

Original Article

Characteristics and overall survival of EGFR mutation-positive non-small cell lung cancer treated with EGFR tyrosine kinase inhibitors: a retrospective analysis for 1660 Japanese patients

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Received 24 December 2015; Accepted 20 January 2016

Abstract

Background: The Japan Guidelines of Lung Cancer Therapy recommend epidermal growth factor receptor-tyrosine kinase inhibitors as a first-line therapy for advanced/recurrent non-small cell lung cancer patients with *epidermal growth factor receptor* mutation. Although survival periods in recent reports of epidermal growth factor receptor-tyrosine kinase inhibitor treatment have been getting longer, the reasons why are unclear. We investigated the survival, prognostic factors and real-world treatment of non-small cell lung cancer patients with *epidermal growth factor receptor* mutation in clinical practice.

Methods: Non-small cell lung cancer patients ($n=1660$) who started first-line treatment from January 2008 to December 2012 were enrolled. Patients were diagnosed with *epidermal growth factor receptor* mutation-positive advanced/recurrent non-small cell lung cancer by histology or cytology samples. The primary objective was to estimate overall survival. The secondary objectives were to determine prognostic factors, real-world treatment patterns and efficacy of gefitinib treatment. We calculated the treatment exposure rate for each treatment category using the following formula: exposure rate = person-years for the treatment category/total person-years $\times 100$.

Results: The median overall survival was 30.8 months. Sex, age, histology, *epidermal growth factor receptor* mutation type, clinical stage and performance status affected overall survival. The exposure rates for all epidermal growth factor receptor-tyrosine kinase inhibitors, gefitinib and platinum-doublet chemotherapy were 62.1, 46.4 and 8.5% respectively. Overall 56.1% of patients were administered gefitinib as first-line therapy, and 39.0% were treated with ≥ 2 epidermal growth factor receptor-tyrosine kinase inhibitor regimens. The median progression-free survival in the first-line gefitinib group was 11.4 months. Factors affecting prognosis were sex, histology, clinical stage and performance status.

Conclusion: Epidermal growth factor receptor-tyrosine kinase inhibitors, especially gefitinib, are major components of the treatment regimens for *epidermal growth factor receptor* mutation-positive non-small cell lung cancer. Switching and re-challenging with epidermal growth factor receptor-tyrosine kinase inhibitors were also practiced in Japan.

Key words: EGFR tyrosine kinase inhibitor, gefitinib, non-small cell lung cancer, mutation

Introduction

The Japan Guidelines of Lung Cancer Therapy currently recommend epidermal growth factor receptor-tyrosine kinase inhibitors (EGFR-TKIs) as a first-line therapy for *EGFR* mutation-positive advanced/recurrent non-small cell lung cancer (NSCLC) (1). Previously, platinum-based doublet chemotherapy was the standard of care, but it had a poor prognosis with a median overall survival (OS) of 8–10 months and the 1-year survival rate was 30–35%. After the approval of gefitinib, a selective EGFR-TKI targeting mutated *EGFR*, in Japan in July 2002, Takano et al. reported that the survival period was extended in patients with *EGFR* mutation-positive NSCLC (2). A number of recent studies have reported the extended median OS of patients with *EGFR* mutation-positive NSCLC treated with EGFR-TKIs: the 2013 NEJ002 study by Inoue et al. reported a median OS of 27.7 months with gefitinib (3); the 2014 WJOG3405 study by Yoshioka et al. reported a median OS of 34.8 months with gefitinib (4) and the 2014 JO22903 study by Katakami et al. reported a median OS of 36.3 months with erlotinib (5). A recent report by Kato et al. reported a median OS of 46.9 months with afatinib and 35.8 months with chemotherapy in a Japanese subset of the Lux-Lung3 study (6). Although these studies indicate that EGFR-TKIs extended the median OS of *EGFR* mutation-positive NSCLC patients, the impact of EGFR-TKIs in actual clinical use is unclear. Moreover, these recent clinical studies suggesting increased OS of NSCLC patients since the introduction of several EGFR-TKIs have only shown the prolongation of progression-free survival (PFS), but not OS. With this in mind, what treatments contributed to the longer OS reported in prior clinical trials? Considering the greater efficacy and large number of treatment options currently available owing to the contributed development of anti-cancer drugs, we can predict that there is a ‘best treatment regimen’ which accounts for the improvement in OS. However, no evidence for ‘best treatment regimen’ has been reported to date. Therefore, we undertook a retrospective study to determine what kind of treatments *EGFR* mutation-positive NSCLC patients receive in real-world clinical practice in Japan to identify which clinical factors might contribute to the longer OS duration in EGFR-TKI-treated patients.

Patients and methods

Study design and participants

This study was a multicentre, observational, retrospective study of advanced/recurrent NSCLC patients with *EGFR* mutation. Patients were enrolled at 17 centres in Japan. Once the patient was registered in this study, clinical information regarding each patient was retrospectively extracted from the medical chart and entered into a patient database.

Eligible patients were diagnosed with *EGFR* mutation-positive advanced/recurrent NSCLC by histology or cytology samples and were initiated with first-line treatment between January 2008 and December 2012. Patients treated with unauthorized drugs as of 31st December 2014 were excluded from the study.

This study was approved by the Ethics Review Board or Institutional Review Board at each participating site. The study was performed in accordance with the Declaration of Helsinki and was categorized as a study without human samples as defined by the Japanese guidelines presented by the Ministry of Health, Labour and Welfare: ‘Ethical guidelines for epidemiologic research, dated 17 June 2002’, ‘Ethical guidelines for clinical research, dated 30 July 2003’ and ‘Ethical guidelines for medical research involving human subjects, dated 22 December 2014’.

Data extraction

We extracted these following clinical data from the patients’ medical charts.

Patient characteristics:

1. Date of NSCLC diagnosis, sex, age at the time of diagnosis, histological diagnosis, clinical staging at initial diagnosis, distant metastasis organ, Eastern Cooperative Oncology Group (ECOG) performance status (PS), smoking history, smoking exposure and type of *EGFR* mutation.
2. Survival status at the end of December 2014, date of death or date of last follow-up.

NSCLC treatments:

3. Treatment medication, date of the first dose, date of the last dose, PS at the start of each treatment line, best response for each treatment line, reason for discontinuation, maintenance therapy, radiotherapy, region of radiotherapy, brain metastasis and date of progressive disease [PD; defined according to Response Evaluation Criteria in Solid Tumours (RECIST) version 1.1 (7) or clinical PD].

Outcomes

The aim of this study was to investigate the real-world treatment regimens and survival of patients with *EGFR* mutation-positive advanced/recurrent NSCLC. The primary objective was to estimate OS of the patients. The secondary objectives were to determine prognostic factors, real-world treatment regimens and efficacy of gefitinib treatment.

Statistical analysis

Survival curves were drawn according to the Kaplan-Meier method. We analysed relationships between survival time and baseline factors in univariate analyses. Baseline factors were the year of diagnosis, the year treatment commenced, sex, age, histology, *EGFR* mutation type, clinical stage, PS, smoking history and first-line treatment category. Cox regression analysis was carried out with multiple factors. The efficacy of gefitinib as first-line treatment was also determined by Cox regression analysis.

We created a ‘treatment map’ indicating each treatment period and treatment time by color-coding each treatment category. Five categories of treatment were used: gefitinib ± α, other EGFR-TKI ± α, platinum-doublet chemotherapy ± bevacizumab (BV), other

chemotherapy ± BV and other (including crizotinib, aleitinib). ‘ α ’ meant chemotherapy or BV or both. We calculated the treatment exposure rate for each treatment category using the following formula:

$$\text{Exposure rate} = \frac{\text{person-years for the treatment category}}{\text{total person-years}} \times 100.$$

We finalised this statistical analysis plan before closing the database to reduce bias that might occur because it was a retrospective study. This study is registered with ClinicalTrials.gov (NCT02475720).

Results

Patient characteristics

Overall, 1660 patients were included in the study, but 3 patients did not fulfil the eligibility criteria; therefore, 1657 patients were analysed. Of these patients, 380 were alive, 965 were dead and 312 were lost to follow-up at the end of December 2014. At the start of first-line treatment, 929 patients (56.1%) were treated with gefitinib and 728 patients (43.9%) were treated with other regimens (gefitinib + α : $n = 33$; other EGFR-TKI ± α : $n = 98$; platinum-doublet chemotherapy ± BV: $n = 509$; other chemotherapy ± BV: $n = 86$ and other treatments: $n = 2$).

The patient characteristics are shown in Table 1. The median number of treatment regimens was 2. Overall, 64.8% of patients were female and the median age was 67.0 years. In total, 95.2% patients had adenocarcinoma, 66.7% patients had stage IV disease, 43.8% had only one metastatic organ, 21.4% had two metastatic organs and 17.4% had ≥3 metastatic organs. With regard to EGFR mutation, 50.1% had deletion 19 (Del19) and 41.5% had L858R mutation. Other EGFR mutation types were G719X (2.4%), L861Q (1.4%), exon 21 point mutations except L858R and L861Q (2.9%), etc.

Gefitinib was administered as the first-line treatment in 81/194 patients who started treatment in 2008 and increased to 260/391 patients in 2012. The median age of the first-line gefitinib group was 69.0 years and was significantly older than that in the other therapy group (66.0 years; $P < 0.001$, Wilcoxon test). The percentage of patients with a PS of ≥2 was 17.8% in the first-line gefitinib group, which was significantly greater than that in the other therapy group (6.0%; $P < 0.001$, Wilcoxon test).

Real-world treatments

In the top 10 treatment sequences, 420 patients were treated with gefitinib ± α alone (Supplementary data, Fig. S1). In total, 526 patients were treated only with EGFR-TKIs (including gefitinib) without platinum-doublet chemotherapy and 223 patients experienced switching from gefitinib to another EGFR-TKI in these top 10 treatment sequences.

Only 3.3% of all patients did not receive EGFR-TKI treatment and 49.8% patients were not administered platinum-doublet chemotherapy (Supplementary data, Table S1). Overall, 39.0% of patients received ≥2 EGFR-TKI regimens.

We show the ‘treatment map’ in 1594 patients whose duration of the first-line treatment was confirmed (Supplementary data, Fig. S2). We calculated the ‘exposure rate’ for each category by determining the person-years for each treatment category as a percentage of the number of person-years for all patients combined. The exposure rate was 62.1% for EGFR-TKI (46.4% for gefitinib ± α), 8.5% for platinum doublet ± BV, 8.0% for other chemotherapy ± BV and 21.4% for the untreated period (data not shown).

Table 1. Patient characteristics

	All patients (%)	First-line gefitinib (%)
Total number of patients	1657 (100.0)	929 (100)
Number of treatment regimens, median (range)	2.0 (1–16)	2.0 (1–16)
Year treatment commenced		
2008	194 (11.7)	81 (8.7)
2009	298 (18.0)	170 (18.3)
2010	361 (21.8)	184 (19.8)
2011	413 (24.9)	234 (25.2)
2012	391 (23.6)	260 (28.0)
Sex		
Male	584 (35.2)	270 (29.1)
Female	1073 (64.8)	659 (70.9)
Age, years median (range)	67.0 (27–97)	69.0 (27–97)
Histology		
Ad	1577 (95.2)	898 (96.7)
Sq	48 (2.9)	19 (2.0)
Large	5 (0.3)	2 (0.2)
AdSq	9 (0.5)	5 (0.5)
Other	18 (1.1)	5 (0.5)
EGFR mutation type		
Del 19	814 (49.1)	467 (50.3)
L858R	667 (40.3)	383 (41.2)
Other	146 (8.8)	60 (6.5)
Del19 + L858R	7 (0.4)	5 (0.5)
Del19 + T790M	4 (0.2)	2 (0.2)
Del19 + other	5 (0.3)	3 (0.3)
L858R + T790M	5 (0.3)	3 (0.3)
L858R + other	9 (0.5)	6 (0.6)
Clinical stage		
IIIB	125 (7.5)	44 (4.7)
IV	1105 (66.7)	600 (64.6)
Recurrence	427 (25.8)	285 (30.7)
Number of metastatic organs		
0	289 (17.4)	154 (16.6)
1	725 (43.8)	394 (42.4)
2	354 (21.4)	214 (23.0)
≥3	289 (17.4)	167 (18.0)
Performance status		
0	654 (39.5)	334 (36.0)
1	681 (41.1)	369 (39.7)
2	117 (7.1)	85 (9.1)
3	81 (4.9)	70 (7.5)
4	12 (0.7)	11 (1.2)
Unknown	112 (6.8)	60 (6.5)
Smoking		
Smoker	646 (39.0)	306 (32.9)
Non-smoker	981 (59.2)	604 (65.0)
Unknown	30 (1.8)	19 (2.0)

Ad, adenocarcinoma; Sq, squamous cell carcinoma; large, large cell carcinoma; AdSq, adenosquamous cell carcinoma.

OS of all patients

The OS curve for all patients is shown in Fig. 1. The median OS was 30.8 months. In univariate analyses, we found that OS was influenced by the following variables: year of diagnosis, sex, age, histology, EGFR mutation type, clinical stage, PS and first-line treatment category. The year treatment commenced and smoking history were not associated with OS in univariate analysis (data not shown). Cox regression analysis revealed that sex, age, histology, EGFR mutation type, clinical stage and PS were independently associated with OS (Table 2).

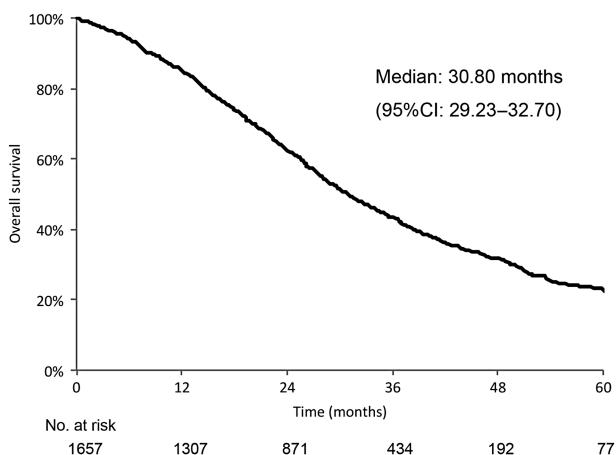


Figure 1. Overall survival of all patients. CI, confidence interval.

Table 2. Cox regression of overall survival

	N	Multivariate Step down procedure	
		HR	95% CI
Sex			
Male	584	Ref	–
Female	1073	0.819	(0.716, 0.936)
Age, years			
<60	343	Ref	–
60–69	580	0.971	(0.813, 1.161)
70–79	521	1.097	(0.915, 1.315)
≥80	209	1.568	(1.247, 1.972)
Unknown	4	0.716	(0.100, 5.146)
Histology			
Ad	1577	Ref	–
Other	80	2.000	(1.512, 2.646)
EGFR mutation type			
Del19 only	814	Ref	–
L858 only	667	1.093	(0.952, 1.254)
Del19 + L858R	7	1.636	(0.518, 5.159)
Other	169	1.381	(1.123, 1.698)
Clinical stage			
IIIB	125	Ref	–
IV	1105	1.984	(1.535, 2.565)
Recurrent	427	1.017	(0.765, 1.351)
Performance status			
0	654	Ref	–
1	681	1.514	(1.308, 1.752)
2	117	2.982	(2.326, 3.822)
3	81	4.198	(3.169, 5.561)
4	12	3.179	(1.491, 6.778)
Unknown	112	1.020	(0.765, 1.360)

HR, hazard ratio; CI, confidential interval; Ad, adenocarcinoma.

Survival of patients on first-line gefitinib therapy

The PFS curve of patients on first-line gefitinib therapy is shown in Supplementary data, Fig. S3. The median PFS was 11.4 months (95% CI: 10.40–12.30, Supplementary data, Fig. S3). In univariate analyses, we found that PFS was influenced by the following factors: year of diagnosis, sex, histology, clinical stage and PS. The year treatment commenced, age and EGFR mutation type were not associated

Table 3. Cox regression of progression-free survival after first-line gefitinib therapy

	N	Multivariate Step down procedure	
		HR	95% CI
Sex			
Male	269	Ref	–
Female	654	0.812	(0.697, 0.946)
Histology			
Ad	893	Ref	–
Other	30	1.858	(1.266, 2.725)
Clinical stage			
IIIB	44	Ref	–
IV	595	1.747	(1.227, 2.486)
Recurrent	284	0.961	(0.664, 1.391)
Performance status			
0	334	Ref	–
1	365	1.441	(1.221, 1.701)
2	85	2.125	(1.640, 2.754)
3	69	2.388	(1.789, 3.187)
4	11	1.769	(0.898, 3.484)
Unknown	59	0.928	(0.680, 1.268)

HR, hazard ratio; CI, confidential interval; Ad, adenocarcinoma.

with PFS in univariate analysis (data not shown). Cox regression analysis showed that sex, histology, clinical stage and PS were independently associated with PFS (Table 3).

The median OS of patients on first-line gefitinib therapy was 28.5 months (95% CI: 26.40–31.03, Supplementary data, Fig. S3). Sex, age, histology, clinical stage and PS were independently associated with OS in Cox regression analysis (data not shown).

Discussion

This study was retrospective, and therefore allowed us to assemble a large data-set of patients with EGFR mutation-positive NSCLC in the real world without patient selection bias. Therefore, we consider the results of this study to reflect the 'real world setting'.

The median OS was 30.8 months in this real-world cohort of EGFR mutation-positive NSCLC patients (Fig. 1), and the median OS after first-line gefitinib was 28.5 months. This is reasonable when comparing data from previous trials in which the median OS after first-line EGFR-TKI ranged from 27.7 to 46.9 months (3–6). Factors that affected OS were sex, age, histology, clinical stage and PS confirmed the findings of previous studies. Although the median OS after first-line gefitinib was shorter than the median OS for all patients combined, these included patients with poor PS, as discussed later. The median PFS after first-line gefitinib was 11.4 months and was similar to values of 9.2–10.8 months in previous studies (8,9). Factors affecting PFS in patients from previous studies also included sex, histology, clinical stage and PS. This confirms the effect of EGFR-TKI treatment for the elderly because age did not affect PFS.

The exposure rate of EGFR-TKIs was 62.1% (46.4% for gefitinib) which is similar to the value of 65.3% (46.9% for gefitinib) in a study of 335 patients treated between 2002 and 2010 (10). Exposure rate of platinum-doublet chemotherapy was showed only actual exposure because platinum-doublet chemotherapy is generally administered until four to six cycles or unacceptable toxicity and then most patients have drug holidays. On the other hand, EGFR-TKIs could be continued even after PD or worsening PS and could be administered several

times by switching or re-challenge. Therefore, we could not directly compare exposure rates between EGFR-TKIs and platinum-doublet chemotherapy. This is a limitation of the present study. Our current findings indicated that more than half of the patients were treated with gefitinib and 64.0% were treated with an EGFR-TKI as first-line therapy. Platinum-doublet chemotherapy was administered to 30.7% of patients as first-line therapy (data not shown). There may be the background that first-line EGFR-TKI treatment was recommended in 2010 by the Clinical Guidelines for Lung Cancer when we see that the proportion of patients given an EGFR-TKI as a first-line treatment increased between 2008 and 2012 (Table 1). In a previous meta-analysis, first-line EGFR-TKI showed a significantly greater PFS compared with first-line platinum-doublet chemotherapy (11). In addition, according to the patient characteristics, an EGFR-TKI was more commonly used as a first-line treatment in patients with poor PS. In the PS 2, 3 or 4 patients, 72.6, 86.4 and 91.7% received gefitinib as their first-line treatment. Therefore, this suggests that EGFR-TKI is an irreplaceable therapeutic tool in these patients.

However, of note, it was common to switch between EGFR-TKIs (Supplementary data, Fig. S1). Furthermore, 39.0% of patients had multiple courses of EGFR-TKI therapy (Supplementary data, Table S1). This suggests that switching between EGFR-TKIs and re-challenge with EGFR-TKIs are common practice. Switching between EGFR-TKIs (12) and re-challenge with EGFR-TKIs (13–15) were previously reported to be effective treatment options. We will initiate a study to analyse the treatment sequence to investigate whether switching of and re-challenge with EGFR-TKIs influence the survival of patients.

The administration of EGFR-TKIs beyond disease progression is generally considered another treatment strategy that might affect prognosis. Of note, some patients may have been administered an EGFR-TKI beyond disease progression in this study. It was reported that the continuation of EGFR-TKI beyond RECIST PD was also useful for patients who initially responded to gefitinib (16–18). When disease progresses during EGFR-TKI treatment, it is thought that the tumors developed resistance to EGFR-TKIs. Numerous studies on the mechanisms underlying resistance to EGFR-TKIs have been reported, including secondary mutations in EGFR T790M (19,20), MET amplification (21,22), PTEN down regulation (23,24), CRKL amplification (25), increased expression of HGF (26), FAS-NF- κ B pathway activation (27), epithelial–mesenchymal transition (28) and phenotypic conversion to small cell lung cancer (28,29). We hope that in the near future, new agents that uniquely target each mechanism will be approved to prolong OS.

In conclusion, this study provided real-world evidence for the survival and treatment of patients with EGFR mutation-positive advanced/recurrent NSCLC. EGFR-TKIs comprised a major part of the treatment strategy, especially for patients with poor PS in the real world. Switching of and re-challenging with EGFR-TKIs were also performed in Japan. Switching and re-challenging might further extend the survival of patients with EGFR mutation-positive advanced/recurrent NSCLC. We are planning additional analysis regarding the treatment sequence in these patients to optimize EGFR-TKI treatment.

Acknowledgements

The authors thank all the participants of this study and H. Oda at Medical TOKEI Corporation for performing data analyses.

Funding

This study was sponsored by AstraZeneca. Funding to pay the Open Access publication charges for this article was provided by AstraZeneca.

Supplementary data

Supplementary data are available at <http://www.jco.oxfordjournals.org>.

Conflict of interest statement

Akira Inoue received consulting fees from AstraZeneca, Chugai Pharmaceutical, and Boehringer Ingelheim. Satoshi Morita and Fumio Imamura received consulting fees from AstraZeneca. Takashi Seto received consulting fees from Astellas Pharma, AstraZeneca, Bayer Yakuhin, Chugai Pharmaceutical Co., Daiichi Sankyo Co., Eisai Co., Eli Lilly Japan, Fuji Pharma Co., Hisamitsu Pharmaceutical Co., Kyowa Hakko Kirin Co., MSD, Nippon Boehringer Ingelheim Co., Novartis Pharma, Ono Pharmaceutical Co., Pfizer Japan, Sanofi, Sumitomo Dainippon Pharma Co., Taiho Pharmaceutical Co., Take-tada Pharmaceutical Co., and Yakult Honsha Co. Isamu Okamoto received honoraria from AstraZeneca K.K. Kazuhiko Nakagawa received honoraria from Astellas Pharma Inc., Chugai Pharmaceutical Co., Ltd., AstraZeneca K.K., Pfizer Japan Inc., and Nippon Boehringer Ingelheim Co., Ltd. Satoshi Muto is an employee of AstraZeneca K.K. Masahiro Fukuoka declares advisory affiliations with Ono Pharmaceutical Co., Ltd., Sumitomo Dainippon Pharma Co., and Astellas, and received consulting fees from Chugai Pharmaceutical Co., Daiichi Sankyo, Eisai Co., Ltd., and Kyowa Hakko Kirin Co., Ltd. Kazushi Yoshida and Nobuyuki Yamamoto have no conflicts of interest to declare.

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