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Immunogenicity and Safety of High-Dose Trivalent Inactivated Influenza Vaccine Compared to Standard-Dose Vaccine in Children and Young Adults with Cancer or HIV Infection

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Abstract

Background—Approaches to improve the immune response of immunocompromised patients to influenza vaccination are needed.

Methods—Children and young adults (3–21 years) with cancer or HIV infection were randomized to receive 2 doses of high-dose (HD) trivalent influenza vaccine (TIV) or of standarddose (SD) TIV. Hemagglutination inhibition (HAI) antibody titers were measured against H1, H3, and B antigens after each dose and 9 months later. Seroconversion was defined as ^{4-fold} rise in HAI titer comparing pre- and post-vaccine sera. Seroprotection was defined as a post-vaccine HAI titer 1:40. Reactogenicity events (RE) were solicited using a structured questionnaire 7 and 14 days after each dose of vaccine, and adverse events by medical record review for 21 days after each dose of vaccine.

Results—Eighty-five participants were enrolled in the study; 27 with leukemia, 17 with solid tumor (ST), and 41 with HIV. Recipients of HD TIV had significantly greater fold increase in HAI titers to B antigen in leukemia group and to H1 antigen in ST group compared to SD TIV recipients. This increase was not documented in HIV group. There were no differences in seroconversion or seroprotection between HD TIV and SD TIV in all groups. There was no difference in the percentage of solicited RE in recipients of HD TIV (54% after dose 1 and 38%

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after dose 2) compared to SD TIV (40% after dose 1 and 20% after dose 2, $p=0.27$ and 0.09 after dose 1 and 2, respectively).

Conclusion—HD TIV was more immunogenic than SD TIV in children and young adults with leukemia or ST, but not with HIV. HD TIV was safe and well-tolerated in children and young adults with leukemia, ST, or HIV.

Keywords

Influenza vaccine; High dose; Cancer; HIV; Immune response; Safety

INTRODUCTION

Influenza remains a major cause of morbidity and mortality among immunocompromised children, resulting in prolonged illness and viral shedding, delays in chemotherapy, and increase in the incidence of hospitalization 1^{-7} . Because of this significant burden, the Advisory Committee on Immunization Practices and the American Academy of Pediatrics recommend annual influenza vaccination of children at increased risk for hospitalization including those receiving anti-neoplastic therapy, or are HIV-infected 8 . However, studies evaluating the ability of immunocompromised patients to generate an immune response to standard-dose (SD) influenza vaccine indicated that the immunogenicity and efficacy of influenza vaccine varies depending on the underlying immunosuppressive disease and is generally poor compared to healthy persons $9-22$. For this reason, studies are needed to better understand the relationship between underlying immunocompromising diseases and immunogenicity of the vaccines; and to identify effective approaches that improve the immune response of immunocompromised patients to influenza vaccination. One proposed approach is to use higher dose influenza vaccine.

High-dose (HD) trivalent inactivated influenza vaccine (TIV) is indicated for vaccination of persons 65 years of age and older because HD TIV has been shown to be safe and more immunogenic than SDTIV in this high-risk group^{23_33}. HD TIV contains 60 mcg of each of 3 antigens (H3N2, H1N1, and influenza B) instead of the usual 15 mcg per antigen in the SD TIV. Based on the published studies using HD TIV in the elderly, we hypothesized that HD TIV is more immunogenic than SD TIV in children and young adults with cancer or HIV infection.

MATERIALS AND METHODS

Study Design and Objectives

This was a randomized, open-label study of an HD TIV compared to an SD TIV in children and young adults with cancer (leukemia and solid tumor (ST)) or HIV ([clinicaltrials.gov;](http://clinicaltrials.gov) NCT01205581). Patients with either cancer or HIV were separately randomized 1:1 to receive 2 doses of either HD or SD TIV, administered at least 21 days apart. Randomization was restricted with permuted blocks of size four using a computer-generated randomization schedule. Enrollment occurred during two consecutive influenza seasons, 2010–2011 and 2011–2012.

The primary objective was to compare seroconversion to influenza H1, H3, and B antigens following receipt of HD TIV to those following SD TIV, in children and young adults with cancer and HIV.

The secondary objectives were to describe the safety and reactogenicity of HD and SD TIV; to compare seroconversion to influenza H1, H3, and B antigens induced by 1 dose and 2 doses of HD or SD TIV; and to describe the relationship between baseline absolute lymphocyte count and robustness and durability of the immune response.

Study Participants

Children and young adults (3–21 years of age) who were receiving medical care at St. Jude Children's Research Hospital (SJCRH) for cancer or HIV were enrolled. Inclusion criteria included patients with cancer receiving chemotherapy and /or radiotherapy at the time of enrollment or having received chemotherapy in the 12 weeks prior to participation in the study. Patients with personal or direct family history of Guillain-Barré syndrome, those with history of severe hypersensitivity to egg proteins or any component of TIV, or lifethreatening reactions after any previous administration of influenza vaccine were excluded.

Vaccines

The SD TIV (Fluzone, Sanofi Pasteur) contained 15 mcg hemagglutinin (HA) per 0.5mL from each of the following three prototype strains: A/California/7/09 (H1N1), A/Perth/16/09 (H3N2) and B/Brisbane/60/08. The HD TIV (FluzoneHD, Sanofi Pasteur) contained 60 mcg HA per 0.5mL from each of the same three prototype strains in SD TIV. The antigens contained in the TIV administered in 2011–2012 influenza season were the same as those used in 2010–2011. All vaccine doses were administered intramuscularly.

Immunogenicity

Immunogenicity was assessed by determining the rate of seroconversion after the second dose of the vaccine using the serum hemagglutination inhibition (HAI) assay as previously described³⁴. Influenza-specific antibodies against the 3 antigens (H1, H3, and B) were measured before vaccination, 21–42 days after each dose of the vaccine, and 9 months after the first vaccine dose, but before receipt of vaccine for the following influenza season, to assess level and durability of the immune response. Additional blood tests for baseline immune status included white blood cell counts, absolute lymphocyte counts (ALC), and immunoglobulin levels. The separated sera were stored at −80°C until batched runs of HAI assay were completed. Seroconversion was defined as post-vaccine HAI titer $1:40$ if the pre-vaccine HAI titer was <1:10, or a four-fold rise in post-vaccine HAI titer if the prevaccine titer was 1:10. Seroprotection was defined as a post-vaccine HAI titer 1:40.

Safety and Reactogenicity Evaluation

Reactogenicity events (RE) were solicited using a pre-specified questionnaire administered 7 and 14 days after each dose of vaccine including local (tenderness, erythema, and swelling) and systemic reactions (myalgia, malaise, or fever defined as temperature 38.1° C rectal/ tympanic, 37.8° C oral, or 37.6° C axillary). Adverse events were assessed by medical record review for 21 days after each dose of vaccine using the adapted National Cancer

Institute Common Terminology Criteria for Adverse Events version 4.02. Serious adverse events (SAEs) were collected from first vaccination through the following 6 months.

Statistical Analysis

Pre- and post-vaccination HAI titers were reported as geometric mean titers (GMTs) with 95% confidence intervals. The Wilcoxon-Mann-Whitney test was applied to assess the fold increase in HAI titers after vaccination between intervention groups (HD TIV vs. SD TIV), and the Wilcoxon signed-rank test was used to compare the fold increase from prevaccination between 1 dose and 2 doses of vaccines. Fisher's exact test and McNemar's test were applied to compare the seroconversion and seroprotection rates between HD and SD TIV groups and between 1 dose and 2 doses, respectively. Statistical analyses were performed for each of the three influenza antigens separately. Wilcoxon-Mann-Whitney test and Fisher's exact test were used for the comparisons of reactogenicity and adverse events between HD and SD TIV groups after dose 1 and 2, and for the comparison of immune responses between ALC groups. SAS (SAS Institute, Cary, NC), Windows version 9.3 was used. All p-values are 2-sided. The criterion for significance in all analyses is p-value of 0.05 or less.

RESULTS

Study Participants

Eighty-five participants were enrolled in the study. Of the 44 participants with a cancer diagnosis, 27 had leukemia and 17 had STs. Forty-one participants were HIV infected. Figure 1 shows the flow diagram of the study participants. Baseline characteristics of the study participants are reported in Table 1.

Immunogenicity of HD TIV Compared to SD TIV

Results of immune response to HD TIV compared to SD TIV are shown in Table 2. Participants with leukemia who received 2 doses of HD TIV had greater fold increase in HAI titers to B antigen compared to those who received SD TIV ($p=0.04$). The difference was not significant for H1 and H3 antigens. There were no differences in the percentages of participants with seroconversion or seroprotection after the second dose of HD TIV compared to SD TIV, except that slightly more participants who received HD TIV achieved seroprotection against H3 and B antigens than those who received SD TIV.

Patients with ST who received 2 doses of HD TIV had significantly greater fold increase in titers to H1 antigen but not H3 and B antigens when compared to those who received SD TIV (p=0.04). There were no differences in the percentages of participants with seroconversion or seroprotection after the second dose of HD TIV compared to SD TIV.

In the HIV group, the fold increase in HAI titers after 2 doses of HD TIV was not significantly different from SD TIV against all three antigens. In addition, there were no differences in the percentages of participants with seroconversion or seroprotection after the second dose of HD TIV compared to SD TIV.

In general, higher geometric mean ratios (GMR) were achieved after 2 doses of HD TIV than SD TIV in all groups against all antigens.

Immunogenicity of Two Doses Compared to One Dose of TIV

About 70 percent of participants, HD and SD TIV combined, in all three groups of underlying diagnosis had seroconversion against H3 antigen after 1 dose of TIV, and the rate of seroconcersion was not significantly improved with the second dose. On the other hand, seroconversion was significantly more likely to be achieved after 2 doses than after 1 dose for H1 and B antigens ($p<0.0001$ for both), and the magnitude of improvement in seroconversion was more prominent in participants with leukemia or ST than in those with HIV (Table 2). Most participants had seroprotection after 1 dose of either HD or SD TIV, and 100% seroprotection was documented among HIV patients against all three antigens as well as participants with leukemia or ST against H1 antigen after 2 doses (Table 2).

Significant rises in HAI titers against all 3 antigens were found in all groups after 1 dose, and the HAI titers against B antigen significantly further increased in all groups after 2 doses and those against H1 antigen in the leukemia and ST groups.

Durability of Immune Response

Table 2 and figure 2 show that the GMT at 9 months after the first dose of vaccine had decreased to much lower levels that those detected after the second dose of either type of vaccine in all groups, reported as inverse GMR. The most significant decrease in titers, represented by higher inverse GMR, was documented against B antigen in all 3 groups of underlying diagnosis and with both HD and SD TIV. Immunity against B antigen was more likely to be lost by 9 months after vaccination compared to H1 and H3 antigens.

Similarly, seroprotective titers were lost by 9 months after the vaccine. High rates of seroreversion (drop from titer ≥1:40 after 2 doses to <1:40 at 9 months) were documented in patients with leukemia compared to ST or HIV against H1 and H3 antigens; almost all participants sero-reverted to B antigen (Table 2, Figure 2 (B)).

Immunogenicity by Baseline Absolute Lymphocyte Count

Table 3 shows that patients with $ALC = 1000$ cells/mm³ had significantly higher pre-vaccine GMT, a trend for higher post-vaccine GMT, significantly higher GMT at 9 months, and were also more likely to achieve seroconversion after 1 dose, compared to those with ALC < 1000 cells/mm³ .

Safety and Reactogenicity of HD TIV compared to SD TIV

A summary of RE following dose 1 and dose 2 of each of HD and SD TIV is reported in Table 4. Although participants who received HD TIV reported more frequent RE (54% after dose 1 and 38% after dose 2) compared to those who received SD TIV (40% after dose 1 and 20% after dose 2), these differences did not reach statistical significance ($p=0.27$ and 0.09 after dose 1 and dose 2, respectively). Solicited REs were mild to moderate.

One patient with non-Hodgkin lymphoma who received dose 1 of HD TIV was classified to have Grade 2 somnolence SAE. This was a 9 year old boy with known history of neurological disorder who underwent sedation for a routine imaging study. He received HD TIV in the afternoon after recovery from sedation, was observed and discharged home. Six hours after discharge, this patient returned to the hospital for concerns of increased sleepiness that started approximately 4 hours after vaccination. Although he was at baseline state upon presentation for evaluation, he was hospitalized for testing and observation. Tests including electroencephalography, CT scan, and neurological examination have been unremarkable. He spontaneously recovered within 2–3 hours and was back at his baseline upon presentation to the hospital.

DISCUSSION

This randomized, open-label study demonstrated that HD TIV was significantly more immunogenic than SD TIV against B antigen in leukemia patients and H1 antigen in ST patients. However, there was no significant difference between HD and SD TIV against all antigens in HIV-infected patients. Our findings suggest that using a HD influenza vaccine is a potential approach to improve the immune response of children and young adults with malignancy to influenza vaccine, but not those with HIV infection. FluzoneHD, which contains four times the antigen dose as that in SD TIV, was FDA approved in December 2009 for use in the elderly, and has been shown to be safe and more immunogenic in this risk group in whom SD TIV vaccines are poorly immunogenic^{25_33}. Two recent studies have evaluated the safety of HD TIV in children with acute lymphoblastic leukemia³⁵ and in pediatric solid organ transplant (SOT) recipients³⁶. Although these 2 studies were not designed to compare immunogenicity results, their findings suggested that HD TIV was not significantly different from SD TIV in children with ALL^{35} , but more immunogenic to H_3N_2 in pediatric SOT recipients³⁶. Inconsistent with the findings of McManus and colleagues³⁵, we have shown that HD TIV was significantly more immunogenic than SD TIV against B antigen in leukemia patients. Our study was the first to evaluate immunogenicity and safety of HD compared to SD TIV in children and young adults with ST or HIV infection. We have found no significant difference in the immune response to HD TIV compared to SD TIV in HIV-infected patients; who were of older age than participants with malignancy. A recent randomized double blind trial that enrolled HIV-infected adults showed that seroprotection rates after vaccination were higher in HD TIV than SD TIV recipients 37 . Our findings were not consistent with this study probably because of the relatively high pre-vaccine titers detected in both groups of HIV-infected children and young adults randomized to either HD or SD TIV, especially against H1 antigen which could be due to prior influenza vaccination or infection. Taking into consideration the higher, but nonsignificant, proportion of patients reporting reactogenicity events, and the absence of improved immune response to HD TIV compared to SD TIV, SD TIV remains the appropriate approach for HIV-infected children and young adults.

Another proposed strategy to improve immune response is administration of 2 doses of influenza vaccine at least 4 weeks apart. We have shown that two doses of TIV were more immunogenic than one dose in children and young adults with leukemia or ST, but no added benefit was demonstrated in HIV-infected children and young adults. These findings were

consistent with previous studies^{9,13,38} using older formulations of influenza vaccine. However, a large study designed to compare immunogenicity of 2 doses to 1 dose of contemporary formulation of influenza vaccine is highly needed.

The durability of influenza antibodies after vaccination remains undefined. In a previous study, we have shown that the GMTs measured 6 months after the last dose of monovalent 2009 pandemic H1N1 vaccine were higher than those measured after the last dose of vaccine in children with cancer or HIV infection 14 . However, the current study has demonstrated sero-reversion by 9 months after vaccination. The most significant decrease in titers was documented against B antigen in all 3 groups of underlying diagnosis and with both HD and SD TIV. Several interventions in the 9-month period after influenza vaccination could have contributed to the loss of immune response including subsequent cycles of chemotherapy and/or corticosteroids. A recent study that prospectively measured HAI titers in adult persons at serial time points during the 2009 H1N1 pandemic showed that antibodies induced by natural infection maintained high titer for at least 15 months 39 . However, the titers significantly decreased at approximately 10 months compared to 3 months after vaccination³⁹. Our study showed that patients with ALC ~ 1000 cells/mm³ had significantly higher pre-vaccine GMT, higher GMT at 9 months, and higher seroconversion rate compared to those with $ALC < 1000$ cells/mm³. Previous studies have attempted to investigate factors associated with improved immune responses to influenza vaccines in children and young adults with cancer, including age, white blood cell count, lymphocyte count, serum immunoglobulin levels, type of malignancy, intensity and type of chemotherapy, vaccine dose, prior influenza vaccination, and timing of vaccination in relation to chemotherapy⁹,10,12,14,17,19,22,40. We have found that higher ALC is associated with improved immune response to influenza vaccine. However, ALC was measured at the pre-vaccination visit and subsequent changes of ALC in relation to chemotherapy were not evaluated at the time of vaccination.

This study demonstrated that HD TIV is safe and well tolerated in children and young adults with cancer or HIV infection. Although patients who received HD TIV reported more solicited REs than those who received SD TIV, this difference was not significant. There was no difference between the two groups regarding local injection and systemic reactions. This finding was consistent with the safety results of HD TIV in children with ALL reported by McManus et al³⁵. However, GiaQuinta and colleagues reported that pediatric SOT recipients had more local reactions to HD TIV than SD TIV³⁶. Most of these reactions were reported as being mild or moderate in severity, and many resolved within three days. In addition, our findings differed from those of previous larger studies in the elderly population, in which higher rates of local reactions were reported in HD TIV compared to SD TIV recipients^{25_27},30,31. However, our findings were similar to a previous study that compared HD to SD TIV in adults infected with HIV^{37} . Our study as well as the other 2 studies^{35,36} has not reported any SAEs attributed to the vaccine, either HD or SD TIV. Although one patient in our study was classified to have SAE, this event was most likely not attributed to HD TIV because of other possible causes of increased sleepiness including recent sedation and known history of neurologic disorder.

Our study has some limitations. Firstly, this was a single center study with a small sample size in each sub-group of underlying diagnosis. A larger multicenter study is needed to validate our findings. Secondly, this was an open label study. To minimize bias, the HAI assays were completed in batched runs using de-identified samples with no information provided about the type of vaccine. And, if any related bias was introduced to the interpretation of safety and reactogenicity data, the slightly higher percentage of events solicited in HD TIV recipients was not significantly different from that in SD TIV recipients. Thirdly, enrollment occurred during two influenza seasons. However, the antigenic vaccine composition was not changed. Also, participants enrolled in the first influenza season were not enrolled in the second season to prevent any boosting effect. Fourthly, data about timing and intensity of chemotherapy or corticosteroids administration in relation to influenza vaccination was not collected; which might affect the ability to generate an adequate immune response. Similarly, the regimens of antineoplastic therapy for patients within the same underlying malignancy group (leukemia or solid tumor) were not compared between HD TIV and SD TIV groups. Fifthly, the patient population enrolled in the study is heterogeneous including patients with leukemia, ST, and HIV. However, the analysis was stratified by the underlying diagnosis to further minimize this limitation. Finally, the effectiveness of the vaccine in preventing proven influenza or influenza-like illnesses was not evaluated. In conclusion, our study revealed that the HD TIV is more immunogenic than SD TIV in children and young adults with leukemia or ST, and safe and well-tolerated in children and young adults with leukemia, ST, or HIV. However, randomized controlled trials with larger sample size are needed to evaluate the effectiveness of the vaccine in preventing proven influenza or influenza-like illnesses, and to determine the optimal influenza vaccine regimen in immunocompromised children and young adults, evaluating timing, dosing, and number of doses.

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Key Points

- **•** High dose influenza vaccine was more immunogenic than standard dose influenza vaccine in children and young adults with leukemia or solid tumor, but not with HIV.
- **•** High dose influenza vaccine was safe and well-tolerated in children and young adults with leukemia, solid tumor, or HIV.

Figure 1.

Flow Diagram of Study Participants

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Figure 2a

Hakim et al. Page 15

Figure 2b

Figure 2.

Durability of immune response to 3 influenza vaccine antigens H1, H3, and B in children and young adults with leukemia, solid tumor, or HIV who received HD TIV or SD TIV, represented by (A) Geometric Mean Ratios and Inverse GMR; and (B) Sero-reversion

Vaccine. Author manuscript; available in PMC 2017 June 08.

Two patients (one with leukemia and one with HIV) were lost to follow up before randomization. Two patients (one with leukemia and one with HIV) were lost to follow up before randomization.

HIV, human immunodeficiency virus; HD, high dose; SD, standard dose; TIV, trivalent inactivated influenza vaccine; Ig, immunoglobulin; NA, non-applicable; Std, standard deviation HIV, human immunodeficiency virus; HD, high dose; SD, standard dose; TIV, trivalent inactivated influenza vaccine; Ig, immunoglobulin; NA, non-applicable; Std, standard deviation

Immunogenicity of HD TIV compared to SD TIV measured using hemagglutination inhibition assay 21-42 days after each dose of vaccine and 9 months Immunogenicity of HD TIV compared to SD TIV measured using hemagglutination inhibition assay 21–42 days after each dose of vaccine and 9 months § after the first dose of vaccine but before influenza vaccination for the following season

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⁸Three patients with HIV were lost to follow up; one before randomization and two after receiving dose #1 and before post-vaccine evaluation visit. One patient with leukemia withdrew consent before Three patients with HIV were lost to follow up; one before randomization and two after receiving dose #1 and before post-vaccine evaluation visit. One patient with leukemia withdrew consent before randomization. randomization.

Defined as Seroprotection for HAI values

*

 $#$ p-value for this comparison=0.04 p-value for this comparison=0.04 $\frac{s}{P}$ -value for this comparison=0.04 p-value for this comparison=0.04 GMT, geometric mean titer; GMR, geometric mean ratio; HIV, human immunodeficiency virus; NA, non-applicable; HD, high dose; SD, standard dosse; TIV, trivalent inactivated influenza vaccine; CI,
Confidence interval GMT, geometric mean titer; GMR, geometric mean ratio; HIV, human immunodeficiency virus; NA, non-applicable; HD, high dose; SD, standard dose; TIV, trivalent inactivated influenza vaccine; CI, Confidence interval

Immune Responses by Baseline Absolute Lymphocyte Count

* Wilcoxon-Mann-Whitney tests for continuous variables, Fisher's exact tests for categorical variables

 $\vec{r}_{\text{Missing values were excluded.}}$

GMT, geometric mean titer; GMR, geometric mean ratio; CI, confidence interval

Exact Wilcoxon-Mann-Whitney tests for continuous variables, Fisher's exact tests for categorical variables Exact Wilcoxon-Mann-Whitney tests for continuous variables, Fisher's exact tests for categorical variables $^{\#}$ This adverse event was classified as grade 2 somnolence using the adapted National Cancer Institute Common Terminology Criteria for Adverse Events version 4.02. This adverse event was classified as grade 2 somnolence using the adapted National Cancer Institute Common Terminology Criteria for Adverse Events version 4.02.

HD, high dose; SD, standard dose; TIV, trivalent inactivated influenza vaccine HD, high dose; SD, standard dose; TIV, trivalent inactivated influenza vaccine