## JOURNAL OF CLINICAL ONCOLOGY

# Identification of Independent Primary Tumors and Intrapulmonary Metastases Using DNA Rearrangements in Non–Small-Cell Lung Cancer

Stephen J. Murphy, Marie-Christine Aubry, Faye R. Harris, Geoffrey C. Halling, Sarah H. Johnson, Simone Terra, Travis M. Drucker, Michael K. Asiedu, Benjamin R. Kipp, Eunhee S. Yi, Tobias Peikert, Ping Yang, George Vasmatzis, and Dennis A. Wigle

See accompanying editorial on page 4029



All authors: Mayo Clinic, Rochester, MN.

Published online ahead of print at www.jco.org on November 10, 2014.

Supported by the Mayo Clinic Center for Individualized Medicine Biomarker Discovery Program and grants from Uniting Against Lung Cancer (D.A.W.).

S.J.M. and M.-C.A. contributed equally to the preparation of this article.

Authors' disclosures of potential conflicts of interest are found in the article online at www.jco.org. Author contributions are found at the end of this article.

Corresponding author: George Vasmatzis, PhD, Department of Molecular Medicine, Mayo Clinic, 200 First St SW, Rochester, MN 55905; e-mail: vasmatzis.george@mayo.edu.

© 2014 by American Society of Clinical Oncology

0732-183X/14/3236w-4050w/\$20.00

DOI: 10.1200/JCO.2014.56.7644

## Purpose

Distinguishing independent primary tumors from intrapulmonary metastases in non-small-cell carcinoma remains a clinical dilemma with significant clinical implications. Using next-generation DNA sequencing, we developed a chromosomal rearrangement-based approach to differentiate multiple primary tumors from metastasis.

## Methods

Tumor specimens from patients with known independent primary tumors and metastatic lesions were used for lineage test development, which was then applied to multifocal tumors. Laser capture microdissection was performed separately for each tumor. Genomic DNA was isolated using direct in situ whole-genome amplification methodology, and next-generation sequencing was performed using an Illumina mate-pair library protocol. Sequence reads were mapped to the human genome, and primers spanning the fusion junctions were used for validation polymerase chain reaction.

## Results

A total of 41 tumor samples were sequenced (33 adenocarcinomas [ADs] and eight squamous cell carcinomas [SQCCs]), with a range of three to 276 breakpoints per tumor identified. Lung tumors predicted to be independent primary tumors based on different histologic subtype did not share any genomic rearrangements. In patients with lung primary tumors and paired distant metastases, shared rearrangements were identified in all tumor pairs, emphasizing the patient specificity of identified breakpoints. Multifocal AD and SQCC samples were reviewed independently by two pulmonary pathologists. Concordance between histology and genomic data occurred in the majority of samples. Discrepant tumor samples were resolved by genome sequencing.

#### Conclusion

A diagnostic lineage test based on genomic rearrangements from mate-pair sequencing demonstrates promise for distinguishing independent primary from metastatic disease in lung cancer.

J Clin Oncol 32:4050-4058. © 2014 by American Society of Clinical Oncology

## INTRODUCTION

Given the reduction in lung cancer mortality demonstrated in the National Lung Screening Trial, a number of organizations have endorsed chest computed tomography screening for high-risk individuals. Compared with previous reports estimating that 0.8% to 4% of patients with lung cancer present with or develop multiple lesions, more recent data indicate that up to 20% of patients in screening populations will be diagnosed with multiple synchronous or metachronous tumors.<sup>1-9</sup> The question of whether multiple lesions represent true metastases versus independent primary lesions remains a difficult clinical problem. This distinction typically represents the difference between aggressive local therapy for patients deemed to have independent primary tumors versus less aggressive or even palliative systemic therapy for patients thought to have metastatic disease.<sup>10-18</sup> Currently, the determination of independent primary tumors versus metastasis is largely based on provider-specific clinical and/or pathologic assumptions. There are presently no ancillary tests that allow this distinction to be made with any reasonable clinical accuracy.

Next-generation sequencing of several cancers has revealed that solid tumors harbor tens to hundreds of somatic chromosomal rearrangements and thousands of single-nucleotide variations (SNVs). Both of these types of alterations have been used to investigate lineage relationships in tumors from the same individual.<sup>19-22</sup> Given that the average genome contains 3 to 6  $\times$ 10<sup>6</sup> single-nucleotide polymorphisms and given the extensive numbers of background SNVs within the local environment of a tissue, the derivation of tumor-specific somatic SNVs remains challenging. Although germline rearrangements exist, the numbers are low and easier to determine through polymerase chain reaction (PCR) validation or bioinformatics.<sup>22</sup> The additional commonality of many recurrent SNV mutations, such as EGFR and KRAS, means they are often shared even between independent tumors.<sup>23</sup> In contrast, the probability of detecting an identical somatic chromosomal breakpoint in two unrelated tumors is extremely unlikely, if not zero. The common TMPRSS2-ERG fusion is observed in approximately 50% of patients with prostate cancer. Although the resultant fusion products are often identical at the RNA and protein level, identical fusion junctions at the DNA level have never been reported between tumors of different patients.<sup>21,24-26</sup> Similarly, for the common EML4-ALK translocation observed in approximately 5% of lung adenocarcinomas (ADs), no duplicate breakpoints have been reported in the literature to date, despite overlap in protein products and functional consequence.<sup>27</sup> Furthermore, recent publications used rearrangements to successfully demonstrate lineage of adjacent lepidic and invasive components of lepidic predominant ADs<sup>22</sup> and adjacent Gleason patterns in prostate cancer<sup>21</sup> using next-generation DNA sequencing with a mate-pair (MP) library approach. Given these observations, we used similar methodology to identify lineagedefining genomic alterations in the setting of multiple pulmonary lesions, hypothesizing that intrapulmonary metastases would share such unique genomic alterations, whereas independent primary tumors would not.

## METHODS

#### Sample Selection

The following three groups of samples were identified as controls: multiple tumor samples from different tissue blocks of a solitary tumor (n = 4), 2- synchronous primary lung cancers of different histologic subtype (n = 3), and primary lung cancers with corresponding distant metastasis to brain or kidney (n = 4). The study group (Table 1) comprised 11 synchronous lung cancers of similar histologic subtype. ADs and squamous cell carcinomas (SQCCs) were diagnosed according to WHO classifications,<sup>28</sup> and ADs were classified according to new proposed classifications,<sup>29</sup> Two pulmonary pathologists performed independent reviews, blinded to clinical and genomic data. Based on morphology, using criteria as suggested by Girard et al,<sup>30</sup> a sample was predicted to be an independent primary or metastasis, or to favor one of these predictions, or indeterminate if the pathologists did not agree. This study was approved by the Mayo Clinic Institutional Review Board.

## Laser Capture Microdissection Frozen Tissue Specimens

Hematoxylin and eosin-stained fresh-frozen sections were reviewed for quality control. Laser capture microdissection (LCM) was performed on 10- $\mu$ m frozen sections, and pure cell populations of tumor and nonneoplastic lung (NL) were isolated using the Arcturus PixCell II microscope and CapSure Macro LCM caps (Arcturus, Carlsbad, CA; LCM 0211). DNA was extracted directly from LCM captured cells using a previously described single-step whole-genome amplification (WGA) procedure.<sup>20-22</sup> Four individual 50- $\mu$ L WGA reactions were pooled for each sample. DNA was quantified by Quant-iT-PicoGreen analysis (Invitrogen, Eugene, OR; P7581). Where available, germline blood extracted DNA was obtained from the Mayo Lung Specimen Registry.

#### **Next-Generation Sequencing**

MP sequencing tiles the genome with larger spanning (approximately 3 kb) fragments than conventional paired-end next-generation sequencing to increase the probability of spanning a genomic breakpoint. MP libraries were assembled from WGA DNA, according to a previously published protocol,<sup>20-22</sup> using the Illumina MP kit (Illumina, San Diego, CA). Two multiplexed libraries were loaded per lane of an Illumina flow cell and sequenced to  $101 \times 2$  paired-end reads on an Illumina HiSeq. Base calling was performed using Illumina Pipeline v1.5.

#### Data Analysis

Bioinformatics protocols to rapidly and efficiently process nextgeneration sequencing MP data using a 32-bit binary indexing of the Hg19 reference genome have been previously published from our laboratory.<sup>31,32</sup> The algorithm maps both MP reads successively to the whole genome, selecting reads less than 15 kb apart allowing up to 10 mismatches, with the lowest cumulative mismatch count sent to the output. Discordant MPs mapping more than 30 kb apart or in different chromosomes were selected for further analysis. Algorithmic filters to determine lineage relationships were set to minimize the effects of both false-positive and false negative results. Namely, the lowest limit of MP associates to call an event was set at seven, where the false-positive rate was practically zero, and a mask of breakpoints was used to eliminate common variants and discordant MPs from experimental or algorithmic errors.<sup>20,21,31,32</sup> The minimum gap between the last read from each event to the first read of the following event was set to 3,000, thereby eliminating closely related but not identical breakpoints from being called as shared. Breakpoints near gaps of reference genome sequence were also eliminated. The false-negative rate was estimated to be less than 15%, dictated by the incompleteness of the reference genome and by regions that are difficult to map. Using a probability statistic, we estimated that the probability of relatedness between two samples is  $P < .15^n$ , where the expected number of shared breakpoints is n and no shared events are found. Sequencing data for each sample are listed in Appendix Table A1 (online only).

## Validation of Genomic Rearrangements

Primers spanning the detected fusion junctions were used in PCR validations ( $25-\mu$ L reaction volumes, 50-ng template, 35 cycles) using the EasyA high-fidelity polymerase (Stratagene, La Jolla, CA; No. 600404). PCR validations were performed on tumor DNA, NL, and a human genomic DNA control (Promega, Madison, WI; G304A), as well as germline blood DNA where available. Glyceraldehyde 3-phosphate dehydrogenase control PCRs were performed using standard primers.

## RESULTS

MP sequencing on the 41 tumors (33 ADs and eight SQs) discovered 2,201 unique rearrangements that passed lineage filters, ranging from three (LU31A) to 276 (LU45A) breakpoints per tumor. The average number of breakpoints was 54, with eight tumor samples presenting with less than 10 breakpoints and eight tumors with more than 100.

The control group of lung ADs, LU23, LU33, LU45, and LU47, with two different frozen tumor blocks sequenced from the same

	Tumor 1		Tumor 2			
Sample No.	l'umor l		lumor 2			Genomic
	Туре	Location	Туре	Location	Histologic Prediction	Prediction
LU26		RLL		LUL		Unrelated
R1	AD pred cribriform (C, 80%; A, 10%; S, 10%)		AD pred acinar (A, 50%; L, 40%; P, 10%)		Independent primary tumors	
R2	AD pred solid (S, 50%; C, 50%)		AD pred papillary (P, 40%; A, 30%; L, 10%; C, 10%; S, 10%)		Independent primary tumors	
LU28		RML		LUL		Unrelated
R1	AD pred solid (S, 90%; A, 10%)		AD pred solid (S, 90%; A, 10% + giant cells)		Independent primary tumors	
R2	AD pred solid (S, 80%; A, 10%; MP, 10%)		AD pred solid (S, 100% with signet ring or large-cell features)		Favor independent primary tumors	
LU32		RLL		LUL		Unrelated
R1	AD pred acinar (A, 70%; L, 30%)		MIA		Independent primary tumors	
R2	AD pred acinar (A, 50%; P, 50%)		AD pred lepidic (L, 70%; A, 30%)		Independent primary tumors	
LU43		RLL		RLL		Unrelated
R1	AD mucinous		AD pred acinar (A, 70%; C, 20%; P, 10%)		Independent primary tumors	
R2	AD mucinous		AD pred acinar (A, 70%; P, 30%)		Favor independent primary tumors	
LU45		LUL		RUL		Unrelated
R1	AD pred solid (S, 45% A 35% L 20%)		AD pred acinar (A, 70%; L, 20%; S, 10%)		Indeterminate: independent primary tumors	
R2	AD pred acinar (A, 50%; S, 20%; L, 20%; C, 10%)		AD pred acinar (A, 40%; L, 30%; S, 20%; P, 10%)		Favor metastasis	
LU27		RLL		RML		Related
R1	AD mucinous		AD mucinous		Metastasis	
R2	AD mucinous		AD mucinous		Metastasis	
LU29		RUL		Lingula		Related
R1	SQCC G3		SQCC G3		Metastasis	
K2	SQCC G3	DNAL	SQCC G3		Favor metastasis	D. L. L. L
LU30		RIVIL		LLL	Matastasia	Related
n I 02	AD mucinous		AD mucinous			
	AD IIIucinous	RMI /RII	AD Indeinous	111	Favoi metastasis	Rolatod
LU40 R1	SOCC 63		SOCC 63	LLL	Favor metastasis	nelateu
R2	SOCC 62-3		SOCC 62-3		Metastasis	
LU41	1210 02 0	LUL		RUL		Unrelated
R1	SQCC G2		SQCC G2 with CIS		Favor metastasis	
R2	SQCC G2		SQCC G1-2 with CIS		Metastasis	
LU44		RLL		LUL		Unrelated
R1	AD pred solid (S, 75%; C, 20%; A, 5%)		AD pred solid (S, 50%; C, 25%; A, 25%)		Indeterminate metastasis	
R2	AD pred solid (S, 90%; A, 10%)		AD pred solid (S, 80%; A, 20%)		Independent primary tumors	

Abbreviations: A, acinar; AD, adenocarcinoma; C, cribriform; G, grade; L, lepidic; LLL, left lower lung; LUL, left upper lung; MIA, minimally invasive adenocarcinoma; P, papillary; pred, predominant; RLL, right lower lung; RML, right middle lung; RUL, right upper lung; S, solid; SQCC, squamous cell carcinoma.

tumor (Fig 1A), had a range of four to 276 genomic breakpoints detected. The number of shared events between the different regions of the tumor ranged from 50% to 100% (Fig 1B). Rearrangements unique to different tumor regions were also identified. From the 529 total breakpoints detected in these four samples, 45% were shared with adjacent sampling of the same tumor. Significantly, no common breakpoints were identified as shared between different individual tumors. A selection of PCR validations yielded identical breakpoints in related tumors but not in NL tissue collected from the same patient (Fig 1C).

The paired lung synchronous primary tumors of different histologic subtype (LU29, LU31, and LU46) had 162, 39, and 82

total breakpoints, respectively (Figs 2A to 2C). One shared large genomic rearrangement was observed between tumors in LU31. This was subsequently demonstrated to be a germline variation, validating evenly in the NL and blood DNA of that patient (Fig 2D). This event was also present in the multiple human genome control sample. In summary, no shared somatic genomic rearrangements were identified among samples with definitive histopathologic evidence of identity as independent primary tumors.

In contrast, shared rearrangements were identified within individual primary tumor/metastasis pairs in all tumor pairs (LU33, LU34, LU38, and LU39), with the frequency of shared breakpoints detected varying from 8% to 75%. The number of



Fig 1. Control group 1: multiple tumor samples from different tissue blocks of a solitary tumor. (A) Schematic illustrating a single primary tumor (AD1) from which the two tumor regions (A/B) were collected. (B) Numbers of genomic breakpoints detected in each tumor pair (both y-axes). Total numbers of breakpoint events are listed as unique to tumor AD1A (gold) or AD1B (gray) or shared between both tumors (blue). (C) Polymerase chain reaction validation bands of selected breakpoints from the tumor pairs (AD1A and AD1B), nonneoplastic lung tissue from the same patient (NL), and a mixed-population independent genomic DNA control (C) run on agarose gels (1%). The two genomic loci and the impacted genes at the breakpoint are in addition listed at the left and right of each gel panel, respectively. AD, adenocarcinoma; ng, no gene involved.

breakpoints ranged between four and 62 breakpoints per tumor (Figs 3A to 3C). PCR successfully validated selected breakpoints in all four tumor pairs (Fig 3D). Two selected events also validated in surrounding NL at similar or reduced densities.

In conclusion, samples clearly classified as either independent primary tumors or metastases, based on clinical and histologic parameters, can be accurately separated into independent primary tumors and metastases based on genomic data. Most significantly, none of the detected breakpoints were shared between tumors from different patients, emphasizing the patient and tumor specificity of identified breakpoints.

For the study group (Table 1), pathologists agreed on the histologic subtype in all tumors, and for AD, they agreed on the predominant pattern in 12 (75%) of 16 ADs. Figures 4A to 4C presents hematoxylin and eosin images for six of the 11 tumor pairs selected, with two each predicted as independent primary tumors and metastases and the remaining two predicted as indeterminate. The total number of breakpoints detected for each tumor sample is presented in Figure 5A.

For the four cases predicted as independent primary tumors (LU26, LU28, LU32, and LU43), the number of detected genomic rearrangements ranged from eight to 69, with no shared somatic rearrangements identified (Fig 5A). Single shared germline events were observed in tumor samples LU26 and LU32, which validated evenly in the associated NL and germline DNA from each patient (Fig 5B). Four of five tumor pairs predicted to be metastases (LU27, LU29, LU30, and LU40) shared between four and 85 genomic breakpoints (46% to 89%). PCR validation confirmed a

shared somatic mutation, absent from NL, in each sample (Fig 5B). Conversely, the fifth tumor pair, predicted to be a metastasis (LU41; Fig 4D), had only a single shared germline rearrangement between the two tumors and, therefore, was predicted independent by the genomic data (Fig 5B). Concordance between the agreed histologic prediction of both pathologists and the genomic data occurred in eight of nine tumor pairs. Two tumor pairs were indeterminate (LU44 and LU45) and contained 217 and 287 total breakpoints, respectively, with no shared somatic DNA rearrangements, predicting for independent primaries (Fig 5A).

There was disagreement between the clinical assessment and histologic prediction in three tumor pairs (LU27, LU29, and LU41), with the genomic data supporting the histologic prediction in two of these tumor pairs (Appendix Table A2, online only). In one tumor pair (LU45), there was no definitive clinical assessment and a disagreement between pathologists, with genomic data suggesting independent primary tumors.

## DISCUSSION

Next-generation sequencing using an MP protocol is a robust, efficient, and cost-effective method to identify genomic rearrangements. Our results from the current and prior studies<sup>20-22,31-33</sup> suggest that chromosomal breakpoints are unique enough for individual patients and tumors to be able to determine tumor lineage. Significantly, of the 2,201 breakpoints identified in our current 41-tumor study, all somatic mutations were unique to an



Fig 2. Control group 2: synchronous primary lung cancers of different histologic subtype. (A) Schematic of tumor pairs of different histologic subtype. (B) Hematoxylin and eosin images of adenocarcinoma (AD; upper panel) and squamous cell carcinoma (SQ; lower panel) from LU29. (C) Numbers of genomic breakpoints detected in each tumor pair (v-axis). Total numbers of breakpoint events are listed as unique to tumor AD (gold) or SQ (gray) or shared between both tumors (blue). (D) Polymerase chain reaction (PCR) validation bands of selected breakpoints from the tumor pairs (AD and SQ), non-neoplastic lung tissue from the same patient (NL), and a mixed-population independent genomic DNA control (C) run on agarose gels (1%). The two genomic loci and the impacted genes at the breakpoint are listed at the left and right of each gel panel, respectively. For the LU31 event, an additional germline blood (B) PCR validation is presented for the chromosome 5 to 13 translocation and glyceraldehyde 3-phosphate dehydrogenase (GAPDH) control. ng, no aene involved.

individual patient, with the only shared rearrangements observed in the setting of metastatic disease. This observation is further supported in other publications from our group<sup>20-22,31-33</sup> and the more than 500 tumors we have MP sequenced, where an exact breakpoint has never been observed between samples from two different patients (unpublished data). In addition, to our knowledge, identical fusions across tumors, even in the highly recurring lung cancer *EML4-ALK* or prostate *TMPRSS2-EFG* fusions, have not been reported.<sup>24-27</sup>

The presence of large numbers of shared breakpoints in multiple tumor blocks within single lung cancers further confirms that MP sequencing can detect lineage associations despite tumor heterogeneity. This is an important feature of an approach based on sequencing genomic rearrangements that would not be feasible using the targeted mutation panels that are emerging as an important diagnostic test for lung cancer care.<sup>34</sup> Using rearrangements as identifiers of lineage, unlike single-nucleotide mutations, also benefits from the fact that rearrangements are much less frequently found in the germline. In our study, few samples predicted to be independent primary tumors demonstrated shared events, which we subsequently demonstrated as germline through PCR validation in non-neoplastic lung and blood DNA. Large genomic rearrangements are known to exist in the germline of the human population, which differ from the reference genome applied to bioinformatically detect rearrangements. In contrast to single-nucleotide polymorphisms, germline rearrangements have not been well defined in the reference genome. The extent of genomic variation from large genomic rearrangements has been more extensively mapped through copy number variation (CNV) studies. A study on the genomes of 385 healthy African American and 435 healthy white patients predicted averages of 3.5 and 4.8 CNV per genome, respectively.<sup>35</sup> In these and other germline CNV studies,<sup>36-37</sup> the majority of events arise from smaller intrachromosomal events spanning less than 30 kb. Through our extensive MP sequencing data of more than 500 tumors, we have been able to assemble a robust database of common germline variations to efficiently filter out the majority of polymorphic germline events. By applying filters masking



Fig 3. Control group 3: primary lung cancer with corresponding distant metastasis to brain or kidney. (A) Schematic of tumor pairs, indicating origin from lung and extrapulmonary metastases (met). (B) Hematoxylin and eosin images of primary lung adenocarcinoma (AD) and brain metastasis of LU33 (upper panels) and LU34 (lower panel). (C) Numbers of genomic breakpoints detected in each tumor pair (y-axis). Total numbers of breakpoint events are listed as unique to primary lung tumor AD (gold) or met (gray) or shared between both tumors (blue). (D) Polymerase chain reaction (PCR) validation bands of selected breakpoints from the primary tumor (AD) or brain metastasis (BrM), non-neoplastic lung tissue from the same patient (NL), and a mixed-population independent genomic DNA control (C) run on agarose gels (1%). For LU33, two samples of the solitary AD tumor were extracted from adjacent blocks (AD1A and AD1B) and used for PCR validation. The two genomic loci and the affected genes at the breakpoint are listed at the left and right of each gel panel, respectively. ng, no gene involved.

discordant mapping events spanning less than 30 kb, we are also able to mask the majority of additional unique germline events. Although unique germline events passing our current masking filters will be expected to continually arise, the data from this study show that the frequency of such events remains low and easily evaluated by PCR. In addition, as more genomes are sequenced and our database of germline events increases, filtering will become increasingly efficient at masking out false-positive germline events. Thus, through the cumulative positive properties, including uniqueness of fusion junctions, low levels of germline events, and the enhanced ability to filter out many false-positive results (including germline events), we believe breakpoints present a valuable mechanism to determine tumor lineage.

The group of patients with independent primary tumors had no shared identical breakpoints between tumors within the same patient. Consistent with our hypothesis, we believe the identification of shared large chromosomal rearrangements between two tumors supports the concept of tumor lineage or relatedness. In our study, when many events were shared between two tumors, PCR validation was performed systematically on events until a shared event, absent from the non-neoplastic lung, was observed. Thus, the identification of metastasis worked on the preface that just a single somatic shared event was diagnostic of lineage. The number of observed events present within each tumor would theoretically strengthen such inference. However, to further define thresholds for clinical applications of an MP lineage test will require analysis of a larger sample set.

For the purpose of determining tumor lineage, the function of a mutation is less important than the fact that the mutation occurs early and is retained in the multiple tumor tissues. In this study, all genes had an equal role in the calling of tumor lineage. Although a number of genes were hit by rearrangements in multiple samples in this study, including the well-known lung tumor gene *ALK*, the sample size of this study was too small to predict driver versus passenger gene functions.

It is important to clarify that sensitivity of lineage detection is somewhat different from the sensitivity of complete breakpoint detection, although it is influenced by it. Sensitivity of lineage detection between two samples is dominated by recurrent high-coverage breakpoints, whereas the sensitivity of complete breakpoint detection is influenced by tumor heterogeneity, sequencing coverage for the region, and contamination by normal cells. In tumors where foci are determined to be in-lineage via the presence of many shared breakpoints validated by Sanger sequencing, the false-negative result will not influence the determination. Therefore, the positive predictive value of lineage detection for 12 tumor pairs (Figs 1D, 3D, and 5B) is essentially 100%. However, in the 10 paired tumors where the two tumors were rendered independent because no shared

#### Murphy et al



Fig 4. Hematoxylin and eosin (H&E) images of tumor pairs from the study group. (A) H&E images of two tumor pairs of predicted metastases: LU30 (upper panels) and LU29 (lower panels). (B) H&E images of two tumor pairs of predicted independent primary tumors: LU26 (upper panels) and LU28 (lower panels). (C) H&E images of two tumor pairs of indeterminate lineage: LU45 (left panels) and LU44 (right panels). (D) H&E images of tumor pairs of LU41 with discrepancy between histologic and genomic prediction. AD, adenocarcinoma; SQ, squamous cell carcinoma.

breakpoints were found (Figs 2D and 5B), the potential false-negative rate is an issue and dependent on algorithmic filters. To achieve a balanced compromise between false-negative and false-positive rates, we set the lower limit of breakpoint-supporting MPs to seven. Concordant mapping MP sequence coverage for all samples was more than 25 reads in regions where breakpoints were detected. With the conservative estimate of a 15% false-negative rate (dictated by the incompleteness of the reference genome and by regions that are difficult to map), we estimated that the probability of relatedness between any two samples is  $P < .15^n$ , where *n* is the lowest number of total breakpoints between the two samples and no commonality is found. For the proposed test to be translated into a clinical setting, a precise criterion for calling lineage will be required. Although just a single shared somatic breakpoint could be considered strong evidence for lineage, this parameter still needs to be addressed with a larger sample study. In addition, the lower limit of total breakpoints present within a tumor, which can still allow an accurate lineage call, will also need to be addressed. Adaptation of testing to small biopsies or cytology specimens will also improve clinical translation.



Fig 5. Breakpoint numbers and polymerase chain reaction (PCR) validations of tumor pairs from the study group, (A) Numbers of genomic breakpoints detected in each tumor pair (y-axis). Total numbers of breakpoint events are listed as unique to either tumor 1 (AD1 or SO1: gold) or tumor 2 (AD2 or SO2: gray) of the tumor pairs or shared between both tumors (blue). (B) PCR validation bands of selected breakpoint events on samples predicted as related (left panels) or independent (central panels). PCR on non-neoplastic lung tissue from the same patient (NL) and a mixed-population independent genomic DNA control (C) were also run on the same agarose gels (1%). Germline validations on patientderived blood DNA (B) are presented in the right panel, together with glyceraldehyde 3-phosphate dehydrogenase (GAPDH) controls. AD, adenocarcinoma; ng, no gene involved; SQ, squamous cell carcinoma. (\*) Indicates the discrepant case, LU41, where the genomic and clinical predictions are discordant.

An important consideration for a diagnostic test of lineage determination in cancer is whether it would be limited to lung cancer alone. Many vexing clinical dilemmas, including synchronous/metachronous SQCC of the head and neck and lung or multiple synchronous tumors of the female genital tract, would all be scenarios where a lineage test based on the detection of unique genomic rearrangements may add value for patient care. Our data suggest this may be an alternative and potentially more accurate and specific route to lineage determination than through the analysis of driver mutations alone. Although more work is required, the data also suggest the potential to improve on clinical and pathologic analysis alone.

## AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST

Disclosures provided by the authors are available with this article at www.jco.org.

## AUTHOR CONTRIBUTIONS

**Conception and design:** Stephen J. Murphy, Marie-Christine Aubry, Tobias Peikert, Ping Yang, George Vasmatzis, Dennis A. Wigle **Administrative support:** Marie-Christine Aubry, George Vasmatzis, Dennis A. Wigle

Provision of study materials or patients: Ping Yang

**Collection and assembly of data:** Stephen J. Murphy, Marie-Christine Aubry, Faye R. Harris, Geoffrey C. Halling, Simone Terra, Travis M. Drucker, Michael K. Asiedu, Ping Yang, George Vasmatzis, Dennis A. Wigle

**Data analysis and interpretation:** Stephen J. Murphy, Marie-Christine Aubry, Faye R. Harris, Geoffrey C. Halling, Sarah H. Johnson, Simone Terra, Travis M. Drucker, Tobias Peikert, Benjamin R. Kipp, Ping Yang, Eunhee S. Yi, George Vasmatzis, Dennis A. Wigle

#### Manuscript writing: All authors

Final approval of manuscript: All authors

#### Murphy et al

## REFERENCES

1. Deschamps C, Pairolero PC, Trastek VF, et al: Multiple primary lung cancers: Results of surgical treatment. J Thorac Cardiovasc Surg 99:769-777, 1990

2. Verhagen AF, Tavilla G, van de Wal HJ, et al: Multiple primary lung cancers. Thorac Cardiovasc Surg 42:40-44, 1994

3. Carey FA, Donnelly SC, Walker WS, et al: Synchronous primary lung cancers: Prevalence in surgical material and clinical implications. Thorax 48:344-346, 1993

4. Pommier RF, Vetto JT, Lee JT, et al: Synchronous non-small cell lung cancers. Am J Surg 171: 521-524, 1996

5. Nakata M, Sawada S, Yamashita M, et al: Surgical treatments for multiple primary adenocarcinoma of the lung. Ann Thorac Surg 78:1194-1199, 2004

6. Chang YL, Wu CT, Lee YC: Surgical treatment of synchronous multiple primary lung cancers: Experience of 92 patients. J Thorac Cardiovasc Surg 134:630-637, 2007

7. Aguiló R, Macià F, Porta M, et al: Multiple independent primary cancers do not adversely affect survival of the lung cancer patient. Eur J Cardiothorac Surg 34:1075-1080, 2008

**8.** Kim TJ, Goo JM, Lee KW, et al: Clinical, pathological and thin-section CT features of persistent multiple ground-glass opacity nodules: Comparison with solitary ground-glass opacity nodule. Lung Cancer 64:171-178, 2009

**9.** Arai J, Tsuchiya T, Oikawa M, et al: Clinical and molecular analysis of synchronous double lung cancers. Lung Cancer 77:281-287, 2012

**10.** Shen KR, Meyers BF, Larner JM, et al: Special treatment issues in lung cancer: ACCP evidence-based clinical practice guidelines. Chest 132:290S-305S, 2007

**11.** Fleisher AG, McElvaney G, Robinson CL: Multiple primary bronchogenic carcinomas: Treatment and follow-up. Ann Thorac Surg 51:48-51, 1991

**12.** Rosengart TK, Martini N, Ghosn P, et al: Multiple primary lung carcinomas: Prognosis and treatment. Ann Thorac Sug 52:773-778, 1991 **13.** Shimizu N, Ando A, Date H, et al: Prognosis of undetected intrapulmonary metastases in resected lung cancer. Cancer 71:3868-3872, 1993

**14.** Adebonojo SA, Moritz DM, Danby CA: The results of modern surgical therapy for multiple primary lung cancers. Chest 112:693-701, 1997

**15.** Yano M, Arai T, Inagaki K, et al: Intrapulmonary satellite nodule of lung cancer as a T factor. Chest 114:1305-1308, 1998

**16.** Trousse D, Barlesi F, Loundou A, et al: Synchronous multiple primary lung cancer: An increasing clinical occurrence requiring multidisciplinary management. J Thorac Cardiovasc Surg 133:1193-1200, 2007

17. Okada M, Tsubota N, Yoshimura M, et al: Operative approach for multiple primary lung carcinomas. J Thorac Cardiovasc Surg 115:836-840, 1998

**18.** Rea F, Zuin A, Callegaro D, et al: Surgical results for multiple primary lung cancers. Eur J Cardiothorac Surg 20:489-495, 2001

**19.** Navin NE, Hicks J: Tracing the tumor lineage. Mol Oncol 4:267-283, 2010

**20.** Murphy SJ, Cheville JC, Zarei S, et al: Mate pair sequencing of whole-genome-amplified DNA following laser capture microdissection of prostate cancer. DNA Res 19:395-406, 2012

**21.** Kotvun IV, Cheville JC, Murphy SJ, et al: Lineage relationship of Gleason patterns in Gleason score 7 prostate cancer. Cancer Res 73:3275-3284, 2013

**22.** Murphy SJ, Wigle DA, Lima JF, et al: Lineage relationships from genomic rearrangements in lung adenocarcinoma in situ and invasive adenocarcinoma. Cancer Res 74:3157-3167, 2014

**23.** Cai X, Sheng J, Tang C, et al: Frequent mutations in EGFR, KRAS and TP53 genes in human lung cancer tumors detected by ion torrent DNA sequencing. PLoS One 9:e95228, 2014

**24.** St John J, Powell K, Conley-Lacomb MK, et al: TMPRSS2-ERG fusion gene expression in prostate tumor cells and its clinical and biological significance in prostate cancer progression. J Cancer Sci Ther 4:94-101, 2012

**25.** Clark J, Merson S, Jhavar S, et al: Diversity of TMPRSS2-ERG fusion transcripts in the human prostate. Oncogene 26:2667-2673, 2007

26. Wang J, Cai Y, Ren C, et al: Expression of variant TMPRSS2/ERG fusion messenger RNAs is

...

associated with aggressive prostate cancer. Cancer Res 66:8347-8351, 2006

**27.** Soda M, Choi YL, Enomoto M, et al: Identification of the transforming EML4-ALK fusion gene in non-small-cell lung cancer. Nature 448:561-566, 2007

**28.** Travis WD, World Health Organization, International Agency for Research on Cancer, et al: Pathology and Genetics of Tumours of the Lung, Pleura, Thymus and Heart. Lyon, France, International Agency for Research on Cancer Press, 2004

29. Travis WD, Brambilla E, Noguchi M, et al: International Association for the Study of Lung Cancer/American Thoracic Society/European Respiratory Society International Multidisciplinary Classification of Lung Adenocarcinoma. J Thorac Oncol 6:244-285, 2011

**30.** Girard N, Deshpande C, Lau C, et al: Comprehensive histologic assessment helps to differentiate multiple lung primary nonsmall cell carcinomas from metastases. Am J Surg Pathol 33:1752-1764, 2009

**31.** Feldman AL, Dogan A, Smith DI, et al: Discovery of recurrent t(6;7)(p25.3;q32.3) translocations in ALK-negative anaplastic large cell lymphomas by massively parallel genomic sequencing. Blood 117: 915-919, 2011

**32.** Vasmatzis G, Johnson SH, Knudson RA, et al: Genome-wide analysis reveals recurrent structural abnormalities of TP63 and other p53-related genes in peripheral T-cell lymphomas. Blood 120:2280-2289, 2012

**33.** Murphy SJ, Hart SN, Lima JF, et al: Genetic alterations associated with progression from pancreatic intraepithelial neoplasia to invasive pancreatic tumor. Gastroenterology 145:1098-1109, 2013

**34.** Gerlinger M, Rowan AJ, Horswell S, et al: Intratumor heterogeneity and branched evolution revealed by multiregion sequencing. N Engl J Med 366:883-892, 2012

**35.** McElroy JP, Nelson MR, Caillier SJ, et al: Copy number variation in African Americans. BMC Genet 10:15, 2009

**36.** Yim SH, Kim TM, Hu HJ, et al: Copy number variations in East-Asian population and their evolutionary and functional implications. Hum Mol Genet 19:1001-1008, 2010

**37.** Zhang Y, Li X, Zhang F, et al: A preliminary study of copy number variation in Tibetans. PLoS One 7:e41768, 2012

#### **AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST**

### Identification of Independent Primary Tumors and Intrapulmonary Metastases Using DNA Rearrangements in Non-Small-Cell Lung Cancer

The following represents disclosure information provided by authors of this manuscript. All relationships are considered compensated. Relationships are self-held unless noted. I = Immediate Family Member, Inst = My Institution. For a detailed description of the disclosure categories, or for more information about ASCO's conflict of interest policy, please refer to the Author Disclosure Declaration and the Disclosures of Potential Conflicts of Interest section in Information for Contributors.

Stephen J. Murphy Research Funding: Oncospire (Inst)

Marie-Christine Aubry Research Funding: Oncospire (Inst)

Faye R. Harris Research Funding: Oncospire (Inst)

Geoffrey C. Halling Research Funding: Abbott Molecular (I), Oncospire (Inst) Patents, Royalties, Other Intellectual Property: Abbott Molecular (I)

Sarah H. Johnson Research Funding: Oncospire (Inst)

Simone Terra Research Funding: Oncospire (Inst)

Travis M. Drucker Research Funding: Oncospire (Inst)

Michael K. Asiedu No relationship to disclose Benjamin R. Kipp
Honoraria: Qiagen
Research Funding: Abbott Molecular
Patents, Royalties, Other Intellectual Property: Receive royalties for
FISH probe set not used in this study

Eunhee S. Yi Research Funding: Pfizer (Inst), Oncospire (Inst)

Tobias Peikert Research Funding: Oncospire (Inst)

**Ping Yang** No relationship to disclose

George Vasmatzis Research Funding: Oncospire (Inst)

Dennis A. Wigle Research Funding: Oncospire (Inst)

## Murphy et al

## Acknowledgment

We thank the Mayo Clinic Biobank for providing normal genomic samples used in establishing our germline rearrangement mask; the efforts of Kathy Laivell and Eric Edell, MD, in selection and acquisition of clinical samples from the Mayo Lung Specimen Registry; and Robert Sikkink and Bruce Eckloff of the Mayo Medical Genome Facility for next-generation sequencing.

## Appendix

Sample	Machine	Total No. of Fragments	Fragments Not Mapped (%)	Replication	Bridged	Base Coverage (X)	Average Mate-Pair Fragment (bp)
LU23 AD1a	HiSea	78 261 948	12.9	19.7	25.5	3.6	2 048
LU23 AD1b	HiSeq	69.571.432	27.8	26.9	18.4	2.4	2,185
LU26 AD1	HiSea	117.629.621	6.3	20.5	35.6	5.6	1.982
LU26 AD2	HiSea	106.004.409	14.4	20.8	40.7	4.7	2.642
LU27 AD1	HiSea	102 885 161	26.2	11.3	32.0	4.4	2 105
LU27 AD2	HiSea	74.356.244	15.3	9.9	30.4	3.7	2,246
1U28 AD1	HiSea	151 862 895	19.3	16.8	54.6	6.7	2 332
	HiSeq	159 939 374	17.3	35.9	36.2	5.1	2,002
11129 AD	HiSea	107 234 794	15.6	17.9	29.1	4.9	1 810
	HiSea	12/ /25 025	13.5	17.5	/8.9	6.2	2 37/
	HiSeq	122 561 8/3	15.3	12.0	38.3	6.0	1 873
	HiSeq	135 020 898	10.2	12.5	51.0	6.8	2 223
	HiSog	124 250 224	14.6	12.5	27.2	5.7	1 950
	LiSeq	102 201 221	14.0	0.7	37.5	5.7	1,000
LUSI_AD	HiSeq	103,201,231	25.1	0.7	34.0	0.Z	1,927
	HiSeq	107,200,001	20.1	10.7	34.9	4.0	2,201
LU32_AD1	HISeq	123,108,047	22.5	11.4	40.1	5.5	2,408
LU32_AD2	HISeq	170,782,535	13.5	17.9	50.9	7.9	1,801
LU33_ADTa	HISeq2000	78,482,640	3.5	17.7	25.5	4.1	1,695
LU33_AD1b	HISeq2000	90,518,578	3.4	31.1	27.4	4.0	1,968
LU33_Brivi	HISeq2000	87,887,485	3.6	36.4	10.5	2.3	1,355
LU34_AD	HiSeq2000	/8,018,388	3.2	20.8	25.8	3.9	1,798
LU34_BrM	HiSeq2000	90,569,506	3.6	8.4	26.6	5.1	1,502
LU38_AD	HiSeq2500	87,588,479	2.4	4.2	66.4	5.0	3,680
LU38_BrM	HiSeq2000	81,965,528	4.0	22.8	25.7	3.9	1,838
LU39_AD	HiSeq2000	120,412,216	2.2	15.7	81.0	6.0	3,765
LU39_BrM	HiSeq2000	149,153,283	2.2	17.6	94.3	7.5	3,487
LU40_SQ1	HiSeq2000	139,718,870	2.5	9.4	97.6	7.9	3,402
LU40_SQ2	HiSeq2000	170,908,065	2.9	12.3	108.2	9.2	3,324
LU41_SQ1	HiSeq2000	140,145,548	2.5	9.4	104.5	7.8	3,679
LU41_SQ2	HiSeq2000	140,555,903	5.6	13.6	92.1	7.3	3,472
LU43_AD1	HiSeq2000	114,529,674	2.6	33.2	64.4	4.7	3,813
LU43_AD2	HiSeq2000	143,789,100	2.8	14.4	97.0	7.8	3,501
LU44_AD1	HiSeq2000	146,515,354	2.5	13.8	94.3	7.9	3,273
LU44_AD2	HiSeq2000	151,949,088	2.5	14.1	96.8	8.0	3,283
LU45_AD1a	HiSeq2000	131,646,178	2.5	13.5	88.9	6.8	3,660
LU45_AD1b	HiSeq2000	122,183,666	2.7	12.2	84.1	6.4	3,587
LU45_AD2	HiSeq2000	154,298,674	2.9	14.0	94.6	7.9	3,264
LU46_AD	HiSeq2500	55,384,261	2.3	3.1	44.9	3.3	3,657
LU46_SQ	HiSeq2500	80,884,315	2.3	3.7	62.9	4.7	3,624
LU47_AD1a	HiSeq2500	65,046,795	2.3	3.7	47.4	3.6	3,621
LU47_AD1b	HiSeq2500	103,749,753	2.4	4.0	71.8	5.6	3,552
Average		116,482,463	7	14	61	6	2.800

## Determining Tumor Lineage Through Somatic Genomic Rearrangements

Sample No.	Clinical Assessment	Histologic Prediction	Genomic Prediction
LU26	Independent primary tumors	Independent primary tumors	Unrelated
LU27	Independent primary tumors	Metastasis	Related
LU28	Independent primary tumors	Independent primary tumors	Unrelated
LU29	Independent primary tumors	Metastasis	Related
LU30	Metastasis	Metastasis	Related
LU32	Independent primary tumors	Independent primary tumors	Unrelated
LU40	Metastasis	Metastasis	Related
LU41	Independent primary tumors	Metastasis	Unrelated
LU43	Independent primary tumors	Independent primary tumors	Unrelated
LU44	Independent primary tumors	Indeterminate	Unrelated
LU45	Indeterminate	Indeterminate	Unrelated