



Published in final edited form as:

Lancet Psychiatry. 2016 June ; 3(6): 555–567. doi:10.1016/S2215-0366(16)00096-1.

Moving towards causality in attention-deficit hyperactivity disorder: overview of neural and genetic mechanisms

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Abstract

Attention-deficit hyperactivity disorder (ADHD) is a neurodevelopmental disorder characterised by developmentally inappropriate levels of inattention and hyperactivity or impulsivity. The heterogeneity of its clinical manifestations and the differential responses to treatment and varied prognoses have long suggested myriad underlying causes. Over the past decade, clinical and basic research efforts have uncovered many behavioural and neurobiological alterations associated with ADHD, from genes to higher order neural networks. Here, we review the neurobiology of ADHD by focusing on neural circuits implicated in the disorder and discuss how abnormalities in circuitry relate to symptom presentation and treatment. We summarise the literature on genetic variants that are potentially related to the development of ADHD, and how these, in turn, might affect circuit function and relevant behaviours. Whether these underlying neurobiological factors are causally related to symptom presentation remains unresolved. Therefore, we assess efforts aimed at disentangling issues of causality, and showcase the shifting research landscape towards endophenotype refinement in clinical and preclinical settings. Furthermore, we review approaches being developed to understand the neurobiological underpinnings of this complex disorder including the use of animal models, neuromodulation, and pharmaco-imaging studies.

Clinical overview: prevalence and symptoms

Attention-deficit hyperactivity disorder (ADHD) prevalence has been estimated at 5.0–7.1% in children and adolescents worldwide.^{1,2} ADHD is diagnosed more frequently in males than in females (2–4 to 1), but the diagnosis in females typically occurs at an older age than in males and might be more prone to detection failures.³ Nonetheless, these sex differences appear to be less pronounced after childhood.³ Although the disorder is typically thought of as a developmental disorder, persistence into adulthood is seen in about 50% of patients.⁴ Prospective studies spanning over 30 years have noted the highly impairing consequences of ADHD.^{5,6} Diagnosis in childhood is associated with poor educational, occupational, economic, and social outcomes, as well as higher criminality in adulthood.^{5,6}

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EFG and JP reviewed the literature, wrote the manuscript, and prepared the figures and tables.

Declaration of interests

JP has received research funding from Shire Pharmaceuticals. EFG declares no competing interests.

According to the American Psychiatric Association's Diagnostic and Statistical Manual of Mental Disorders (5th edition; DSM-5),⁷ a child must present with six or more symptoms in either the inattention or hyperactive and impulsive domains, or both, to be diagnosed with ADHD (panel). Adults (17 years and older) must present at least five symptoms in either domain. With the transition from DSM-IV to DSM-5, the age of onset of symptoms was increased from 7 years to 12 years, allowing more flexibility in diagnosing teenagers and adults. Additionally, DSM-IV subdivided ADHD into three subtypes based on the predominant symptomatology: inattentive, hyperactive and impulsive, or combined. With DSM-5, the term subtype was changed to presentation to reflect that symptom clusters could change over the course of development.

Emotional dysregulation is also frequently observed in ADHD. A recent review largely of clinic-based studies estimated its prevalence at 25–45% in children and 30–70% in adults with ADHD.^{8,9} Emotional dysregulation might reflect aggressive behaviour, emotional lability, poor frustration tolerance, and excessive excitability.⁸ A longitudinal study of children with ADHD followed into adulthood suggested that emotional dysregulation might confer risk for a host of negative occupational and social outcomes above and beyond the effect of inattentive and hyperactive and impulsive symptoms.¹⁰ Because of its impairing consequences, emotional dysregulation is thought to represent an important clinical feature of ADHD, and is considered an associated feature supporting the diagnosis in DSM-5.⁷ Alterations in motivation and processing of reinforcement, which might underlie some of the emotional dysregulation symptoms, have also been reported in ADHD.^{8,11} Children with ADHD often prefer immediate over delayed rewards, are generally less sensitive to reinforcement, and their response to a reward might attenuate more rapidly than that of their unaffected peer.^{12,13}

Understanding the neurobiological basis of ADHD is complicated by the fact that certain behavioural correlates are not always unique to ADHD. For instance, the deficits in working memory, cognitive flexibility, and attention seen in ADHD are similar to those observed in schizophrenia.¹⁴ Additionally, there is evidence for substantial rates of comorbidity with other disorders such as autism spectrum disorders, substance use disorders, and conduct and mood disorders.^{4,15} The subjective nature of symptom assessment and reporting can lead to indistinct diagnoses, which contribute to concerns about the potential over-diagnosis of ADHD.¹⁶ Furthermore, whereas ADHD is a highly heritable disorder, studies have linked ADHD to environmental factors, including exposure to lead¹⁷ and nicotine prenatally.¹⁸ Although we are unable to review the literature of environmental exposures linked to ADHD, detailed reviews on the topic exist.¹⁹ Here we provide an overview of select studies that showcase past and current efforts aimed at uncovering aetiological factors for this complex disorder both at the level of neural circuitry and genetics.

Neural circuits

Neuroimaging studies have implicated several large-scale neural circuits in ADHD with particular emphasis of neural circuits related to sustained attention, inhibitory control, motivation, and emotional regulation. The principal magnetic resonance imaging (MRI) modalities used to study brain structure and function in children and adults with ADHD

include structural MRI, connectivity analyses, and task-based functional MRI (fMRI). Across these modalities, a consistent set of neural circuits has been associated with ADHD including frontoparietal, dorsal frontostriatal, and mesocorticolimbic circuits (figure 1), as well as the default mode and cognitive control networks (figure 2).

Structural MRI studies

Many structural MRI studies have examined volumetric differences in individuals with ADHD relative to healthy controls, and meta-analyses point to a consistent set of findings. In three separate meta-analyses (albeit with considerable overlap in the studies included in these meta-analyses), whole-brain structural MRI data show volumetric reductions in the basal ganglia.²⁰⁻²² The basal ganglia, which receive broad input from the cortical mantle, play a crucial role in goal-directed behaviours, motivation and reward processing, and motor control,²³ all of which are putative dysfunctional cognitive domains in ADHD.^{24,25} Two of these three meta-analyses examined developmental effects by sampling studies of children and adults with ADHD. In these two studies, a statistically significant effect of age was detected suggesting that the volumetric reductions in the basal ganglia attenuate with development and are no longer detectable by adulthood.^{21,22} These findings support a model of ADHD as a disorder of delayed maturation, a hypothesis originally suggested on the basis of the clinical observation that many of the behaviours typical of children with ADHD appear immature in nature and attenuate with development.²⁶

In addition to subcortical volumetric abnormalities, structural MRI studies have examined cortical thickness in ADHD, and suggest abnormalities in cortical thickness in frontal and parietotemporal brain regions. Longitudinal research suggests that cortical thickness abnormalities in ADHD might reflect a delay, rather than an enduring alteration, in cortical development. A longitudinal study²⁷ has compared cortical thickness in 223 children with ADHD with 223 age-matched healthy controls studied with structural MRI at up to four timepoints spanning up to 17 years. Growth trajectories suggested that the children with ADHD probably obtain peak cortical thickness similar to that of healthy controls; however, the age at which they were projected to achieve peak cortical thickness was delayed by 2–5 years.²⁷ Delays in obtaining peak cortical thickness were detected in frontal, parietal, and temporal brain regions, consistent with findings from cross-sectional structural MRI studies showing cortical thickness abnormalities in similar brain regions.²⁸⁻³⁰

Structural MRI studies have revealed consistent volumetric reductions in the basal ganglia in children with ADHD, as well as cortical thickness abnormalities in frontal and parietotemporal regions. Collectively, these findings suggest anomalous development within frontoparietal and frontostriatal circuits, which might play an important part in the deficits in attention and executive functions in ADHD. Evidence of delayed maturation of these circuits supports a model of ADHD as a disorder of delayed neural maturation. However, structural MRI abnormalities in ADHD are correlational in nature, and thus attributing them a causal role in ADHD must remain circumspect.

Connectivity analyses

Developments in clinical neuroscience have led to the prominence of neural circuits, rather than isolated brain regions, as the presumed substrates of psychopathology.³¹ MRI techniques to examine the topology of neural circuits, or neural connectivity, include a range of sophisticated methodologies. We will focus on the three techniques commonly used to study neural connectivity in ADHD: diffusion MRI (dMRI), resting-state functional connectivity, and task-based functional connectivity. Diffusion MRI examines the diffusion of water molecules as an indicator of tissue architecture and the orientation of white matter fibres.³² Resting state functional connectivity examines the correlation, or coherence, of neural activity over time across disparate brain regions.³³ The coherence of neural activity is measured during a state of rest when the experimenter provides no explicit cognitive task or demands, typically instructing individuals to allow their minds to wander freely. Brain regions with synchronous neural activity are termed functionally connected with the assumption that synchronous neural activity across disparate brain regions reflects the intrinsic architecture of neural circuits.³⁴ Task-based functional connectivity is similar; however, the coherence of neural activity is examined in the context of cognitive demands, thereby testing whether connectivity between brain regions is parametrically enhanced or diminished as a function of cognitive load or process.³⁵

dMRI studies have implicated several white matter tracts in ADHD. A meta-analysis of nine dMRI studies in ADHD reported decreased fractional anisotropy (a dMRI measure of white matter organisation) within the anterior corona radiata, internal capsule, and forceps minor.³⁶ Using similar dMRI measures, two studies found reduced orbitofrontal white matter organisation within mesocorticolimbic circuits in children with ADHD.^{37,38} Collectively, dMRI studies suggested deficits in white matter organisation in dorsal frontostriatal and frontoparietal circuits, as well as in mesocorticolimbic circuits, which might relate to deficits in motivation. These findings complement those reported with structural MRI suggesting that cortical and subcortical regions with volumetric abnormalities might be connected via white matter tracts with altered myelination or axonal branching.³⁸

Many resting state functional connectivity studies have been done in ADHD.³⁹⁻⁴² One of the more commonly reported abnormalities is reduced connectivity within the default mode network (DMN).^{39,40,42} Functionally, the DMN, which encompasses the posterior cingulate, medial prefrontal and lateral and inferior parietal cortices, is difficult to characterise but might underlie mental processes such as self-referential cognitions, introspection, and mind-wandering.^{43,44} During task-based functional neuroimaging, the DMN shows enhanced activity when individuals are at rest or engaged in introspective tasks such as recovering autobiographical memories and assessing others' perspectives.⁴³ Conversely, when individuals transition from internally focused cognitions to externally focused, goal-directed tasks, deactivation of the DMN ensues, with stronger deactivations corresponding to increasing attentional demands.^{43,44} One influential hypothesis is that persistent DMN activity in ADHD interferes with sustained attention, which manifests as lapses or errors in goal-directed behaviour, although empirical support for this hypothesis remains limited.^{45,46}

Several resting state functional connectivity studies have focused on the connectivity of the DMN in ADHD positing that altered connectivity might reflect an inability to properly modulate DMN activity.^{40,42,47} Likewise, interactions between the DMN and the cognitive control network (CCN) have also been investigated.^{42,48,49} The CCN encompasses the dorsal anterior cingulate, supplementary motor area, dorsolateral prefrontal cortex, inferior frontal junction, anterior insular cortex, and posterior parietal cortex, and is involved in executive functions such as working memory, inhibitory control, and set shifting.⁵⁰ The DMN and CCN work in opposing directions in relation to attentional demands—as attentional demands increase, activation of the CCN increases, whereas DMN activation decreases; conversely, during periods of internally focused cognitions, activation in the CCN is reduced, and DMN activation increases.^{51–53} The relation between the DMN and CCN is indexed as inversely correlated neural activity, or anti-correlations, in resting state functional connectivity analyses (figure 2).

Resting state functional connectivity studies have reported that individuals with ADHD have weaker connectivity within the DMN.^{39,40,42} Additionally, at least five independent studies of children, adolescents, and adults with ADHD, both with and without exposure to previous medication, have found that anti-correlations between the DMN and CCN are either reduced or absent in ADHD.^{42,48,49,54,55} Resting state functional connectivity studies have also implicated mesocorticolimbic circuits in ADHD, with studies noting altered connectivity between the nucleus accumbens and the orbitofrontal cortex^{41,56} and between the hippocampus and the orbitofrontal cortex.⁵⁷ Though fewer studies have examined task-based functional connectivity in ADHD, they suggest reduced functional connectivity within dorsal frontostriatal and DMN circuits during tasks engaging executive functions.^{46,58}

Consistent with structural MRI studies, connectivity research in ADHD implicates dorsal frontostriatal and mesocorticolimbic circuits with behavioural and symptom correlates in executive functions and motivational deficits, respectively.⁴¹ Connectivity studies also underscore the DMN and CCN as important loci of investigation with hypotheses suggesting that altered interactions between these circuits might underlie attentional lapses.⁴⁵ Although a causal effect is implicit in these hypotheses, caution is warranted given the correlational nature of this research. Indeed, cross-sectional MRI studies cannot discern whether anomalous connectivity leads to symptoms, or whether behavioural and neural adaptation to symptoms begets anomalous connectivity. Experimental approaches that directly test the causality implicit in connectivity-based hypotheses are needed.

Task-based fMRI studies

Task-based functional MRI studies in ADHD have largely focused on two neurocognitive domains: inhibitory control (including response inhibition and interference control) and reward processing. Meta-analyses consistently implicate hypoactivation of frontostriatal and frontoparietal circuits in task-based fMRI studies of inhibitory control in ADHD.^{59,60} For example, a meta-analysis⁵⁹ of 287 individuals with ADHD and 320 healthy controls found that those with ADHD exhibit reduced activation in frontostriatal regions including the right inferior frontal cortex, striatum, and supplemental motor cortex during tasks requiring response inhibition. A meta-analysis⁶⁰ of 55 studies of children (n=39) and adults (n=16)

with ADHD reports ADHD-associated hypoactivation in frontoparietal regions including the dorsolateral prefrontal, anterior cingulate, and inferior parietal cortices. Paralleling findings from functional connectivity analyses, task-based fMRI studies also report hyperactivation of DMN regions including the medial prefrontal cortex, and this finding has been confirmed by meta-analysis.^{46,60}

Whereas the preponderance of task-based fMRI studies has focused on inhibitory control, motivation is also prominently affected in ADHD. The use of fMRI tasks such as the monetary incentive delay task has facilitated probing subcomponents of reward processing in ADHD, such as reward anticipation and reward receipt. A recent meta-analysis reported that six of seven studies using the monetary incentive delay task (or similar tasks) found hypoactivation of the ventral striatum, a central node within mesocorticolimbic circuitry, in individuals with ADHD relative to healthy controls. This hypoactivation was present during the reward anticipation phase of the task with a moderate effect size ($d = 0.48$) and was not seen during reward receipt task conditions.⁶¹

Taken together, MRI studies of ADHD implicated a consistent set of neural circuits with confirmation from several meta-analyses. These circuits include those related to attentional processes and inhibitory control (frontoparietal and dorsal frontostriatal circuits), sustained attention (DMD and DMN–CCN interactions), and motivation (mesocorticolimbic circuits).

Genetics

Overview

ADHD is a highly heritable disorder. Studies of twins, families, and adoptive children or siblings have estimated a heritability ranging from 60% to 90%,^{62,64} making it one of the highest among psychiatric disorders.⁶⁵ Despite substantial evidence for a genetic origin of ADHD, discoveries of specific genes or sets of genes causally linked to the disorder have yet to be made. Hypothesis-driven candidate gene approaches have linked ADHD to several genes, but inconsistent results and small effect sizes limit their interpretation.⁶² A meta-analysis⁶⁶ found significant association of a handful of candidate genes with ADHD, but reported small odds ratios ranging from 1.15 to 1.54 and considerable variability in the reported associations. In a recent review, Hawi and colleagues⁶⁴ highlighted ten candidate genes for which supportive evidence exists, such as meta-analyses, genome-wide association studies (GWAS), large-scale linkage studies, or animal model research. Many of the described gene products are involved in neurotransmission, with one half playing an important part in monoaminergic function (dopamine and serotonin transporters, and D4, D5, and 5-HT1B receptors). Other corroborated risk loci were mapped to genes involved in different aspects of synaptic transmission (*SNAP25*, *NOS1*, *LPHN3*, and *GIT1*). Table 1 shows hypothesised implications of these genes on behaviours related to ADHD. Although these individual associations remain tentative and probably contribute only minimally to overall ADHD risk, they might nonetheless guide future investigation towards intermediate phenotypes.

Genome-wide association studies

GWAS have become an important tool for identifying common genetic variants of small effect size in a given disorder, without relying on candidate genes. With their unique ability to analyse over 1 million single nucleotide polymorphisms (SNPs) across the entire genome, GWAS have been used to address the difficult task of elucidating the genetic basis of polygenic psychiatric disorders.⁶⁵

However, even with the growing number of GWAS in child and adult ADHD, there has been limited success in showing significant genome-wide associations ($p < 5 \times 10^{-8}$).^{64,89} Only two loci (within the *CDH13* and the *GFOD1* genes) have exceeded the critical significance threshold for genome-wide associations with quantitative traits of ADHD.⁸⁷ *CDH13* encodes cadherin-13, a calcium-dependent protein important in cell–cell adhesion and neural cell growth. This gene appears to be particularly promising because it was also detected in two additional GWAS,^{103,104} making it the only ADHD-related variant to be found in independent GWAS. Additionally, SNPs within *CDH13* have been linked to working memory deficits and hyperactivity and impulsivity in individuals with ADHD.^{95,96} Cadherin-13 is expressed in the cerebral cortex,¹⁰⁵ and has also been implicated in schizophrenia and other neuropsychiatric disorders.¹⁰⁶ The other gene, *GFOD1*, is expressed in the brain and is putatively involved in electron transport; its implications for ADHD are less clear.^{87,89}

The weak genome-wide associations to ADHD, and the limited overlap between independent GWAS findings, might be attributable to the small sample sizes of GWAS done in ADHD compared with those of schizophrenia, which report over 100 genome-wide significant loci.⁶⁵ For example, empowered by the findings of large-scale GWAS examining genetic associations with schizophrenia,¹⁰⁷ Sekar and colleagues¹⁰⁸ recently identified a specific functional allele, and provided mechanistic insight into its link to schizophrenia. Despite their limitations, SNP GWAS in ADHD have already identified genetic regions of interest beyond those found through candidate gene approaches. These emerging genes serve diverse neuronal functions ranging from cytoskeletal and extracellular matrix regulation to neurotransmission and neuroplasticity.^{103,104} Further research is necessary to confirm their involvement in ADHD and its traits.

Copy number variants

Whereas early GWAS research focused only on common genetic variants, more recent work has addressed possible associations with rare structural chromosomal abnormalities known as copy number variants (CNVs).^{65,89} One of the first genome-wide analyses of rare CNVs (>500 kb) in ADHD showed an excess of CNVs in British children with ADHD, a finding that was replicated in Icelandic patients.¹⁰¹ A contemporaneous study failed to replicate this excess of CNVs in ADHD, but found rare deletions and duplications in genes implicated in other neurodevelopmental psychiatric disorders, such as autism.¹⁰⁹ However, a large-scale genome-wide CNV study by the same group found enrichment of CNVs in various metabotropic glutamate receptor genes in ADHD,¹¹⁰ supporting a role for glutamatergic dysfunction.

Stergiakouli and colleagues¹¹¹ showed statistically significant convergence of CNVs with ADHD-related SNPs on the same biological pathways. These findings suggest that both SNPs and CNVs are relevant to ADHD risk, and bolster the notion that analysing SNPs through large-scale GWAS might be worthwhile.¹¹¹ However, because CNVs are inherently rare, further work with larger sample sizes will be necessary to confirm the contribution of CNVs to ADHD.⁶⁴ Additionally, whereas different individual genes might be altered among distinct ADHD populations, together these variations could contribute to shared neurobiological mechanisms or pathways underlying the disorder.¹¹²

Polygenic risk scores

Polygenic risk scores aggregate genetic associations over multiple loci and thus can be particularly useful in understanding the contribution of numerous SNPs and CNVs of small effect size to the overall genetic variance of the disorder.¹¹³ Polygenic risk scores obtained from ADHD case-control GWAS were found to be higher in ADHD cases than in controls, though this effect was principally driven by individuals with comorbid aggression.¹¹⁴ This work provided initial evidence that common genetic variants contribute to ADHD risk.¹¹⁴ Common allelic variation in ADHD, as determined by polygenic score analysis, is predictive of attentional and hyperactive and impulsive traits in children who do not have ADHD.¹¹² Moreover, high polygenic risk scores predict ADHD diagnosis and symptom severity.¹¹⁵ These findings suggest overlapping genetic risk factors within individuals diagnosed with ADHD and within individuals from the general population with ADHD-related traits.¹¹⁵ This is consistent with the view that ADHD represents an extreme set of traits that vary dimensionally within the general population.¹¹⁶ Polygenic that are associated not only with behavioural traits, but also with neural phenotypes might increase the likelihood of understanding processes by which genetic effects influence behaviour.

Substantial progress has been made in clarifying the complex genetic architecture of ADHD, yet the mismatch between the high heritability estimates and weak associations between ADHD and specific genetic markers is puzzling. Sequencing of protein-coding regions of the genome, referred to as exome sequencing, has been particularly useful in autism genetics, and emerging work focused on ADHD families supports its discovery potential.^{117,118} A recognised weakness of genetic studies in ADHD thus far is the small sample sizes compared with other psychiatric disorders.^{64,65} This weakness is now being addressed through collaborative efforts and multi-site initiatives.⁶⁴ Other options for achieving greater statistical power might include the combined analysis of ADHD genetics in the context of quantifiable behavioural traits or brain imaging and electrophysiological endophenotypes.^{64,119}

Candidate endophenotypes in ADHD

Given the high polygenicity and overlapping genetics of ADHD with comorbid disorders, it has been proposed that, rather than linking genetic or neurobiological variability to diagnostic symptoms, it might be more useful to analyse discrete quantitative biological traits or endophenotypes.^{16,64,120} Although various definitions have been proposed, endophenotypes often reflect distinct, heritable, and quantifiable traits that are thought to lie

on the path between genes and disorder.¹²⁰ Thus, endophenotypes are putatively more proximal to genetic influence than the disorder itself, and thereby increase statistical power to identify relevant associations between genes and neurobiological mechanisms. Endophenotypes might provide a promising route to simplifying the genetic architecture and aetiology of psychiatric disorders including ADHD.^{16,120}

Endophenotype research in the context of ADHD has been discussed for more than a decade,¹⁶ and continues to expand rapidly through the validation and refinement of new putative cognitive and neural endophenotypes. One of the most reliable candidate endophenotypes is intra-individual reaction-time variability, which refers to the inconsistency in the rate of responding (in the seconds or milliseconds range) during various attentional tasks, including behavioural inhibition, motor speed, and vigilance.¹²¹ Although reaction-time variability is also observed in other clinical disorders, a recent meta-analysis¹²¹ including 319 studies showed a significantly greater occurrence of reaction-time variability in ADHD than in control individuals, and that it is reduced by psychostimulants. The DMN, and its interactions with the CCN, have been implicated in reaction-time variability through its effects on sustained attention.^{122,123}

Children and adolescents with ADHD exhibit difficulty in response inhibition, or the withholding or discontinuation of initiated responses.¹²⁴ Deficits in response inhibition are heritable and have been associated with reduced activation of inferior prefrontal, striatal, and other dorsal frontostriatal regions.¹²⁴ Moreover, unaffected siblings of individuals with ADHD show intermediate effects in response inhibition and associated neural correlates.^{84,124,125} This familial component fits one of the defining characteristics of an endophenotype.¹²⁰

Another commonly studied candidate endophenotype of ADHD is working memory. A meta-analysis of 45 studies of working memory in children with ADHD (8–16 years of age) revealed statistically significant, large deficits in visuospatial and verbal working memory,¹²⁶ consistent with previous findings from another meta-analysis reviewing largely non-overlapping studies.¹²⁷ These deficits might persist into adulthood.¹²⁸ Deficits in working memory might underlie the core symptoms of ADHD¹²⁹ and are generally thought to be linked to dysfunction within the CCN, particularly within prefrontal cortices. However, deficits in working memory are reported across a range of neuropsychiatric disorders,^{130_132} calling into question the specificity of the relation between working memory deficits and ADHD. Working memory might represent a neuropsychological deficit that is trans-diagnostic in nature, as suggested by the National Institute of Mental Health (NIMH) Research Domain Criteria (RDoC) initiative.¹³³

In addition to cognitive endophenotypes, alterations in the structure, connectivity, and function of neural circuits, as discussed above, have been consistently implicated in ADHD, yet whether these brain correlates represent neural endophenotypes remains to be established. For example, heritability is an important criterion for candidate endophenotypes, yet the heritability of ADHD-related abnormalities in neural circuits has scarcely been examined. Some electrophysiological measures have also been proposed as neural endophenotypes.¹³⁴ Alterations in very low frequency oscillations in

electroencephalograms (EEG), for instance, have been observed in individuals with ADHD,¹³⁴ and might be associated with DMN activity.⁴⁵ Whereas this phenotype might affect attentional processes,¹³⁵ further work is needed to determine the functional significance of this measure in ADHD symptoms and aetiology.^{134, 135}

As the number of proposed endophenotypes for ADHD expands, continued validation and refinement will become essential.¹²⁰ This can be achieved by improving the reliability of the quantitative measures and by doing repeated, longitudinal assessments to determine the stability of putative endophenotypes.¹²⁰ Additionally, increased inclusion of twin studies in the analysis of endophenotypes has also been proposed.⁶³ By reducing the number of candidate traits to only those with the highest familial influence, twin studies can enhance the ability to detect key genetic origins.⁶³

Causal modelling

The limitations of correlational research are a perennial concern in biomedical research, and present a difficult issue for chronic, neurodevelopmental disorders such as ADHD. For example, neuroimaging studies reporting hypoactivation of the ventral striatum in ADHD highlight pitfalls inherent to correlational studies. As noted above, hypoactivation of the ventral striatum during reward anticipation appears to be a reliable finding in ADHD and it is correlated with impulsivity. It is tempting to interpret a causal mechanism by which ventral striatal hypoactivation curtails the capacity to delay gratification, leading to the behavioural phenotype of impulsivity. However, this interpretation is complicated by the fact that unaffected, healthy controls display the opposite pattern: impulsivity correlates with increased, not decreased, activation of the ventral striatum.⁶¹ This finding in healthy controls suggests that the hypoactivation seen in ADHD might not represent a causal pathway between neural and behavioural phenotype, but rather a neural adaptation to impulsivity. Children who chronically act impulsively to obtain rewards might, over time, develop an attenuated response to anticipated rewards.⁶¹ Interpreting hypoactivation of the ventral striatum as a consequence, rather than a cause, of ADHD is consistent with the fact that this hypoactivation is noted in adolescents and adults with ADHD, but has not been reported in children. The ambiguities inherent to correlational research call for experimental designs that can more strongly impute causality. Here, we review ongoing efforts aimed at establishing causal relations through the use of animal models, neuromodulation techniques, pharmacoinaging studies, and the use of longitudinal analyses.

Animal models

Decades of work in rodents have shown that it is possible to model many of the behavioural characteristics of ADHD to gain insight into the underlying neurobiology. Rodents have been studied in the greatest detail because of the relative ease with which they can be bred for ADHD-like traits or genetically manipulated to test the role of candidate genes. Several rat and mouse models show face validity, recapitulating the core symptoms of the disorder: hyperactivity, impulsivity, and inattention. In table 2, we present a brief overview of some of these rodent models with a focus on those that have enabled testing of behavioural and neurobiological aspects linked to ADHD, consequences of therapeutic compounds, or

genetic associations. Although extrapolating their features to the disorder in human beings remains tentative, such models have the potential to provide new insight into the mechanisms underlying relevant behavioural traits.

Rodent models of ADHD have helped delineate potential consequences of genes previously associated with ADHD. Moreover, rodent models might also help identify novel ADHD candidate genes.¹⁵⁹ By exploiting the natural variation in behaviours related to the disorder within individual animals and strains, techniques such as quantitative trait loci mapping can help model subtle genetic and phenotypic variation in a population.¹⁵⁹ This approach can enhance the power to detect weak, yet statistically significant associations that might be difficult to detect in GWAS in human beings.¹⁵⁹

The unveiling of the RDoC initiative¹⁶⁰ by NIMH has sparked new interest in the use of animal models to study specific neural circuits and their related behaviours. Rather than modelling all clinical aspects of a psychiatric disorder, RDoC focuses on specific domains of functioning that cut across psychiatric nosology. Virus-mediated gene transfer technologies are enabling delivery of genes of interest to specific brain regions, circuits, and cell populations. Such strategies have the potential to uncover specific roles of ADHD-related genes or their polymorphisms within distinct neural circuits associated with the disorder. Furthermore, viral approaches can be used to deliver genetically encoded calcium indicators to specific cell populations, enabling quantification of circuit activity in behaving animals. Similarly, optogenetic and chemogenetic tools, such as designer receptors exclusively activated by designer drugs (DREADDs), are now routinely used to directly manipulate activity of specific cells during different phases of behavioural assays. For example, recent work showed a disruption of attentional processing in mice following optogenetic silencing of prefrontal cortical fast-spiking parvalbumin interneurons. Conversely, optogenetic synchronisation of firing in these neurons to gamma frequencies resulted in improved performance.¹⁶¹ These tools might also prove advantageous when temporally dissecting complex behaviours such as working memory,¹⁶² which involves multiple phases such as encoding, retention, and retrieval.

Targeting select brain regions or circuitry might also enhance the focus on highly relevant behaviours by reducing extraneous behavioural effects seen with genome-wide genetic manipulations, such as knock-out techniques. Viral strategies can, for example, be a powerful tool to study the consequences of genetic alterations in adolescence or adulthood without the potentially confounding effects of developmental adaptations. These tools can thus serve to test emerging hypotheses about circuit dysfunction and disease course, such as the possibility of adult-onset ADHD¹⁶³ and offer insight into potential therapies. Initial steps have been taken to test the consequences of virus-mediated genetic strategies in rodent behaviours relevant to ADHD. For example, viral overexpression of SNAP-25 in the dorsal hippocampus of young adult rats increases glutamatergic transmission and is sufficient to mediate substantial cognitive deficits.¹⁶⁴ More recent work has shown that downregulation of SERT in rat hippocampus attenuates locomotor activity and impulsivity, suggesting that increased serotonergic transmission within this brain region could potentially ameliorate some symptoms of ADHD.¹⁶⁵

Although rodent models will continue to be essential, the study of non-human primates might offer a better model of complex human brain circuitry, particularly in the context of the prefrontal cortical dysfunction seen in ADHD.^{24,28} As with non-human primate models of other disorders such as Parkinson's disease,¹⁶⁶ viral gene delivery could open the door to refined manipulations of brain regions and distinct cell populations in these animals for the study of ADHD endophenotypes.

Despite the inevitable limitations of studying the heterogeneous manifestations of ADHD in animals, a combinatorial approach to modelling specific aspects of the disorder across different models and species is a necessary route towards deciphering the aetiological basis of the disorder.

Assessing causality in human beings

Efforts are also underway to test causal relations between neural correlates and ADHD traits in human beings. Methodologically, these efforts have centred on neuromodulation techniques, such as transcranial magnetic stimulation and transcranial direct current stimulation, and longitudinal pharmacoinaging studies, both of which allow investigators to experimentally manipulate neural circuits of interest. By manipulating neural circuits investigators can test whether perturbation of these substrates exacerbates or attenuates ADHD-like behaviours. For example, previous studies have associated dorsal frontostriatal dysfunction with deficits in response inhibition in ADHD. However, lacking experimental manipulation, a gap has remained between these descriptive studies and causal inferences. Pharmacoinaging studies have, to some extent, helped address this gap. This research suggests that baseline abnormalities in frontostriatal circuits are normalised by psychostimulant treatment, relative to placebo, and that this normalisation is associated with improvements in response inhibition.¹⁶⁷ Though informative, some caution in imputing causality on the basis of this research is still warranted as pharmacological probes typically lack the specificity needed to draw firm mechanistic conclusions. Psychostimulants, for example, affect multiple neural systems, and thus normalising frontostriatal circuits might be coincident with their effects on other circuits. Arguably, psychostimulant effects on neural circuits other than frontostriatal circuits could be mediating improvements in response inhibition. Future studies might obtain greater specificity, and thus bolster causal inferences, by comparing pharmacological agents with distinct mechanisms of action. Neuromodulation techniques combined with neuroimaging might also help show causal relations. Although such research has not yet been done in ADHD, Chen and colleagues¹⁶⁸ found that transcranial magnetic stimulation of nodes within the CCN causes inhibition of the DMN. This work provides a mechanistic account of the inverse relations between the CCN and DMN suggested by resting fMRI studies (see above). Future studies could test whether transcranial magnetic stimulation-induced changes in the balance between the CCN and DMN result in changes in sustained attention or ADHD-related symptoms.

Conclusions

ADHD is a heterogeneous neurodevelopmental disorder that impairs the quality of life of millions of children, adolescents, and adults worldwide, yet the neurobiological

underpinnings of the disorder are not well understood. Given the multifactorial origin and complex symptomatology of ADHD, substantial research efforts over the past two decades have used new technologies to investigate genetic and neural alterations associated with ADHD. Although candidate genes and neurotransmitter systems have been implicated in ADHD, genome-wide associations between ADHD and individual genetic variants have yet to be found. Thus, their contributions to our understanding of ADHD aetiology are limited. However, larger-scale, multicentre approaches are now underway, representing one promising avenue towards deciphering the genetic architecture of this polygenic disorder. Additionally, much progress has been made in identifying key brain circuits and regions whose structure, function, and connectivity are impaired in ADHD. One of the greatest challenges still to be met is establishing causal relations between these neural alterations and the disorder. A deeper understanding of neural circuits and their function will probably require improved neuroimaging methods combined with experimental manipulations, such as refined neuromodulation and pharmacological approaches. Furthermore, relying on well characterised animal models and powerful technologies, such as *in vivo* optogenetics, might enable selective manipulations of the implicated circuitry during ADHD-related tasks. A crucial future direction for ADHD research is to triangulate at causality by coupling studies of human beings and animals. Continued examination of reliable endophenotypes might also bridge the gap from genes to behaviour and provide a useful convergence point for ADHD research across species. Mapping causal pathways from genes to neural circuits to symptoms is essential to isolating targets for novel interventions and preventive strategies to curtail the effects of this remarkably common and impairing condition.

Acknowledgments

This work was supported in part by NIH K01MH107648 to EFG and by the Edwin S Webster Foundation to JP.

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Panel: Attention-deficit hyperactivity disorder symptoms (DSM-5)⁷**Inattention**

- Fails to give close attention to details or makes careless mistakes in schoolwork, work, or during other activities
- Has difficulty sustaining attention in tasks or play activities
- Does not seem to listen when spoken to directly
- Does not follow through on instructions and fails to finish school work, chores, or duties in the work place
- Has difficulty organising tasks and activities
- Avoids or is reluctant to engage in tasks that require sustained mental effort
- Often loses things necessary for tasks or activities
- Easily distracted by extraneous stimuli or thoughts
- Is often forgetful in daily activities

Hyperactivity and impulsivity

- Fidgets with or taps hands or squirms in seat
- Leaves seat in situations when remaining seated is expected
- Runs about or climbs, or is restless in situations where it is inappropriate
- Unable to play or engage in leisure activities quietly
- Is often on the go acting as if driven by a motor
- Talks excessively
- Blurts out answers before questions have been completed
- Has difficulty awaiting turn
- Interrupts or intrudes on others

Search strategy and selection criteria

We searched PubMed for articles published by Feb 20, 2016, with an emphasis on the previous 10 years (Jan 1, 2006 to Feb 20, 2016). English and non-English language publications were considered in our search. Primary and review articles resulting from these searches, together with relevant references cited within those articles were included. On account of limited space we occasionally cite review papers in place of primary reports. We used the following search terms: “ADHD”, “neurobiolog*”, “neural circuits”, “brain imaging”, “genetics”, “endophenotypes”, and “animal models”.

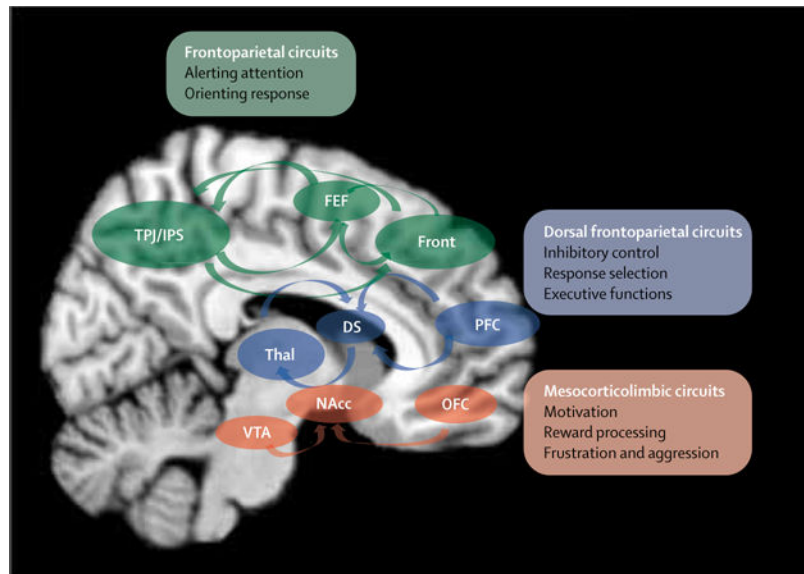


Figure 1. Neural circuits implicated in attention-deficit hyperactivity disorder

Frontoparietal circuits encompass the frontal lobes (front), including the supplemental motor area and frontal eye fields (FEF), and the temporal parietal junction and inferior parietal sulcus (TPJ/IPS). These circuits underlie attentional processes including the altering and orienting of attentional resources. Dorsal frontostriatal circuits encompass the dorsolateral prefrontal cortex (PFC), dorsal striatum (DS), and the thalamus. These circuits underlie inhibitory control including response inhibition and interference control. Mesocorticolimbic circuits encompass the orbitofrontal cortex (OFC), ventral striatum and nucleus accumbens (NAcc), ventral tegmental area (VTA), and anterior hippocampus. These circuits underlie reward and emotional processes including motivation, frustration tolerance, and reward anticipation.

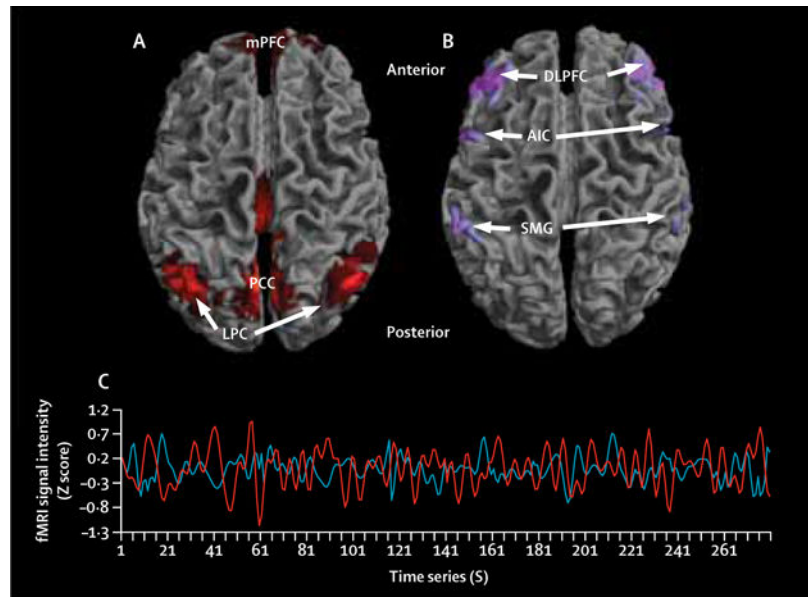


Figure 2. Functional connectivity within the default mode network (DMN) and the cognitive control network (CCN)

(A) Resting state functional connectivity maps of the DMN. Red shows positively correlated functional magnetic resonance imaging (fMRI) signal, or positive connectivity, within regions of the DMN including the medial prefrontal cortex (mPFC), posterior cingulate cortex (PCC), and lateral parietal cortex (LPC). (B) Resting state functional connectivity maps of the CCN. Purple shows positively correlated fMRI signal within regions of the CCN including the dorsolateral prefrontal cortex (DLPFC), anterior insular cortex (AIC), and supramarginal gyrus (SMG). (C) Time series data extracted from the DMN (red) and the CCN (blue) show inversely correlated fMRI signal intensity between the DMN and CCN.

Table 1

Selected candidate genes implicated in attention-deficit hyperactivity disorder (ADHD)

	Gene product or function	Method(s) used to show association	Hypothesised links to ADHD-related phenotypes
<i>SLC6A3 (DAT)</i>	Dopamine re-uptake, transporter	Candidate gene ⁶⁷	Inhibition, ⁶⁸ attentional flexibility, ⁶⁹ inattention, ⁷⁰ impulsivity ⁷¹
<i>DRD4</i>	Dopamine D4 receptor	Candidate gene, linkage studies ^{72,73}	Verbal memory skills, ⁶⁸ inattention, ⁷⁴ inattention and hyperactivity ⁷⁰
<i>DRD5</i>	Dopamine D5 receptor	Candidate gene, GWAS ^{75,76}	Inattention, response time variability ^{77,78}
<i>SLC6A4 (SERT)</i>	Serotonin reuptake, transporter	Candidate gene ^{79,80}	Delay aversion and motivational dysfunction ⁸¹
<i>HTR1B (5HT1B)</i>	Serotonin receptor	Candidate gene ⁸²	Inattention, ⁸³ response inhibition ⁸⁴
<i>SNAP25</i>	Neurotransmission	Candidate gene ⁸⁵	Impulsivity, inattention ⁸⁶
<i>NOS1</i>	Nitric oxide synthase, neurotransmission, neuroplasticity	Candidate gene, GWAS ^{87,88}	Impulsivity, aggressivity, hyperactivity ⁸⁸
<i>SLC9A9</i>	Ion transport	Linkage, GWAS ^{89,90}	Impulsivity ⁹¹
<i>LPHN3</i>	GPCR, cell adhesion, signal transduction	Linkage ⁹²	Inattention ⁹³
<i>GIT1</i>	GPCR kinase, vesicle trafficking, cell adhesion, cell migration	Candidate gene ⁹⁴	Learning deficits ⁹⁴
<i>CDH13</i>	Cell-cell adhesion and neural cell growth	GWAS, candidate gene ^{87,95,96}	Working memory deficits, ⁹⁵ hyperactivity and impulsivity ⁹⁶
<i>GFOD1</i>	Glucose-fructose oxidoreductase-domain containing 1, electron transport	GWAS ⁸⁷	Not described
<i>CNR1</i>	Cannabinoid receptor, neurotransmission	Linkage studies, candidate gene ^{97,98}	Impulsivity, ⁹⁹ drug abuse ¹⁰⁰
<i>CHRNA7</i>	Nicotinic acetylcholine receptor 7	GWAS ¹⁰¹	Inattention ¹¹⁴

GPCR=G protein-coupled receptor. GWAS=genome-wide association studies

Table 2

Rodent models of attention-deficit hyperactivity disorder (ADHD) and selected behavioural and neurobiological abnormalities

	Manipulation	Behavioural phenotypes relevant to ADHD	Associated cellular abnormalities
Spontaneously hypertensive rat ¹³⁶	Selective breeding of a spontaneous trait	Hyperactivity in familiar environments, motor impulsivity, ¹³⁷ sensitivity to delay in reinforcement ¹³⁸	Insertion in non-coding region of <i>DAT</i> gene, defective dopamine vesicular storage and metabolism ¹³⁹
High impulsive rat ¹⁴⁰	Selective breeding of a spontaneous trait	Impulsive behaviour, deficits in premature responding, but not response inhibition ¹⁴¹	Reduced <i>Drd2</i> expression in ventral striatum ¹⁴⁰
DAT KO mouse ¹⁴²	Ablation of <i>DAT</i> gene	Hyperactivity, ¹⁴² which might be sensitive to AMPH, MPH, and serotonergic drugs; ¹⁴³ impaired learning and memory; heightened sensitivity to pro-cognitive effects of nicotine ¹⁴⁴	Altered dopamine transmission ¹⁴⁵
Neonatal 6- hydroxydopamine (6-OHDA) lesion model in rodents ^{139,146}	Ablation of dopaminergic neurons in early postnatal life	Hyperactivity that is sensitive to MPH, AMPH and <i>Drd4</i> antagonists; ^{147,148} working memory, social behaviour, and learning deficits ¹⁴⁹	Corticostriatal maturation and connectivity alterations, ¹⁴⁹ decreased striatal dopamine ¹⁵⁰
Coloboma mutant mouse ¹⁵¹	Deficient expression of <i>SNAP-25</i> gene resulting from chromosomal mutation	Hyperactivity ¹⁵¹ that is sensitive to AMPH; impulsivity; inattention ⁸⁶	Impaired glutamatergic and monoaminergic neurotransmission ¹⁵²
GIT1 KO mouse ^{94,153}	Ablation of <i>GIT1</i> gene	Hyperactivity, learning and memory impairments ⁹⁴	Increased cortical EEG theta range activity, ⁹⁴ abnormal hippocampal spine formation ¹⁵³
NOS1 KO mouse ¹⁵⁴	Ablation of the gene coding for neuronal nitric oxide synthase	Aggression, hyperactivity, impulsivity ^{155,156}	Impaired glutamatergic signalling and neuroplasticity ^{157,158}

DAT=dopamine transporter. Drd2=dopamine D2 receptor. KO=knockout. AMPH=amphetamine. MPH=methylphenidate. Drd4=dopamine D4 receptor. GIT1=G protein-coupled receptor kinase-interacting protein-1. EEG=electroencephalogram.