

# Exploring the use of shape and texture descriptors of positron emission tomography tracer distribution in imaging studies of neurodegenerative disease

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## Abstract

Positron emission tomography (PET) data related to neurodegeneration are most often quantified using methods based on tracer kinetic modeling. In contrast, here we investigate the ability of geometry and texture-based metrics that are independent of kinetic modeling to convey useful information on disease state. The study was performed using data from Parkinson's disease subjects imaged with  $^{11}\text{C}$ -dihydrotetrabenazine and  $^{11}\text{C}$ -raclopride. The pattern of the radiotracer distribution in the striatum was quantified using image-based metrics evaluated over multiple regions of interest that were defined on co-registered PET and MRI images. Regression analysis showed a significant degree of correlation between several investigated metrics and clinical evaluations of the disease ( $p < 0.01$ ). The best results were obtained with the first-order moment invariant of the radioactivity concentration values estimated over the full structural extent of the region as defined by MRI ( $R^2 = 0.94$ ). These results demonstrate that there is clinically relevant quantitative information in the tracer distribution pattern that can be captured using geometric and texture descriptors. Such metrics may provide an alternate and complementary data analysis approach to traditional kinetic modeling.

## Keywords

Image analysis, image metrics, multimodality, Parkinson's disease, positron emission tomography, region of interest definition

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## Introduction

In-vivo positron emission tomography (PET) imaging of neurological disorders has contributed significantly to the understanding of disease-induced neurochemical changes in the brain, and its relevance is anticipated to increase as treatments become available. The methods of image analysis that relate imaging outcomes to underlying neurobiology typically include two components: 1) the selection of modeling techniques and metrics which relate the radiotracer distribution to biological parameters; and 2) criteria for defining regions of interest (ROIs) over which the parameters of interest are evaluated. For example, the simplest type of modeling is the estimate of the standard uptake values, where the ROI values from a single (static) image are divided by the injected tracer dose normalized to subject's body mass. More rigorous methods rely on

kinetic modeling (KM) to compute the biological parameters such as the non-displaceable binding potential ( $\text{BP}_{\text{ND}}$ ), and require the knowledge of the time course of the tracer distribution in the selected ROI. Thus, dynamic scanning and either a plasma- or tissue-derived input function must be acquired. These requirements typically lead to increased scan durations, introduce additional sources of error and reduce patient comfort. Therefore, it is of interest to explore new image-derived metrics that correlate with disease,

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convey useful information regarding the relevant physiological processes, and can be obtained from relatively simple scanning procedures. Metrics that quantify image histogram and texture have been already used in cancer-related PET imaging,<sup>1,2</sup> and analyses of co-variance patterns have been proposed in neuroimaging for tracers with diffuse brain distribution, such as <sup>18</sup>F-fluorodeoxyglucose (<sup>18</sup>F-FDG) and <sup>11</sup>C-Pittsburgh compound B (<sup>11</sup>C-PIB).<sup>3,4</sup> However, to our knowledge, the usefulness of a wider set of geometry-based metrics in neurodegeneration has not been explored, specifically for the tracers that have a rather localized distribution pattern.

The aim of this work was to investigate the correlation between KM-independent image-derived metrics that quantify spatial activity distribution in the brain and clinical metrics of neurodegenerative disease; the investigation was performed using co-registered PET and MRI images of subjects suffering from Parkinson's disease (PD), which is known to progressively affect the integrity of the pre-synaptic dopaminergic neurons with minimal or no anatomical atrophy.<sup>5</sup> Based on the observation that neurodegenerative disease usually affects tracer binding in a distinct spatio-temporal pattern, our hypothesis was that metrics such as region compactness, spatial activity variance and eccentricity evaluated for the regions of high activity concentration would correlate well with the clinical disease progression.

The descriptive strength of image metrics may depend on the particular ROI definition method; this was indeed observed in oncology.<sup>6</sup> The selection of the most appropriate ROI over which to evaluate a specific metric may be ambiguous in presence of neurodegeneration; while specific aspects of the neurochemical function may be impaired, other functional or structural aspects may be preserved, leading to large differences in region identification ability between different modalities and tracers. Typically, the ROI choice is dictated by the available data, the primary aim of investigation, and overall robustness of the method.<sup>7,8</sup> In the case when anatomically defined regions are required, they can be delineated using the MRI image of the subject. Other approaches include using atlas-derived ROIs,<sup>9-11</sup> or a more simple definition of ROIs using geometric primitives placed over the brain structure of interest.<sup>12,13</sup> In addition, it has been shown that inter-modality ROIs (PET-CT, PET-MRI) can be better suited for certain diagnostic tasks than the traditional single-modality ROIs.<sup>14-17</sup> Given these considerations, here we evaluate the performance of the PET-image analysis metrics for a range of possible ROI definitions derived from the PET and MRI images; this methodology was utilized to investigate metrics more systematically and to test the generality

of the analysis outcome beyond a specific method of ROI definition.

The study was performed using images of two dopaminergic tracers in PD subjects and healthy controls. The dopaminergic function was evaluated using the <sup>11</sup>C-dihydrotetrabenazine (DTBZ), a marker for the vesicular monoamine transporter type 2. The BP<sub>ND</sub> of DTBZ is substantially reduced in PD patients compared to controls, exhibiting a typical PD-related rostro-caudal gradient. The other tracer was <sup>11</sup>C-raclopride (RAC), which captures the spatial distribution of the D<sub>2</sub> (dopamine) receptors. The D<sub>2</sub> receptor density is relatively preserved with PD, and thus the region of high RAC binding resembles the anatomical shape of the striatum. It was expected that the investigated metrics computed using the DTBZ activity values within the striatal ROIs would capture the progressive aspect of PD, while no significant disease-related trends would be observed for metrics derived from the RAC activity values.

The analysis methodology consisted of three main steps: 1) ROI definition: a series of single-modality and inter-modality PET-MRI ROIs were generated. These ROIs included PET-defined regions of high activity concentration, MRI-defined regions corresponding to anatomical structures (putamen and caudate), and a range of intermediate regions. The intermediate ROIs were taken from a "mixed" ROI space that was established using a linear combination of the single-modality PET and MRI segmentations. We explored DTBZ-MRI and RAC-MRI ROIs that were generated using images of the corresponding tracer; 2) metric computation: the investigated metrics were computed within the generated ROIs from the DTBZ and RAC activity values; 3) metric evaluation: the correlation between metric values and clinical PD severity measures (disease duration (DD) and motor performance) was analyzed as a function of the used ROIs.

In the following sections, our method and results are reported in detail. In "Methods" section, we describe the imaging protocol, the ROI generation procedure, the utilized image metrics, and the analysis approach. In "Results" section, we first report data obtained with DTBZ-derived metrics, followed by a more brief description of findings with RAC-derived metrics. In "Discussion" section, we summarize and analyze the results, identify limitations of the study, and outline future work.

## Methods

### *Data acquisition and pre-processing*

The study was approved by the University of British Columbia Ethics Board and all experiments were conducted in accordance with the university ethics

guidelines. All subjects gave written informed consent. The study used data from 16 PD and three control subjects acquired as part of an ongoing clinical study. The mean age of the PD subjects was  $61.2 \pm 7.3$  (range 52–79 years), with the clinical disease severity ranging from mild to moderate on the unified Parkinson's disease rating scale (UPDRS). Given that PD can affect two sides of the brain to different degrees, the motor part of the UPDRS was evaluated separately for the left and right side (off-medication). The mean lateralized UPDRS score was  $11.6 \pm 6.5$  (range 1–26). The mean DD measured from the time of clinical onset was  $7.3 \pm 4.5$  years (range 1–15 years).

T1-weighted MRI and DTBZ PET images of the brain were acquired for all subjects; RAC images were acquired for all but one PD subject. The MRI images were obtained with a Philips Achieva 3T scanner at the UBC MRI Research Centre using a T1-weighted Turbo Field Echo sequence (TR 7.724 seconds). MRI image dimensions were  $256 \times 256 \times 170$  with voxel size  $(1.0 \text{ mm})^3$ . The PET data were acquired in list mode using the Siemens High Resolution Research Tomograph (HRRT) at the UBC PET Imaging Centre and reconstructed using the 3D OSEM-OP algorithm.<sup>18</sup> Two image types were used for metric evaluation: activity concentration images acquired over 30 min (30–60 min post-injection) and parametric  $BP_{ND}$  images produced from 16 temporal frames using a simplified reference tissue model<sup>19</sup>; the occipital cortex and cerebellum were used as the DTBZ and RAC reference regions, respectively. PET image dimensions were  $256 \times 256 \times 207$  with voxel size  $(1.219 \text{ mm})^3$ . PET images were rigidly co-registered to the respective MRI images, which were resampled using

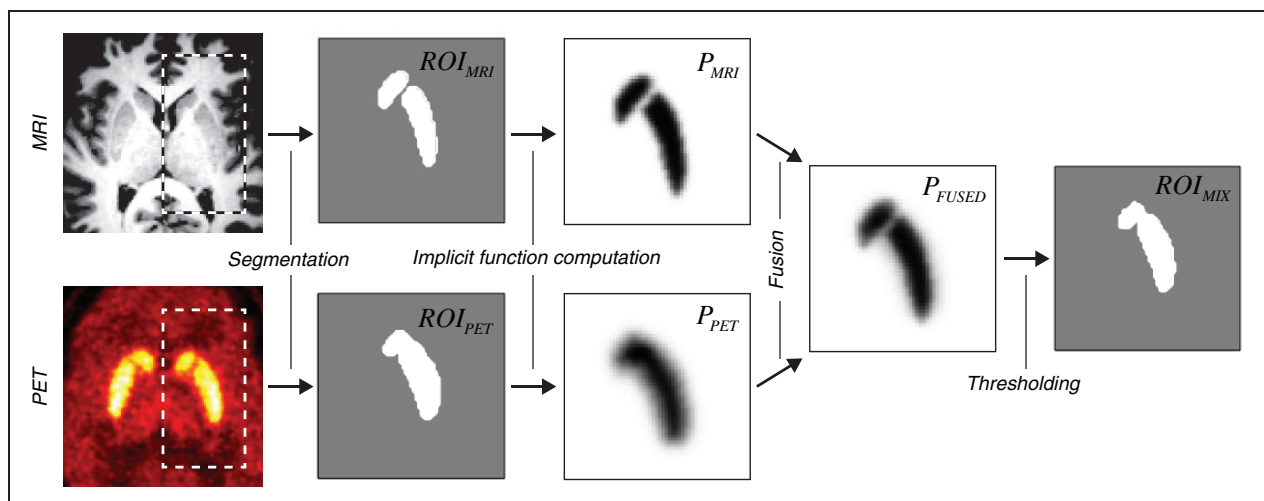
trilinear interpolation to match PET voxel size. The SPM software package ([www.fil.ion.ucl.ac.uk/spm/](http://www.fil.ion.ucl.ac.uk/spm/)) was used for rigid, mutual information-based co-registration.

### Single-modality and mixed PET-MRI ROIs

MRI-based ROIs for each subject ( $ROI_{MRI}$ ) were generated by manually outlining left and right putamen and caudate in the MRI images using the ImageJ ([www.rsweb.nih.gov/ij/](http://www.rsweb.nih.gov/ij/)) image analysis software (4 MRI-based regions in total per subject). To produce the DTBZ and RAC ROIs ( $ROI_{PET}$ ) defined by the regions of high activity concentration, the activity images acquired over 30 min were smoothed with an anisotropic diffusion filter<sup>20</sup> and thresholded. Following one of the methods previously used in oncology imaging,<sup>21–24</sup> the threshold level was computed as 40% of the maximum value (within the respective side), after subtraction of the background in the surrounding tissue. The resulting binary mask was subdivided into left and right putamen and caudate regions (4 PET-based regions in total per subject). Thus, for each MRI-based ROI there was a corresponding PET-based ROI.

The method of mixed ROI generation followed the mathematics of localized N-d shape transformations<sup>25,26</sup> and is outlined in Figure 1. For each pair of corresponding  $ROI_{PET}$  and  $ROI_{MRI}$ , the distance-argued implicit functions ( $P_{PET}$ ,  $P_{MRI}$ ) were computed using the equation

$$P(d) = 0.5(\text{err}(\gamma \times d) + 1) \quad (1)$$



**Figure 1.** Flowchart of the algorithm employed to generate mixed PET-MRI ROIs. The main processing steps are shown using the transaxial slices through the representative PET/MRI volume images.

where  $d$  is the signed distance from the ROI boundary (positive inside the ROI), and  $\text{err}(x)$  is the Gaussian error function. The error function was used here because it represents the convolution of the Heaviside step function with the Gaussian function, which provides a good description of the point spread function of the imaging apparatus. The values  $\gamma = 0.32$  and  $\gamma = 0.81$  were used for PET and MRI implicit functions, respectively. These values were obtained experimentally by fitting the error function to the edge of striatum in the respective images, and taking the mean value of  $\gamma$  over all subjects. Note that at the ROI boundary  $P(0) = 0.5$ .

The implicit PET and MRI functions corresponding to the same subject and structure were fused as follows:

$$P_{\text{FUSED}}(\alpha) = \alpha P_{\text{MRI}} + (1 - \alpha) P_{\text{PET}}, \quad \alpha \in [0, 1] \quad (2)$$

where  $\alpha$  is a free parameter representing the relative weight of the MRI component. The mixed PET-MRI ROIs were obtained by choosing the voxels with the value of  $P_{\text{FUSED}}$  above 0.5:  $\text{ROI}_{\text{MIX}}(\alpha) = \{(x, y, z) : P_{\text{FUSED}}(\alpha, x, y, z) > 0.5\}$ . The geometric shape of  $\text{ROI}_{\text{MIX}}$  could be adjusted by changing the  $\alpha$  parameter. Values  $\alpha = 0$  and  $\alpha = 1$  correspond to single-modality PET and MRI ROIs, respectively:  $\text{ROI}_{\text{MIX}}(0) = \text{ROI}_{\text{PET}}$  (DTBZ or RAC) and  $\text{ROI}_{\text{MIX}}(1) = \text{ROI}_{\text{MRI}}$ .

### Image metrics

The choice of the investigated metrics was based on the prior knowledge of the specific rostro-caudal directional pattern of dopaminergic function loss in PD. It should be pointed out that in the PET images analyzed in this work, the activity was concentrated in a single area (striatum), and not distributed over the entire brain as, for example, in the case of imaging with  $^{18}\text{F}$ -FDG; the investigated metrics were chosen accordingly. The metrics were separated into three classes: value, geometry, and texture, computed using  $\alpha$ -defined  $\text{ROI}_{\text{MIX}}(\alpha)$ . All metrics were computed using PET voxel values; the MRI images were not used for metric computation, but only for ROI definition.

The value group included (see Table 1 for abbreviation reference):

- The mean  $\text{BP}_{\text{ND}}$  that was obtained from the parametric binding potential images. This was the only metric that was based on KM, and the performance of other metrics (in terms of correlation with the clinical data) was evaluated in comparison to  $\text{BP}_{\text{ND}}$ . Unless noted otherwise, DTBZ  $\text{BP}_{\text{ND}}$  was measured in the DTBZ-MRI mixed ROI space,

and RAC  $\text{BP}_{\text{ND}}$  was measured in the RAC-MRI mixed ROI space;

- The standard deviation of activity (ASD) and index of dispersion of the activity values (AID). These metrics captured the non-uniformity of tracer binding, which becomes more prominent with advanced disease.

The geometry group included:

- Region volume (VOL) and region surface area (SAR). With disease progression, the functionally active dopaminergic regions become smaller. These metrics were expected to capture this reduction in size;
- Relative volume difference (RVD) and volumetric overlap error (VOE):

$$\text{RVD} = \frac{|\text{ROI}_{\text{MIX}}(\alpha)| - |\text{ROI}_{\text{MRI}}|}{|\text{ROI}_{\text{MRI}}|} \quad (3)$$

$$\text{VOE} = 1 - \frac{|\text{ROI}_{\text{MIX}}(\alpha) \cap \text{ROI}_{\text{MRI}}|}{|\text{ROI}_{\text{MIX}}(\alpha) \cup \text{ROI}_{\text{MRI}}|} \quad (4)$$

where  $|\bullet|$  denotes the number of voxels. While RVD is only a measure of relative region size, VOE measures the spatial alignment between two regions;

- Distance between the centers-of-mass of  $\text{ROI}_{\text{MIX}}(\alpha)$  and  $\text{ROI}_{\text{MRI}}$  (RCM): normalized to the anteroposterior length of  $\text{ROI}_{\text{MRI}}$ . With advanced disease the center of the functionally active region shifts towards the anterior direction and RCM was expected to grow;
- Eccentricity of ellipsoid fitted to  $\text{ROI}_{\text{MIX}}(\alpha)$  (ECM), which was expected to become lower with more advanced disease;
- Region compactness (CMP) defined as the inverse ratio of the ROI surface area to that of a same-volume sphere, and extent (EXT) defined as the ratio of the ROI volume to that of the ROI-bounding box. With disease progression the functional regions become more irregular, and both of these metrics were expected to reflect this aspect;
- Mean region breadth (MBR) measured the mean region width along 13 spatial axes. MBR was expected to diminish with more severe disease.

As texture metrics, we employed the J1 and J2 moment invariants defined previously elsewhere.<sup>27–29</sup> In short, the J1 moment (denoted as J1V) reflects the distance-weighted variance of activity values within the ROI, and the J2 moment (denoted as J2V) reflects the corresponding covariance; both metrics are invariant to

**Table 1.** Values of the correlation coefficient for the explored image metrics.<sup>a</sup>

Image metrics	DTBZ-MRI			RAC-MRI						
	$\alpha$ max	DD	UPDRS	$\alpha$ max	DD	UPDRS				
Value										
Log(BP <sub>ND</sub> )	0.4	0.82** ‡0.94**	(-0.90**)	0.61** ‡0.62**	(-0.86**)	-	0.06	(0.21)	0.06	(0.22)
ASD	1.0	0.88**	(-0.91**)	0.63**	(-0.84**)	-	0.07	(0.23)	0.05	(0.24)
AID	1.0	0.72**	(-0.83**)	0.39**	(-0.65**)	-	0.07	(0.26)	0.09	(0.30)
Geometry										
VOL	0.2	0.57**	(-0.69**)	0.48**	(-0.68**)	-	0.10	(0.38)	0.06	(0.29)
SAR	0.2	0.56**	(-0.68**)	0.51**	(-0.70**)	-	0.09	(0.32)	0.06	(0.24)
RVD	0.3	0.53**	(-0.70**)	0.46**	(-0.69**)	-	0.11	(0.32)	0.17	(0.29)
VOE	0.2	0.60**	(0.76**)	0.52**	(0.77**)	-	0.12	(0.30)	0.06	(0.28)
RCM	0.3	0.48**	(0.64**)	0.38**	(0.60**)	0.3	0.29*	(0.51*)	0.21	(0.39)
ECM	0.0	0.65*	(-0.75**)	0.59*	(-0.74**)	-	0.16	(0.32)	0.09	(0.27)
CMP	0.5	0.32*	(-0.49*)	0.36**	(-0.53*)	-	0.13	(0.28)	0.12	(0.31)
EXT	0.5	0.31*	(-0.51*)	0.36*	(-0.69**)	0.3	0.36*	(0.51*)	0.40**	(0.50**)
MBR	0.3	0.51**	(-0.62**)	0.53**	(-0.68**)	-	0.09	(0.26)	0.07	(0.25)
Texture										
J1V	1.0	0.94**	(0.94**)	0.79**	(0.90**)	-	0.07	(0.24)	0.08	(0.26)
J2V	1.0	0.91**	(0.93**)	0.77**	(0.91**)	-	0.08	(0.25)	0.07	(0.23)

BP<sub>ND</sub>, non-displaceable binding potential; AID, index of dispersion of activity values; VOL, region volume; SAR, region surface area; RVD, relative volume difference; VOE, volumetric overlap error; RCM, relative center of mass distance; ECM, region eccentricity; CMP, region compactness; EXT, region extent; MBR, mean breadth; J1V, first moment invariant of activity values; J2V, second moment invariant of activity values. <sup>a</sup>Maximum values of  $R^2$  and  $\rho$  (given in parentheses) between image metrics and clinical metrics, obtained in the DTBZ-MRI and RAC-MRI ROI spaces (using less affected side of putamen). All subjects were included in the analysis. The  $R^2(\alpha)$  values were obtained by fitting the image metrics with the two-term linear functions of UPDRS and DD. A two-term exponential function was used with BP<sub>ND</sub>. Absent  $\alpha$ max indicates that no trend in the correlation strength was observed. The star glyph indicates the significance level: \*\* $P < 0.01$ , \* $P < 0.05$ , and no glyph for  $P > 0.05$ . † Value obtained with three-term exponential fit (BP<sub>ND</sub> only).

the affine transformations. These descriptors are classified as “texture” here in a sense that they quantify the spatial distribution of voxel values and thus combine two types of information (value and location); however, it should be noted that they do not quantify repeated patterns in the images.

### Metric evaluation

The values of the image metrics were obtained using DTBZ-MRI and RAC-MRI mixed ROIs that were generated using Equation (2) with  $\alpha = [0.0, 0.1, \dots, 1.0]$ . To account for the asymmetric nature of PD, the metrics were computed separately for the two sides of the brain, using either putamen or caudate mixed ROIs. For each value of  $\alpha$ , the metric values corresponding to the clinically better (or worse) side (varied between subjects) were regressed against the corresponding lateralized UPDRS scores and DD.

The regression analysis was performed with ( $N = 19$ ) and without ( $N = 16$ ) the inclusion of the control

subjects in the test sample. The inclusion of the controls allowed to examine the behavior of metrics when transitioning from healthy to disease state. In addition, control subjects provided a reference for tracking the change in metric value with disease progression. The exclusion of the controls from the analysis, on the other hand, allowed a potentially less biased assessment of the correlation between the disease severity and image metrics.

The main measure of correlation was the square of the correlation coefficient  $R^2$ , obtained by fitting the data to the two-term models of the form  $f(x) = b + ax$  and  $f(x) = b \exp(ax)$ , where  $f$  is the analyzed metric, and  $x$  is DD or UPDRS score. A detailed investigation of more complex functions was outside the scope of this work, as a higher number of subjects would have been required to establish the proper functional form for each of the investigated metrics. The exception was an additional fit of BP<sub>ND</sub> to the three-term function  $f(x) = c + b \exp(ax)$ , since it has been previously determined that such a function most appropriately describes the relationship between DTBZ

$BP_{ND}$  and  $DD$ .<sup>30</sup> Bootstrapping with replacement was used to obtain the mean  $\overline{R^2}(\alpha)$ , with the corresponding standard deviation and 95% confidence intervals. In addition, we computed the Spearman's correlation coefficient  $\rho$  to measure the statistical dependence between the image and clinical metrics in a way that does not imply any specific type of functional relationship. Since the results were not corrected for multiple comparisons<sup>6,31</sup> the absolute  $p$  values associated with the correlations need to be interpreted with caution; nevertheless, the relative values between different metrics are expected to be relevant. To investigate the degree of dependency between image metrics, the goodness of fit to a bivariate linear model was measured, expressed as adjusted  $R^2_{adj}$ , with two image metrics as the independent variables and  $DD$  as the dependent variable.

The analysis procedure was performed separately for the DTBZ-derived metrics in the DTBZ-MRI ROIs and RAC-derived metrics in RAC-MRI ROIs and for the clinically worse and better sides of the striatum. In addition, we explored the relationship between the clinical data and DTBZ-derived metrics evaluated in the RAC-MRI space. This provided an assessment of the relative metric sensitivity to the anatomic fidelity of the ROI, i.e. whether the metrics remain suitable for analysis with RAC-based ROIs used as surrogate anatomical guidelines. Here we make an assumption that there are no spatial differences between the pre-synaptic and post-synaptic binding targets.

We describe in detail the results obtained with DTBZ-derived metrics in the DTBZ-MRI ROI space, including the variability of metrics with  $\alpha$  and  $\overline{R^2}(\alpha)$  plots. The value metric group is discussed first, followed by the geometry and texture groups. Results obtained from the entire sample (controls+PD) are reported first, followed by those obtained for PD subjects only. Results obtained with RAC- and DTBZ-derived metrics in the RAC-MRI ROI space are summarized in a separate section.

## Results

The correlation was found to be stronger when the clinically better side was evaluated, consistent with previous studies.<sup>30</sup> Therefore, we report in detail the results obtained with image metrics extracted from the less affected (better) side; using the more affected side yielded the same general outcomes.

### Metric values and variability

Examples of ROIs from the DTBZ-MRI ROI space used for metric evaluation are shown in Figure 2(a).

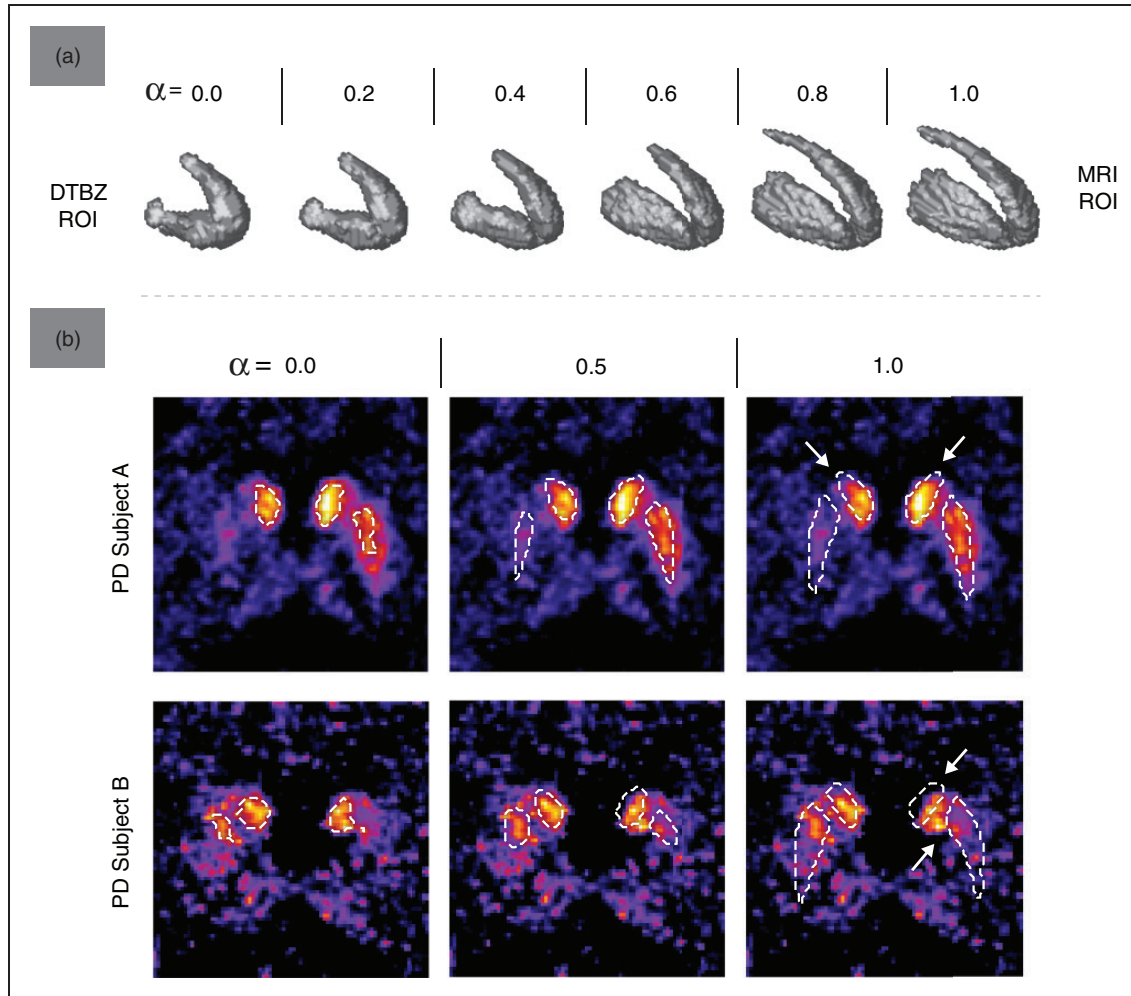
With PD subjects, the activity-defined PET regions were smaller than the corresponding MRI regions, as expected. Greater values of  $\alpha$  produced ROIs with finer spatial detail (particularly in the areas of interior capsule and posterior caudate) and lower surface irregularity. Figure 2(b) further demonstrates the degree of alignment between the activity and anatomy-defined regions: even in areas presumably unaffected by the disease, the MRI ROIs did not always align well with the functionally active regions (indicated by arrows). This misalignment was greater with the caudate ROIs, where the ROI shape differences between DTBZ and MRI were more significant (likely due to the more pronounced partial volume effect and registration imperfection). With control subjects, the mean VOE was  $0.64 \pm 0.08$  for the caudate and  $0.33 \pm 0.05$  for the putamen; with PD subjects, the mean VOE was  $0.67 \pm 0.08$  and  $0.82 \pm 0.11$  for the caudate and putamen regions, respectively.

Several representative metrics (VOL,  $BP_{ND}$ , CMP, J1V) are plotted in Figure 3 as functions of  $\alpha$ . The VOL values reveal the various degrees of functional atrophy in PD subjects; different metric behavior between the less affected and more affected subjects is observed. The values of  $BP_{ND}$  were highest with  $\alpha \sim 0$  for PD subjects, reflecting the spatially non-uniform dopaminergic denervation typical of PD. The CMP graphs revealed that PET ROIs were on average more compact but substantially less consistent compared to MRI ROIs. The J1V graphs demonstrate that the spatial variance of voxel values was highest with MRI ROIs and lowest with PET ROIs. Longer  $DD$  generally corresponded to higher J1V, lower VOL and lower  $BP_{ND}$ .

### Correlation between image and clinical metrics

The maximum values of  $\overline{R^2}$  and  $\rho$  obtained for the investigated metrics in the DTBZ-MRI and RAC-MRI ROI spaces are summarized in Table 1, along with the values of  $\alpha$  that maximized the correlation with the clinical data ( $\alpha_{max}$ ). The data are shown only for less affected side of putamen; the correlation obtained using the caudate ROIs was weak for most metrics, as expected, given the known spatio-temporal progression pattern of PD.

Among the value group, ASD had the strongest correlation with the clinical measures, similar to that of  $BP_{ND}$  and followed by AID. The corresponding values of  $\rho$  generally followed the same pattern. The  $\overline{R^2}(\alpha)$  plot and representative scatter plots for  $\log(BP_{ND})$  are shown in Figure 4(a). The  $\overline{R^2}(\alpha)$  graph demonstrates a trend toward stronger correlation between  $BP_{ND}$  and  $DD$  around  $\alpha \sim 0.5$  (also observed



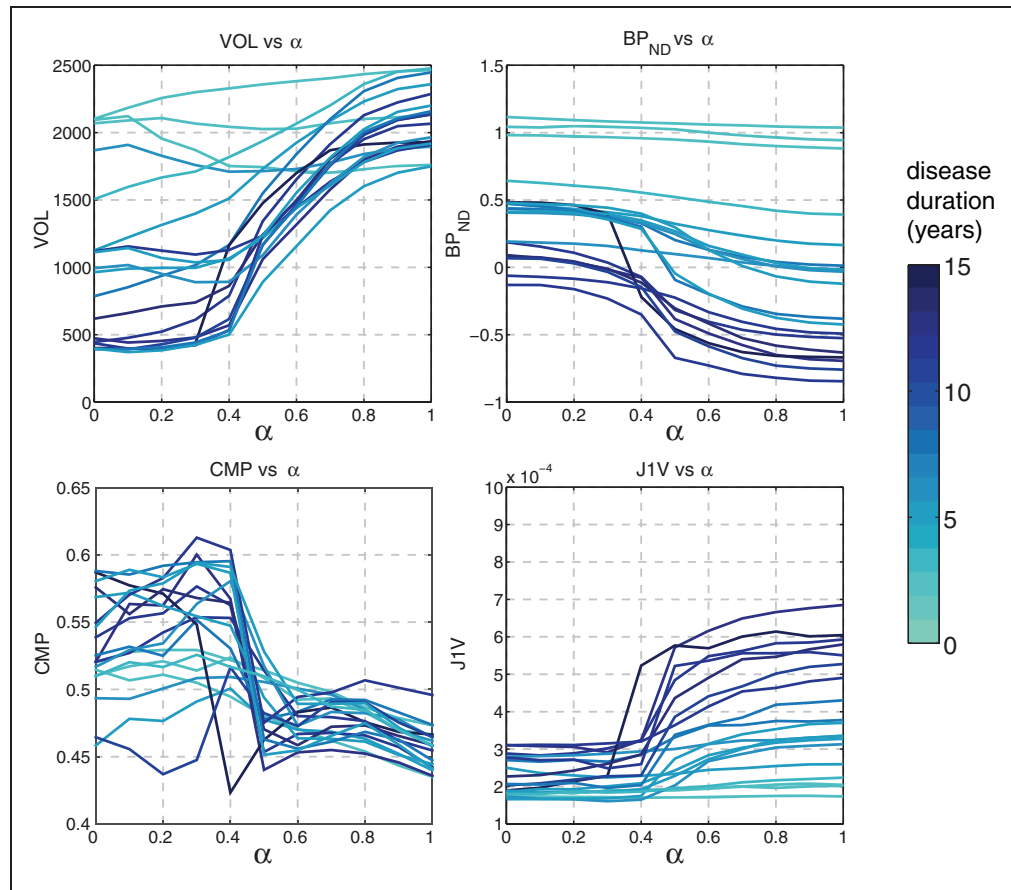
**Figure 2.** (a) The shape of  $ROI_{MIX}(\alpha)$  for one of PD subjects (UPDRS 9.0, DD 6, moderate severity) in the DTBZ-MRI ROI space. (b) Contours of  $ROI_{MIX}(\alpha)$  overlaid on the transaxial slices of DTBZ  $BP_{ND}$  images, for two representative PD subjects and three values of  $\alpha$ . Arrows point out areas of misalignment between  $ROI_{MIX}(\alpha = 1)$  and regions of high activity concentration.

with  $\rho$ ). The scatter plots revealed that a three-term exponential function of the form  $f(x) = c + b \exp(ax)$  was a better fit for  $BP_{ND}$  ( $R_{DD}^2 = 0.94$ ,  $R_{UPDRS}^2 = 0.62$ ) compared to the two-term function ( $R_{DD}^2 = 0.82$ ,  $R_{UPDRS}^2 = 0.61$ ), as known from literature.<sup>30</sup> Using the linear and exponential two-term fits resulted in nearly identical correlation coefficients with all metrics except  $BP_{ND}$ . Nevertheless, since the two-term linear function was used with other metrics, with  $BP_{ND}$  we retained both the two-term and three-term  $\bar{R}^2$  values as the reference. The use of the Spearman correlation coefficient  $\rho$  may be more robust in this regard since it does not imply any specific type of functional dependence.

The correlation between clinical data and metrics in the geometry group was statistically significant but lower compared to the value group. The correlation was statistically significant only with PET ROIs or mixed ROIs,

and negligible with MRI ROIs. Metrics related to the size of the region ( $VOL$ ,  $VOE$ ,  $RVD$ ,  $SAR$ ) had the highest values of  $\bar{R}^2$  and  $\rho$ , and the maximum correlation was most often observed around  $\alpha \sim 0.3$ . This is reflected in the  $\bar{R}^2(\alpha)$  graph for  $RVD$  shown in Figure 4(a). With metrics that captured the shape properties ( $CMP$ ,  $EXT$ ,  $MBR$ ,  $ECM$ ),  $\bar{R}^2$  and  $\rho$  plots generally had a pronounced maximum around  $\alpha = 0.5$ . An example of such trend for  $CMP$  is shown in Figure 4(a). The scatter plots for  $CMP$  demonstrate the correlation pattern observed with  $\alpha = 0.5$ : subjects with low DD and UPDRS scores generally had higher  $CMP$  values. The trend of improved correlation in the region of mid-range  $\alpha$  values was consistently observed in both the  $\bar{R}^2$  and  $\rho$  functions with most geometry-based metrics.

The texture metrics  $J1V$  and  $J2V$  had the strongest correlation with the clinical data among all other metrics; the highest values of  $\bar{R}^2$  and  $\rho$  were obtained with MRI ROIs. The  $\bar{R}^2(\alpha)$  graphs and scatter plots for  $J1V$



**Figure 3.** Graphs of VOL,  $BP_{ND}$ , CMP, and J1V in the DTBZ-MRI ROI space for all subjects, evaluated using mixed ROIs of the putamen (less affected side). Higher DD generally corresponded to more significant metric variability with  $\alpha$ . The three subjects with DD of zero correspond to control subjects.

and J2V are shown in Figure 5. With  $\alpha < 0.5$  the mean correlation coefficients were substantially reduced compared to  $\alpha \sim 1.0$ ; the reduction was on the order of 54% for DD and 74% for UPDRS (compared to  $\sim 20\%$  and  $\sim 32\%$  with  $BP_{ND}$ , respectively). The scatter plots strongly suggest a linear relationship between DD and J1V (J2V), as opposed to an exponential trend observed with  $BP_{ND}$  (compare Figures 4 and 5). Interestingly, J1V and J2V were the only metrics that had relatively high  $\overline{R^2}$  values when evaluated using caudate ROIs:  $\overline{R^2}_{DD}(J1V) = 0.71 \pm 0.09 [\alpha = 1]$  and  $\overline{R^2}_{DD}(J2V) = 0.70 \pm 0.10 [\alpha = 1]$  with  $p < 0.01$ .

When the control subjects were excluded from the regression analysis, the correlation analysis for  $BP_{ND}$  produced values  $\overline{R^2}_{DD} = 0.85$  and  $\rho = 0.84$ , comparable to those previously obtained with control subjects included in the regression. The correlation between the texture metrics and clinical data also did not change appreciably: the value of  $\overline{R^2}_{DD}$  was 0.91 (0.94 with control subjects included) for J1V and 0.89 (0.91 with controls) for J2V. On the other hand, the correlation strength for geometry metrics became lower. For

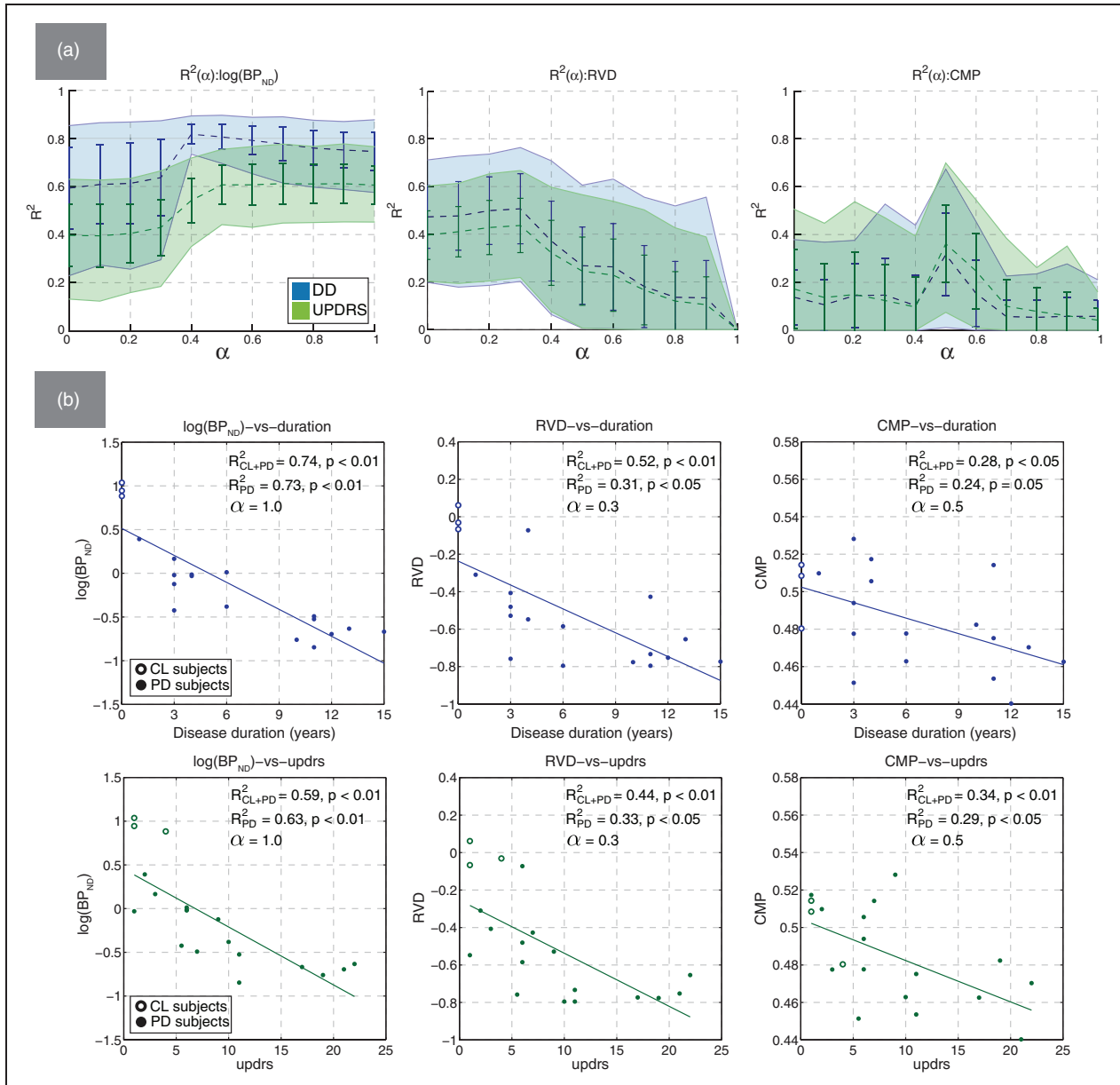
example, the corresponding values of  $\overline{R^2}_{DD}$  were 0.39 (0.57 with controls) for VOL, 0.34 (0.53 with controls) for RVD, 0.49 (0.60 with controls) for VOE, and 0.52 (0.65 with controls) for ECM. The correlation values for CMP and EXT were reduced by approximately 20%.

The degree of dependency between the image metrics varied depending on the considered metric pair. When metrics of similar character were combined in a bivariate model (e.g. VOL and RVD), the value of adjusted  $R^2_{adj}$  expectedly did not increase compared to the respective univariate models. However, the correlation did improve on average by  $\sim 15\%$  when qualitatively different metrics were combined. The greatest increase in  $R^2_{adj}$  was observed with [AID, VOE]:  $R^2_{adj} = 0.69$  (AID), 0.64 (VOE), and 0.89 (AID, VOE).

### Metric correlation in the RAC-MRI ROI space

Examples of mixed RAC-MRI ROIs are shown in Figure 6(a). The RAC ROIs had approximately the same size as the MRI ROIs, and the VOL metric





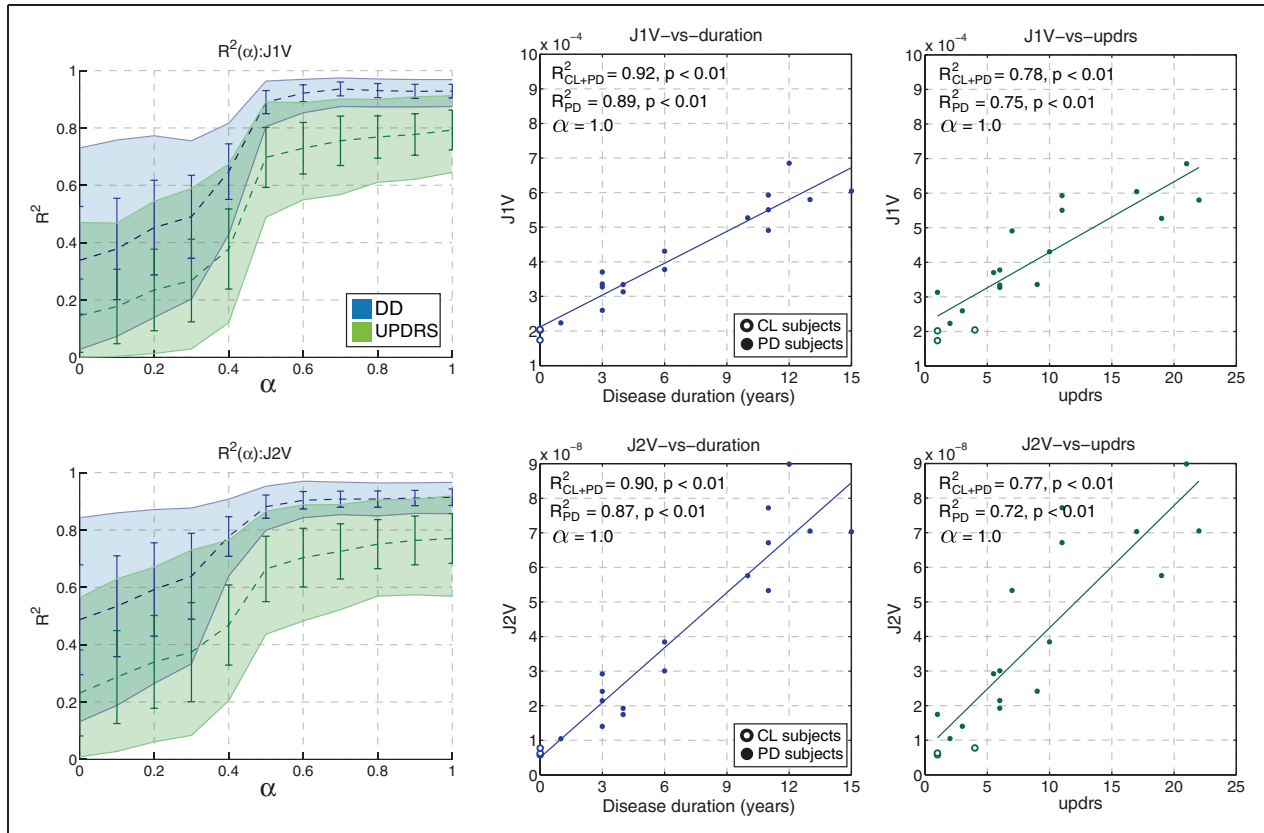
**Figure 4.** (a) Mean bootstrapped values of  $R^2$  with standard deviation (error bars) and 95% confidence intervals (filled regions), plotted against  $\alpha$  for  $BP_{ND}$  (left), RVD (middle), and CMP (right). The correlation with DD (blue) and UPDRS (green) was evaluated using putamen mixed ROIs; (b) representative scatter plots of  $\log(BP_{ND})$ , RVD, and CMP against DD and UPDRS. Non-bootstrapped values of  $R^2$  are shown for the cases with the control subjects were included (CL + PD) and excluded (PD) from the analysis.

averaged across subjects was approximately constant with  $\alpha$ , consistent with the notion that the post-synaptic function is relatively preserved in PD. However, even with co-registration and tracer binding unaffected by the disease, we found that the MRI ROIs did not accurately encompass the regions of high RAC uptake. The mean VOE between RAC ROIs and MRI ROIs for control and PD subjects was  $0.58 \pm 0.05$  for the caudate and  $0.36 \pm 0.04$  for the putamen.

The correlation between RAC-derived image metrics and clinical data was statistically insignificant (Table 1),

and the values of  $\overline{R^2}$  and  $\rho$  were low for all metrics and  $\alpha$  values.

With DTBZ-derived texture and value metrics in the RAC-MRI ROI space, the correlation with clinical data was generally preserved with  $\alpha$  and comparable to that obtained in the DTBZ-MRI ROI space. However, the degree of preservation depended on the specific metric and analyzed structure (i.e. putamen or caudate). This is illustrated by the representative  $\overline{R^2}(\alpha)$  plots for  $BP_{ND}$  and JIV shown in Figure 6(b). The correlation between DTBZ  $BP_{ND}$  and clinical metrics



**Figure 5.** Mean bootstrapped values of  $R^2$  with standard deviation (error bars) and 95% confidence intervals (filled regions) plotted against  $\alpha$  for J1V (top) and J2V (bottom). The representative scatter plots of metric values against DD (blue) and UPDRS (green) are shown for MRI-based putamen ROIs. Non-bootstrapped values of  $R^2$  are shown for the cases with the control subjects were included (CL + PD) and excluded (PD) from the analysis.

did not depend on  $\alpha$  or analyzed structure (Figure 6(b)). On the other hand, a structure-dependent trend in  $R^2(\alpha)$  was observed for J1V. In putamen, a gradual decrease in  $R^2$  was observed with  $\alpha \rightarrow 0$  (Figure 6(b)), which here indicates going from MRI to RAC-defined ROIs. The reduction was more pronounced with regression against DD, with  $R^2_{DD} = 0.86 \pm 0.06$  [ $\alpha = 0$ ] and  $R^2_{DD} = 0.94 \pm 0.02$  [ $\alpha = 1$ ]. In caudate, the opposite trend was observed:  $R^2_{DD} = 0.81 \pm 0.06$  [ $\alpha = 0$ ] and  $R^2_{DD} = 0.73 \pm 0.10$  [ $\alpha = 1$ ] (Figure 6(b)).

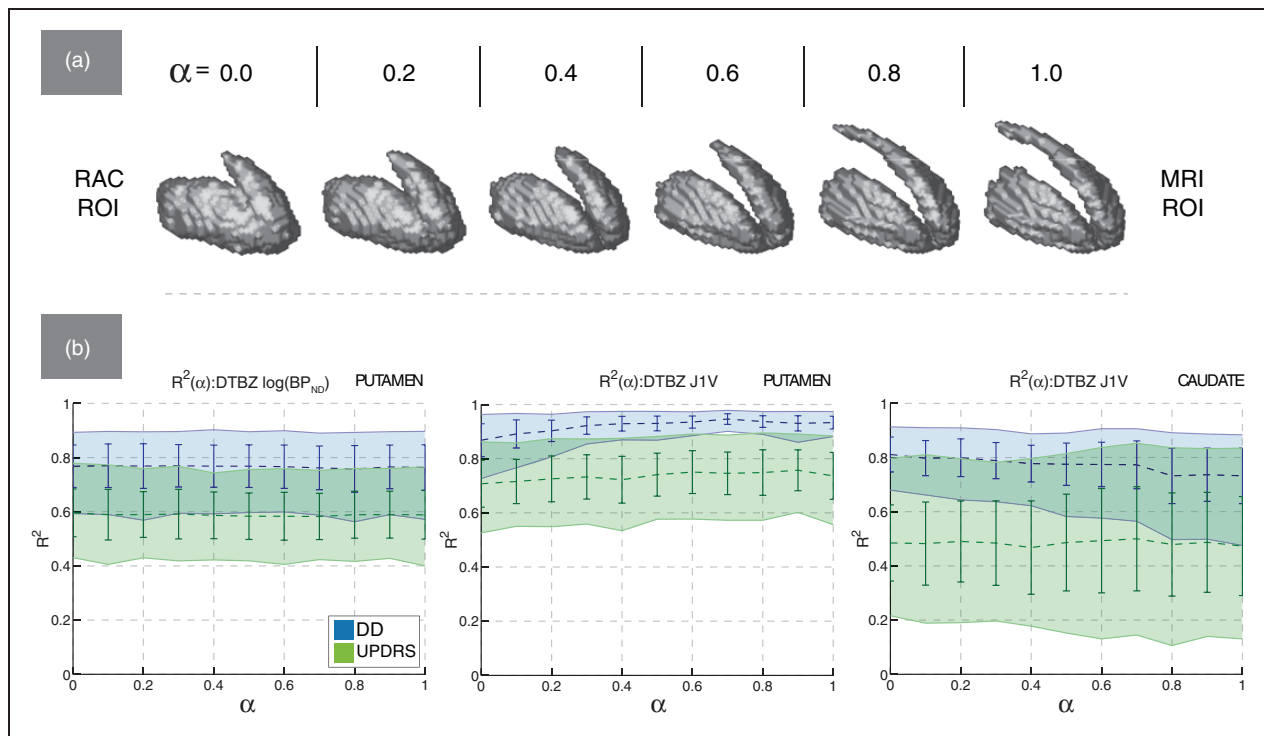
## Discussion

Neurological imaging studies often rely on KM to compute physiologically relevant parameters, such as the  $BP_{ND}$ . While producing interpretable results, KM has some drawbacks that may limit its use in a wider clinical setting. In this work, we investigated the ability of several metrics that do not require KM to serve as descriptors of the clinical disease severity and duration, in the context of imaging PD subjects. Since the metric performance could be dependent on the ROI selection criteria, the correlation between image metrics and

clinical scores was evaluated over a family of single-modality and mixed PET-MRI ROIs obtained using a controlled region fusion method.

The study revealed that the correlation between the image metrics and clinical data may indeed depend on the ROI definition method: metrics varied in terms of their sensitivity to the anatomical accuracy of the ROI. For example, comparing the DTBZ-MRI and RAC-MRI ROI spaces, the correlation between DTBZ  $BP_{ND}$  and clinical metrics remained unchanged regardless of what ROI space was used, indicating that regions of high RAC uptake could be used as a substitute for the accurate anatomical reference regions. On the other hand, the correlation between DTBZ J1V and clinical metrics was highest with MRI ROIs, and degraded by  $\sim 10\%$  with RAC ROIs. This implies that 1) J1V and J2V metrics have higher sensitivity than  $BP_{ND}$  to ROI definition method and 2) with texture metrics, a fairly accurate anatomical reference may be required to achieve maximum correlation.

Among the investigated metrics, the moment invariants J1V ( $R^2_{DD} = 0.94$ ) and J2V ( $R^2(\alpha) = 0.91$ ) had the strongest correlation with the clinical data and the



**Figure 6.** (a) The shape of  $ROI_{MIX}(\alpha)$  for one of PD subjects (UPDRS 9.0, DD 6, moderate severity) in the RAC-MRI ROI space. (b) Mean bootstrapped values of  $R^2$  plotted against  $\alpha$  in the RAC-MRI ROI space, with standard deviation (error bars) and 95% confidence intervals (filled regions). Left—DTBZ  $BP_{ND}$  computed in putamen; middle—DTBZ J1V computed in putamen; right—DTBZ J1V computed in caudate.

values of the correlation coefficient were similar to those obtained with  $DTBZ BP_{ND}$  when using a three-term exponential fit ( $R_{DD}^2 = 0.94$ ); the Spearman correlation coefficient was slightly higher for J1V and J2V. For these two metrics the correlation was maximized when the MRI-based ROIs were used. Importantly, moment invariants were fit well with a two-term linear model, in contrast to  $DTBZ BP_{ND}$ . This indicates that the moment invariants and  $BP_{ND}$  may relate to the different aspects of disease progression and may be most sensitive at different stages of the disease. Studies on a wider cohort of subjects are required to test this hypothesis.

Compared to value and texture metrics, the geometry metrics had moderate-to-low correlation with the clinical metrics and performed worse than  $BP_{ND}$ . Nevertheless, the measured values of the correlation coefficient between clinical assessments and these metrics evaluated for DTBZ in the DTBZ-MRI ROI space were statistically significant, unlike the geometry metrics evaluated for RAC in the RAC-MRI ROIs. This observation strengthens the conclusion that the moderate levels of correlation observed in the DTBZ-MRI ROI space were indeed meaningful and informative of the neurochemical changes associated with PD. The low correlation values indicate that, in the context

examined, such metrics are of limited value by themselves; they become more useful if combined with complementary metrics (e.g. they can be combined with value metrics to improve the predictive strength of the corresponding multivariate model).

Visualizing the metric and  $R^2$  values for the established ROI space also revealed potentially interesting patterns. For example, the single-modality PET or MRI ROIs were not always optimal in terms of maximizing the  $R^2$  values. With region size metrics such as VOL, RVD, and SAR, the correlation strength was maximized when PET ROIs combined with a small MRI contribution were used (max  $R^2$  was achieved with  $\alpha \sim 0.3$ ). With shape metrics such as CMP and EXT the correlation pattern was present only with mixed ROIs ( $\alpha \sim 0.5$ ). These patterns (also observed with Spearman's correlation coefficient) can likely be explained by the insensitivity of the MRI ROIs to the disease on the one hand, and noise in the shape of PET ROIs on the other. The regularization of mixed ROI introduced by the MRI component reduced noise in the ROI shape, which in turn positively affected the correlation strength. We used thresholding here to obtain the PET-defined regions, a procedure that may not produce the most accurate segmentation of functionally active regions. However, a more elaborate technique could be

employed in the future to define such regions more robustly.

There are some limitations to this study; the obtained  $p$  values were not corrected for multiple comparison (several metrics were tested using a relatively limited number of subjects). This could lead to worse than expected generalization outside of the studied sample. However, conceptually similar metrics produced similar correlation values, providing an additional indication that the analysis results were robust. We also did not explore in detail the optimal form of the functions relating the outcomes of the imaging metrics to clinical data; the limited number of data points did not allow for such an exhaustive comparison. The trends observed with the Spearman's correlation coefficient  $\rho$  replicated those obtained with  $R^2$ , which at least in part indicates that the results were not specific to the used linear (or exponential) fits. Although a search for an optimal functional form would likely tweak the rank order of the correlations in terms of  $R^2$  (but not  $\rho$ ), its absence does not detract from one of the main messages of this work that there is indeed clinically relevant quantitative information in the spatial distribution of the tracer and that such information can be captured using geometry and texture descriptors. A drawback pertaining to such descriptors is that it may be difficult to directly relate them to the physiological parameters that characterize the underlying neurochemistry. On the other hand, these descriptors may have enough sensitivity to effectively identify subtle disease-induced abnormalities; they also present the advantage of not requiring dynamic scanning with known plasma or tissue input function.

To summarize, the most important finding of this study is that quantifying the activity distribution pattern can be a useful approach for the analysis of tracers that explore neurodegenerative diseases characterized by distinct spatial progression. While in this case an excellent correlation between clinical metrics and a single image derived metric was found, this may not always be the case and combining several metrics in a single model that convey different kinds of information may prove beneficial, particularly in situations where subtle changes need to be detected. While our findings are very likely tracer-dependent, the results indicate that further exploration of similar methodologies is warranted. Our results also show that the evaluation of imaging metrics should be performed in the context of specific ROI definition criteria, possibly tailored to the specific function of structure under consideration.

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The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

### Authors' contributions

ISK and VS designed the methodology, collected data, performed the analysis, and wrote the manuscript; MG designed the methodology and edited the manuscript; ES and NV assembled and pre-processed subject data; all authors discussed the results and commented on the manuscript at all stages.

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