

# Advanced Ovarian Cancer: Primary or Interval Debulking? Five Categories of Patients in View of the Results of Randomized Trials and Tumor Biology: Primary Debulking Surgery and Interval Debulking Surgery for Advanced Ovarian Cancer

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**Key Words.** Stage III–IV • Debulking • Randomized trials • Ovarian cancer, type 1 and 2 • Categories

## ABSTRACT

**Background.** Standard treatment of stage III and IV advanced ovarian cancer (AOC) consists of primary debulking surgery (PDS) followed by chemotherapy. Since the publication of the European Organisation for Research and Treatment of Cancer/National Cancer Institute of Canada trial, clinical practice has changed and many AOC patients are now treated with neoadjuvant chemotherapy (NACT) followed by interval debulking surgery (IDS). The best option remains unclear. Ovarian cancer is a heterogenic disease. Should we use the diversity in biology of the tumor and patterns of tumor localization to better stratify patients between both approaches?

**Methods.** This analysis was based on results of five phase III randomized controlled trials on PDS and IDS in AOC patients, three Cochrane reviews, and four meta-analyses.

**Results.** There is still no evidence that NACT-IDS is superior to PDS. Clinical status, tumor biology, and chemosensitivity should be taken into account to individualize surgical approach. Nonserous (type 1) tumors with favorable prognosis are less chemosensitive, and omitting optimal PDS will lead to less favorable outcome. For patients with advanced serous ovarian cancer (type 2) associated with severe comorbidity or low performance status, NACT-IDS is the preferred option.

**Conclusion.** We propose stratifying AOC patients into five categories according to patterns of tumor spread (reflecting the biologic behavior), response to chemotherapy, and prognosis to make a more rational decision between PDS and NACT-IDS. *The Oncologist* 2016;21:745–754

**Implications for Practice:** Trial results regarding effect and timing of debulking surgery on survival of patients with advanced ovarian cancer have been inconsistent and hence difficult to interpret. This review examines all randomized trials on primary and interval debulking surgery in advanced ovarian cancer, including the results of the newly published CHORUS (chemotherapy or upfront surgery for newly diagnosed advanced ovarian cancer) trial. On the basis of findings presented in this review and in view of recent molecular data on the heterogeneity of ovarian tumors, we propose prognostic categorization for patients with advanced ovarian cancer to better distinguish those who would optimally benefit from primary debulking from those who would better benefit from interval debulking following neoadjuvant chemotherapy.

## INTRODUCTION

With an estimated worldwide annual incidence of about 204,000 and causing 125,000 deaths [1], epithelial ovarian cancer (EOC) remains the leading cause of death in gynecological cancer. Because of its insidious onset without early specific symptoms and the lack of efficient screening techniques, two thirds of patients will present with advanced ovarian cancer (AOC)—stage III or IV according to the International Federation of Gynecology and Obstetrics (FIGO)

[1, 2]. Despite advances in surgery, chemotherapy, and radiotherapy, the resulting 5-year overall survival (OS) is about 40% [1, 2]. Recent molecular studies showed that EOC is a heterogenic disease that varies markedly in biologic behavior, chemotherapy response, and prognosis [3, 4].

Primary debulking surgery (PDS) has been the standard of care [1]. Neoadjuvant chemotherapy (NACT) followed by an interval debulking surgery (IDS) is an alternative that has gained

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popularity, stimulated by the results of several randomized trials (RCTs) [5–8].

The aim of this study was to determine whether PDS and NACT followed by IDS are equivalent approaches with regard to patient outcome and whether the seemingly lower morbidity reported with NACT-IDS would favor that approach. To facilitate a more rational decision between both approaches, we propose stratifying AOC into 5 categories based on recent molecular data revealing heterogeneity within EOC. This heterogeneity is clinically reflected in different patterns of spread, biologic behavior, response to chemotherapy, and survival.

## METHODS

We searched PubMed for relevant articles published between January 1, 1985, and May 30, 2015. Potential articles reporting on primary or interval debulking in patients with AOC were identified by using the following PubMed search strategy: (((((neoadjuvant) OR neoadjuvant therapy[MeSH Major Topic]) OR adjuvant) OR “chemotherapy, adjuvant”[MeSH Major Topic])) AND ((debulking) OR “cytoreduction surgical procedures”[MeSH Major Topic])) AND (“ovarian neoplasms”[MeSH Major Topic]) OR ((ovar\*) AND ((carc\*) OR cancer\*)) Abstracts were reviewed for relevance to the review subject. If the relevance was not clear in the abstract, the full text was assessed. All historical series, randomized trials, meta-analyses, and systematic reviews were included. All non-English articles and all reports from meetings, case reports, and editorials were excluded. If multiple publications from the same institution were available, the most recent publication was selected.

## RESULTS AND DISCUSSION

Using the PubMed search strategy, we identified 543 records. After screening the abstracts, assessing the full-text articles, and identifying additional records through the reference lists of selected articles, we included 5 RCTs [5–9], 3 meta-analyses, and 3 Cochrane reviews [10–16] in this review. Three RCTs compared NACT-IDS with chemotherapy only [5–7]. Two RCTs compared PDS with NACT-IDS [8, 9]. Details on the inclusion/exclusion criteria, patient characteristics, and results of these RCTs can be found in Tables 1 and 2.

### Optimal Debulking Surgery Milestones

Munnell observed that a “definitive operation” cytoreduction before chemotherapy led to improved survival compared with “partial removal” or “biopsy only” [17]. In 1975, Griffiths [18] proved ( $n = 102$ ) that if residual disease (RD) was  $\leq 1.5$  cm, survival improved as tumor size decreased. Survival duration was 39, 29, 18, and 11 months for patients with RD of 0 cm to  $< 0.5$  cm, 0.6–1 cm, 1.1–1.5 cm, and  $> 1.5$  cm, respectively. The prognosis was worst if RD was  $> 1.5$  cm [19]. In agreement, Bertelsen [20] (Danish Ovarian Study Group trial;  $n = 361$ ; FIGO stage III and IV) and several others [19, 21–24] showed that suboptimal cytoreduction resulted in as poor prognosis as an explorative laparotomy.

Single-institution trials showing that complete debulking to no macroscopic RD (0 cm) implied the best prognosis [25–29] and led to even more aggressive PDS. A retrospective review of six Gynecologic Oncology Group (GOG) studies ( $n = 1,895$ ; FIGO stage III; PDS plus six cycles of carboplatinum-taxol) [27] showed that for patients with RD  $\leq 1$  cm, those left with no RD obtained the best prognosis. OS was 71.9 months, 42.4 months, and 35 months for those left with RD of 0 cm,  $\leq 1$  cm, and  $> 1$  cm,

respectively [27]. This was confirmed in a Cochrane analysis [14] in which complete cytoreduction during PDS for AOC was recommended. The authors of the Cochrane analysis also proposed new definitions to describe tumor state after debulking as follows: optimal, near-optimal, and suboptimal for those left with 0 cm,  $\leq 1$  cm, and  $> 1$  cm RD, respectively [14].

Although systemic lymphadenectomy is part of the staging procedure in EOC [30–34], two large RCTs in AOC failed to show a significant survival advantage of systemic lymphadenectomy [33, 34]. However, both trials showed a trend toward a longer progression-free interval. Complete lymphadenectomy is advised when optimal debulking is possible in the peritoneal cavity [1, 33, 34].

The development of new surgical techniques, such as the retroperitoneal dissection technique [35, 36], diaphragmatic stripping, splenectomy, and gastrointestinal and partial liver resection [25, 26, 37–40], further facilitated optimal cytoreduction. The importance of cytoreduction of the diaphragm was illustrated in 181 patients with tumors involving the diaphragm. Diaphragm surgery led to a significantly improved 5-year OS (53% vs. 15%) [39, 40], even in cases of optimal cytoreduction (55% vs. 28%) [39].

Moving from standard intraperitoneal surgery toward retroperitoneal en bloc radical resection in the mid-1990s led to a 40% increase in surgical radicality and an improved median OS of at least 10% [41]. The subsequent extensive upper abdominal approach improved radicality up to 55%, translated to a further 10% improvement in OS [41]. This is in correlation with the conclusion of the meta-analysis of Bristow et al. [10] that every 10% incremental increase of cytoreduction to residual nodules  $< 2$  cm enhanced the median OS of a patient cohort by 5.5%. Increasing radicality of cytoreduction from 25% to 75% would be associated with a 50% increase in median survival (from 22.7 months to 33.9 months).

These techniques were soon adopted by large-volume hospitals and stimulated the growth of subspecialization in gynecologic oncology. Surgery performed by a gynecologic oncologist is associated with better staging, optimal cytoreduction, lower morbidity, and better survival. Both large-volume hospitals and subspecialization are independent prognostic factors for survival [42–47]. Through training and implementation of a more extensive surgical approach, PDS is possible in up to 85% of cases [10, 38, 41, 44, 45]. Despite all evidence, up to 60%–80% of AOC patients still undergo suboptimal debulking surgery [8, 9, 23].

### PDS Versus NACT-IDS

#### NACT-IDS Versus No Surgery

Three RCTs have compared NACT-IDS with no surgery (Table 1) [5–7]. The study of Redman et al. [5], published in 1994, failed to show a survival benefit or an increase in the number of operable cases (79% of patients had RD  $> 2$  cm at IDS). Only 67% of randomly assigned patients underwent IDS.

Patients in the European Organisation for Research and Treatment of Cancer (EORTC) study published by van der Burg et al. [6] were randomly assigned only if they showed no disease progression after NACT (319 of 425). A total of 140 patients were randomly assigned to IDS; 93% of these had surgery. IDS was associated with lower morbidity and a 33% mortality rate reduction. However, IDS did not markedly increase the percentage of optimal debulking; 55% of patients were left with tumor  $> 1$  cm. Interestingly, the prognosis of patients who had tumor  $< 1$  cm before IDS had superior survival (median, 46.6

**Table 1.** Comparison among three randomized trials evaluating interval debulking surgery vs. no surgery in patients with stage III and IV ovarian cancer

Feature	Redman et al., 1994 [5]	van der Burg et al., 1995 (EORTC trial) [6]	Rose et al., 2004 (GOG152 study) [7]
Methods	Multicenter RCT, ITT analysis including stage II–IV (only stage IV patients with pleural effusion were allowed). All had residual disease > 2 cm. The study enrolled 86 patients.	Multicenter RCT, ITT analysis including stage IIb–IV and residual disease of > 1 cm. The study enrolled 425 patients.	Multicenter RCT, ITT analysis including stage III–IV and residual disease > 1 cm. The study enrolled 550 patients.
Intervention	IDS: after 1–4 cycles of induction chemotherapy (i.v. cisplatin 75 mg/m <sup>2</sup> + cyclophosphamide 750 mg/m <sup>2</sup> , or cisplatin 75 mg/m <sup>2</sup> + doxorubicin 50 mg/m <sup>2</sup> + bleomycin 50 mg/m <sup>2</sup> , followed by escalated dose of cyclophosphamide [0.5 g/m <sup>2</sup> –2.5 g/m <sup>2</sup> ] up to 5 cycles). Chemotherapy cycles were repeated every 3 wk. Control: No IDS (the same regimen of chemotherapy was given every 3 wk).	IDS: after 3 cycles of induction chemotherapy (i.v. cyclophosphamide 750 mg/m <sup>2</sup> + i.v. cisplatin 75 mg/m <sup>2</sup> , every 3 wk); three more cycles of the same chemotherapy regimen were given after IDS. No IDS (the same regimen of chemotherapy was given every 3 wk for 6 cycles).	IDS: after 3 cycles of chemotherapy (i.v. paclitaxel, 135 mg/m <sup>2</sup> + cisplatin 75 mg/m <sup>2</sup> , every 3 wk). Three more cycles of the same chemotherapy regimen were given after IDS. Control: No IDS (the same regimen of chemotherapy was given every 3 wk for 6 cycles).
Randomization	Before chemotherapy, 86 patients were randomly assigned	Only patients without disease progression after 3 chemotherapy cycles were randomly assigned: 319 of 425 (75%)	Only patients without disease progression after 3 chemotherapy cycles were randomly assigned: 448 of 550 (81%)
Patients in IDS arm who actually underwent IDS (%)	67.5	93	93
Chemotherapy	Cisplatinum/cyclophosphamide Cisplatinum/doxorubicin	Cisplatinum/cyclophosphamide	Cisplatinum/paclitaxel
Surgeon expertise	Only 9% were gynecologic oncologists	Not specified	Gynecologic oncologists
Stage IV patients (%)	13.9	22	5.7
Residual disease (%)			
1–2 cm	0	5	12.5
2–5 cm	49	22.5	43
5–10 cm	36.7	22	35.7
>10 cm	13.9	30	8.9
Unknown		20.5	
Performance status ≥2 (%)	36.7	16.5	7
Survival benefit with IDS	No survival benefit. Median survival, 12 mo for conventional arm vs. 15 mo for IDS ( $p = .14$ )	Survival benefit. Progression-free and overall survival significantly longer in the group that underwent IDS ( $p = .01$ ), with difference in median survival of 6 mo. Two-year survival rates were 56% for IDS arm vs. 46% for chemotherapy-only arm.	No survival benefit. Neither median time to progression (or death) nor median survival were significantly different between IDS arm and chemotherapy-only arm: 10.5 mo vs. 10.7 and 33.9 mo vs. 33.7 mo, respectively.
Significance of residual disease <1 cm before IDS	— <sup>a</sup>	Indicated better survival (median, 41.6 mo) even than those who underwent optimal cytoreduction at IDS (median, 62.6 mo)	Only significant prognostic factor for survival

<sup>a</sup>—, not applicable.

Abbreviations: EORTC, European Organisation for Research and Treatment of Cancer; GOG, Gynecologic Oncology Group; IDS, interval debulking surgery; ITT, intention-to-treat; RCT, randomized controlled trial.

months) compared with those who were optimally cytoreduced at IDS (median, 26.6 months). Approximately 25% of patients lost the opportunity for surgery because of significant adverse effects and refractory disease [6].

The GOG152 study, reported by Rose et al. [7], failed to show a survival benefit. As occurred in the EORTC trial, only patients who did not progress under NACT were randomly assigned.

Table 1 shows the substantial differences between the EORTC and GOG152 studies, which might explain the differences in outcome. The EORTC study accrued more patients with stage IV disease (21% vs. 7%) and/or performance status 2 and had more patients with bulky RD after IDS. In the GOG152 study, patients were operated on by gynecologic oncologists, which is (as are the other three differences) a prognostic factor for survival.

**Table 2.** Comparison between CHORUS (chemotherapy or upfront surgery for newly diagnosed advanced ovarian cancer) and European Organisation for Research and Treatment of Cancer/National Cancer Institute of Canada randomized controlled trials

Feature	Kehoe et al., 2015 (CHORUS) [9]	Vergote et al., 2010 (EORTC 55971) [8]
Study design	Phase III noninferiority randomized trial. Patients from 87 hospitals in U.K. and New Zealand from March 2004 to August 30, 2010. The study enrolled 552 patients with suspected stage III–IV ovarian cancer. Of these, 550 (96.6%) were eligible. Patients were randomly assigned to undergo PDS, followed by 6 cycles of platinum-based chemotherapy, or to 3 cycles of NACT, then IDS, followed by 3 more cycles of completion chemotherapy.	Phase III noninferiority randomized trial. Patients from 59 institutions from September 1998 to December 2006. The study enrolled 670 patients with stage IIIC–IV ovarian, fallopian tube, or peritoneal cancer. Of these, 632 (94.3%) were eligible. Patients were randomly assigned to undergo PDS, followed by 6 cycles of platinum-based chemotherapy, or to 3 cycles of NACT, then IDS, followed by 3 more cycles of completion chemotherapy.
Hazard ratio	Noninferiority boundary was selected after consideration of the size of differences noted in similar trials and clinical consensus, to exclude a detriment of more than 6% with primary chemotherapy, with a 10% (one-sided) level of significance. Therefore, to show noninferiority, the upper bound of the one-sided 90% CI for the hazard ratio had to be less than 1.18.	The hazard ratio for death (intention-to-treat analysis) in the group of NACT/IDS, as compared with PDS followed by chemotherapy, was 0.98 (90% CI, 0.48–1.13; $p = .01$ ) for noninferiority; the hazard ratio for progressive disease was 1.01 (90% CI, 0.89–1.15). A hazard ratio less than 1.25 was considered to indicate noninferiority.
Median age (yr)	65	PDS: 62 NACT: 63
WHO performance status, <i>n</i> (%)		
0	171 (31)	300 (45)
1	271 (49)	284 (43)
2	102 (19)	84 (13)
3	5 (<1)	
FIGO stage (clinical), <i>n</i> (%)		
IIIC	412 (75)	510 (76)
IV	138 (25)	158 (24)
FIGO stage (surgical), <i>n</i> (%)		Not specified
II	19 (5)	
IIIA	14 (3)	
IIIB	21 (5)	
IIIC	320 (72)	
IV	31 (15)	
Tumor size, <i>n</i> (%)		
0–2 cm	26 (5)	14 (<3)
>2–5 cm	119 (22)	175 (28)
>5–10 cm	221 (40)	178 (28)
>10–20 cm	158 (29)	218 (34)
>20 cm	14 (3)	50 (8)
Histologic types, <i>n</i> (%)		
Serous (including low-grade)	390 (85)	414 (62)
Serous (high-grade)	334 (73)	Not specified
Tumor grade 3	314 (77)	175 (69)
Median duration of surgery (min)		
PDS	120	165
IDS	120	180
Percentage debulking		
0 cm		
PDS	39 (17)	61 (19.4)
IDS	79 (39)	151 (51.2)
<1 cm		
PDS	57 (24)	70 (22.2)
IDS	68 (34)	87 (29.5)
>1 cm		
PDS	137 (59)	184 (58.4)
IDS	54 (27)	57 (19.4)
Patients who received platinum/ taxane combination		
PDS	138 (61)	243 (87.9)
IDS	178 (70)	283 (87.9)
Completed 6 cycles		
PDS	188 (82)	253 (81.6)
IDS	201 (79)	276 (85.8)

Abbreviations: CI, confidence interval; EORTC, European Organisation for Research and Treatment of Cancer; FIGO, International Federation of Gynecology and Obstetrics; IDS, interval debulking surgery; NACT, neoadjuvant chemotherapy; PDS, primary debulking surgery; WHO, World Health Organization.

A Cochrane analysis that included these RCTs was unable to provide a conclusion [10] because of heterogeneity between the trials. The authors stated that PDS remained the standard of care and IDS was of no benefit in patients who underwent primary maximal debulking efforts by a gynecologic oncologist (GOG152). The subgroup that benefits from NACT-IDS consists of patients without tumor progression under NACT in whom primary debulking was not possible, because of factors such as older age and low performance status, or in whom PDS was not performed under optimal conditions or by a gynecologic oncologist (EORTC and GOG152).

Bristow and Chi [11] reviewed the role of platinum-based NACT-IDS for AOC in 51 phase I/II studies ( $n = 835$  patients). Survival of patients who had NACT after an attempt of primary surgery was inferior to those who had PDS. The authors claimed that survival is inversely proportional to the number of NACT cycles; each additional cycle of NACT leads to a 4.1-month reduction in median survival. In a later systematic review that included 3 RCTs, 6 non-RCTs, and 26 retrospective and phase I/II studies, Bristow et al. [46] stated that IDS after a suboptimal attempt of upfront cytoreduction did not appear to have an appreciable effect on survival.

On the contrary, a meta-analysis [12] showed that patients who received NACT had a lower risk for suboptimal cytoreduction. However, the increased rate of optimal cytoreduction in NACT cohorts did not fully translate into an improved OS.

### **NACT-IDS Versus PDS**

**Trials.** Details concerning the 2 RCTs are summarized in Table 2. The first RCT, performed by the EORTC/National Cancer Institute of Canada (NCIC) [8], randomly assigned 632 AOC patients to PDS or NACT-IDS. Most patients had stage IIIc or IV disease at PDS, with lesions  $>5$  cm and  $>10$  cm in 74.5% and 61.6% of patients, respectively. After PDS, 41.6% were left with RD  $\leq 1$  cm compared with 80.6% after NACT-IDS [8].

Postoperative adverse events and death ( $<28$  days after surgery) tended to be higher after PDS. Grade 3/4 hemorrhage occurred in 7.4% (vs. 4.1%), infection in 8.1% (vs. 1.7%), venous complications in 2.6% (vs. 0%), and death in 2.5% (vs. 0.7%) of patients. Survival and quality of life (QOL) were similar with both approaches [8]. Complete resection of all microscopic disease, both for PDS and NACT-IDS, was the strongest independent prognostic factor. The authors concluded that for stage IIIc–IV AOC patients, NACT/IDS was not inferior to PDS followed by chemotherapy. Complete resection of all macroscopic disease remained the objective, independent of the timing of debulking [8].

The EORTC/NCIC study was included in a Cochrane review [16] and a meta-analysis [13]. Both concluded that PDS is standard of care for stage IIIa/IIIb patients. NACT-IDS is considered a reasonable alternative. The timing of surgery should be tailored to the patient, with consideration of resectability, age, histologic features, stage, performance status, and underlying morbidity [16].

The results of the multicentric CHORUS (chemotherapy or upfront surgery for newly diagnosed advanced ovarian cancer) phase III RCT (87 hospitals;  $n = 552$ ) were recently published [9]. As in the EORTC/NCIC trial, the CHORUS study demonstrated that NACT-IDS was noninferior and associated with lower morbidity. Grade 3/4 postoperative adverse events and deaths ( $<28$  days after surgery) were more common in the PDS group (24% vs. 14% and 6%

vs.  $<1\%$ , respectively). More NACT-IDS patients reported non-significant improvement in QOL at 6 and 12 months. This study also found that NACT-IDS significantly increased the incidence of optimal cytoreduction (RD  $<1$  cm): 73% versus 41%. This increase in optimal cytoreductions (NACT-IDS arm) did not translate into a significant improvement of progression-free survival (PFS) or median survival (22.6 months vs. 24.1 months for PDS and NACT-IDS, respectively). The authors attributed these low figures to older median age of recruited patients and high percentage of grade 3 tumors. Up to 34% of patients in the CHORUS study received single-agent carboplatin (39% in the PDS arm vs. 30% in the NACT-IDS arm) [9].

For both RCTs, critics have arisen; even the authors of the CHORUS trial questioned whether patients would have benefited from more aggressive attempts of surgery [9]. First, the median operation time in the EORTC/NCIC trial was shortest for PDS (165 vs. 180 minutes) [8]. The median operation time (both arms) was even shorter in the CHORUS trial (120 minutes) [9]. This might suggest suboptimal efforts at PDS, supported by the fact that only 40% of patients were left with tumor  $\leq 1$  cm after PDS in both trials. This rate is much lower than in the single-institutions studies (GOG and Arbeitsgemeinschaft Gynaekologische Onkologie [AGO] studies [25–29]).

Second, both arms of the EORTC/NCIC trial underwent little or no upper abdominal surgery [8]. Lesion sizes were measured before and after surgery. For both groups, the greatest reduction in RD (to  $<1$  cm) was seen in the omentum, pelvis, and adnexa; no change was seen in the upper abdomen [25, 47]. In the CHORUS trial [9], 27% of PDS patients did not have hysterectomy and 24% did not have bilateral salpingo-oophorectomy. Patients underwent little or no upper abdominal surgery (supra- and infracolic omentectomy in 48% at PDS and in 58% at IDS) or lymphadenectomy (8%; complete pelvic lymphadenectomy at PDS in only 3% and complete para-aortic lymphadenectomy in only 1%). As discussed before, increasing the radicality of surgery (including the upper abdomen) in PDS translates to a direct proportional improvement in survival [10]. In contrast to these observations, increasing the complete resection rate from  $<20\%$  to  $>50\%$  at NACT-IDS did not improve prognosis [10, 25, 47]. These results indicate that only improvement in the radicality of PDS can further improve survival [10, 25, 44, 47, 48].

Third, for the EORTC/NCIC trial [8], the PFS and OS of the primary debulked patients were substantially lower than those reported in previous studies. Chi et al. [25] used identical inclusion criteria and treated 285 patients with PDS during the same period. They achieved cytoreduction to  $\leq 1$  cm in 71%, resulting in a PFS of 17 months and OS of 50 months compared with 41%, 17 months, and 29 months, respectively, for the PDS arm in the EORTC/NCIC study. This improvement (compared with the EORTC/NCIC study) could partly be explained by the higher rate of optimal cytoreduction but also by the smaller number of stage IV patients (13% vs. 23%) and higher chemotherapy administration rate (99% vs. 81.6%).

Fourth, over 8 years, 59 institutions included 670 patients in the EORTC/NCIC trial (median accrual per institution, 5 patients [range, 1–125] [8]). This raises questions about inter-institutional variation in the adequacy of surgical debulking and/or selection bias.

Fifth, both the EORTC/NCIC and CHORUS trials were designed to prove noninferiority of NACT-IDS. In the EORTC/NCIC trial, a higher mortality rate up to 25% would be considered noninferior

**Table 3.** New concepts on the origin of ovarian adenocarcinomas

Variable	Type 2 ovarian cancer: high-grade serous AC	Type 1 ovarian cancer: low-grade serous AC	Mucinous AC	Endometrioid AC	Clear cell AC
Risk factors	<i>BRCA 1/2</i>	Unknown	Unknown	HNPCC	Unknown
Precursor	Tubal intraepithelial carcinoma	Serous borderline tumor	Cystadenoma/ borderline tumor	Atypical endometriosis	Atypical endometriosis
Spread	Very early transcoelomic	Transcoelomic	Confined to ovary (usually)	Confined to pelvis (usually)	Confined to pelvis (usually)
Molecular abnormality	<i>BRCA, p53</i>	<i>BRAF, KRAS</i>	<i>KRAS, HER2</i>	<i>PTEN, ARIDIA</i>	<i>HNF1, ARIDIA</i>
Chemosensitivity	High	Intermediate	Low	High	Low
Prognosis	Poor	Intermediate	Favorable	Favorable	Intermediate

These tumors are characterized by specific mutations, including *KRAS, BRAF, ERBB2, HNF1, CTNNB1, PTEN, and PIK3CA* but rarely *TP53*. Adapted from Prat [4].

Abbreviations: AC, adenocarcinoma; HNPCC, hereditary nonpolyposis colorectal carcinoma.

[8]. In practice, an increase of this magnitude cannot be ignored [25, 47]. A subgroup analysis including tumors  $\leq 5$  cm that underwent optimal radical surgery (RD, 0 cm) showed a significantly better median survival for the PDS arm (45 months vs. 38 months) [8]. As in previous studies [19, 49, 50], a recent post hoc analysis [51] showed that stage IIIC EOC with metastatic tumors up to 45 mm had more benefit from PDS. The subgroup of the CHORUS trial that has been cytoreduced to RD  $< 1$  cm and  $> 0$  cm had a better median survival in the PDS arm (36.8 months vs. 23.2 months in the NACT-IDS arm).

Finally, only stage IIIC and IV patients were included. Thus, the results should not be extrapolated to all patients with AOC.

**Pros and Cons.** The most important effect of PDS is to improve the effect of chemotherapy by removing poorly perfused tumor portions that are receiving inadequate doses of chemotherapy and phenotypically resistant cells [1, 52, 53]. In addition, the spontaneous mutation rate of the tumor toward drug-resistance phenotypes is lower in the case of small RD.

Rauh-Hain et al. looked at the relapsed patients who were retreated with platinum-based chemotherapy and showed that 88.8% in the NACT-IDS group were considered platinum-resistant (recurrence within 6 months) compared with 55.3% in the PDS group ( $p < .001$ ). The authors concluded that NACT-IDS appears to increase the risk for platinum resistance.

Induction of more platinum-resistant clones might explain the fact that, in both RCTs, NACT-IDS did not further improve OS despite the almost doubling of optimally debulked patients [54]. Rauh-Hain et al. [55] looked at the relapsed patients who were retreated with platinum-based chemotherapy and showed that 88.8% in the NACT-IDS group were considered platinum-resistant (recurrence within 6 months) compared with 55.3% in the PDS group ( $p < .001$ ). The authors concluded that NACT-IDS appears to increase the risk for platinum resistance [55]. Drug resistance after NACT correlates with in vitro drug resistance [56–59]. A second possible explanation for why NACT-IDS has not been able to further improve OS might be the violation of the dose-density effect by the interruption of chemotherapy with IDS [60].

The NACT-IDS concept is based on the fact that EOC is a highly chemosensitive tumor, with a rate of response to standard

chemotherapy (platinum and taxanes) of more than 80%, even in the case of massive ascites and diffuse dissemination. Sadly, it does not consider the heterogeneity of EOC (which correlates with chemosensitivity) [3, 4, 54]. As shown in Table 3, low-grade and nonserous tumors have low chemosensitivity but favorable prognosis, while high-grade serous tumors, despite their high chemosensitivity, have less favorable outcome [4, 23, 54, 61, 62]. Omitting PDS in the treatment of nonserous tumors will take a toll on survival. In 294 stage III/IV patients, Hosono et al. [61] showed that suboptimal debulking ( $> 1$  cm RD) in nonserous tumors was associated with an increased risk for death.

Evaluation of the radicality of surgery is mainly based on the surgeon's visual estimation. Whether the surgeon's statement of complete tumor resection in PDS and IDS is equal remains unclear. Hynninen et al. [63] evaluated the perioperative visual assessment of tumor dissemination at the start of PDS/diagnostic laparotomy (220 biopsies) or IDS (92 biopsies) and proved statistically significant ( $p < .001$ ) worse sensitivity and accuracy in case of IDS. NACT before surgery causes fibrosis and adhesions in the peritoneal cavity; microscopically carcinomatous areas more often have a benign visual appearance than at PDS. This interferes with the perioperative evaluation of tumor spread. NACT may therefore lead to incomplete resection of tumor in potentially resectable areas and might increase incidence of platinum-resistant cell clones [63].

### Important Prognostic Factors for Surgical Decision Making

#### **Heterogeneity of Ovarian Cancer From Histologic Subtypes to Molecular Biology**

Despite their low sensitivity to chemotherapy, grade 1 tumors are associated with better prognosis than grade 3 tumors [1, 23, 24]. Histologic subtype is also a significant prognostic factor [1, 23, 24]. Endometrioid tumors have the best prognosis and clear cell and undifferentiated tumors, the worst [1, 4, 8, 23, 24, 51]. Mucinous tumors have excellent prognosis when completely excised; none of patients left with RD survived 24 months [25, 26, 58].

The heterogeneity of clinical behavior related to histologic subtypes became easier to understand in view of recent data regarding molecular origin of EOC [3, 4]. According to these data, EOC can be divided into two types that develop independently along different molecular pathways and differ markedly in biological behavior and prognosis. Both types develop outside

the ovary and involve it secondarily [3]. Type 1 EOC (nonserous or low-grade serous tumors) is generally indolent, presents in stage I, and develops from well-established precursors, so-called borderline ovarian tumors. They are relatively genetically stable. Type II EOC (high-grade serous) is composed of tumors that are aggressive, present in advanced stage, and develop from intraepithelial carcinomas in the fallopian tube. They are genetically highly unstable (Table 3).

This heterogeneity also explains differences in platinum sensitivity and has elicited the need to select chemotherapy regimens in terms of function of histologic subtypes [23, 54, 58, 59, 61, 62]. Several biomarkers and methods for predicting response to chemotherapy and identifying patients most likely to benefit from NACT-IDS have been investigated but never used widely [54, 57–59].

Even within high-grade serous tumors, assumed to form a homogenous entity, recent studies on a molecular basis showed a certain degree of heterogeneity. Tumors with low intraepithelial CD8+ T cells or high Ki-67 benefit from aggressive surgical debulking [64]. Prognosis of patients with serous tumors expressing high CD8+ T cells did not improve with optimal debulking efforts [64].

Tumors with low intraepithelial CD8+ T cells or high Ki-67 benefit from aggressive surgical debulking. Prognosis of patients with serous tumors expressing high CD8+ T cells did not improve with optimal debulking efforts.

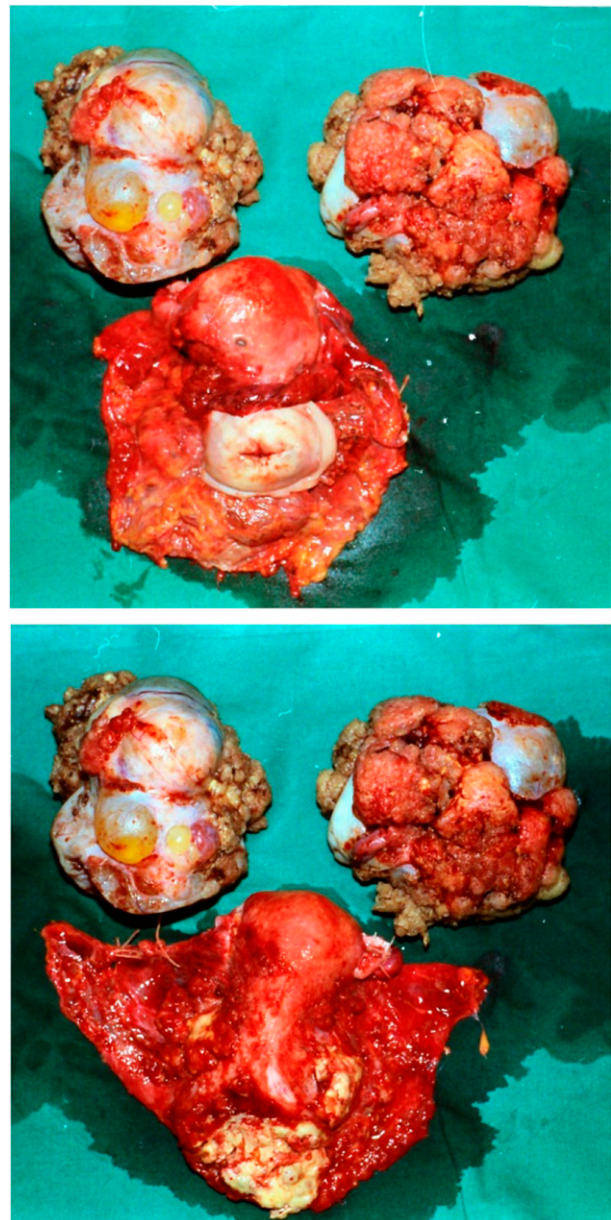
The Cancer Genome Atlas project has analyzed messenger RNA expression, microRNA expression, promoter methylation, and DNA copy number in 489 high-grade serous ovarian adenocarcinomas and the DNA sequences of exons from coding genes in 316 of these tumors. Here we report that high-grade serous ovarian cancer is characterized by TP53 mutations in almost all tumors (96%); they have low prevalence but are statistically recurrent: somatic mutations in nine further genes, including *NF1*, *BRCA1*, *BRCA2*, *RB1*, and *CDK12*; 113 significant focal DNA copy number aberrations; and promoter methylation events involving 168 genes. Analyses delineated 4 ovarian cancer transcriptional subtypes, 3 microRNA subtypes, 4 promoter methylation subtypes, and a transcriptional signature associated with survival duration and shed new light on the effect that tumors with *BRCA1/2* (*BRCA1* or *BRCA2*) and *CCNE1* aberrations have on survival [65]

### FIGO Substages

The Norwegian Radium Hospital ( $n = 455$  patients with stage III cancer) showed a direct correlation between survival and optimal debulking ( $\leq 2$  cm RD) in all FIGO substages. FIGO substage was an independent prognostic factor, with substage IIIC having a significantly lower OS. Substage had no effect on OS in patients without optimal debulking [23].

### Age and Performance Status

Older age and low performance index are independent prognostic factors for OS [1, 8, 9, 21, 23, 29, 45]. Multivariate analysis performed in a subgroup with homogeneous histology and complete surgical data within the AGO–Ovarian Cancer Study Group 3 study (a prospective, randomized phase III trial

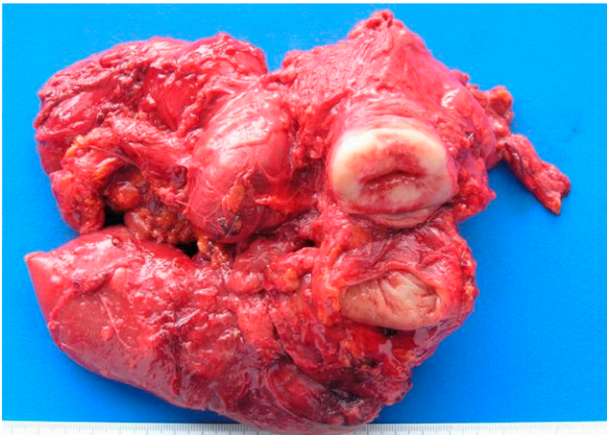


**Figure 1.** Category 1. The main tumor bulk was located in the small pelvis. Radical tumor excision did not require intestinal resection. Note that complete resection of an invaded parametrium, peritoneum of the pelvic side wall, and the Douglas cavity was achieved by extraperitoneal dissection, as described by Barnes et al. [35].

with 686 FIGO stage IIB–IV patients receiving either cisplatin-paclitaxel or carboplatin-paclitaxel) revealed age as an independent prognostic factor for survival [45]. Wimberger et al. [45] created 3 categories: younger ( $<50$  years), middle-aged (50–65 years), and elderly ( $>65$  years). No residual tumor after PDS was achieved significantly more often in the young patient group, resulting in an improved median OS of 60.7 months, compared with 41.3 and 33.2 months in the middle-aged and elderly groups, respectively. The survival advantage of young patients remained in completely debulked patients [45].

### Intestinal Resections

Prognosis may be less favorable when optimal debulking necessitates intestinal resection [21, 66].



**Figure 2.** Category 2. The main tumor bulk was located in the small pelvis, but radical tumor excision required intestinal resection. Note en bloc resection of the tumor with invaded segment of descending colon through extraperitoneal dissection, as described by Eisenkop et al. [36].



**Figure 3.** Category 3. The main tumor bulk was located in the upper abdomen, with no massive ascites. Tumor excision did not require intestinal resection.

#### **Peritoneal Carcinomatosis**

Peritoneal carcinomatosis worsens prognosis [21, 67]. In addition, the number of peritoneal deposits left after nearly optimal debulking (residual deposits <0.5 cm) affects prognosis [21, 38, 67]. Patients who are left with >40 nodules have the worst prognosis (median survival of 16 months).

#### **Size of Tumor in Upper Abdomen at Start of Surgery and Presence of Massive Ascites**

Both the size of the tumor in the upper abdomen [23, 24, 48, 49] and the presence of massive ascites [1, 19, 23, 48–50] are independent poor prognostic factors. Hacker et al. reported that in 47 stage III–IV patients with tumors >10 cm and massive ascites (>10 L), cytoreduction to 1.5 cm RD had a weak (6 months) effect on survival [49, 50]. Hoskins et al. [22] (GOG study in stage III patients) showed that in the case of optimal debulking, the presence of gross disease in the omentum and at extrapelvic sites had a negative effect on prognosis.



**Figure 4.** Category 4. The main tumor bulk was in the upper abdomen, with no massive ascites. Tumor excision required intestinal resection.

#### **Preoperative CA-125 Levels**

Preoperative CA-125 levels are directly correlated to FIGO stage and extent of peritoneal metastases and are significantly lower in low-grade tumors. Outcome of patients with high CA-125 levels before surgery was worse than that of patients with lower levels [1, 68]. Postoperative CA-125 levels are independent prognostic factors for survival and allow early identification of nonresponders during chemotherapy [68, 69].

#### **CONCLUSION**

##### **NACT-IDS or PDS?**

NACT-IDS is noninferior but also not superior to PDS. IDS can be considered an acceptable treatment choice in AOC patients with low performance status, underlying morbidity, or older age or those for whom the optimal situation for radical surgery is not available (e.g., no gynecologic oncologist available or low-volume hospital).

NACT-IDS is associated with lower morbidity than PDS. Further study is necessary to evaluate morbidity and the usefulness of new agents, such as bevacizumab, for NACT-IDS.

NACT-IDS is associated with a possible loss of opportunity for surgery in case of significant adverse effects and/or refractory disease. Nonserous tumors with favorable prognosis are less chemosensitive, and excluding optimal PDS will lead to less favorable outcome. NACT interferes with the perioperative visual evaluation of tumor spread, which can lead to incomplete



resection of tumor and might result in a higher incidence of platinum-resistant recurrences.

Data from pooled GOG studies and single-institution studies show that the 5-year survival rate of PDS is approximately 50% and even higher if a complete gross resection can be achieved. With appropriate training, support, and commitment, optimal debulking rates of >70%–75% can be achieved. Surgery for AOC should be restricted to high-volume hospitals and gynecologic oncologists who have undergone special training.

### Categorization of Stage III Ovarian Cancer

Stage III ovarian cancer can be classified in different categories depending on patterns of tumor spread that reflects the biologic behavior and prognosis. The first three categories often represent type 1 EOC with low chemosensitivity. The fifth category often represents high-grade chemosensitive serous tumors (type 2).

**Category 1:** The main tumor bulk is located in the small pelvis, with no massive ascites. No intestinal resection is required (Fig. 1). These tumors pose the best prognosis. PDS is recommended.

**Category 2:** The main tumor bulk is located in the small pelvis, with no massive ascites. Radical tumor excision requires intestinal resection (Fig. 2). The prognosis is less favorable than in category 1, even if no RD is left. PDS is recommended.

**Category 3:** The main tumor bulk is located in the upper abdomen, with no massive ascites. No intestinal resection is required (Fig. 3). Prognosis is less favorable than in category 2. PDS is recommended.

**Category 4:** The main tumor bulk is located in the upper abdomen, with no massive ascites. Radical tumor excision requires intestinal resection (Fig. 4). Prognosis is less favorable than in category 3. PDS is recommended. However, in case of low performance status, underlying morbidity, or older age, IDS following

three cycles of NACT might be preferred. Most tumors within this category are high-grade serous subtypes, and molecular biology could assist subgroups that might not benefit from optimal PDS.

**Category 5:** Main tumor bulk is restricted to the upper abdomen and is associated with massive ascites or the presence of miliary spread and/or massive mesenteric metastases. This type has the worst prognosis. PDS requires multiple intestinal resections. These tumors are usually associated with higher CA-125 levels. NACT-IDS is preferable.

### Stage IV Ovarian Cancer

Stage IV ovarian cancer is not a contraindication for PDS. NACT-IDS is preferable in case of multiple intrahepatic/lung metastases or massive ascites with miliary spread.

### Future Studies

Additional studies regarding type of surgery and choice of chemotherapy should be designed in view of molecular and genetic aspects of the tumor to allow for patient-individualized therapy. Recent data on molecular aberrations that cause ovarian cancer will be critical in selecting treatment strategies and deploying therapies.

### AUTHOR CONTRIBUTIONS

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### DISCLOSURES

The authors indicated no financial relationships.

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