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## Pilot Evaluation of the CFTR Potentiator Ivacaftor for the Treatment of Chronic Bronchitis

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Chronic obstructive pulmonary disease (COPD) is a complex medical condition that is increasing in incidence and will be the third leading cause of death worldwide by 2030. Like cystic fibrosis (CF), one feature of COPD is small airway mucus obstruction that is associated with accelerated loss of lung function and mortality.<sup>1</sup> Though mucus obstruction is present in the majority of patients with COPD, including those with an emphysema-dominant phenotype, it is most clinically apparent in those with chronic bronchitis.<sup>2</sup>

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### Declaration of Interests:

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### Author Contributions:

GMS, MTD, and SMR contributed to design of the study. GMS, HH, BL, SVR, GR, EPA, MTD, and SMR contributed to study execution. GMS, BL, EPA, MTD, and SMR conducted data analysis. GMS, MTD, and SMR prepared the manuscript. MTD and SMR supervised the project. All authors approved the final manuscript prior to submission.

Cigarette smoking exerts a number of deleterious effects on airway epithelial function, including reduced cystic fibrosis transmembrane conductance regulator (CFTR) activity, enhanced mucus production, and a pronounced impairment of mucociliary clearance, resulting in a phenotype characteristic of acquired CFTR dysfunction.<sup>3</sup> Emerging data indicate that patients with COPD without congenital CFTR mutations have reduced CFTR activity, as detected in the upper airways<sup>3</sup>, lower airways,<sup>4</sup> sweat glands,<sup>5, 6</sup> and intestine.<sup>5</sup> Furthermore, CFTR dysfunction is independently associated with chronic bronchitis and dyspnea,<sup>3-6</sup> and can persist despite smoking cessation.<sup>5, 7</sup>

The CFTR potentiator, ivacaftor, is approved for the treatment of CF patients with specific CFTR mutations.<sup>8-10</sup> In addition to some mutant forms of CFTR, ivacaftor augments the function of wild-type CFTR protein,<sup>11</sup> including epithelia with acquired CFTR dysfunction due to cigarette smoke exposure.<sup>3</sup> To test whether CFTR potentiation might be helpful in COPD, we conducted a pilot study to determine the safety, efficacy, and pharmacokinetics of ivacaftor in COPD patients with chronic bronchitis. Patients were age 40–65, without significant comorbidities, and on a stable medical regimen that did not include inhibitors or inducers of cytochrome P350 3A4 (CYP450 3A4), which metabolizes ivacaftor.

Twelve active (n=4) or former smokers (n=8) with COPD (defined as post-bronchodilator FEV<sub>1</sub>/FVC ratio <0.7) and chronic bronchitis (defined as >3 months of daily productive cough for two or more consecutive years) were enrolled in a double-blind, randomized, placebo controlled pilot trial to investigate the effect of ivacaftor on safety, CFTR function and patient-reported outcomes of bronchitis symptoms after 14 days of treatment (NCT02135432). The study was approved by the Institutional Review Board of the University of Alabama at Birmingham. Patients were randomized in a 2:1 fashion (ivacaftor to placebo). Baseline characteristics were well-matched between the active and placebo arms, although mean sweat chloride for the whole group was lower than anticipated based on previous studies in a similar population, reflecting less severe CFTR dysfunction at baseline (Fig. 1A).<sup>5, 6</sup> As expected, most patients had some evidence of emphysema on CT scan, although the means for each trial arm were relatively low. Two subjects in each arm had evidence for a minimal amount of bronchiectasis but were without clinically appreciable disease (i.e. lack of voluminous mucopurulent secretions on daily basis or history of bronchiectasis); mild bronchiectasis is detectable in a percentage of subjects with COPD and chronic bronchitis.<sup>12</sup> All patients underwent CFTR sequencing to detect genetic mutations. Some of subjects were heterozygous for CFTR mutations (see supplemental Table 1). The subject with largest response to ivacaftor had a synonymous CFTR sequence variation of minimal significance on CFTR function.

After 14 days of treatment, patients receiving ivacaftor exhibited non-significant improvements in CFTR function as detected by improved sweat chloride ( $-8.0 \pm 4.4$  mmol/L ivacaftor vs.  $+2.0 \pm 1.5$  mmol/L placebo, compared to baseline,  $P=0.38$ , Fig. 1B) and nasal potential difference ( $-4.9 \pm 3.9$  mV ivacaftor group vs.  $+1.0 \pm 6.4$  mV placebo, compared to baseline,  $P=0.56$ , Fig. 1C), although these changes were not statistically significant given the small sample size. Accompanying augmentation of CFTR function, subjects in the ivacaftor treatment group demonstrated non-significant improvements in symptoms as detected by changes in the Breathlessness, Cough, and Sputum Scale (BCSS)

that exceeded the minimally clinically important difference of 1 unit (mean change of  $-2.1 \pm 0.9$  ivacaftor vs.  $+2.0 \pm 2.2$  placebo, compared to baseline,  $p=0.11$ , Fig 1D, E).<sup>13</sup> The change in sweat chloride did not correlate significantly to the change in chronic bronchitis symptoms given the small sample size (Fig. 1F), although the patient with the largest response in sweat chloride (and NPD) also had the greatest improvement in BCSS and the highest sweat chloride at baseline, reflecting more severe CFTR dysfunction that was partially reversible. There were no improvements in spirometry over this duration ( $-2.3 \pm 5.2$  FEV<sub>1</sub>% ivacaftor vs.  $+1.8 \pm 9.3$  placebo,  $P=0.60$ , compared to baseline). Pharmacokinetics revealed stable ivacaftor concentrations with an AUC that mirrored experience in CF (Fig 1G).<sup>14</sup> One patient discontinued drug due to a COPD exacerbation; no serious adverse events were attributed to study drug. Where available, all data was analyzed for the key trial endpoints, although one subject was unable to complete each assessment at subsequent visits.

Overall, ivacaftor was safe and well tolerated in these COPD patients and exhibited reasonable absorption and metabolism. Although this pilot trial was underpowered and potentially too brief to detect definitive changes in lung function, there were improvements in CFTR activity and respiratory symptoms that did not reach statistical significance; each of these outcomes were markedly improved after relatively brief treatment period with ivacaftor in patients with CF.<sup>8, 9</sup> Due to less severe CFTR dysfunction, prolonged therapy may be necessary to demonstrate that improvements in acquired CFTR dysfunction that will impact clinical outcomes. The mean improvement in NPD and sweat chloride indicates a substantial improvement (~20%) in wild-type CFTR activity, even though baseline CFTR activity was not diminished at the start of the study, whereas those with diminished CFTR function at baseline had the most robust response. These results set the stage for longer trials to determine the effects of CFTR potentiation on clinical outcomes in patients with chronic bronchitis and a substantial degree of acquired CFTR dysfunction at baseline that is prevalent in ~30–40% of patients with COPD.<sup>5, 6</sup>

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

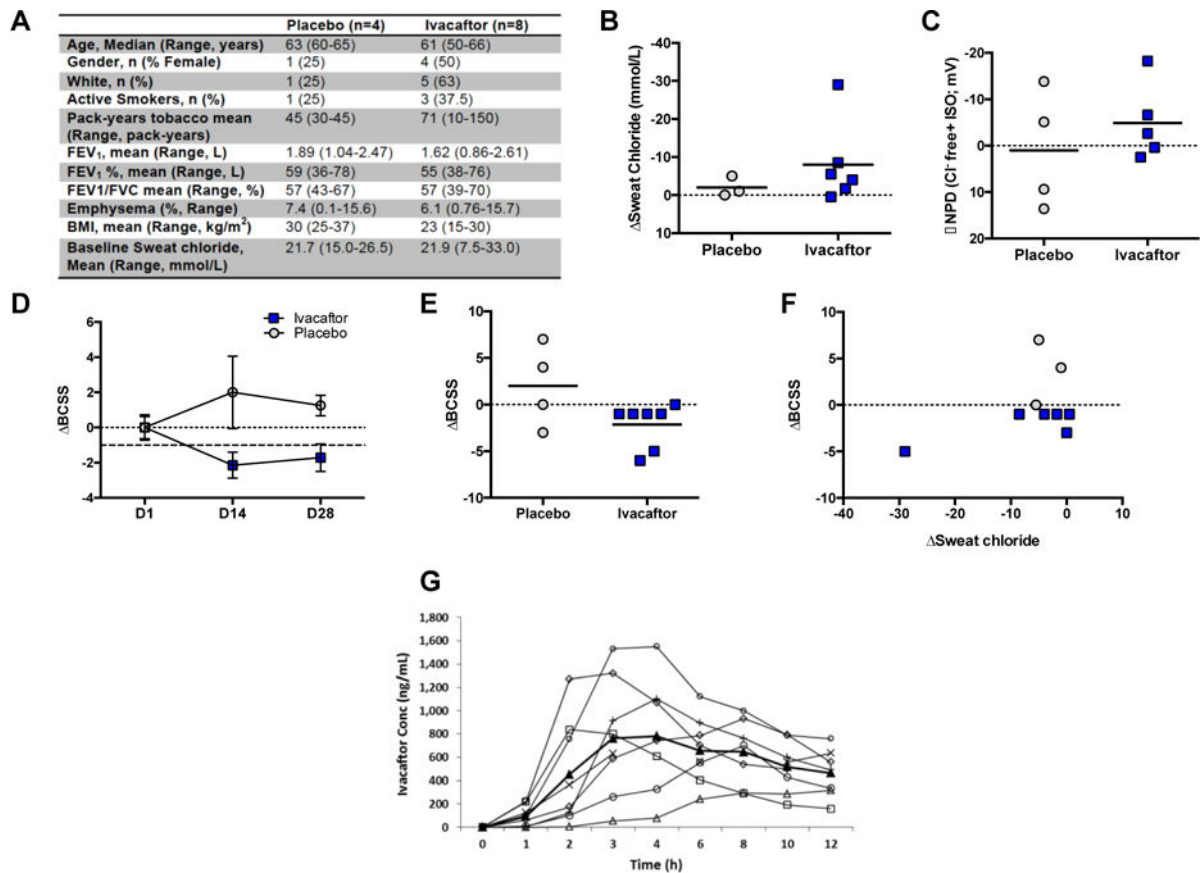
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**Figure 1. Effect of Ivacaftor on CFTR function and Symptoms of Chronic Bronchitis**

**A.** Baseline Characteristics of subjects enrolled in each treatment arm. BMI=body mass index. FEV<sub>1</sub>% = percent predicted forced expiratory volume in 1 second. **B.** Change in sweat chloride after 14 days of treatment compared to baseline. **C.** Change in CFTR-dependent chloride conductance (chloride-free plus isoproterenol) after 14 days of treatment compared to baseline. **D.** Change in Breathlessness, Cough, and Sputum Scale (BCSS) scores after 14 days of treatment (D14) and after 14-day washout period (D28,  $\pm$ SEM). The range of BCSS is 1–12, and the MCID is 1 unit. **E.** Individual change in BCSS scores after 14 days of treatment compared to baseline. **F.** Correlation of BCSS to change in sweat chloride after 14 days of treatment. **G.** Pharmacokinetic profile of subjects taking ivacaftor after first dose (n=8). Each line represents an individual subject; heavy black line designates mean value. All P values are paired t-tests, within subject, per study protocol. Between subject testing was limited by small sample sizes.