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# Leukemia Stem Cells and Microenvironment: Biology and Therapeutic Targeting

*Marina Y. Konopleva and Craig T. Jordan*

**ABSTRACT**

Acute myelogenous leukemia is propagated by a subpopulation of leukemia stem cells (LSCs). In this article, we review both the intrinsic and extrinsic components that are known to influence the survival of human LSCs. The intrinsic factors encompass regulators of cell cycle and prosurvival pathways (such as nuclear factor kappa B [NF- $\kappa$ B], AKT), pathways regulating oxidative stress, and specific molecular components promoting self-renewal. The extrinsic components are generated by the bone marrow microenvironment and include chemokine receptors (CXCR4), adhesion molecules (VLA-4 and CD44), and hypoxia-related proteins. New strategies that exploit potentially unique properties of the LSCs and their microenvironment are discussed.

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#### **INTRODUCTION**

The past 10 to 15 years have witnessed substantial progress in the biologic characterization of acute myelogenous leukemia (AML). In particular, the long-established view that myeloid leukemia is developmentally similar to normal hematopoiesis and is driven by a relatively small subset of stem or progenitor cells has been established via extensive studies using both primary human tissues and various mouse models.1,2 Studies have clearly demonstrated that AML populations are highly heterogeneous and that the disease is propagated by a subpopulation of leukemia stem cells (LSCs). Initial reports described a specific cell surface phenotype for LSCs that allowed primitive leukemia cells to be distinguished from normal stem and progenitor cells  $(CD34<sup>+</sup>,$ CD38<sup>-</sup>, CD123<sup>+</sup>, chronic lymphatic leukemia 1–positive  $[CLL-1^+]$ , and so on).<sup>3-6</sup> However, more recent data indicate that the phenotype of LSCs may be somewhat variable from patient to patient and that, in some cases, more than one phenotypically distinct subpopulation may possess LSC activity.<sup>7,8</sup> Nonetheless, the overall heterogeneity of AML and the presence of LSCs are still strongly supported by multiple lines of evidence.

From a clinical perspective, it has been demonstrated that LSCs are substantially more resistant to standard forms of chemotherapy than bulk leukemia populations. Laboratory studies have specifically examined challenge with cytarabine and daunorubicin and have demonstrated preferential survival of functionally defined LSCs.<sup>9-11</sup> Thus, elucidating the specific molecular and cellular properties that mediate survival of LSCs is an extremely important step toward the goal of creatingimproved therapeutic regimens for leukemia. In this article, we review both the intrinsic and extrinsic components that are known to influence the survival of human LSCs.

#### **INTRINSIC PROPERTIES REGULATING THE SURVIVAL OF LSCS**

Perhaps the most fundamental property of LSCs that may influence relative drug sensitivity is cell cycle status. Like normal hematopoietic stem cells (HSCs), LSCs reside in a mostly quiescent state. Indeed, studies by Guan et  $al<sup>12</sup>$  showed that quiescent cells isolated from primary human AML specimens possessed most of their repopulating potential on transplantation into immune-deficient mice. Similarly, primary human chronic myelogenous leukemia (CML) specimens also demonstrate a mostly quiescent LSC population.<sup>13,14</sup> As a consequence, the overall activity of many chemotherapeutic agents that function by targeting cycling cells is likely diminished. Thus, as a general rule, agents or regimens that can selectively eradicate LSCs independent of cell cycle status are almost certainly preferable. Alternatively, recent studies have also proposed that inducing cell cycle activity of LSCs before treatment with conventional chemotherapy may also be feasible.<sup>15</sup>

Because of the unique biology of LSCs, multiple studies have investigated the molecular properties of such cells, with a particular emphasis on those

From The University of Texas MD Anderson Cancer Center, Houston, TX; and James P. Wilmot Cancer Center, University of Rochester School of Medicine, Rochester, NY.

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Corresponding author: Marina Y. Konopleva, MD, PhD, The University of Texas MD Anderson Cancer Center, 1515 Holcombe Blvd, Unit 428, Houston, TX 77030; e-mail: mkonople@ mdanderson.org.

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genes and pathways that distinguish leukemia from normal stem cells. For example, Guzman et al<sup>9,16</sup> reported constitutive activation of the nuclear factor kappa B (NF- $\kappa$ B) pathway in primary human AML stem cells and provided evidence that NF-KB plays a significant role in the overall survival of LSCs as well as AML cell types in general. Furthermore, most of the compounds that have been shown to successfully eradicate LSCs are known to be inhibitors of NF- $\kappa$ B.<sup>17</sup> Hence, this pathway is strongly implicated as a central target in developing LSC-specific therapies. Constitutive activation of Akt is also commonly observed in primary AML specimens, and inhibition of Akt via the use of phosphatidylinositol-3 kinase (PI3K) inhibitors such as wortmanin and LY294002 have been shown to augment therapies designed to target LSCs.<sup>18,19</sup> Interestingly, aside from its known role as a survival signal (via modulation of the proapoptotic factor Bad), the activity of the PI3K pathway has also recently been implicated in antioxidant defenses. Increased activity of the PI3K pathway was associated with induction of the Nrf2 pathway and downstream activation of heme oxygenase (HMOX-1), a well-known component of the antioxidant defense machinery.<sup>20</sup> Furthermore, treatment with PI3K or mammalian target of rapamycin pathway inhibitors effectively blocked induction of HMOX-1. Thus, agents that inhibit PI3K activity may act to increased oxidative stress in AML cells by inhibiting cellular antioxidant mechanisms.

Agents that induce oxidative stress have also been strongly implicated in targeting of LSCs, but this activity alone is clearly not sufficient, since many pro-oxidants do not affect the viability of malignant stem cells. Nonetheless, in the absence of oxidative stress, there are few if any well-documented cases in which LSCs are targeted, with the exception of some antibody-based strategies. Interestingly, aside from a direct role in the cell death process, oxidative stress may also inhibit self-renewal of LSCs. Indeed, normal HSCs, as well as neuronal progenitors cells, have been shown to respond strongly to changes in oxidative state.<sup>21-23</sup> Increased oxidation is clearly associated with differentiation, whereas more reduced conditions promote self-renewal. If the self-renewal of LSCs is regulated by molecular mechanisms similar to those of normal HSCs, then it stands to reason that increased oxidative stress may reduce self-renewal, which in turn should lead to either differentiation or death of primitive AML cells.

Specific molecular components of self-renewal have also been implicated in the survival of LSCs. For example, recent studies by Wang et al<sup>24</sup> demonstrated that in murine LSCs derived from *MLL*-*AF9*–induced leukemias, signaling via the Wnt/beta-catenin pathway was required for self-renewal. Similarly, Zhao et al<sup>25</sup> demonstrated a central role for beta-catenin signaling in the survival of CML stem cells. More recent studies<sup>26</sup> have also shown a role for the embryonic self-renewal regulator Musashi in blast crisis CML. Taken together, the data indicate that strategies targeting several of the known regulators of stem-cell self-renewal may be a promising approach to improved therapy for AML.

Selective upregulation of the components of apoptotic machinery in leukemic versus normal progenitor cellsmay provide additional tools for sensitizing LSCs to conventional chemotherapeutics. *Mcl-1*– deficient mice succumb secondary to hematopoieic failure, indicating the key role of this protein in normal HSCs.<sup>27</sup> In turn, Bcl-XL and Bcl-2 antiapoptotic proteins are highly expressed in leukemic progenitor cells and are redundant for normal hematopoiesis. This differential use of Bcl-2 family members can be exploited through use of compounds such as BH3-mimetic ABT-737, which was shown to preferentially inhibit survival of LSCs in preclinical AML models.<sup>28</sup> The caveat of this approach is lack of efficacy in leukemias with high levels of Mcl-1 and potential hematologic toxicity of other BH3 mimetics capable of targeting Mcl-1.

Collectively, the findings outlined above indicate the most likely regimens for targeting intrinsic components of LSC biology: (1) exhibit cell cycle independence (ie, be as efficacious for quiescent cells as for cycling cells), (2) inhibit  $NF-\kappa B$  signaling and/or related survival pathways, (3) induce oxidative stress, and (4) inhibit self-renewal mechanisms. Agents known to modulate one or more of these properties are currently approved or in various stages of clinical evaluation. For example, arsenic trioxide and bortezomib are strong inhibitors of the NF- $\kappa$ B pathway and also induce high levels of oxidative stress. The clinical analog of Bcl-2 inhibitor ABT-737, ABT-263, is undergoing phase I and II clinical trials as a single agent or in combination with monoclonal antibodies in CLL and acute lymphocytic leukemia (ALL). Multiple agents that inhibit PI3K pathway components are in development, and derivatives of the mammalian target of rapamycin inhibitor are also available (eg, temsirolimus). In addition, there is strong interest in targeting the Wnt/beta-catenin pathway, with several types of agents currently under investigation.<sup>29,30</sup> Taken together with strategies that target extrinsic components of the tumor microenvironment (see below), it appears that several exciting new therapeutic options will be available in the near future.

#### **ENVIRONMENT-MEDIATED DRUG RESISTANCE: ROLE OF EXTRINSIC FACTORS IN LSC SURVIVAL AND CHEMORESISTANCE**

The majority of leukemias respond to initial treatment; however, relapse is common, indicating resistance of LSCs to current therapies. There is emerging evidence that extrinsic components mediated by the microenvironment play a pivotal role in survival and drug resistance of LSCs. It is believed that environment-mediated drug resistance is a transient state whereby LSCs are protected through signals from the niche, which eventually leads to the selection of secondary genetic changes and outgrowth of cells that acquired multiple mechanisms of pharmacologic resistance.<sup>31</sup> These findings have generated novel approaches targeting the microenvironment supporting the LSC phenotype.

### **MICROENVIRONMENTAL NICHES OF NORMAL HSCS**

To understand the role of the microenvironment in leukemia, it is important to characterize the normal physiologic mechanisms of niche-mediated support of HSC maintenance (Fig 1). HSCs reside within specialized areas of the bone marrow (BM) microenvironment, defined as two distinct microenvironmental niches: osteoblastic (endosteal) and vascular.<sup>32</sup> The osteoblastic niche, localized at the inner surface of the bone cavity and with abundant bone-forming osteoblasts, provides a microenvironment for long-term HSCs which are capable of contributing to hematopoiesis as quiescent or slowcycling cells.32-35 The vascular niche, which consists of sinusoidal endothelial cells lining blood vessels, is thought to promote proliferation and differentiation of actively cycling, short-term HSCs.<sup>36</sup> Recent



**Fig 1.** Mechanisms of normal and acute myelogenous leukemia stem cell interactions with the niche. The normal and leukemic stem cells (LSCs) reside in either the osteoblastic or vascular niche. In the osteoblastic niche at or near the endosteum, osteoblasts, octeoclasts, and stromal cells may provide a microenvironment for normal cells and LCSs. In the vascular niche around sinusoids, CD146<sup>+</sup> mesenchymal progenitors facilitate transendothelial migration, homing, proliferation, and differentiation of normal cells and LSCs. Oxygen tension gradually declines from the vascular niche to the osteoblastic niche, and LSC proliferation results in expansion of hypoxic microenvironmental niches. MSC, mesenchymal stem or stromal cell.

studies indicate that these niches work in concert. Xie et al<sup>37</sup> demonstrated that the endosteum forms a well-vascularized special zone that frequently is localized near N-cadherin–positive preosteoblastic cells and that this special niche promotes expansion of HSCs in response to BM damage.

Hematopoietic cell development is tightly regulated by BM stromal cells (BMSCs) through production of cytokines, chemokines, and intracellular signals initiated by cellular adhesion. BMSCs encompass a variety of cell types, including osteoblasts, osteoclasts, endothelial cells, perivascular reticular cells, and mesenchymal stem or stromal cells (MSCs), all of which are critical for the regulation of HSC maintenance and localization.<sup>38</sup> Although the nature of the true MSC remains enigmatic, CXC chemokine ligand 12 (CXCL12) – expressing  $CD146<sup>+</sup>$  MSCs were recently reported to be self-renewing progenitors that reside on the sinusoidal surfaces and contribute to organization of the sinusoidal wall structure,<sup>39</sup> produce angiopoietin-1 (Ang-1), and are capable of generating osteoblasts that form the endosteal niche. These CXCL12-abundant reticular cells may serve as a transit pathway for shuttling HSCs between the osteoblastic and vascular niches where essential but different maintenance signals are provided.<sup>32</sup> Cytokines and chemokines produced by BMSCs concentrate in particular niches secondary to varying local production and through the effects of cytokine-binding glycosaminoglycans. Of these, CXCL12/stromal cell– derived factor-1 alpha positively regulates HSC homing, while transforming growth factors FMS-like tyrosine kinase 3 (Flt3) ligand and Ang-1 function as quiescence factors. CXCL12-CXCR4 signaling is involved in homing of HSCs into BM during ontogeny as well as survival and proliferation of colony-forming progenitor cells.40,41 The CXCR4-selective antagonist–induced mobilization of HSCs into the peripheral blood further indicates a role for CXCL12 in retaining HSCs in hematopoietic organs.42 BM engraftment involves subsequent cell-to-cell interactions through the BMSC-produced complex extracellular matrix. Thus, vascular cell adhesion molecule-1 (VCAM-1) or fibronectin is critical for adhesion to the BMSCs.

#### **LEUKEMIC MICROENVIRONMENT: NICHE RETREATS FOR LSCS**

Recent data indicate that, in parallel with leukemogenic events in the hematopoietic system, the niche is converted into an environment with dominant signals that favor cell proliferation and growth. The microenvironment may have a role in determining the lineage commitment of acute leukemia.*MLL-AF9*–transduced cord blood cells on transplantation into immunodeficient mice generated AML, ALL, or biphenotypic leukemia, depending on the mouse strain or cytokine medium, hence demonstrating the influence of microenvironmental cues for lineage differentiation.<sup>43</sup>

The molecular mechanisms for maintaining quiescence of normal stem cells may also facilitate LSC survival. For LSC survival, proliferation, and differentiation, both the osteoblastic and vascular niches are critical. $33-35,39,44,45$  Ninomiya et al<sup>46</sup> modeled the homing, proliferation, and survival sites of human leukemia cells and of cord blood  $CD34^+$  cells. The transplanted leukemia cells initially localized on the surface of osteoblasts in the epiphysial region and then expanded to the inner vascular and diaphysial regions. Eight weeks after transplantation, the number of leukemia cells transiently increased by as much as 50%, predominantly in the epiphysial region. After administration of high-dose cytarabine, residual leukemia cells clustered and adhered to the blood vessels as well as to the endosteum, suggesting that leukemia cells receive antiapoptotic signals not only from osteoblasts but also from vascular endothelium.46

Suppression of normal hematopoiesis is observed frequently in leukemia patients with relatively low tumor burden, which does not necessarily reflect occupancy of the anatomic space by leukemic cells. It has been demonstrated that leukemic cell growth disrupts normal hematopoietic progenitor cell (HPC) BM niches and creates a tumor microenvironment.47 Leukemic cells initially migrate toward the CXCL12-positive vascular niches in the BM, which in the murine model overlap with normal HPC niches.<sup>47,48</sup> After 1 month of leukemia growth in vivo, CXCL12 production in the tumor vascular niche was markedly downregulated, and the normal human CD34<sup>+</sup> cells transplanted in leukemic mice migrated to tumor niches through a CXCL12-independent mechanism, by virtue of stem-cell factor abundantly secreted by leukemic cells in the tumor niches. These findings indicate that the alteration of signaling mechanisms of BM niches used by normal HSC homing may be hijacked by LSCs.

One of the key initial steps of leukemia-stroma interactions in vivo is homing and subsequent adhesion of LSCs to the protective areas of BM microenvironment (Fig 2). The interaction between CXCL12 (stromal cell–derived factor-1 alpha) and its receptor CXCR4 on leukemic progenitor cells contributes to their homing to the BM microenvironment. CXCR4 levels are significantly elevated in leukemic cells from patients with AML,<sup>49</sup> and CXCR4 expression is associated with poor outcome.50,51 Administration of anti-CXCR4 antibody to mice engrafted with primary AML cells resulted in a dramatic decrease in the levels of human AML cells in the BM, blood, and spleen but did not significantly affect the levels of normal human progenitor cells engrafted into NOD/SCID mice.<sup>52</sup> Significantly increased CXCR4 expression has been reported in Flt3/internal tandem duplication AML compared with FLT3/wild-type AML.<sup>50</sup> This finding and additional preclinical data indicate that the Flt3 axis participates in the trafficking of transformed hematopoietic cells through CXCR4. In turn, integrins are required for LSCs to lodge in the BM

niche. The attachment of AML cells to the BM microenvironment through interaction between very late antigen-4 on leukemic cells and fibronectin on MSCs has been shown to be crucial for the persistence of minimal residual disease in AML.53 Integrin ligation triggers activation of prosurvival signaling cascades. As such, integrin-linked kinase directly interacts with  $\beta$  integrins, phosphorylates Akt in a PI3K-dependent manner, and promotes survival of leukemic cells.<sup>54</sup> Another adhesion molecule, CD44, has been demonstrated to be a key regulator of AML LSCs homing to microenvironmental niches and maintaining a primitive state.55 CD44 mediates adhesive cell-cell and cell–complex extracellular matrix interactions through binding to its main ligand, hyaluronan, a glycosaminoglycan highly concentrated in the endosteal region.<sup>56</sup> Other ligands include osteopontin, fibronectin, and selectin, all of which are involved in cell trafficking and lodgment. Beyond its adhesion function, CD44 can also transduce multiple intracellular signal transduction pathways when ligated with hyaluronan or specific function-activating monoclonal antibodies.<sup>57</sup>

It has been shown that progression of leukemia in a rat model was associated with marked expansion of hypoxia,<sup>58</sup> compared with distinct subendosteal hypoxic areas of normal BM.59 Leukemic cells are able to proliferate even under hypoxic conditions, indicating that the cells are able to adapt to hypoxic conditions.58,60 In addition, overexpression of the key hypoxia mediator hypoxia-inducible transcription factor-1 alpha (HIF-1 $\alpha$ ) has been observed in clusters of leukemic cells in BM specimens from patients with primary ALL.<sup>61</sup> Recently, mutations in the gene encoding isocitrate dehydrogenase-1 and isocitrate dehydrogenase-2 (*IDH1, IDH2*) were described in approximately 16% of AML.<sup>62,63</sup> It remains to be established whether these mutations may constitute a poor prognostic factor in distinct subtypes of AML and may be associated with induction of the HIF-1 $\alpha$  pathway as described in glioma.<sup>64</sup> Notably, CXCR4 expression was upregulated under hypoxic conditions in AML cells.<sup>65</sup> Consistent with the findings that HIF-1 $\alpha$  regulates CXCR4,<sup>66</sup> these data suggest that a hypoxic BM microenvironment represents a conditional stem and progenitor cell niche in which HIF-1 $\alpha$ -induced stabilization and activation of both the trafficking stimulus ( $CXCL12^{67}$ ) and receptor ( $CXCR4$ ) facilitate recruitment and retention of leukemic progenitor cells. Hypoxia is the major stimulus for angiogenesis through HIF-1 $\alpha$ –mediated upregulation of vascular endothelial growth factor (VEGF). Formation of new vessels by angiogenesis represents an adaptive response to hypoxia andinvolves endothelial cell proliferation, a process stimulated by hypoxia-inducible growth factors, such as VEGF. Increased angiogenesis is observed in myelodysplastic syndrome (MDS),<sup>68</sup> AML,<sup>69</sup> and ALL.<sup>70</sup> The levels of circulating endothelial progenitor cells were found to be increased in AML patients and, remarkably, endothelial progenitor cells shared the genetic abnormalities with the leukemic clone in  $AML$ ,<sup>71</sup> MDS,<sup>72</sup> and CML.<sup>73</sup> In turn, monoblasts from AML patients from AML-M5 leukemias were capable of differentiating into endothelial cells in vitro when cultured in the presence of VEGF and Ang-1.<sup>74</sup> These findings underscore the need for combining the strategies aimed at elimination of both leukemic progenitor cells and endothelial precursors. Hypoxia promotes a switch to glycolytic metabolism via the activation of HIF-1 $\alpha$ <sup>75</sup> and additional microenvironmental cues may be instrumental for the establishment of the proglycolytic phenotype.76,77 In solid tumors, hypoxia induces a metabolic shift causing  $\arccos^78$  and promotes autophagy, which may mediate cell survival response.79 Because these metabolic changes have been linked to chemoresistance, it is tempting to speculate that



Fig 2. Regulators of leukemic stem cell (LSC) niche interactions in microenvironmental niches. Within bone marrow niches, a complex interplay of cells, extracellular matrix components, and secreted factors may modulate the biology of LSCs. Osteoblasts provide a source of osteopontin and stromal cell–derived factor-1 alpha (SDF-1 $\alpha$ ), which may induce migration of CXCR4-expressing LSCs toward the osteoblastic niche. Similarly, mesenchymal stem cells (MSCs) also secrete SDF-1 $\alpha$ , as well as cytokines, which induce cell proliferation, activate prosurvival signaling cascades, and modulate the expression of the antiapoptotic molecules, potentially resulting in drug resistance. In addition, activation of the self-renewal pathways (such as Wnt) has been postulated to result in enhanced LSC survival<sup>24</sup> and may, in part, be mediated through the niche.<sup>100</sup> bFGF, basic fibroblast growth factor; IGF, insulin-like growth factor; IL-6, interleukin-6; VEGF, vascular endothelial growth factor; LRP, leukocyte common antigen-related phosphatase.

targeting glycolytic pathways may provide additional therapeutic tools for the treatment of hematopoietic malignancies.<sup>80</sup>

#### **MOLECULAR AND GENETIC CHANGES WITHIN THE BM MICROENVIRONMENT THAT CONTRIBUTE TO LEUKEMOGENESIS**

The significance of the hematopoietic microenvironment to disease initiation has been suggested by studies $81$  with mice deficient in phosphatase and tensin homolog (*PTEN*). *PTEN* deficiency in both hematopoietic cells and the microenvironment resulted in myeloproliferation that progressed to overt leukemia/lymphoma. However, inducible *PTEN* deletion in hematopoietic cells in the presence of a wild-type BM microenvironment promoted HSC depletion without evidence of myeloproliferation or leukemic development.<sup>81</sup> These results suggest that *PTEN* deficiency in hematopoietic cells alone is not sufficient for malignant transformation.

Likewise, activation of NF- $\kappa$ B in myelopoietic cells and the absence of its inhibitor  $I \kappa B \alpha$  are not sufficient for induction of hypergranulopoiesis, but these changes in the nonhematopoietic compartment, such as fetal liver, resulted in increased numbers of dysplastic hematopoietic cells with progression into secondary AML.<sup>82</sup> Walkley et al<sup>83</sup> have further demonstrated that dysfunction of retinoblastoma protein or retinoic acid receptor gamma<sup>84</sup> in the BM microenvironment can contribute to development of preleukemic myeloproliferative disease. Both retinoblastoma protein and retinoic acid receptor gamma deficiency–induced expansion of HSCs and progenitor cells may result from loss of inhibitory signals normally provided by the osteoblastic niche. These findings critically underscore the importance of interactions between hematopoietic cells and the BM niche/microenvironment and indicate that additional genetic mutations within the BM microenvironment may be necessary for leukemic transformation.

In confirmation of genetic aberrations in BM microenvironment in leukemia, structural chromosomal changes have been found in BMSCs from 44% of patients with MDS and 54% of those with AML.<sup>85</sup> Lopez-Villar et al<sup>86</sup> reported the presence of cytogenetic aberrations on MSCs from MDS patients by array-based comparative genomic hybridization and fluorescencein situ hybridization, some of them specially linked to a particular MDS subtype, the 5q- syndrome. These findings suggest enhanced genetic instability of BMSCs in MDS and AML and indicate the potential involvement of BMSCs in the pathophysiology of these conditions.

In a recent study,87 mice with osteoblast-specific deletion of *Dicer1*, a gene required for RNA and microRNA processing, showed cytopenia, multilineage dysplasia, and increased proliferation and apoptosis of primitive hematopoietic progenitors, highly resembling a clinical picture of MDS. Importantly, in some animals, the dyspoietic changes lead to the development of clonal myeloid sarcomas and AML, which provided the first proof that perturbations in niche signaling may select for the acquisition of secondary genetic changes in the neighboring HSCs.

Additional evidence of microenvironmental dysfunction comes from recent findings in MDS. Marrow stroma from patients with early-stage MDS, in contrast to that from more advanced stages of MDS, such as chronic myelomonocytic leukemia, expressed 14- to 17-fold higher levels of interleukin-32 (IL-32) mRNA than healthy controls, and this constitutive IL-32 expression promoted apoptosisin MDS cells, reproducing the inefficient hematopoiesis and extensive apoptosis in MDS marrows.<sup>88</sup> These findings indicate two independent mechanisms of stromal abnormalities in tumors: genetic changes in stromal cells and secondary epigenetic changes arising in the niche in response to tumor cells. These early preliminary studies require further confirmatory investigations of the suspected genetic abnormalities in leukemic stroma by using stringent cell separation techniques and modern high-throughput sequencing technologies.

### **THERAPEUTIC TARGETING OF LEUKEMIC MICROENVIRONMENT: CONCEPTS AND APPROACHES**

By elucidating the role of the BM microenvironment in the pathogenesis of hematologic tumors, recent studies have provided the framework for identifying and validating novel therapies that target both leukemic cells and cells in their surrounding microenvironment. Targeting the leukemia microenvironment can be implemented through several strategies: (1) affecting the key self-renewal pathways in LSCs that are promoted by the niche; (2) blockading the prosurvival signaling pathways induced by stromal cells in LSCs; (3) affecting homing and adhesion through interference with chemokines and adhesion molecules; (4) targeting the hypoxic milieu of leukemic microenvironment, and (5) inhibiting abnormally activated pathways within the cells of niche (Fig 3). Activation of the principal self-renewal pathways through Wnt/beta-catenin and notch signaling can be caused by microenvironmental stimuli and is amenable to therapeutic interventions. Upregulation of the prosurvival Bcl- $2^{89}$  and Mcl- $1^{90}$  pathways is afrequent event in leukemic cells growing in contact with BM-derived stromal cells and is potentially treatable with drugs such as BH3 mimetics (Bcl-2, ABT-737) or kinase/Cdk inhibitors (Mcl-1, MEK inhibitors/flavoperidol). Disruption of migratory and adhesion signals represents the strategy of blocking LSC homing to a BM niche

and/or sensitizing leukemic cells to chemotherapy or kinase inhibitors. Targeting CXCR4 with small-molecule pharmacologic inhibitors has been shown to be efficacious in preclinical models of CLL,<sup>91</sup> ALL,<sup>92</sup> and AML,<sup>93,94</sup> presumably through recruitment of leukemic cells out of their protective microenvironmental niches. In support of the role of VLA-4 in the sensitivity of AML cells to chemotherapy, a neutralizing VLA-4 antibody, in conjunction with cytarabine, prevented the development of AML in a xenograft model.<sup>53</sup> Although the small-molecule inhibitors of VLA-4/VCAM-1 interactions caused impressive mobilization of normal HPCs, alone or in combination with granulocyte colony-stimulating factor or CXCR4 inhibitors,  $95$  this approach has yet to be explored in leukemias. Likewise, ligation of CD44 with the H90 monoclonal antibody resulted in marked reduction of the leukemic burden in NOD-SCID mice transplanted with primary AML cells through alteration of AML LSC fate and abrogation of AML LSC homing.<sup>55</sup> In addition, specific antibodies against CD123 and CD47 have recently been reported to reduce the growth of AML LSCs in xenograft models.<sup>96,97</sup> The mechanism of CD123-mediated targeting appears to be complex, potentially acting through inhibition of homing, activation of innate immunity, and/or inhibition of intracellular signaling events. In contrast, antibodies that mask CD47 can trigger innate immune responses via activation of phagocytosis.

Concerns have been raised over the potential toxicity of some approaches outlined above, in particular, when combined with cytotoxic drugs, because mobilized normal HPCs that are usually protected in the BM microenvironment would be potentially exposed to the toxicity of chemotherapy.Aleukemia cell–targeted approach, such as monoclonal antibodies (eg, anti-CD33, anti–CLL-1, anti-CD96, anti-CD25, or anti-CD32) or selective kinase inhibitors (sorafenib, <sup>94</sup> or imatinib<sup>98</sup>) in combination with CXCR4 antagonists could avoid these potential adverse effects. In turn, targeting of stem-cell factor may inhibit HPC interaction with tumor niches and conceivably maintain normal progenitor cell function in the setting of malignancy.47 Since normal stem cells and LSCs appear to frequently use identical pathways of microenvironmental protection, it remains to be established in future prospective clinical trials whether these approaches will provide a sufficient therapeutic window in targeting LSCs.

Targeting angiogenesis is arguably the most clinically advanced approach for influencing the tumor/leukemia microenvironment. The anti-VEGF monoclonal antibody that neutralizes VEGF-A bevacizumab—is the first antiangiogenic agent that has been validated as a cancer therapy. Bevacizumab combined with cytarabine and mitoxantrone has been demonstrated to improve the overall response rate of 48% in the phase II study.<sup>99</sup> Other types of antiangiogenic agents, such as tyrosine kinase inhibitors (sunitinib and sorafenib) and anticytokine drugs (thalidomide and lenalidomide) have now entered clinical practice. Although these agents may affect endothelial BM niches, they may, in turn, enforce expansion of hypoxic niches and possibly promote chemoresistance. In this context, HIF-1 $\alpha$  may represent an important molecular target within the tumor microenvironment. Several strategies specifically targeting HIF-1 $\alpha$  are being explored in solid tumor models. These include a novel antisense oligonucleotide against HIF-1 $\alpha$  and small-molecule HIF-1 $\alpha$  inhibitors. The applicability of these reagents to LSC niche biology remains to be established.

In conclusion, we note that recent studies have elucidated multiple intrinsic and extrinsic factors that provide potential opportunities



**Fig 3.** Therapeutic targeting leukemic stem cell (LSC) niche interactions. Cytokines, chemokines, and the extracellular matrix activate the prosurvival signaling pathways (phosphatidylinositol-3 kinase [PI3K]/Akt, mitogen-activated protein kinase [MAPK], signal transducer and activator of transcription 3 [STAT3], and nuclear factor kappa B [NF-kB]) that regulate downstream components likely promoting survival and proliferation of LSCs. The therapeutic strategies designed to target the LSC within their surrounding microenvironment include adhesion molecule and cytokine antagonists as well as inhibitors of intracellular prosurvival and self-renewal pathways. These approaches may more selectively eradicate LSCs without adversely affecting normal stem-cell self-renewal. HA, hyaluronic acid; SDF-1, stromal cell-derived factor 1; PTEN, phosphatase and tensin homolog; ILK, integrin-linked kinase; HIF-1 $\alpha$ , hypoxia-inducible transcription factor-1 alpha; GSK3, glycogen synthase kinase 3; LRP, leukocyte common antigen-related phosphatase; Bcl-2, B-cell lymphoma 2; Mcl-1, myeloid cell leukemia-1.

to improve therapeutic targeting of LSCs. Going forward, the challenge will be to identify the optimal combinations of drugs to appropriately modulate such factors in the most selective fashion possible. Although the potential algorithm for creating better regimens is not entirely clear, a few key principles appear to be important. First, new regimens must take into consideration the cell cycle status of LSCs, which is mostly quiescent. Thus, therapies either must be capable of killing quiescent cells or must include cell cycle activation of LSCs as part of the overall strategy. Second, improved approaches should target those properties that are most consistently aberrant in LSCs. To date, studies show that increased oxidative state, constitutive activation of NF- $\kappa$ B, constitutive activation of PI3K signaling, and increased reliance on Bcl-2 activity appear to be promising activities to target. Third, new strategies should exploit potentially unique properties of the LSC microenvironment to permit more selective and efficient eradication of primitive cells. For example, targeting of the mechanisms that mediate LSC adhesion within BM niches and stimulation of niche-induced prosurvival and self-renewal pathways both appear to be useful strategies. We propose that by profiling current and new experimental agents with regard to the parameters outlined above, it should be possible to design rational drug combinations that will more effectively eradicate LSCs.

### **AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST**

The author(s) indicated no potential conflicts of interest.

## **AUTHOR CONTRIBUTIONS**

**Conception and design:** Marina Y. Konopleva, Craig T. Jordan **Manuscript writing:** Marina Y. Konopleva, Craig T. Jordan **Final approval of manuscript:** Marina Y. Konopleva, Craig T. Jordan

#### **Konopleva and Jordan**

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