



Published in final edited form as:

*Acc Chem Res.* 2016 January 19; 49(1): 115–127. doi:10.1021/acs.accounts.5b00425.

## Rh-Catalyzed Intermolecular Reactions of $\alpha$ -Alkyl- $\alpha$ -Diazo Carbonyl Compounds with Selectivity over $\beta$ -Hydride Migration

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### CONSPECTUS

Rh-carbenes derived from  $\alpha$ -diazocarbonyl compounds have found broad utility across a remarkable range of reactivity, including cyclopropanation, cyclopropanation, C–H insertions, heteroatom–H insertions, and ylide forming reactions. However, in contrast to  $\alpha$ -aryl or  $\alpha$ -vinyl- $\alpha$ -diazocarbonyl compounds, the utility of  $\alpha$ -alkyl- $\alpha$ -diazocarbonyl compounds had been moderated by the propensity of such compounds to undergo intramolecular  $\beta$ -hydride migration to give alkene products. Especially challenging had been intermolecular reactions involving  $\alpha$ -alkyl- $\alpha$ -diazocarbonyl compounds.

This account discusses the historical context and prior limitations of Rh-catalyzed reactions involving  $\alpha$ -alkyl- $\alpha$ -diazocarbonyl compounds. Early studies demonstrated that ligand and temperature effects could influence chemoselectivity over  $\beta$ -hydride migration. However, effects were modest and conflicting conclusions had been drawn about the influence of sterically demanding ligands on  $\beta$ -hydride migration. More recent advances have led to a more detailed understanding of the reaction conditions that can promote intermolecular reactivity in preference to  $\beta$ -hydride migration. In particular, the use of bulky carboxylate ligands and low reaction temperatures have been key to enabling intermolecular cyclopropanation, cyclopropanation, carbonyl ylide formation/dipolar cycloaddition, indole C–H functionalization, and intramolecular bicyclobutanation with high chemoselectivity over  $\beta$ -hydride migration. Cyclic  $\alpha$ -diazocarbonyl compounds have been shown to be particularly resilient toward  $\beta$ -hydride migration, and are the first class of compounds that can engage in intermolecular reactivity in the presence of tertiary  $\beta$ -hydrogens. DFT calculations were used to propose that for cyclic  $\alpha$ -diazocarbonyl compounds, ring constraints relieve steric interaction for intermolecular reactions, and thereby accelerate the rate of intermolecular reactivity relative to intramolecular  $\beta$ -hydride migration.

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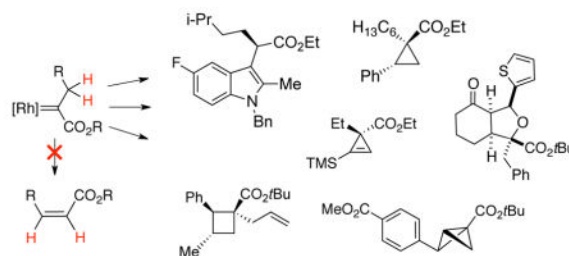
‡Author Contributions: These authors contributed equally.

### Notes

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Enantioselective reactions of  $\alpha$ -alkyl- $\alpha$ -diazocarbonyl compounds have been developed using bimetallic *N*-imido-*tert*-leucinate-derived complexes. The most effective complexes were found by computation and X-ray crystallography to adopt a “chiral crown” conformation in which all of the imido groups are presented on one face of the paddlewheel complex in a chiral arrangement. Insight from computational studies guided the design and synthesis of a mixed ligand paddlewheel complex,  $\text{Rh}_2(\text{S-PTTL})_3\text{TPA}$ , the structure of which bears similarity to the chiral crown complex  $\text{Rh}_2(\text{S-PTTL})_4$ .  $\text{Rh}_2(\text{S-PTTL})_3\text{TPA}$  engages substrate classes (aliphatic alkynes, silylacetylenes,  $\alpha$ -olefins) that are especially challenging in intermolecular reactions of  $\alpha$ -alkyl- $\alpha$ -diazoesters, and catalyzes enantioselective cyclopropanation, cyclopropenation, and indole C–H functionalization with yields and enantioselectivities that are comparable or superior to  $\text{Rh}_2(\text{S-PTTL})_4$ .

The work detailed in this account describes progress toward enabling a more general utility for  $\alpha$ -alkyl- $\alpha$ -diazo compounds in Rh-catalyzed carbene reactions. Further studies on ligand design and synthesis will continue to broaden the scope of their selective reactions.



CONSPECTUS GRAPHIC

## Introduction

There is an ever-growing need for new chemical reactivity that can be utilized to rapidly transform simple building blocks into complex molecules in several areas of chemical research including the academic, pharmaceutical, agrochemical, and fine chemical sectors. Rh-catalyzed reactions of diazo compounds provide a powerful and versatile set of methods for C–C and C–X (X = heteroatom) bond construction, including X–H insertion reactions (where X = C, N, O, Si, S), [2+1] cycloaddition reactions (cyclopropanation, cyclopropenation), and ylide forming reactions (Scheme 1).<sup>1</sup> The key putative intermediate in these processes is a Rh-carbene, generated from the reaction between a dirhodium(II) paddlewheel complex and a diazo compound via the loss of dinitrogen.<sup>2</sup>

Many reactions of functionalized diazo compounds have been described. However, reactions involving simple  $\alpha$ -alkyl-substituted  $\alpha$ -diazo compounds had been less general. This discrepancy is primarily due to the propensity of the carbene to undergo a  $\beta$ -hydride migration— an olefin-forming pathway that typically precludes intermolecular reactivity (Scheme 2A). Our calculations have suggested that the mechanism of  $\beta$ -hydride migration is a concerted but nonsynchronous process.<sup>3</sup> Qualitatively, the susceptibility of  $\alpha$ -diazocarbonyl compounds (**I**–**III**) toward  $\beta$ -hydride migration is inversely related to  $\beta$ -C–H bond strength, with methine C–H bonds of **III** having the highest propensity for migration (Scheme 2B).

## Background

In seminal work by Ganem in 1981, it was demonstrated that intramolecular benzoate migration or intramolecular cyclopropanation could outcompete  $\beta$ -hydride migration under  $\text{Rh}_2(\text{OAc})_4$ -catalysis (Scheme 3).<sup>4a</sup> However, in cases where alternative intramolecular processes were not accessible, *cis*-enoates – the products of  $\beta$ -hydride migration – were predominantly formed. The following year, McKervey reported the seminal example of intermolecular S–H insertions of  $\alpha$ -diazoketones with selectivity over  $\beta$ -hydride migration (Scheme 3).<sup>4b</sup>

## Intramolecular Reactions

Following these early studies, examples of Rh-catalyzed reactions of  $\alpha$ -alkyl- $\alpha$ -diazocarbonyl compounds were reported for several intramolecular processes, with landmark contributions from the groups of Padwa and Taber.<sup>5–11</sup> Padwa demonstrated that intramolecular carbonyl ylide formation and alkyne insertion reactions proceed in preference to  $\beta$ -hydride migration.<sup>6</sup> Subsequently, Taber established that intramolecular C–H insertion can compete with  $\beta$ -hydride migration.<sup>9</sup> Ligand effects were noted with respect to their ability to bias reactivity away from  $\beta$ -hydride migration. Selectivity for cyclopentane formation is increased from 78:22 using dirhodium tetraoctanoate to 85:15 using more sterically demanding dirhodium tetrapivalate (Scheme 4A).<sup>9a</sup> By contrast  $\beta$ -hydride migration was exacerbated using sterically demanding dirhodium tetratriphenylacetate (80:20) instead of dirhodium tetraoctanoate (97:3) (Scheme 4B).<sup>9c</sup> These observations led to suggestion that sterically demanding ligands *promote*  $\beta$ -hydride migration.<sup>9c</sup> As discussed below, we have shown that large carboxylate ligands in combination with low temperature can suppress  $\beta$ -hydride migration and favor intermolecular reactivity.

In a more recent intramolecular C–H insertion study by Hashimoto,<sup>9e</sup> an increase in selectivity over  $\beta$ -hydride migration was observed by running the reactions at lower temperatures (Scheme 5). At 0 °C, the ratio of cyclopentane:olefin observed was ~4:1; at –78 °C, no product of  $\beta$ -hydride migration was detected.

## Intermolecular Reactions

In contrast to the amount of chemoselective intramolecular Rh-catalyzed reactions reported of  $\alpha$ -alkyl- $\alpha$ -diazocarbonyl compounds, intermolecular reactions were primarily limited to substrates with  $\alpha$ -methyl substitution which possess relatively strong  $\alpha$ -C–H bonds (*vide supra*). Examples of intermolecular reactions with weaker C–H bonds (i.e. methylene) were comparatively rare and had been limited to insertions into heteroatom–H bonds.<sup>9d, 12–15</sup>

An early study by Moody revealed that ligand structure can influence selectivity over  $\beta$ -hydride migration relative to O–H insertion reactions of water (Scheme 6).<sup>14a</sup> Thus, the reaction of ethyl 2-diazo-*trans*-hydrocinnamate employing  $\text{Rh}_2(\text{OAc})_4$  gave the insertion product with moderate selectivity (82:18, favoring insertion), whereas more sterically demanding catalysts featuring 2,4,6-trimethylbenzoate and *o*-phenylbenzoate ligands provided an increase in chemoselectivity to 91:9 and 94:6, respectively. Shortly afterwards, Landais demonstrated modest selectivity over  $\beta$ -hydride migration in Si–H insertion reactions with

various  $\alpha$ -alkyl substituents in the presence of  $\text{Rh}_2(\text{OAc})_4$  (Scheme 7).<sup>12a</sup> Cobb demonstrated the feasibility of intermolecular Rh-catalyzed N–H insertion reactions in an SAR study of agonists for PPAR $\gamma$  which has been implicated in the treatment of type 2 diabetes (Scheme 8).<sup>13</sup>

While the studies above collectively demonstrated that ligand and temperature effects can influence chemoselectivity over  $\beta$ -hydride migration, the effects were modest and conflicting conclusions had been drawn about the influence of sterically demanding ligands on  $\beta$ -hydride migration. The ability to promote intermolecular reactions of  $\alpha$ -alkyl- $\alpha$ -diazocarbonyl compounds was underdeveloped and substrate specific, with only a limited appreciation of how ligand and temperature considerations could be used to bias reactions for intermolecular reactions in preference to intramolecular  $\beta$ -hydride migration.

## Development of General Conditions for Intermolecular Reactions

In 2007, our group reported conditions for intermolecular cyclopropanation and tandem alkyne insertion/Buchner ring expansion using  $\alpha$ -alkyl- $\alpha$ -diazoesters.<sup>16</sup> These studies represented the first general intermolecular Rh-catalyzed C–C bond forming reactions that tolerated  $\beta$ -hydrogens. As shown in Scheme 9, several Rh-catalysts were surveyed in the reaction of ethyl  $\alpha$ -diazobutanoate, mostly revealing low yields of cyclopropene with significant amounts of ethyl *cis*-crotonate, the product of  $\beta$ -hydride migration. However, a dramatic chemoselectivity reversal was observed upon employing sterically demanding ligands. Additionally, low temperature proved to be vital to the suppression of  $\beta$ -hydride migration; the  $\text{Rh}_2(\text{OPiv})_4$ -catalyzed reaction at room temperature gave 70% of *cis*-crotonate, while at  $-78$  °C useful yields of cyclopropene were observed. The use of sterically demanding carboxylate ligands and low temperatures would be a recurring theme in the future development of Rh-catalyzed reactions with high selectivity over  $\beta$ -hydride migration (Scheme 9). A range of terminal alkynes efficiently engaged in cyclopropanation reactions with  $\alpha$ -diazopropanoate,  $\alpha$ -diazobutanoate, and  $\alpha$ -diazohydrocinnamate with high selectivity over  $\beta$ -hydride migration (Scheme 10).

Interestingly, with  $\text{Rh}_2\text{TPA}_4$  as the catalyst in the reactions of aryl alkynes and  $\alpha$ -diazohydrocinnamate, yet another chemoselective pathway was accessed, and angularly substituted dihydroazulenes were obtained (Scheme 11). An alkyne insertion/Buchner ring expansion mechanism was proposed to rationalize the formation of these scaffolds.

Cyclopropanation reactions of olefins could be similarly promoted with high selectivity over  $\beta$ -hydride migration at  $-78$  °C with the appropriate choice of ligand.<sup>17</sup> The use of  $\text{Rh}_2(\text{OPiv})_4$ , the optimal catalyst for cyclopropanation, led to modest yield of cyclopropane isomers with poor diastereocontrol (Scheme 12). However, both yield and diastereoselectivity could be substantially improved by using bulkier ligands, with  $\text{Rh}_2\text{TPA}_4$  proving to be optimal as the cyclopropane was formed in 73% yield and 98:2 dr. With  $\text{Rh}_2\text{TPA}_4$ , the diastereoselectivities with  $\alpha$ -alkyl- $\alpha$ -diazoacetates are comparable to the high diastereoselectivity that can be obtained with  $\alpha$ -aryl or  $\alpha$ -vinyl diazoacetates.<sup>1d</sup> As discussed below, attractive substrate–ligand interactions can be critical to achieving stereoselectivity and favoring intermolecular reaction pathways over  $\beta$ -hydride migration. The greatly

improved but still imperfect selectivity for cyclopropanation over alkene formation highlights the need for continued ligand development to completely suppress the  $\beta$ -hydride migration pathway.

The Rh<sub>2</sub>TPA<sub>4</sub>-catalyzed cyclopropanation of  $\alpha$ -alkyl- $\alpha$ -diazoesters was successful with a variety of olefins including styrene derivatives, dihydropyran, and butyl vinyl ether (Scheme 13).<sup>17</sup> Excellent yields were obtained using the diazoester in excess. Good yields were also observed when the stoichiometry was inverted and olefin was used in 3-fold excess. In nearly all cases, the diastereoselectivity was 95:5.

1,3-Dipolar cycloaddition reactions of carbonyl ylides can be used to rapidly and efficiently generate structurally complex heterocycles, and the Rh-catalyzed reaction between diazoesters and aldehydes is a well-established method to generate these dipoles. Although several examples of intramolecular reactions between carbonyls and Rh-carbenes have been reported with selectivity over  $\beta$ -hydride migration by Padwa and others,<sup>7</sup> intermolecular variants were unknown. In addition to the issue of intramolecular  $\beta$ -hydride migration, carbonyl ylides can undergo electrocyclic ring closure to form epoxides,<sup>18</sup> further react with carbonyls to form 1,3-dioxolanes,<sup>19</sup> or react with external dipolarophiles to form dihydro- and tetrahydrofurans.<sup>19f,20</sup> These pathways can be additionally complicated by the challenges of diastereo- and regioselectivity. As a result, multi-component Rh-catalyzed dipolar cycloaddition reactions that exhibit high chemo-, regio-, and stereocontrol were only known with extremely limited substrate scope.<sup>19c,d,f</sup> In reactions of aryl aldehydes and  $\alpha$ -alkyl- $\alpha$ -diazoesters, Rh<sub>2</sub>(OPiv)<sub>4</sub> was again found to be optimal in avoiding  $\beta$ -hydride migration, and 1,3-dioxolanes were formed in good to high yield with unusually high diastereoselectivity (Scheme 14). The requisite 2-aryl-1,3-dioxolanes are readily hydrolyzed, providing stereoselective access to substituted vicinal diols (Scheme 15).

Given the unusually reactive nature of the putative carbonyl ylides derived from  $\alpha$ -alkyl- $\alpha$ -diazoesters, we considered that it should be possible to intercept them with external dipolarophiles in three-component reactions. Indeed, we found that these ylides could be trapped by a wide variety of dipolarophiles in highly regio- and diastereoselective reactions to form densely functionalized dihydro- and tetrahydrofuran products (Scheme 16).<sup>21a</sup> In these cycloaddition reactions to form 1,3-dioxolanes or dihydro/tetrahydrofurans, the major diastereomer observed arises via an *endo*-transition state (Scheme 17).

Chemoselective Rh-catalyzed reactions of  $\alpha$ -diazocarbonyl compounds with tertiary  $\beta$ C–H bonds are especially challenging, as intermolecular reactions of  $\beta$ -substituted  $\alpha$ -diazocarbonyl compounds had only been described in cases where  $\beta$ -hydride migration would lead to highly-strained products.<sup>22</sup> In Rh-carbenes derived from  $\alpha$ -alkyl- $\alpha$ -diazoesters, the carbonyl function is aligned perpendicular to the plane of the carbene to avoid conjugation with the electron-deficient carbene (Figure 1a). In this conformation, the carbonyl sterically hinders the intermolecular approach of substrates. We rationalized that with the use of  $\beta$ -substituted cyclic  $\alpha$ -diazocarbonyl compounds, the ring would constrain the carbonyl and carbene to be more coplanar which would allow for a less hindered approach of substrates and accelerate intermolecular reactions (Figure 1b).<sup>3</sup> Furthermore,  $\beta$ -

substituted lactones, lactams, and cyclic ketones are readily accessed in enantioenriched form by asymmetric conjugate addition or conjugate reduction.<sup>23</sup>

As with several of the previous systems we studied,  $\text{Rh}_2(\text{OPiv})_4$  was the optimal catalyst in a model cyclopropanation reaction of styrene with 3-diazo-4-methylidihydrofuran-2-one, giving cyclopropane in 85% yield and 95:5 dr (Scheme 18, top). The chemoselective intermolecular reactivity of cyclic  $\alpha$ -diazocarbonyl compounds is broad. Cyclic  $\alpha$ -diazo- $\beta$ -substituted butyro- and valerolactone, butyrolactam, and cyclohexanone substrates were efficient carbene precursors, although an attempted cyclopropanation reaction using  $\alpha$ -diazo- $\beta$ -ethylcaprolactone failed. Successful reactivity was observed with varied olefin (cyclopropanation) and alkyne (cyclopropenation) substrates with high diastereoselectivity (93:7). The cyclic diazo compounds effectively engage  $\alpha$ -olefins and aliphatic alkynes, both of which are challenging substrates with acyclic  $\alpha$ -diazoesters.<sup>3</sup> Furthermore, several X-H insertion reactions of alcohol, thiol, amine, silane, and indole substrates proceeded in good yield (Scheme 18, bottom).

The ground states of Rh-carbenes derived from cyclic lactones of ring sizes 5-7 were examined computationally and compared to that of the carbene derived from (acyclic)  $\alpha$ -diazobutyric acid (Figure 2). In line with previous studies,<sup>2c,24</sup> the model Rh-carbene derived from  $\alpha$ -diazobutyric acid features a nearly perpendicular arrangement of the carbonyl with respect to the Rh=C bond (Rh-C-C-O dihedral angle  $\angle 93.9^\circ$ ). In contrast, the dihedral angles for the butyrolactone and valerolactone derived carbene are much smaller due to ring constraints ( $\angle 6.4^\circ$  and  $\angle 56.8^\circ$  respectively). The conformational flexibility of the caprolactone-derived carbene is evidenced by its larger dihedral angle ( $\angle 78.3^\circ$ ), which approaches that of the acyclic Rh-carbene ( $\angle 93.9^\circ$ ).

Transition state calculations were carried out for intermolecular cyclopropanation of these Rh-carbenes with ethylene and compared to that for  $\beta$ -hydride migration (Figure 3). We found the free energy of activation for cyclopropanation of the carbene derived from  $\alpha$ -diazobutyrolactone to be lower than that for  $\beta$ -hydride migration by 4.4 kcal/mol. We observed similar results in the  $\alpha$ -diazovalerolactone system. However, the calculated free energy of activation for cyclopropanation with the  $\alpha$ -diazocaprolactone-derived carbene was higher in energy than the  $\beta$ -hydride migration pathway by 2.9 kcal/mol (similar to what was observed for the acyclic carbene system). These computational results supported our model in Figure 1, in which steric interactions between the carbonyl and the approaching alkene are ameliorated due to the ring constraints of butyro- and valerolactones, but remain significant for larger rings as well as acyclic substrates.

## Temperature and Ligand Effects

Throughout our work, we have observed that Rh-carbenes are more resilient against  $\beta$ -hydride migration at low temperatures (typically  $-78^\circ\text{C}$ ). The calculations described above provided insight into the origin of the temperature effect. In calculations on  $\beta$ -hydride migration and cyclopropanation,  $H^\ddagger$  is larger for the former, but  $S^\ddagger$  is larger for the latter. Thus, lower temperature favors intermolecular processes by decreasing the contribution of the entropic term. Selectivity is also highly dependent on the nature of the carboxylate



ligands, with the counterintuitive finding that bulky ligands favor intermolecular reactivity, which requires a more sterically demanding transition state than  $\beta$ -hydride migration. Our computational models suggest that *attractive* substrate-ligand interactions may be responsible for the observation that bulky ligands favor intermolecular reactivity. These effects will be discussed in detail in the next section in the context of enantioselective reactivity.

## Catalytic Enantioselective Intermolecular Reactions

Following our success in developing conditions for diastereoselective intermolecular cyclopropanation with selectivity over  $\beta$ -hydride migration, we turned our attention to the development of an asymmetric variant. Dirhodium tetracarboxylate complexes with *N*-imido-*tert*-leucinate ligands, pioneered by Hashimoto,<sup>25,27,32</sup> have been especially effective. We found that the *tert*-leucine-derived catalyst  $\text{Rh}_2(\text{S-PTTL})_4$ <sup>25</sup> was highly efficient at catalyzing enantioselective cyclopropanation reactions of  $\alpha$ -alkyl- $\alpha$ -diazoesters with olefins at  $-78$  °C. Cyclopropanes were formed in generally high yields with excellent diastereo- and enantioselectivity as well as high chemoselectivity over  $\beta$ -hydride migration. Interestingly, we noticed a sharp rise in enantioselectivity as the size of the alkyl group on the diazo compound was increased (Scheme 19).<sup>21b</sup>

Although  $\alpha$ -diazopropionates afforded cyclopropanes in poor enantioselectivity with  $\text{Rh}_2(\text{S-PTTL})_4$  (3% ee), Hashimoto subsequently described a system for cyclopropanation of  $\alpha$ -diazopropionates using the brominated  $\text{Rh}_2(\text{S-TBPTTL})_4$  with up to 92% ee.<sup>26</sup>

To gain a better understanding of the mechanism of asymmetric induction, we performed X-ray crystallographic and computational studies on  $\text{Rh}_2(\text{S-PTTL})_4$ , and discovered a conformation not previously observed for chiral dirhodium paddlewheel complexes.<sup>27</sup> We refer to this as the “chiral crown” conformation of  $\text{Rh}_2(\text{S-PTTL})_4$ , where all four of the phthalimido groups are projected onto the same face of the macromolecular structure (Figure 4). The four *tert*-butyl groups are projected onto the opposite face of the catalyst. Concurrent studies by Charette also established the chiral crown conformation in halogenated analogues, and halogen bonding has been proposed to add stability to the crown conformer.<sup>28</sup> Later studies by us,<sup>29</sup> Charette,<sup>30</sup> Ghanem,<sup>31</sup> Hashimoto<sup>32</sup> and Reger<sup>33</sup> have provided additional crystallographic support for the chiral crown structure.

Based on X-ray crystallographic and computational studies on  $\text{Rh}_2(\text{S-PTTL})_4$ , we proposed a model for asymmetric induction where reactivity takes place preferentially on the face of the catalyst substituted by the phthalimides. Our further studies<sup>29</sup> revealed that the bulky *tert*-butyl groups play a significant role in enforcing the chiral crown structure, and it was found that other *tert*-leucine-derived complexes crystallize in similar conformations including copper-derived  $\text{Cu}_2(\text{S-PTTL})_4$  and the naphthaloyl analog  $\text{Rh}_2(\text{S-NTTL})_4$ .<sup>34</sup>

We hypothesized that asymmetric reactions between  $\alpha$ -alkyl- $\alpha$ -diazoesters and indoles could be promoted by chiral crown Rh-complexes. The indole core is a ubiquitous structural element in various biologically active molecules and pharmaceutical targets. Although the selective C–H functionalization of indoles by carbene intermediates had been long known,<sup>35</sup>

there had been no report of an asymmetric variant. The only known catalytic enantioselective reaction between indoles and  $\alpha$ -diazocarbonyl compounds was a [3+2] annulation strategy that had been recently developed by Davies.<sup>36</sup> We explored reactivity with a number of chiral crown Rh-complexes, and found that high yields (82 – 96%) and enantioselectivities (79 – 97% ee) were obtained using catalytic  $\text{Rh}_2(\text{S-NTTL})_4$  at  $-78$  °C (Scheme 20).<sup>21c</sup>

For indole C–H functionalization, experimental and computational studies supported the mechanism shown in Scheme 21 in which an initially formed oxocarbenium-stabilized intermediate is formed through the transition state depicted in Figure 5a. More recently, Xie has computationally studied the stereospecific conversion of the oxocarbenium Rh-ylide to the indole product, and proposed a cyclic ketene acetal intermediate.<sup>37</sup> For both cyclopropanation and indole functionalization, we proposed models for asymmetric induction in which the substrate approaches via the *S*-face of the carbene. As shown in Figure 5b, the model for indole functionalization suggested that enantioselectivity was influenced by attractive  $\pi$ – $\pi$  interactions between indole and the top naphthaloyl ligand, as well as C–H– $\pi$  interactions between the ethyl ester and the bottom naphthaloyl ligands.

These observations led us to hypothesize that there might be a beneficial effect on catalyst selectivity if one of the chiral ligands of  $\text{Rh}_2(\text{S-PTTL})_4$  was replaced by an achiral carboxylate ligand as in Figure 5c. Based on modeling, we hypothesized that the chiral pocket would be maintained, and given the importance of non-covalent interactions we reasoned that introducing a ligand with a large aromatic surface area could be beneficial. Accordingly, we synthesized the mixed-ligand complex  $\text{Rh}_2(\text{S-PTTL})_3(\text{TPA})$ , and through X-ray crystallographic and computational studies, the chiral crown configuration was indeed found to be conserved (Figure 6).

This catalyst proved to be broadly useful for enantioselective cyclopropanation reactions with ethyl 2-diazobutanoate; reactions that were challenging to achieve high enantiomeric excess using  $\text{Rh}_2(\text{S-PTTL})_4$ . For example, the reaction between ethyl 2-diazobutanoate and styrene gave only 79% ee with  $\text{Rh}_2(\text{S-PTTL})_4$ , but was improved to 88% ee with  $\text{Rh}_2(\text{S-PTTL})_3(\text{TPA})$  (Scheme 22). Similar improvements in enantioselectivity over  $\text{Rh}_2(\text{S-PTTL})_4$  were observed across several olefin substrates.<sup>21d</sup>

In addition to improving enantioselectivity,  $\text{Rh}_2(\text{S-PTTL})_3(\text{TPA})$  also provided chemoselective advantages over homoleptic complexes in terms of avoiding  $\beta$ -hydride migration with challenging substrates (Scheme 23). For instance, simple  $\alpha$ -olefins had been poor substrates for cyclopropanation with  $\alpha$ -alkyl- $\alpha$ -diazoesters. With  $\text{Rh}_2(\text{S-PTTL})_3(\text{TPA})$ , 1-hexene and *iso*-butyl 2-diazobutanoate gave 64% of the cyclopropane product with useful selectivity over  $\beta$ -hydride migration. Furthermore, other challenging substrates including silyl- and aliphatic alkynes as well as 4-substituted indoles reacted with ethyl 2-diazobutanoate using  $\text{Rh}_2(\text{S-PTTL})_3(\text{TPA})$ .

Recently, Hashimoto has investigated the effects of ligand size and temperature on the chemoselectivity of intramolecular C–H insertions in the formation of tetrahydropyrans over  $\beta$ -hydride migration products (Scheme 24).<sup>38a</sup> With  $\text{Rh}_2(\text{S-PTTL})_4$ , at temperatures below –



40 °C,  $\beta$ -hydride migration was suppressed and tetrahydropyrans were formed in high yields. Ligand and temperature effects are displayed in Scheme 24.

In 2012, Hashimoto reported the first enantioselective intermolecular C–H insertions of  $\alpha$ -alkyl- $\alpha$ -diazacetates.<sup>38b</sup> He demonstrated  $\alpha$ -diazopropionates combine with 1,4-cyclohexadiene with good selectivity over  $\beta$ -hydride migration. However, in the case  $\alpha$ -diazobutanoates and  $\alpha$ -diazopentanoates,  $\beta$ -hydride migration dominated (Scheme 25). Using  $\text{Rh}_2(\text{S-PTTL})_4$  at  $-60$  °C, C–H insertion products were formed in 12% and 20%, respectively. At room temperature or with electron poor Rh-catalysts, C–H insertion was not observed.

## Asymmetric Cyclobutane Synthesis via Intramolecular Bicyclobutanation/ Homoconjugate Addition

Bicyclobutanes are extremely useful synthetic building blocks, as ring opening reactions can provide access to highly functionalized molecules.<sup>39</sup> We envisioned that general conditions could be developed for the homoconjugate addition of Grignard reagents, with subsequent enolate trapping to form highly functionalized cyclobutanes. In 1981, Ganem first prepared bicyclobutane carboxylates via treatment of ethyl  $\alpha$ -allyl- $\alpha$ -diazacetate with  $\text{Rh}_2(\text{OAc})_4$  to give ethyl bicyclobutane-1-carboxylate (51%) along with competing  $\beta$ -hydride migration (39%).<sup>4a</sup> Concurrent with our studies, Davies reported an enantioselective  $\text{Rh}_2(\text{R-BTPCP})_4$ -catalyzed bicyclobutane synthesis using methyl and ethyl (*E*)-2-diazo-5-arylpent-4-enoates.<sup>40</sup> We developed a complementary approach that gives access to *tert*-butyl bicyclobutane-1-carboxylates — substrates compatible with subsequent homoconjugate addition reactions.<sup>41</sup>  $\text{Rh}_2(\text{S-NTTL})_4$  was highly effective in bicyclobutanation reactions of *tert*-butyl (*E*)-2-diazo-5-arylpent-4-enoates, giving bicyclobutane products in 65 – 88% yield and with 71 – 95% ee (Scheme 26). Interestingly, the (*E*)- and (*Z*)-olefin isomers of the diazoester both provided the identical diastereomer of the bicyclobutane, supporting a stepwise mechanism involving a zwitterionic intermediate.

A  $\text{CuBr}\cdot\text{SMe}_2/\text{PBu}_3$  catalyst system was developed for the homoconjugate addition of Grignard reagents to bicyclobutanes, and cyclobutane products were isolated in 60 – 82% yield upon electrophile capture (Scheme 27). Successful electrophiles included allyl iodide, EtI, BnBr, PhSSPh, and 4-bromobenzoyl chloride to generate quaternary carbon-containing cyclobutanes with good diastereocontrol (7:1 – 14:1 dr). When 2,6-di-*tert*-butyl-4-methylphenol (BHT) was used as a sterically demanding proton source, diastereoselective kinetic protonation was observed (1:6 – 1:17 dr). In contrast, aqueous acid-quenched products were obtained as roughly 1:1 mixtures of epimers at the  $\alpha$ -stereocenter. However, the diastereomeric ratio was vastly improved upon epimerization with *t*-BuOK (up to 30:1 dr), providing the complementary diastereomer relative to that from kinetic protonation by BHT.<sup>41</sup>

## Summary

This account describes the development of catalyst systems that enable the Rh-catalyzed reactions of  $\alpha$ -alkyl- $\alpha$ -diazocarbonyl compounds with selectivity over intramolecular  $\beta$ -

hydride migration. The use of sterically demanding ligands and low reaction temperatures has enabled intermolecular cyclopropanation, cyclopropanation, carbonyl ylide formation/dipolar cycloaddition, indole C–H functionalization, and intramolecular bicyclobutanation. Enantioselective variants have been developed using bimetallic *N*-imido-*tert*-leucinate-substituted complexes that were shown to adopt an unprecedented “chiral crown” conformation. Through computational design and transition state modeling, we have developed chiral, mixed ligand complexes that further broaden the substrate scope of enantioselective reactions of  $\alpha$ -alkyl- $\alpha$ -diazocarbonyl compounds.

## Acknowledgments

### Funding Sources

For financial support we thank NSF CHE 1300329. Spectra were obtained with instrumentation supported by NIH P20GM104316, P30GM110758, S10RR026962-01, S10OD016267-01 and NSF grants: CHE 0840401 and CHE-1229234.

We are deeply grateful to our co-workers Olga Dmitrenko, Patricia Panne, Srinivasa Chintala, Michael Taylor, David Boruta, Valerie Shurtleff, and Yinzhi Fang.

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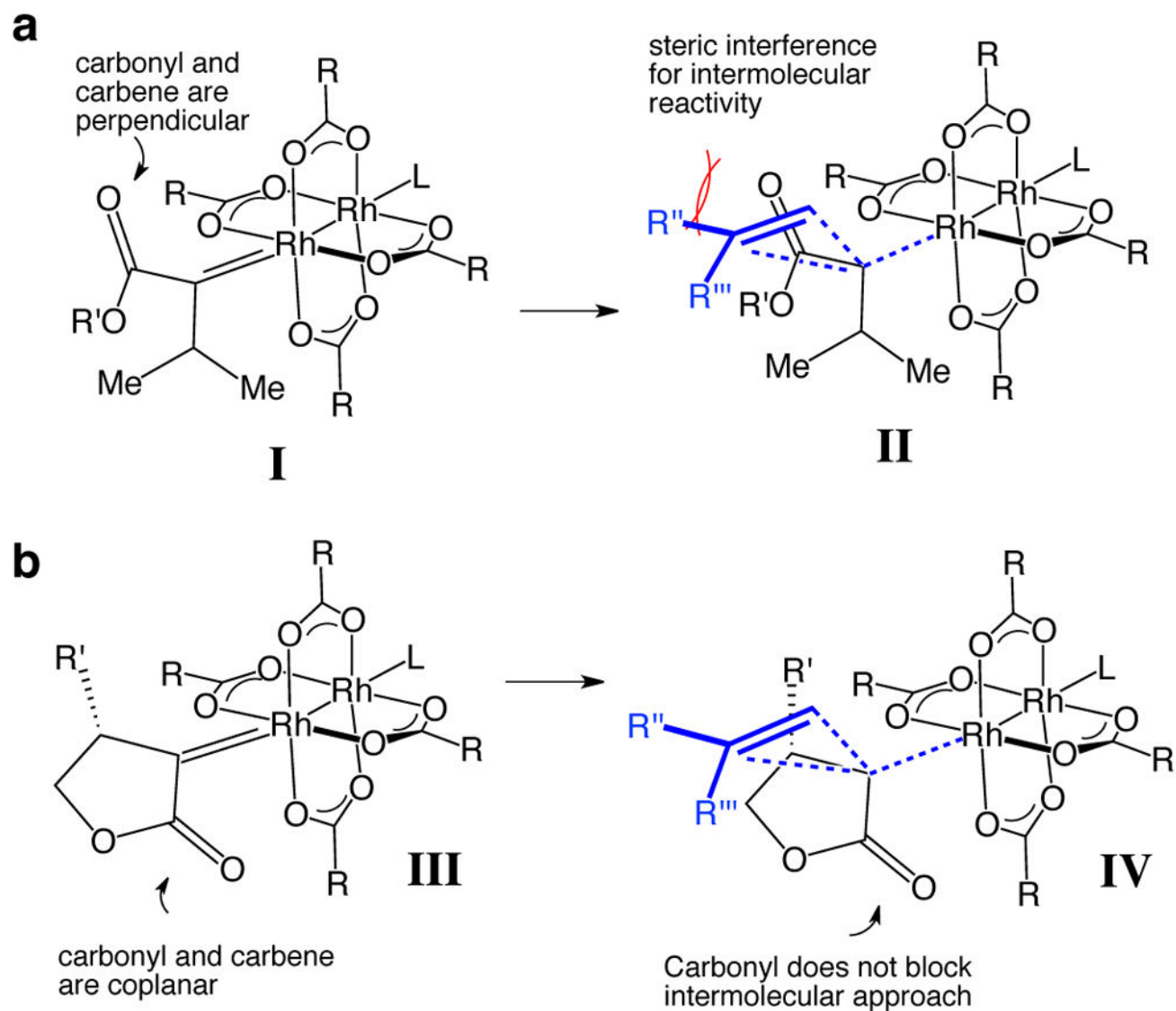
## Biographies

Joseph Fox was born in Philadelphia. He received the A.B. in 1993 from Princeton University where he conducted research with Maitland Jones, Jr. In 1998, he received the Ph.D. from Columbia University under the direction of Thomas Katz. After an NIH postdoctoral fellowship with Stephen Buchwald at MIT, he joined the faculty of the University of Delaware in 2001, where is currently Professor of Chemistry and Biochemistry, Professor of Materials Science and Engineering, and Director of an NIH Center of Biomedical Research Excellence.

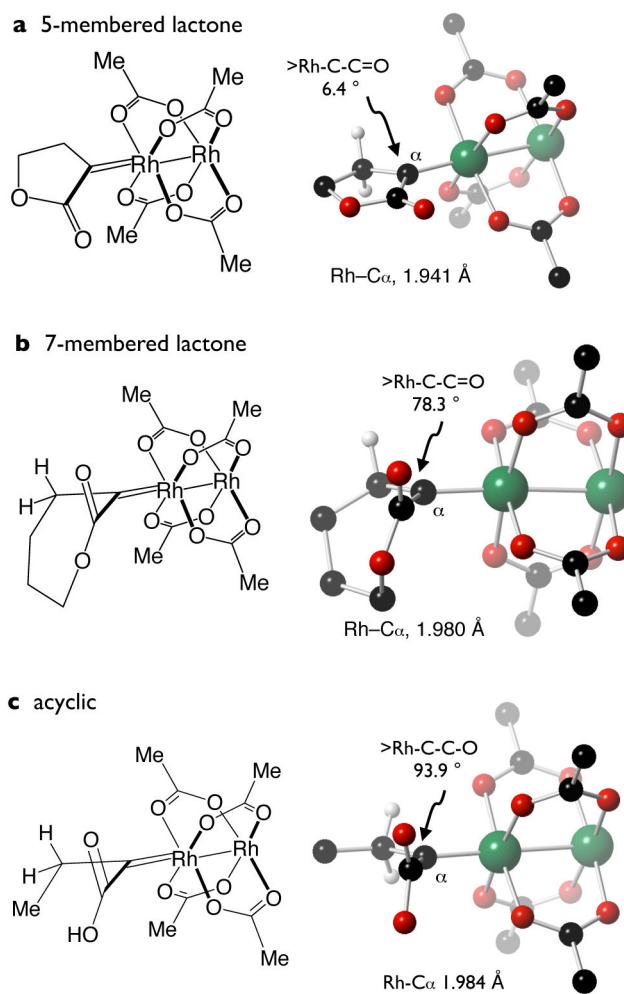
Andrew DeAngelis received his B.S. in Chemistry from Moravian College in 2005 where he conducted undergraduate research with Carl Salter. He received his Ph.D. from the University of Delaware in 2011 under the guidance of Joseph Fox. Following postdoctoral studies with Stephen Buchwald at MIT, he joined Johnson Matthey Catalysis and Chiral Technologies in West Deptford, NJ in 2012. In 2015 he joined the chemical discovery group at DuPont Crop Protection in Newark, DE as a research investigator.

Robert Panish was born in Norristown, PA. He received his B.S. in chemistry from Elizabethtown College in 2010 where he conducted undergraduate research with James MacKay. In 2010, he began at the University of Delaware where he is pursuing his Ph.D. under the guidance of Joseph Fox. In 2016, he plans to join the process research division of Teva Pharmaceuticals.

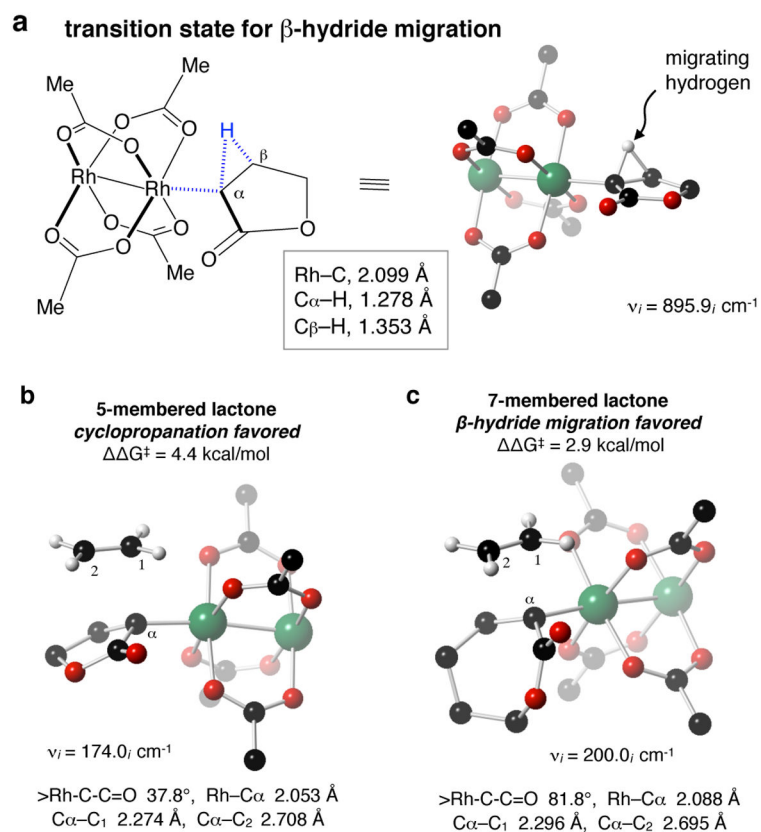


**Figure 1.**

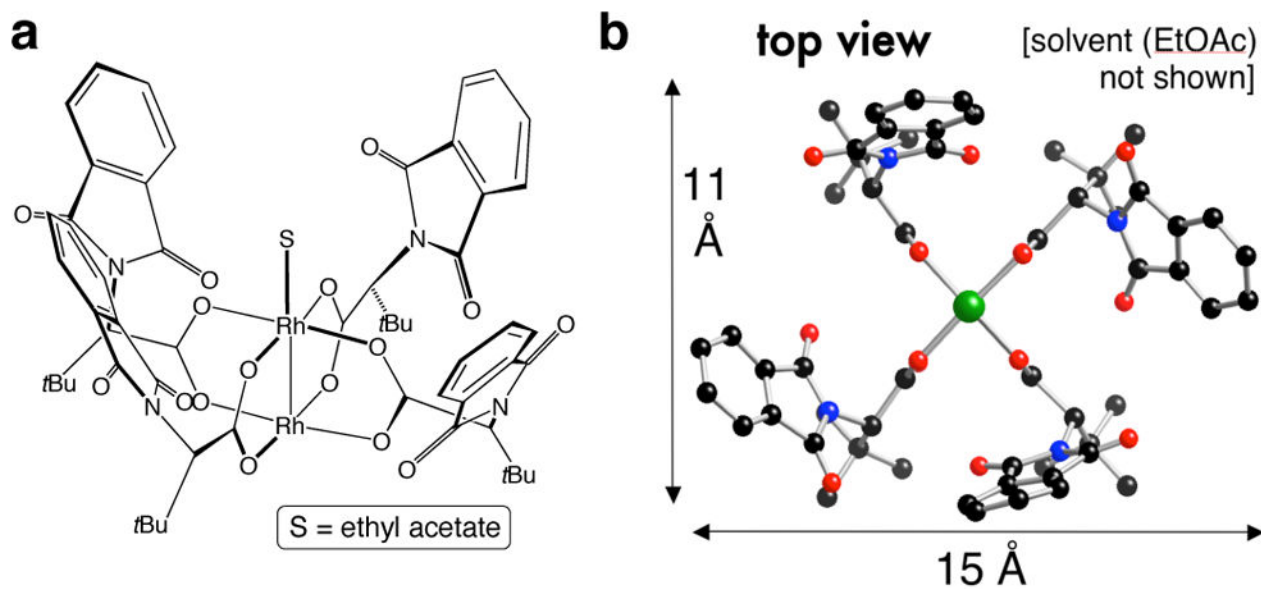
(a) Steric interaction between the carbonyl and approaching olefin in the cyclopropanation reaction of acyclic  $\alpha$ -diazoesters. (b) The approach of the olefin to a carbene derived from a cyclic  $\alpha$ -diazoester is relatively unhindered due to the coplanarity of the carbene and carbonyl.



**Figure 2.** Computed structures of Rh-carbenes derived from  $\text{Rh}_2(\text{OAc})_4$  and (a)  $\alpha$ -diazobutyrolactone, (b)  $\alpha$ -diazocaprolactone and (c)  $\alpha$ -diazobutyric acid.

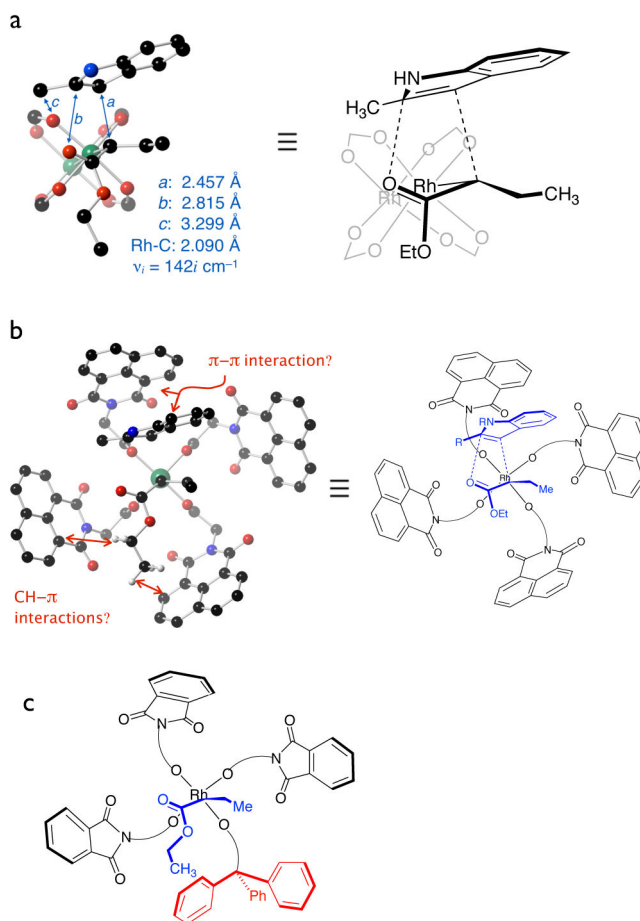


**Figure 3.** Transition state structures for (a)  $\beta$ -hydride migration for the Rh-carbene derived from  $\text{Rh}_2(\text{OAc})_4$  and  $\alpha$ -diazobutyrolactone, and (b,c) cyclopropanation by ethylene with carbenes derived from  $\text{Rh}_2(\text{OAc})_4$  and (b)  $\alpha$ -diazobutyrolactone and (c)  $\alpha$ -diazocapro lactone.

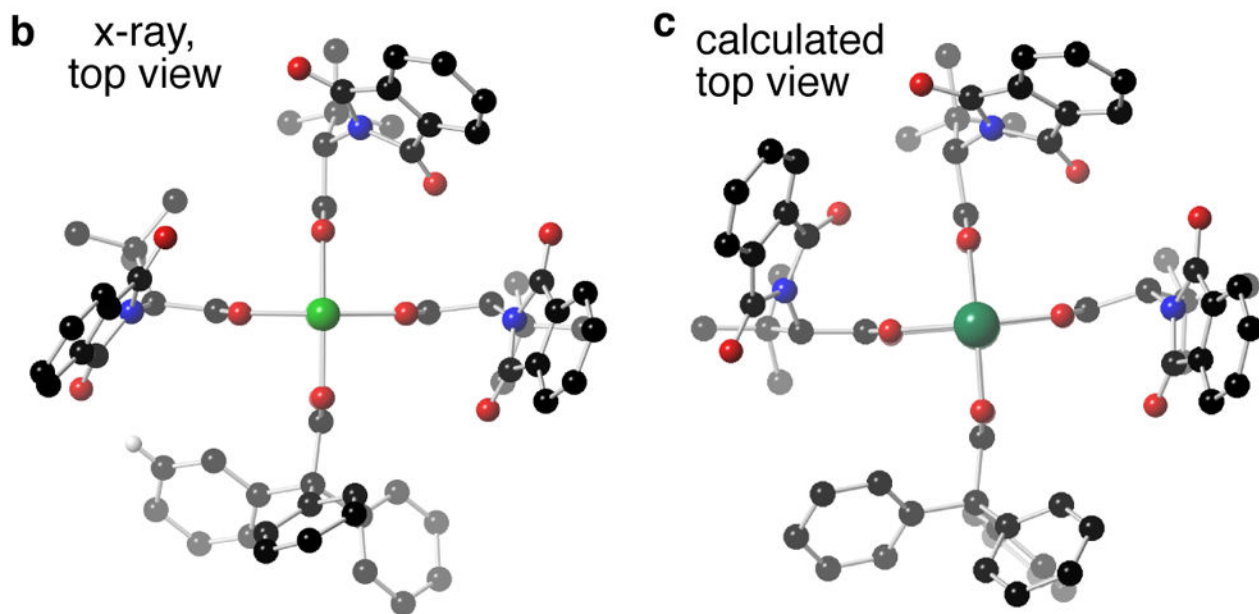
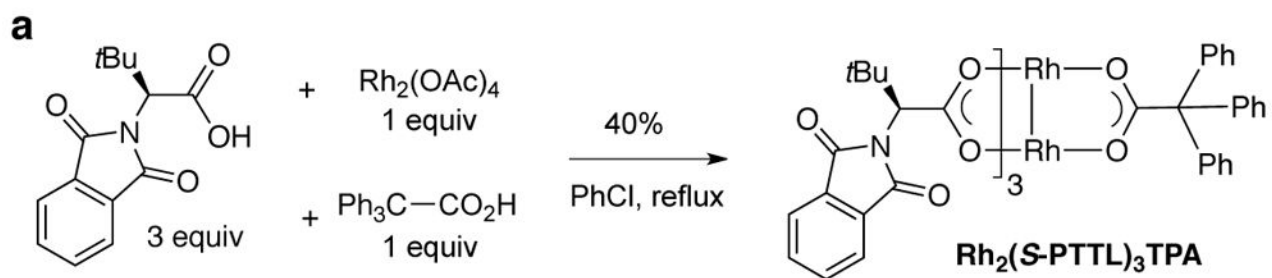


**Figure 4.**

(a) In the chiral crown conformation, all four phthalimido groups are projected onto one face and all four *tert*-butyl groups are projected onto the opposite face. (b) X-ray structure of  $\text{Rh}_2(\text{S-PTTL})_4$ .

**Figure 5.**

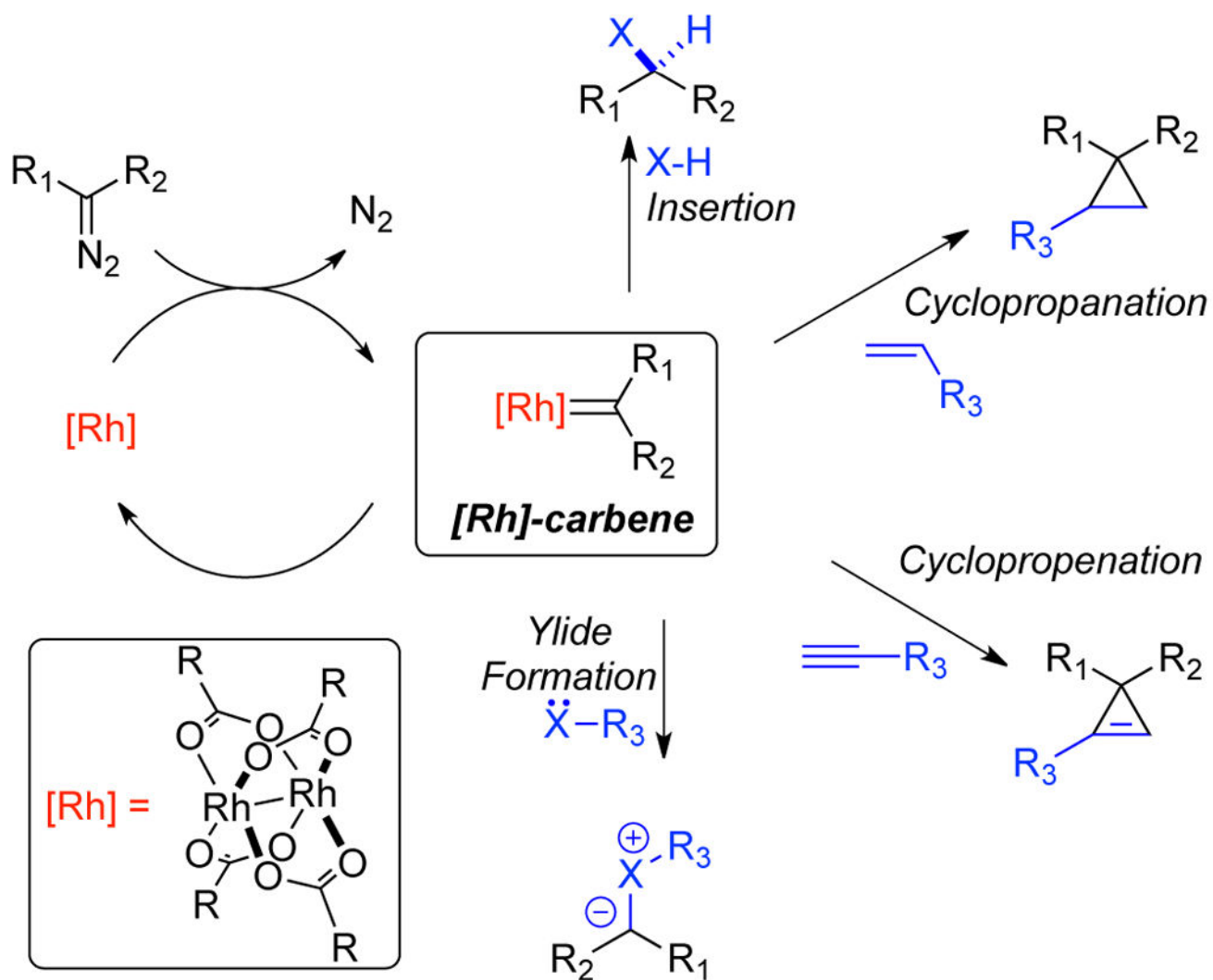
(a) Calculated transition state for the reaction between 2-methylindole and  $\text{Et}(\text{EtO}_2\text{C})\text{C}=\text{Rh}_2(\text{O}_2\text{CH})_4$ . (b) Model for indole C-H functionalization suggests that attractive substrate-ligand interaction may contribute to enantioselectivity. (c) Design of a mixed-ligand complex, where the chiral pocket is maintained and tuned by replacing one of the chiral ligands with achiral triphenylacetate.



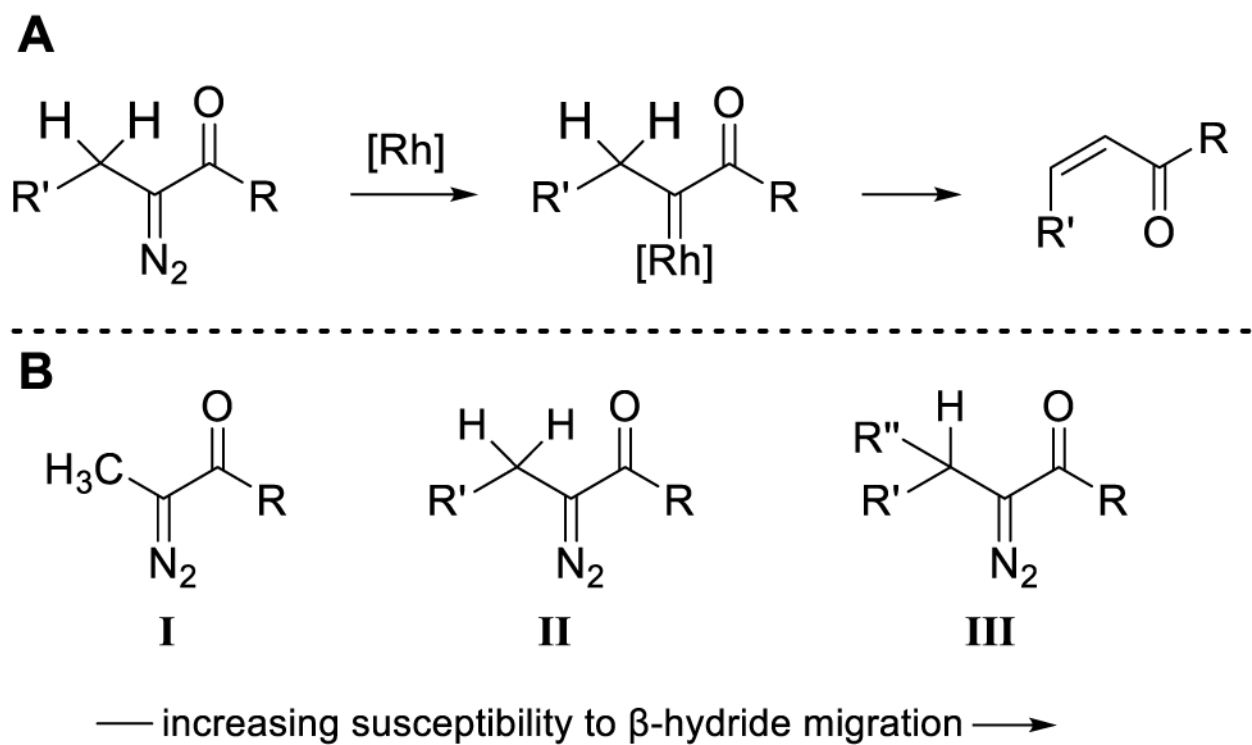
**Figure 6.**

(a) Synthesis of mixed ligand complex  $\text{Rh}_2(\text{S-PTTL})_3(\text{TPA})$ , which adopts a crownlike structure. There is overall good agreement between (b) the X-ray and (c) predicted structure.

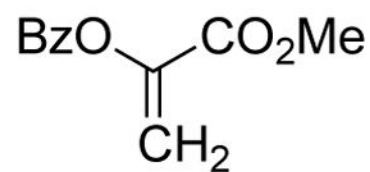
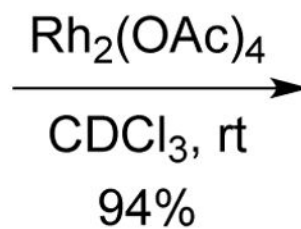
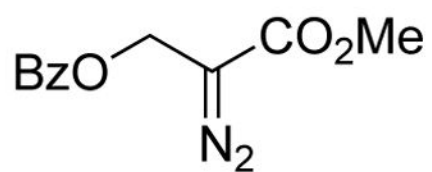
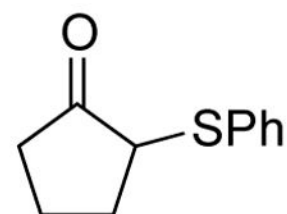
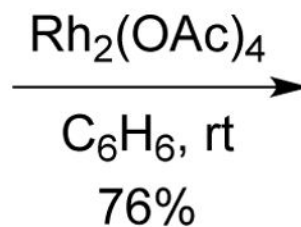
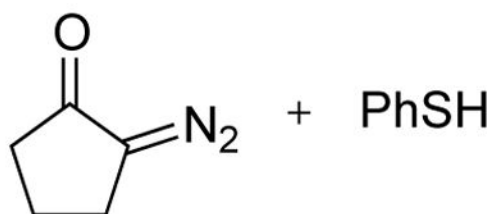




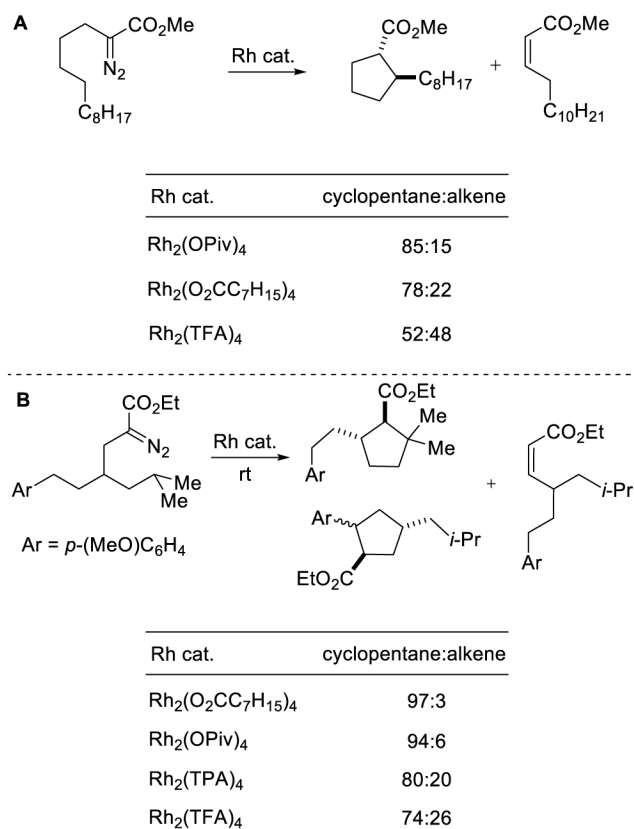
**Scheme 1.**  
Typical Rh-catalyzed reactions of  $\alpha$ -diazocarbonyl compounds.



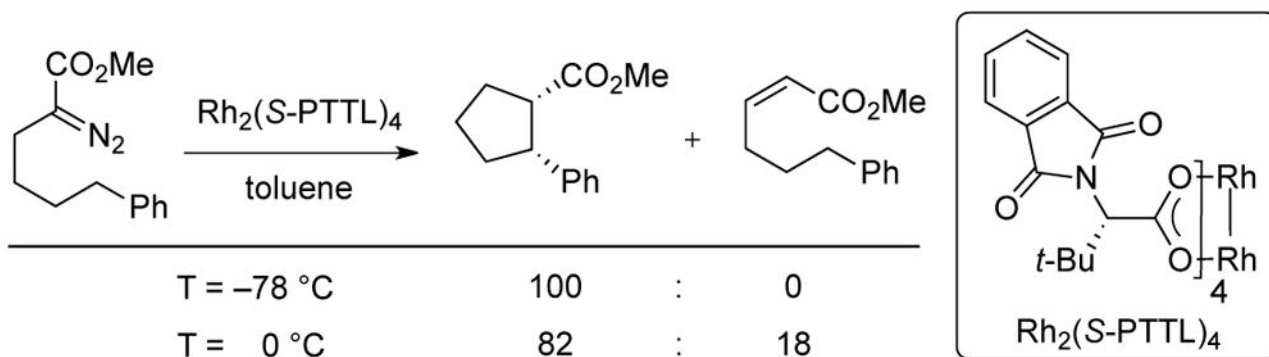
**Scheme 2.**  
Migratory aptitude of  $\beta$ -C-H bonds in Rh-carbenes.

**Ganem****McKervey****Scheme 3.**

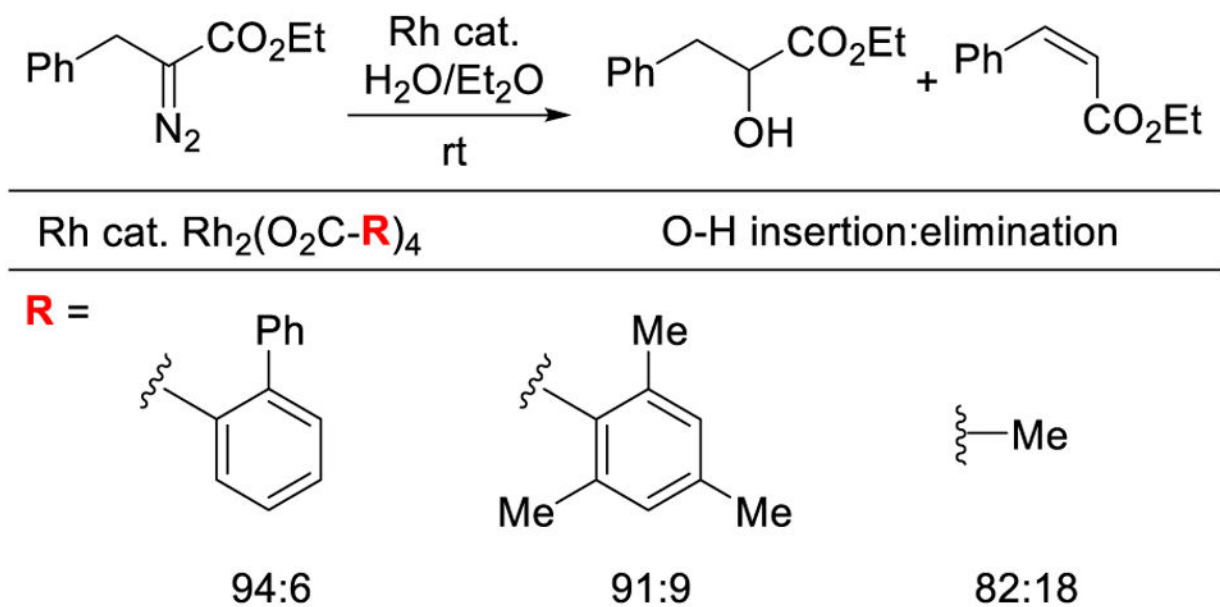
First Rh-catalyzed reactions with selectivity over  $\beta$ -hydride migration.



**Scheme 4.**  
Ligand dependent chemoselectivity in Rh-catalyzed intramolecular C–H insertion.

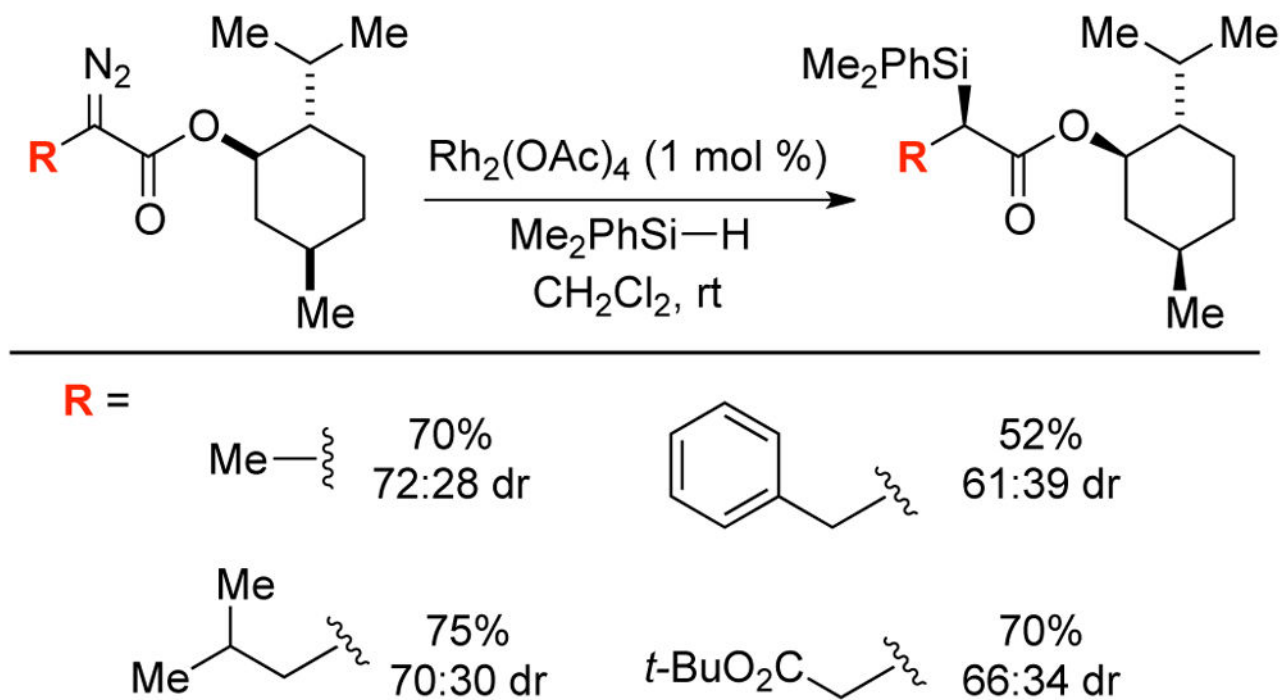
**Scheme 5.**

Temperature dependent chemoselectivity in Rh-catalyzed intramolecular C–H insertion.

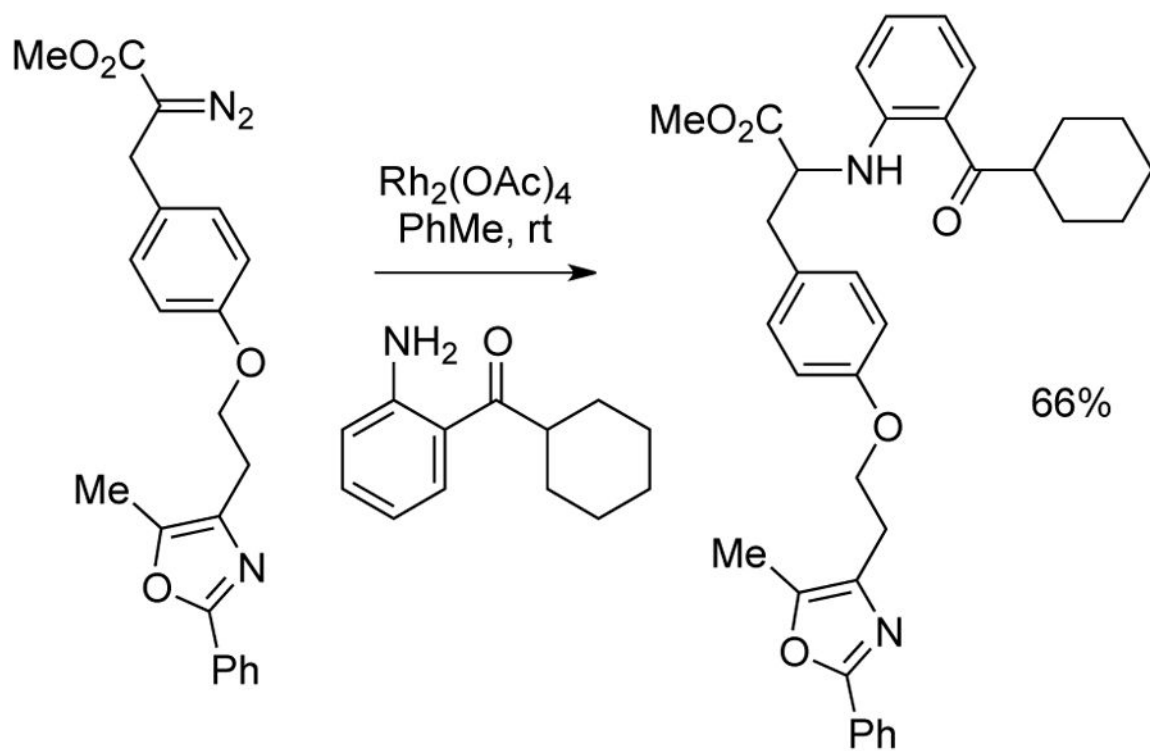


**Scheme 6.**  
Ligand dependent chemoselectivity in Rh-catalyzed O-H insertion.

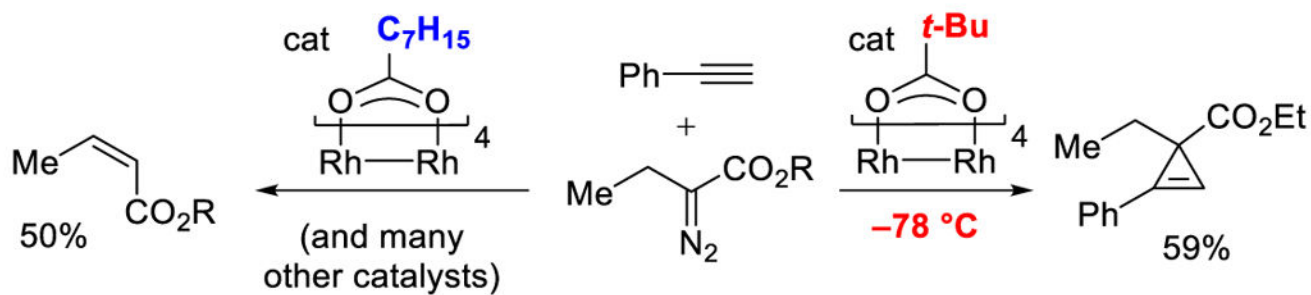




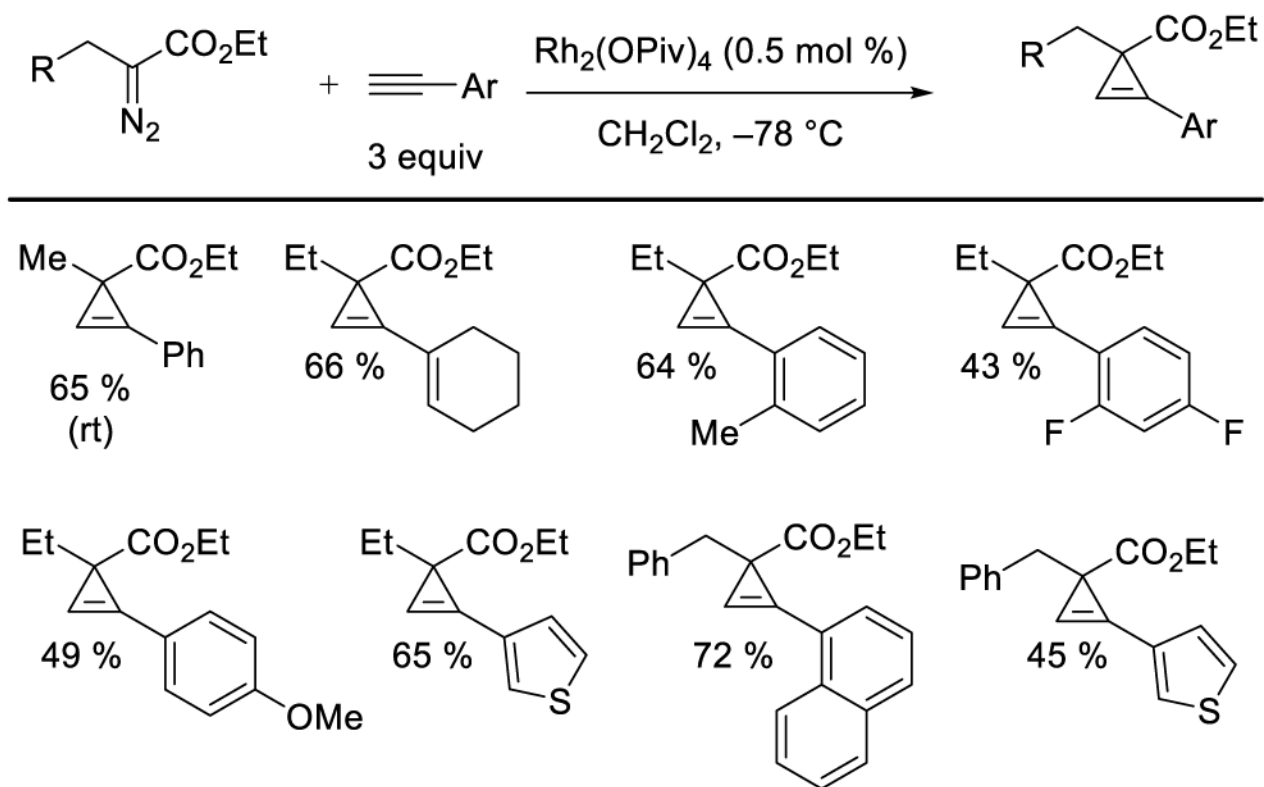
**Scheme 7.**  
Intermolecular Rh-catalyzed Si-H insertion.



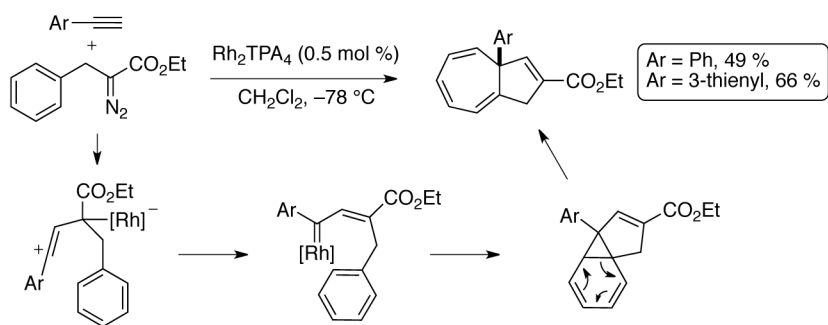
**Scheme 8.**  
Early example of Rh-catalyzed N-H insertion.

**Scheme 9.**

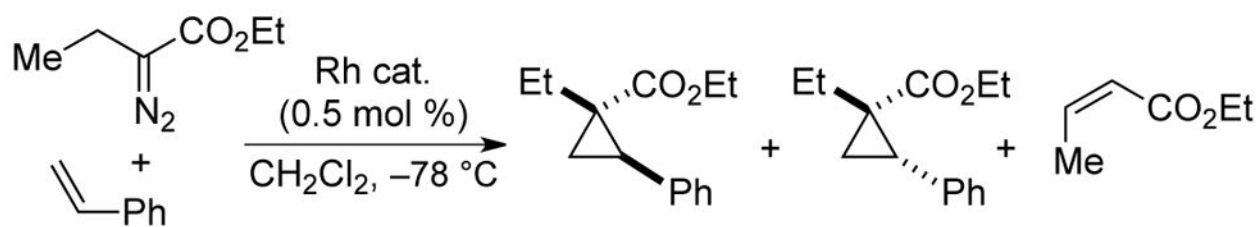
The importance of sterically demanding ligands and low temperature in intermolecular cyclopropanation reactions with  $\alpha$ -alkyl- $\alpha$ -diazoesters.

**Scheme 10.**

Intermolecular cyclopropanation of  $\alpha$ -diazoesters with  $\beta$ -hydrogens, selected examples.



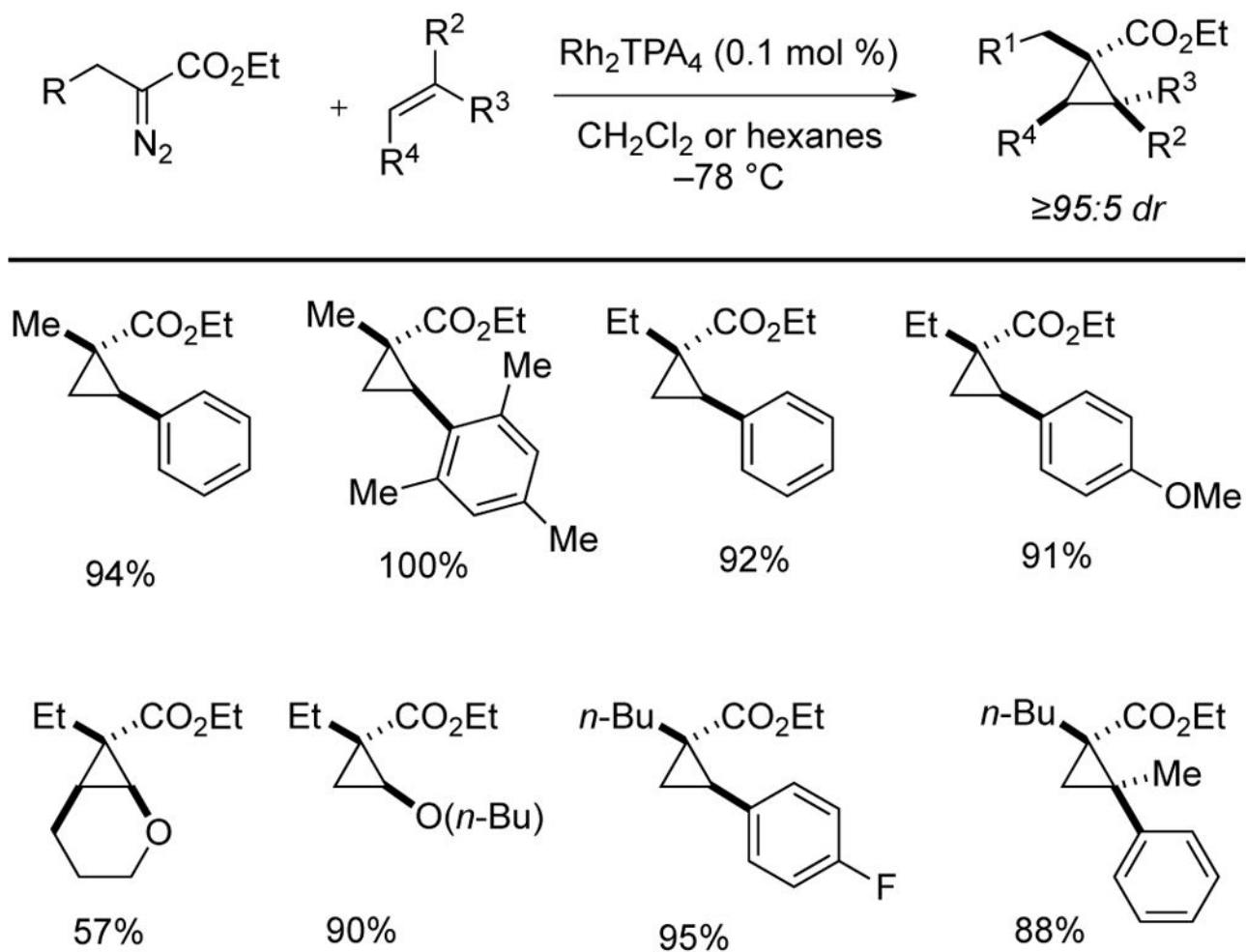
**Scheme 11.**  
Catalyst dependent chemoselectivity switch.



Entry	Catalyst	Cyclopropane yield (dr)	$\beta$ -H migration
1	$\text{Rh}_2(\text{OPiv})_4$	29% (42:58)	33%
2	$\text{Rh}_2(\text{O}_2\text{CCMe}_2\text{Ph})_4$	40% (76:24)	29%
3	$\text{Rh}_2(\text{O}_2\text{CCMePh}_2)_4$	54% (89:11)	32%
4	$\text{Rh}_2(\text{TPA})_4$	73% (98:2)	10%

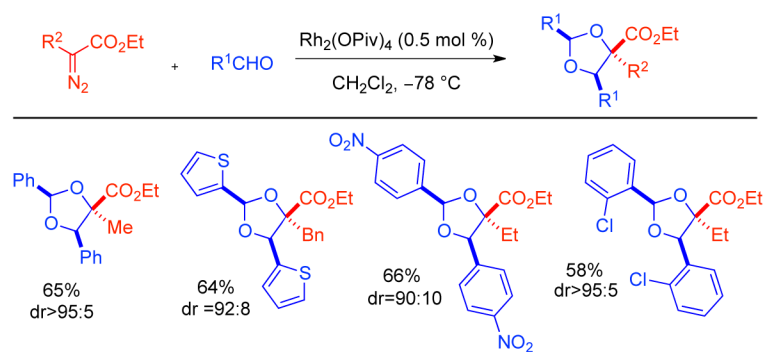
**Scheme 12.**

Effect of ligand choice on Rh-catalyzed cyclopropanation.

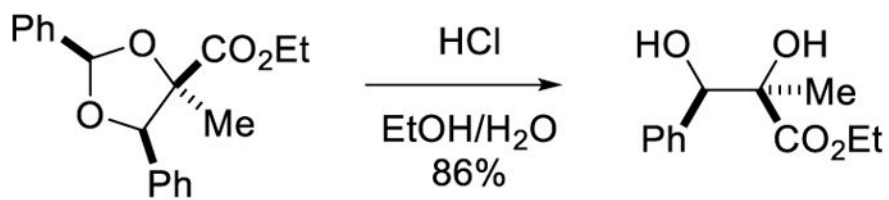


**Scheme 13.**  
Rh<sub>2</sub>TPA<sub>4</sub>-catalyzed cyclopropanation of olefins, selected examples.

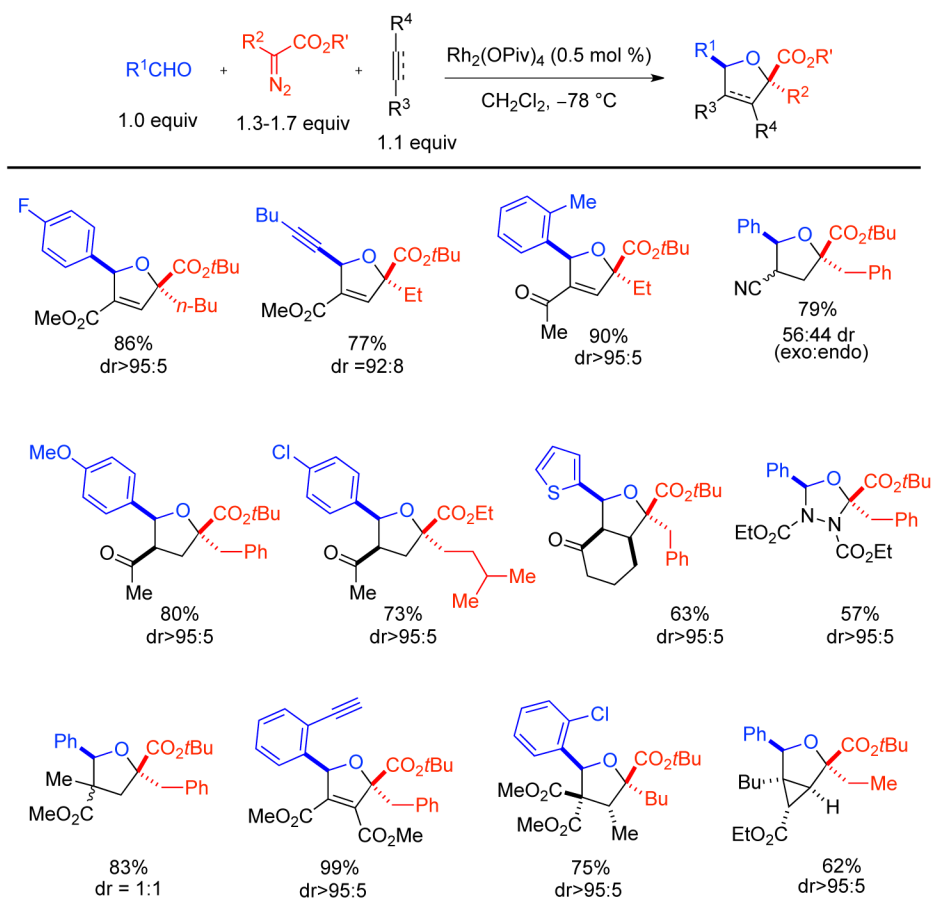


**Scheme 14.**

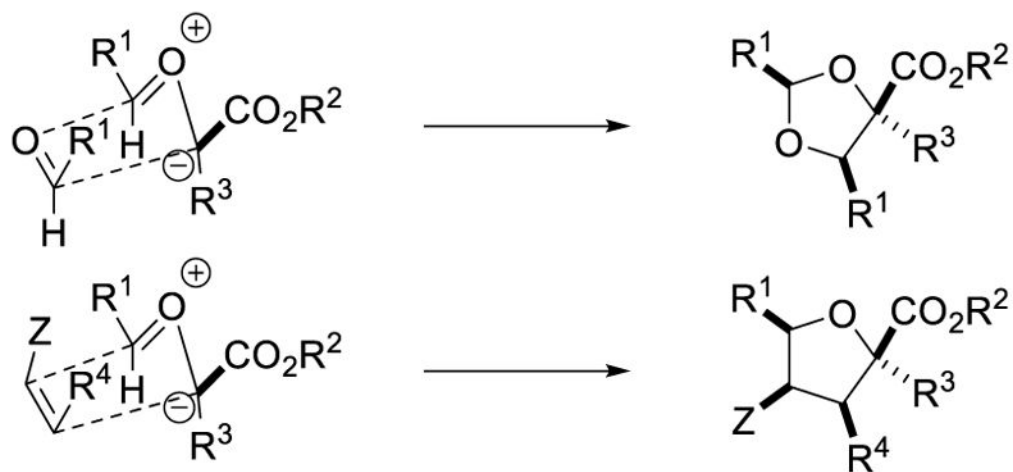
Rh-catalyzed diastereoselective dioxolane formation, selected examples.



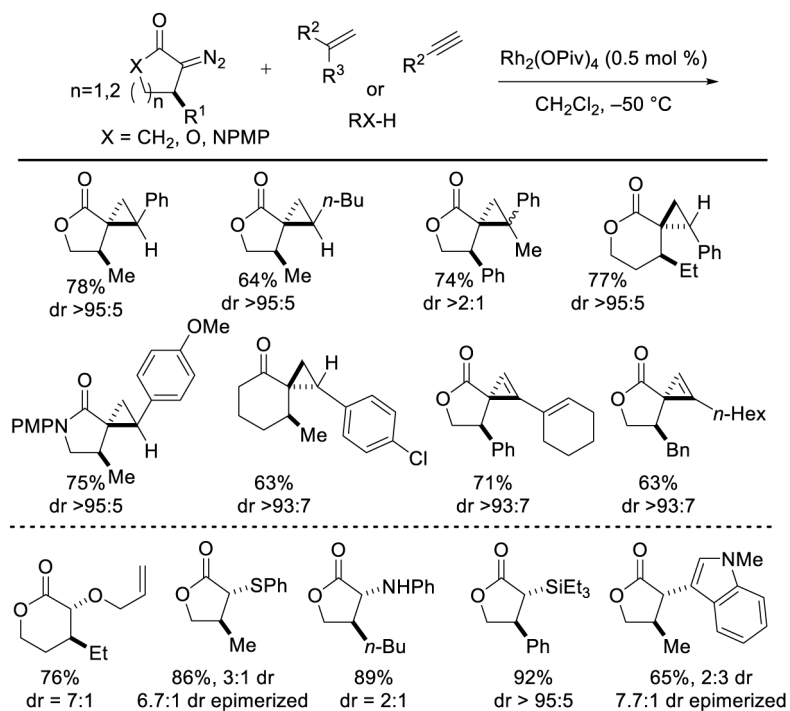
**Scheme 15.**  
1,2-Diol synthesis via acetal hydrolysis.

**Scheme 16.**

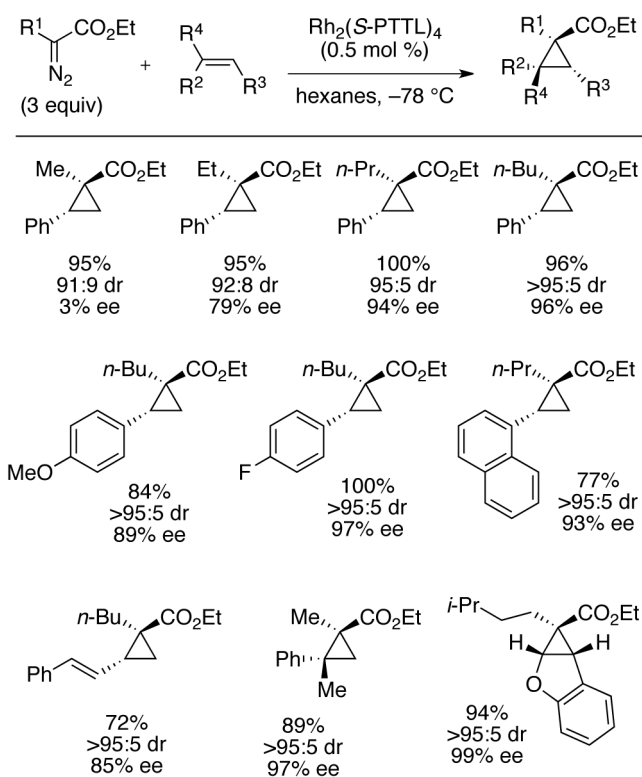
Rh-catalyzed three-component cycloaddition reactions, selected examples.

**Scheme 17.**

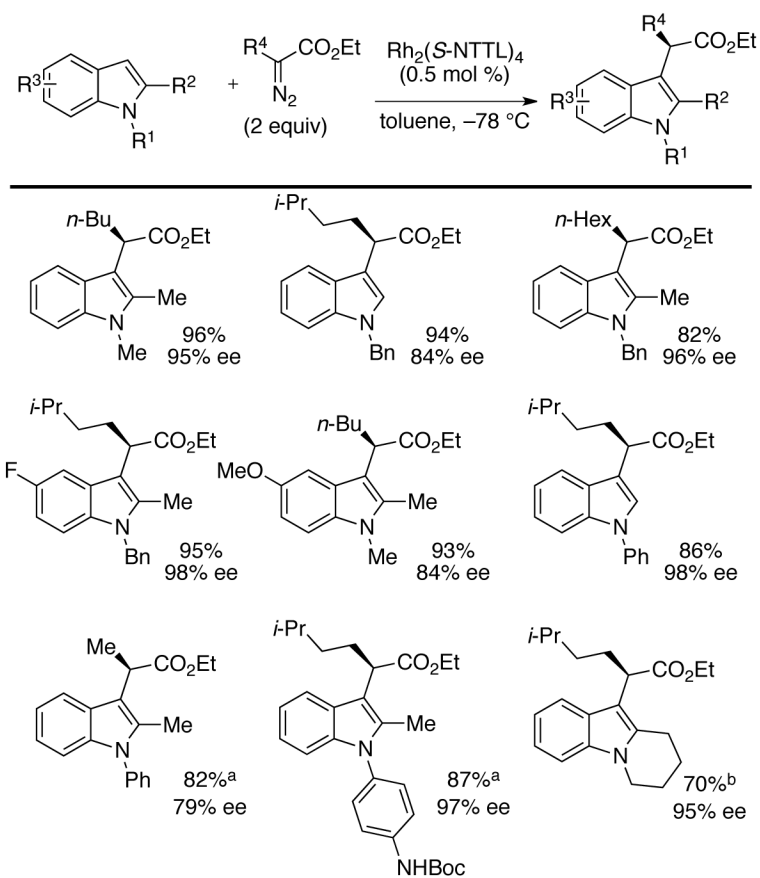
Model for *endo*-selective 1,3-dipolar cycloaddition reactions.

**Scheme 18.**

Intermolecular reactions of cyclic  $\alpha$ -diazocarbonyl compounds, selected examples.

**Scheme 19.**

Enantioselective cyclopropanation, selected examples.

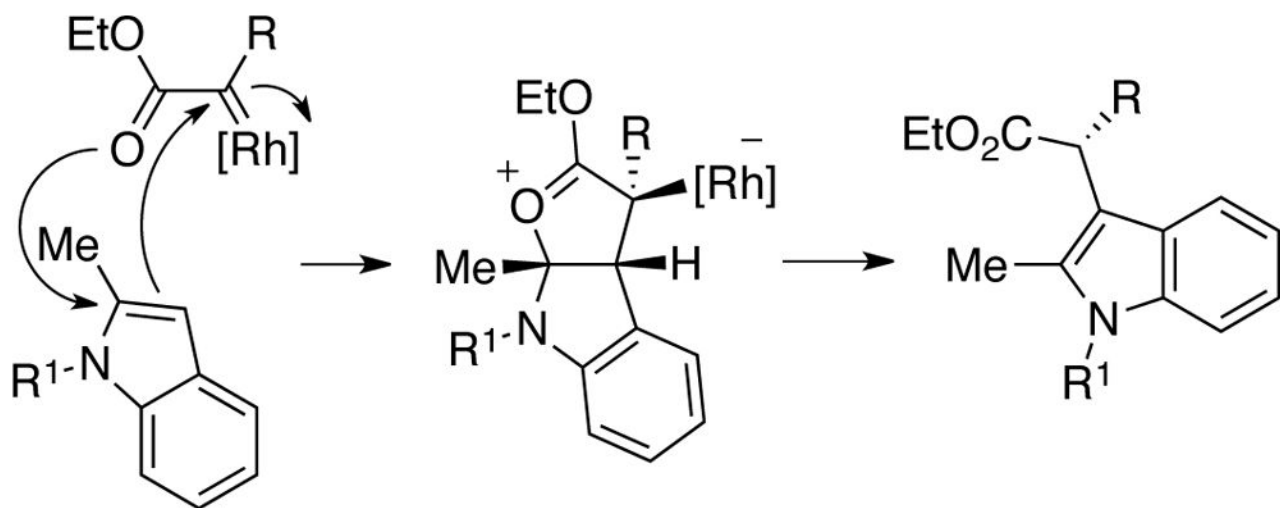


<sup>a</sup> 5 equiv of diazoester used. <sup>b</sup> 1 equiv of diazoester used.

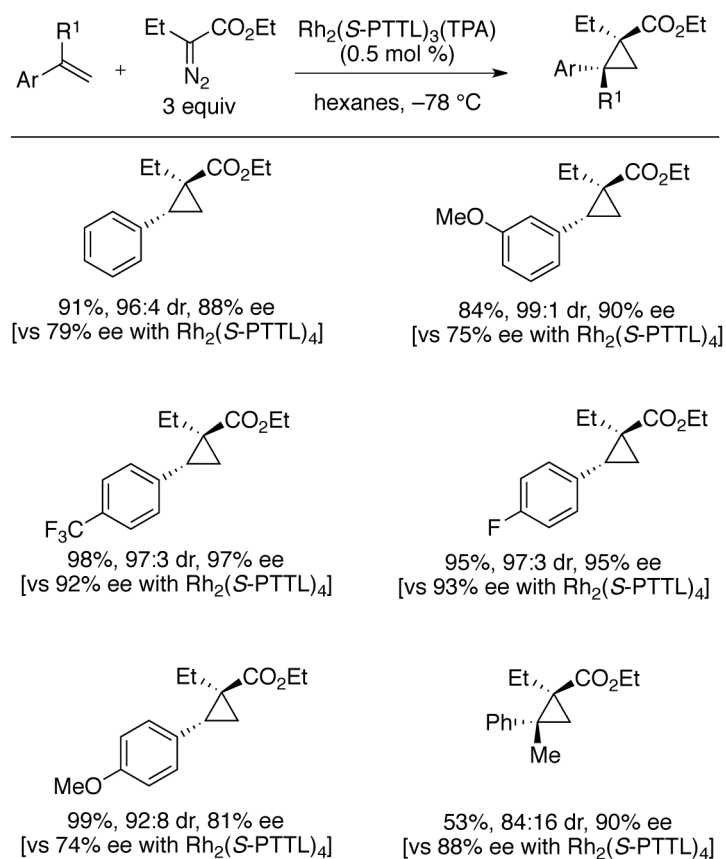
**Scheme 20.**

Enantioselective C-H functionalization of indoles, selected examples.

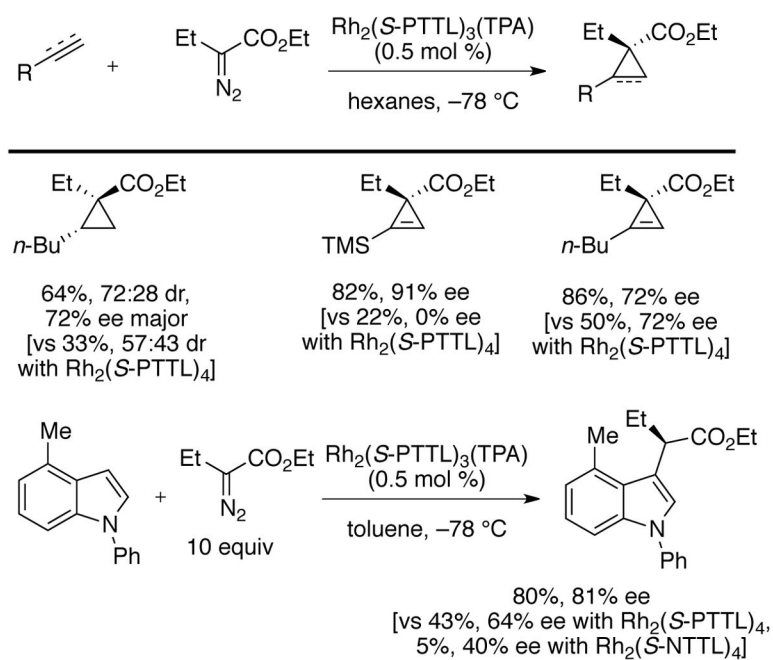


**Scheme 21.**

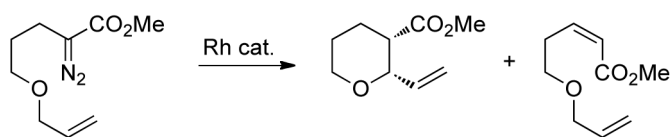
Formation of C-3-functionized indole via an oxocarbenium-stabilized ylide intermediate.

**Scheme 22.**

Rh<sub>2</sub>(S-PTTL)<sub>3</sub>(TPA)-catalyzed cyclopropanation of olefins with  $\alpha$ -alkyl- $\alpha$ -diazoesters, selected examples.

**Scheme 23.**

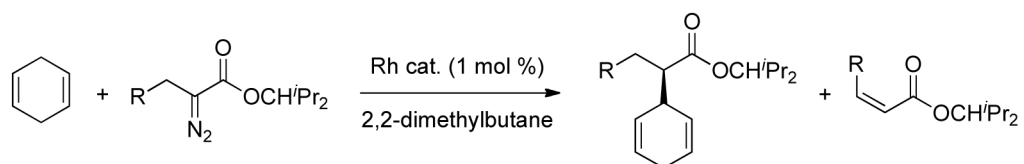
Reactions of ethyl 2-diazobutanoate with challenging coupling partners.



Rh cat.	T (°C)	C-H insertion:alkene	% yield	dr	% ee
Rh <sub>2</sub> (S-PTTL) <sub>4</sub>	-60	>99:1	80	>99:1	95
Rh <sub>2</sub> (S-PTTL) <sub>4</sub>	-40	99:1	79	97:3	89
Rh <sub>2</sub> (S-PTTL) <sub>4</sub>	0	87:13	61	93:7	66
Rh <sub>2</sub> (S-PTA) <sub>4</sub>	-60	90:10	73	93:7	72
Rh <sub>2</sub> (S-PTV) <sub>4</sub>	-60	94:6	74	96:4	89
Rh <sub>2</sub> (S-TFPTTL) <sub>4</sub>	-60	35:65	17	>99:1	87
Rh <sub>2</sub> (S-TCPTTL) <sub>4</sub>	-60	29:71	14	>99:1	71

**Scheme 24.**

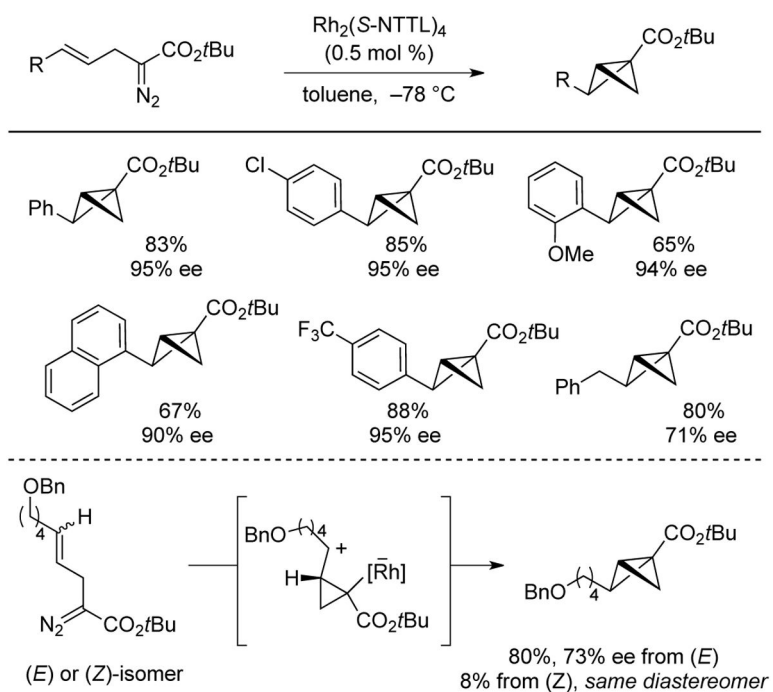
Temperature and ligand dependent chemoselectivity of Rh-catalyzed intramolecular



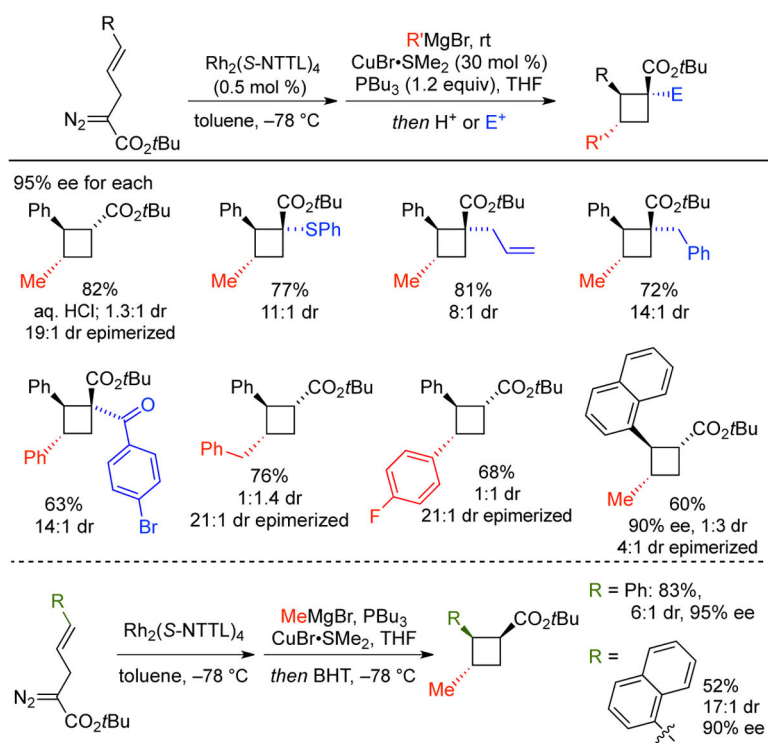
Rh cat.	R	T (°C)	$\beta$ -H migration	C-H insertion	% ee
$\text{Rh}_2(\text{S-TFP TTL})_4$	Me	23	76%	0%	–
$\text{Rh}_2(\text{S-PTTL})_4$	Me	23	71%	0%	–
$\text{Rh}_2(\text{S-TFP TTL})_4$	Me	–60	38%	0%	–
$\text{Rh}_2(\text{S-PTTL})_4$	Me	–60	26%	12%	83
$\text{Rh}_2(\text{S-PTTL})_4$	Et	–60	22%	20%	86

**Scheme 25.**

Examples of C–H insertion reactions in the presence of  $\beta$ -hydrogens.



**Scheme 26.**  
Enantioselective bicyclobutanation, selected examples.

**Scheme 27.**

One-flask, multi-component cyclobutane synthesis, selected examples.