

Real-time single-molecule electronic DNA sequencing by synthesis using polymer-tagged nucleotides on a nanopore array

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Contributed by George M. Church, March 18, 2016 (sent for review February 4, 2016; reviewed by Cynthia J. Burrows, Xiaohua Huang, and Andre Marziali)

DNA sequencing by synthesis (SBS) offers a robust platform to decipher nucleic acid sequences. Recently, we reported a single-molecule nanopore-based SBS strategy that accurately distinguishes four bases by electronically detecting and differentiating four different polymer tags attached to the 5'-phosphate of the nucleotides during their incorporation into a growing DNA strand catalyzed by DNA polymerase. Further developing this approach, we report here the use of nucleotides tagged at the terminal phosphate with oligonucleotide-based polymers to perform nanopore SBS on an α -hemolysin nanopore array platform. We designed and synthesized several polymer-tagged nucleotides using tags that produce different electrical current blockade levels and verified they are active substrates for DNA polymerase. A highly processive DNA polymerase was conjugated to the nanopore, and the conjugates were complexed with primer/template DNA and inserted into lipid bilayers over individually addressable electrodes of the nanopore chip. When an incoming complementary-tagged nucleotide forms a tight ternary complex with the primer/template and polymerase, the tag enters the pore, and the current blockade level is measured. The levels displayed by the four nucleotides tagged with four different polymers captured in the nanopore in such ternary complexes were clearly distinguishable and sequence-specific, enabling continuous sequence determination during the polymerase reaction. Thus, real-time single-molecule electronic DNA sequencing data with single-base resolution were obtained. The use of these polymer-tagged nucleotides, combined with polymerase tethering to nanopores and multiplexed nanopore sensors, should lead to new high-throughput sequencing methods.

single-molecule sequencing | nanopore | DNA sequencing by synthesis | polymer-tagged nucleotides | chip array

The importance of DNA sequencing has increased dramatically from its inception four decades ago. It is recognized as a crucial technology for most areas of biology and medicine and as the underpinning for the new paradigm of personalized and precision medicine. Information on individuals' genomes and epigenomes can help reveal their propensity for disease, clinical prognosis, and response to therapeutics, but routine application of genome sequencing in medicine will require comprehensive data delivered in a timely and cost-effective manner (1). Although 35 years of technological advances have improved sequence throughput and have reduced costs exponentially, genome analysis still takes several days and thousands of dollars to complete (1, 2). To realize the potential of personalized medicine fully, the speed and cost of sequencing must be brought down another order of magnitude while increasing sequencing accuracy and read length. Single-molecule approaches are thought to be essential to meet these requirements and offer the additional benefit of eliminating

amplification bias (3, 4). Although optical methods for single-molecule sequencing have been achieved and commercialized, the most successful, Pacific Biosciences' single molecule real-time (SMRT) sequencing by synthesis (SBS) approach, requires expensive instrumentation and the use of fluorescently tagged nucleotides (4, 5).

In the last two decades, there has been great interest in taking advantage of nanopores, naturally occurring or solid-state ion channels, for polymer characterization and distinguishing the bases of DNA in a low-cost, rapid, single-molecule manner (6–9). Three nanopore sequencing approaches have been pursued: strand sequencing in which the bases of DNA are identified as they pass sequentially through a nanopore (6, 7), exonuclease-based nanopore

Significance

Efficient cost-effective single-molecule sequencing platforms will facilitate deciphering complete genome sequences, determining haplotypes, and identifying alternatively spliced mRNAs. We demonstrate a single-molecule nanopore-based sequencing by synthesis approach that accurately distinguishes four DNA bases by electronically detecting and differentiating four different polymer tags attached to the terminal phosphate of the nucleotides during their incorporation into a growing DNA strand in the polymerase reaction. With nanopore detection, the distinct polymer tags are much easier to differentiate than natural nucleotides. After tag release, growing DNA chains consist of natural nucleotides allowing long reads. Sequencing is realized on an electronic chip containing an array of independently addressable electrodes, each with a single polymerase–nanopore complex, potentially offering the high throughput required for precision medicine.

Author contributions: C.W.F., S. Kumar, A. Bibillo, R.C., S. Kalachikov, J.J.K., R.D., S.R., G.M.C., and J.J. designed research; C.W.F., S. Kumar, M. Porel, M.C., A. Bibillo, P.B.S., M.D., C.T., Z.L., W.G., S.S., A.T., A.A., E.L., E.T.H., J.P., A. Bhat, C.C., A.Y., C.A., M. Palla, J.H., R.C., S. Kalachikov, and R.D. performed research; S. Kumar, A. Bibillo, P.B.S., M.D., Z.L., D.K., R.C., and S. Kalachikov contributed new reagents/analytic tools; C.W.F., D.K., A.T., I.M., and J.J.R. analyzed data; and C.W.F., S. Kumar, M.C., I.M., S. Kalachikov, J.J.R., J.J.K., and J.J. wrote the paper.

Reviewers: C.J.B., University of Utah; X.H., University of California, San Diego; and A.M., University of British Columbia.

Conflict of interest statement: The nanopore SBS technology has been exclusively licensed by Genia. In accordance with the policy of Columbia University and the National Institute of Standards and Technology, the coinventors (S. Kumar, M.C., C.T., Z.L., S. Kalachikov, J.J.R., J.J.K., and J.J.) are entitled to royalties through this license. G.M.C. is a member of the Scientific Advisory Board of Genia; other potential conflicts are described at arop.med.harvard.edu/gmc/tech.html.

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This article contains supporting information online at www.pnas.org/lookup/suppl/doi:10.1073/pnas.1601782113/-DCSupplemental.

Fig. 1A shows the nanopore–polymerase sequencing engine with a single Phi29 DNA polymerase molecule (26) covalently attached to α HL (27). Polymerase binds primer and template DNA, along with the complementary tagged nucleotide, allowing placement of the tag within the nanopore. The schematic in Fig. 1B shows the sequential capture and detection of tagged nucleotides by the nanopore as they are being incorporated into the growing primer strand during the polymerase reaction. Preliminary experiments using streptavidin-bound 3'-biotinylated oligonucleotides following published procedures (25) confirmed that it is possible to capture and hold oligonucleotides in chip-based pores and to measure current blockade levels over a period of \sim 50–2,000 ms or longer. A survey of oligonucleotide tags, all based on modifications of oligo dT₃₀, was conducted, yielding the four tags shown in Fig. S1 that generate distinguishable current blockade signatures. For sequencing we decided to mimic the experiment that generates the current blockade data shown in Fig. S1 by replacing the streptavidin–tag complex with a DNA polymerase ternary complex that holds the tagged nucleotides (Fig. 2), allowing the monitoring of current while the tags are still attached to the nucleotides. Thus,

detection of the tag occurs before nucleotide incorporation by phosphoryl transfer, rather than after the tags are detached from their nucleotides to pass through the nanopore channel. The tag detection can be accomplished if the rate of the nucleotide incorporation is slower than the combined rate of nucleotide tag capture by the nanopore and current blockade measurement. The use of appropriate polymerase mutants and refined reaction conditions can increase the time the tagged nucleotide is present in the ternary complex, and close tethering, via covalent attachment, of the polymerase to the nanopore can result in rapid tag captures on the order of microseconds.

Synthesis and Testing of Tagged Nucleotides for Nanopore SBS Reactions. We designed and synthesized a wide variety of tagged nucleotides. After characterization of their properties and performance, we selected a subset of four tagged nucleotides that produce distinct and reproducible reduction in nanopore ionic currents with minimum background. The structures of these tagged nucleotides are shown in Fig. 2. The synthetic strategy is to attach an azide group via a chemical linker to the terminal phosphate of the

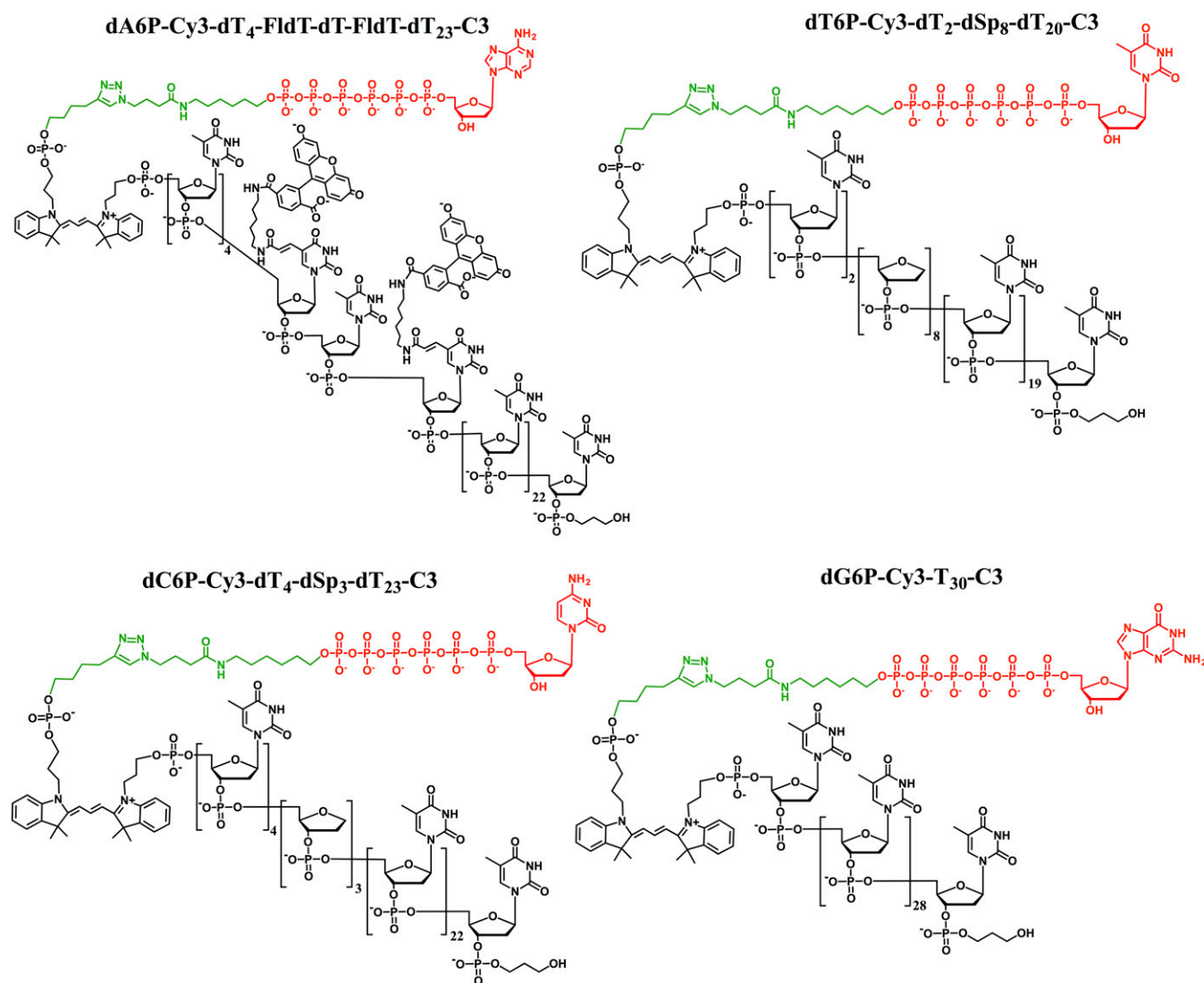


Fig. 2. Structures of the four polymer-tagged nucleotides. Nucleotides used in this study are 5'-nucleoside hexaphosphates (red) connected to a common linker (green) and an oligonucleotide tag (black) consisting of Cy3 and either an unmodified oligonucleotide chain (dT₃₀) (in dG6P-Cy3-dT₃₀-C3) or oligonucleotides with a variety of modifications including runs of abasic nucleotides (dSp₈ and dSp₃) (in dT6P-Cy3-dT₂-dSp₈-dT₂₀-C3 and dC6P-Cy3-dT₄-dSp₃-dT₂₃-C3) or two thymidines modified with fluorescein (in dA6P-Cy3-dT₄-FldT-dT-FldT-dT₂₃-C3).

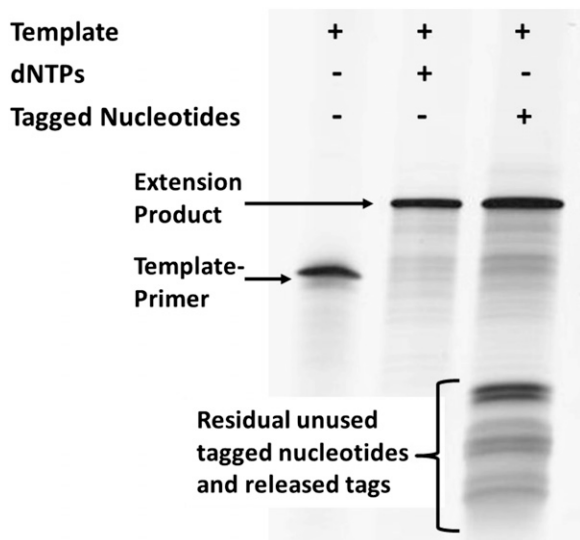


Fig. 3. Full-length polymerase extension products with dNTPs (center lane) or tagged nucleotides (right lane). Reactions were conducted with 3 pmol template (83 bases) and 75 pmol dNTPs or tagged dN6Ps, for 2–10 min at 37 °C, and the products were analyzed on a denaturing polyacrylamide gel.

deoxynucleoside-5'-hexaphosphate (dA6P, dC6P, dG6P, and dT6P, the dN6Ps) (Fig. S2). The tags were oligonucleotide-based polymers synthesized with a 5'-alkyne moiety that reacts readily with the desired azido-dN6P by azide-alkyne Huisgen cycloaddition (21, 28, 29) to produce the tagged nucleotides (Fig. 2). The tagged nucleotides were purified by HPLC, and their molecular weights were determined by MALDI-TOF MS (Fig. S3). The tags consisted of oligodeoxynucleotides (e.g., dT₃₀), some of which are interspersed with modified phosphodiester building blocks having specific base or backbone modifications. These modifications include bulky, charged dyes on the nucleotide base and runs of three or eight abasic (dSpacer, hereafter “dSp”) sites (30, 31). Tags were protected at their 3' end from exonuclease activity with 3'-phospho-propanol. The modifications in the dT₃₀ tag sequence were positioned to be at or near the narrowest constriction in the α HL channel (24), where they can directly and specifically influence ionic current through the pore when the tagged nucleotide is held by polymerase in the ternary complex attached to the pore.

To demonstrate that the nucleotides modified with the polymeric tags were still good substrates for DNA polymerase, single-base extension reactions were performed using Terminator γ DNA polymerase. Primer-loop templates bearing complementary bases opposite the site of incorporation on the primer were used (11). The reaction products were desalted and subjected to MALDI-TOF MS analysis. As shown in Fig. S4, successful incorporations of the tagged nucleotides were revealed by the presence of new peaks with the expected mass of the primer-extension products and peaks indicative of the released pentaphosphate tag, along with peaks representing remaining reactants (primer, tagged nucleotide).

Additional experiments monitoring DNA synthesis on denaturing polyacrylamide gels show that primers can be extended by more than 40 bases using only tagged nucleotides and any of several DNA polymerases. In Fig. 3, we show the result of extension reactions using *Bst* 2.0 DNA polymerase (an in silico-designed homologue of *Bacillus stearothermophilus* DNA polymerase I, large fragment from New England Biolabs) at 37 °C, with reactants and products separated on a polyacrylamide-urea gel. The primer/template DNA (83-mer) is self-priming and can be extended by 47 nucleotides. Full-length products were obtained with either all four natural dNTPs or with the four tagged nucleotides depicted in Fig. 2.

Assembly of α HL-Polymerase Complexes and Insertion into Membranes on an Array Chip. To achieve continuous sequencing, we constructed a sequencing engine having a single DNA polymerase molecule attached directly to the heptameric α HL nanopore (Fig. 14) using a recombinant technique to make two kinds of α HL monomers, one wild type and the other differing only in carrying a specific peptide domain (32) for attaching polymerase. These two types of monomers were mixed in appropriate ratios, allowing two heptamers to self-assemble, followed by isolation of the heptamer pore carrying a single-peptide domain. When polymerase engineered to possess the mating protein fragment was added in excess to this heterologous pore, it reacted with the pore monomer tagged with the peptide domain, yielding the desired nanopore-polymerase conjugate (the procedure is described in *SI Methods*). During nanopore SBS, the polymerase attached to the pore tightly binds the DNA template/primer, completing a single structure that integrates itself into a lipid bilayer configured for monitoring transmembrane conductance and ready for sequencing DNA. When each complementary tagged nucleotide binds the polymerase-primer-template complex, its tag rapidly enters the nanopore channel in response to applied voltage to yield a unique current blockade that is recognized for sequence determination.

Sequencing experiments were performed using a CMOS nanopore chip (Fig. 4) that has an array of 264 Ag-AgCl electrodes (5- μ m diameter) within shallow wells that support lipid bilayer membranes. The electrodes are individually addressable by a computer interface, allowing voltages to be applied only to the membranes in specific wells and thus effectively permitting independent sequence reads at these locations. All reagents are introduced into a simple flow cell above the chip using a computer-controlled syringe pump. Electrical measurements are made asynchronously at least once every millisecond and are recorded on the computer.

An 83-base synthetic primer/template, which self-anneals at both ends to prevent its entry into the nanopore, was added to the pore-polymerase complex and pumped over the membranes in a sensor chip flow cell in Hepes buffer (pH 7.5) containing 150 mM KCl and 3 mM SrCl₂. The applied voltage is adjusted to ensure that, in a majority of cases, one and only one pore is inserted into the membranes of each well. For preliminary experiments using only a single tagged nucleotide and the non-catalytic divalent metal ion (Sr²⁺), the tagged nucleotide mix was added to the nanopore chip at room temperature, and current

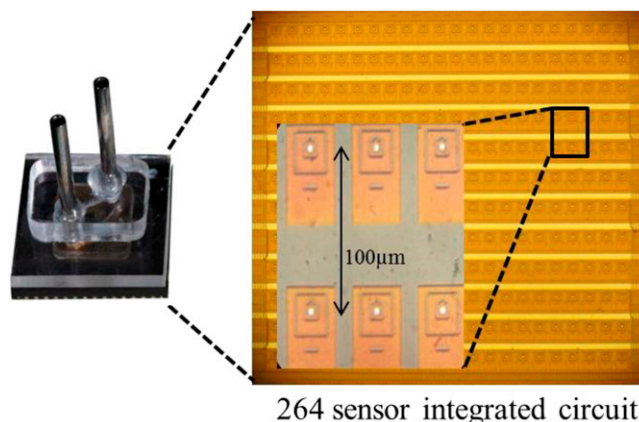


Fig. 4. CMOS chip for nanopore SBS. The CMOS chip (~1 × 1 mm) consists of an integrated circuit with an array of 264 silver electrodes (5- μ m diameter). The chip supports analog-to-digital conversion, reporting electrical measurements from all electrodes independently at a rate of more than 1,000 per second. The flow cell (Left) surrounding the chip (Right) includes sample input and output ports for reagents. The *Inset* shows details of the architecture of the electrode array and individual sensing cells.

in parallel in a short time. Although the rate of sequencing and overall accuracy of nanopore SBS remain to be established, the system has many parameters that can be optimized further. These include synthesis of additional tagged nucleotides that offer better-resolved current blockade signatures, improvement of reaction conditions, and the testing of a variety of DNA polymerases that have improved rates, without the use of SrCl_2 to moderate the progression of DNA synthesis. With careful attention to these details, along with the construction of integrated circuits with many more electrodes and faster measurement capabilities, we expect to be able to create a high-throughput single-molecule electronic DNA sequencing system.

Methods

Synthesis of the Tagged Nucleotides Shown in Fig. 2 by Coupling 2'-Deoxynucleoside-5'-Hexaphosphate-Azides to 5'-Hexynyl Oligonucleotide Tags. The overall synthetic scheme for the synthesis of tagged nucleotides by coupling 2'-deoxynucleoside-5'-

hexaphosphate-azides ($\text{dN}_6\text{P-N}_3$) to 5'-hexynyl oligonucleotide tags is presented in Fig. S2. The detailed synthetic methods and characterization are presented in *SI Methods*. DNA polymerase extension reactions using these tagged nucleotides are described in *SI Methods*.

Nanopore SBS with Tagged Nucleotides. Sequencing reactions on nanopore chips were performed with inserted αHL pores conjugated to a single Phi29 DNA polymerase molecule, any of a variety of primer/template DNAs similar to that shown in Fig. S5A, the four tagged nucleotides (Fig. 2), 150 mM KCl, and 20 mM Hepes buffer (pH 7.5) at room temperature. Nucleotides consist of dA6P-Cy3-dT₄-FIdT-dT-FIdT-dT₂₃-C3, dG6P-Cy3-dT₃₀-C3, dC6P-Cy3-dT₄-dSp₃-dT₂₃-C3, and dT6P-Cy3-dT₂-dSp₈-dT₂₀-C3 (3 μM each), with 3 mM SrCl_2 on the *trans* side and 0.1 mM MnCl_2 on the *cis* side of the membrane (e.g., Fig. 5, *Upper*), 3 mM SrCl_2 *trans*, 3 mM MgCl_2 , 0.7 mM SrCl_2 *cis* (Fig. 5, *Lower*), or 3 mM SrCl_2 *trans*, 3 mM MgCl_2 *cis* (Fig. 6). DC voltage of 100 mV was applied during sequencing.

ACKNOWLEDGMENTS. This work was supported by NIH Grant R01 HG007415 and Genia Technologies, Inc.

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