# Chronic Repetitive Mild Traumatic Brain Injury Results in Reduced Cerebral Blood Flow, Axonal Injury, Gliosis, and Increased T-Tau and Tau Oligomers

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#### Abstract

Exposure to repetitive mild traumatic brain injury (mTBI) is a risk factor for chronic traumatic encephalopathy, which is characterized by patchy deposition of hyperphosphorylated tau aggregates in neurons and astrocytes at the depths of cortical sulci. We developed an mTBI paradigm to explore effects of repetitive concussive-type injury over several months in mice with a human tau genetic background (hTau). Two injuries were induced in the hTau mice weekly over a period of 3 or 4 months and the effects were compared with those in noninjured sham animals. Behavioral and in vivo measures and detailed neuropathological assessments were conducted 6 months after the first injury. Our data confirm impairment in cerebral blood flow and white matter damage. This was accompanied by a 2-fold increase in total tau levels and mild increases in tau oligomers/conformers and pTau (Thr231) species in brain gray matter. There was no evidence of neurofibrillary/astroglial tangles, neuropil threads, or perivascular foci of tau immunoreactivity. There were neurobehavioral deficits (ie, disinhibition and impaired cognitive performance) in the mTBI animals. These data support the relevance of this new mTBI injury model for studying the consequences of chronic repetitive mTBI in humans, and the role of tau in TBI.

**Key Words:** Axonal injury; Behavior; Cerebral blood flow; Concussion; Glial activation; hTau mice; Tau.

# INTRODUCTION

Exposure to traumatic brain injury (TBI) is a risk factor for the later development of chronic neurodegenerative disorders, in particular chronic traumatic encephalopathy (CTE) (1–13). Although it was first described in boxers (14, 15), CTE is now increasingly recognized in autopsy series of nonboxer athletes who participated in a wide range of sports, including American football (11, 13, 16, 17), ice hockey (13), soccer (13), and rugby (18). The neuropathology of CTE is complex and multifaceted (9), but abnormal deposits of hyperphosphorylated tau in perivascular neurons and glia and in a patchy distribution toward the depths of cortical sulci are common to the cases described thus far (13). Furthermore, blows to the head during a single boxing bout are associated with increased total tau in the CSF (19, 20) and athletes (ice hockey) and military personnel with histories of TBI demonstrate an increase in total tau and tau-C-cleaved fragments in plasma (21-23).

The precise incidence and prevalence of CTE, including the threshold of susceptibility to CTE following exposure to TBI, are unknown. Reports of CTE have typically come from individuals exposed to repetitive mild traumatic brain injury (mTBI) through contact-related sports injuries; however, the pathology has also been reported in individuals exposed to single moderate or severe TBI (9, 24). Although data on longitudinal follow-up are lacking, in the cases reported thus far the mean duration of exposure to mTBI in an athlete's career ranged between 5 and 24 years (11, 25). In addition, a majority of these athletes were exposed to mTBI from their high school years to their late twenties, and some during their mid- to late thirties (13, 25). It has been suggested that the extent of pathology at autopsy correlates not only with the age at death and number of years after retirement but also with the length of exposure to injury (13, 26).

At present, all published autopsy cases have been retrospective studies typically involving small sample sizes and subject to selection bias and incomplete premortem data. These limitations make it difficult to derive a comprehensive understanding of the neuropathological sequelae of exposure to TBI. Furthermore, many current preclinical models fail to recapitulate the clinical and neuropathological consequences of mTBI, the cumulative exposures typical of human sports-associated

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injuries or the pathologies of CTE. Therefore, there is a critical need to develop an animal model that is relevant to lifetime exposure, sports-associated, repetitive mTBI in humans.

Different animal models have been developed to explore the effects of repetitive TBI; most of these experimental models utilize a closed skull mTBI paradigm involving a pneumatic or electromagnetic impactor or weight drop model (27-39). Although these models have described consistent pathologic findings, such as white matter degradation and gliosis, the findings in relation to tau have been less consistent, with some reporting increases in multiple pathogenic tau species following injury (27, 28, 32, 36, 39), whereas others do not (30–31, 33, 38). Moreover, in most of those studies that report evidence of taudependent TBI pathology, the authors only examined acute time points after injury, with only 1 study showing evidence of persistent tau pathology at a chronic time point of 6 months after injury (36). Our previous mTBI model in "aged" hTau mice, which express the 6 isoforms of human tau on a null murine background, demonstrated augmentation of tau pathology 3 weeks after injury (39); however, this persistent tau pathology was not observed in younger hTau or wild-type mice exposed to mTBI following examinations up to 12 months postinjury (29, 33; Mouzon B, Bachmeier C, Ferro A, Crynen G, Acker CM, Davies P, Ojo JO, Mullan M, Stewart W, Crawford F. personal communication, 2016). It appears, therefore, that persistent tau pathology is lacking in most TBI models. Additionally, in all the models to date that have depicted increases in intra-axonal or intrasomal phosphorylated tau, other components of CTE-like pathology, such as neurofibrillary or astroglial tangles, neuropil threads, and perivascular phosphorylated tau were absent. These difficulties and relatively few successes in recapitulating tau-associated pathologies after TBI may reflect failure to adequately model the required variables in human injury exposure, such as chronicity of impacts, age at exposure, or duration of exposure to injuries (40).

In this study, we set out to develop a new paradigm for our previously established mouse model of mTBI (29, 33, 35, 39). Our goals were to focus primarily on 2 main aspects: (I) the chronic pathological consequences following cumulative exposure to repeated mTBI over a prolonged exposure period, and (II) the influence of a human tau genetic background on "tau pathology" following this exposure protocol in mTBI. With regard to the latter, we used the hTau mouse model (41) in which we previously demonstrated TBI-dependent tau pathology in "aged" animals exposed to mTBI (39). In addition to examining protein biochemistry profiles in our model, we also present Supplementary Data characterizing brain lipid and peripheral inflammatory profiles and neurobehavioral measures.

In this first report, we confirm a 2-fold increase in total tau levels and mild increases in tau oligomers/conformers and pTau (Thr231) species in the gray matter up to 3 months after cessation of injury, which may be on a continuum of progressive and persistent TBI-dependent tau pathology.

#### MATERIALS AND METHODS

## Animals

Transgenic mice expressing Human Tau on a C57BL/6 and null murine tau background (generated as previously described [41]) were purchased from Jackson Laboratories, Bar Harbor, Maine. All mice were12 weeks old at the start of this study (average weight, 18g). Animals were housed in standard cages under a 12-hour light/12-hour dark schedule at ambient temperature controlled between 22 °C and 23 °C under specific pathogen-free conditions. Animals were given food and water ad libitum and maintained under veterinary supervision throughout the study. There was no evidence of disease among the colony. Mice of both sexes were randomly assigned to experimental groups (n = 12 each for sham and)injured animals). Two animals in the injury group were euthanized due to development of severe dermatitis of unknown reasons. Experiments were performed in accordance with Office of Laboratory Animal Welfare and National Institutes of Health guidelines under a protocol approved by the Roskamp Institute Institutional Animal Care and Use Committee. All analyses were carried out blind to study group assignment.

#### **Experimental mTBI**

The experimental TBI methods were performed, as previously described (29). Briefly, mice were anesthetized with 1.5 L per minute of oxygen and 3% isoflurane for 3 minutes. After shaving of the injury site, mice were transferred into a stereotaxic frame (Just For Mice Stereotaxic Instrument, Stoelting, Wood Dale, Illinois) mounted with an electromagnetic controlled impact device (Impact One Stereotaxic Motorized Impactor, Richmond, Illinois). Heads were positioned and fixed in the device, which prevented lateral movements as the impact was delivered. All mice were placed on a heating pad to maintain their body temperature at 37 °C. A 5mm blunt metal impactor tip attached to the electromagnetic motorized device was centered on the scalp and positioned above the midsagittal suture before each impact using the NeuroLab controller. On satisfactory positioning, the tip was retracted and the depth was adjusted to the desired level. The scalp was gently stretched by hand to restrict lateralization of the impact and to prevent the rod from delivering an inadequate trauma load at an irregular angle. Injury parameters were 5 m per second strike velocity, 1.0 mm strike depth, 200 milliseconds dwell time, and a force of 72N. This sublethal impact does not cause direct tissue damage to the injury site, and there is no development of skull fracture or subdural hemorrhage, even after repetitive injuries. Mice in the repeat mTBI (r-mTBI) group received 2 impacts every week for 3 or 4 months (ie, 24 or 32 impacts), with an interinjury time of 72 to 96 hours. Repetitive sham control mice received anesthesia of the same frequency and duration ( $\sim$ 3 minutes per session) as their r-mTBI counterparts. Animals were grouped as repetitive shams or repetitive injury. This mixed paradigm was chosen to mimic the heterogeneity of cumulative mTBI exposures in the human setting. After each impact, the mice were allowed to recover on a heating pad set at 37 °C to prevent hypothermia. When they became ambulatory, the mice were returned to their cages and carefully monitored for any abnormalities.

# Three-Chamber Test for Social Interaction and Novelty Recognition Test

All neurobehavioral tests were conducted 6 months after the first injury. Two social behaviors (social interaction and social memory/novelty recognition) were quantified using a rectangular 3-chamber test that includes a middle chamber with 2 doors leading to 2 separate (left and right) chambers, each containing a steel cage enclosure. After 5 minutes of habituation in the 3-chamber compartment, each mouse (experimental subject) was placed in the middle chamber and allowed to explore for 10 minutes, with the right chamber empty but an unfamiliar congener (Stranger I) held in the steel cage enclosure in the left chamber. Social interaction was determined by measuring the number of entries by the experimental subject into the chamber holding the unfamiliar congener versus the empty chamber. To measure social memory (or novelty recognition), a new novel stimulus mouse (Stranger II) was subsequently placed in the previously empty right chamber. The same parameters as above were measured to determine the preference of the experimental subject for Stranger I or Stranger II.

# **Elevated Plus Maze**

The elevated plus maze consists of a plus-shaped apparatus with 2 open and 2 enclosed arms, each with an open roof, elevated 50 to 70 cm from the floor in a dimly lit room. Each mouse was placed at the junction of the 4 arms of the maze, facing the open arm. The mice were allowed to maneuver within the maze freely for 5 minutes; the number of entries and duration in each arm (open/closed) were recorded with the aid of a video tracking system to evaluate anxiety effects based on the animal's aversion to open spaces.

# **Open Field Test**

The open field was conducted in a large circular maze (120-cm diameter) setup in a brightly lit room. Animals were placed in the center of the maze and the number of entries/ time spent in a predefined center zone and around the walls of the maze was recorded over a 15-minute trial to evaluate anxiety effects and sensorimotor activity.

# **Cerebral Blood Flow Measurements**

Cerebral blood flow (CBF) was measured prior to death at 6 months post-first injury time point. The mice were anesthetized with a gas mixture of 3% isoflurane,  $0.9 \text{ L} \text{ min}^{-1}$  nitrous oxide, and 0.5 L min<sup>-1</sup> oxygen. Animals were then immobilized on a mouse stereotaxic table and maintained under anesthesia with a mouse anesthetic mask (Kopf Instruments, Tujunga, California) delivering 3% isoflurane, 0.5 L min<sup>-1</sup> nitrous oxide, and 0.3 L min<sup>-1</sup> oxygen. Rectal temperature was maintained at 37 °C using a mice homeothermic blanket system (Harvard Apparatus, Holliston, Massachusetts). An incision was made through the scalp and the skin retracted to expose the skull, which was then cleaned with a sterile cotton swab. Animals were maintained on a mixture of 1.5% isoflurane, 0.5 L min<sup>-1</sup> nitrous oxide, and 0.3 L min<sup>-1</sup> oxygen. Cortical perfusion was measured with the Laser-Doppler Perfusion Imager from Moor Instruments (Wilmington, Delaware), as previously described (42, 43). A computer-controlled optical scanner directed a low-power He-Ne laser beam over the exposed cortex. The scanner head was positioned parallel to the cerebral cortex at a distance of 26 cm. The scanning procedure took 1 minute and 21 seconds for measurements of 5,538 pixels covering an area of 0.64  $\text{cm}^2$ . At each measuring site, the beam illuminated the tissue to a depth of 0.5 mm. An image color-coded to denote specific relative perfusion levels was displayed on a video monitor. All images were acquired at 2-minute intervals for a period of 30 minutes (15 images for each animal). All images were stored in computer memory for subsequent analysis. For each animal, a square area of 0.05  $cm^2$  (360 pixels) equally distributed between the right and left hemispheres was defined and applied to each image of the series in order to measure the CBF in the frontal and occipital cortex. CBF was also measured in the entire cortex by manually delineating for each mouse the cortex area (0.51-0.54  $cm^2$  corresponding to 3,504–3,714 pixels). Relative perfusion values for each area studied were presented as percentage of CBF values in injured compared with sham controls.

# Enzyme-Linked Immunosorbent Assay

To obtain blood specimens to measure glucocorticoid and cytokine levels in plasma, animals were lightly anesthetized with isoflurane prior to euthanasia, and approximately 500 µl of blood was withdrawn into EDTA capillary tubes by cardiac puncture. Samples were centrifuged at 3000g for 3 minutes, and plasma samples (clear supernatant fraction) were flash frozen in liquid nitrogen and stored at -80 °C. Plasma glucocorticoid levels were measured using an enzyme-linked immunosorbent assay (ELISA) kit purchased from Lifesciences-Invitrogen (Grand Island, New York). Cytokine levels (GM-CSF, IL-5, IL-6, IL-1 $\beta$ , TNF, IL-17A, IL-10, IFN $\gamma$ ) were determined using Bio-Plex Pro mouse Th17 panel 8-plex ELISA kit (Bio-Rad, Hercules, California), as instructed by the manufacturer's guide.

# Brain Tissue Preparation and Western Blotting

Six months post-first injury brain tissue was collected following transcardial perfusion by gravity drip with phosphate-buffered saline (PBS). One hemisphere was extracted and dissected into 2 regions (cortex and corpus callosum); these were flash frozen in liquid nitrogen and kept at -80 °C for antibody based/biochemical analyses. The other hemisphere was postfixed in 4% paraformaldehyde for histological and immunohistochemical (IHC) analyses.

For Western blotting analyses, the corpus callosum and cortex from each hemisphere were homogenized in 150  $\mu$ l and 750  $\mu$ l (respectively) of PBS (pH 7.4) containing proteinase inhibitor using a probe sonicator. Homogenized samples were spun in a centrifuge at 15000g < 10 minutes and tissue supernatants were collected. Supernatant fractions were either denatured at 95 °C by boiling in Laemmli buffer (Bio-Rad) or prepared under nondenaturing conditions in Laemmli buffer without reducing agent. Samples were then subsequently

resolved on 4% to 20% gradient polyacrylamide criterion gels (Bio-Rad) or 4% to 12% gradient NuPAGE Novex Bus-Tris precast polyacrylamide gels (Life Technologies, Grand Island, New York). After electrotransferring, polyvinylidene difluoride membranes were blocked in 5% milk made in Trisbuffered saline and subsequently immunoprobed for different brain-specific primary antibodies overnight (Supplementary Table 1). After a rigorous washing step, membranes were probed with horseradish peroxidase-linked secondary antibodies (Supplementary Table 1). For primary antibodies raised in mice, a 20% superblocking buffer was used in the secondary antibody solution. Immunoblots from cortical tissue samples were analyzed by using a housekeeping gene ( $\beta$ -actin or anti-GAPDH antibody) to quantify the amount of proteins electrotransferred, and signal intensity ratios were quantified by chemiluminescence imaging with the ChemiDocTM XRS (Bio-Rad). Immunoblots from white matter tissue samples for nonreduced tau conformational antibodies were analyzed by using the most abundant bands from a total protein Coomassie stain as a control reference, and signal intensity ratios were quantified by chemiluminescence imaging with the ChemiDoc TM XRS.

# Immunohistochemistry

Half brain hemispheres (left side) were fixed in 4% paraformaldehyde for 24–48 hours followed by paraffin embedding. Series of 6-µm-thick sagittal sections were cut throughout the extent of the cortex and hippocampus guided by known bregma coordinates using a microtome (2030 Biocut, Reichert/Leica, Buffalo Grove, Illinois). Cut sections were mounted onto positively charged glass slides (Fisher, Superfrost Plus, Pittsburgh, Pennsylvania).

Sections were deparaffinized in xylene and rehydrated in a decreasing gradient of ethanol before the IHC procedure. Sections were rinsed in distilled water and subsequently incubated at room temperature in a solution of endogenous peroxidase blocking solution containing 3% hydrogen peroxide diluted in PBS for 15 minutes. For primary antibodies requiring antigen retrieval, sections were treated with either (I) DAKO target retrieval citrate buffer solution (pH 6) (Dako) for 8 minutes in the microwave, (II) Tris-ethylene-diaminetetraacetic acid-Tris-EDTA buffer (pH 8), or (III) Proteinase K (IHC World, Woodstock, Maryland). Following antigen retrieval, sections were blocked for 30 minutes to 1 hour in either (I) Dako protein serum-free protein block (Dako), (II) normal blocking serum to which secondary antibody was raised, or (III) mouse immunoglobulin G blocking reagent mouse on mouse (MOM) Kit (Vector Laboratories, Burlingame, California). Sections were immunostained in batches with primary antibodies made up in supersensitive wash buffer or antibody diluent background-reducing agent. Primary antibodies used are listed in Supplementary Table 1. After overnight incubation, sections were rinsed with PBS and transferred to a solution containing the appropriate secondary antibody (Vectastain Elite ABC Kit, Vector Laboratories) for 30 minutes to 1 hour, depending on the specific requirement of the antibody protocol. For antibodies raised in a mouse host, secondary antibodies were diluted in 20% superblock blocking

buffer (Pierce, Thermo Fisher Scientific, Rockford, Illinois). After rinsing in water, sections were incubated with avidinbiotin horseradish peroxidase or alkaline phosphatase enzyme solution (Vector Laboratories) solution for 30 minutes.

Immunoreactivity was visualized with 3,3'-diaminobenzidine (DAB) or Vector red alkaline phosphatase substrate. Development with the chromogen was timed and applied as a constant across batches to limit technical variability before progressing to quantitative image analysis. Reactions were terminated by rinsing sections in copious amounts of distilled water. Mounted sections were progressed through a graded series of alcohols (dehydrated), cleared in xylene, and coverslipped with permanent mounting medium. The IHC procedure was previously validated for most antibodies used by including negative control sections in which the primary mouse monoclonal antibodies were omitted and replaced with either blocking agent or biotinylated secondary antibodies alone. Immunoreacted sections were viewed using a motorized Olympus (BX63) upright microscope and photographs were taken using the high-resolution DP72 color digital camera.

# Histology

Series of sections were deparaffinized and processed for different histological stains. The Gallyas silver impregnation method was performed to reveal neurofibrillary tangles using a standardized protocol (39). Congo red staining for amyloid plaque was performed using manufacturer's instructions (Sigma, St. Louis, Missouri). Luxol fast blue (LFB) staining was performed as previously described (29). To detect microhemorrhages in the brain tissue, Prussian blue staining was also performed according to the manufacturer's guidelines (ENG Scientific Inc., Clifton, New Jersey). Gallyas- and Prussian blue-stained sections were counterstained with Nuclear Fast Red; LFB-stained sections were subsequently processed through an alcohol gradient, xylene, and coverslipped with Permount mounting medium.

# Image Analysis and Amyloid Precursor Protein Cell Counts

Immunoreactivity for cell markers (glial fibrillary acidic protein [GFAP], Iba1) was measured by quantitative image analysis (optical segmentation). Analyses were performed in a blinded manner to experimental conditions using coded slides. Multiple regions of interest were analyzed in standardized fashion for each cell marker/antibody. A survey of immunostained tissue sections was first performed independently to verify specific immunoreactivity that was subsequently progressed to quantitative image analysis. Briefly, nonoverlapping red, green, blue (RGB) images were digitally captured randomly within the defined areas from each section (comprising an average of 5 sections per animal for each marker), providing a systematic survey of each region of interest for each animal within a group. A minimum of 15 microscopic fields (×60 magnification) was analyzed per region per animal. The total microscopic field yielding a total area of 3.5 mm<sup>2</sup> ana-

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lyzed for each region per animal. Immunoreacted profiles that were optically segmented were analyzed using CellSens morphometric image analysis software (Olympus, Center Valley, Pennsylvania). A semiautomated RGB histogram-based protocol (specified in the image analysis program) was used to determine the optimal segmentation (threshold setting) for immunoreactivity for each antibody. Immunoreactive profiles discriminated in this manner were used to determine the specific immunoreactive percentage area. Data were separately plotted as the mean percentage area of immunoreactivity per field (% area)  $\pm$  SE for each region and grouping.

To assess changes in amyloid precursor protein (APP)positive profiles in the corpus callosum, axonal profiles were determined from a series of 5 sections. Fifteen separate images spanning a total area of  $3.52 \text{ mm}^2$  were examined. Only clearly distinguishable profiles with distinct morphological characteristics of axonal bulbs or swellings were included in the counts. Data were plotted separately as density estimates (number per mm<sup>2</sup>) ± SE for the corpus callosum region and grouping.

#### **Volumetric Estimates**

The volume of the corpus callosum of one brain hemisphere (bound by bregma coordinates -0.36 to -0.72 mm lateral) was determined by quantitative light microscopy using the Cavalieri method. In brief, sagittal sections containing the corpus callosum regions were stained for LFB (taking every tenth serial section). An average of 5 sections were collected per animal. Mounted sections were viewed at low magnification and montage images of the entire corpus callosum region were electronically captured using a DP72 digital camera attached to a motorized Olympus BX63 digital photomicroscope. Digital images were digitally outlined on each section using the Cell SENS Olympus software package. All analyses were carried out blind to study group assignment. For each animal, the volume of the corpus callosum region (bound by bregma coordinates -0.36 to -0.72 mm lateral) was subsequently derived by multiplying the calculated total surface area by the mean distance between the series of sections. Data are presented as mean volume (in  $mm^3$ )  $\pm$  SE per group.

### **Lipidomic Analyses**

Lipids were extracted using the Folch method from the cortex as previously described (44), with addition of di-14:0 fatty acid containing internal standards for phosphatidylethanolamine (PE), phosphoinositols (PI), phosphoglycerols (PG), phosphatidylcholine (PC), phosphates, and 14:0 LPG, LPC and LPE, di-17:0 PS, 14:LPE and LPC and 24:0 LPC. Lipidomic runs were performed in triplicate using SCID LC/MS as described (44, 45). Identification and calculation of concentrations of specific lipid molecular species within each lipid class (LPC, LPE, PC, PI, and sphingomyelin [SM] levels) were calculated from the averaged MS spectrum across the chromatographic peak for each lipid class using LipidomeDB software. Summed mass spectra for each lipid class in an LC/MS run were analyzed using LipidomeDB online (http://lipidome.bcf. ku.edu:9000/Lipidomics/) to identify and quantify (with

reference to added internal standards) each lipid molecular species. Each sample was analyzed in triplicate. The biological significance of changes in each lipidomic profile was evaluated using Lipid map pathway tools (www.lipidmaps. org/pathways/) Last accessed: 05-09-2016. Moreover, phospholipid classes were grouped according to the degree of unsaturation for the molecular species. For PC, ratios were calculated using docosahexaenoic acid (DHA)-containing species, PC (38:6, 16:0/22:6) and PC (40:7, 18:1/22:6), to arachidonic acid (AA)-containing species, PC (36:4, 16:0/20: 4), PC (38:4, 18:0/20:4), and PC (38:5, 18:1/20:4). For PE, a ratio of DHA-containing species, PE (40:6, 18:0/22:6) and ether PE (ePE; 40:6, o-18:0/22:6), to AA-containing species, PE (38:4, 18:0/20:4) and ePE (38:4, o-18:0/20:4), was calculated. For PI, a ratio of DHA-containing PI (40:6, 18:0/22:6) to AAcontaining PI (36:4, 16:0/20:4) and PI (38:4, 18:0/20:4) species was examined. Group differences were determined using either ANOVA or the  $\chi^2$  test based on the type of variable. When parametric assumptions were not met, values were log transformed for parametric analyses. Nonparametric testing was only used when transformation was found to be unsatisfactory. Principal Component Analysis (PCA) was used to minimize multicollinearity and achieve dimension reduction, as used routinely for the evaluation of lipidomic data (44, 45). The Kaiser-Meyer-Olkin (KMO) and Bartlett's tests for sphericity were used to ensure sampling adequacy for PCA (KMO value of >0.6 and Bartlett p < 0.05). Variables with eigenvalues of  $\geq 1$  were retained and PCA component was extracted using varimax with Kaiser normalization for rotation to simplify and clarify the data structure. In order to perform mixed linear modeling (MLM) regression analysis on each component (the outcome measure), the Anderson-Rubin method was used for exporting uncorrelated scores while adjusting for random (human) factor and assess independent fixed (diagnostic and replication). Following MLM, multipletest corrections were performed with the Benjamin-Hochberg procedure to control for the false discovery rate. Individual lipids were analyzed by MLM to identify lipids specifically altered by the study treatment.

### **Statistical Analysis**

The relationships between mTBI and sham animals for Western blotting, IHC, ELISA, neurobehavioral, and CBF data were assessed using either a parametric *T* test or nonparametric Mann-Whitney *U* test with a predefined criterion of p < 0.05 to assess group differences. Data were assessed by the Kolmogorov-Smirnov test to evaluate whether data sets fitted the normal Gaussian distribution. All analyses were performed with SPSS 21.0 (IBM Corp., Armonk, New York) and Graph Pad prism (La Jolla, California) statistical software.

#### RESULTS

#### Neurobehavioral Observations

We examined behavioral outcomes (6 months post-first injury) in our mouse model of chronic repeated TBI using the 3-chamber test (social interaction and social novelty recognition or memory), open field (sensorimotor and anxiety), and

elevated plus maze (anxiety). No impairments in social interaction were observed in sham and injured animals, that is, both groups of animals twice as frequently entered Stranger I chamber compared with empty chamber (Supplementary Fig. 1A). There was a trend (p = 0.058) for diminished social memory in the injured group, with animals 3 times more frequently entering Stranger I chamber compared with new novel Stranger II chamber (Supplementary Fig. 1B). Sham animals behaved normally in the social novelty recognition test, frequenting Stranger II chamber >46% more than Stranger I chamber (Supplementary Fig. 1B). In both tests for anxiety, injured animals demonstrated more disinhibitory behavior than shams, with a trend toward increased exploration (ie, time and frequency of entries) in the center zone of the openfield and open arms of the elevated plus maze tests (Supple mentary Fig. 1E, F). However, statistical analyses of these outcome measures did not reach significance. Minimal changes were observed in the sensorimotor activity during the open field test; this was typified by a small trend toward decrease in motor activity in injured compared with sham animals (Supple mentary Fig. 1D).

Notably, 5 animals in the injured group showed dermatitis around the skin of the face (around the eye region) and in some cases around the body, approximately 2 to 3 months into the study, following the first injury. This was probably due to lack of grooming and restrained use of front limbs following injury in these mice. Two of the animals that had severe dermatitis had to be euthanized; the other 3, which only had a milder form of dermatitis, were also subsequently removed from the *in vivo* imaging and behavioral tests; this reduced the sample sizes.

#### **Cerebral Blood Flow**

Using a laser Doppler imaging system to assess changes in the CBF of injured animals compared with their sham counterparts (Fig. 1A), a reduction in CBF in the occipital cortex was identified in injured compared with sham animals (p < 0.01) (Fig. 1B). No change was observed in the frontal cortex of either sham or injured animals; however, a trend toward decrease was observed in the entire cortex readings (Fig. 1B).

# Neuropathology of Chronic Repetitive mTBI Macroscopic Pathology

The injury paradigm was consistent with a mild injury, with no evidence of macroscopic pathology in r-TBI exposed mice. Specifically, there were no skull fractures or subdural hemorrhages and no gross pathological changes observed in any animals studied (Supplementary Fig. 2A, B).

#### White Matter Pathology

Prior examination of acute 24 hour post-last injury brain tissue sections from this model depicted an exaggerated rise in APP-positive axonal profiles in injured animals (212 positive



**FIGURE 1.** Cerebral blood flow in an hTau mouse model of chronic repeated traumatic brain injury (r-TBI). **(A)** Twodimensional color-coded cerebral microvascular blood flow maps recorded using the laser Doppler imager show flow data representing variation of regional blood flow in the cortex of sham and injured animals. **(B)** Quantitative analysis of mean cerebral blood flow, obtained from 6 measurements per animal, demonstrates significant reduction in the occipital cortex of injured compared with sham animals and a trend towards decrease in the entire cortex, with no change observed in the frontal cortex. Data are presented as percentage of sham. Blood flow velocity was visualized as a 2-dimensional color-coded map, and expressed in an arbitrary perfusion unit as cerebral blood flow flux. N = 6 (injured) or 8 (shams); \*\*p < 0.01.

profiles per mm<sup>2</sup>) accompanied by an intense GFAP and Iba1 immunostaining in the corpus callosum (data not shown).

At the chronic time point, there was a persistent increase in APP-positive axonal profiles (Fig. 2A–F) and increased extents of GFAP (Fig. 3) and Iba1 (Fig. 4) immunoreactivities were observed in the body and splenium of the corpus callosum in injured versus sham animals. These changes were, however, less prominent when compared with the 24-hour time point (data not shown).

Axonal swellings immunopositive for APP (Fig. 2I, I', J, J', K, K') were observed in the corpus callosum of injured animals; there were also hypertrophic astroglia (Fig. 3F, F', I, I') and activated microglia (Fig. 4F, F', I, I', L, L') in the rTBI animals. These qualitative changes were confirmed by quantitative analyses of segmented profiles showing significant increases in APP-positive profile density (5-fold), Iba1 (2-fold), and GFAP (1.85-fold) immunoreactivity levels in the corpus callosum of injured compared with sham animals (Fig. 6A–C).



FIGURE 2. Axonal injury in an hTau mouse model of chronic repeated traumatic brain injury (r-TBI). (**A**–**L**') Immunohistochemical staining for amyloid precursor protein ([APP] axonal injury) demonstrated an increase in the corpus callosum (white arrows) versus sham; this was localized within the body (**C**, **D**) and the splenium (**E**, **F**) of the corpus callosum. High-power micrographs show APP accumulation along the length of damaged axons (**I**, **I**'), and in axonal bulbs or swellings (**J**, **J**', **K**, **K**') throughout the entire length of the corpus callosum of injured animals. Increased APP staining was observed in the cell body of pyramidal neurons in layer III of the parietal cortex of injured versus sham animals (**G**, **H**). High-power micrograph (**L**, **L**') shows increase APP staining in the cell body and dendrites of injured neurons. CC, corpus callosum; HP, hippocampus; SPL, splenium.



**FIGURE 3.** Astrogliosis in an hTau mouse model of chronic repeated traumatic brain injury (r-TBI). **(A–L)**. Immunohistochemical staining for GFAP (astrogliosis) demonstrates a dramatic increase along the length of the corpus callosum of injured animals compared with shams **(A, B)**. Astroglial activation was not present in the cortex of sham or injured animals **(A, B)**. White matter regions surrounding the ventricles also showed increased GFAP staining in the injured **(C')** compared with sham animals **(C)**. There are hypertrophic astrocytes in the body **(D, E)** and splenium **(G, H)** of the corpus callosum of injured compared with sham animals. A parallel increase in astroglial marker S100ß was also observed in the cell body of astroglial cells in the corpus callosum of injured compared with sham animals (insets of **D** and **E**). Hypertrophic astrocytes demonstrated large cell body and prominent thick processes in these regions (**F** vs **F**', **I** vs **I**'). Prominent and hypertrophic perivascular astrocyte endfeet (strongly stained with GFAP) are seen along the length of small blood vessels in injured animals **(J)**. High-power micrograph of periventricular region is shown in **(K)**. GFAP staining was increased in the cerebellar molecular cell layer in injured animals **(L)**. CC, corpus callosum; VTR, ventricles; CEREB, cerebellum; Hippo, hippocampus.

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S100ß immunoreactivity (an astroglial marker) was also notably increased in the cell body of astrocytes in the corpus callosum of injured compared with sham animals (Fig. 3D, E, insets). Activated IBA1+ microglia were devoid of CD45 and MHC II immunostaining in injured animals within the corpus callosum (data not shown). An increase in cellularity was observed in the corpus callosum of injured animals compared with shams using Cresyl violet staining (Fig. 5E, F).

An increase in GFAP immunoreactivity was also observed in the white matter regions of the walls of the lateral ventricles (Fig. 3C, C', K). Notably, perivascular astrocytes in the white matter of injured animals were prominently stained for GFAP (Fig. 3J).

No changes were observed in the intensity of LFB staining (Fig. 5A, B) or myelin basic protein immunostains (data not shown) in the corpus callosum of injured compared with sham animals but there was significant thinning of the corpus callosum following stereological analyses of the volume difference between sham and injured animals. A 30% reduction was observed in the corpus callosum volume of injured compared with sham animals (Figs. 5C, D and 6D).

#### **Glial Response (Gray Matter)**

There was a paucity or GFAP and Iba1 immunostaining in the cortex of injured compared with sham animals (Figs. 3A, B and 4A–C, C', respectively). This was confirmed by quantitative analyses (Fig. 6A, B). There was a notable increase in Iba1 and GFAP (Fig. 3L) immunoreactivity in the molecular cell layer (and white matter region) of the cerebellum of injured animals. Intracellular APP immunostaining was increased in the cell body of pyramidal neurons in layers II-IV of the parietal cortex (Fig. 2G, H).

# Quantification of and Immunolocalization of Tau

#### **Gray Matter (Cerebral Cortex)**

In a substudy, analysis of brain tissue (gray matter) from mice subjected to this same injury paradigm but killed 24 hours after the last injury showed a 1.6-fold increase in total tau expression by immunoblotting in injured versus sham mice. However, IHC did not show any changes for all other tau species analyzed (data not shown).

For the chronic time point postinjury (ie, the focus of this manuscript), immunoblotting for total tau (DA9) also showed an increase in injured compared with sham animals in the gray matter (Fig. 7A); however, the chronic evaluation also revealed increases in pTau Thr231 (RZ3) and tau oligomers (TOC-1) (Fig. 7B, E, F). This was confirmed by IHC analysis for TOC-1 and RZ3 antibodies on serial sections of sham and injured animals; superficial layers of the cortex showed a mild increase in the immunoreactivity of TOC-1 and RZ3 (Fig. 8A–D).

Intriguingly, no change was observed by immunoblotting for pTau 396/404 (PHF1) or S202 (CP13) in sham and injured animals (Fig. 7C, D). This was also confirmed by IHC (Supplementary Fig. 3A–F, I–K).

Changes in conformational tau species positive for paired helical filaments and for the N terminal region of the phosphatase activating domain (PAD) were detected using the MC1 and TNT antibodies, respectively. Immunoblotting showed no changes between sham and injured animals in the gray matter for either MC1 or TNT antibodies (Fig. 7A, G, H). Likewise, IHC confirmed no augmentation in the immunoreactivity of MC1 and TNT in injured compared with sham animals (Supplementary Fig. 4A–G).

Argyrophilic-positive neurofibrillary and glial tangles were examined using the Gallyas silver stain. The cortices of both sham and injured animals were devoid of Gallyas staining (Supplementary Fig. 3G, H, L). Caspase-cleaved truncated tau fragment (Tau C3—Asp 421) showed no changes between sham and injured animals by IHC (data not shown).

#### White Matter

Changes in total tau (DA9), pTau (PHF1, CP13), PADpositive tau (TNT), and conformational tau species (TOC-1, MC1) were examined by immunoblotting or immunohistochemistry in the white matter of sham versus injured animals, but no changes were observed (Fig. 9A–F). Corresponding IHC showed no changes in the white matter region of the corpus callosum.

# Neurofilament, Synaptophysin, Transactive Response DNA-Binding Protein (TDP-43), α-Synuclein, and Amyloid

Damage to large caliber myelinated axons in the gray matter was examined using neurofilament (200kda) protein marker (46); no changes were observed in sham compared with injured animals (Supplementary Fig. 5A, B). Synaptic integrity was determined using the presynaptic vesicle marker synaptophsyin; we did not observe any injury-dependent changes in its expression (Supplementary Fig. 5A, C).

No changes were observed in TDP-43 or  $\alpha$ -synuclein levels in the gray matter of sham and injured animals (Supple mentary Fig. 5A, D, E). Brain tissue from both sham and injured animals was devoid of Congo-red staining and 4G8 immunoreactivity (data not shown).

### Vascular Response

Levels of Claudin-5 and ZO1 were below limits of detection by Western blotting using commercially available antibodies. No changes were observed in laminin or occludin levels in the gray matter between injured compared with sham animals (Supplementary Fig. 5F, G). Immunohistochemistry for laminin and collagen IV-positive vessels showed no overt damage to the vasculature and an apparently similar vascular density between both shams and injured animals in different brain regions (Supplementary Fig. 6A, A'–D, D').

No evidence of extravasation of endogenous mouse IgG staining was observed in the cortical regions beneath the impact site in injured mice (Supplementary Fig. 6E, E') and there



FIGURE 4. Microgliosis in an hTau mouse model of chronic repeated traumatic brain injury (r-TBI). (A–L') Immunohistochemical staining for Iba1 (microgliosis) demonstrated no differences in the cortex of sham and injured animals (A, B). Microglial cells in the cortex had a quiescent morphology in both sham and injured animals (C, C'). The body (D) and the splenium (E–K) of the corpus callosum showed marked immunostaining of Iba1 in injured animals (see body [C, D] and splenium [E, F and J, K] of the corpus callosum) compared with their sham counterparts. Higher-power micrographs of activated microglial cells demonstrate a prominent cell body and short thick processes in injured animals (F vs F', I vs I', L vs L'). CC, corpus callosum.



**FIGURE 5.** Luxol fast blue (LFB) and Cresyl violet staining in an hTau mouse model of chronic repeated traumatic brain injury (r-TBI). **(A–D)** LFB stain shows thinning of the corpus callosum in the injured (r-TBI) compared with sham animal; staining intensity appears similar in both sham and injured animals. **(E, F)** Cresyl violet staining shows a marked increase in cellularity within this region in injured compared with sham animals. CC, corpus callosum; Hippo, hippocampus. Panels **(C)–(F)** are higher-magnification images of the areas in the boxes in panels **(A)** and **(B)**.



**FIGURE 6.** Quantitative assessment of GFAP, Iba1, and APP immunostaining and corpus callosum volume in an hTau mouse model of chronic repeated traumatic brain injury (r-TBI). GFAP and Iba1 immunostaining are significantly upregulated in the corpus callosum of injured (r-TBI) vs. sham animals **(A, B)**. No changes in GFAP or Iba1 were observed in other regions (gray matter) analyzed **(A, B)**. **(C)** APP-positive spherical profiles in the corpus callosum were increased by ~6-fold in the corpus callosum of injured versus sham animals. **(D)** The volume of the subregion of the corpus callosum bound by bregma (-0.36 to -0.72 mm lateral) was significantly reduced by ~30% in injured versus sham animals. N = 6 (sham/injured) for **(A)–(C)** and n = 4 (sham/injured) for **(D)**; \*p < 0.05; \*\*p < 0.01; \*\*\*p < 0.001. CC, corpus callosum; P-Cortex, parietal cortex; DG, dentate gyrus.

was no evidence of microhemorrhages in the brain tissue of injured (or sham) animals examined by Prussian blue staining (Supplementary Fig. 6F, F').

#### **Brain Phospholipid Species Profile**

No changes were observed in PC and phosphatidylinositol (PI) lipid species in injured versus sham animals (Supple mentary Fig. 7A, B). However, increases in total PE and SM lipid species were observed in injured compared with sham animals (Supplementary Fig. 7C, D). There was an increase in saturated fatty acid classes of PE and SM lipid species in injured animals compared with shams (Supplementary Fig. 7C, D). The mono-unsaturated fatty acid class of SM lipid species was also observed to significantly increase in injured compared with sham animals (Supplementary Fig. 7D). Only PE lipid species showed an increase in their classes of polyunsaturated fatty acids in injured compared with sham animals (Supplementary Fig. 7C).

We also measured AA- and DHA-containing lipid species (Supplementary Fig. 8A–C). A significant increase in AA containing lipid species for PC and PE was observed in injured compared with sham animals (Supplementary Fig. 8A, C). There was no change in DHA-containing lipid species for PI (Supplementary Fig. 8B). We compared the AA:DHA ratio for PC, PE, PI lipid species. A significant increase in the AA:DHA ratio was observed for PE lipid species in injured versus sham animals (Supplementary Fig. 8D).

# Neuroendocrine and Inflammatory Cytokine Profiles in the Plasma

There was significant decrease in IL-1 $\beta$  and IL-5 inflammatory cytokines in the plasma of injured compared with sham animals (Fig. 10A, B). No significant changes were observed with the other inflammatory cytokines measured (GM-CSF, IFN- $\gamma$ , TNF, IL-10); however, a trend toward decrease in the injured group was noted in all cases (Fig. 10C– F). The changes in inflammatory cytokines correlated with an increase in the neuroendocrine marker corticosterone in the plasma of injured compared with sham animals (Fig. 10G).



**FIGURE 7.** Quantitative assessment of total tau, pTau, and conformational tau species in gray matter by Western blotting in an hTau mouse model of chronic repeated traumatic brain injury (r-TBI). **(A)** Representative immunoblots for different tau antibodies. **(B–H)** Total tau (DA9), pTau Thr231 (RZ3), and tau oligomer (TOC-1) levels are significantly greater in injured compared with sham animals **(B, E, F)**. The conformational tau antibody MC1 raised against neurofibrillary tangles was marginally increased in injured animals compared with sham animals **(G)**. No changes were observed in pTau S396/404 (PHF1), pTau S202 (CP13), and tau-positive N-terminal phosphatase-activated domain (TNT) levels between sham and injured animals **(C, D, H)**. Data are expressed as ratio of GAPDH or ß-actin levels. N = 6–8 (sham/injured); \*p < 0.05; \*\*p < 0.01; \*\*\*p < 0.001.

#### DISCUSSION

We have developed a new model with the intent to explore the chronic effects of repetitive, concussion-like mild TBI over a prolonged period in hTau mice, which express all 6 human tau isoforms. In this first characterization, we report persistent increases in total tau, tau oligomers, and pTau (Thr231) species a 2–3 months after the cessation of injury; this may represent developing tau pathology in our model. Further studies examining extended chronic time points will be valuable to confirm progressive TBI-dependent tauopathy in this model because it may have relevance to studies in CTE in humans exposed to a history of repetitive concussion/mTBI. In this first characterization we also report behavioral (ie, anxiety, cognition, sensorimotor) and neuropathological abnormalities induced by exposure to chronic repetitive mTBI, and biochemistry of central and peripheral biomarkers.

# Neuropathological Changes in Gray and White Matter After Chronic r-mTBI

The most conspicuous histopathological features were localized to the corpus callosum, consistent with observations with this same mTBI injury in our previous repetitive injury paradigm in which 5 mTBI injuries were delivered with a 48hour interval between each injury (29, 33).

These features included pronounced and persistent ongoing axonal degeneration typified by increase APP-positive profiles, axonal swellings, bulbs, and varicosities that were associated with corpus callosum thinning at chronic time points after the last injury. Previous studies that have examined the effects of APP immunoreactivity in mouse models of repetitive mTBI typically show an initial rapid increase in APP staining (47–48), which we observed at the acute 24 hour post-last injury time point in this study (a 30-fold increase vs



**FIGURE 8.** Immunohistochemical assessment of conformational tau antibody TOC1 and phosphorylated tau antibody RZ3 (pThr231) in an hTau mouse model of chronic repeated traumatic brain injury (r-TBI). **(A, B)** There is an increase in anti-TOC-1 immunostaining in the cortex of injured (r-TBI) compared with sham animals. **(C, D)** There was also an increase in pTau Thr231 (RZ3) immunostaining in pyramidal neurons in layers II-IV of the cortex of injured versus sham animals. High-power micrographs insets **B** and **D** were taken from injured animals (white boxes).

controls). However, in other animal models these effects diminish at subacute time points postinjury, with only nonexistent or minor differences seen between injury and age-matched controls (47-48). In contrast, with the present model, we observed a persistent ongoing increase in APPpositive profiles following injury compared with shams, although these effects were significantly less prominent when compared with the acute effects observed 3 to 4 months earlier. Such interlaboratory differences could be attributed to variations in experimental models and injury paradigms. For example, our experimental device uses a flat tip impactor whereas other groups mentioned typically use a rubber tip impactor that may absolve the force of injury on the site of impact, making the injury more diffuse and less severe. We have also focused on chronicity of hits in this study at a level that has not been previously examined by most groups. Because mild head injury increases the vulnerability of the brain to a second concussive impact (47), it is plausible that in our current model, by design, there is a limited temporal window of recovery, resulting in the pronounced ongoing axonal degeneration evident months after injury. Other features observed in the corpus callosum included marked astroglial and microglial activation. These degenerative white matter features observed in our model echo appearances documented in descriptions of human autopsy (49) and *in vivo* imaging (50) studies in white matter regions such as the internal capsule in TBI survivors, and at necropsy examination of brain tissue from a variety of repetitive mTBI animal models (28, 31, 33, 36, 39, 51).

In contrast to this ongoing white matter damage, there was no evidence of glial activation or ongoing axonal degeneration in gray matter, or of neuronal cell loss or structural damage to neuronal integrity in injured animals. However, immunoblots on material from gray matter did reveal a persistent increase (by 2-fold) in total tau levels and this was accompanied by a significant increase in pTau Thr231 (RZ3positive), tau oligomers (TOC-1-positive [52]), and a trend toward increase in tau conformer species (MC1-positive). In support of these observations, patchy immunoreactivity to these significantly altered tau species (RZ3, TOC-1) was shown in the superficial cortical layers of r-mTBI exposed animals. PHF1 (Ser394/404) and CP13 (Ser202) levels remained unchanged with injury. There was no evidence of the distinct perivascular accumulation of pTau immunoreactive neurons or glia, typical of human CTE; neither was there evidence of neuropil threads. At this stage, we do not consider this model to represent a preclinical model of CTE but our findings ap-



**FIGURE 9.** Quantitative assessment of total tau, pTau, conformational tau species,  $\alpha$ -synuclein and neurofilament 200kda (NFL) in the white matter by Western blotting in an hTau mouse model of chronic repeated traumatic brain injury (r-TBI). **(A–H)** Representative immunoblots for different protein markers are shown in **(A)**. No changes were observed in total tau (DA9), pTau S202 (CP13), and tau-positive N-terminal phosphatase-activated domain (TNT) levels between sham and injured animals **(B–D, F)**. A marginally significant increase was observed in tau oligomer (TOC-1) levels in injured compared with sham animals **(E)**. No changes were observed in  $\alpha$ -synuclein or neurofilament 200kda (NFL) levels between sham and injured animals **(G, H)**. Data are expressed as ratio of  $\beta$ -actin or total protein levels. N = 6–8 (injured/sham).



**FIGURE 10.** Inflammatory cytokine profile and corticosterone levels in the plasma in an hTau mouse model of chronic repeated traumatic brain injury (r-TBI). **(A, B)** There was a significant decrease in IL-1ß and IL-5 observed in the plasma of injured versus sham animals. **(C–F)** There was a trend toward decrease in GM-CSF, IFN- $\gamma$ , TNF, and IL-10 in injured versus sham animals but these did not reach statistical significance. N = 10–12 (sham/injured). **(G)** There was a significant increase in corticosterone in the plasma of injured versus sham animals. N = 6–8 (sham/injured); \*p < 0.05; \*\*p < 0.01.

pear to be among the first to show significant changes in tau oligomer levels at a chronic time point after repetitive mTBI in a mouse model. Small, misfolded tau species or tau oligomers are thought to be the toxic pathogenic species of tau that are resistant to ubiquitination (53–57). They have been demonstrated both *in vitro* and *in vivo* to spread in a retrograde and anterograde manner from one neuron to another, within connected anatomical pathways (58). Whether these tau oligomeric species will inevitably form into  $\beta$ -pleated sheet conformation or fibrillary material is unknown. The specific changes in phospho-tau epitope pThr231 levels in our model may also implicate the role of *cis-trans* conformational pTau changes after TBI *in vivo. Cis-trans* conformational pTau has been demonstrated to play an important role early in mild cognitive impairment; and they further accumulate in neurofibril-

lary degenerated neurons in Alzheimer disease and TBI (59, 60). We were not able to analyze these different conformational states in our studies due to the lack of commercially available antibodies that can distinguish between *cis* from *trans* pThr231 pro-conformation in tau; therefore, a definitive role of *cis* versus *trans* tau forms cannot be made in our study. Further exploration in our model using these antibodies as well as others specific to different tau species at longer time points postinjury may be informative in this regard; this would confirm whether the persistent tau changes that we observe are on a continuum toward progressive TBI-dependent neurofibrillary tau pathology.

The TBI-dependent changes in tau reported herein contrast with our findings in our previous injury paradigm (5 mTBI with a 48-hour interval) in either C57BL/6 or hTau young mice (8-12 weeks old). In those studies we did not observe any persistent changes in tau species following analyses of brain tissue by ELISA and immunohistochemistry, from 24 hours to 12 months postinjury in either wild type or hTau mice ([33], Mouzon B, Bachmeier C, Ferro A, Crynen G, Acker CM, Davies P, Ojo JO, Mullan M, Stewart W, Crawford F. 2016, personal communication). Other groups have also published data reporting absence of tau-dependent changes following repeated injuries at acute to chronic time points (30, 31, 38). Our findings suggest that factors such as chronicity of impacts, age at exposure, and frequency of injuries may play a role in precipitating tau pathologies at chronic time points after mTBI. This observation is supported by data from Petraglia et al using a model of repeated mTBI in wild-type mice, said to recapitulate "subconcussive" injuries; the model involved 6 mTBIs per day (2-hour intervals) for 7 days totaling 42 hits (36). Following this paradigm, the authors reported an increase in murine pTau levels by IHC at 7 days, 1 month, and 6 months postinjury. Intriguingly other models of chronic TBI exposure in younger mice involving fewer total injuries (ranging from 2 to 5 mTBI) (28, 32, 34, 37), and also in a single mouse model of blast-related traumatic injury (61, 62) have reported significant changes in pTau levels immediately postinjury. However, although these studies have reported acute alterations in tau, data on tau with chronic survival are not available from these models.

Thus far, the majority of studies exploring effects of TBI on tau pathology has been conducted in young, wild-type mice, which have a strong bias for the 4R murine tau isoform. Some investigators have suggested that this isoform has a low propensity to form toxic tau aggregates in vivo. Our use of hTau transgenic mice may shed some light on the factors that influence propagation of (human) tau pathology after injury. hTau mice typically develop aggregated, hyperphosphorylated tau by 9 months of age, in a spatial temporally relevant distribution localized to regions such as the entorhinal cortex, subiculum and hippocampus, and by 15 months of age develop thioflavin-S-positive neurofibrillary tangles, loss of neurons and apoptotic damage (41). In our previous work on aged hTau mice (>18 months) exposed to 5 repetitive hits, we demonstrated augmentation of pTau pathology in superficial layers of the cortex and also in the hippocampus by immunohistochemistry (39). Of note, these effects appeared to be more pronounced than those in this study using younger mice (<13 weeks at first injury), perhaps suggesting the importance of an underlying tau pathology at the time of injury as a necessary trigger for precipitating persistent TBI-dependent tauopathy.

In addition to tau, we also investigated other signature proteins related to neurodegeneration in our model. We found no evidence of amyloid pathology in repetitive mTBI injured animals, as determined by histopathology. To date, although the role of amyloid in CTE remains unknown, retrospective case studies have shown that a majority of CTE patients have amyloid plaques at autopsy (13, 25). We also did not observe any changes in the levels of TDP43 after injury. TDP43 has been identified as the major disease protein in frontal temporal lobar degeneration with ubiquitinated inclusions, and in amyotrophic lateral sclerosis. TDP43-immunoreactive intraneuronal and intraglial inclusions are commonly described in CTE and are present in a majority of cases studied (13, 63–65). To our knowledge, this is among one of the first studies to asses TDP-43 levels at a chronic time point in a rodent repetitive mTBI model. Although our findings did not show any correlation between repetitive mTBI and TDP43, we are cautious when interpreting our findings regarding the role of TDP43 in r-mTBI, given that there might be differences between murine versus human TDP43. More studies need to be conducted to evaluate the role of this protein following TBI.

# Lipid Dysfunction After Chronic r-mTBI

Lipids are known to play an important role in neurological disorders and CNS injury, and have been implicated in TBI (66). We previously demonstrated TBI-dependent brain lipid changes in a controlled cortical injury model using our lipidomic platform (45), and thus sought to evaluate changes in different lipid species in this new model. Our analyses revealed an increase in total PE and SM lipid species in the cortex of our chronic repetitive mTBI exposed animals, accompanied by increases in saturated, mono or polyunsaturated fatty acid classes of PE and SM lipid species. The reason for these lipid changes and their role in late TBI pathologies is not clear at this time, but we hypothesize that they may reflect (I) dysregulation in brain lipid metabolism, (II) failure of abluminal lipid transporters, or (III) increased luminal transport from the periphery as free fatty acids or in esterified forms bound to lipoproteins. These high lipid levels after TBI could predispose to oxidative damage (ie, lipid peroxidation), especially under inflammatory conditions. We additionally observed an increase in the ratio of AA (20:4) to DHA (22:6) containing polyunsaturated lipid species in injured animals. AA is metabolized to proinflammatory and vasoactive eicosanoids (such as prostaglandins, leukotrienes, and thromboxane) by lipoxygenase and/or cyclooxygenase pathways (66). DHA, the most abundant polyunsaturated fatty acid, is metabolized by phospholipase A2 enzymes to produce metabolites resolvins and protectins, such as neuroprotectin D1 (67). These metabolites serve as endogenous neuroprotectants that inhibit apoptosis, oxidative stress, and proinflammatory processes (67-70). The consequences of this increase in AA to DHA ratio could, therefore, indicate an increase in proinflammatory versus anti-inflammatory environment at this late time point following exposure to chronic repetitive mTBI.

### **CBF Outcomes After Chronic r-mTBI**

We observed a reduction in CBF in the injured mice that was localized primarily to the regions beneath the impact site around the occipital (/parietal) lobes. Intriguingly, in a study utilizing brain perfusion single photon emission computed tomography (SPECT) imaging in a cohort of >100 active and former American football players, the authors reported a decrease in brain perfusion, with a preponderance for the prefrontal lobe, temporal lobe, occipital lobe, and cingulate gyrus and hippocampus regions in their cohort (71). Although we cannot draw direct comparisons between our mouse CBF and human SPECT data, the similarities in the direction of change in brain perfusion highlight vascular dysfunction as another sequelae of TBI. The reason behind this is unknown, given that we did not observe any focal injury or gross pathological changes in this region. Cerebral glucose metabolism has been linked to reductions in blood flow after TBI and this could possibly be altered in our model (72). Of note, although we did not observe any impairment in blood-brain barrier (BBB) integrity in control or injured animals, we did observe astroglial activation in perivascular regions in injured animals. Though observations in human, autopsy-derived material from patients surviving single moderate to severe TBI demonstrate widespread BBB disruption in a high proportion of both acute and late (>1-year survival) survivors (73), data on vascular pathology in CTE, in particular BBB integrity, are lacking.

## Neurobehavioral, Neuroendocrine, and Neuroimmune Outcomes After Chronic r-mTBI

We conducted behavioral analyses of hTau mice at 9 months of age. hTau mice typically do not show any significant impairment in spatial learning and memory until 12 months of age, although 6-month-old mice have been noted by group to show abnormal spatial learning compared with control mice (74, 75). Locomotor function, anxiety levels, and gross motor functions are typically not different from those in age-matched controls (74). Our observations were conducted prior to the appearance of age-related neurobehavioral dysfunction to eliminate underlying confounding phenotypes. Behavioral evaluations report a pattern toward disinhibitorylike behavior and deficits in cognitive performance. The effects were more pronounced compared with our previously reported mTBI paradigms in young mice, which employed exactly the same level and nature of injury but investigated the effects of either a single or a different repetitive injury (5 hits over a 9-day period) (29, 33, 39). Behavioral outcomes in our model could be attributed to underlying neuropathological correlates involving white matter degeneration, and accumulation of abnormal tau in neurons within the gray matter. Both sites of localized pathologies are relevant given their involvement in controlling disinhibitory behavior and cognitive function. Comparable behavioral outcome measures have also been reported in another repetitive mTBI model involving multiple "subconcussive" impacts; the authors observed cognitive deficits, increased risk taking, depression-like behavior, and sleep disturbances at 1 month postexposure (36). However, this model differed from ours in that it was a much more concentrated paradigm with all the impacts occurring within a 1-week period. Human patients exposed to a history of mTBI in contact sports demonstrate a spectrum of neurobehavioral symptoms including postconcussive syndrome and CTE, which involve alterations in mood, neuropsychiatric behavior, and cognition (72, 76-82).

Correlating with the neurobehavioral deficits seen in our model, we also observed an increase in the glucocorticoid steroid hormone corticosterone. Abnormalities in neuroendocrine function and circadian rhythm have been shown after TBI of different severities (83–89). Upregulation in cortisol levels may result in an increased negative feedback to the brain via the HPA axis. This can result in the dysregulation of sympathetic and autonomic nervous system functions implicated in the regulation of the fight-or-flight response (90). Neuroinflammatory cytokine levels in the periphery were also reduced in our model. Glucocorticoids, such as corticosterone, are known to influence a variety of immune-related functions (91), and might dampen down immune-inflammatory cytokine signaling, as seen in our model. What specific effects downregulation in systemic cytokine profiles may have on the injured brain are unknown and warrant further studies in the context of TBI. Our lipidomic studies did implicate a possible increase in baseline proinflammatory response in the cortex based on the modest increase in AA-containing lipid species we found. A closer assessment of microglial cell markers (Iba1, CD45, and MHC II), however, showed a paucity of staining in the cortex in sham and injured animals. These findings are intriguing and may be a unique feature of this animal model. Very few studies exploring systemic inflammation and even brain neuroglial responses after repeated mTBI in humans have been conducted to date; it is therefore very difficult to draw a direct comparison between our model and what happens in humans.

#### Conclusion

In this study, we explored the effects of chronic repetitive mTBI in a mouse model with a human tau genetic background, with an injury paradigm designed to mimic the effects of repeated mTBI injuries sustained over a prolonged timeframe. Our characterization encompasses the chronic histopathological, biochemical, and neurobehavioral effects of these injuries after many months survival. In this first characterization of our chronic TBI experiments, we confirm a 2-fold increase in total tau levels and mild increases in tau oligomers/conformers and pre-tangle pTau (Thr231) species in the gray matter up to 3 months after cessation of injury, which we anticipate may be on a continuum of progressive TBI-dependent tauopathy. Our data also confirm impairment in CBF (hypoperfusion), and a prominent feature of white matter damage typified by gliosis, axonal injury, and corpus callosum thinning. These changes were also accompanied by increases in lipid species of different fatty acid classes and their secondary messengers. Subtle neurobehavioral deficits typified by disinhibition and deficits in cognitive performance were also observed. Together these data support the relevance of this concussive injury model in studying the consequences of repetitive mTBI in humans, and its usefulness in testing potential neuroprotective therapeutic strategies for chronic repeated mTBI.

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