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## Immune and regulatory functions of neutrophils in inflammatory bone loss

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### Abstract

Although historically viewed as merely anti-microbial effectors in acute infection or injury, neutrophils are now appreciated to be functionally versatile with critical roles also in chronic inflammation. Periodontitis, a chronic inflammatory disease that destroys the tooth-supporting gums and bone, is particularly affected by alterations in neutrophil numbers or function, as revealed by observations in monogenic disorders and relevant mouse models. Besides being a significant debilitating disease and health burden in its own right, periodontitis is thus an attractive model to dissect uncharted neutrophil-associated (patho)physiological pathways. Here, we summarize recent evidence that neutrophils can contribute to inflammatory bone loss not only through the typical bystander injury dogma but intriguingly also through their absence from the affected tissue, where they normally perform important immunomodulatory functions. Moreover, we discuss recent advances in the interactions of neutrophils with the vascular endothelium and – upon extravasation – with bacteria, and how the dysregulation of these interactions leads to inflammatory tissue damage. Overall, neutrophils have both protective and destructive roles in periodontitis, as they are involved in both the maintenance of periodontal tissue homeostasis and the induction of inflammatory bone loss. This highlights the importance of developing approaches that promote or sustain a fine balance between homeostatic immunity and inflammatory pathology.

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## Keywords

Neutrophils; inflammation; bone loss; periodontitis; leukocyte-adhesion deficiency; Del-1

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## 1. Introduction

Neutrophils are relatively short-lived, terminally differentiated white blood cells that are endowed with capacity for swift recruitment to sites of infection or tissue injury and potent microbicidal mechanisms [1–3]. Huge numbers of neutrophils ([4]) are produced in and released from the bone marrow (BM) into the circulation at a rate of  $10^{11}$  neutrophils per day under steady-state conditions [5]. Circulating neutrophils migrate to extravascular sites (*e.g.*, in the skin, gut, lungs, or gingiva) in response to tissue infection or injury via the leukocyte adhesion cascade, a sequence of low- and high-affinity adhesive interactions between the neutrophils and the endothelium [6–8]. The first step involves transient rolling interactions, mediated by the binding of endothelial cell surface molecules (P- or E-selectin) to their glycoprotein ligands on neutrophils. This rolling-dependent braking down of neutrophils is followed by their firm adhesion on the endothelium and subsequent intraluminal crawling to identify appropriate sites for transendothelial migration. Firm adhesion and crawling are primarily mediated by  $\beta_2$ -integrins (heterodimers with a unique CD11 subunit and a common CD18 subunit) through interactions with their endothelial counter-receptors, such as ICAM-1 and ICAM-2. Specifically, lymphocyte function-associated antigen-1 (LFA-1; CD11a/CD18) and macrophage-1 antigen (Mac-1; CD11b/CD18) mediate, respectively, firm adhesion and crawling [6,7,9].

As there is a fine balance between the protective and potentially destructive effects of neutrophils, their production, trafficking, and clearance are tightly regulated by several homeostatic mechanisms operating in the BM, intravascularly, or peripheral tissues [10–12]. In mice and humans, the granulocyte colony-stimulating factor (G-CSF) is the major regulator of both granulopoiesis and neutrophil release from the BM [13,14]. Interleukin (IL)-17 (also known as IL-17A) promotes granulopoiesis and orchestrates neutrophil recruitment by up-regulating G-CSF and chemokines [10,15] and/or down-regulating endogenous inhibitors of the leukocyte adhesion cascade [16,17].

Although neutrophils have been traditionally viewed as merely anti-microbial effectors in the context of acute infection and inflammation, accumulating evidence suggests that neutrophils are functionally versatile and perform hitherto unanticipated functions, including engagement in regulatory crosstalk with both innate and adaptive immune leukocytes [7,18–20] (Figure 1). In addition to their classical antimicrobial and cytotoxic mechanisms (release of reactive oxygen species, antimicrobial peptides such as  $\alpha$ -defensins and cathelicidin, and proteases such as elastase, cathepsin G or matrix metalloproteinases), neutrophils are now appreciated for a notable *de novo* biosynthetic capacity for C-X-C and C-C chemokines, cytokines with proinflammatory, anti-inflammatory, or immunoregulatory properties, as well as angiogenic and fibrogenic factors [18,21]. This previously underappreciated biosynthetic ability of neutrophils contributes to their crosstalk with tissue resident cells and other leukocytes. For instance, by releasing CCL2 and CCL20, neutrophils can recruit IL-17–

producing CD4<sup>+</sup> T helper cells (Th17) to sites of inflammation [22], whereas by secreting B-lymphocyte stimulator (BLyS) and a proliferation-inducing ligand (APRIL), neutrophils can enhance survival, proliferation, and maturation of B cells into plasma cells [23,24]. Moreover, neutrophil-derived transforming growth factor  $\beta$ 1 (TGF $\beta$ 1) downregulates inflammatory responses by macrophages [25]. Evidence for subsets of neutrophils that perform regulatory functions [26] and for the polarization of tumor-associated neutrophils to phenotypes with antitumor or protumorigenic activity [27] suggests that the neutrophil population may not be as homogeneous as traditionally thought, but may display substantial diversity and functional plasticity (reviewed in refs. [3,18,27–31]).

Therefore, besides hallmarking acute inflammation, neutrophils are now increasingly acknowledged as important players in chronic inflammatory or aging-related disorders, including psoriasis, rheumatoid arthritis, atherosclerosis, inflammatory bowel disease, cancer, diabetes, and periodontitis [18,32–36]. This review discusses emerging new concepts on the role of neutrophils in infection and inflammation using periodontitis as a paradigm. Periodontitis is an oral inflammatory disease that leads to the destruction of the alveolar bone and other tissues that surround and support the teeth, such as periodontal ligament and gingiva, collectively known as the periodontium [37]. Moreover, periodontitis is associated with increased risk of certain systemic disorders, such as atherosclerosis and rheumatoid arthritis [38]. Periodontitis is an attractive study model of neutrophil-microbe interactions for two important reasons. First, the disease is readily accessible for obtaining host cells and tissue as well as microbial samples directly from specific microenvironments in the mouth, thus facilitating the conduct of longitudinal studies. For instance, the microorganisms studied are those that can be recovered directly from diseased periodontal pockets rather than those that have flowed through the ecosystem [39]. Second, periodontal tissue homeostasis and health is particularly sensitive to neutrophil defects affecting their numbers or function, as shown by clinical studies in patients with monogenic neutrophil disorders and experiments in relevant mouse models, discussed below [16,40–48]. Neutrophil infiltration and immunopathology is a hallmark also in common forms of periodontal disease [36,49,50].

## 2. Concepts derived from the study of rare monogenic diseases

Rare diseases (defined as affecting 1 in 1250 individuals) represent an important medical and social issue, cumulatively affecting 25 million patients in North America alone [51]. Importantly, the investigation of rare monogenic diseases is not relevant only to the treatment of patients with these specific disorders. These rare maladies constitute in fact real-life models to understand human biological pathways and obtain critical mechanistic insights into common diseases [46,52–54]. For instance, the study of leukocyte adhesion deficiency (LAD) has led to a better understanding of neutrophil biology and of mechanisms that control periodontal immunity and tissue homeostasis [46,47,55].

### 2.1. Leukocyte adhesion deficiency Type I

LAD constitutes a group of inherited disorders that impede the normal extravasation of circulating neutrophils and hence their recruitment to peripheral tissues [42,56]. The

underlying genetic defects involve defective expression or function of  $\beta_2$  integrins or related adhesion molecules. LAD type I (LAD-I) is caused by deficiency in  $\beta_2$  integrins, LAD-II involves defective glycosylation of selectin ligands, and LAD-III is due to dysfunction of signaling molecules required for integrin activation [55]. The most common LAD type is LAD-I, an autosomal recessive immunodeficiency arising from mutations in the *ITGB2* gene that encodes for the common  $\beta_2$ -integrin subunit CD18 [42,56]. Neutrophils are absent or only scarcely found in extravascular sites in LAD-I patients, who have increased blood neutrophil counts (neutrophilia), suffer from frequent infections at mucosal or skin surfaces, and develop aggressive periodontitis in childhood [41,47,56,57].

Periodontitis associated with LAD-I has been historically attributed to impaired neutrophil surveillance of the bacterial infection in the periodontium [41,57–62]. However, recent work has challenged this dogma and linked impaired neutrophil transmigration to disruption of periodontal tissue homeostasis [47,63]. Indeed, clinical and laboratory studies using tissues and mucosal immune cells from LAD-I patients combined with mechanistic experiments in mouse models of LAD-I have shown that defective neutrophil recruitment to the gingiva leads to dysregulated overproduction of IL-17 [47], a pro-inflammatory and bone-resorptive cytokine [64]. Local antibody-mediated neutralization of IL-17 in mice mimicking the LAD-I phenotype (due to LFA-1 [CD11a] deficiency) blocked periodontal inflammation and bone loss as well as diminished the microbial burden [47], suggesting that exaggerated IL-17-driven inflammation fuels microbial overgrowth [65]. In this regard, periodontitis-associated ‘inflammophilic’ bacteria thrive on tissue breakdown products, such as degraded collagen peptides and heme-containing compounds [66,67]. The finding that microbial overgrowth in LAD-I periodontitis can be controlled by controlling inflammation – despite the absence of the presumed protective effects of neutrophils – has important implications for the etiology of the disease. It clearly questions the assumption that the absence of neutrophils promotes disease due to inadequate surveillance of the periodontal microbiota.

The dysregulated overproduction of IL-17 in the periodontium of LAD-I patients and relevant mouse models [47] may be explained by the disruption of a neutrophil homeostatic mechanism, known as the neutrophil rheostat (‘neurostat’) [10,68]. Specifically, the phagocytosis of apoptotic neutrophils by tissue phagocytes regulates neutrophil production in and release from the BM through a granulopoietic G-CSF–dependent feedback loop that is mediated by the control of tissue expression of IL-23 and the downstream cytokine IL-17 [10,68]. In this regard, the phagocytosis of apoptotic neutrophils (‘efferocytosis’) by tissue macrophages reprograms the transcriptional activity of the efferocytic macrophage, at least in part, through induction of immunomodulatory signals that include downregulation of IL-23 [68–70].

Therefore, in a mucosal environment exposed to bacterial stimuli such as LPS, which can readily induce IL-23, efferocytic macrophages function to ameliorate IL-23 levels and thereby IL-23–dependent IL-17. In contrast, the absence of recruited neutrophils to the periodontal tissue of LAD-I patients affects this homeostatic pathway and diminishes the regulatory signals normally deriving from efferocytosis. Indeed, the majority of apoptotic cells in gingival tissue sections of normal individuals are neutrophils [71], which – under inflammatory conditions – occupy a large area ( 60%) of the junctional epithelium [72]. The

notion that the efferocytosis-associated signals do not properly operate in LAD-I patients is supported by findings of overexpression of IL-23 (and the downstream cytokines IL-17 and G-CSF) in the LAD-I periodontium [47] (Figure 2). Whereas the elevated G-CSF has long been linked to increased granulopoiesis and blood neutrophilia in LAD-I individuals, the LAD-I-associated overproduction of IL-17 unequivocally contributes to the rapid inflammatory periodontal bone loss in these patients, given the well-established potent osteoclastogenic properties of IL-17 [64] (Figure 2). In support of this notion, anti-IL-17 blocks inflammation and bone loss in mice that mimic the LAD-I phenotype [47].

The concept that the absence of neutrophils causes LAD-I periodontitis through dysregulation of the host response rather than through defective immune surveillance of the local bacteria, by no means implies that the bacteria do not participate in the pathogenesis of this aggressive form of periodontitis. Indeed, experimental evidence suggests that the tooth-associated bacteria serve as an initial stimulus for local immunopathology through translocation of bacterial products (LPS) into the underlying gingival tissues where they unleash the already dysregulated IL-23–IL-17 axis [65,73]. An equivalent bacterial challenge in normal individuals, however, would likely be tolerated through the aforementioned efferocytosis-associated regulatory signals.

In both LAD-I patients and relevant mouse models, the elevated gingival IL-17 is predominantly derived from different T cell subpopulations, which are abundantly found in the inflammatory infiltrates of the LAD-I periodontitis lesions together with B cells and other cells of hematopoietic origin, whereas neutrophils in LAD-I patients are confined in blood vessels [47]. In this regard, neutrophils appear to be more heavily dependent on CD18 for transmigration than other leukocyte types that can transmigrate via CD18-independent mechanisms (e.g., by using very late antigen-4; VLA-4;  $\alpha 4\beta 1$ ) [74–77]. It should be noted, however, that although  $\beta 2$  (CD18) integrins are critical for neutrophil transmigration to several tissues (e.g., gingiva, skin, and intestine) [47,68,78,79], CD18-independent migration of neutrophils has been described in humans and animal models, such as VLA-3 ( $\alpha 3\beta 1$ )– or VLA-4–mediated neutrophil recruitment to the peritoneal cavity and lungs of septic mice [78,80–83]. An additional reason why lymphocytes can be found in high numbers in peripheral tissues of LAD-I patients is because they can proliferate upon their recruitment (in contrast to the relatively short-lived neutrophils that undergo apoptosis). In fact, the remarkably increased numbers of plasma cells in the lesions of LAD-I periodontitis might, in part, be linked to the overexpression of IL-17, which was shown to enhance the survival, proliferation, and differentiation of B cells [84,85]. Consistently, blocking IL-17 in murine LAD-I periodontitis resulted in reduced expression of the plasma cell marker CD138 [47]. Because B and plasma cells are a major source of RANKL in the bone-resorptive lesions of chronic periodontitis [86], the abundance of B-lineage cells in LAD-I periodontitis might further contribute to inflammatory bone loss, secondarily to the dysregulated IL-17 response. Other secondary causes for bone loss in LAD-I periodontitis might be the deficiency of CD18 in osteoclasts, since Mac-1 (CD11b/CD18) is a negative regulator of osteoclastogenesis [87,88]. However, it should be noted that periodontal bone loss is arrested once teeth are removed or lost in LAD-I patients, who moreover have not been reported to have skeletal bone deficit. This suggests that CD18 deficiency *per se* is unlikely to cause

bone loss in the absence of inflammatory stimuli, which – in LAD-I – are dependent on the disinhibited IL-23–IL-17 axis and the presence of periodontal bacteria that can unleash it.

## 2.2. Other types of leukocyte adhesion deficiency

Similarly to LFA-1–deficient mice, mice with combined P- and E-selectin deficiency (a model, which displays some resemblance to LAD-II) revealed severe bone loss early in life [40], reproducing the severe periodontal phenotype of LAD-II patients [89]. Although the expression of IL-17 in the periodontium of P/E-deficient mice was not analyzed [40], these double knockout mice have elevated IL-17 mRNA expression in several other peripheral tissues examined, including the spleen and jejunum, also attributed to the lack of neutrophil recruitment to these tissues [68].

The genetic basis for LAD III was shown to involve mutations in kindlin-3 [90], which interacts with the cytoplasmic tail of  $\beta$ 2-integrins and regulates integrin-activation and therefore integrin-dependent neutrophil adhesion and transendothelial migration as well as integrin-dependent platelet functions [90,91]. LAD-III patients have severe bleeding disorder and recurrent infections and their prognosis is poor without transplantation [90]. Currently, there is no available information on their periodontal condition.

The GTPase Rac2 regulates neutrophil chemotaxis and margination of neutrophils [92]. In humans, mutations in Rac2 cause severe phagocytic immunodeficiency characterized by life-threatening infections in infancy [93]. These patients also display neutrophilia and impaired neutrophil migration, features that are shared with LAD patients [92,93]. Interestingly, Rac2-deficient mice are significantly more susceptible to experimental periodontitis as compared with wild-type controls, although the periodontal IL-17 response was not assessed [94]. Nevertheless, similar to  $\beta$ 2-integrin deficiency, Rac2-deficient mice have only a few neutrophils in the junctional epithelium and gingival connective tissue but exhibit a heavy mononuclear cellular infiltrate in the same regions [94].

## 2.3. Chronic granulomatous disease

Chronic granulomatous disease (CGD) is a rare disease caused by mutations in the genes encoding for components of the nicotinamide adenine dinucleotide [NADPH] oxidase [95]. Accordingly, CGD neutrophils have defective respiratory burst and fail to kill a number of pathogens [96,97]. As expected, CGD patients are particularly susceptible to recurrent bacterial and fungal infections (*e.g.*, pneumonia and abscesses of the skin), and the disease phenotype is replicated in mice deficient in the 47-kDa cytosolic subunit of the NADPH oxidase [60,98,99]. However, CGD patients do not exhibit increased susceptibility to periodontitis compared to the general population [60,100]. Although this may have appeared as a puzzle, it is now consistent with the findings from LAD-I patients and relevant mouse models discussed above [47,63], as neutrophil recruitment is intact in CGD. In other words, normal neutrophil recruitment by itself may be more crucial for periodontal tissue homeostasis than is the ability of neutrophils to kill pathogens. It is thus possible that periodontal immune surveillance by neutrophils could be compensated for by other cell types (*e.g.*, macrophages). In contrast, the clearance of dead neutrophils by macrophages seems essential for neutrophil homeostasis [10,101]. The observation that neutrophils do

migrate to the periodontium in the absence of bacterial colonization (in germ-free mice) [102] further suggests that neutrophil recruitment and clearance serves homeostatic function(s) that are not necessarily related to infection control. Moreover, the lack of correlation between susceptibility to systemic infections and susceptibility to periodontitis is consistent with the recent understanding that periodontitis is not an infection in the classic sense (*i.e.*, caused by specific pathogens) but rather a dysbiotic disease [39,103].

More recently, it became apparent that CGD neutrophils have additional defects with regard to pathogen killing. The formation of neutrophil extracellular traps (NETs), which can entrap bacteria, fungi, and viruses, depends heavily on the generation of reactive oxygen species (ROS) by NADPH. Therefore, neutrophils from CGD patients cannot readily form NETs and have defective antimicrobial activity against certain pathogens [104,105]. Conversely, the reconstitution of NET formation by gene therapy in a CGD patient restored the ability of the patient's neutrophils to kill *Aspergillus nidulans*, which is frequently associated with disseminated disease and mortality in CGD patients [105]. However, the fact that CGD patients are not susceptible to periodontitis [60,100] suggests that NETs have, at best, a minor protective role in periodontitis. In fact, the formation of NETs, which has been demonstrated in periodontal pockets of patients [106,107], might contribute to periodontal inflammation and tissue destruction, as is the case with certain other chronic inflammatory and autoimmune diseases [108].

#### 2.4. Papillon-Lefèvre syndrome

The Papillon-Lefèvre syndrome is caused by deficiency in cathepsin C (dipeptidyl peptidase-I), a lysosomal exo-cysteine protease involved in the activation of pro-enzymes of neutrophil-derived serine proteases, such as cathepsin G, elastase, and proteinase 3 [109,110]. Papillon-Lefèvre patients are particularly susceptible to periodontitis affecting both the primary and permanent dentitions [111,112]. Interestingly, neutrophils from Papillon-Lefèvre patients maintain their capacity to kill pathogens [113,114]. These studies also imply that defective control of periodontal bacteria may not be the central factor underlying the periodontal disease susceptibility of Papillon-Lefèvre patients, although another study has shown that serine proteases can neutralize bacterial toxins [115].

Additional evidence suggests that severe periodontitis associated with the Papillon-Lefèvre syndrome could be attributed, at least in part, to a dysregulated inflammatory response. Specifically, in these patients, the failure to activate neutrophil-derived serine proteases due to cathepsin C deficiency results in impaired proteolytic degradation of proinflammatory chemokines and cytokines (*e.g.*, macrophage inflammatory protein-1 $\alpha$ ) [116], a mechanism important for inflammation resolution and tissue homeostasis.

Because they cannot activate proteinase-3 either, Papillon-Lefèvre neutrophils are also unable to process the cathelicidin precursor protein hCAP18 into the antibacterial peptide LL-37 [113,117]. Given the notion that neutrophil serine proteases (and hence the products dependent on their activity, such as LL-37) are dispensable for immune protection [113], the association of LL-37 with periodontal tissue homeostasis [117] could possibly be explained by the immunoregulatory properties attributed to LL-37, such as downregulation of Toll-like receptor-mediated inflammatory responses and osteoclastogenesis [118–120].

### 3. Endogenous regulators of neutrophil recruitment to the periodontium: consequences of dysregulation

Neutrophils are the most common leukocyte recruited to the subgingival crevice, which when pathologically deepened (due to periodontitis) is referred to as periodontal pocket [38]. Supernumerary, hyperactive, or dysregulated neutrophils can cause bystander tissue damage via the release of inflammatory and toxic molecules or tissue-degrading enzymes [16,50,100,121]. It has also been proposed that neutrophils can directly induce osteoclastic bone resorption by expressing membrane-bound receptor activator of nuclear factor- $\kappa$ B ligand (RANKL) [122] a key osteoclastogenic cytokine [123,124]. In this context, neutrophils can release collagenase, which contributes to the initiation of bone resorption [125]. Furthermore, neutrophils secrete BlyS and APRIL [23,126] and thereby can enhance the survival of RANKL-expressing B and plasma cells that have been causally linked to periodontal bone loss in an APRIL- and BlyS-dependent manner in mice [127] (Figure 1). Through the release of CCL2 and CCL20 chemokines, neutrophils can recruit CCR2- and CCR6-expressing Th17 cells [22], which are implicated in autoimmune and inflammatory conditions including periodontitis [47,64,128,129]. Regardless of the exact mechanisms, ample clinical evidence indicates that neutrophils mediate a substantial part of periodontal tissue destruction [125,130]. Moreover, the local neutrophil numbers correlate positively with the severity of chronic periodontitis [131,132]. This section focuses on the regulation of neutrophil recruitment to the periodontium and conditions that can result in supernumerary neutrophils leading to inflammatory bone loss in periodontitis.

Although the study of the leukocyte adhesion cascade has traditionally focused on positive regulators of the cascade leading to the discovery of multiple chemokine and adhesion receptors, more recently, there has been intense interest to identify endogenous mechanisms that homeostatically control this inflammatory process. Newly identified mechanisms involve local tissue production of infiltration inhibitors or local release of such endogenous inhibitors by the recruited leukocytes upon their interaction with the endothelium [8]. These inhibitors can block distinct steps of the leukocyte adhesion cascade, such as (i) rolling, (ii) activation, and (iii) firm adhesion (Figure 3). For instance, pentraxin-3 (PTX3) inhibits rolling by binding P-selectin and blocking its interaction with PSGL-1 [133]. Growth differentiation factor-15 (GDF-15) antagonizes the activity of Rap1-GTPase and thereby  $\beta$ 2 integrin activation [134]. In a related context, the paired immunoglobulin-like type 2 receptor- $\alpha$  on neutrophils mediates immunoreceptor tyrosine-based inhibitory motif (ITIM) signaling, which suppresses chemoattractant-induced  $\beta$ 2 integrin activation [135]. Endothelial cell-secreted developmental endothelial locus-1 (Del-1) binds LFA-1 and antagonizes its interaction with endothelial ICAM-1 required for firm leukocyte adhesion to the endothelium [136]. Moreover, specialized pro-resolving lipid mediators act through multiple mechanisms regulating leukocyte infiltration (more below) [137]. Here we discuss in greater detail those inhibitors that have been investigated in the context of periodontal disease.



### 3.1 Developmental endothelial locus-1 (Del-1)

Del-1 was originally described as an endothelial cell-secreted 52-kDa protein interacting with the  $\alpha v\beta 3$  integrin [138]. More recently, Del-1 was shown to bind also  $\beta_2$  integrins (LFA-1 and Mac-1) and to antagonize  $\beta_2$  integrin-dependent neutrophil adhesion to the endothelium, thereby suppressing neutrophil extravasation [16,136,139]. Del-1 likely also inhibits Mac-1-dependent intraluminal crawling of neutrophils, although this was not specifically addressed. Importantly, Del-1-deficient mice develop spontaneous periodontitis typified by excessive neutrophil infiltration and IL-17–driven inflammatory bone loss [16], indicating that normal Del-1 expression is crucial for appropriate regulation of the local host inflammatory response. Disease is abrogated in mice with double deficiency in Del-1 and IL-17 receptor [16]. Interestingly, Del-1 deficiency is also linked to enhanced neutrophil infiltration and IL-17–dependent destructive inflammation in experimental autoimmune encephalomyelitis, a murine model of multiple sclerosis [140].

As discussed in the previous section, mice deficient in the LFA-1 integrin serve as a model mimicking LAD-I and show defective neutrophil recruitment to the periodontium [47,63]. Therefore, with respect to neutrophil recruitment, LFA-1-deficient mice and Del-1-deficient mice exhibit opposite phenotypes. Yet, both LFA-1–deficient mice and Del-1–deficient mice develop spontaneous periodontitis, whereas their wild-type littermates or even their corresponding doubly deficient mice remain relatively healthy [16,47]. Therefore, the presence of ‘normal’ numbers of neutrophils is strictly required for periodontal tissue homeostasis. In a similar context, excessive infiltration or activity of neutrophils has been linked to increased severity of colitis in animal models [141–143]; conversely, depletion or adhesion-blockade of neutrophils still exacerbates experimental colitis [144]. In this regard, the protective function of neutrophils involved their contribution to mucosal epithelial cell homeostasis through the secretion of IL-22 [145].

Interestingly, Del-1 mRNA and protein expression is diminished in the gingival tissue of aged mice (18 months old), correlating with excessive neutrophil recruitment and IL-17–dependent inflammatory bone loss [16,146]. Accordingly, local periodontal treatment with Del-1 in old mice inhibits IL-17 production, LFA-1-dependent neutrophil infiltration, and bone loss [16] and similar results were obtained by treating non-human primates with human Del-1 [87]. Not only do neutrophils infiltrate the periodontium at increased numbers in old age [16], but recruited neutrophils might be more likely to cause collateral tissue damage owing to age-related cell-intrinsic defects. In this regard, it was shown that neutrophils isolated from elderly individuals (60 years of age or older) exhibit inaccurate (unfocused) chemotaxis during which they release excessive amounts of proteases [147]. This defect was attributed to elevated constitutive PI3K activity, as compared to neutrophils from young controls, since inhibition of PI3K signaling restored chemotactic accuracy in the elderly-derived neutrophils [147]. In a related context, neutrophils from chronic periodontitis patients display reduced velocity and chemotactic accuracy compared to healthy controls [148]. In summary, increased numbers of extravasated neutrophils could exacerbate neutrophil-mediated bystander tissue damage.

### 3.2. Resolvins in periodontal inflammation; connection with Del-1

The resolution of inflammation is a well-coordinated active process aiming to reinstate tissue integrity and function and is mediated in great part by inhibition of further leukocyte recruitment and by clearance of apoptotic neutrophils by macrophages. Specialized pro-resolving lipid mediators acting via specific receptors are central in resolution of inflammation [69,137]. Such pro-resolving agonists include arachidonic acid-derived lipoxins and omega-3 polyunsaturated fatty acid-derived resolvins and protectins [49,69,149]. In contrast to Del-1, which is released by endothelial cells at the endothelial-leukocyte interface [16,136], pro-resolving lipid mediators can be produced through transcellular biosynthesis involving both endothelial cells and leukocytes [150]. When released within the vascular lumen, lipoxins and resolvins (derived from docosahexaenoic acid [D series] or eicosapentaenoic acid [E series]) can inhibit neutrophil extravasation into the tissues through multiple mechanisms involving modulation of adhesion receptor expression in both neutrophils and endothelial cells. For instance, lipoxin A<sub>4</sub> inhibits leukotriene- or peptidoleukotriene-induced neutrophil adhesion by suppressing the expression of P-selectin on endothelial cells and of Mac-1 (CD11b/CD18) on neutrophils [151,152]. Other anti-neutrophil recruitment effects by lipoxins or resolvins include inhibition of L-selectin shedding and of ICAM-1 expression, and upregulation of endothelium-expressed nitric oxide, an inhibitor of leukocyte adhesion to vascular endothelium [153,154]. Multiple studies have established the ability of lipoxins and resolvins to inhibit periodontal inflammation and bone loss, in part by restricting the influx of neutrophils to the periodontal issue [49,155].

A novel connection between Del-1 and D-series resolvins was recently identified with regard to IL-17-dependent inflammatory bone loss [17]. Earlier studies have shown an inverse relationship between the expression levels of Del-1 and IL-17 in both mouse and human gingiva, where Del-1 and IL-17 dominate in healthy and inflamed tissue, respectively [16,146]. IL-17 can directly block Del-1 expression, ostensibly to facilitate neutrophil extravasation (*i.e.*, by reversing the antagonistic effect of Del-1 on neutrophil adhesion to the endothelium) [16,140]; this function of IL-17 may be beneficial in acute bacterial infections or in oropharyngeal candidiasis [156]. Mechanistically, IL-17 inhibits Del-1 expression by inducing GSK-3 $\beta$ -dependent inhibitory phosphorylation of C/EBP $\beta$ , leading to diminished binding of this critical transcription factor to the Del-1 promoter, hence suppressing Del-1 expression [17]. Intriguingly, the inhibitory action of IL-17 on Del-1 can be reversed at the GSK-3 $\beta$  level by Akt signaling induced by D-resolvins *in vitro* and *in vivo* [17]. Moreover, the ability of resolvin D1 (RvD1) to inhibit periodontal inflammation (*e.g.*, IL-17 and IL-17-dependent neutrophil-specific chemokines such as CXCL-1, -2, -3, and -5) and bone loss depended heavily on the presence of Del-1, as the protective effect of RvD1 was abrogated in Del-1-deficient mice [17].

Although Del-1 contributes crucially to the ability of the periodontium to self-regulate neutrophil-mediated inflammation, this homeostatic mechanism breaks down in aged mice as alluded to above [16,146]. Given that RvD1 positively regulates Del-1 expression [17] and that the levels of D-series resolvins, including RvD1, are decreased in aged mice

compared to their young counterparts [157], it is tempting to speculate that the low abundance of RvD1 in old age underlies, in part, the age-related decline of Del-1 expression.

### 3.3. Pentraxin-3

Pentraxins represent a family of soluble pattern-recognition molecules typified by a radial pentameric structure. Serum amyloid P and C-reactive protein belong to the short pentraxin subfamily, whereas PTX3 is a prototypic member of the long pentraxins and is expressed in various cell types including myeloid dendritic cells and phagocytes [158]. PTX3 participates in distinct aspects of the innate immune response, such as pathogen recognition and opsonization, and regulation of complement and inflammation [158]. In neutrophils, PTX3 is constitutively stored in specific granules and can be released locally at the neutrophil-endothelial cell interface in response to pro-inflammatory cytokines or triggered by Toll-like receptor agonists [159]. Toll-like receptor activation may therefore not only promote integrin activation and neutrophil recruitment [160], but through the secretion of PTX3 may also initiate a negative feedback loop. In particular, PTX-3 was shown to bind P-selectin on activated endothelial cells, thereby blocking P-selectin interaction with its leukocyte ligand PSGL-1, hence blocking neutrophil rolling on the endothelium under shear stress [133]. Accordingly, PTX3-deficient mice display enhanced neutrophil recruitment, as compared to wild-type controls, in models of pleural cavity inflammation and acid-induced acute lung injury [133]. In BM chimera experiments, hematopoietic-specific deficiency of PTX3 was associated with increased neutrophil recruitment, thus confirming involvement of leukocyte-derived PTX3 in counteracting neutrophil rolling [133]. It should be noted that PSGL-1 binding to P-selectin mediates also leukocyte-platelet interactions [161,162]. PSGL-1/P-selectin- and Mac-1/glycoprotein Ib-dependent leukocyte-platelet interactions are implicated in several inflammatory processes, including autoimmunity, acid-induced acute lung injury or the inflammatory response to islet transplantation [163–165]. Interestingly, blocking P-selectin-dependent neutrophil-platelet aggregation reverses lung injury [164]. Therefore, by acting as an inhibitor of the PSGL-1/P-selectin interaction, the ability of PTX3 to inhibit acid-induced acute lung injury may include suppression both of neutrophil rolling (and hence transmigration) and of formation of leukocyte-platelet aggregates.

Clinical studies have shown that periodontitis is associated with increased levels of PTX3 in the gingival tissue, the gingival crevicular fluid, and in saliva [166–169]. Moreover, an experimental periodontitis study in rats confirmed that PTX3 levels are elevated in inflamed gingiva as compared to healthy gingiva [170]. The authors of these studies concurred that PTX3 seems to be associated with periodontal tissue destruction and might be useful as a diagnostic marker for periodontitis. While this is plausible, these studies should not be interpreted to mean that PTX3 necessarily promotes periodontal tissue destruction, as PTX3 levels may be elevated as a compensatory mechanism to mitigate destructive periodontal inflammation. In this regard, useful insights could be obtained by experimental studies investigating the periodontal disease phenotype of PTX3-deficient mice. *In vivo* animal studies in other inflammatory disease models have shown that, overall, PTX3 mediates host-protective effects, in part by reducing neutrophil infiltration (*e.g.*, in acute myocardial infarction, LPS-induced acute lung injury, and acute kidney injury) although it may also play

a detrimental role in certain other pathophysiologic conditions (*e.g.*, intestinal ischemia and reperfusion where PTX3 was associated with increased neutrophil influx) [171].

#### 4. Neutrophils and microbial immune subversion

As discussed above, patients with chronic periodontitis have a greater number and longer-lived neutrophils in the oral tissues compared with healthy individuals [172]. The persistent recruitment and sustained presence of neutrophils in inflamed periodontal pockets is likely due to – in part – their inability to control the subgingival microbial challenge, even though they are viable and can elicit immune responses [37,121]. This suggests that the periodontitis-associated bacteria can evade neutrophil-mediated killing while in an inflammatory environment, that is, without resorting to generalized immune suppression. In fact, this would not be a viable option since periodontitis-associated bacteria rely on inflammation to procure nutrients in the form of inflammatory tissue breakdown products, such as degraded collagen and heme-containing compounds [66].

Recent human microbiome studies and animal model-based mechanistic studies suggest that periodontitis does not conform to a bacterial infection model in the classical sense (*i.e.*, not caused by a single or a select few pathogens) [173–185]. Rather, periodontitis represents a polymicrobial community-induced perturbation of host homeostasis that leads to destructive inflammation in susceptible individuals [39]. According to the polymicrobial synergy and dysbiosis model of periodontitis, dysbiotic communities exhibit synergistic interactions that can enhance colonization, persistence, or virulence. Bacteria known as keystone pathogens are involved in immune subversion and disruption of tissue homeostasis, whereas other, known as pathobionts, can trigger destructive inflammation once tissue homeostasis breaks down [39,186].

*Porphyromonas gingivalis* is a documented keystone pathogen in periodontitis that can manipulate innate immunity in ways that promote the conversion of a symbiotic community into a dysbiotic one, at least in the mouse model [38,176]. Remarkably, *P. gingivalis* can uncouple bacterial clearance from inflammation and thereby contribute to the persistence of inflammophilic microbial communities that drive periodontitis [183]. This subversive effect depends on the ability of *P. gingivalis* to induce signaling crosstalk in neutrophils involving TLR2 and the complement anaphylatoxin receptor C5aR1, which is triggered by C5a generated by the enzymatic action of *P. gingivalis* Arg-specific gingipains [183,187,188]. The C5aR1-TLR2 crosstalk induces proteasomal degradation of the TLR2 signaling adaptor MyD88 [183], which can otherwise mediate immune clearance of *P. gingivalis* [189]. The dissection of the underlying signaling pathway in both mouse and human neutrophils revealed that the proteasomal degradation of MyD88 is mediated via ubiquitination by the E3 ligase Smurf1 [183].

Although the MyD88 pathway is both an antimicrobial and proinflammatory pathway, its degradation by *P. gingivalis* does not abrogate the neutrophil inflammatory response, which as noted above contributes to a nutritionally favourable environment for the bacteria. Indeed, *P. gingivalis* activates an alternative TLR2 inflammatory pathway involving the adaptor Mal (MyD88 adaptor-like) and phosphoinositide 3-kinase (PI3K) [183]. In this regard, genetic or

pharmacological ablation of Mal or PI3K abrogates the production of pro-inflammatory cytokines such as TNF, IL-1 $\beta$ , and IL-6. Intriguingly, *P. gingivalis*-induced Mal-PI3K activation inhibits bacterial phagocytosis by suppressing GTPase RhoA-dependent actin polymerization [183]. This evasive tactic of *P. gingivalis* moreover protects ‘bystander’ bacteria that are otherwise susceptible to killing by neutrophils [183]. Consistent with this action, pharmacological inhibition of C5aR1, TLR2, or PI3K in mice previously colonized with *P. gingivalis* led not only to the elimination of *P. gingivalis* itself from the periodontium but also reversed uncontrolled bacterial growth and inflammation, which occurred earlier owing to *P. gingivalis* colonization [183]. Therefore, *P. gingivalis* manipulates neutrophils via two distinct but interconnected mechanisms that together promote dysbiosis and the perpetuation of periodontal inflammation.

## 5. Hyper-reactive and suppressor neutrophil populations in chronic periodontitis

Even when periodontitis is considered in the general population (*i.e.*, excluding monogenic defects, such as *ITGB2* mutations, that have an obvious impact on the disease), a susceptible host is required for the development of this oral disease. In this respect, there are individuals who do not develop periodontitis despite lack of oral hygiene resulting in massive biofilm accumulation at dentogingival sites [190,191]. In general, the susceptibility to periodontitis may involve a variety of factors, including but not limited to congenital or acquired host immunodeficiencies or immunoregulatory defects, systemic diseases (*e.g.*, obesity and diabetes), environmental factors (*e.g.*, diet, smoking, and stress) and epigenetic modifications in response to environmental changes, which might act alone or in combination [190,192–196]. Although a genetic basis for periodontal disease pathogenesis is suggested by twin studies and familial aggregation of severe forms of the disease [197], the implication of specific genes (*e.g.*, *IL1*, *IL6*, *TNF*, *FCGR2A*, *C5*, *CD14*, *WNT5A*) is generally weak and open to debate [190,193,195]. This is not surprising given the polygenic nature of adult-type chronic periodontitis, where multiple genes contribute cumulatively to the overall disease risk (or protection) through effects on the host immune response and the microbiome [195,198].

Although of uncertain mechanistic or genetic basis, a hyper-inflammatory neutrophil phenotype was proposed as having an important role in the pathogenesis of chronic periodontitis [50,199]. A hyper-inflammatory neutrophil phenotype is also linked to systemic diseases associated with periodontitis, such as diabetes and cardiovascular disease [200,201], suggesting that common susceptibility (shared hyper-inflammatory neutrophil phenotype) might contribute to comorbidity, *i.e.*, to the epidemiological association of periodontitis with these systemic disorders. Interestingly, whereas peripheral blood neutrophils from patients with chronic periodontitis release increased levels of pro-inflammatory cytokines (*e.g.*, TNF, IL-1 $\beta$ , and IL-8) in response to several stimuli compared to neutrophils from healthy controls, this hyper-inflammatory phenotype persists even after successful periodontal therapy (improvement in all clinical measures of the disease resulting in comparable periodontal status with control subjects) [202]. These findings suggest that this hyper-reactivity is spontaneous rather than being secondary to local inflammation in the

periodontium, although long-lasting epigenetic effects on the phenotype cannot be ruled out. Peripheral blood neutrophils from chronic periodontitis patients also show elevated release of reactive oxygen species compared to those from healthy controls, even in the absence of exogenous stimulation; this unstimulated hyper-activity is not reversed by successful periodontal therapy [203]. Ostensibly, the recruitment of hyper-active neutrophils to the periodontium could contribute to periodontitis by causing enhanced oxidative tissue damage [50].

A recent study has shown that human neutrophils produce IL-10 upon direct contact with LPS-stimulated regulatory T cells (Tregs), mediated by Mac-1 and ICAM-1 on neutrophils and Tregs, respectively [204]. Consistently, such suppressor neutrophils could be identified at sites of gram-negative bacteria-induced inflammation, such as the periodontal pockets of chronic periodontitis patients, but not at sites of aseptic inflammation (cerebrospinal fluid of patients with neuromyelitis optica) [204]. The notion that neutrophils can transform into IL-10-producing cells is in line with the emerging concept that neutrophils do not represent a homogeneous population but exhibit functional plasticity that allows them to also act as regulatory cells under certain conditions [18,205]. However, the same notion seemingly deviates from findings of another study showing that the *IL10* genomic locus in human neutrophils (stimulated with different pro-inflammatory molecules including LPS) remains in an inactive state, in contrast to autologous monocytes (or mouse neutrophils) that are readily induced to express IL-10 [206]. The authors of this study, nevertheless, did not rule out as-yet-undefined conditions capable of causing major reorganization of the IL-10 genomic locus of human neutrophils, thereby rendering it permissive to activation [206]. In this context, the authors of the study on IL-10-producing neutrophils suggested that, unlike LPS stimulation that promotes a pro-inflammatory neutrophil phenotype and blocks the chromatin modification at the IL-10 locus, LPS-stimulated Tregs induce chromatin modifications (H3K4me3, H3AcLys4) specific for transcriptionally active IL-10 gene in human neutrophils [204]. What also remains uncertain is the biological role of the subpopulation of IL-10-producing neutrophils in the periodontal pockets. Is this neutrophil subset the result of an immune evasion strategy whereby gram-negative bacteria program Tregs to curb the activity of neutrophils, or does this mechanism reflect a regulatory mechanism to ameliorate destructive inflammation and promote resolution thereof?

Additional mechanisms have been described whereby neutrophils can suppress immune responses although their relevance to periodontitis has not yet been addressed. For instance, neutrophils were shown to suppress T cell activation by releasing several factors: arginase-1 that depletes arginine required for T cell activation [207,208]; myeloperoxidase, which inhibits dendritic cell function [209]; and reactive oxygen species (ROS) [26] (Figure 1). In the latter regard, a subset of human mature neutrophils (CD16<sup>bright</sup>/CD62L<sup>dim</sup>) was recently shown to suppress T cell activation by delivering H<sub>2</sub>O<sub>2</sub> into the immunological synapse in a Mac-1 integrin (CD11b/CD18)-dependent manner [26]. It is conceivable, therefore, that in certain settings the presence of neutrophils may be required for restraining excessive and potentially harmful T cell activation.

## 6. Conclusion and therapeutic implications

Besides the studies discussed above, systems-level investigations of the transcriptome, proteome, and metabolome of neutrophils are also contributing to a comprehensive appreciation of the different roles of neutrophils that reach beyond phagocytosis and killing of bacteria, encompassing host response regulation and resolution of inflammation [1,69,210–213]. The lessons learned from the study of rare monogenic diseases suggest that neutrophil deficiencies may cause disease not necessarily due to lack of neutrophil immune surveillance but might – alternatively or additionally – involve breakdown of neutrophil-associated homeostatic mechanisms [46]. The concepts presented in this review have important therapeutic implications for periodontitis and potentially other neutrophil-mediated inflammatory disorders. Dissecting the precise molecular mechanisms involved in neutrophil disorders affecting their migration or function may help develop sophisticated rational approaches that can complement existing, but often largely ineffective, therapies such as antibiotic treatment. In this regard, the realization that the aggressive form of periodontitis associated with LAD-I is not due to a raging infection but rather due to host response dysregulation explains why this condition has proven unresponsive to antibiotics and/or mechanical removal of the tooth-associated biofilm [41,47]. The new findings call for a host-modulation therapy targeting the IL-23-IL-17 axis, as performed in mouse models of the disease [47]. In disorders associated with excessive neutrophil infiltration, inflammation could be controlled by administering endogenous regulators of the leukocyte adhesion cascade (Figure 3), such as Del-1, which has been shown to be protective in preclinical models of periodontitis and multiple sclerosis [16,140].

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### Highlights

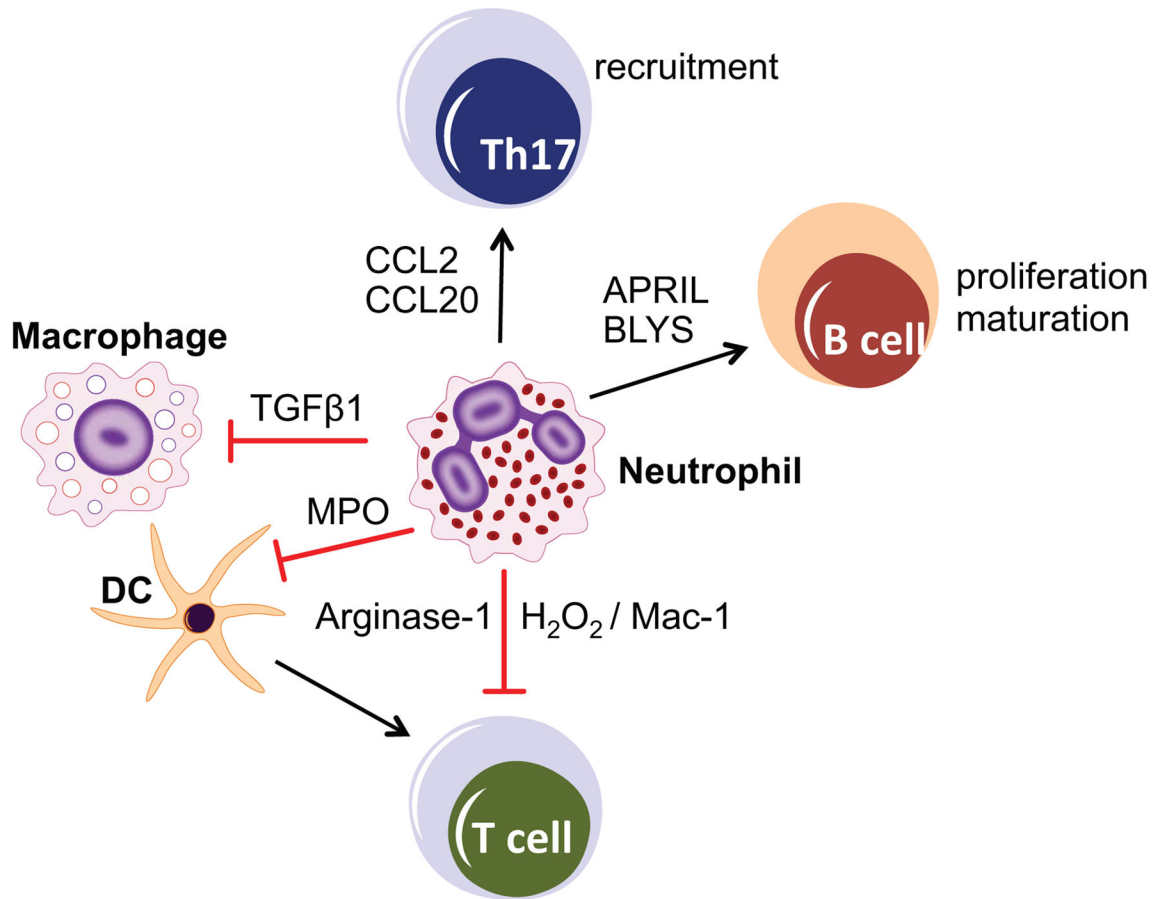
- Neutrophils are functionally versatile and perform hitherto unanticipated functions
- Neutrophil-associated pathology involves more than the bystander injury dogma
- Neutrophils have regulatory functions that contribute to tissue homeostasis
- Neutrophils have both protective and destructive roles in periodontitis

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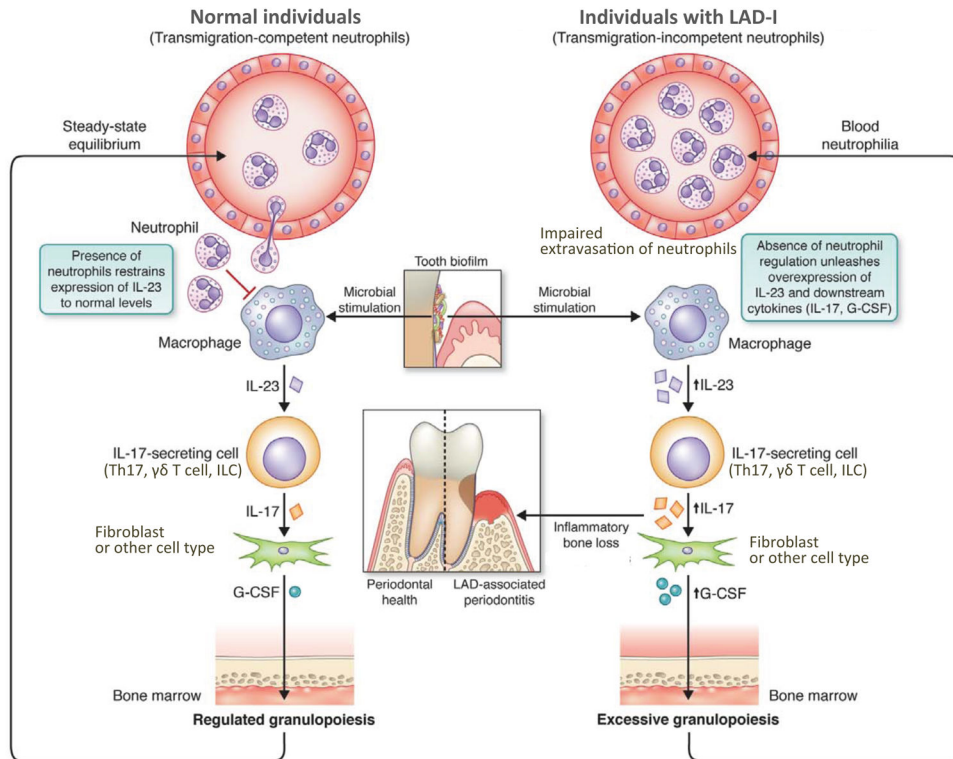
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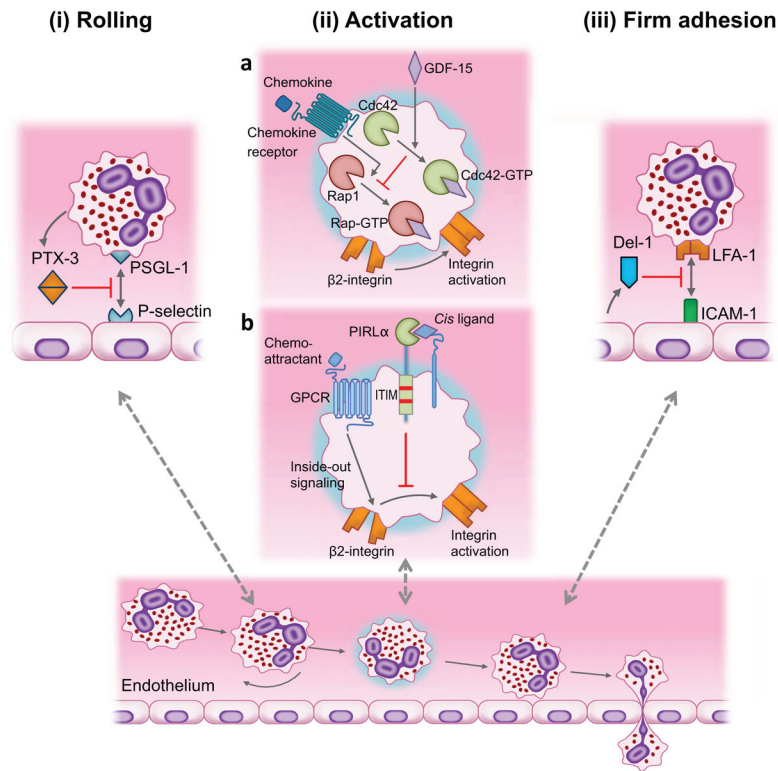
### Figure 1. Neutrophil cross-talk with other leukocyte types

Whereas the recruitment and activation of neutrophils has long been known to be regulated by chemokines and cytokines secreted by both tissue stromal and resident leukocytes, neutrophils are now appreciated for their *de novo* biosynthetic capacity. They can produce and release immunoregulatory molecules that can activate or suppress other leukocyte types, thereby exacerbating or controlling inflammation depending on context. A few examples with potential relevance to periodontal disease are given. Neutrophils release CCL2 and CCL20 and recruit Th17 cells to sites of inflammation. By secreting BLYS and APRIL, neutrophils promote the proliferation and maturation of B cells into plasma cells. Neutrophils can potentially suppress T cell activation by releasing arginase-1 (depletes arginine required for T cell activation) or by delivering H<sub>2</sub>O<sub>2</sub> into the immunological synapse in a Mac-1 integrin-dependent manner. Neutrophils can also indirectly suppress T cell activation through a myeloperoxidase-dependent mechanism that inhibits dendritic cell (DC) function. Neutrophil-derived TGFβ1 can promote resolution of inflammation by suppressing macrophage inflammatory responses.



**Figure 2. Neutrophil involvement in the pathogenesis of LAD-I periodontitis**

In LAD-I, the neutrostat circuit, and hence the regulation of the granulopoietic IL-23–IL-17–G-CSF cascade, is disrupted because the CD18-deficient neutrophils fail to extravasate. The absence of recruited neutrophils to the periodontal tissue of LAD-I patients leads to unrestrained local production of IL-23 and thus of IL-17 and G-CSF. Whereas increased G-CSF leads to excessive granulopoiesis and blood neutrophilia, elevated IL-17 (produced by Th17,  $\gamma\delta$  T cells, or innate lymphoid cells [ILC] [47]) leads to inflammatory bone loss. In normal individuals, on the other hand, the recruitment of neutrophils regulates the expression of the same cytokine cascade maintaining homeostasis in terms of periodontal health and granulopoiesis.



**Figure 3. Inhibition of distinct steps of the leukocyte adhesion cascade by endogenous modulators**

(i) Neutrophil-secreted PTX3 binds to endothelial P-selectin thereby inhibiting its interaction with PSGL-1 required for rolling. (iia) GDF-15 inhibits chemokine-induced activation of  $\beta 2$  integrins. The inhibitory action of GDF-15 on integrin activation is mediated via upregulation of Cdc42-GTPase activity that antagonizes the activity of Rap1-GTPase. (iib) The paired immunoglobulin-like type 2 receptor- $\alpha$  (PIRL $\alpha$ ) on neutrophils interacts with as yet unidentified *cis* ligands and induces immunoreceptor tyrosine-based inhibitory motif (ITIM) signaling, which inhibits chemoattractant-induced  $\beta 2$  integrin activation. (iii) Endothelial cell-derived Del-1 inhibits LFA-1-dependent leukocyte adhesion. The interaction of leukocyte LFA-1 with ICAM-1 on the endothelium is a major adhesive mechanism for firm leukocyte arrest on the endothelium and subsequent extravasation. Updated from Hajishengallis and Chavakis (ref. [8]).