



Published in final edited form as:

Anticancer Res. 2016 March ; 36(3): 845–852.

Heterochromatin Protein 1 Binding Protein 3 Expression as a Candidate Marker of Intrinsic 5-Fluorouracil Resistance

JAMIE N. HADAC¹, DEVON D. MILLER², IAN C. GRIMES², LINDA CLIPSON¹, MICHAEL A. NEWTON³, WILLIAM R. SCHELMAN⁴, and RICHARD B. HALBERG^{2,5}

¹Department of Oncology, K4/532 Clinical Science Center, Madison, WI, U.S.A

²Department of Medicine, Division of Gastroenterology and Hepatology, K4/532 Clinical Science Center, Madison, WI, U.S.A

³Departments of Statistics and of Biostatistics and Medical Informatics, 1245a, K6/434 Medical Sciences Center, Madison, WI, U.S.A

⁴Department of Medicine, Division of Hematology and Oncology, K4/532 Clinical Science Center, Madison, WI, U.S.A

⁵Carbone Cancer Center, University of Wisconsin–Madison, Madison, WI, U.S.A

Abstract

Background—Despite receiving post-operative 5-fluorouracil (5-FU)-based chemotherapy, approximately 50% of patients with stage IIIC colon cancer experience recurrence. Currently, no molecular signature can predict response to 5-FU.

Materials and Methods—Mouse models of colon cancer have been developed and characterized. Individual tumors in these mice can be longitudinally monitored and assessed to identify differences between those that are responsive and those that are resistant to therapy. Gene expression was analyzed in serial biopsies that were collected before and after treatment with 5-FU. Colon tumors had heterogeneous responses to treatment with 5-FU. Microarray analysis of pretreatment biopsies revealed that Hp1bp3, a gene encoding heterochromatin protein 1 binding protein 3, was differentially expressed between sensitive and resistant tumors.

Conclusion—Using mouse models of human colorectal cancer, Hp1bp3 was identified as a candidate marker of intrinsic 5-FU resistance and may represent a potential biomarker for patient stratification or a target of clinical importance.

Keywords

Colorectal cancer; mouse models; heterochromatin protein 1 binding protein 3; intrinsic resistance; chemotherapy; 5-fluorouracil

Correspondence to: Richard B. Halberg, 7533 Wisconsin Institutes for Medical Research, 1111 Highland Avenue, Madison, WI, 53705, U.S.A. Tel: +1 6082638433, Fax: +1 6082655677, ; Email: rbhalberg@medicine.wisc.edu

Competing Interests

The Authors declare that they have no competing interests.

Colon cancer is the third most common cancer in the world, and many patients are diagnosed in later stages when cure is less likely. Following surgery, patients with stage IIIC disease have a 5-year overall survival rate of approximately 53% despite adjuvant chemotherapy, because tumors often recur (1). Treatment with 5-FU, an anti-metabolite drug, is commonly used in adjuvant treatment of colon cancer. Patient-specific alterations in the genome and gene expression contribute to heterogeneity in response to chemotherapeutic treatments. Identification of predictors of response in patients prior to treatment would allow clinicians to make educated treatment decisions, so patients whose cancer is predicted to be unresponsive to 5-FU-based chemotherapy need not be exposed to unnecessary side-effects and high cost.

Drug resistance remains a major obstacle in the treatment of many cancer types. There exist two classifications of resistance to chemotherapy. Intrinsic, also known as *de novo*, resistance exists in a tumor prior to any treatment or chemotherapy. Intrinsic resistance is an initial marker that can guide the first line of treatment. Acquired resistance occurs when cells gain selective advantage during treatment. Acquired resistance is important for treating and understanding recurrent disease. Genetic, epigenetic, and microenvironmental alterations have been implicated in different chemotherapy responses. Resistance can be caused by a variety of mechanisms including alterations of targets, errors in death or survival pathways, DNA damage repair mechanisms, and increased drug efflux (2, 3).

Various studies have attempted to identify predictive markers of response to chemotherapy in colon cancer. For example, metastatic colon cancer can be treated with cetuximab, an anti-epidermal growth factor receptor targeted therapy. *KRAS*-mutant tumors are associated with cetuximab resistance and have worse prognosis than those with wild-type *KRAS* (4). However, there are no correlations between mutations and tumor responsiveness to 5-FU currently identified. *In vitro* and retrospective studies have attempted to identify potential predictors of 5-FU response using differences in gene expression. While a number of genes have been associated with resistance to therapy, none have been validated clinically. High expression of a Fanconi anemia protein, *FANCI*, correlates to poor response in MLH1-positive tumors and cells treated under multiple 5-FU-based regimens (5). *ABCB5*, an ATP binding cassette, is overexpressed in therapy-resistant rectal adenocarcinomas following 5-FU-based chemoradiation treatment (6). Markers of sensitivity have also been identified. Low-level amplification of *c-Myc* occurs in only one-third of patients and correlates with an increase in disease-free survival in patients treated with 5-FU and levamisole in the adjuvant setting (7). WNT pathway misregulation can in turn cause *c-Myc* overexpression; *c-Myc* expression can then lead to apoptosis through p21 repression, resulting in 5-FU sensitivity (7). Predictive markers are beginning to be identified especially for particular patient populations, but more work still needs to be done (8, 9).

Clinically useful markers of responsiveness and resistance to treatment have not been established due to a variety of factors. First, removal of primary tumors prior to chemotherapy hinders the identification of markers that are intrinsic to the tumor. Second, surrogate end-point markers, such as overall survival following surgical removal and adjuvant chemotherapy, may not yield sufficiently robust stratification categories for gene signature validation. Third, patient populations within and across many studies have a

variety of disease sub-types and treatments, and this variability can prevent further meta-analysis across previously published work. Lastly, changes in gene expression identified in previously treated tumors or cells may identify resistance that is acquired as opposed to intrinsic, which may limit clinical usefulness to patients with metastatic disease when considering adjuvant chemotherapy.

Mouse models can help eliminate many of the challenges associated with the analysis of human cancers. Colon tumor development, growth, and progression in (SWRxB6) F1.*Apc*^{Min/+} mice treated with dextran sodium sulfate (DSS), herein referred to as DSS-treated F1.Min, are well characterized and can facilitate the identification of important markers in colon tumorigenesis (10, 11). Tumors readily occur in the distal colon and, after an early period of growth, often become static in size over time. The long lifespan of the F1.Min mice is advantageous for a detailed understanding of tumor growth characteristics prior to and following treatment with 5-FU. Definitive end-points for the categorization of sensitivity and resistance that are critical for finding differential markers can be established from *in vivo* longitudinal imaging. Mouse models can minimize variability since all mice are genetically identical, tumors are initiated in the same manner, and lifestyle differences are minimal.

We used DSS-treated F1.Min to identify molecular markers that predict whether or not a tumor will progress from a benign to malignant state. A 68-gene molecular signature differentiated tumors that remained static from those that grew and progressed (11). Of the 68 genes, five have already been linked to colon cancer and two, *Muc2* and *Tff2*, have been shown to categorize human colorectal cancers into sub-types (12). The levels of *Muc2* and *Tff2* were higher in tumors that remained static than those that grew and progressed in mice. Similarly, patients with Stage III colorectal cancers with high levels of *Muc2* and *Tff2* can be treated with surgery and watchful waiting, as the cancers tend to be less aggressive. Thus, our mouse model is likely to provide important insights into tumorigenesis and treatment response in humans. In this study, we used this mouse model to identify markers that predict response or resistance to 5-FU-based chemotherapy.

Materials and Methods

Mouse husbandry and genotyping

All animals were studied in the Wisconsin Institute for Medical Research (Madison, WI, USA) vivarium under protocols approved by the Institutional Animal Care and Use Committee at the University of Wisconsin (Madison, WI, USA) following American Association for the Assessment and Accreditation of Laboratory Animal Care guidelines. All mice were housed in climate-controlled rooms with 12-hlight/dark cycles and given food and water *ad libitum* except during treatment, as described below. Mice were generated by crossing SWR females (SWR/J; The Jackson Laboratory, Bar Harbor, ME, USA; Stock Number 00689) with C57BL/6 *Apc*^{Min/+} males (C57BL/6J-*Apc*^{Min/J}; The Jackson Laboratory; Stock Number 002020). F1.Min hybrids were identified by genotyping at *Apc* using a previously described PCR assay (13).

Dextran sodium treatment

At or within one week of weaning (24–35 days of age), F1.Min mice were treated with 2 cycles of dextran sodium sulfate (average molecular weight: 500,000; Thermo-Fisher Scientific, Pittsburgh, PA, USA) to increase distal colonic tumor multiplicity as described previously (11). None of the mice, which were monitored throughout treatment, experienced substantial weight loss, loose stool, or gross or occult bleeding which would have precluded further treatment.

Colonoscopy surveillance protocol

Surveillance for colon tumors began four weeks following the final DSS treatment. The distal 4 cm of the colon were visualized once a month using the Karl Storz Coloview system (Tuttlingen, Germany), as previously described (14, 15). The mice were anesthetized using inhaled isoflurane. Phosphate buffered saline enemas were given until the distal colon was clear of fecal material. The colon was insufflated by air delivery through a compressed air pump attached to the operating sheath of the colonoscope. The colonic mucosa was inspected during withdrawal, and digital video of the mucosa was recorded. Still images were collected of each tumor as encountered. To standardize images for comparison of discrete tumors over time, the colon was maximally insufflated and the colonoscope was positioned so that the entire tumor could be seen with the base of the tumor just at the edge of the screen. Individual tumors were monitored monthly until they became static in size. *In vivo* tumor sizes were calculated as percent of lumen occluded in 2D images using ImageJ, as previously described (16); such sizes correlated to *ex vivo* weight, $r^2=75.6$. Tumors that lost more than 26% of their size were considered to be shrinking, whereas those that exhibited a size increase over 13% were considered growing. Tumors that changed between 13% and –13% were classified as static.

5-FU treatment

Twenty-three mice with tumors that exhibited stasis for at least four weeks were selected for treatment with 5-FU. A total of 24 tumors were monitored; 1 mouse had 2 readily distinguishable tumors. These mice were given AIN93G defined diet (Harlan, Madison, WI, USA) for 1 week prior to and throughout 5-FU treatment, because standard rodent chow has components that could potentially alter response. Three days prior to 5-FU treatment, tumor biopsies were obtained using 3 French biopsy forceps that fit in the working channel of the operating sheath housing the colonoscope. Two biopsies were taken per tumor; one for storage in RNAlater (Qiagen, Valencia, CA, USA) and the other for fixation in 10% formalin. Daily bolus doses of 5-FU at 40 mg/kg were given intraperitoneally for one five-day cycle. Colonoscopy was performed at the beginning and end of the treatment cycle. Mice were killed seven days following the start of 5-FU treatment or earlier if moribund.

Tissue sample preparation

After one day, formalin-fixed tissue was placed in 70% ethanol until paraffin embedding. Histological analysis was performed on hematoxylin and eosin-stained slides. Tissue in RNAlater was stored at –80°C until sample preparation using the SimplyRNA and Maxwell 3000 system (Promega, Madison, WI, USA). Samples were disrupted in buffer with a

Kontes Pellet Grinder (Kimple & Chase, Vineland, NJ, USA). A Nanodrop DU-800 (Thermo Scientific, Waltham, MA, USA) was used to quantify yield and assess purity.

Gene expression analysis

Affymetrix Mouse Gene 2.0 ST GeneChips (Affymetrix, Santa Clara, CA, USA) were used for microarray analysis. Hybridization and scanning of the chips were performed by the Gene Expression Center at the University of Wisconsin Biotechnology Center according to a scaled-down, standard Affymetrix protocol. Chips were normalized by robust multiarray averaging using the XPS system²⁰. The two-group EBarrays method with the LNNMV model was used to identify differentially expressed genes (17).

Reverse transcription and qPCR

RNA quantification was performed on a Nano-Drop DU-800 (Thermo-Fisher). cDNA was generated by reverse transcription of 50 ng total RNA, which had been stored at -80°C , according to the manufacturer's recommendation (ImProm II Reverse Transcription System, Promega). cDNA was stored at -20°C . Untreated tumor cDNA was similarly generated from samples of 8 colon tumors. Control cDNA was generated from Mouse Total Colon RNA (Clontech Laboratories, Mountain View, CA, USA).

Commercially available primer and hydrolysis probe sequences were chosen using the Taqman Assay Search tool (Invitrogen, Thermo-Fisher Scientific). The hydrolysis probes: Hp1bp3 (m00802807_m1), Xpo7 (Mm01315615_m1), Myc (Mm00457804_m1), and Tbp (Mm00446973_m1) contained fluorescein amidite as a reporter molecule. Reactions were done in triplicate for each sample. Assays were conducted in a volume of 20 μL including Taqman Gene Expression Master Mix (Applied Biosystems, Thermo-Fisher Scientific), primer/hydrolysis probe sets, and 1.5 ng of RNA. A CFX96 TouchTM Real-Time PCR Detection System (Bio-Rad) was used with the cycling conditions: 2:00 at 50°C , 10:00 at 95°C followed by 45 cycles of 0:15 at 95°C and 1:00 at 60°C . All values were calculated using the CFX Manager software (Bio-Rad, Hercules, CA, USA).

Resulting data were analyzed using the threshold cycle (CT) measure for relative quantification of gene expression. Values were normalized to an endogenous reference (Tbp) and relative expression was compared to a normalized CT value obtained from Mouse Total Colon control cDNA and subsequently expressed as $2^{-\text{CT}}$. If multiple plates were used, analysis was performed using the gene study program in CFX Manager software using Mouse Total Colon control cDNA to normalize interplate variability. Wilcoxon rank sum and Fisher's exact tests were performed on qPCR data using Mstat software (Madison, WI, USA).

Results

Colon tumors in DSS-treated F1.Min mice have heterogeneous responses to 5-FU chemotherapy

DSS-treated F1.Min mice received an intraperitoneal injection of 5-FU once daily for five consecutive days. Characteristics of these mice are shown in Table I. Treatment began when

tumors had maintained a stable size for at least four weeks, knowing that the tumors were likely to remain stable over time, based on our previous study (11). At first injection, mice were on average 254.3 ± 42.3 days of age. Tumor response was categorized based on changes in tumor size following treatment, which was estimated based on the percentage of lumen occluded by the tumor, as previously described (16). Owing to limitations in our ability to measure changes in size *in vivo*, tumors were stratified into 2 classes (Figure 1). Several tumors were sensitive (9/24; 38%) with size changes that ranged from -30.1 to -66% (median= -33.2). An equal number (9/24; 38%) exhibited resistance with size changes ranging from -8.8% to 11.8% (median= -4.1%). The remaining tumors (6/24; 25%) could not be categorized. Upon analysis of H&E-stained histological sections by a board-certified veterinary pathologist, all tumors were determined to be adenomas.

Overexpression of Hp1bp3 in pre-treatment biopsies in tumors resistant to 5-FU

Microarray analysis was performed on biopsies taken prior to treatment. A total of 13 probes were initially identified as differentially expressed among six resistant tumors and four sensitive tumors. Two of these corresponded to known genes, Heterochromatin protein 1 binding protein 3 (*Hp1Bp3*) and Exportin 7 (*Xpo7*). qPCR analysis of these tumors and the additional resistant and sensitive tumors validated overexpression of *Hp1bp3* (Figure 2; $p < 0.001$, Wilcoxon rank sum, two-sided). Differential expression of *Xpo7* between sensitive and resistant tumors was not significant upon further analysis. False positives such as this were expected because the limited number of samples resulted in a false discovery rate of 50%.

Expression of Hp1bp3 in colon tumors from DSS-treated mice

To determine the range and pattern of inherent expression of *Hp1bp3* in colon tumors from DSS-treated F1.Min, an additional 8 colon tumors from DSS-treated F1.Min controls were combined with the 18 tumors analyzed prior to treatment. Colon tumors from DSS-treated F1.Min ($n=26$) have variable *Hp1bp3* expression; exhibiting a range of 0.56–2.30 (median=1.16) fold change over control normal epithelium (Figure 3). Using values of above and below the median to define two categories of expression (higher and lower), 9/9 sensitive tumors were considered low and 8/9 resistant tumors were considered high. Only 1 resistant tumor was discordant under this dichotomy, having expression levels of 1.04-fold change over normal. The dichotomy identified by an intrinsic difference in *Hp1bp3* expression levels was able to predict sensitive and resistant tumors (Fisher's exact test, $p < 0.001$). Thus, the inherent heterogeneity of *Hp1bp3* expression among colon tumors from DSS-treated F1.Min could be useful in the pretreatment prediction of 5-FU response.

Increase of Hp1bp3 expression following 5-FU treatment in responsive tumors

No tumors exhibited complete resolution following 5-FU treatment. We examined the expression of *Hp1bp3* in the tumor tissue that remained following treatment. Remaining tumor tissue exhibited overexpression of *Hp1bp3* (1.22 ± 0.25 -fold change over normal, $n=12$). There was no longer a significant difference between previously sensitive and resistant tumors (Wilcoxon rank sum test, $p=0.44$), indicative of the protective effect of high *Hp1bp3* expression in certain cell populations within tumors that were sensitive to 5-FU.

Surviving tumor tissue remaining in sensitive tumors was resistant to 5-FU treatment and had similar expression levels of *Hp1bp3* to intrinsically resistant tumors.

Tumor sensitivity is independent of pre-treatment c-Myc expression

Previous studies have shown that colon tumors with increased *c-Myc* expression were sensitive to 5-FU and those with low levels of *c-Myc* amplification were associated with a significant increase in disease-free survival (7). Pre-treatment biopsies were analyzed to test whether *c-Myc* levels could identify sensitive tumors in DSS-treated F1.Min mice. On average, these tumors had low *c-Myc* expression compared to normal colon epithelium (0.86 ± 0.27 -fold change over the control, $n=13$). There was no significant difference (Wilcoxon rank sum test, $p=0.47$) in *c-Myc* levels between sensitive and resistant tumors (0.80 ± 0.23 versus 0.92 ± 0.32 -fold change; Figure 4). Fundamental differences in the underlying biology might not allow for the comparison of human clinical end-points (e.g. disease-free survival), *in vitro* cell death analysis, and tumor response in DSS-treated F1.Min.

Discussion

The parameters set to define tumor sensitivity or resistance to treatment can be variable among *in vivo* systems. By understanding the overall growth characteristics of tumors in the DSS-treated F1.Min mouse model, we were able to definitively categorize tumor fates and reduce variability caused by incorrect or vague definitions of response. For example, a previously growing tumor that exhibited stasis in size following treatment might be categorized as sensitive. Conversely, a static tumor remaining static throughout treatment would be considered resistant. Stasis would describe both tumors, despite their difference in response. Many studies using *in vitro* approaches may not recapitulate the complex anatomical and physiological processes involved in response. Our defined end-points, the use of the DSS-treated F1.Min mouse model, and the discovery approach using the pre-treatment transcriptome resulted in the identification of a novel marker of intrinsic 5-FU resistance in colon tumors, *Hp1bp3*.

Early molecular changes in a tumor might dictate response to 5-FU-based chemotherapy. Other investigators recently proposed the Big Bang model of tumorigenesis, which postulates that critical molecular changes arise as colon tumors are first forming and consequently some tumors might be “born to be bad” (18). Consistent with this notion, we demonstrated that changes in gene expression that occur very early during tumorigenesis can predict whether or not a tumor could progress from a benign to malignant state (11). Moreover, we demonstrated that some early colon adenomas in mice are intrinsically resistant to 5-FU (19). Therefore, we reasoned biomarkers for treatment response could be identified by comparing gene expression between colon adenomas that are resistant to 5-FU and those that are sensitive.

Hp1bp3 was expressed at a higher level in resistant tumors than sensitive tumors. This gene encodes a protein involved in chromatin structure and organization that binds directly to HP1 and linker DNA. Tight binding of HP1BP3 protein to linker DNA at the entry/exit site of nucleosomal DNA involves a long N-terminal extension, globular core, and basic C-

terminal tail (20). This association maintains chromatin integrity during progression from G₁ to S phase in the cell cycle, thereby regulating the duration of G₁ and it possibly mediates the condensation and organization of chromosomes during the metaphase (20). Chromatin reorganization does allow repair proteins to bind DNA damaged by 5-FU in yeast (21). HP1 isoforms α , β , and γ are recruited to sites of damage following exposure to radiation (22). HP1BP3 might facilitate the reorganization of chromatin in response to 5-FU. Following treatment, all tumors had increased expression of *Hp1bp3*, and perhaps this was due to a response to DNA damage. Further functional analysis of DNA damage following exposure of cells to 5-FU may help elucidate this pathway.

Hp1BP3 is required for vitality and growth (23). First, Garfinkel and colleagues demonstrated that *Hp1BP3* has two splice variants. One of the two variants is expressed in a wide variety of tissues including the brain, intestine, and lung. Second, they demonstrated that the expression of 383 genes was altered in HeLa cancer cells lacking HP1BP3. The effect on transcription was moderate with the level changing by 1.4- to 2-fold. In addition, the affected cells appear to be cell-type specific. Finally, they demonstrated HP1BP3-deficient neonates either die within 24 h of birth or fail to thrive being significantly smaller even as adult mice than wild-type littermates. This observation was surprising given the normal phenotype of other H1 knockout mice (24, 25). Taken together, HP1BP3 clearly has novel and non-redundant physiological roles.

Hp1bp3 has been linked to tumorigenesis (26). Dutta and colleagues identified proteins associated with chromatin in A431 cancer cells under hypoxic conditions utilizing mass spectroscopy. One protein cluster included HP1BP3. Functional studies revealed that this protein mediates chromatin condensation during hypoxia. This effect increases tumor cell viability. A431 cancer cells produced tumors in all mice that received the cells *via* subcutaneous injection. In contrast, A431 lacking HP1BP3 produced tumors in only half the mice receiving an injection. The tumors that formed in these mice were relatively small. The effect of HP1BP3 on chromatin also appears to confer chemo-resistance. The IC₅₀ of doxorubicin decreased from 670 ng/ml to 503 ng/ml when comparing A431 cells to those lacking HP1BP3. Thus, HP1BP3 promotes tumorigenesis in certain biological contexts.

It is unknown whether *Hp1bp3* has a direct role in pathogenesis of colon cancer. Expression in tumors is variable; therefore, HP1BP3 is not necessary for colon tumors to persist. However, differences in expression of *Hp1bp3* might be indicative of global patterns and changes in the genome and transcriptome. Methylation of *Hp1bp3* has been identified from patient blood samples as a biomarker for predicting postpartum depression risk. The differential expression is believed to be a marker for global estrogen-related epigenetic changes in the hippocampus (27). The differential expression in mouse colon tumors may also be indicative of broad changes within the genome and nucleus including the state of genomic organization, transcription, epigenetic regulation, and replication. This regulation may not influence c-Myc expression, but may affect other genes of interest. However, since no tumors in this study exhibited complete resolution, and overexpression of *Hp1bp3* was observed in tissue that survived 5-FU treatment, these changes to the nucleus and genome may be controlled by more local mechanisms. Further understanding of the mechanisms of

HP1BP3 and its involvement with altering the transcriptome, as well as a better understanding of the intratumoral heterogeneity, will be necessary.

Characterization of *Hp1bp3* in human colon cancers in The Human Protein Atlas revealed that 5/12 (42%) tumors had medium staining, 5/12 (42%) had low staining, and 2/12 (17%) had no staining (28). Similar *Hp1bp3* expression variability was also observed in F1.Min colon tumors prior to treatment, 42% at or below normal levels and 58% above. The human studies were not coupled to outcomes; therefore, further studies in human tumors and cell lines are needed to confirm whether different levels of *Hp1bp3* expression can be used in the clinic to stratify patients that may be resistant to 5-FU-based chemotherapy.

Mouse models coupled with state-of-the-art imaging are likely to be powerful tools for the identification of biomarkers and predictors of tumor response to chemotherapy. We identified one potentially useful marker in this initial study. A much larger study with even better mouse models is likely to reveal more potential predictors of 5-FU response and further characterization may identify pathways involved in *Hp1bp3* function. We recently published a report demonstrating that colon cancers with mutations in multiple drivers in mice are highly aggressive and often metastasize to the liver (29).

In conclusion, we identified *Hp1bp3* overexpression as a potential novel marker for intrinsic 5-FU resistance. Longitudinal monitoring of colon tumors in DSS-treated F1.Min mice provided a better understanding of tumor behavior prior to and following treatment. Using size parameters of resistance and sensitivity derived from the natural tendency of these tumors to become static in size, we discovered the differential expression of *Hp1bp3* using microarray and qPCR technologies. *Hp1bp3* has variable expression in colon tumors from DSS-treated F1.Min mice. Tumors with high expression prior to 5-FU treatment remained unchanged, whereas those with lower expression shrank. All tumor tissue that remained following treatment had high *Hp1bp3* expression. Tumor response was independent of *c-Myc* expression. These data indicate that *Hp1bp3* is a candidate marker for 5-FU resistance and further validation in humans might support its clinical use.

Acknowledgments

The Authors thank Ella Ward, Jane Weeks, and Dr. Ruth Sullivan in Experimental Pathology at the University of Wisconsin Carbone Cancer Center for technical assistance including the histological assessment of colon tumors as well as members of the Laboratory Animal Research staff for their conscientious care of animals involved in this and other studies. This study was supported by funding from pilot funds IRG-58-011-48 from the American Cancer Society (W.R. Schelman); a career development award 133-PRJ66HY from the American Association of Cancer Researchers (R. B. Halberg); grants from the National Cancer Institute including P30 CA014520 (UW Carbone Cancer Center Core Grant), R21 CA170876 (R. B. Halberg) T32 CA009135 (J. N. Hadac), T32 CA009614 (I. C. Grimes) and start-up funds from the UW Division of Gastroenterology and Hepatology, the UW Department of Medicine, and the UW School of Medicine and Public Health (R.B. Halberg). This paper is dedicated to Kate and Nate Mahr for their support.

References

1. Cheung WY, Renfro LA, Kerr D, de Gramont A, Saltz LB, Grothey A, Alberts SR, Andre T, Guthrie KA, Labianca R, Francini G, Seitz JF, O'Callaghan C, Twelves C, Van Cutsem E, Haller DG, Yothers G, Sargent DJ. Determinants of Early Mortality Among 37,568 Patients With Colon Cancer Who Participated in 25 Clinical Trials From the Adjuvant Colon Cancer Endpoints Database. *J Clin Oncol*. 2016; [ahead of print]. doi: 10.1200/JCO.2015.65.1158

2. Holohan C, Van Schaeybroeck S, Longley DB, Johnston PG. Cancer drug resistance: an evolving paradigm. *Nat Rev Cancer*. 2013; 13:714–726. [PubMed: 24060863]
3. Klopfleisch R, Kohn B, Gruber AD. Mechanisms of tumour resistance against chemotherapeutic agents in veterinary oncology. *Vet J*. 2016; 207:63–72. [PubMed: 26526523]
4. Lievre A, Bachet JB, Le Corre D, Boige V, Landi B, Emile JF, Cote JF, Tomasic G, Penna C, Ducreux M, Rougier P, Penault-Llorca F, Laurent-Puig P. KRAS mutation status is predictive of response to cetuximab therapy in colorectal cancer. *Cancer Res*. 2006; 66:3992–3995. [PubMed: 16618717]
5. Nakanishi R, Kitao H, Fujinaka Y, Yamashita N, Iimori M, Tokunaga E, Yamashita N, Morita M, Kakeji Y, Maehara Y. FANCI expression predicts the response to 5-fluorouracil-based chemotherapy in MLH1-proficient colorectal cancer. *Ann Surg Oncol*. 2012; 19:3627–3635. [PubMed: 22526901]
6. Wilson BJ, Schatton T, Zhan Q, Gasser M, Ma J, Saab KR, Schanche R, Waaga-Gasser AM, Gold JS, Huang Q, Murphy GF, Frank MH, Frank NY. ABCB5 identifies a therapy-refractory tumor cell population in colorectal cancer patients. *Cancer Res*. 2011; 71:5307–5316. [PubMed: 21652540]
7. Arango D, Corner GA, Wadler S, Catalano PJ, Augenlicht LH. c-myc/p53 interaction determines sensitivity of human colon carcinoma cells to 5-fluorouracil *in vitro* and *in vivo*. *Cancer Res*. 2001; 61:4910–4915. [PubMed: 11406570]
8. Flanagan L, Meyer M, Fay J, Curry S, Bacon O, Duessmann H, John K, Boland KC, McNamara DA, Kay EW, Bantel H, Schulze-Bergkamen H, Prehn JH. Low levels of Caspase-3 predict favourable response to 5FU-based chemotherapy in advanced colorectal cancer: Caspase-3 inhibition as a therapeutic approach. *Cell Death Dis*. 2016; 7:e2087. [PubMed: 26844701]
9. Le Roy B, Tixier L, Pereira B, Sauvanet P, Buc E, Petorin C, Dechelotte P, Pezet D, Balayssac D. Assessment of the Relation between the Expression of Oxaliplatin Transporters in Colorectal Cancer and Response to FOLFOX-4 Adjuvant Chemotherapy: A Case Control Study. *PLoS One*. 2016; 11:e0148739. [PubMed: 26859833]
10. Halberg RB, Waggoner J, Rasmussen K, White A, Clipson L, Prunuske AJ, Bacher JW, Sullivan R, Washington MK, Pitot HC, Petrini JH, Albertson DG, Dove WF. Long-lived Min mice develop advanced intestinal cancers through a genetically conservative pathway. *Cancer Res*. 2009; 69:5768–5775. [PubMed: 19584276]
11. Paul Olson TJ, Hadac JN, Sievers CK, Leystra AA, Deming DA, Zahm CD, Albrecht DM, Nomura A, Nettekoven LA, Plesh LK, Clipson L, Sullivan R, Newton MA, Schelman WR, Halberg RB. Dynamic tumor growth patterns in a novel murine model of colorectal cancer. *Cancer Prev Res (Phila)*. 2014; 7:105–113. [PubMed: 24196829]
12. Sadanandam A, Lyssiotis CA, Homicsko K, Collisson EA, Gibb WJ, Wullschleger S, Ostos LC, Lannon WA, Grotzinger C, Del Rio M, Lhermitte B, Olshen AB, Wiedenmann B, Cantley LC, Gray JW, Hanahan D. A colorectal cancer classification system that associates cellular phenotype and responses to therapy. *Nat Med*. 2013; 19:619–625. [PubMed: 23584089]
13. Su LK, Kinzler KW, Vogelstein B, Preisinger AC, Moser AR, Luongo C, Gould KA, Dove WF. Multiple intestinal neoplasia caused by a mutation in the murine homolog of the APC gene. *Science*. 1992; 256:668–670. [PubMed: 1350108]
14. Becker C, Fantini MC, Wirtz S, Nikolaev A, Kiesslich R, Lehr HA, Galle PR, Neurath MF. *In vivo* imaging of colitis and colon cancer development in mice using high resolution chromoendoscopy. *Gut*. 2005; 54:950–954. [PubMed: 15951540]
15. Durkee BY, Mudd SR, Roen CN, Clipson L, Newton MA, Weichert JP, Pickhardt PJ, Halberg RB. Reproducibility of tumor volume measurement at microCT colonography in living mice. *Acad Radiol*. 2008; 15:334–341. [PubMed: 18280931]
16. Hung KE, Maricevich MA, Richard LG, Chen WY, Richardson MP, Kunin A, Bronson RT, Mahmood U, Kucherlapati R. Development of a mouse model for sporadic and metastatic colon tumors and its use in assessing drug treatment. *Proc Natl Acad Sci USA*. 2010; 107:1565–1570. [PubMed: 20080688]
17. Kendzioriski CM, Newton MA, Lan H, Gould MN. On parametric empirical Bayes methods for comparing multiple groups using replicated gene expression profiles. *Stat Med*. 2003; 22:3899–3914. [PubMed: 14673946]

18. Sottoriva A, Kang H, Ma Z, Graham TA, Salomon MP, Zhao J, Marjoram P, Siegmund K, Press MF, Shibata D, Curtis C. A Big Bang model of human colorectal tumor growth. *Nat Genet.* 2015; 47:209–216. [PubMed: 25665006]
19. Durkee BY, Shinki K, Newton MA, Iverson CE, Weichert JP, Dove WF, Halberg RB. Longitudinal assessment of colonic tumor fate in mice by computed tomography and optical colonoscopy. *Acad Radiol.* 2009; 16:1475–1482. [PubMed: 19896065]
20. Hayashihara K, Uchiyama S, Shimamoto S, Kobayashi S, Tomschik M, Wakamatsu H, No D, Sugahara H, Hori N, Noda M, Ohkubo T, Zlatanova J, Matsunaga S, Fukui K. The middle region of an HP1-binding protein, HP1-BP74, associates with linker DNA at the entry/exit site of nucleosomal DNA. *J Biol Chem.* 2010; 285:6498–6507. [PubMed: 20042602]
21. Matuo R, Sousa FG, Bonatto D, Mielniczki-Pereira AA, Saffi J, Soares DG, Escargueil AE, Larsen AK, Henriques JA. ATP-dependent chromatin remodeling and histone acetyltransferases in 5-FU cytotoxicity in *Saccharomyces cerevisiae*. *Genet Mol Res.* 2013; 12:1440–1456. [PubMed: 23661467]
22. Luijsterburg MS, Dinant C, Lans H, Stap J, Wiernasz E, Lagerwerf S, Warmerdam DO, Lindh M, Brink MC, Dobrucki JW, Aten JA, Fousteri MI, Jansen G, Dantuma NP, Vermeulen W, Mullenders LH, Houtsmuller AB, Verschure PJ, van Driel R. Heterochromatin protein 1 is recruited to various types of DNA damage. *J Cell Biol.* 2009; 185:577–586. [PubMed: 19451271]
23. Garfinkel BP, Melamed-Book N, Anuka E, Bustin M, Orly J. HP1BP3 is a novel histone H1 related protein with essential roles in viability and growth. *Nucleic Acids Res.* 2015; 43:2074–2090. [PubMed: 25662603]
24. Fan Y, Sirotkin A, Russell RG, Ayala J, Skoultchi AI. Individual somatic H1 subtypes are dispensable for mouse development even in mice lacking the H1(0) replacement subtype. *Mol Cell Biol.* 2001; 21:7933–7943. [PubMed: 11689686]
25. Fan Y, Nikitina T, Morin-Kensicki EM, Zhao J, Magnuson TR, Woodcock CL, Skoultchi AI. H1 linker histones are essential for mouse development and affect nucleosome spacing *in vivo*. *Mol Cell Biol.* 2003; 23:4559–4572. [PubMed: 12808097]
26. Dutta B, Yan R, Lim SK, Tam JP, Sze SK. Quantitative profiling of chromatin dynamics reveals a novel role for HP1BP3 in hypoxia-induced oncogenesis. *Mol Cell Proteomics.* 2014; 13:3236–3249. [PubMed: 25100860]
27. Guintivano J, Arad M, Gould TD, Payne JL, Kaminsky ZA. Antenatal prediction of postpartum depression with blood DNA methylation biomarkers. *Mol Psychiatry.* 2014; 19:560–567. [PubMed: 23689534]
28. Uhlen M, Oksvold P, Fagerberg L, Lundberg E, Jonasson K, Forsberg M, Zwahlen M, Kampf C, Wester K, Hober S, Wernerus H, Bjorling L, Ponten F. Towards a knowledge-based Human Protein Atlas. *Nat Biotechnol.* 2010; 28:1248–1250. [PubMed: 21139605]
29. Hadac JN, Leystra AA, Paul Olson TJ, Maher ME, Payne SN, Yueh AE, Schwartz AR, Albrecht DM, Clipson L, Pasch CA, Matkowskyj KA, Halberg RB, Deming DA. Colon Tumors with the Simultaneous Induction of Driver Mutations in APC, KRAS, and PIK3CA Still Progress through the Adenoma-to-carcinoma Sequence. *Cancer Prev Res (Phila).* 2015; 8:952–961. [PubMed: 26276752]

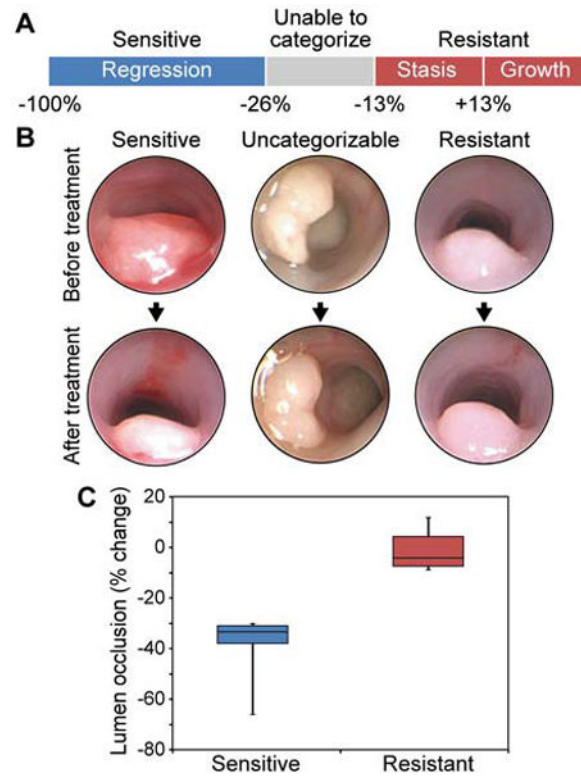


Figure 1. Categorization of F1.Min tumor response to 5-FU. F1.Min tumors exhibit both resistance and sensitivity to 5-FU as evidenced by tumor size changes. Panel A shows the parameters used to categorize tumor size changes into response. Panel B shows tumors that are sensitive, unclassifiable, and resistant, before and following treatment. Panel C shows median and quartiles for the sensitive and resistant tumors.

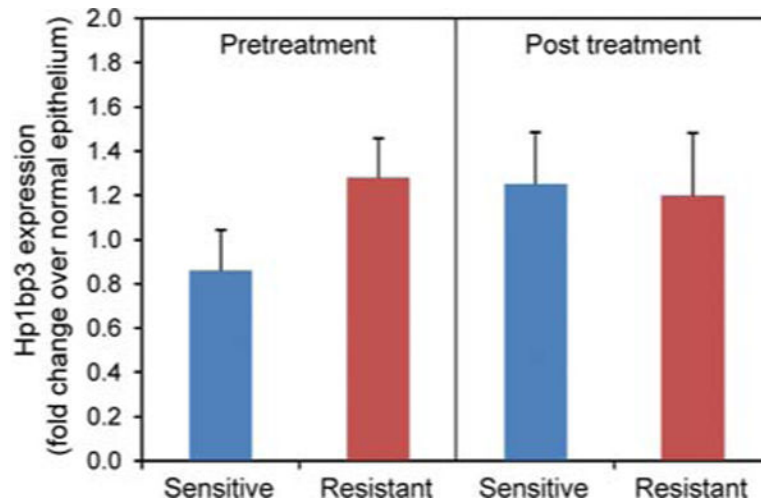


Figure 2.

Overexpression of Hp1bp3 in tumors is a marker of tumor resistance. The level of Hp1bp3 in sensitive and resistant tumors before and after treatment was determined by quantitative PCR. Tumors that were classified as sensitive had lower levels of Hp1bp3 expression (0.86 ± 0.18 -fold change over normal colon epithelium; $n=9$) than tumors that were classified as resistant (1.28 ± 0.18 -fold change over normal colon epithelium; $n=9$). This difference was statistically significant ($p < 0.001$, two-sided Wilcoxon rank sum test). All sensitive tumors had tissue that persisted throughout 5-FU treatment as none fully responded. These tissue samples, along with post-treatment samples of resistant tumors, were analyzed for Hp1bp3 expression. Expression levels were not significantly different when comparing tissue that persisted after treatment in sensitive tumors and tissue from resistant tumors ($p=0.44$).

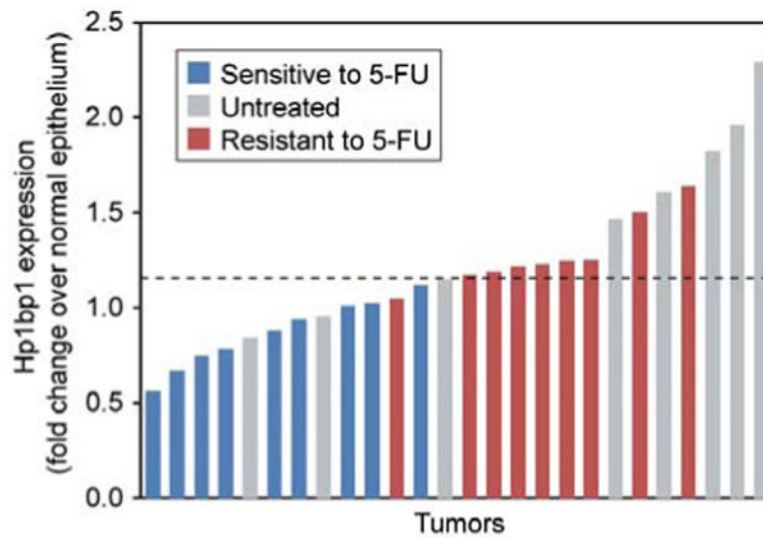


Figure 3.

Hp1bp3 expression varies between tumors. Hp1bp3 expression was analyzed in 26 tumors; some were later treated with 5-FU and determined to be sensitive or resistant, while others were not treated. Tumors ranged from 0.56–2.30-fold change with a median of 1.16 compared to normal intestinal epithelium. The median is denoted by the horizontal dotted line. All tumors that were sensitive to 5-FU are below the median; 8/9 resistant tumors were above the median (Fisher's exact test, $p < 0.001$).

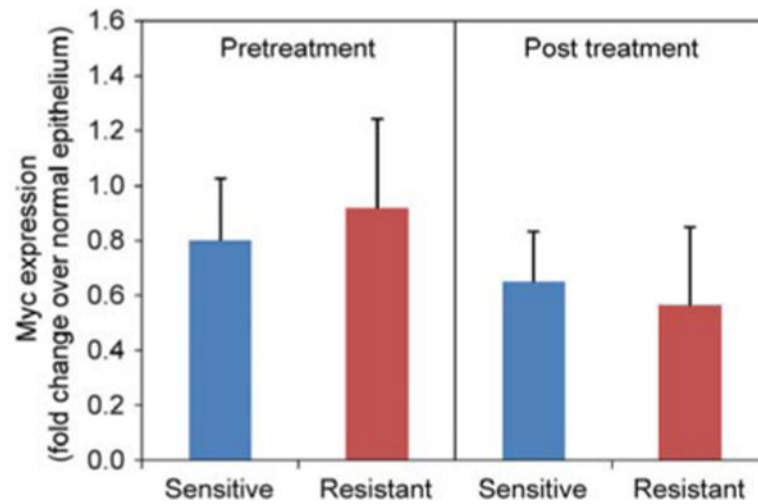


Figure 4.

c-Myc expression is low in F1.Min tumors and does not predict resistance. F1.Min tumors had low c-Myc expression both before and after treatment compared to normal colon epithelium. There was no significant difference (Wilcoxon rank sum test, $p=0.47$) in c-Myc levels between sensitive (0.80 ± 0.23 -fold change) and resistant tumors (0.92 ± 0.32 fold change) prior to treatment. Following treatment, c-Myc levels also were not distinguishable between sensitive and resistant tumors (Wilcoxon rank sum test, $p=0.42$).

Table I

Characteristics of F1.Min mice treated with DSS and 5-FU.

5-FU response category	Number of at tumors	Age of mouse necropsy, mean±SD (days)	Gender	
			Male	Female
Resistant	9	248±23	2	7
Sensitive ¹	9	277±57	4	5
Unable to categorize ¹	6	280±42	3	3

¹One male mouse had two tumors analyzed, one sensitive and one unable to be categorized. Both tumors are counted herein, thus the number of total mice treated (n=23) is discordant with the total tumors in this table (n=24).

Author Manuscript

Author Manuscript

Author Manuscript

Author Manuscript