g_1(t)$ . Using an assumption of unordered/Brownian motion and single dynamic scattering,  $g_1(t) = \exp(-t/\tau_c)$ , Bandyopadhyay et al. derived the following form of the speckle contrast value:

$$K^2(\tau_c, T) = \beta \frac{e^{-2x} - 1 + 2x}{2x^2} \quad (9)$$

where  $x = T/\tau_c$  and  $T$  is the exposure duration of the imaging camera [23]. Parthasarathy et al. demonstrated the ability to extract more accurate  $\tau_c$  values by incorporating the fraction of statically scattered light ( $1 - \rho$ ), adding terms describing noise ( $v_n$ ) and non-ergodic variance ( $v_{ne}$ ), and imaging over several exposure durations [22]:

$$K^2(\tau_c, T) = \beta \rho^2 \frac{e^{-2x} - 1 + 2x}{2x^2} + 4\beta \rho(1 - \rho) \frac{e^{-x} - 1 + x}{x^2} + v_{ne} + v_n. \quad (10)$$

Using DLS-MC, the fraction of statically scattered light and the experimental noise terms can be approximated as zero. In this case, the form in Eq. (10) reduces to Eq. (9).

Using the ordered motion, single scattering assumption; or  $g_1(t) = \exp(-(t/\tau_c)^2)$ , results in the following speckle contrast relation [23]:

$$K^2(\tau_c, T) = \beta \frac{e^{-2x^2} - 1 + \sqrt{2\pi}x \operatorname{erf}(\sqrt{2}x)}{2x^2}. \quad (11)$$

While the sensitivity of the autocorrelation time to velocity changes is of the most interest, the validity of that relationship with respect to speckle contrast relies on the assumption that the the assumed forms of  $g_1(t)$  implicit in the speckle contrast models in Eq. (9) and Eq. (11) do not negatively affect the ability to determine changes in  $1/\tau_c$ . This relationship may be determined with DLS-MC by fitting the simulated  $g_1(t)$  values to the assumed forms underlying the common speckle models. While the assumed form of  $g_1(t)$  may render the model incapable of accurately capturing the baseline  $1/\tau_c$  value, the change in the fitted value of  $1/\tau_c$  with velocity may be determined and compared to the change in the DLS-MC derived  $1/\tau_c$ .

## 3. Methods

### 3.1. Image acquisition and processing

Two photon fluorescence microscopy stacks were taken of FITC-labeled blood plasma in mice (N=5) [24,25]. The image stacks were enhanced using a 3x3x3 median filter and then vectorized

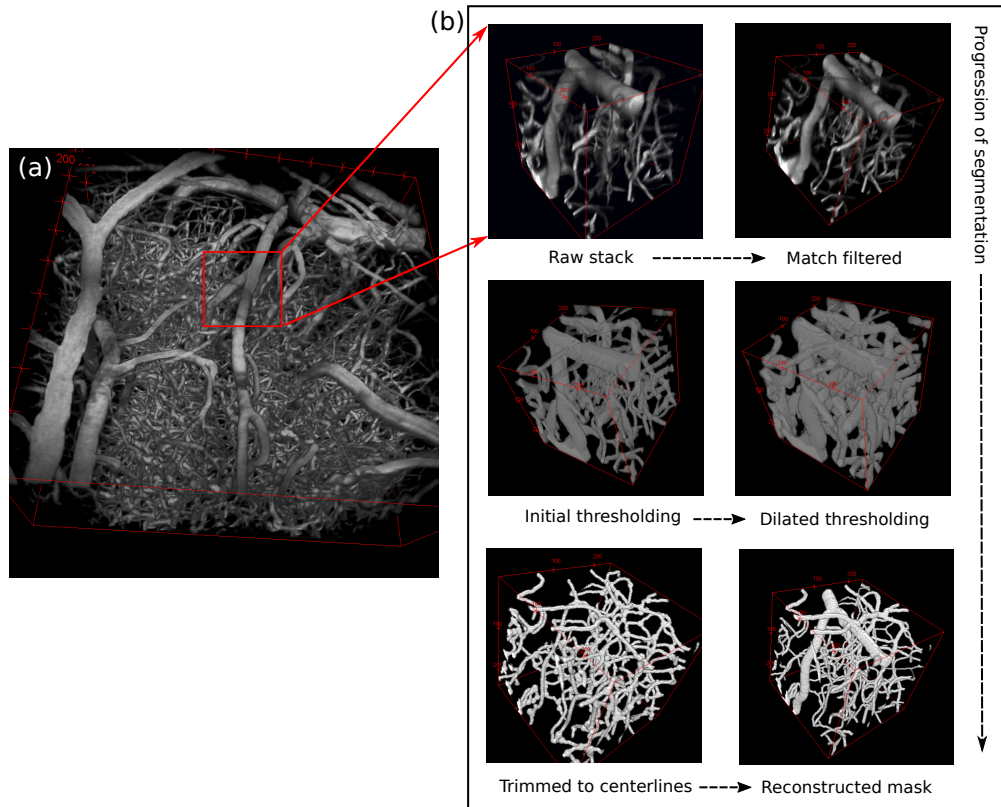


Fig. 1. (a) Three dimensional rendering of mouse microvasculature acquired with two-photon fluorescence microscopy. (b) Segmentation of microvascular map into center-lines and radii, followed by reconstruction.

using a well-established method developed by Tsai et al. and implemented in the VIDA suite software package [26]. Figure 1(a) shows an example three dimensional rendering of a two-photon stack. A rough outline of the vectorization process follows.

The stacks were first intensity normalized, and then split into separate  $100 \times 100 \times 100 \mu\text{m}$  sub-blocks for processing as shown in Fig. 1(b). Each sub-block was match filtered using rods to increase the intensity of pixels inside contiguous vessels. The radii of the enhanced gray scale blocks were then thresholded and the radii of each vessel were recorded. The binary vasculature was then shaved down to its centerlines to produce the vector outline of the vasculature. The vector description of the vasculature was recorded by pairing the previously recorded radii with each respective segment of the vasculature; which was defined as the contiguous centerline pixels between two branches. The sub-blocks were then recombined to form a vectorized map of the entire two-photon image stack. This method is quite robust, and has been used in several applications including producing a full 3D network of an entire mouse brain and assisting with automated histology [27, 28]. Extensive manual correction was then performed to ensure all vessel segments were interconnected. Capillary segments which were cut by the imaging field of view were removed. The results of this analysis were the center-line coordinates and radii of each vessel segment that was fully contained within the field of view, which were then used to reconstruct a binary vascular map as shown in the last image of Fig. 1(b).

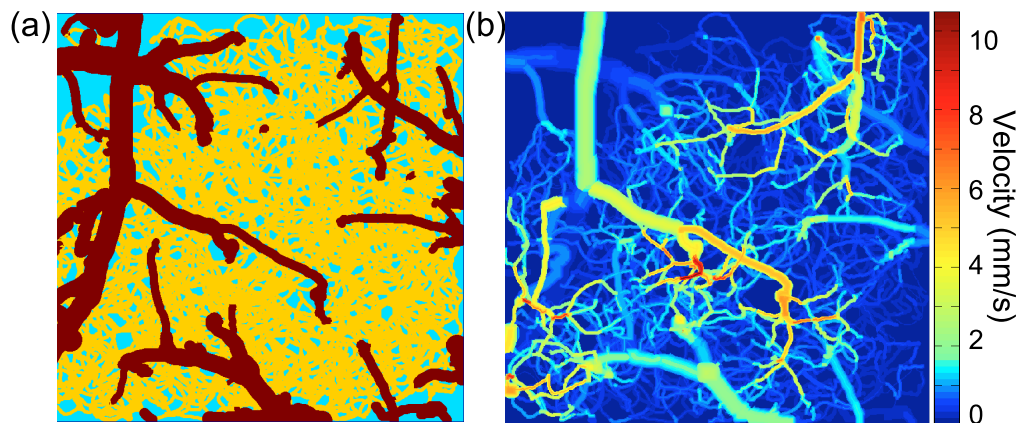


Fig. 2. (a) Example microvascular geometry ( $725\ \mu\text{m}\times 725\ \mu\text{m}$  laterally,  $670\ \mu\text{m}$  deep). Colors represent different optical properties. (b) Three dimensional velocity data generated using a vascular anatomical network model.

### 3.2. Optical properties

The intravascular absorption coefficients were generated based on the extinction coefficients of hemoglobin. The concentration of hemoglobin in the vasculature was assumed to be 2.3 mM [29]. The range of intravascular scattering coefficients were interpolated from hematocrit dependent measurements done by Meinke et al [30]. Extravascular absorption and scattering coefficients were based on the *in vitro* measurements by Yaroslavsky et al [31]. It was necessary to use *in vitro* measurements of the extravascular tissue because blood was assumed to only be present in intravascular space. The *in vitro* measurements were taken in the absence of blood. Table 2 lists the optical properties used in these simulations. Vessels with a diameter less than  $11\ \mu\text{m}$  were assumed to be capillaries with a hematocrit (Hct) of 15%, while larger vessels were assumed to have a Hct of 45% [11]. Figure 2(a) shows an example geometry with capillaries and non-capillaries separated by color.

Table 2. Optical properties for microvasculature geometry

	$\mu_a\ (\text{mm}^{-1})$	$\mu_s\ (\text{mm}^{-1})$	$g$
Capillaries	0.20	60	0.98
Non-capillaries	0.20	95	0.98
Extravascular	0.02	10	0.90

### 3.3. Blood flow velocity

Using a closed network model of vasculature has been shown by Lorthois et al. to result in accurate flow distributions [32]. The resistance of each vascular segment was calculated using Poiseuille's law corrected for hematocrit as described by Pries et al [33]. The boundary conditions required were the flow speeds of pial arteries and the blood pressure of the pial arteries and veins. Inflowing pial arterial velocities were calculated using the arterial diameter and an assumed perfusion of 100 mL/100g/min. The blood pressure of pial vessels was set using values from Lipowsky et al [34]. Finally, the blood flow distribution was computed using matrix equations from Boas et al [20].

Though the blood flow profile demonstrates variation over the course of each cardiac cycle



[35], here the spatial blood flow profiles were assumed to be constant in time and approximately parabolic for vessels larger than a single blood cell:

$$V(r) = V_{max}(1 - (\frac{r}{R})^2). \quad (12)$$

where  $R$  is the radius of the vessel and  $r$  is the distance from the vessel center line. Vessels the size of a single blood cell or smaller were assumed to have a uniform flow profile. Figure 2(b) shows a maximum intensity projection of a 3D parabolized velocity map of one of the five geometries used in this study.

### 3.4. DLS-MC simulations

For each of the five microvasculature geometries, Monte Carlo simulations were performed using  $4 \times 10^{10}$  launched photons. A circular collimated beam with a diameter equal to 95% of the geometry width was used to illuminate the surface of each geometry. Several (5-10)  $30 \mu\text{m} \times 30 \mu\text{m}$  regions of interest (ROI) were chosen in areas with and without surface vessels in each geometry. The coordinates of each scattering event for each detected photon were saved in order to calculate the autocorrelation function as in Eq. (6). The baseline autocorrelation time,  $\tau_{cb}$ , was calculated using the DLS-MC method as described in our recent paper [13].

#### 3.4.1. Three dimensional sensitivity

A volumetric study of the sensitivity function  $\frac{\partial 1/\tau_c}{\partial v(\mathbf{r})}$  was performed in one representative surface vessel and parenchyma ROI. To determine the sensitivity of  $g_1(t)$  to flow changes in a given volume of the geometry,  $g_1(t)$  was recalculated with the speed of all flow within the perturbed volume reduced by 5%. The change in  $\tau_c$  from the baseline was then calculated as:

$$\Delta \frac{1}{\tau_c} = 1 - \frac{\tau_{cb}}{\tau_{cp}} \quad (13)$$

where  $\tau_{cb}$  is the baseline autocorrelation time and  $\tau_{cp}$  is the autocorrelation time of  $g_1(t)$  calculated when the velocity of the volume of interest is perturbed. The change in  $\tau_c$  relative to a small change in velocity for a given volume was necessary to determine the sensitivity as defined in Eq. (8).

A sliding  $30 \mu\text{m}$  cube was used as the perturbation volume. The  $30 \mu\text{m}$  cube was scanned over the geometry in three dimensions to determine  $S(\mathbf{r})$  for each  $30 \mu\text{m}$  cubic volume in the geometry. Cubic interpolation was used to attain an  $S(\mathbf{r})$  value at each  $1 \mu\text{m}$  volume in the geometry and the 3-dimensional  $S(\mathbf{r})$  map was masked using the binary geometry to properly visualize the regions which are responsible for the change in  $1/\tau_c$ .

#### 3.4.2. Relationship between velocity change and the resulting $1/\tau_c$ change

A separate study to determine relationship between the change in velocity and the change in  $1/\tau_c$  was examined using two perturbation volumes for both the parenchyma and surface vessel ROIs. For the parenchyma ROI, the first volume was a cylinder with  $50 \mu\text{m}$  radius centered at the ROI and extending through the entire simulation geometry. This volume was chosen to represent what is generally thought to be the probed volume in parenchyma regions: the depth integrated capillary volume directly below and slightly to the sides of the image ROI. For the surface vessel ROI, the first volume was the entire surface vessel. For both ROIs, the second perturbation volume was the top  $200 \mu\text{m}$  of the geometry, which results in an examination of the sensitivity to surface vasculature over the entire image.

The velocity change in these perturbed regions was varied from 1%-200% of baseline and the resulting change in  $1/\tau_c$  was calculated.

### 3.4.3. Axial and lateral sensitivity

The sensitivity of  $g_1(t)$  to flow changes in both the axial ( $z$ ) and lateral ( $x,y$ ) dimensions were calculated using the volume perturbation method. Since each sensitivity calculation is highly dependent on the geometry and the surrounding vasculature, ROI from both surface vessels ( $N=14$ ) and parenchyma ( $N=18$ ) were chosen from among the five geometries to allow for generalization of the results. The flow velocity was reduced to 5% of the baseline velocity for each perturbed region.

The axial sensitivity to flow changes was calculated using perturbed volumes which spanned the entire geometry in  $x$  and  $y$  and were  $25\ \mu\text{m}$  deep. The lateral sensitivity was determined using cylindrical volumes that spanned the entire depth of the geometry. The smallest perturbed cylinder had a radius of  $25\ \mu\text{m}$ . Each successively larger cylindrical volume had a  $25\ \mu\text{m}$  larger radius, where the perturbed volume was the outer  $25\ \mu\text{m}$  of the cylinder (i.e. the difference between the inner and outer cylinder).

### 3.4.4. Accuracy of commonly used speckle models

The ability of the speckle models in Eq. (9) and Eq. (11) to describe changes in  $1/\tau_c$  were examined by calculating the speckle contrast value as in Eq. (5) using 15 exposure durations ranging from 0.05-80 ms and fitting to the models to generate an approximate experimental  $\tau_c$  value [36]. The changes in the fitted value of  $1/\tau_c$  were compared to the changes in the simulated  $1/\tau_c$  for velocity changes ranging from 1%-200% of baseline as above.

## 4. Results

The base Monte Carlo simulations of light scattering in each geometry required 200 processors and 7 hours of wall-clock time to run on the TACC Lonestar machine. Each Monte Carlo simulation generated a database of photon scattering positions that could be combined with velocity information in postprocessing for rapid computation of  $g_1(t)$  for a given 3D velocity distribution [13].

### 4.1. Form of $g_1(t)$

Results showing the form of  $g_1(t)$  and the multiple scattering characteristics for both ROIs can be seen in Fig. 3. The simulated autocorrelation function and degree of multiple scattering corresponding to the surface vessel and parenchyma ROIs are shown in black in Figs. 3(a) and 3(b) and Figs. 3(c) and 3(d), respectively. Three forms of  $g_1(t)$  are plotted for comparison. The Gaussian line shape shown in green represents a unordered motion and single dynamic scattering assumption. The exponential form in red represents both ordered motion and single scattering, as well as unordered motion in the multiple scattering limit. Finally, the additional form shown in blue represents ordered motion in the multiple scattering limit. Note that a log time scale was used in order to more effectively visualize the relative shape of each curve, although it does exaggerate the error of the fits as opposed to plotting on a linear time scale.

The  $g_1(t)$  curve from the surface vessel ROI shows two distinct behaviors. The first part of the curve shares a similar shape to the unordered motion, single scattering ( $\exp(-(t/\tau)^2)$ ) form of  $g_1(t)$ . The second part of the curve changes shape and lies between the unordered motion/multiple scattering ( $\exp(-t/\tau)$ ) and ordered motion/multiple scattering ( $\exp(-\sqrt{t/\tau})$ ) forms.

The parenchyma ROI also changes form, but less drastically than the surface vessel ROI. The first half of the parenchyma curve decays more rapidly than the exponential case, but only slightly. The longer time behavior of the curve behaves like the surface vessel curve: bounded by the exponential curve and the ordered motion/multiple scattering curve.

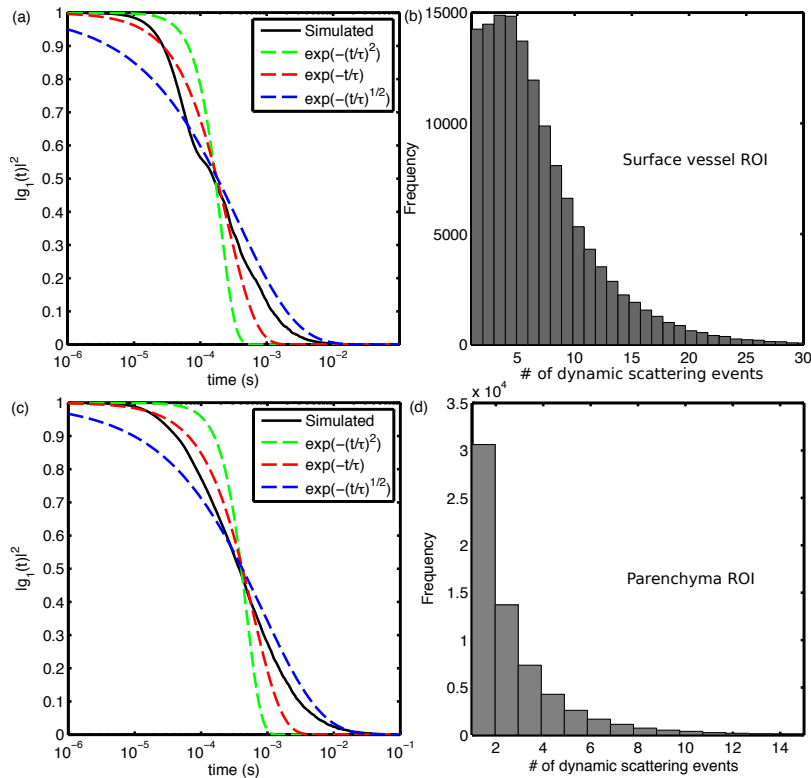


Fig. 3. Surface vessel ROI: (a) Simulated autocorrelation function compared with common forms of  $g_1(t)$ . (b) Histogram of the number of dynamic scattering events for each collected photon. (c) and (d) show the same data for a parenchyma ROI.

The histograms of multiple scattering between the surface vessel and parenchyma ROI are shown in Fig. 3(b) and Fig. 3(d) respectively. The scattering arising from photons traversing through the surface vessel can be clearly seen in the first 5-10 scattering events in the histogram.

#### 4.2. Three dimensional sensitivity of $\tau_c$ to changes in particle velocity

The three dimensional sensitivity functions calculated using  $30 \mu\text{m}$  perturbation volumes are shown in Fig. 4. The sensitivity was calculated using a 5% velocity perturbation using Eq. (8). The surface vessel ROI in Figs. 4(a) and 4(b) demonstrates a significant localization of sensitivity to the surface vessel, while the parenchyma ROI in Figs. 4(c) and 4(d) is much more de-localized. From the XZ view in 4(b), the surface vessel also contributes substantially to the depth-dependent sensitivity as it descends into the geometry.

While there is a maximum sensitivity in the parenchyma ROI as seen in the capillary just below the surface in 4(d), it is not a sharp maximum and there are several regions both laterally and in depth that display similar sensitivities. Additionally, the sensitivity to lateral surface vasculature in the parenchyma ROI is higher in all surface vessels except the vessel where the surface vessel ROI is placed.

#### 4.3. Sensitivity of $1/\tau_c$ as a function of velocity change

Figure 5 shows the change in  $1/\tau_c$  for a change in velocity for the surface vessel and parenchyma ROI. The sensitivity as described in Eq. (8) is represented as the slope of the line, with a

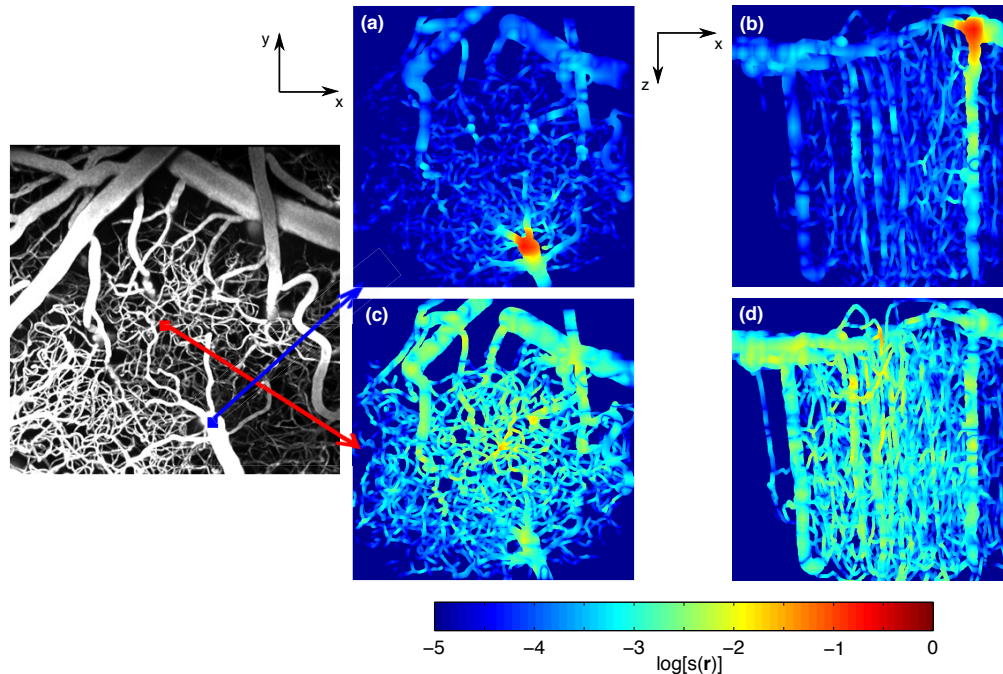


Fig. 4. Three dimensional sensitivity function of surface vessel ROI (a) XY view (b) XZ view, and the parenchyma ROI (c) XY view (d) XZ view. (log scale)

constant slope over a range of velocities indicating a linear relationship. The targeted regions in Fig. 5(a) were set to represent the region that is being investigated: the entire surface vessel was perturbed for the surface vessel ROI, and a  $25\ \mu\text{m}$  cylinder centered at the ROI was perturbed for the parenchyma ROI. Figure 5(a) shows that for targeted perturbation regions, both the surface vessel and parenchyma ROI demonstrate nonlinear behavior as the velocity decreases from baseline to zero. However, for small decreases from baseline or for increases from baseline, the relationship between velocity and  $1/\tau_c$  is approximately linear.

In the perturbed  $200\ \mu\text{m}$  layer shown in Fig. 5(b), the surface vessel region displays only slight nonlinearity as the velocity decreases from baseline to zero, but again shows approximately linear behavior for small decreases from baseline and for increases from baseline. The parenchyma ROI demonstrates the same trend, but the nonlinearity is more pronounced, likely due to a more significant amount of signal originating from below the  $200\ \mu\text{m}$  layer

#### 4.4. Axial and lateral sensitivity

The results from the axial sensitivity study are shown in Fig. 6(a) and 6(b). Sensitivities for these figures were calculated based on a 95% perturbation of baseline velocities (i.e. 5% of baseline) using Eq. (8). The surface vessel average sensitivity and standard error were calculated using 14 distinct surface vessel ROI from among the five microvasculature geometries. The parenchyma calculations used 18 different parenchymal ROI. The standard error of the top  $150\ \mu\text{m}$  of the surface vessel ROI in Fig. 6(a) is significantly larger than the error attributed to any other depth of the tissue regardless of ROI type. This is the result of highly variable surface vessel radii and depths. Due to the higher number of scattering events, surface vessels with large radii produced larger  $S(\mathbf{r})$  values than those with smaller radii. Many surface vessels had little sensitivity in the top  $50\ \mu\text{m}$  because they were completely contained in the layer  $100\ \mu\text{m}$  into the geometry.

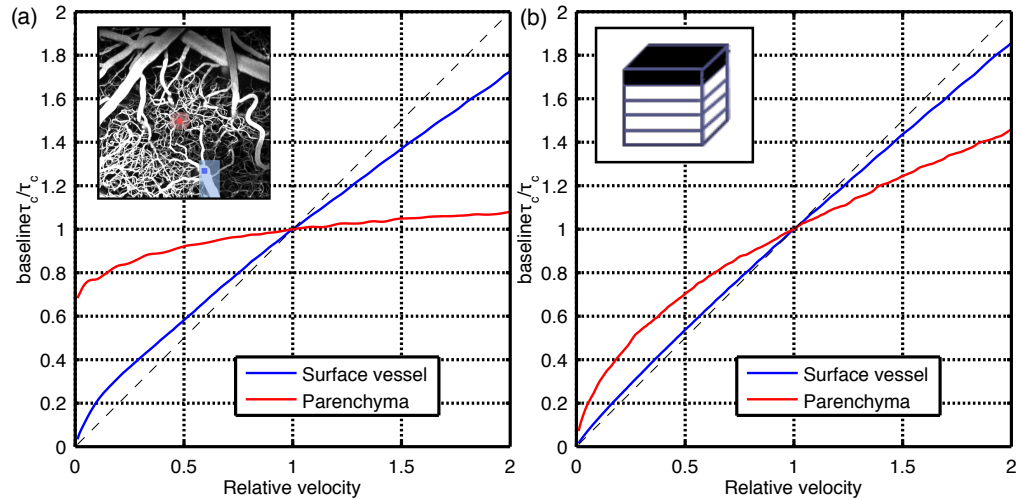


Fig. 5. The relationship between  $1/\tau_c$  and particle velocity in a representative surface vessel and parenchyma ROIs. The slope represents the sensitivity function  $S(\mathbf{r})$  and the dotted line represents a sensitivity of 1. The plot in (a) shows targeted perturbation regions: the velocity in the entire surface vessel was adjusted for the surface vessel ROI, and a  $25\ \mu\text{m}$  radius cylinder was perturbed for the parenchyma ROI. In (b) the top  $200\ \mu\text{m}$  of the geometry was perturbed for both ROI.

The average lateral sensitivity is shown in Fig. 6(c) and 6(d). The sensitivities were calculated using 95% perturbations and concentric cylinders, the smallest of which had a radius of  $25\ \mu\text{m}$ . Each successively larger cylinder had a  $25\ \mu\text{m}$  larger radius. The surface vessel sensitivities in Fig. 6(c) are significantly more localized around the ROI than the parenchyma ROI in Fig. 6(d). The sensitivity of the inner  $25\ \mu\text{m}$  radius cylinder is smaller than the successive concentric cylinders partly because of the differences in the perturbed volumes.

#### 4.5. Sensitivity of $K$ to changes in $\tau_c$

The charts in Fig. 7 show the accuracy of the assumed forms of  $g_1(t)$ . Figure 7(a) shows the same graph of inverse autocorrelation time change versus velocity shown in Fig. 5, but includes the change in  $1/\tau_c$  as predicted by the three assumed forms of  $g_1(t)$  shown in Table 2. Figure 7(b) shows the relative baseline values for 32 ROIs across the five geometries. The baseline  $1/\tau_c$  values derived from fitting the three assumed forms of  $g_1(t)$  were divided by the  $1/\tau_c$  values from the simulated  $g_1(t)$ .

Figure 7(a) demonstrates that all three assumed forms of  $g_1(t)$  are nearly equal in their ability to extract the *change* in  $1/\tau_c$  of the true underlying autocorrelation function. The results show that using the assumed forms does slightly under-predict the change in  $1/\tau_c$  when the changes are large, such as in the surface vessel ROI or in the parenchyma ROI when the velocity is close to zero.

The comparison of baseline  $1/\tau_c$  values in Fig. 7(b) demonstrate that the choice of  $g_1(t)$  form significantly affects the baseline values of the autocorrelation time. Using the form  $g_1(t) = \exp(-(t/\tau_c)^2)$  which represents single scattering and ordered motion, appeared to strongly under-predict the baseline  $1/\tau_c$  value. In contrast, the form  $g_1(t) = \exp(-(t/\tau_c)^{1/2})$ , which represents multiple scattering and diffusive motion, over-predicted the  $1/\tau_c$  by a similar margin. The exponential form, while still under-predicting the baseline inverse autocorrelation time value, provided the closest match to the simulated  $1/\tau_c$  baseline value.

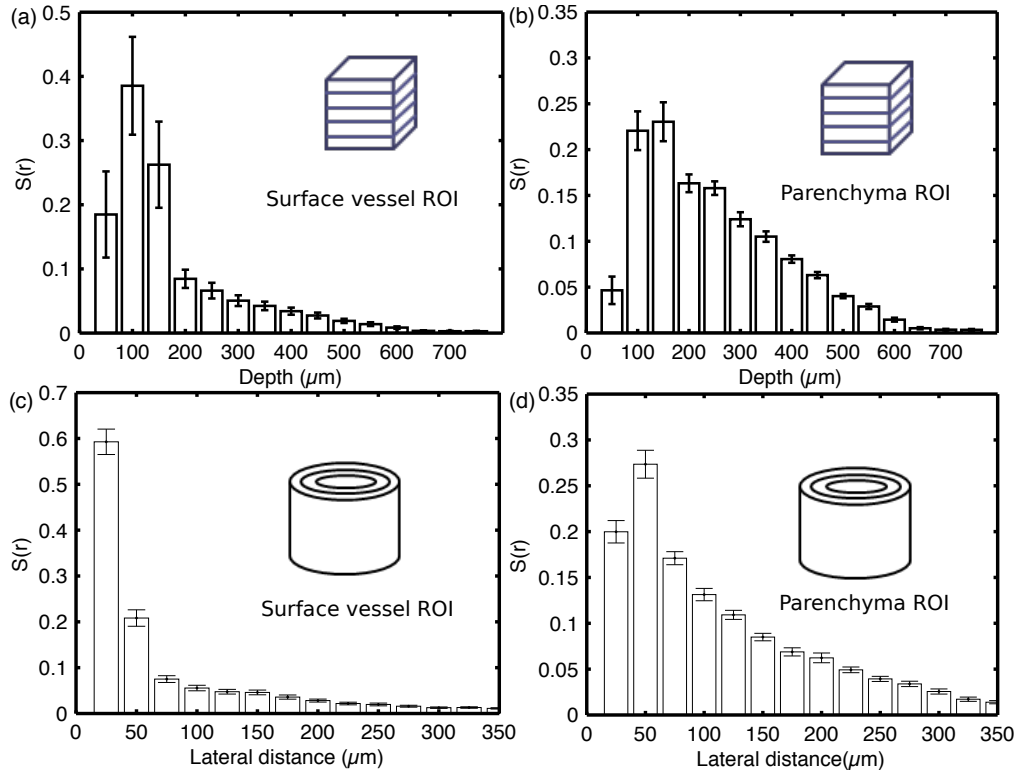


Fig. 6. Average sensitivity and standard error of (a) surface vessel ROI (N=14) and (b) parenchyma ROI (N=18) to changes in particle velocity in each  $50 \mu\text{m}$  layer of the geometry. The bottom row shows the lateral sensitivity of (c) surface vessel ROI (N=14) and (d) parenchyma ROI (N=18) to changes in particle velocity in concentric cylinders with radii increasing by  $25 \mu\text{m}$ .

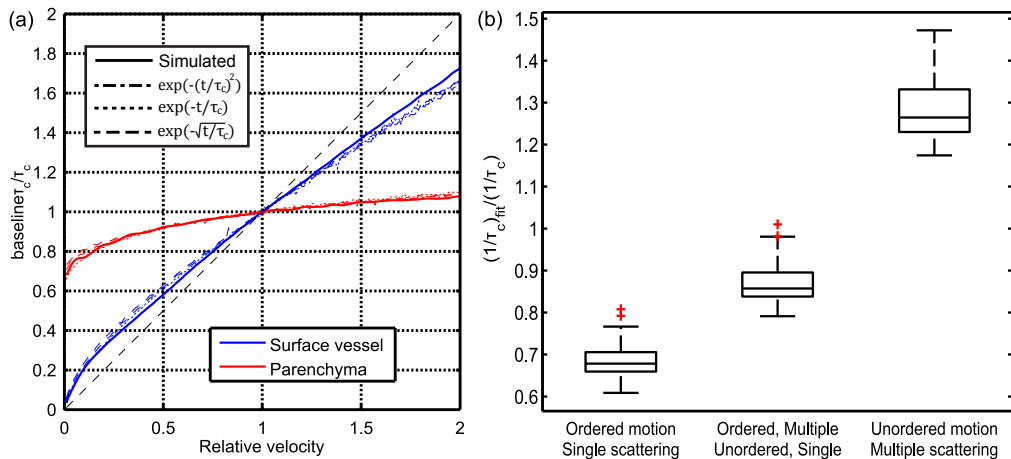


Fig. 7. The accuracy of typically assumed forms of  $g_1(t)$ . (a) is the same graph of sensitivity to flow perturbations shown in 5(a), but with matching  $1/\tau_c$  values extracted using the models shown in Table 2. (b) shows the accuracy of the assumed forms of  $g_1(t)$  at determining the true  $1/\tau_c$  value in 32 ROIs.

## 5. Discussion

### 5.1. Correct form of $g_1(t)$

The assumed form of  $g_1(t)$  in tissues has historically depended on the two factors in Table 1: particle motion and the degree of scattering. These two factors are sufficient for establishing the limits of the expected behavior, but none of the forms in themselves describe the simulated forms of  $g_1(t)$  shown in Fig. 3.

The simulated  $g_1(t)$  curve in both the surface vessel and parenchyma ROI displayed similar behavior. In the shorter time scales, they were bound by the  $g_1(t)$  form expected from singly scattered/ordered motion and multiply scattered/ordered motion forms. This is expected primarily because the DLS-MC method only simulates ordered motion, so despite  $g_1(t)$  associated with experimental measurements having sampled diffusive motion, here the only contribution is from photons interacting with particles moving at constant velocity. This begs an explanation from the longer time behavior. For both ROI, the  $g_1(t)$  curves are bound by the exponential function and the form associated with multiple scattering and unordered motion.

This behavior is the result of a further breakdown of the assumptions implicit in the forms of  $g_1(t)$ . The forms which describe ordered motion and single scattering are based on a Gaussian line shape. If this assumption is accurate, then the scattering vectors and velocity vectors that together determine the electric field phase shift for a dynamic scattering event are decoupled from each other and the distribution has a zero mean value. This drastically simplifies the analytical form and results in the commonly used second-moment based  $g_1(t)$  forms, which are characterized by the mean squared displacement.

However, when performing LSCI measurements in the cortex, the velocities encountered are varied but not random. Capillaries tend to have similar velocities, but surface arteries, veins, arterioles, and venules all have highly varied flow speeds. Photons sample multiple vessels along their path, each with a different velocity and orientation, and often interact with vessels whose velocities are outliers with respect to surrounding vasculature. These effects taken together cause a breakdown in the Gaussian line shape assumption and render the associated forms incapable of accurately describing the particle dynamics.

### 5.2. Non-linearity of sensitivity with velocity

The results in Fig. 5 show non-linearity as the velocity is reduced from baseline to zero for both the surface vessel and parenchyma ROIs. However, given how  $g_1(t)$  arises, this should come as no surprise. The contribution to  $g_1(t)$  from each dynamic scattering event depends on  $\mathbf{q} \cdot \mathbf{v}$  as it travels through the geometry.

Since the measured autocorrelation function is an ensemble average of all photons, the contribution from one particular region in the tissue contributes in two ways. First, all photons which have traveled through the region and then been detected will have different phases. Any change resulting from these photons will therefore only impact the fraction of the ensemble average that they represent. Secondly, of the photons that do travel through the perturbed region, the fraction of the total phase does not decline linearly since the phase shifts from unperturbed regions are still included. Since both these effects are nonlinear, the dependence of  $\tau_c$  on flow velocity in any given vessel or region is inherently nonlinear when imaging in the cortex.

It is important to point out that the underlying autocorrelation function from which the speckle contrast value is calculated is never dependent on a single vessel. A decrease in flow in a surface vessel, for example, should not be expected to affect the autocorrelation time in a linear manner. Instead, the process of the vessel velocity changing from baseline to zero in a single vessel is reflected by a shift in the ensemble average of the dynamic phase shifts to a new ensemble average representing all the other sampled vessels. The remaining vessels' weights

in the ensemble average are higher and therefore their sensitivity is higher than it was when the surface vessel was present. This is why the axial sensitivity functions of the surface vessel and parenchyma demonstrate such a stark contrast.

### 5.3. Sensitivity to flow perturbations

The most immediately obvious conclusion from the 3D sensitivity and the depth-based axial sensitivity studies is that surface vessels and parenchyma ROIs have very different sensitivity. The sensitivity function in the surface vessel ROIs are very spatially confined to the vessel it represents. The log scale 3D sensitivity map in Fig. 4(b) and 4(c) demonstrates this clearly: the sensitivity to the vessel is over two orders of magnitude stronger than sensitivity to any other vasculature. The parenchyma ROIs on the other hand display a more uniform sensitivity function, with  $\tau_c$  being nearly equally sensitive to capillaries and descending vessels beneath the surface, as well as to surface vessels lateral to the ROI. The parenchyma ROI results show that for any particular capillary region ( $25\ \mu\text{m}$  cube), the sensitivity of  $1/\tau_c$  to changes in velocity is very small: between 0.1-1 % change for a 100 % change in velocity. This property effectively precludes LSCI from being usable to detect changes on an individual capillary level.

The differences between surface vessel and parenchyma ROIs are also reflected in the average axial and lateral sensitivities in Fig. 6. The strong localization of surface vessel ROIs result in large but highly variable depth dependent sensitivity in the first  $150\ \mu\text{m}$ , followed by very little sensitivity to deeper vasculature. The more uniform parenchyma ROIs also display higher sensitivities to surface vessels, but the variation is smaller because nearly all surface vasculature lateral to the ROI contributes to the sensitivity. The parenchyma ROIs also display higher sensitivity to lower depths relative to the surface vessel ROI.

As alluded to in the previous section, these effects are ultimately the result of the ensemble average that generates the  $g_1(t)$  curve. In surface vessels, most detected photons must travel through the vessel to reach the detector. This results in a large contributor to the ensemble average that minimizes the effect of other vasculature on the resulting behavior of  $g_1(t)$ . The photons reaching a parenchyma ROIs are not strongly weighted towards any specific vessel, which allows them greater sensitivity to changes deeper into the tissue as well as in laterally positioned surface vessels.

### 5.4. Speckle contrast sensitivity

The sensitivity of speckle contrast calculations are ultimately dependent on whether the assumed form of  $g_1(t)$  implicit in the model can accurately represent changes in the autocorrelation time of the true underlying  $g_1(t)$  curve. Fortunately, as shown in Fig. 7(a) it appears that using any of the three most commonly assumed forms results in almost the same sensitivity to underlying flow as the DLS-MC calculated  $g_1(t)$  function. This implies that the results and discussion on the sensitivity of  $g_1(t)$  to flow changes in the cortex are equally applicable to speckle contrast calculations.

Though the sensitivity to flow changes might be the same, in order to connect speckle contrast calculations to absolute flow values in the tissue, the models must be able to accurately extract the true baseline  $1/\tau_c$  value. This was a primary criticism advanced by Duncan et al. regarding attempts to make LSCI more quantitative [17]. In this case, the results in Fig. 7(b) show that none of the assumed forms are capable of determining the correct baseline  $1/\tau_c$ . However, the assumption of an exponential form of  $g_1(t)$  comes the closest. Among the 32 ROIs examined, the error in the baseline  $1/\tau_c$  for the exponential form was on average 12 % and at most slightly over 20 %.



## 6. Conclusion

Using dynamic light scattering Monte Carlo simulations, the sensitivity of the autocorrelation function and speckle contrast to flow changes in the cortex was extensively examined. It was found that the commonly used forms of  $g_1(t)$ , while they happen to provide limiting behaviors for the shape of the curve, rely on assumptions regarding the type and amount of scattering that are not accurate.

Furthermore, the sensitivity studies demonstrate that the sensitivity of  $\tau_c$  to changes in a surface vessel region of interest (ROI) is strongly localized to the single vessel, while parenchymal ROI have a larger sensitivity to flow changes deeper into the tissue. The axial sensitivity trends hold in the lateral sensitivity case, with surface vessel sensitivity being most strongly localized to the surrounding  $25\ \mu\text{m}$ , but parenchymal ROI demonstrating significant sensitivity to vasculature up to  $200\ \mu\text{m}$  or more lateral to the ROI.

Additionally, it was shown that utilizing the commonly used speckle contrast models resulted in nearly the same sensitivity to underlying flow. This demonstrates that the sensitivity results shown in this paper are applicable to speckle contrast images processed using the most commonly assumed forms of  $g_1(t)$ . However, none of the speckle contrast models were able to accurately extract the baseline  $1/\tau_c$ . While this is currently a major limitation preventing the accurate quantification of absolute flow, it is shown that assuming an exponential form of  $g_1(t)$  results in the smallest error of the three examined forms.

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