



Published in final edited form as:

Dev Dyn. 2016 June ; 245(6): 631–640. doi:10.1002/dvdy.24402.

Cell autonomous and nonautonomous requirements for *Deltalike1* during early mouse retinal neurogenesis

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Abstract

Background—In the vertebrate retina six neuronal and one glial cell class are produced from a common progenitor pool. During neurogenesis, adjacent retinal cells use Notch signaling to maintain a pool of progenitors by blocking particular cells from differentiating prematurely. In mice there are multiple Notch pathway ligands and receptors, but the role(s) of each paralogue during retinal histogenesis remains only partially defined.

Results—Here we analyzed the cell autonomous and nonautonomous requirements for the *Deltalike1*(*Dll1*) ligand during prenatal retinogenesis. We used the α -Cre driver to simultaneously delete a *Dll1* conditional allele and activate the *Z/EG* reporter, then quantified *Dll1* mutant phenotypes within and outside of this α -Cre GFP-marked lineage. We found that *Dll1* activity is required for Hes1 expression, both autonomously and nonautonomously, but were surprised that retinal ganglion cell differentiation is only blocked cell autonomously. Moreover *Dll1* does not act during cone photoreceptor neurogenesis. Finally, *Dll1* mutant adult retinas contained small retinal rosettes, and RGC patterning defects but were otherwise normal.

Conclusions—Although *Dll1* participates in bidirectional (cis + trans) Notch signaling to regulate Hes1 expression, it is only acts cell autonomously (in cis) to interpret inhibitory signals from other cells that block RGC neurogenesis.

Keywords

Notch signaling; retinal ganglion cell; cone photoreceptor; lateral inhibition; retinogenesis

Introduction

The *Notch* signaling pathway is employed by diverse cell types and tissues, and used repeatedly, even within a single cell type. During neurogenesis, this pathway transduces at minimum, a lateral inhibition signal that facilitates progenitor cell growth and blocks neuronal differentiation. This is the classic role of *Notch*, first characterized in the fly

nervous system and nematode gonad (reviewed in Doroquez and Rebay, 2006; Artavanis-Tsakonas and Muskavitch, 2010; Treisman, 2013). Signaling initiates when a transmembrane ligand binds to a transmembrane Notch receptor. The ligands are of two main classes, *Delta-Delta-like* or *Serrate-Jagged-Lag1*. Ligand-receptor binding triggers a series of proteolytic events, the last of which releases the receptor intracellular domain (ICD), to form a protein complex with Rbpj and MAML that is active within the nucleus (reviewed in Kopan and Ilagan, 2009). This protein complex promotes gene transcription, of which *Hes1* and *Hes5* are two well-characterized transcriptional targets throughout vertebrate development (reviewed in Kageyama et al., 2008).

Loss- and gain-of-function studies emphasize the strong evolutionary conservation of Notch signaling during retinogenesis (reviewed in Gregory-Evans et al., 2013). In the chick and frog retina, knock-down of *Delta* function resulted in excess retinal ganglion cells (RGCs), while *Delta* overexpression induced prolonged mitotic activity by retinal progenitor cells (RPCs) (Austin et al., 1995; Henrique et al., 1995; Ahmad et al., 1997; Dorsky et al., 1997; Silva et al., 2003). These experiments were particularly insightful, demonstrating that vertebrate Delta laterally signals neighboring retinal cells (signals in trans), but also interprets a cell autonomous inhibitory signal (signals in cis) (Henrique et al., 1995). However, in the mouse eye, there are no analogous examinations of ligand activities reported, in part because there are three Delta-like genes, *DIII*, *DII3* and *DII4* expressed in the retina (Nelson et al., 2009). Loss of function studies have defined the general requirements for *DIII* or *DII4* in the mouse retina (Rocha et al., 2009; Luo et al., 2012), and demonstrated that *DII3* has no role in this tissue (Nelson et al., 2009). Indeed, Rocha and colleagues showed that *DIII* and *DII4* initiate expression sequentially in the mouse optic cup, and that *DIII* is required by a subset of progenitor cells for their proliferation and to suppress RGC differentiation (Rocha et al., 2009). Interestingly, *DII4* can also promote RPC proliferation, but primarily blocks photoreceptor differentiation (Luo et al., 2012). However, deeper genetic dissection of the activities of each ligand has not been undertaken, particularly for subpopulations of retinal cells. Analogous to other tissues of the body, Notch signaling can occur 1) among RPCs; 2) from postmitotic or fully differentiated cells to RPCs or 3) among postmitotic and differentiating retinal neurons. In each context, it is unclear if *DIII* acts alone, *DII4* acts alone, or both ligands function in concert, and importantly when and where each ligand engages in cis and/or trans signaling.

Here we analyzed the cell autonomous consequences of removing *DIII* in vivo during early retinal development, and the resulting adult retinal phenotypes. We found that in the embryonic retina, *DIII* signaling can be bidirectional among RPCs, since *Hes1* expression was reduced both autonomously and nonautonomously. Among RGCs, cones, amacrine and horizontal cells, we found that *DIII* is only required cell autonomously (in cis) to suppress RGC differentiation. We also demonstrate that *DIII* mutant retinas are thinner and contain rosettes, which phenocopies other Notch pathway mutants. However, just one early retinal class, RGCs, displayed a singular requirement for *DIII*.

Results

Adult retinal phenotypes induced by deletion of *Dll1*

This study was undertaken with two objectives in mind first, to characterize the adult retinal phenotypes of *Deltalike1* (*Dll1*) conditional mutants; and second, to learn which prenatal retinal cells require this ligand, both cell autonomously and nonautonomously. Particularly the second question was provoked by the striking salt-and-pepper expression pattern of *Dll1* in prenatal retinal cells (Lindsell et al., 1996; Beckers et al., 1999; Rocha et al., 2009). To accomplish both goals we used a conditional mouse allele (*Dll1^{CKO}*) (Hozumi et al., 2004; Brooker et al., 2006), the α -Cre retinal driver that initiates Cre expression in the distal optic cup at E10.5 (Marquardt et al., 2001) and a Z/EG lineage reporter (Novak et al., 2000) (Fig 1A). Throughout this paper, control animals were either *Dll1^{CKO/CKO}* (lacking the Cre transgene), or α Cre;*Dll1^{CKO/+}*;Z/EG littermates. Neither genotype displayed histologic or molecular marker phenotypes at any age analyzed (n = 5 animals per age). We compared α -Cre;*Dll1^{CKO/CKO}* mutant and control adult eyes, and found them grossly indistinguishable (Fig 1B). This is in contrast to analogous deletion of *Notch1* or *Rbpj*, which induced a pronounced microphthalmia (Jadhav et al., 2006; Yaron et al., 2006; Riesenberg et al., 2009; Zheng et al., 2009). However, histologic sections of α -Cre;*Dll1^{CKO/CKO}* eyes revealed retinal rosettes in the outer nuclear layer (ONL), indicative of abnormal patterning during development (compare Figs 1C,1D). Although the *Dll1* retinal rosette defect phenocopied *Notch1* and *Rbpj* mutants, the rosettes were often smaller, and arose later in development, in agreement with a previous study using a different Cre driver (Rocha et al., 2009).

To score the cell autonomy of *Dll1* retinal defects, and for meaningful comparison with *Notch1* and *Rbpj* mutant analyses (Yaron et al., 2006; Riesenberg et al., 2009; Maurer et al., 2014), we incorporated the Z/EG transgene into our crossing scheme (Novak et al., 2000). Thus, retinal progenitor cells (RPCs) with α -Cre activity and their progeny were permanently marked with GFP expression (Fig 1A). This experimental strategy previously uncovered both abnormal morphology of adult *Rbpj*^{-/-} cells, and inappropriate segregation from *Rbpj* wild type (GFP-) retinal cells (Riesenberg et al., 2009). However, GFP-marked, adult *Dll1*^{-/-} cells were of normal size and shape, and randomly distributed throughout the retina, including within rosettes (Fig 1E,F).

To identify which retinal cell classes require *Dll1* activity, we compared terminal differentiation marker expression in P21 cryosections from control and *Dll1* conditionally mutant eyes. For the major classes of neurons or glia, we confirmed that retinal ganglion cells (RGCs) exhibit the strongest requirement for *Dll1* (Figs 2A–B; Fig 4). There were more GFP+Pou4f/Brn3+ RGCs in *Dll1* mutants, both within the GCL and in ectopic locations (arrows in Fig 2B). We also observed that the distribution of cones (M- and S-Opn+ or Arr3+) and rod (Rhodopsin+) photoreceptors (Figs 2D,2F,2H,5R) were indistinguishable between genotypes, although *Dll1* mutants were mispatterned. The loss of *Dll1* also had no effect on the laminar position or proportion of INL cell types, beyond a general displacement by the retinal rosettes. Representative markers illustrating this point include Calbindin, expressed by horizontals and amacrine (Figs 2I–2J), Calretinin, expressed by A2 amacrine (not shown) and Sox9, present in Müller glia (Figs 2K–2L). We

conclude that the adult retinal phenotypes of *Dll1* conditional mutants were less severe than those of *Notch1* or *Rbpj*, with *Dll1* specifically required to suppress RGC differentiation.

Retinal progenitor cells and *Dll1* activity

Previous investigations of Notch pathway loss-of-function phenotypes in the mouse retina, showed that as early as E13.5 there is a strong loss of RPC proliferation, accompanied by premature neuron formation and retinal mispatterning (Jadhav et al., 2006; Yaron et al., 2006; Riesenberg et al., 2009; Zheng et al., 2009). Moreover, each phenotype is progressive as development proceeds. By contrast, in Chx10-Cre;*Dll1*^{CKO/CKO} eyes there was no change in the ratio of proliferating RPCs to differentiating neurons prior to E14.5 (Rocha et al., 2009). We wondered whether mosaic expression of the Chx10-Cre driver (Rowan and Cepko, 2004) may have masked earlier requirements for *Dll1* in the optic cup, since in theory, neighboring wild type cells could nonautonomously “rescue” *Dll1*^{-/-} mutant cells. To test this idea, we evaluated α -Cre;*Dll1*^{CKO/CKO} embryonic retinal phenotypes, since the mosaicism exhibited by this Cre is notably lower between E10.5 to E16.5 (Marquardt et al., 2001; Yaron et al., 2006; Riesenberg et al., 2009). We also quantified the consequences of removing *Dll1* from α -Cre lineage cells (GFP+), versus wild type cells (GFP-neg.). First, we analyzed the expression pattern of the downstream gene *Hes1* (Jarriault et al., 1995), which was not previously tested in *Dll1* mutant eyes (Rocha et al., 2009). The removal of either *Notch1* or *Rbpj* resulted in a dramatic, cell autonomous, downregulation of *Hes1* mRNA and protein in E13.5 RPCs (Jadhav et al., 2006; Yaron et al., 2006; Riesenberg et al., 2009; Zheng et al., 2009). Yet at E13.5 *Dll1* retinal mutants displayed subtle disruptions of *Hes1* expression (arrows in Fig 3D). GFP/Ki67 or GFP/BrdU colabeling of nearby sections indicated that the loss of *Dll1* had little or no effect on RPC proliferation at this age. This is in good agreement with the Chx10-Cre;*Dll1*^{CKO/CKO} eyes which had no RPC proliferation defects until E14.5 (Rocha et al., 2009). Thus, we conclude that there is essentially no requirement for the *Dll1* ligand activity by Hes1+ RPCs prior to E13.5.

To score the autonomy of early loss of *Dll1* in the retina, we turned our attention to E16.5 conditional mutants, where retinal rosettes were fully penetrant in histologic sections (Fig 3E–F). This mispatterning included abnormal clusters of Hes1+ (RPC), Ki67+ (mitotic) and BrdU+ (S-phase) cells, within the rosettes of *Dll1* mutant eyes (Figs 3G–3L). Although these defects appeared at a slightly older age, they are reminiscent of defects found in *Rbpj* and *Notch1* retinal mutants, with one exception. There were statistically fewer Hes1+ RPCs within the α -Cre mutant lineage (Fig 3O), although this mutant lineage was 5% bigger (Fig 3M). By comparison, E16.5 *Rbpj* retinal mutants displayed a dramatic, 10-fold loss of Hes1+ RPCs that directly correlated with a smaller GFP-marked, mutant lineage (Riesenberg et al., 2009). We attribute the more severe phenotype of *Rbpj* mutants to the combined effects of fewer rounds of mitosis, plus an increase in apoptosis that contributes to a thinner optic nerve. So we compared the number of cPARP+GFP+ cells in E16.5 control and *Dll1* conditional mutants, but found no increase in apoptosis in *Dll1* mutants, either within the GFP+ (lineage-marked) or GFP-neg retinal populations (n=3 embryos per genotype, data not shown). This is consistent with the retinas from both genotypes containing the same average number of DAPI+ nuclei (Fig 3M, n= 3 eyes and 18 sections per genotype). We conclude that *Dll1* does not participate in a Notch1-Rbpj-mediated block

of apoptosis, since adult *DIII* mutant optic nerves were of normal size (Figs 1B,D). Interestingly, Six3-Cre; *DII4*^{CKO/CKO} adult retinas have thinner optic nerves (Luo et al., 2012), indicating that the *DII4* alone is sufficient to mediate this anti-apoptotic signal.

Next we scored the cell autonomy Hes1 expression in E16.5 control and *DIII* mutant RPCs. Classically, a lateral inhibition signal is sent from postmitotic or differentiated cells to mitotically active RPCs, to regulate the rate of subsequent neurogenesis. So, if *DIII* only transmits a lateral inhibition signal in trans to neighboring RPCs (Notch+ and Hes1+), we would expect to see cell nonautonomous consequences from its removal. This means there would be fewer Hes1+GFP-neg. mutant cells. Alternatively, if *DIII* acts cell autonomously (in cis) to interpret an incoming lateral inhibition signal, GFP+ mutant RPCs would have reduced Hes1 expression. At E16.5 there was an overall significant reduction in Hes1+ cells, in the absence of *DIII* (Fig 3N). Next, we quantified the cohorts of Hes1+ retinal cells within (GFP+) or outside (GFP-neg) the α -Cre lineage, in control and *DIII* mutants (Figs 3O,P). We found that both Hes1 subpopulations were significantly reduced (Figs 3O,P), indicating that the *DIII* ligand is active, both in cis and in trans, at this age. Although *DIII* mRNA and *DIII*^{LacZ/+} expression is mostly found in nascent retinal neurons, *DIII* mRNA has also been reported in a subset of mitotic RPCs (Henrique et al., 1997; Nelson et al., 2006; Nelson et al., 2009; Rocha et al., 2009; Luo et al., 2012). Our interpretation is that both postmitotic/differentiated retinal cells and mitotic RPCs require *DIII*, although to differing extents.

***DIII* acts cell autonomously during retinal ganglion cell genesis**

Math5/Atoh7 is a bHLH factor that endows retinal cells with the competence to adopt an RGC fate (Brown et al., 2001; Wang et al., 2001; Brzezinski IV et al., 2012), and is required for Brn3b/Pou4f2 expression in differentiating RGCs (Liu et al., 2001; Wang et al., 2001; Prasov et al., 2012). Previously, *Atoh7* mRNA and Pou4f2+ protein expression were surveyed in embryonic Chx10Cre;*DIII*^{CKO/CKO} retinas (Rocha et al., 2009). At E12.5 there was an upregulation of *Atoh7* expression, and a 4-fold increase in the percentage of Brn3b/Pou4f2+ RGCs, and 4.5-fold increase in p27/Kip+ terminally exiting RPCs. But, the cell autonomy of these phenotypes was not determined. In our experiments we also saw mispatterning of *Atoh7* mRNA in E13.5 α -Cre;*DIII*^{CKO/CKO} distal eyes (Figs 4A,B). However, there is no difference in the percentages of β gal+GFP+/GFP+ cells in E13.5 α -Cre;Z/EG; *Atoh7*^{LacZ/+};*DIII*^{CKO/+} and α -Cre;Z/EG; *Atoh7*^{LacZ/+};*DIII*^{CKO/CKO} eyes (Maurer et al., 2014). We conclude that changes in the *Atoh7* lineage correlate with the onset of retinal mispatterning in *DIII* mutants, but that *DIII* normally suppresses RGC differentiation by acting parallel to, or downstream of, *Atoh7* activity.

We then colabeled E13.5 control and *DIII* mutant retinal sections with a pan-Pou4f/Brn3 antibody, which recognizes Pou4f1, Pou4f2, Pou4f3 proteins in nascent RGCs (Figs 4C–4F). We consistently saw atypical, small clusters of Pou4f+ cells (arrows in Figs 4D,4F). But by E16.5, *DIII* mutants were more obviously mispatterned, with Tubb3+ neurons surrounding the forming rosettes (Figs 4G,H). Here too, Pou4f+ RGCs were significantly increased (Figs 4I,4J,4K), in line with the 34% increase previously found in E14.5 Chx10Cre;*DIII*^{CKO/CKO} eyes (Rocha et al., 2009). To score the cell autonomy of this phenotype, the proportions of Pou4f+ cells within (GFP+) and outside of (GFP-) the α -Cre lineage were quantified (Figs

4L,4M). Surprisingly, we found there is cell autonomous increase in Pou4f+GFP+ RGCs (Fig 4L), yet the Pou4f+GFP-negative cohort is unaffected. We interpret this to mean that *Dll1* normally acts in cis to interpret a lateral inhibition signal emanating from other cells. This is in stark contrast to *Dll1* regulation of Hes1 expression both autonomously and nonautonomously (Fig 3).

***Dll1* is not required for prenatal photoreceptor neurogenesis**

In the chick and frog retina *Delta-Notch* signaling is a major suppressor of photoreceptor cell fate (Austin et al., 1995; Henrique et al., 1995; Ahmad et al., 1997; Dorsky et al., 1997; Silva et al., 2003), and in the mouse retina *Notch1* and *Rbpj* normally block photoreceptor development (Jadhav et al., 2006; Yaron et al., 2006; Riesenberg et al., 2009). Indeed, as early as E13.5 conditional *Notch1* and *Rbpj* mutants display expanded Otx2+ and Crx+ expression domains, with an increase in differentiated cone photoreceptors apparent at E16.5 (Furukawa et al., 1997b; Chen et al., 2002; Nishida et al., 2003; Jadhav et al., 2006; Yaron et al., 2006; Riesenberg et al., 2009). However, only a general phototransduction marker, Recoverin, was examined in *Dll1* conditional mutants (Rocha et al., 2009).

So we asked to what extent *Dll1* regulates the earliest stages of photoreceptor development, by assaying Crx, expressed by tri-potential precursors that produce cones, rods or bipolars (Freund et al., 1997; Furukawa et al., 1997b), or Rxrg expressed by cones and RGCs, which are easily distinguished from one another (Roberts et al., 2005). At E13.5, α Cre;*Dll1*^{CKO/CKO} retinas had only minor mispatterning of Crx+ cells (insets in Figs 5A–4D). By E16.5, the *Dll1* mutant retinal rosettes contained Crx+ and Rxrg+ cells (Figs 5E–5H). The clustering of Crx+ or Rxrg+ cells within retinal rosettes phenocopies *Notch1* and *Rbpj* retinal mutants, as well as *Dll4* retinal mutants (Yaron et al., 2006; Riesenberg et al., 2009)(Luo et al., 2012). However, there were no changes in the total population of Crx+ cells between control α Cre;*Dll1*^{CKO/+};Z/EG and α -Cre;*Dll1*^{CKO/CKO};Z/EG littermates (Fig 5I,5J, 5O), or significant shifts in the Crx+ population, within (GFP+) and outside of (GFP-) the α -Cre lineage (Figs 5P,5Q). We also quantified cone Arrestin (Arr3+) photoreceptor cells in P21 α -Cre;*Dll1*^{CKO/+};Z/EG and α -Cre;*Dll1*^{CKO/CKO};Z/EG eyes (Figs 5K–5N, 5R), to verify that the removal of *Dll1* did not induce a delay of photoreceptor genesis. We conclude that *Dll1* has no role in regulating photoreceptor precursor cells, or during cone photoreceptor differentiation. This differs from *Dll4* retinal mutants where cone and rod photoreceptor formation was significantly derepressed (Luo et al., 2012). Finally, it is plausible that *Dll4* is affected by the loss of *Dll1*, so we compared the total *Dll4*+, *Dll4*+GFP+ and *Dll4*+GFP-neg cell populations between E13.5 α -Cre;*Dll1*^{CKO/+};Z/EG and α -Cre;*Dll1*^{CKO/CKO};Z/EG littermates. We observed no changes in the spatial patterning or statistically significant shifts in cell numbers (data not shown, n=6/genotype). Overall, we presume that *Dll1* and *Dll4* paralogues act distinctly to suppress RGC versus photoreceptor cell neurogenesis in the mammalian retina.

Discussion

Dll-Notch regulation of vertebrate retinogenesis has been under active investigation for more than 20 years in frog, chick, zebrafish and mouse model organisms. This signaling pathway

maintains a balance between proliferating and differentiating cells, and releases waves of RPCs to undergo neurogenesis at the correct time, and in appropriate proportions. Yet, we still lack clear understanding of the underlying mechanisms as differentiating neurons signal to neighboring RPCs, or between postmitotic cells solidifying their final fate. It remains unclear which, and how many, ligand and receptor proteins are expressed by particular retinal cells, how their expression changes over time, and finally, how the bi-directional activities of ligands and receptors (cis versus trans signaling) are distilled into unidirectional outcomes. In the mammalian retina, parsing of particular ligand activities among different gene paralogues further complicates these mechanisms.

Cis and trans modes of ligand signaling

In the developing nervous system, there is prolonged regulation of the rate at which proliferating progenitor cells produce differentiated cells types, versus replenish their pool. The Notch pathway is a highly conserved signaling system in which differentiating cells laterally inhibit proliferating progenitors, thereby controlling the dynamics of each population. To fine tune and coordinate this signaling, there must also be feedback signaling, back to the cells that sent the lateral inhibition signal. Ligand mutations that abolish lateral inhibition render a sending cell mute (= block trans signaling). By contrast, ligand mutations that affect the feedback machinery make the same cell deaf (= block cis signaling) (Henrique et al., 1995; Henrique et al., 1997; Rocha et al., 2009). The cell autonomous requirements of D1-Notch signaling were first identified in the *Drosophila* compound eye, using multiple cell-specific marking systems (reviewed in Baker, 2000). These studies mapped cis/cell autonomous versus trans/nonautonomous mutant phenotypes to individual photoreceptor neurons. To begin to approach this level of mechanistic understanding of Notch pathway ligands in the mouse eye, here we used α -Cre, a *D111* conditional mutant and Z/EG lineage tracer, to score the cell autonomy of particular prenatal retinal phenotypes.

Maintaining the retinal progenitor cell pool

In the optic cup, *D111* mRNA is first detectable at E10.5, just ahead of the first wave of neurons at E11.0 (Bao and Cepko, 1997; Rocha et al., 2009; Luo et al., 2012). By midgestation about 80% of D114+ retinal cells coexpress D111, suggesting that *D111* activity starts earlier and acts more broadly (Rocha et al., 2009; Preusse et al., 2015). It further implies that *D111* and *D114* laterally inhibit neighboring RPCs, largely by signaling from postmitotic cells. Additional evidence supporting this idea, includes sparse expression of either ligand in mitotic RPCs (Rocha et al., 2009; Luo et al., 2012), and mild proliferation defects in *D111* (Rocha et al., 2009) and *D114* conditional mutants (Luo et al., 2012). By comparison, *Notch1* receptor mutants have a profound reduction of proliferating RPCs (Jadhav et al., 2006; Yaron et al., 2006). A recent study also showed that *D114* substitution into the *D111* locus fully rescued the *D111* RPC phenotype (Preusse et al., 2015). Thus, in the early retina it seems clear that *D111* and *D114* redundancy reinforces the lateral inhibition signal (in trans) to neighboring Notch+, Hes1+ RPCs. But more work is still needed, and future experiments could examine the consequences of simultaneously removing both ligands, plus score the cell autonomy of resulting phenotypes. It would also be highly informative to conduct a ligand protein co-localization time course during retinal development.

Data presented in this paper however, identify at least one other role for *DIII* in RPCs, since Hes1 expression was cell autonomously downregulated after *DIII* was removed (Fig 3). At the same time we also noted a small (5%) but significant expansion of the α -Cre lineage. These extra cells may represent RPCs that normally do not express Hes1, therefore respond to *DIII* signaling differently than do the Hes1+ RPCs. This may represent a limitation of our experimental approach, since the *DIII* mutant GFP-marked cells analyzed could either be those with Cre activity (IRES GFP+), or those erroneously differentiated into neurons (Z/EG lineage GFP+). This situation might be overcome by switching to a Cre-activatable tdTomato lineage reporter (Madisen et al., 2010) in the future. Nonetheless, we conclude that the mitotic RPCs that depend on *DIII* signaling do so in cis (autonomously), to prevent their own premature differentiation possibly by modulating *Notch1* or *Notch3* activities. Furthermore, these distinct roles for *DIII* appear to contribute to the diversification of RPCs. It would be extremely interesting to isolate, purify and molecularly profile the subset *DIII*+ retinal cells that are mitotic. Moreover, it will finally be possible to observe *DIII* protein dynamics during retinal neurogenesis, using a brand new *DIII* mouse model (Shimojo et al., 2016).

Regulation of retinal neurogenesis

In the frog retina where there is just one *Delta* gene, its widespread overexpression caused 50% of Delta-expressing cells to differentiate as either RGCs or cones, which is significantly higher than normal (Dorsky et al., 1997). However, the later misexpression of *Delta* produced only excess rod photoreceptors. In the chick eye, retroviruses were used to deliver a *Delta-1* cDNA or dominant-negative (dn) *Delta-1* construct to proliferating RPCs (Henrique et al., 1997). Here, excess *Delta-1* expression blocked differentiation, while dn*Delta-1* interfered with RPC feedback inhibition, resulting in premature differentiation, especially as RGCs. Although excess RGC neurons were also found in Chx10-Cre;*DIII* mutant mouse retinas (Rocha et al., 2009), it has remained unknown which mode of *DIII* signaling suppresses RGC neurogenesis. *A priori*, *DIII* would be predicted to signal in trans (nonautonomously), as postmitotic/differentiated cells block neighboring (Notch+) RPCs from differentiating prematurely. Yet we found that *DIII*, only acts to prevent cells from *interpreting* an inhibitory signal coming from their neighbors, not from sending a signal (in trans). Here, *DIII* may cell reinforce a selected fate, or influence (bias) the final fate choice. This is consistent with the idea that *DIII* and *DII4* trans signaling are redundant, yet each ligand exhibits a unique cis activity (*DIII* blocks RGC neurogenesis, *DII4* blocks photoreceptor neurogenesis). Over the longer term, elucidation of these simultaneous and complex modes of signaling will be advanced further by biochemical and molecular assays performed within the context of retinal development.

Experimental Procedures

Animals

Deltalike1^{CKO/CKO} mice were maintained on a 129 background and genotyped using published protocols (Hozumi et al., 2004; Brooker et al., 2006). Throughout this paper the abbreviation CKO indicates a “Conditional Knockout Allele”. The α -Cre transgenic mice (Marquardt et al., 2001) and Z/EG lineage tracing mice (Novak et al., 2000) were each

maintained on a CD-1 background and genotyped per published protocols. Images of whole adult eyes were captured on a Leica dissecting microscope with an Optronics digital camera and software. All mice were housed and cared for in accordance with the guidelines provided by the National Institutes of Health, Bethesda, MD and the Association for Research in Vision and Ophthalmology.

Retinal phenotype analyses

Embryonic and adult eye tissues were fixed in 4% paraformaldehyde/PBS for 40–60 minutes at 4°C, processed through a sucrose/PBS series, cryoembedded; or processed postfixation for paraffin embedding, sectioning and standard histology performed. Antibody labeling was performed on 10 micron cryosections used rabbit anti-Arr3+ (mCarr; Millipore AB15282, 1:10,000), rat anti-βgal (Tom Glaser, 1:1000)(Saul et al., 2008), rat anti-BrdU (Serotec clone BU1/75 1:100, unmasked 1 hour in 1N HCl); rabbit anti-Calbindin (Chemicon/Millipore, 1:1000), rabbit anti-cPARP (Cell Signaling 1:500), rabbit anti-Crx (Cheryl Craft, USC; 1:1000)(Zhu and Craft, 2000), goat anti-Dll4 (R&D Systems AF1389, 1:100 unmasked for 10 minutes in 0.5% Triton X-100/PBS), rabbit or chick anti-GFP (Invitrogen or Abcam 1:1000); rabbit anti-Hes1 (1:1000)(Lee et al., 2005); rabbit anti-Ki67 (Vector Labs, 1:1000); rabbit anti-S Opsin (Cheryl Craft, USC; 1:1000); rabbit anti-M/L Opsin (Cheryl Craft, USC; 1:1000)(Zhu and Craft, 2000; Zhu et al., 2003), goat anti-pan Pou4f/Brn3 (Santa Cruz sc6026, 1:50); mouse anti-Rhodopsin (Chemicon/Millipore, 1:1000); rabbit anti-Rxrg (Santa Cruz Biotech; 1:200); rabbit anti-Sox9 (Chemicon/Millipore; 1:200); rabbit anti-Tubb3 (Covance, 1:1000); sheep anti-Vsx2/Chx10 (N-terminus, Exalpha Biologicals, 1:1000), 1 mg/ml DAPI stain (Sigma Chemical 1:1000). Secondary antibodies were directly conjugated to Alexa Fluor488, Alexa Fluor594 (Invitrogen) or biotinylated (Jackson Immunologicals) and sequentially labeled with Alexa 488- or 594-Streptavidin (Jackson Immunologicals), followed by DAPI nuclear labeling. For S-phase analyses, BrdU (Sigma) was injected intraperitoneally and animals sacrificed 1.5 hours later for tissue processing and anti-BrdU labeling, following the method of (Mastick and Andrews, 2001).

In situ hybridization on cryosections was performed as described (Brown et al., 1998) using a *Math5* cDNA plasmid as a template for a digoxigenin-labeled antisense riboprobe. Microscopic imaging of retinal sections was performed on either a Zeiss microscope with color and black and white cameras and an Apotome deconvolution device or using a Leica DM5500 microscope, equipped with a SPEII solid state confocal. Images were processed using Axiovision (v7.0), Leica LASAF, Adobe Photoshop (CS4) software programs and electronically adjusted for brightness, contrast and pseudocoloring.

Cell Counting

Labeled cells in tissue sections were quantified with Axiovision (v6.0) or Photoshop CS4 software. Three or more animals were analyzed per genotype and age, with 2 sections per control or mutant littermate. Sections were judged to be of equivalent depth in the eye by anatomical landmarks in the head and other eye tissues, with only the nasal side of the retina imaged for consistency. The cell autonomy of Hes1+, Pou4f+, Crx+ or *Atoh7*^{LacZ} (βgal+) cells was determined within 200× distal retinal fields. Quantification of Arr3+ or Dll4+ cells was determined in 400× distal retinal fields. Here the percentages of marker+GFP+/GFP+,

marker-GFP+/DAPI, marker+GFP-/DAPI or GFP+/DAPI cells \pm standard error of the mean (s.e.m.) were determined, with GFP expression representing the combination of IRES-GFP and Z/EG transgenes. A two-tailed Student's T test and Welch posthoc test were used for p values (Excel, v14.3.4).

Acknowledgments

Grant Sponsor: NIH/NEI; Grant Number: R01EY013618

The authors thank Julian Lewis and Noah Shroyer for *Dll1^{CKO/CKO}* mice; Ruth Ashery-Padan for α -Cre transgenic mice; Tom Glaser, and Cheryl Craft for antibody reagents; Richard Lang for use of an Apotome deconvolution imaging system; Ashley Riesenberg, Tien Le, April Bird, Kelly McCulloh and Nadege Lum for technical assistance; Tom Glaser and Anna La Torre for valuable discussions.

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Key Findings

- Retinal progenitors require Dll1 both in cis and trans
- RGC neurogenesis requires Dll1 in cis
- Dll1 does not regulate prenatal photoreceptor development

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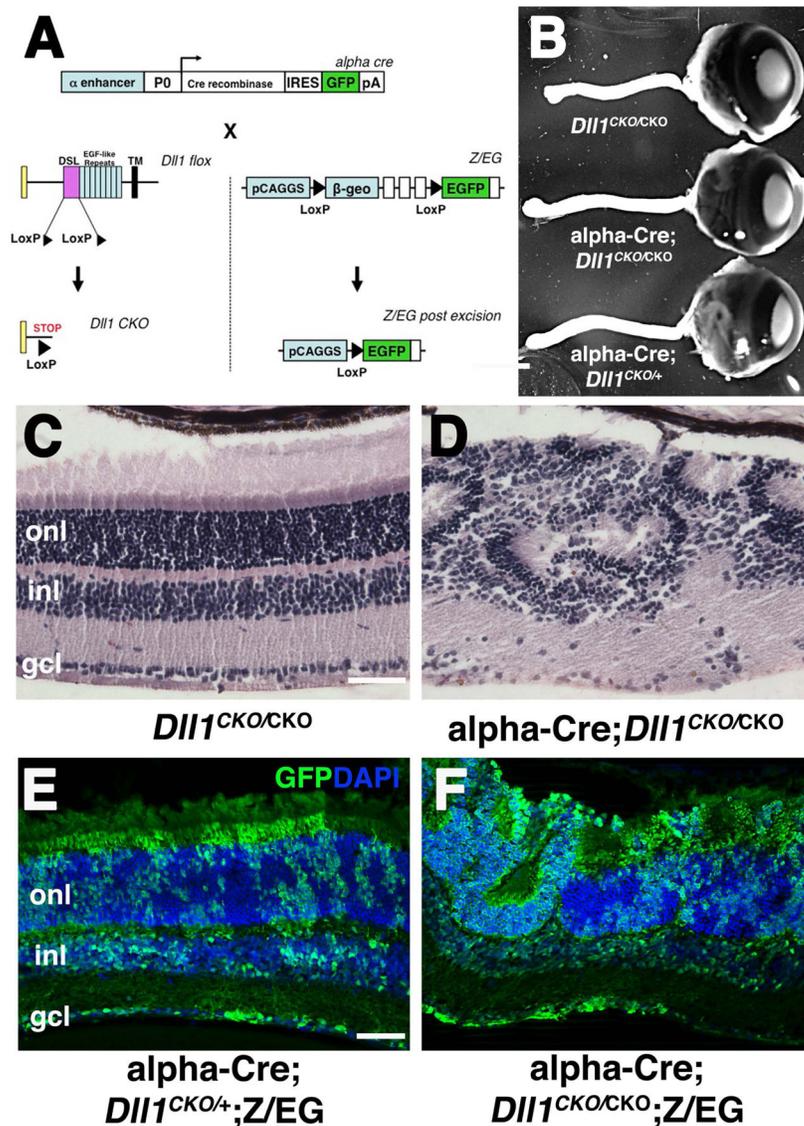


Figure 1. Adult retinal phenotypes of α -Cre;*DIII*^{CKO/CKO} conditional mutants
A) Deletion strategy for α -Cre-mediated removal of *DIII* and activation of GFP expression from the Z/EG transgene. **B)** α -Cre;*DIII*^{CKO/+} and α -Cre;*DIII*^{CKO/CKO} adult eyes and optic nerves appear identical to *DIII*^{CKO/CKO} control littermates. No *DIII* heterozygous phenotypes were found by gross inspection or histology (n=6). **C–D)** Histologic sections of P21 eyes highlighted thinner retinal tissue with rosetting in the absence of *DIII* (n=9). **E–F)** Anti-GFP/DAPI double label of α -Cre;Z/EG;*DIII*^{CKO/+} and α -Cre;Z/EG;*DIII*^{CKO/CKO} P21 retinal sections. In the distal retina, the α -Cre lineage (GFP-marked) in both control and *DIII*^{CKO/CKO} mutants is interspersed with GFP-negative cells (DAPI only), even within rosettes. This is vastly different than the segregation of wild type and mutant cells found in α -Cre;Z/EG;*Rbpj*^{CKO/CKO} eyes (Riesenberg et al., 2009). Anterior is right in B, scleral is up in C–F. Scale bars = 500 μ m in B, 20 μ m in C,E. α = Pax6 intronic α enhancer; P0 = Pax6 promoter, IRES = internal ribosome binding site; pA = poly A sequence; DSL= Delta/

Serrate/Lag domain; TM = transmembrane-spanning domain; ONL = outer nuclear layer, INL = inner nuclear layer, GCL = ganglion cell layer.

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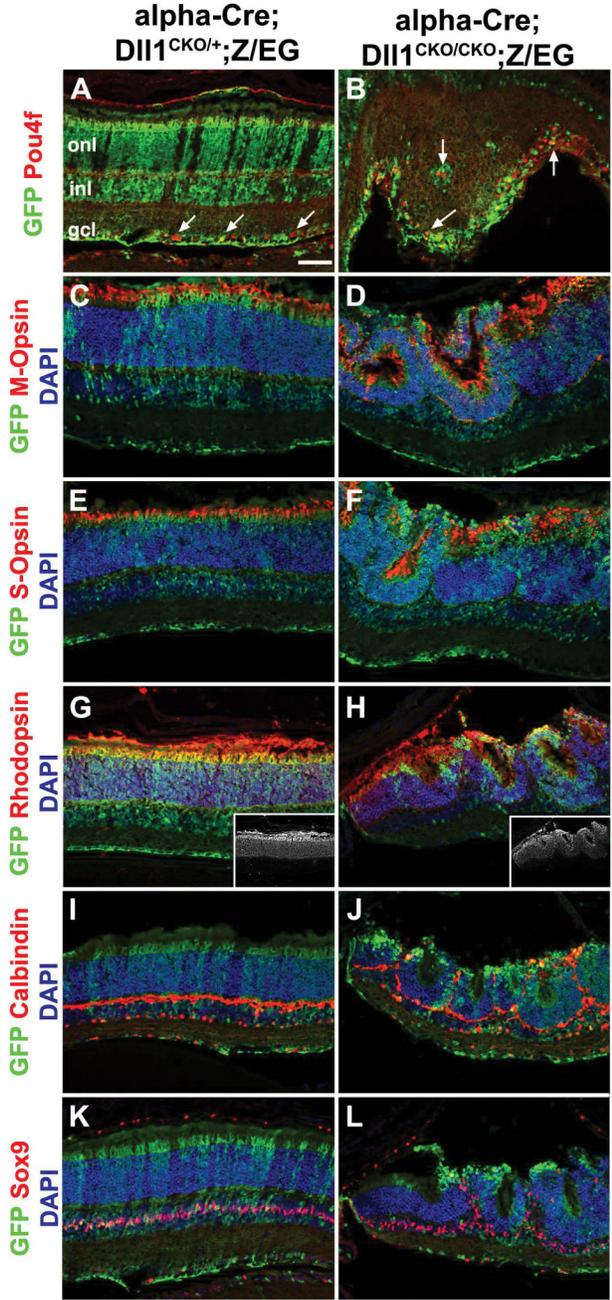


Figure 2. Retinal cell types in *DIII* conditionally mutant P21 eyes

Retinal sections triple labeled with anti-GFP in green, retinal marker in red and DAPI counterstain in blue. **A–B**) After loss of *DIII*, Pou4f+ RGCs are autonomously increased within the GFP-marked alpha-Cre lineage, with occasional RGCs misplaced outside of the GCL (arrows). **C–F**) Both red/green (M-Opsin+) and blue (S-Opsin+) cone photoreceptors are mispatterned within retinal rosettes of *DIII* mutants. **G–H**) Rhodopsin+ rods were also components of retinal rosettes that resulted from removal of *DIII*. Insets show Rhodopsin pattern alone in white. **I–L**) Inner retinal cells, like Calbindin+ horizontals and amacrine, and Sox9+ Muller glia surrounded the rosettes, but otherwise were unaffected by loss of

DIII. Scale bar in A = 20 microns. onl = outer nuclear layer, inl = inner nuclear layer, gcl = ganglion cell layer. n=3 control and mutant animals examined for each marker.

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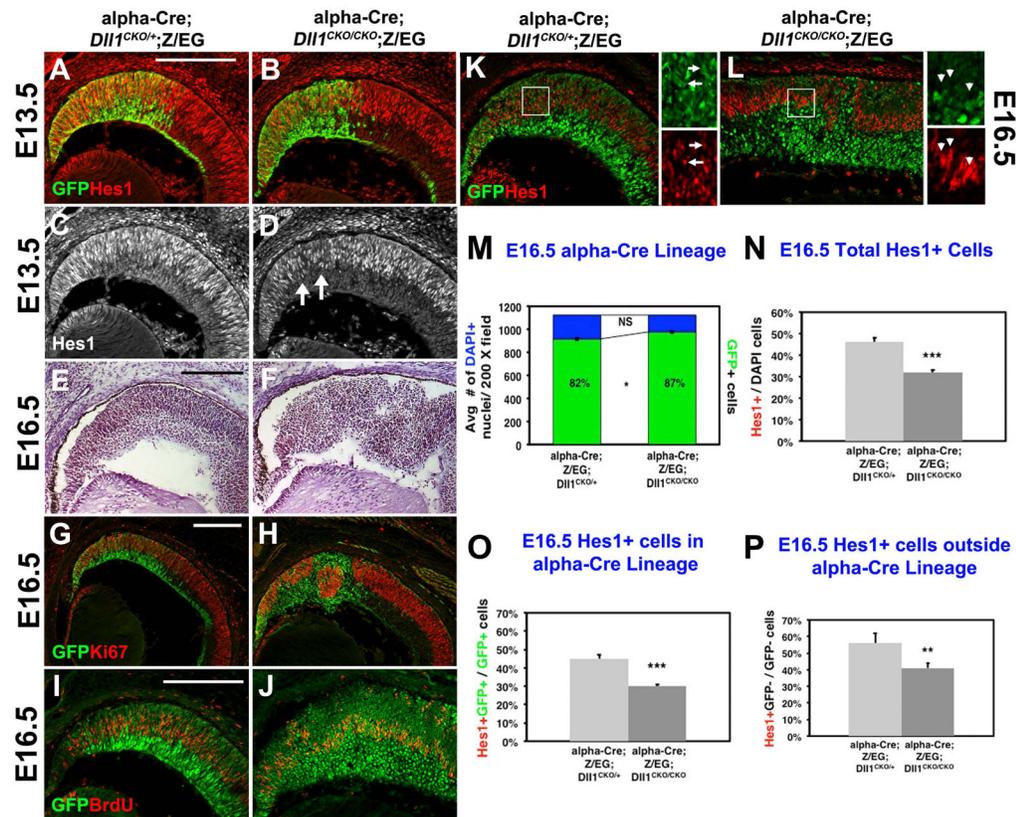


Figure 3. Retinal progenitor cell defects induced by loss of *Dll1*

A–D) At E13.5 there is normally a high degree of GFP and Hes1 coexpression. Only a subtle loss of Hes1 expression occurred in GFP-marked *Dll1*^{−/−} cells at this age (arrows in D). **E–F)** Hemotoxylin-stained paraffin sections highlighted a failure of retinal lamination in E16.5 *Dll1* retinal mutants (F). **G–H)** Ki67 and GFP colabeling of nearby retinal sections further highlighted that cells within *Dll1* mutant retinal rosettes are generally. **I–J)** BrdU and GFP are normally coexpressed in E16.5 RPCs in the α -Cre lineage, but in *Dll1* retinal mutants, there was a loss of the BrdU⁺ GFP⁺ subpopulation. **K–L)** Control E16.5 α -Cre RPCs (GFP⁺) coexpress Hes1, with boxed area shown at 10 \times magnification at right. **M)** There was no difference in the average number of DAPI⁺ cells (blue bars), although the E16.5 alpha-Cre lineage (green subset within bars) increased when *Dll1* was removed (81.6% \pm 1.3% control; 86.8% \pm 1.3% mutant). (**N)** The total proportion of E16.5 Hes1⁺ RPCs was significantly reduced in *Dll1* mutants. **O,P)** There was a significant loss of Hes1-expressing cell cell autonomously in Hes1⁺GFP⁺/GFP⁺ cells (O) and nonautonomously in Hes1⁺GFP[−]/GFP[−] cells (P). Bar in A,G, I = 20 microns, * = $p < 0.05$; ** = $p < 0.01$; *** = $p < 0.001$. For each marker $n = 3$ embryos per age and genotype.

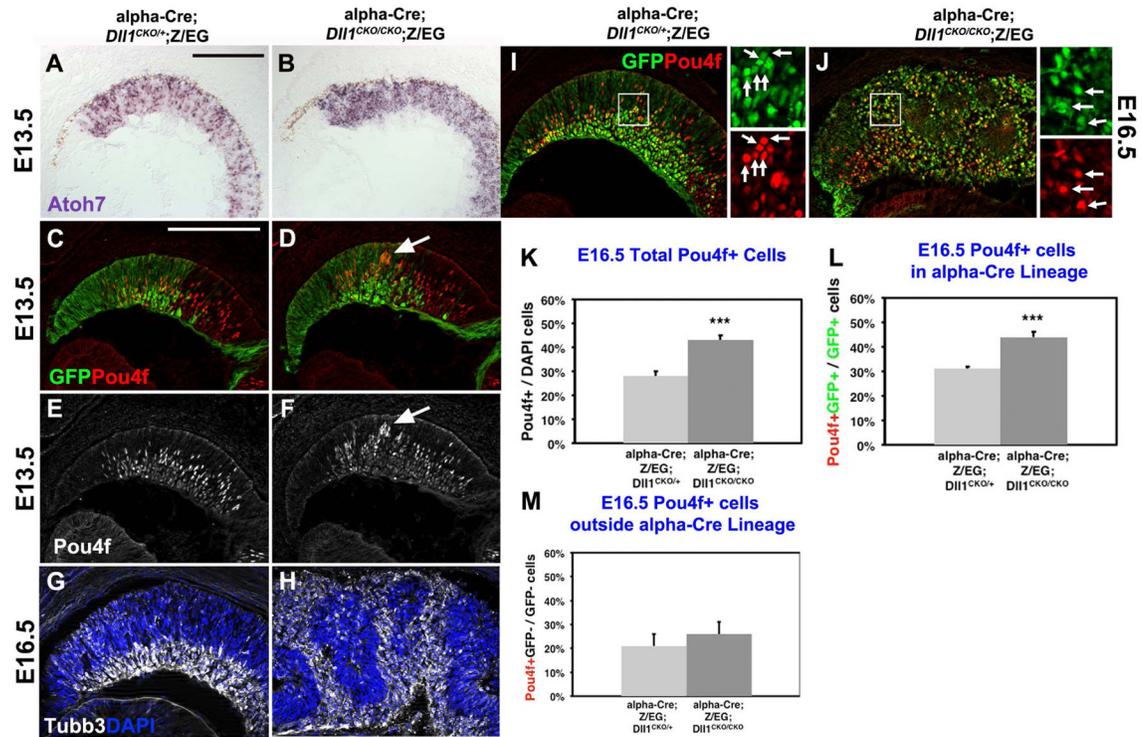


Figure 4. *Dll1* regulation of RGC development

A–B) E13.5 mRNA expression of *Atoh7* at E13.5 shows abnormal pattern in the *alpha-Cre* domain, upon deletion of *Dll1*. **C–F)** At E13.5, Pou4f+ RGCs were largely unaffected by loss of *Dll1*, although sporadic clusters of nascent RGCs (arrows in D) occurred. **G–H)** Anti-Tubb3 (white) and DAPI double labeling at E16.5. In *Dll1* retinal mutants, excess differentiated neurons surrounded forming retinal rosettes contained undifferentiated cells (see Fig 3). **I–J)** GFP and Pou4f colabeling was quantified for the α -Cre lineage (arrows). Boxed area shown at right is magnified 10 \times . **K)** The total number of Pou4f+ RGCs was significantly increased in *Dll1* mutants. **L)** *Dll1* normally suppresses RGC differentiation cell autonomously in Pou4f+GFP+/GFP+ cells. **M)** Whereas there was no nonautonomous affect for the Pou4f+GFP-/GFP- population. Bar in A,C = 20 microns, * = $p < 0.05$; ** = $p < 0.01$; *** = $p < 0.001$. For each marker $n = 3$ embryos per age and genotype.

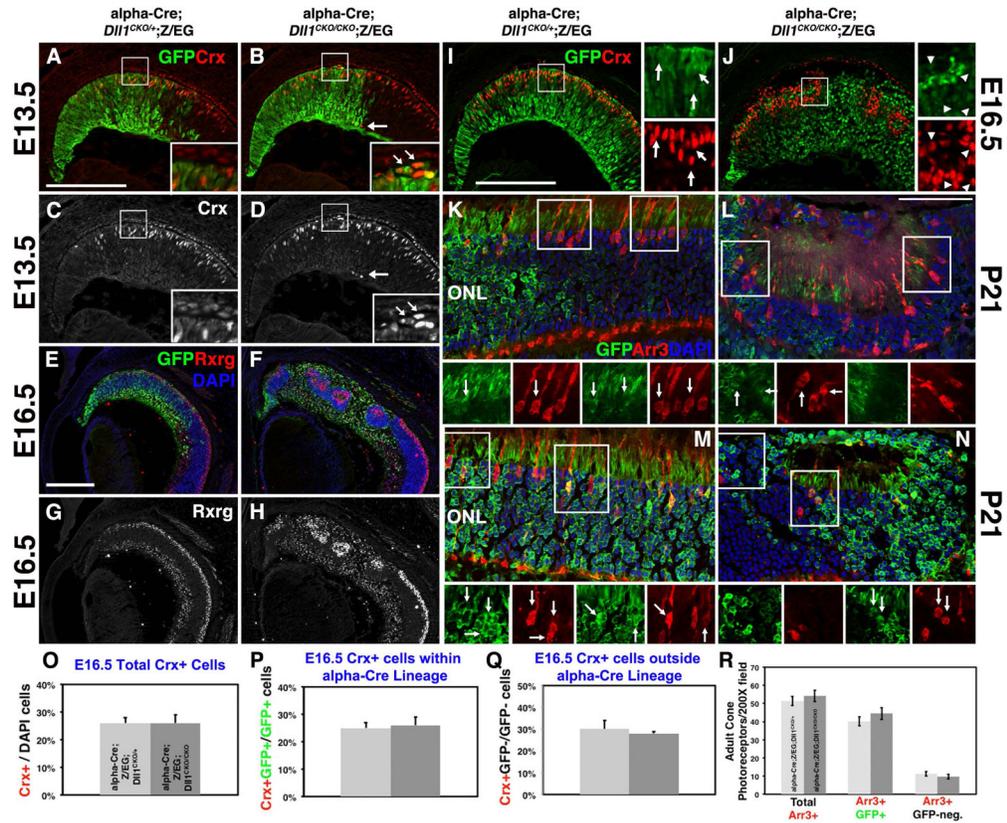


Figure 5. Embryonic Crx+ photoreceptor precursors do not require *Dll1* function

A–D) Mispatterning of E13.5 Crx+GFP+ retinal cells upon removal of *Dll1*. Local disruptions in the normal pattern of Crx+ bipotential photoreceptor precursors occurred at both the apical (boxed area) and basal (arrow) retina. **E–H)** Rrxrg expression in nascent cone photoreceptors (outer, top) and RGCs (inner, lower retina) highlights the presence of cones in forming retinal rosettes and the expansion of RGCs upon conditional deletion of *Dll1*. **I–J)** GFP and Crx colabeling were quantified, by assessing Crx+ cells within (arrows) or outside (arrowheads) the α -Cre lineage. The boxed areas shown at right are magnified 10 \times . **O,P,Q)** The percentages of total Crx+, Crx+GFP+/GFP+ or Crx+GFP-/GFP- cells were unaffected by loss of *Dll1*. **K–N,R)** Quantification of P21 cone photoreceptors (Arr3+) showing no significant difference between genotypes (**R**). Two independent examples for genotype are provided, with boxed areas at higher mag below highlight the Arr3+GFP+ colabeled cones (arrows). Apical is up in all images. Bar in A,E, I = 20 microns, L = 50 microns; ONL = outer nuclear layer. Boxed areas are shown at 10 \times higher magnification at right (I–J), or below (K–N). For each marker n = 3 embryos or adult eyes per age/genotype.