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## Inactivation of HDAC1 or HDAC2 induces gamma globin expression without altering cell cycle or proliferation

Erica B. Esrick, MD<sup>1,2</sup>, Marie McConkey, PhD<sup>3</sup>, Katherine Lin, BA<sup>3</sup>, Alyse Frisbee, BA<sup>3</sup>, and Benjamin L. Ebert, MD, PhD<sup>2,3</sup>

<sup>1</sup>Division of Hematology/Oncology, Boston Children's Hospital, Boston, MA

<sup>2</sup>Dana-Farber Cancer Institute, Harvard Medical School, Boston, MA

<sup>3</sup>Division of Hematology, Brigham and Women's Hospital, Boston, MA

### Abstract

Other than hydroxyurea, no pharmacologic agents are clinically available for fetal hemoglobin (HbF) induction in sickle cell disease. An optimal candidate would induce HbF without causing cell cycle inhibition and would act independently of hydroxyurea in order to yield additional HbF induction when combined. We explored whether inhibition of histone deacetylase (HDAC) 1 or HDAC2 could achieve these goals. In human erythroid progenitor cells, shRNA knockdown of the *HDAC1* or *HDAC2* genes induced gamma globin, without altering cellular proliferation *in vitro*, and without altering cell cycle phase. Treatment with hydroxyurea in combination with *HDAC2* knockdown yielded a further increase in gamma globin expression. Additionally, when CD34+ cells were treated with both hydroxyurea and MS-275 (an inhibitor of HDAC 1, 2, and 3), an additive induction of relative gamma globin expression was achieved. Our findings support further clinical investigation of HDAC inhibitors in combination with hydroxyurea in sickle cell disease patients.

### Keywords

sickle cell disease; fetal hemoglobin; histone deacetylase (HDAC) 1; histone deacetylase (HDAC) 2

### Introduction

Sickle cell disease (SCD) is one of the most common genetic diseases in the world. The prevalence of SCD is highest in sub-Saharan Africa, where more than 230,000 affected children are estimated to be born each year<sup>1</sup>. In North America, the yearly estimate of

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**Corresponding Author:** Benjamin L. Ebert, 1 Blackfan Circle, Karp 5.210, Boston, MA 02115; bebert@partners.org; phone 617-355-9091; fax 617-355-9124.

#### Authorship Contributions

E.E. and B.L.E. designed the experiments; E.E., M.M., K.L., and A.F. performed the experiments; E.E., M.M., K.L., A.F., and B.L.E. analyzed data; and E.E. and B.L.E. wrote the paper.

#### Disclosures of Conflicts of Interest

The authors declare no competing financial interests.

affected births is 2600<sup>1</sup>, with approximately 70,000 to 100,000 individuals of all ages affected in the United States<sup>2</sup>. The clinical manifestations of SCD include acute events, such as recurrent debilitating painful crises, as well as life-threatening pulmonary, cardiovascular, renal, and neurologic complications. Unfortunately, SCD is a condition that has been primarily bypassed by the era of targeted molecular therapeutics, and the mainstay of management is still symptomatic control, which is not fully effective and often produces adverse effects.

Increasing fetal hemoglobin (HbF) has long been a therapeutic goal in sickle cell disease (SCD) because HbF is a potent inhibitor of the polymerization of deoxyhemoglobin S<sup>3</sup>. However, hydroxyurea remains the only HbF induction agent approved by the Food and Drug Administration for SCD patients. Hydroxyurea, an S-phase cytotoxic agent and ribonucleotide reductase inhibitor, was first shown to increase HbF in patients with sickle cell disease in 1984<sup>4</sup>, and was FDA approved for this indication over 15 years ago in 1998<sup>2</sup>. Hydroxyurea is a valuable medication and has been shown to effectively decrease painful crises, transfusion requirement, incidence of acute chest syndrome, hospitalization<sup>5</sup>, as well as mortality<sup>6-8</sup> in adults, and to be safe and efficacious in children<sup>2,9-11</sup>. However, hydroxyurea is not effective for every patient with SCD. For example, in a cohort of 53 pediatric patients from the HUG-KIDS trial, approximately 10% of the patients increased their fetal hemoglobin levels less than 2% from baseline.<sup>12</sup> Even if fetal hemoglobin increases, some patients fail to experience a clinical improvement in symptoms and complications. Additionally, some patients develop adverse effects from HU, most commonly neutropenia, that precludes dose escalation to an effective dose.

The clinical development of novel, safe, and easily administered drugs or combinations that achieve the objective of HbF induction remains a critical endeavor. In addition to hydroxyurea,<sup>4</sup> known activators of HbF include hypomethylating agents such as 5-azacytidine and decitabine,<sup>13-15</sup> and histone deacetylase (HDAC) inhibitors, including short-chain fatty acid derivatives such as butyrate<sup>16-21</sup>. Unfortunately, despite promising preclinical potential, these agents could not be successfully translated to routine clinical use because of factors including short half-life and cumbersome route of administration. Recently, a trial of a novel agent HQK-1001, a short-chain fatty acid butyrate derivative, unfortunately showed no significant increase in HbF<sup>22</sup>. All of these molecules act at least in part via cell cycle inhibition, and myelosuppression is the primary dose-limiting toxicity of hydroxyurea. A molecule that induces HbF without cell cycle inhibition could potentially be used in combination with hydroxyurea to achieve increased HbF induction with non-overlapping toxicities.

By blocking the activity of histone deacetylase enzymes, HDAC inhibitors trigger hyperacetylation of  $\epsilon$ -amino groups of lysine residues in histones. This causes a decreased association of basic core histone proteins with the DNA, causing some genes to be more available for transcription<sup>19</sup>. The HDAC class of enzymes includes multiple isoforms that have potentially selective biological roles, including in the regulation of HbF. Prior studies demonstrated that decreased expression of HDAC1 or HDAC2 is sufficient for induction of HbF.<sup>23</sup> Non-selective HDAC inhibitors have been shown to block cell cycle progression via p21 induction in a variety of *in vitro* and *in vivo* cellular contexts.<sup>24,25</sup> Indeed, non-selective

HDAC inhibitors have been widely studied in experimental models designed to test their anti-proliferative effects for therapeutic efficacy in cancer (reviewed in New et al<sup>26</sup>). However, less is known about the role of specific HDAC enzymes or their inhibitors on the proliferation of non-malignant tissues. If a selective HDAC inhibitor could induce HbF without altering cell cycle, then treating SCD patients with a combination of hydroxyurea and selective HDAC inhibitors has the potential to achieve greater efficacy without increased toxicity. We therefore tested whether selective inhibition of individual HDAC enzymes can increase gamma globin expression without altering cell cycle, and whether combining HDAC inhibition with hydroxyurea achieves an additive effect on HbF induction.

## Methods

### Western blot analysis

Antibodies against *HDAC1* (*HDAC1 (10E2)* mouse monoclonal antibody; Santa Cruz Biotechnology) and *HADC2* (*HDAC2* rabbit polyclonal antibody; Cell Signaling) were used at a 1:200 dilution. Beta-actin (C4) mouse monoclonal IgG1 (Santa Cruz Biotechnology) was used as a control at a 1:5000 dilution. The target proteins were analyzed using SuperSignal West Pico Chemiluminescent Substrate for horseradish peroxidase (ThermoScientific).

### Culture of primary CD34+ cells and cDNA synthesis

Cryopreserved human bone marrow CD34+ cells were obtained from Poietics. Erythroid differentiation was induced *in vitro* in two steps as described previously<sup>23</sup>. For the first 7 days, cells were cultured in serum-free expansion medium (Stem Cell Technologies) supplemented with 100 U/mL penicillin/streptomycin, 2 mM glutamine, 100 ng/mL stem cell factor, 10 ng/mL interleukin-3, 40 µg/mL lipids, and 0.5 IU/mL erythropoietin. After 7 days, cells were cultured in the same medium supplemented with 3 IU/mL erythropoietin. The MultiMACS Separator/Column system (Miltenyi) was used to isolate mRNA and synthesize cDNA.

### Lentiviral vectors and infection

Target sequences of shRNAs are listed in supplemental Table 1. The lentiviral backbone vector (pLKO.1) and packaging plasmids were transfected into 293T cells and the viral supernatant was harvested as described previously<sup>27</sup>. Cryopreserved, primary hematopoietic cells were infected with lentivirus 1 day after thawing in the presence of 2 µg/mL Polybrene (Sigma-Aldrich) and selected 24 hours later with 2 µg/mL puromycin (Sigma-Aldrich).

### Real-time RT-PCR

TaqMan primers and probes for PCR were obtained from Applied Biosystems. Each quantitative RT-PCR was performed in triplicate using a Prism 7900 HT instrument (Applied Biosystems). The mean threshold cycle (Ct) for each assay was used for further calculations. The expression of  $\gamma$  and  $\delta$  globin were normalized to  $\beta$ -globin (Ct). The expression of p21 was normalized to actin or GAPDH. The Ct value was calculated by normalizing the Ct value to a vehicle-treated control sample. The triplicates in all qPCR experiments were biological replicates, from multiple separate samples.

## Compounds

Hydroxyurea (Sigma) was dissolved in water to make a fresh 10mM stock solution just prior to use in each experiment. MS-275 (Santa Cruz) was dissolved to make a 10mM stock solution in DMSO, and diluted in fresh media just prior to use in each experiment. DMSO was added in equivalent concentrations to control samples.

## BrdU incorporation assay

Cells were treated with a 30-minute pulse of BrdU and were then stained according to the manufacturer's instructions (BD Bioscience).

## Results

### Effect of HDAC1 or HDAC2 knockdown on proliferation and cell cycle

We sought to determine whether knockdown of HDAC1 or HDAC2 could induce HbF without altering cell cycle or proliferation in primary human bone marrow hematopoietic progenitor cells generated from the in vitro differentiation of human CD34+ bone marrow cells. We employed shRNAs against *HDAC1* and *HDAC2* that effectively decrease expression of the target mRNA (Figure 1B) and protein (Figure 1A). We confirmed that infection with lentiviruses containing these shRNAs caused induction of gamma globin expression (Figure 1C). Next we tested whether cellular proliferation was affected by quantifying cell number in culture for 14 days after lentiviral infection. As shown in Figure 1D, knockdown of HDAC1 or HDAC2 expression in human erythroid progenitor cells did not prevent cellular expansion. Because global HDAC inhibition has been associated with cell cycle inhibition via p21 induction<sup>24</sup>, we examined the effect of selective HDAC1 or HDAC2 knockdown on p21 expression. In contrast to the effect of pan-HDAC inhibition, we found no significant p21 induction after knockdown of HDAC1 or HDAC2 alone (Figure 2A). Finally, we found that the percent of cells in each cell cycle phase did not change significantly when HDAC1 or HDAC2 was knocked down (Figure 2B). These experiments demonstrate that selective inhibition of specific HDAC enzymes via shRNA knockdown can induce HbF without altering cell cycle.

### Combination of HDAC inactivation and hydroxyurea

Hydroxyurea is an FDA-approved therapy for SCD that acts in part as a ribonucleotide reductase inhibitor, causing inhibition of the cell cycle inhibitor, and induces HbF. Having demonstrated that knockdown of specific HDAC enzymes increases gamma globin expression without altering cell cycle status, we tested whether HDAC inhibitors and hydroxyurea might have additive effects. Combining HDAC2 knockdown with hydroxyurea treatment yielded a further increase in gamma globin induction (Figure 3A). These results indicate that hydroxyurea and HDAC inhibitors act through independent mechanisms and have additive activity. To investigate the potential efficacy of combination therapy, we treated CD34+ cells with combinations of hydroxyurea and MS-275. MS-275, also known as entinostat, is a benzamide HDAC inhibitor that targets HDACs 1, 2, and 3<sup>23</sup>, and it is currently in use in multiple clinical trials for a variety of malignancies. We found that simultaneous treatment with both drugs was additive for the relative induction of gamma

globin expression, as shown in Figure 3B, further suggesting that hydroxyurea and MS-275 have independent mechanisms and additive efficacy.

## Discussion

Despite the prevalence of SCD, the well-established pathophysiology of the disorder, and the validation of HbF induction as a therapeutic approach, few clinical trials of novel agents have been performed in SCD. Hydroxyurea is still not approved for children with sickle cell disease, and even among adults, it is only approved for those who have pre-defined severe disease manifested by frequent vaso-occlusive events. As demonstrated by decreased quality of life<sup>28,29</sup>, missed school and work, and healthcare costs, sickle cell disease remains an incredible burden on patients and their families, as well as the societies in which it exists. The need for novel treatment options is great.

Hypomethylating agents and short chain fatty acid derivatives that also have low-potency, non-selective HDAC inhibitor activity, all of which have cell cycle inhibitory activity, have a strong clinical trial history in SCD, including the use of 5-azacytadine (cite 14), decitabine (cite 15), and butyrate (cite 16–18). Unfortunately, despite in vitro efficacy, these agents could not be clinically adopted because of onerous administration regimens and short half-lives. However the HDAC inhibitor class of drug continues to hold great promise. By focusing on a subset of HDAC inhibition that is more specific, and thus allows for the induction of HbF without alteration of cell cycle, we aim to identify an opportunity for combination therapy.

There are currently two open clinical trials treating sickle cell disease patients with more potent HDAC inhibitors. At our institution, a trial is open to treat SCD patients with vorinostat, and the first five patients have experienced no significant adverse effects (personal communication, Okam). Additionally, a similar study is open to treat adults with SCD with panobinostat, also known as LBH589 (NCT01245179). In both these trials, intolerance or refractoriness to hydroxyurea is an inclusion criteria, and patients cannot simultaneously take HU. The exclusion of patients taking hydroxyurea is very common in SCD clinical trials, but it is problematic. It would be optimal to include patients who are already taking hydroxyurea for several reasons. First, many patients derive a hematologic benefit from hydroxyurea, such as a modest increase in HbF, but continue to suffer from pain crises, acute chest syndrome, or other clinical manifestations of SCD<sup>5,30,31</sup>. An additional HbF induction agent could provide further clinical benefit. Second, a trial of a new drug may have a decreased likelihood of revealing a benefit if enrollment in the trial is limited to those patients who “fail” or refuse hydroxyurea. Patients with SCD are phenotypically heterogeneous with respect to their HbF levels, both at baseline, and in response to drugs that induce HbF. Although some patients who fail hydroxyurea may instead respond to a selective HDAC inhibitor, it is possible that a subgroup of patients who are poor hydroxyurea responders would also be less likely to respond to other HbF induction agents.

The predicted off-target clinical effects of HDAC inhibitors have been well documented. The dose-limiting toxicities are mainly non-hematologic, including anorexia, dehydration,

diarrhea, and fatigue. Hematologic toxicity is most commonly thrombocytopenia, usually mild to moderate in severity. In contrast, the most common dose-limiting toxicity of hydroxyurea is neutropenia, and other toxicities include leg ulcers, mild changes in the hair and nails, mild gastrointestinal symptoms. Since these are largely non-overlapping, each agent could reach its maximum tolerated dose and yield optimal combined effect.

In conclusion, knockdown of *HDAC1* or *HDAC2* in human hematopoietic stem and progenitor cells is sufficient to induce gamma globin expression and has no effect on proliferation or p21 induction. In addition, hydroxyurea and an HDAC inhibitor can be combined in vitro at low doses to achieve a greater degree of  $\gamma$ -globin induction than either compound alone. The results of this report provide evidence to support a clinical trial of HDAC inhibitors in combination with hydroxyurea in patients with SCD.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

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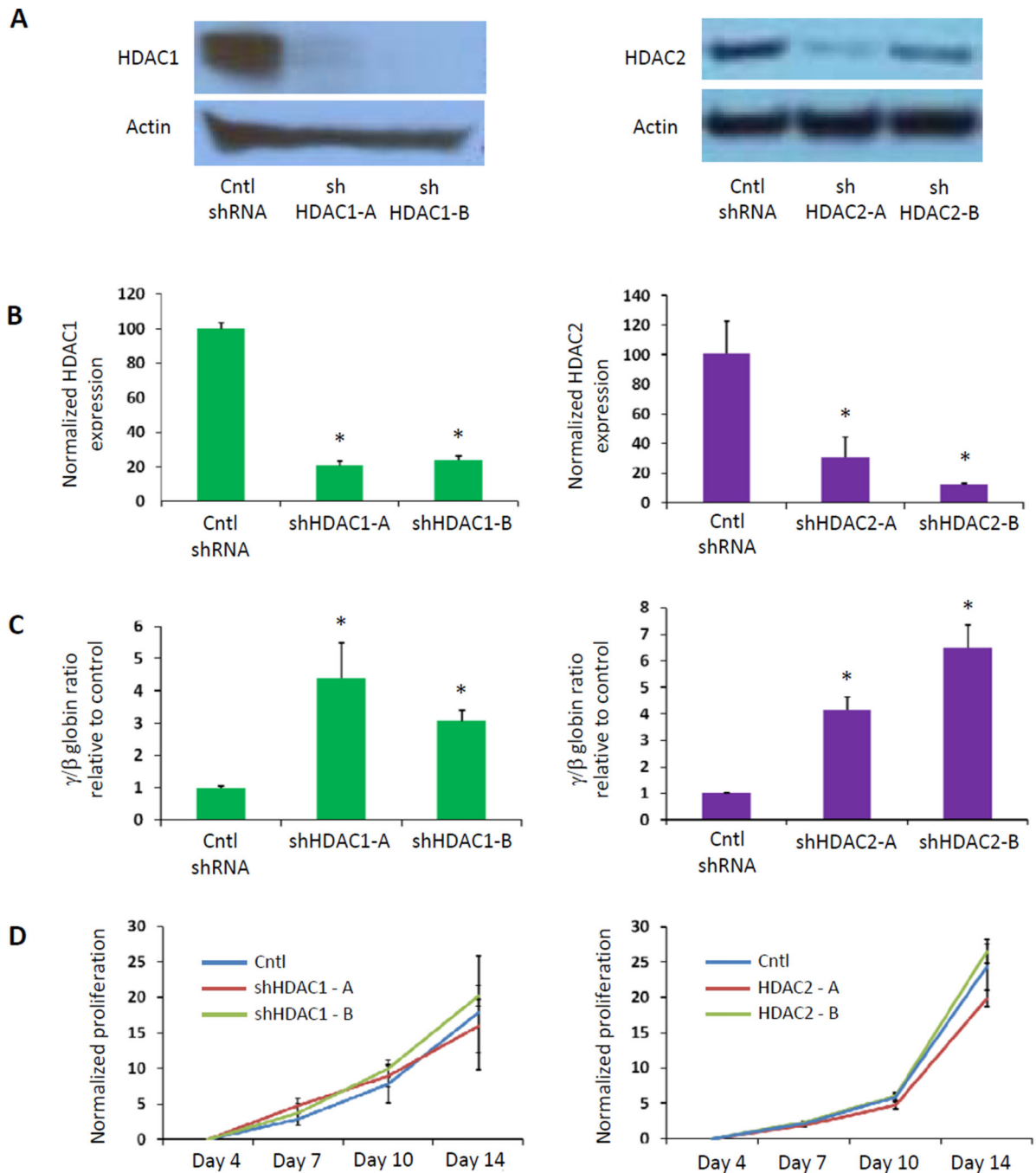
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**Figure 1. Inactivation of *HDAC1* or *HDAC2* induces gamma globin expression without blocking cellular proliferation**

(A) A Western blot shows the decreased level of protein with HDAC1 knockdown (left panel) and with HDAC2 knockdown (right panel). (B) Lentiviruses expressing shRNAs targeting HDAC1 (1-A and 1-B) or HDAC2 (2-A and 2-B) effectively decreased expression of the target mRNA, and (C) increased expression of  $\gamma$ -globin relative to  $\beta$ -globin in primary human erythroid progenitor cells. (D) After infection with the shRNA-expressing lentiviruses, cells in culture were counted over the course of 14 days, and normalized to the

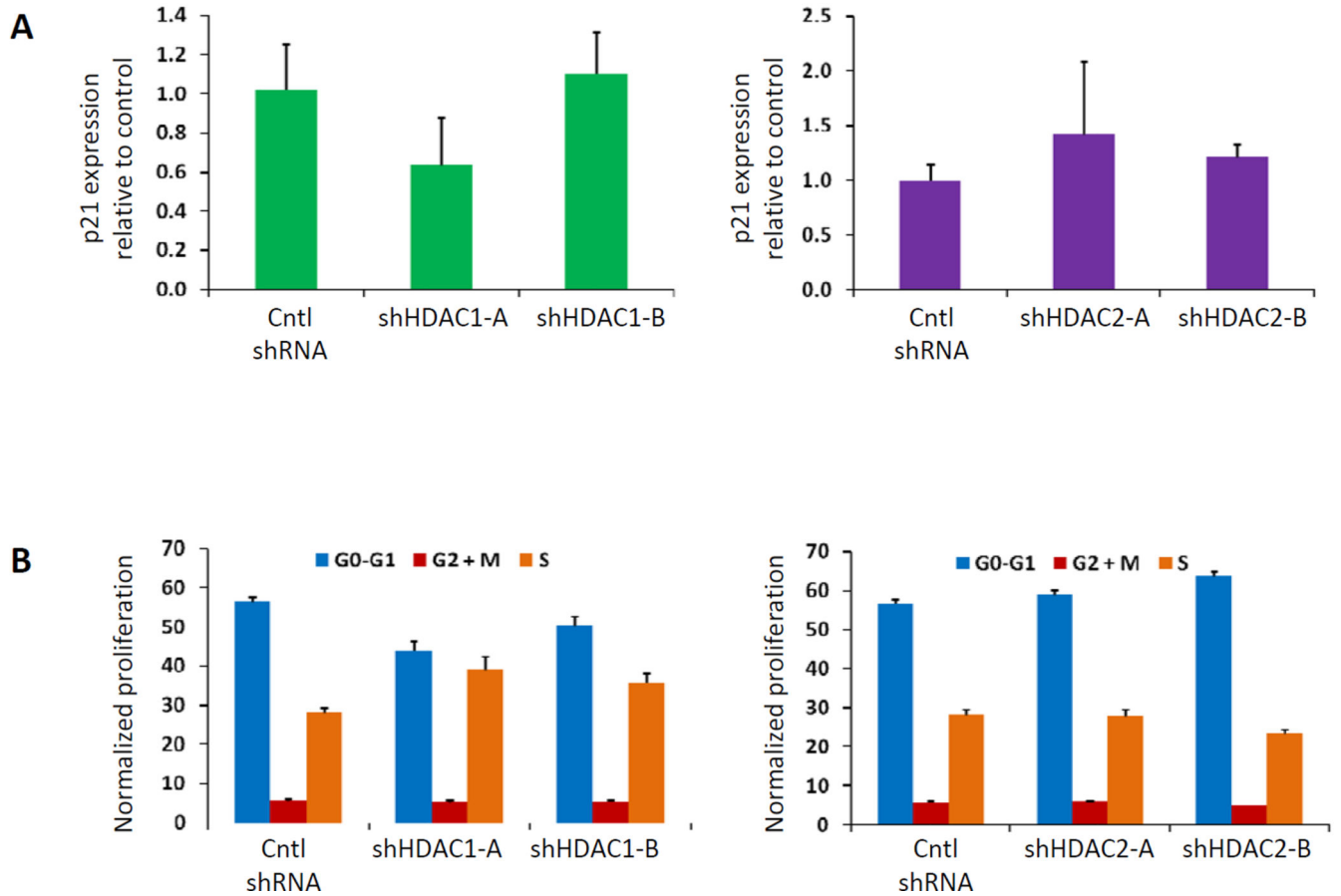
number of cells 3 days after infection, following selection with puromycin. In panels B and C, a 2-tailed Student *t* test was used. \**P* < .01.

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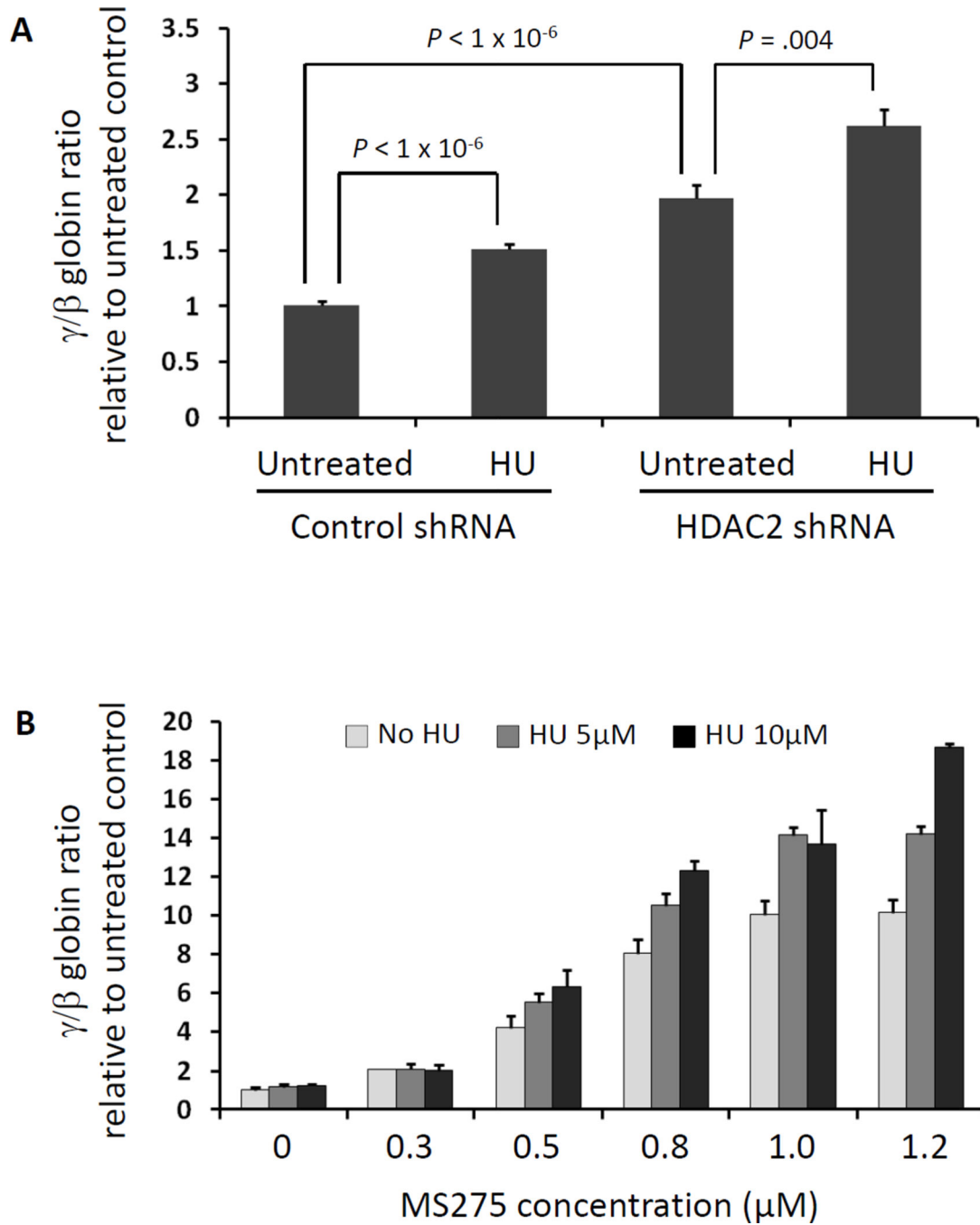
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**Figure 2. Inactivation of *HDAC1* or *HDAC2* does not affect p21 expression or cell cycle phase**  
 (A) Level of p21 expression was assessed by qPCR in cells harvested three days after lentiviral infection. (B) Cell cycle was analyzed by flow cytometry. Following a 30 minute pulse of BrdU, cells were collected and fixed ten days after infection. After staining with an antibody against BrdU and 7-AAD, cells were analyzed by FACS. A representative flow plot is shown in Figure S1. In panel A, a 2-tailed Student *t* test was used, and samples were not statistically different from controls ( $P > .1$ ).



**Figure 3. HDAC inactivation in combination with hydroxyurea has additive effects on  $\gamma$ -globin expression**

(A) Primary human erythroid progenitor cells were infected with lentiviruses expressing shRNAs targeting HDAC2. Each population of infected cells was treated with either hydroxyurea (HU) or vehicle control. Expression of  $\gamma$ -globin relative to  $\beta$ -globin, measured by qPCR, was the highest in cells with both HDAC2 knockdown and HU treatment. (B) Primary human erythroid progenitor cells were treated with hydroxyurea, MS-275, or a

combination of both, at multiple doses. At each dose of MS-275, the  $\gamma$ -globin induction is further increased by the addition of hydroxyurea, in a dose-dependent fashion.

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