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Real-time Automated Sampling of Electronic Medical Records Predicts Hospital Mortality

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Abstract

Background—Real-time automated continuous sampling of electronic medical record data may expeditiously identify patients at risk for death and enable prompt life-saving interventions. We hypothesized that a real-time electronic medical record-based alert could identify hospitalized patients at risk for mortality.

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Conflict of Interests:

The authors have no conflicts of interest to disclose.

Methods—An automated alert was developed and implemented to continuously sample electronic medical record data and trigger when at least two of four systemic inflammatory response syndrome criteria plus at least one of 14 acute organ dysfunction parameters was detected. The SIRS/OD alert was applied real-time to 312,214 patients in 24 hospitals and analyzed in two phases: training and validation datasets.

 Results—In the training phase, 29,317 (18.8%) triggered the alert and 5.2% of such patients died whereas only 0.2% without the alert died (unadjusted odds ratio 30.1; 95% confidence interval [95%CI] 26.1, 34.5; P<0.0001). In the validation phase, the sensitivity, specificity, area under curve (AUC), positive and negative likelihood ratios for predicting mortality were 0.86, 0.82, 0.84, 4.9, and 0.16, respectively. Multivariate Cox-proportional hazard regression model revealed greater hospital mortality when the alert was triggered (adjusted Hazards Ratio 4.0; 95%CI 3.3, 4.9; P<0.0001). Triggering the alert was associated with additional hospitalization days (+3.0 days) and ventilator days (+1.6 days; P<0.0001).

Conclusion—An automated alert system that continuously samples electronic medical recorddata can be implemented, has excellent test characteristics, and can assist in the real-time identification of hospitalized patients at risk for death.

Keywords

sepsis; critical illness; electronic health records; forecasting; mortality

INTRODUCTION

Sepsis is a major cause of mortality in hospitalized patients and requires prompt identification and treatment¹. Prompt intervention is crucial considering that studies have shown that mortality from septic shock is increased by 7.6% for every hour of delayed treatment initiation following the onset of hypotension 2 . Conventionally, providers perform risk evaluations at the bedside and make interventions based on their subjective understanding which then informs multiple subsequent aspects of clinical decisionmaking^{1,3}. A variety of risk assessment tools are currently in use to detect mortality in hospitalized patients ⁴⁻⁹. Continuous monitoring for early warning scores (EWS) and other acuity scores such as modified-EWS and Rothman index are utilized to identify adverse trends and physiological deterioration¹⁰. Health systems also utilize risk-adjustment models, but mostly retrospectively for quality of care assessments¹¹. Acute Physiology and Chronic Health Evaluation (APACHE) scores are widely used to identify individual risk after the first 24 hours of admission to the intensive care unit (ICU) but are limited in their application to critical care patients and dependent on information from the first 24 hours only $12,13$. Alternatively, diagnosis-specific triage has been adopted for early identification and treatment for high-risk conditions such as sepsis or delirium^{14,15}.

Despite such available tools, there have not been any reports of tools applied real-time that continuously sample physiological and laboratory information from electronic medical records and synthesize a composite alerting signal that alerts the clinician at the bed-side of possible clinical deterioration. In the era of big-data and predictive analytics, however, the performance of real-time automated continuous sampling and analysis of electronic medical

record data may allow early identification of patients at risk for sepsis and death and provide opportunity for expeditious interventions aimed at reducing sepsis-related mortality. A recent retrospective analysis that involved development of a new prediction score (TREWscore) analyzed historical physiological and laboratory data collected in the ICU and demonstrated the ability to better predict severe sepsis than EWS¹⁶. Despite such available tools, to our knowledge, there is an implementation gap in that there are no automated tools that can continuously sample and screen data derived from electronic medical record systems of hospitalized patients and warn providers of impending mortality.

We wish to report the successful implementation of a real-time automated continuous sampling and analysis of electronic medical record data over 24 hospital facilities that allowed early identification of patients with high risk for hospital mortality. We developed this real-time alert to detect the presence of both systemic inflammatory response syndrome and acute organ dysfunction with the rationale that the need for ≥ 2 systemic inflammatory response syndrome criteria alone excludes one in eight otherwise similar patients with substantial mortality¹⁷. We hypothesized that a real-time electronic medical record-based alert that automatically and continuously samples electronic medical record data and utilizes systemic inflammatory response syndrome and acute organ dysfunction derived criteria could enhance the identification of hospitalized patients at high risk for mortality. Such an alert could facilitate real-time risk stratification and appropriate resource allocation strategies and aggressive management aimed at reducing mortality.

METHODS

The SIRS/OD alert logic was developed at Banner Health using Cerner Discern Expert[®] (Cerner Corporation, North Kansas City, MO). The SIRS/OD alert logic would trigger an alert in the electronic medical record whenever the nurse or providing physician accessed the patient's chart (figures 1 and 2). This study is a retrospective assessment of the data that was collected and was approved by Banner Health Institutional Review Board, including a waiver for informed consent (IRB # 05-14-0014). The data from 312,214 consecutive hospitalized patients from 24 hospitals that were subjected to the SIRS/OD alert logic from April 29, 2011 until June 30, 2013 was analyzed. We divided the data into two equal halves – a training and validation data-set – of 156,107 patients each.

The SIRS/OD alert logic and system are outlined in figures 1 and figure 2, respectively. More detailed information on the SIRS/OD logic is provided in the online supplement. This screening system was based upon the identification of three events, two independent and one correlating, from data entered into the electronic medical record. The two independent elements are: the "systemic inflammatory response syndrome event"—detection of two traditional systemic inflammatory response syndrome criteria occurring within 6 hours of each other (with the exception of those WBC-related values for which 30 hour timeframe was permitted), and the "acute organ dysfunction event" which involved detection of any acute organ dysfunction as defined by strict criteria (figure 1; panel B). The final event ("correlating") is an evaluation for the temporal association of the two prior elements, requiring that systemic inflammatory response syndrome and acute organ dysfunction events occur within 8 hours of each other. If all of these conditions were met, then the SIRS/OD

alert was triggered (figure 1A and 1B). We undertook steps to mitigate the occurrence of false alert firings described in the supplement.

Alert may fire in patients while in the emergency department or those admitted to the hospital inpatient or ICU setting. Once the alert was triggered, providers were expected to respond to confirm or refute the presence of severe sepsis. If the providers confirmed, or failed to respond to the alert, the alert would not trigger again during that hospital stay. If the providers refuted the presence of severe sepsis, the alert could trigger after 48 hours latency period if the trigger criteria were met again. We evaluated in-hospital mortality, length of stay (LOS), and ventilator days from the Cerner data-warehouse and hospital discharge summary. We used the defined billing International Classification of Diseases, Ninth Revision (ICD-9) diagnoses to identify patients who met the "Angus implementation" sepsis criteria which requires both ICD9 codes for severe sepsis or septic shock and the presence of organ dysfunction that was determined by combining various comorbid conditions by extracting up to 13 ICD9 codes from the Cerner data-warehouse including the principal and secondary diagnosis using Clinical Classification Software (CCS2014; AHRQ 2014) compatible with STATA18,19. Severe sepsis was derived from Cerner data-warehouse and registered as present if there was the presence of sepsis-induced tissue hypoperfusion or organ dysfunction that are described in the online supplement.

Statistical analysis

Continuous variables were reported as means and standard deviations and categorical variables as percentages. Categorical variables were compared using Chi-square testing and continuous variables using t-tests or nonparametric equivalents as appropriate. Standard formulas were used to calculate the test characteristics such as sensitivity, specificity, positive and negative likelihood ratios. To describe the frequency of outcomes for the patients who triggered the SIRS/OD alert or were devoid of such triggers, we constructed Kaplan-Meier cumulative-event curves for all-cause hospital mortality. Data were censored at the time of hospital discharge. The log-rank test was used to compare differences among the two groups. Multivariate Cox proportional hazard regression model was used to determine whether SIRS/OD alert increased the risk of all-cause hospital mortality. Hazard ratios (HR) and 95% confidence intervals (CI) were calculated for the association between end points and baseline characteristics. Additionally, from the list of predictors and potential confounders, simple logistic-regression analysis was performed to identify significant determinants of in-hospital mortality. Subsequently, the significant determining variables were entered into a multi-variate forward stepwise logistic regression model with in-hospital mortality as the dependent variable. Area under the curve for the models and Hosmer-Lemeshow statistic for goodness of fit were calculated and reported. For outcomes that were continuous variables such as length of hospital stay or ventilator days, generalized linear models were built with the time variable as the dependent variable and determining factors and covariates. A two-tailed $p < 0.05$ was considered significant. Statistical analyses were conducted using SPSS v23 (IBM Corporation; Armonk, NY) and STATA14·0 (College Station, TX).

RESULTS

Patient characteristics for those who triggered or did not trigger a SIRS/OD alert are provided in table 1. In general, patients who triggered the SIRS/OD alert were older, more likely to be male, have cancer, undergone coronary artery bypass grafting, suffered trauma, or be labelled with sepsis by Angus criteria. Patients who triggered the alert during their hospital stay were also more likely to suffer from chronic medical conditions (table 1). Crude hospital mortality, length of hospital stay, and number of ventilator days was greater in the group who triggered the SIRS/OD alert during their hospital stay than those who did not trigger the alert (table 1).

In the training phase, 9,361 alerts (31·9% of all alerts) triggered when the patient was in an emergency department. Similarly, in the validation phase, 10,357 alerts (35·7%) triggered when the patients was in the emergency department. Of the total alerts, 80·2% were first noted within 48 hours of admission. In the training phase, the time interval between admission and alert was a median of 21·5 hours (interquartile range [IQR] 5·2, 51·3 hours). Similarly, in the validation phase, the time interval between admission and alert was a median of 19·5 hours (IQR 4·5, 50·3 hours).

Mortality

In the both the training and validation phase, in-hospital mortality was much greater in the patients with the SIRS/OD alert than those without the alert (table 1). The Kaplan-Meier analysis shows that patients with SIRS/OD alert during their hospital stay had increased mortality when compared with the group without the alert (Figure 3).

Table 2 shows univariate and multivariate stepwise hierarchical logistic regression of inhospital mortality for both the training and validation datasets. Compared to the group that did not trigger the SIRS/OD alert, the group that triggered the alert manifested higher mortality after adjusting for the significant confounders (table 2). The strength of association between SIRS/OD alert and in-hospital mortality was similar in both the training and validation datasets (table 2). These results were similar to the Cox-adjusted multivariate model, which showed a higher in-hospital mortality in patients who triggered the SIRS/OD alert versus those who did not trigger such an alert (table 3). The probability of survival was lower for patients with SIRS/OD alert trigger during their hospital stay than for patients without such an alert (P<0.0001 by the log-rank test; figure 3).

Predicting mortality and severe sepsis

In both the training and validation phases, the test characteristics for predicting mortality and severe sepsis were excellent (table 4). Considering a pre-test odds for mortality of 0·012 for the validation cohort, and a negative likelihood ratio of 0·16 for the SIRS/OD in predicting death, the post-test probability was 0·002. This could be interpreted to indicate that only 0·2% of hospitalized patients who do not trigger the SIRS/OD logic will die during that hospitalization. Similarly, the post-test probability for predicting severe sepsis when the SRS/OD alert was not triggered was near 0.

Sensitivity analysis

Sensitivity analysis was undertaken to determine the presence of a time effect by considering the time lapsed from the start date of implementation of the SIRS/OD alert as a variable. Such analysis did not materially change the strength of the association between SIRS/OD alert and inhospital mortality (adjusted HR 4·0, 95%CI 3·3, 4·9; P<0·0001). Interestingly, we noticed a reduction in mortality with time in years (adjusted HR 0·88, 95%CI 0·81, 0·97; P=0·008). To additionally account for such time-based effects, we selected patients randomly over the study period rather than the sequential initial training phase followed by the validation phase. Such sensitivity analysis did not materially change the strength of the association between the SIRS/OD alert and mortality. Moreover, when analysis was restricted to the 37,301 patients (n=18,658 in validation phase) in whom the APACHE variable was available, there was again no material change in the results.

Duration of hospital stay and mechanical ventilation

In generalized linear models, in the training phase, after adjusting for various confounders, the triggering of the SIRS/OD alert was associated with longer duration of hospital stay and greater ventilator days when compared to those who did not trigger the alert (table 5). Similarly, in the validation phase, the triggering of the SIRS/OD alert was associated with longer duration of hospital and ventilator days than that of patients who did not trigger the alert (table 5).

Discussion

We have reported the successful implementation of predictive analytics involving a real-time SIRS/OD alert across a large 24 hospital healthcare system that automatically and continuously sampled electronic medical record data, analyzed, and alerted providers of hospitalized patients identified to be at increased risk for death. This SIRS/OD alert predicted in-hospital mortality and severe sepsis when triggered with excellent test characteristics. Moreover, the SIRS/OD alert identified, in real-time, a sub-population of patients at high risk for greater length of hospital stay and duration of mechanical ventilation.

Although the SIRS/OD alert uses many variables similar to other acuity alerts like APACHE score, it is meant to alert the provider real-time and is not meant to replace these indicators. Also, the SIRS/OD alert can be reliably applied across all hospital settings such as outside the ICU and therefore differs from critical care-specific outcome and predictive algorithms, such as APACH E^{13} . Previously, Lagu and colleagues have demonstrated that administrative claims data has discriminant characteristics similar to other conventional models (such as APACHE-II) to predict mortality with AUC of 0·69 and can be used in patients outside the ICU²⁰. Others have shown that Simplified Acute Physiology Score II (SAPS II) and the 24hour Mortality Probability Model II (MPM II) are able to predict mortality with AUC of 0.79^{21} . Our work builds upon such work in making such data available real-time to clinicians rather than retrospectively, and we believe that our iterative continuous sampling by the SIRS/OD logic in the electronic medical record led to a higher AUC for predicting inhospital mortality and severe sepsis. To our knowledge, our study is the first to report real-

world implementation of a real-time alert with such test characteristics. Although this is a retrospective report of a quality improvement initiative that was implemented in 2011, we believe that our report is unique and adds to the growing literature on universal risk prediction in hospitalized patients²².

A significant majority of the hospital mortality (87%) was observed in the population on whom the alert triggered. Moreover, the majority of alerts were triggered early (< 48 hours) in the hospital course of those patients, who subsequently died, with an average of 5·3 days from the time the alert triggered to death. It follows that the SIRS/OD alert could possibly provide a time window for therapeutic intervention. Moreover, the post-test probability for death was 0·002 which could be interpreted to indicate that only 0·2% of hospitalized patients who do not trigger the SIRS/OD logic will die during that hospitalization. The test characteristics of the SIRS/OD alert for predicting severe sepsis in the validation data-set were excellent and performed better than previous reports ^{16,20}. Such prediction capabilities and automation make this an effective early warning tool.

There are limitations to our study. We recognize that the Hosmer-Lemeshow goodness of fit (GOF) test was significant implying that the model may not fit the data well, but this test is known to fail with datasets of 50,000 patients or greater 23 . Also, the AUC for the receiver operating characteristics was excellent (> 0.85), the R² was reasonable, and both the training and validation data-sets yielded similar results suggesting that the SIRS/OD alert is a good predictor²³.

Risk prediction and stratification tools have demonstrated utility in improving clinical, quality, and financial metrics among various populations, including outcomes related to disease progression, treatment response, ICU transfer, LOS, and survival²⁴⁻²⁸. We recognize that this study does not demonstrate that the implementation of the electronic medical record-based safety alert led to reduction in mortality for lack of a parallel control group. Moreover, only 1 in 4 patients on whom the SIRS/OD alert triggered were reportedly septic by Angus implementation criteria. This could mean that the SIRD/OD criteria were much more sensitive and/or that the clinical diagnosis of sepsis and severe sepsis were underreported. Such greater sensitivity would however be preferable considering that recently, conventional definitions for sepsis such as the need for ≥ 2 systemic inflammatory response syndrome criteria alone fails to detect one in eight otherwise similar patients with substantial mortality17. It follows that such a sensitive real-time SIRS/OD alert identified the patients at greater risk for hospital mortality which is the primary intent of our predictive analytics. Nevertheless, another possible explanation for the discrepancy between SIRS/OD alert and Angus implementation criteria could be that we did not factor the effect of therapeutic interventions at each sampling data point in the electronic medical records. Conceivably, such interventions could have aborted the development of sepsis that met "Angus implementation" definition and led to a systematic over-estimation of sepsis by the SIRS/OD alert. Moreover, adjustment for covariates reduced the strength of association between the SIRS/OD trigger and mortality. Our study was not designed to compare our alert to the Angus criteria for sepsis, but, rather to serve as an early warning for death. We believe that because many hospitals are currently using systemic inflammatory response syndrome plus acute organ dysfunction plus clinical judgment for infections in their attempts

at early sepsis identification, using our criteria and algorithm provides evidence for effective implementation of an automated and continuous surveillance that goes beyond diseasespecific diagnosis to a broader risk category for all inpatients at risk for death. Another limitation of our study was that this was a retrospective study of a quality improvement initiative meant to assist clinicians detect at-risk populations. However, although this report was analyzed retrospectively for the validity of the SIRS/OD alert, it should be noted that the SIRS/OD alert was processed real-time in an automated manner and implemented in a "realworld" setting in consecutive patients and was actionable with regards to response by clinicians. Conceivably, there may be greater value for the crude unadjusted test characteristics of the SIRS/OD alert because the ICU providers respond to the alerts rather than the adjusted alerts²⁹.

Conclusions

Our findings support the feasibility of successful implementation of a real-time automated electronic medical record-based alert system that uses systemic inflammatory response syndrome and organ dysfunction criteria to identify patients at high risk for hospital mortality, greater ventilator days, and longer duration of hospitalization. Outcomes from this alert using our algorithms were stable and replicable over time, generalizable across populations, and potentially actionable in terms of clearly identifying a majority of the highrisk patients within 48 hours of admission. Our findings underscore the feasibility and predictive potential for leveraging large, standardized electronic medical record-based data to provide real-time monitoring of adverse trends in hospitalized patients.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Clinical significance

- **•** An alert based upon "real-time" electronic medical record data can identify hospitalized patients at risk for death.
- **•** Patients who trigger the alert had four times the chance of dying at the next hospital day when compared to patients who did not trigger the alert.
- **•** Such predictive analytics was implemented in a "real-world" setting involving 24 hospitals and enabled early and targeted medical intervention.
- **•** Triggering the alert was associated with additional hospitalization days and ventilator days.

Figure 0001

Figure 0002

Figure 1.

Organ Dysfunction and systemic inflammatory response syndrome Decision Flowcharts (figure 1; panel A) and systemic inflammatory response syndrome criteria (figure 1; panel B) that were the basis of the Cerner-based SIRS/OD logic. This logic ran real-time in the Cerner data-warehouse and alerted the providers as shown in figure 2. LA= serum lactic acid levels; MAP = mean arterial pressure; SBP = systolic blood pressure; Bili = serum bilirubin levels; Scr = serum creatinine level; PltCt = blood platelet count; aPTT = activated partial thromboplastin time; $INR = International Normalized Ratio$; O2 sat = oxygen saturation by pulseoximetry; CAM-ICU = Confusion Assessment Method in Intensive Care Unit patients; U/O = urine output charter in electronic medical record.

Figure 2.

Schematic diagram of how the real-time electronic medical record based alert would activate and inform the providers of severe sepsis and increased risk for death in their patients.

Kaplan-Meier Graph by EMR-based Automated Alert

Figure 3.

Kaplan-Meier curves across the electronic medical records-based real-time alert during the hospital stay. The systemic inflammatory response syndrome and organ dysfunction based electronic medical record alert (SIRS/OD alert) triggered in hospitalized patients (green) or did not trigger (blue). The probability of survival was lower for patients with SIRS/OD alert trigger during their hospital stay than for patients without such an alert (P<0·0001 by the log-rank test).

Patient characteristics and outcomes in the training and validation datasets

CABG=coronary artery bypass grafting; COPD= chronic obstructive pulmonary disease; CKD=chronic kidney disease

* different within phases

† different across phases when comparison is made by ALERT status

Univariate and multivariate regression of Mortality predicted by the SIRS/OD alert

SIRS/OD alert = alert logic using systemic inflammatory response syndrome and organ dysfunction EMR-based real-time alert logic

95%CI= 95% confidence interval

§ Adjusted for age, sex, cancer diagnosis, CABG, trauma, cardiac disease, chronic kidney disease, cerebrovascular disease, and chronic obstructive pulmonary disease.

‡ Adjusted for age, sex, cancer diagnosis, CABG, trauma, cardiac disease, chronic kidney disease, cerebrovascular disease, and chronic obstructive pulmonary disease, and APACHE score.

* P<0·05

** P<0·01

*** P<0·0001

Cox proportional hazards model of mortality predictors

HR=hazard ratio; 95%CI=95% confidence interval; SIRS/OD alert = alert logic using systemic inflammatory response syndrome and organ dysfunction EMR-based real-time alert logic; COPD= Chronic obstructive pulmonary disease; CVD=Cardiovascular disease; CVA=Cerebrovascular disease

Test characteristics of SIRS/OD alert to predict severe sepsis and mortality

LR+ = positive likelihood ratio of the SIRS/OD alert as a test; LR− = negative likelihood ratio of the SIRS/OD alert as a test.

Generalized Linear Models for assessing association between alert and hospital length of stay and ventilator days \int

B= coefficient; 95%CI= 95% confidence interval

* P<0.05;

** P<0·01;

SIRS/OD alert = alert logic using systemic inflammatory response syndrome and organ dysfunction EMR-based real-time alert logic

§ Adjusted for age, sex, cancer diagnosis, CABG, APACHE score, trauma, cardiac disease, chronic kidney disease, cerebrovascular disease, and chronic obstructive pulmonary disease.

*** P<0·0001