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Identification of Independent Primary Tumors and Intrapulmonary Metastases Using DNA Rearrangements in Non–Small-Cell Lung Cancer

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Purpose

Distinguishing independent primary tumors from intrapulmonary metastases in non–small-cell carcinoma remains a clinical dilemma with significant clinical implications. Using next-generation DNA sequencing, we developed a chromosomal rearrangement–based approach to differentiate multiple primary tumors from metastasis.

Methods

Tumor specimens from patients with known independent primary tumors and metastatic lesions were used for lineage test development, which was then applied to multifocal tumors. Laser capture microdissection was performed separately for each tumor. Genomic DNA was isolated using direct in situ whole-genome amplification methodology, and next-generation sequencing was performed using an Illumina mate-pair library protocol. Sequence reads were mapped to the human genome, and primers spanning the fusion junctions were used for validation polymerase chain reaction.

Results

A total of 41 tumor samples were sequenced (33 adenocarcinomas [ADs] and eight squamous cell carcinomas [SQCCs]), with a range of three to 276 breakpoints per tumor identified. Lung tumors predicted to be independent primary tumors based on different histologic subtype did not share any genomic rearrangements. In patients with lung primary tumors and paired distant metastases, shared rearrangements were identified in all tumor pairs, emphasizing the patient specificity of identified breakpoints. Multifocal AD and SQCC samples were reviewed independently by two pulmonary pathologists. Concordance between histology and genomic data occurred in the majority of samples. Discrepant tumor samples were resolved by genome sequencing.

Conclusion

A diagnostic lineage test based on genomic rearrangements from mate-pair sequencing demonstrates promise for distinguishing independent primary from metastatic disease in lung cancer.

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INTRODUCTION

Given the reduction in lung cancer mortality demonstrated in the National Lung Screening Trial, a number of organizations have endorsed chest computed tomography screening for high-risk individuals. Compared with previous reports estimating that 0.8% to 4% of patients with lung cancer present with or develop multiple lesions, more recent data indicate that up to 20% of patients in screening populations will be diagnosed with multiple synchronous or metachronous tumors.^{1[-9](#page-8-1)}

The question of whether multiple lesions represent true metastases versus independent primary lesions remains a difficult clinical problem. This distinction typically represents the difference between aggressive local therapy for patients deemed to have independent primary tumors versus less aggressive or even palliative systemic therapy for patients thought to have metastatic disease.^{[10](#page-8-2)[-18](#page-8-3)} Currently, the determination of independent primary tumors versus metastasis is largely based on provider-specific clinical and/or pathologic assumptions. There are presently no

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ancillary tests that allow this distinction to be made with any reasonable clinical accuracy.

Next-generation sequencing of several cancers has revealed that solid tumors harbor tens to hundreds of somatic chromosomal rearrangements and thousands of single-nucleotide variations (SNVs). Both of these types of alterations have been used to investigate lineage relationships in tumors from the same individual.^{[19](#page-8-4)[-22](#page-8-5)} Given that the average genome contains 3 to 6 \times 10⁶ single-nucleotide polymorphisms and given the extensive numbers of background SNVs within the local environment of a tissue, the derivation of tumor-specific somatic SNVs remains challenging. Although germline rearrangements exist, the numbers are low and easier to determine through polymerase chain reaction (PCR) validation or bioinformatics.^{[22](#page-8-5)} The additional commonality of many recurrent SNV mutations, such as *EGFR* and *KRAS*, means they are often shared even between independent tumors.[23](#page-8-6) In contrast, the probability of detecting an identical somatic chromosomal breakpoint in two unrelated tumors is extremely unlikely, if not zero. The common *TMPRSS2-ERG* fusion is observed in approximately 50% of patients with prostate cancer. Although the resultant fusion products are often identical at the RNA and protein level, identical fusion junctions at the DNA level have never been reported between tumors of different patients.[21,](#page-8-7)[24-](#page-8-8)[26](#page-8-9) Similarly, for the common *EML4-ALK* translocation observed in approximately 5% of lung adenocarcinomas (ADs), no duplicate breakpoints have been reported in the literature to date, despite overlap in protein products and functional consequence.^{[27](#page-8-10)} Furthermore, recent publications used rearrangements to successfully demonstrate lineage of adjacent lepidic and invasive components of lepidic predominant ADs^{22} ADs^{22} ADs^{22} and adjacent Gleason patterns in prostate cancer 21 using next-generation DNA sequencing with a mate-pair (MP) library approach. Given these observations, we used similar methodology to identify lineagedefining genomic alterations in the setting of multiple pulmonary lesions, hypothesizing that intrapulmonary metastases would share such unique genomic alterations, whereas independent primary tumors would not.

METHODS

Sample Selection

The following three groups of samples were identified as controls: multiple tumor samples from different tissue blocks of a solitary tumor $(n = 4)$, 2- synchronous primary lung cancers of different histologic subtype $(n = 3)$, and primary lung cancers with corresponding distant metastasis to brain or kidney ($n = 4$). The study group [\(Table 1\)](#page-2-0) comprised 11 synchronous lung cancers of similar histologic subtype. ADs and squamous cell carcinomas (SQCCs) were diagnosed according to WHO classifications[,28](#page-8-11) and ADs were classified according to new proposed classifications[.29](#page-8-12) Two pulmonary pathologists performed independent reviews, blinded to clinical and genomic data. Based on morphology, using criteria as suggested by Girard et al,³⁰ a sample was predicted to be an independent primary or metastasis, or to favor one of these predictions, or indeterminate if the pathologists did not agree. This study was approved by the Mayo Clinic Institutional Review Board.

Laser Capture Microdissection Frozen Tissue Specimens

Hematoxylin and eosin–stained fresh-frozen sections were reviewed for quality control. Laser capture microdissection (LCM) was performed

on 10 - μ m frozen sections, and pure cell populations of tumor and nonneoplastic lung (NL) were isolated using the Arcturus PixCell II microscope and CapSure Macro LCM caps (Arcturus, Carlsbad, CA; LCM 0211). DNA was extracted directly from LCM captured cells using a previously described single-step whole-genome amplification (WGA) procedure.^{20[-22](#page-8-5)} Four individual 50-µL WGA reactions were pooled for each sample. DNA was quantified by Quant-iT-PicoGreen analysis (Invitrogen, Eugene, OR; P7581). Where available, germline blood extracted DNA was obtained from the Mayo Lung Specimen Registry.

Next-Generation Sequencing

MP sequencing tiles the genome with larger spanning (approximately 3 kb) fragments than conventional paired-end next-generation sequencing to increase the probability of spanning a genomic breakpoint. MP libraries were assembled from WGA DNA, according to a previously published protocol,^{20[-22](#page-8-5)} using the Illumina MP kit (Illumina, San Diego, CA). Two multiplexed libraries were loaded per lane of an Illumina flow cell and sequenced to 101 \times 2 paired-end reads on an Illumina HiSeq. Base calling was performed using Illumina Pipeline v1.5.

Data Analysis

Bioinformatics protocols to rapidly and efficiently process nextgeneration sequencing MP data using a 32-bit binary indexing of the Hg19 reference genome have been previously published from our labora-tory.^{31,[32](#page-8-16)} The algorithm maps both MP reads successively to the whole genome, selecting reads less than 15 kb apart allowing up to 10 mismatches, with the lowest cumulative mismatch count sent to the output. Discordant MPs mapping more than 30 kb apart or in different chromosomes were selected for further analysis. Algorithmic filters to determine lineage relationships were set to minimize the effects of both false-positive and false negative results. Namely, the lowest limit of MP associates to call an event was set at seven, where the false-positive rate was practically zero, and a mask of breakpoints was used to eliminate common variants and discor-dant MPs from experimental or algorithmic errors.^{20[,21,](#page-8-7)[31](#page-8-15)[,32](#page-8-16)} The minimum gap between the last read from each event to the first read of the following event was set to 3,000, thereby eliminating closely related but not identical breakpoints from being called as shared. Breakpoints near gaps of reference genome sequence were also eliminated. The false-negative rate was estimated to be less than 15%, dictated by the incompleteness of the reference genome and by regions that are difficult to map. Using a probability statistic, we estimated that the probability of relatedness between two samples is $P < .15^n$, where the expected number of shared breakpoints is *n* and no shared events are found. Sequencing data for each sample are listed in Appendix [Table A1](#page-10-0) (online only).

Validation of Genomic Rearrangements

Primers spanning the detected fusion junctions were used in PCR validations (25- μ L reaction volumes, 50-ng template, 35 cycles) using the EasyA high-fidelity polymerase (Stratagene, La Jolla, CA; No. 600404). PCR validations were performed on tumor DNA, NL, and a human genomic DNA control (Promega, Madison, WI; G304A), as well as germline blood DNA where available. Glyceraldehyde 3-phosphate dehydrogenase control PCRs were performed using standard primers.

RESULTS

MP sequencing on the 41 tumors (33 ADs and eight SQs) discovered 2,201 unique rearrangements that passed lineage filters, ranging from three (LU31A) to 276 (LU45A) breakpoints per tumor. The average number of breakpoints was 54, with eight tumor samples presenting with less than 10 breakpoints and eight tumors with more than 100.

The control group of lung ADs, LU23, LU33, LU45, and LU47, with two different frozen tumor blocks sequenced from the same

Abbreviations: A, acinar; AD, adenocarcinoma; C, cribriform; G, grade; L, lepidic; LLL, left lower lung; LUL, left upper lung; MIA, minimally invasive adenocarcinoma; P, papillary; pred, predominant; RLL, right lower lung; RML, right middle lung; RUL, right upper lung; S, solid; SQCC, squamous cell carcinoma.

tumor [\(Fig 1A\)](#page-3-0), had a range of four to 276 genomic breakpoints detected. The number of shared events between the different regions of the tumor ranged from 50% to 100% [\(Fig 1B\)](#page-3-0). Rearrangements unique to different tumor regions were also identified. From the 529 total breakpoints detected in these four samples, 45% were shared with adjacent sampling of the same tumor. Significantly, no common breakpoints were identified as shared between different individual tumors. A selection of PCR validations yielded identical breakpoints in related tumors but not in NL tissue collected from the same patient [\(Fig 1C\)](#page-3-0).

The paired lung synchronous primary tumors of different histologic subtype (LU29, LU31, and LU46) had 162, 39, and 82 total breakpoints, respectively [\(Figs 2A](#page-4-0) to [2C\)](#page-4-0). One shared large genomic rearrangement was observed between tumors in LU31. This was subsequently demonstrated to be a germline variation, validating evenly in the NL and blood DNA of that patient [\(Fig](#page-4-0) [2D\)](#page-4-0). This event was also present in the multiple human genome control sample. In summary, no shared somatic genomic rearrangements were identified among samples with definitive histopathologic evidence of identity as independent primary tumors.

In contrast, shared rearrangements were identified within individual primary tumor/metastasis pairs in all tumor pairs (LU33, LU34, LU38, and LU39), with the frequency of shared breakpoints detected varying from 8% to 75%. The number of

Fig 1. Control group 1: multiple tumor samples from different tissue blocks of a solitary tumor. (A) Schematic illustrating a single primary tumor (AD1) from which the two tumor regions (A/B) were collected. (B) Numbers of genomic breakpoints detected in each tumor pair (both *y*-axes). Total numbers of breakpoint events are listed as unique to tumor AD1A (gold) or AD1B (gray) or shared between both tumors (blue). (C) Polymerase chain reaction validation bands of selected breakpoints from the tumor pairs (AD1A and AD1B), nonneoplastic lung tissue from the same patient (NL), and a mixed-population independent genomic DNA control (C) run on agarose gels (1%). The two genomic loci and the impacted genes at the breakpoint are in addition listed at the left and right of each gel panel, respectively. AD, adenocarcinoma; ng, no gene involved.

breakpoints ranged between four and 62 breakpoints per tumor [\(Figs 3A](#page-5-0) to [3C\)](#page-5-0). PCR successfully validated selected breakpoints in all four tumor pairs [\(Fig 3D\)](#page-5-0). Two selected events also validated in surrounding NL at similar or reduced densities.

In conclusion, samples clearly classified as either independent primary tumors or metastases, based on clinical and histologic parameters, can be accurately separated into independent primary tumors and metastases based on genomic data. Most significantly, none of the detected breakpoints were shared between tumors from different patients, emphasizing the patient and tumor specificity of identified breakpoints.

For the study group [\(Table 1\)](#page-2-0), pathologists agreed on the histologic subtype in all tumors, and for AD, they agreed on the predominant pattern in 12 (75%) of 16 ADs. [Figures 4A](#page-6-0) to [4C](#page-6-0) presents hematoxylin and eosin images for six of the 11 tumor pairs selected, with two each predicted as independent primary tumors and metastases and the remaining two predicted as indeterminate. The total number of breakpoints detected for each tumor sample is presented in [Figure 5A.](#page-7-0)

For the four cases predicted as independent primary tumors (LU26, LU28, LU32, and LU43), the number of detected genomic rearrangements ranged from eight to 69, with no shared somatic rearrangements identified [\(Fig 5A\)](#page-7-0). Single shared germline events were observed in tumor samples LU26 and LU32, which validated evenly in the associated NL and germline DNA from each patient [\(Fig 5B\)](#page-7-0). Four of five tumor pairs predicted to be metastases (LU27, LU29, LU30, and LU40) shared between four and 85 genomic breakpoints (46% to 89%). PCR validation confirmed a

shared somatic mutation, absent from NL, in each sample [\(Fig 5B\)](#page-7-0). Conversely, the fifth tumor pair, predicted to be a metastasis (LU41; [Fig 4D\)](#page-6-0), had only a single shared germline rearrangement between the two tumors and, therefore, was predicted independent by the genomic data [\(Fig 5B\)](#page-7-0). Concordance between the agreed histologic prediction of both pathologists and the genomic data occurred in eight of nine tumor pairs. Two tumor pairs were indeterminate (LU44 and LU45) and contained 217 and 287 total breakpoints, respectively, with no shared somatic DNA rearrangements, predicting for independent primaries [\(Fig 5A\)](#page-7-0).

There was disagreement between the clinical assessment and histologic prediction in three tumor pairs (LU27, LU29, and LU41), with the genomic data supporting the histologic prediction in two of these tumor pairs (Appendix [Table A2,](#page-11-0) online only). In one tumor pair (LU45), there was no definitive clinical assessment and a disagreement between pathologists, with genomic data suggesting independent primary tumors.

DISCUSSION

Next-generation sequencing using an MP protocol is a robust, efficient, and cost-effective method to identify genomic rearrange-ments. Our results from the current and prior studies^{[20-](#page-8-14)[22,](#page-8-5)[31](#page-8-15)[-33](#page-8-17)} suggest that chromosomal breakpoints are unique enough for individual patients and tumors to be able to determine tumor lineage. Significantly, of the 2,201 breakpoints identified in our current 41-tumor study, all somatic mutations were unique to an

Fig 2. Control group 2: synchronous primary lung cancers of different histologic subtype. (A) Schematic of tumor pairs of different histologic subtype. (B) Hematoxylin and eosin images of adenocarcinoma (AD; upper panel) and squamous cell carcinoma (SQ; lower panel) from LU29. (C) Numbers of genomic breakpoints detected in each tumor pair (*y*-axis). Total numbers of breakpoint events are listed as unique to tumor AD (gold) or SQ (gray) or shared between both tumors (blue). (D) Polymerase chain reaction (PCR) validation bands of selected breakpoints from the tumor pairs (AD and SQ), non-neoplastic lung tissue from the same patient (NL), and a mixed-population independent genomic DNA control (C) run on agarose gels (1%). The two genomic loci and the impacted genes at the breakpoint are listed at the left and right of each gel panel, respectively. For the LU31 event, an additional germline blood (B) PCR validation is presented for the chromosome 5 to 13 translocation and glyceraldehyde 3-phosphate dehydrogenase (GAPDH) control. ng, no gene involved.

individual patient, with the only shared rearrangements observed in the setting of metastatic disease. This observation is further supported in other publications from our group^{[20](#page-8-14)[-22,](#page-8-5)[31-](#page-8-15)[33](#page-8-17)} and the more than 500 tumors we have MP sequenced, where an exact breakpoint has never been observed between samples from two different patients (unpublished data). In addition, to our knowledge, identical fusions across tumors, even in the highly recurring lung cancer *EML4-ALK* or prostate *TMPRSS2-EFG* fusions, have not been reported.^{[24](#page-8-8)[-27](#page-8-10)}

The presence of large numbers of shared breakpoints in multiple tumor blocks within single lung cancers further confirms that MP sequencing can detect lineage associations despite tumor heterogeneity. This is an important feature of an approach based on sequencing genomic rearrangements that would not be feasible using the targeted mutation panels that are emerging as an important diagnostic test for lung cancer care. 34 Using rearrangements as identifiers of lineage, unlike single-nucleotide mutations, also benefits from the fact that rearrangements aremuch lessfrequentlyfoundin the germline. In our study, few samples predicted to be independent primary tumors demonstrated shared events, which we subsequently demonstrated as germline through PCR validation in non-neoplastic lung and blood DNA. Large genomic rearrangements are known to exist in the germline of the human population, which differ from the reference genome applied to bioinformatically detect rearrangements. In contrast to single-nucleotide polymorphisms, germline rearrangements have not been well defined in the reference genome. The extent of genomic variation from large genomic rearrangements has been more extensively mapped through copy number variation (CNV) studies. A study on the genomes of 385 healthy African American and 435 healthy white patients predicted averages of 3.5 and 4.8 CNV per genome, respectively.³⁵ In these and other germline CNV studies,^{36-[37](#page-8-21)} the majority of events arise from smaller intrachromosomal events spanning less than 30 kb. Through our extensive MP sequencing data of more than 500 tumors, we have been able to assemble a robust database of common germline variations to efficiently filter out the majority of polymorphic germline events. By applying filters masking

Fig 3. Control group 3: primary lung cancer with corresponding distant metastasis to brain or kidney. (A) Schematic of tumor pairs, indicating origin from lung and extrapulmonary metastases (met). (B) Hematoxylin and eosin images of primary lung adenocarcinoma (AD) and brain metastasis of LU33 (upper panels) and LU34 (lower panel). (C) Numbers of genomic breakpoints detected in each tumor pair (*y*-axis). Total numbers of breakpoint events are listed as unique to primary lung tumor AD (gold) or met (gray) or shared between both tumors (blue). (D) Polymerase chain reaction (PCR) validation bands of selected breakpoints from the primary tumor (AD) or brain metastasis (BrM), non-neoplastic lung tissue from the same patient (NL), and a mixed-population independent genomic DNA control (C) run on agarose gels (1%). For LU33, two samples of the solitary AD tumor were extracted from adjacent blocks (AD1A and AD1B) and used for PCR validation. The two genomic loci and the affected genes at the breakpoint are listed at the left and right of each gel panel, respectively. ng, no gene involved.

discordant mapping events spanning less than 30 kb, we are also able to mask the majority of additional unique germline events. Although unique germline events passing our current masking filters will be expected to continually arise, the data from this study show that the frequency of such events remains low and easily evaluated by PCR. In addition, as more genomes are sequenced and our database of germline events increases, filtering will become increasingly efficient at masking out false-positive germline events. Thus, through the cumulative positive properties, including uniqueness of fusion junctions, low levels of germline events, and the enhanced ability to filter out many false-positive results (including germline events), we believe breakpoints present a valuable mechanism to determine tumor lineage.

The group of patients with independent primary tumors had no shared identical breakpoints between tumors within the same patient. Consistent with our hypothesis, we believe the identification of shared large chromosomal rearrangements between two tumors supports the concept of tumor lineage or relatedness. In our study, when many events were shared between two tumors, PCR validation was performed systematically on events until a shared event, absent from the non-neoplastic lung, was observed. Thus, the identification of metastasis worked on the preface that just a single somatic shared event was diagnostic of lineage. The

number of observed events present within each tumor would theoretically strengthen such inference. However, to further define thresholds for clinical applications of an MP lineage test will require analysis of a larger sample set.

For the purpose of determining tumor lineage, the function of a mutation is less important than the fact that the mutation occurs early and is retained in the multiple tumor tissues. In this study, all genes had an equal role in the calling of tumor lineage. Although a number of genes were hit by rearrangements in multiple samples in this study, including the well-known lung tumor gene *ALK*, the sample size of this study was too small to predict driver versus passenger gene functions.

It is important to clarify that sensitivity of lineage detection is somewhat different from the sensitivity of complete breakpoint detection, although it is influenced by it. Sensitivity of lineage detection between two samples is dominated by recurrent high-coverage breakpoints, whereas the sensitivity of complete breakpoint detection is influenced by tumor heterogeneity, sequencing coverage for the region, and contamination by normal cells. In tumors where foci are determined to be in-lineage via the presence ofmany shared breakpoints validated by Sanger sequencing, the false-negative result will not influence the determination. Therefore, the positive predictive value of lineage detection for 12 tumor pairs [\(Figs](#page-3-0) [1D,](#page-3-0) [3D,](#page-5-0) and [5B\)](#page-7-0) is essentially 100%. However, in the 10 paired tumors where the two tumors were rendered independent because no shared

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Fig 4. Hematoxylin and eosin (H&E) images of tumor pairs from the study group. (A) H&E images of two tumor pairs of predicted metastases: LU30 (upper panels) and LU29 (lower panels). (B) H&E images of two tumor pairs of predicted independent primary tumors: LU26 (upper panels) and LU28 (lower panels). (C) H&E images of two tumor pairs of indeterminate lineage: LU45 (left panels) and LU44 (right panels). (D) H&E images of tumor pairs of LU41 with discrepancy between histologic and genomic prediction. AD, adenocarcinoma; SQ, squamous cell carcinoma.

breakpoints were found [\(Figs 2D](#page-4-0) and [5B\)](#page-7-0), the potential false-negative rate is an issue and dependent on algorithmic filters. To achieve a balanced compromise between false-negative and false-positive rates, we set the lower limit of breakpoint-supporting MPs to seven. Concordant mapping MP sequence coverage for all samples was more than 25 reads in regions where breakpoints were detected. With the conservative estimate of a 15% false-negative rate (dictated by the incompleteness of the reference genome and by regions that are difficult to map), we estimated that the probability of relatedness between any two samples is $P < .15^n$, where *n* is the lowest number

of total breakpoints between the two samples and no commonality is found. For the proposed test to be translated into a clinical setting, a precise criterion for calling lineage will be required. Although just a single shared somatic breakpoint could be considered strong evidence for lineage, this parameter still needs to be addressed with a larger sample study. In addition, the lower limit of total breakpoints present within a tumor, which can still allow an accurate lineage call, will also need to be addressed. Adaptation of testing to small biopsies or cytology specimens will also improve clinical translation.

Fig 5. Breakpoint numbers and polymerase chain reaction (PCR) validations of tumor pairs from the study group. (A) Numbers of genomic breakpoints detected in each tumor pair (*y*-axis). Total numbers of breakpoint events are listed as unique to either tumor 1 (AD1 or SQ1; gold) or tumor 2 (AD2 or SQ2; gray) of the tumor pairs or shared between both tumors (blue). (B) PCR validation bands of selected breakpoint events on samples predicted as related (left panels) or independent (central panels). PCR on non-neoplastic lung tissue from the same patient (NL) and a mixed-population independent genomic DNA control (C) were also run on the same agarose gels (1%). Germline validations on patientderived blood DNA (B) are presented in the right panel, together with glyceraldehyde 3-phosphate dehydrogenase (GAPDH) controls. AD, adenocarcinoma; ng, no gene involved; SQ, squamous cell carcinoma. (*) Indicates the discrepant case, LU41, where the genomic and clinical predictions are discordant.

An important consideration for a diagnostic test of lineage determination in cancer is whether it would be limited to lung cancer alone. Many vexing clinical dilemmas, including synchronous/metachronous SQCC of the head and neck and lung or multiple synchronous tumors of the female genital tract, would all be scenarios where a lineage test based on the detection of unique genomic rearrangements may add value for patient care. Our data suggest this may be an alternative and potentially more accurate and specific route to lineage determination than through the analysis of driver mutations alone. Although more work is required, the data also suggest the potential to improve on clinical and pathologic analysis alone.

AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

Disclosures provided by the authors are available with this article at [www.jco.org.](http://www.jco.org)

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Appendix

Determining Tumor Lineage Through Somatic Genomic Rearrangements

