

# Developmental Genetics and Congenital Anomalies of the Kidney and Urinary Tract

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## Abstract

Congenital anomalies of the kidney and urinary tract (CAKUT) are common birth defects and the leading cause of end-stage renal disease in children. There is a wide spectrum of renal abnormalities, from mild hydronephrosis to more severe cases, such as bilateral renal dysplasia. The etiology of the majority of cases of CAKUT remains unknown, but there is increasing evidence that genomic imbalance contributes to the pathogenesis of CAKUT. Advances in human and mouse genetics have contributed to increased understanding of the pathophysiology of CAKUT. Mutations in genes involved in both transcription factors and signal transduction pathways involved in renal development are associated with CAKUT. Large cohort studies suggest that copy number variants, genomic, or de novo mutations may explain up to one-third of all cases of CAKUT. One of the major challenges to the use of genetic information in the clinical setting remains the lack of strict genotype–phenotype correlation. However, identifying genetic causes of CAKUT may lead to improved diagnosis of extrarenal complications. With the advent of decreasing costs for whole genome and exome sequencing, future studies focused on genotype–phenotype correlations, gene modifiers, and animal models of gene mutations will be needed to translate genetic advances into improved clinical care.

## Keywords

- ▶ CAKUT
- ▶ congenital anomalies of kidney
- ▶ renal development
- ▶ genetics

## Introduction

Congenital anomalies of the kidney and urinary tract (CAKUT) are present in 3 to 7 out of 1,000 births, accounting for 20 to 30% of all anomalies detected in the prenatal period.<sup>1</sup> There is a spectrum of severity of CAKUT, spanning from mild hydronephrosis to unilateral renal agenesis to dysplasia (▶ **Table 1**). The most severe cases of CAKUT (bilateral aplasia, hypoplasia, dysplasia, obstructive uropathy, and reflux nephropathy) are the leading cause of pediatric end-stage renal disease (ESRD) in children, accounting for almost one-third of all cases of pediatric ESRD (North American Pediatric Renal Trial and Collaborative studies [NAPRTCS] 2011). There are few long-term studies examining the life course effects of CAKUT on the adult, but a recent study demonstrated the concerning find-

ing that there is a higher risk of ESRD in adulthood than previously recognized.<sup>2</sup>

The etiology of the majority of CAKUT cases remains unknown. While environmental exposures may contribute to some cases of CAKUT,<sup>3</sup> the preponderance of evidence suggests a strong genetic component to the pathogenesis of these congenital abnormalities. Familial aggregation studies indicate that 10 to 50% of children with CAKUT will report a family history of kidney abnormalities or urinary tract disease.<sup>4,5</sup> Screening can demonstrate renal abnormalities in one out of four asymptomatic first-degree relatives of children with CAKUT.<sup>5</sup> This strong familial heritability has led some to recommend screening young (age <3 years old) siblings of patients with vesicoureteral reflux (VUR).<sup>6</sup> In addition to familial CAKUT, more than 500 genetic syndromes

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**Table 1** Spectrum of CAKUT: Multiple manifestations of defective renal/urinary tract development

Defects in ureteric bud outgrowth/branching
• Vesicoureteral reflux (VUR)
• Ureterovesical junction (UVJ) obstruction
• Ureteropelvic junction (UPJ) obstruction
• Duplicated collecting system
• Renal agenesis
• Hydronephrosis
• Ectopic kidney
Defects in nephron induction/differentiation
• Agenesis/dysplasia/hypoplasia
• Multicystic dysplastic kidney (MCDK)
Defects in fusion
• Horseshoe kidney
Defects in bladder/urethral development
• Posterior urethral valves (PUVs)

are associated with renal or urologic anomalies.<sup>4</sup> Genetic testing of cohorts of children with nonfamilial, isolated CAKUT reveals genetic mutations in up to 10 to 17%.<sup>7,8</sup> Many of these mutations occur *de novo*, and occur in genes that are also associated with syndromic CAKUT.<sup>8,9</sup> In sum, genetic factors contribute strongly to the pathophysiology of CAKUT and these genetic mutations can be present in CAKUT patients without other evident abnormalities. Thus, even isolated kidney malformations should alert the clinician of a possible genomic imbalance.

In the era of prenatal ultrasounds, the majority of patients with CAKUT are now diagnosed prior to birth. This affords the opportunity to intervene when possible in cases of posterior urethral valves (PUVs), VUR, or obstruction. CAKUT is defined by the radiologic appearance of the kidneys, and includes a diverse set of phenotypes as indicated in ► **Table 1**. There are few clinical criteria available to predict risk of progression to ESKD in CAKUT. Before birth, amniotic fluid concentrations of sodium,  $\beta_2$  microglobulin, or other peptides may be helpful to determine the severity of disease in patients with PUV.<sup>10,11</sup> After birth, small kidney size by ultrasound or serum creatinine > 1 mg/dL at 12 months of age in patients with PUV does predict a poor prognosis.<sup>2,12,13</sup> Little is known about genetic factors' influence on either likelihood of renal failure or rate of progression in childhood. In adults, several genome-wide association studies (GWAS) have identified single nucleotide polymorphisms (SNPs) associated with progression of chronic kidney disease.<sup>14–18</sup> However, GWAS typically require large numbers and there are limited data on the effect of SNPs on progression of childhood CKD.<sup>19,20</sup> There is an ongoing, multicenter collaborative study, the Chronic Kidney Disease in Children Study (CKiD), a prospective cohort study of 586 children aged 1 to 16 years with chronic kidney disease, which may provide new data on this in the coming years.<sup>21,22</sup>

## CAKUT Results from Disruption of Distinct Phases of Kidney and Urinary Tract Development

Disruption of kidney and urinary tract development at different stages leads to the spectrum of abnormalities observed in CAKUT. The kidney develops from the intermediate mesoderm, and renal development starts at the third week of gestation.<sup>23</sup> There are three primitive kidneys, the pronephros, mesonephros, and metanephros. The pronephros are rudimentary tubules which form in the third week, and involute by the fourth week. The mesonephros develops in the fourth week, and is composed of well-developed nephrons with vascularized glomeruli, which drain into the mesonephric duct. The metanephros forms during the fifth week of gestation and develops into the permanent kidney. The deepest nephrons mature between the 6th and 10th week of gestation, with urine production beginning at 9 weeks. Nephrogenesis continues until the 36th week of gestation.

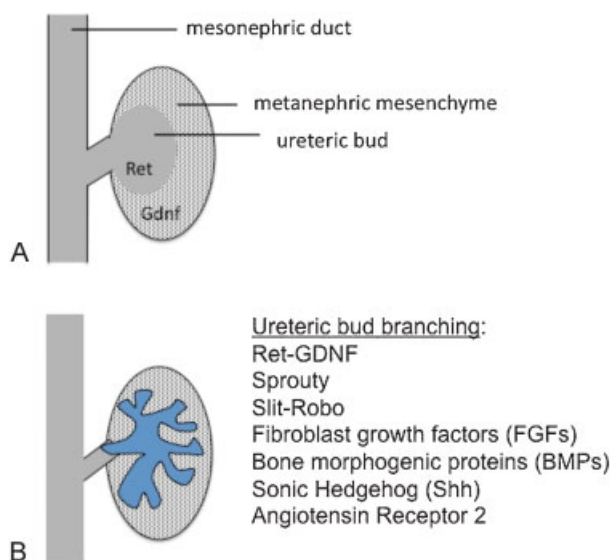
The first step needed to form the adult kidney is outgrowth of the ureteric bud (caudal portion of the mesonephric duct) (► **Fig. 1**). Renal agenesis results if the ureteric bud fails to form.<sup>24</sup> If two ureteric buds arise, a duplicated collecting system or duplicated kidney may form.<sup>24</sup> Both are often associated with urinary tract obstruction or VUR.<sup>25,26</sup>

Next, the ureteric bud invades the surrounding metanephric mesenchyme, and undergoes branching.<sup>27</sup> This branching is required to establish the radial organization of the nephrons and also determines nephron number. Defects in branching also contribute to hypoplasia. The tips of the ureteric bud induce the surrounding mesenchyme to undergo a transition to epithelial cells (mesenchymal–epithelial transition). Reciprocal interactions between the metanephric mesenchyme and ureteric bud are required to maintain cell survival and for further nephrogenesis, and disruption of these pathways results in renal dysplasia, renal hypoplasia, and renal agenesis.<sup>28</sup> Later defects in nephron development and differentiation can result in a multicystic dysplastic kidney.<sup>3</sup>

The ureters form from the mesonephric duct at 5 weeks of gestation and become occluded and recanalized between 5 and 9 weeks.<sup>29</sup> Abnormalities of ureteral development may result in ureteropelvic junction (UPJ) or ureterovesical junction (UVJ) obstruction. The bladder develops at the same time as the kidney from the urogenital sinus and fuse with the developing ureters. A perpendicular, rather than angled, insertion of the ureter into the bladder musculature predisposes children to VUR.<sup>30,31</sup>

The developing kidney is initially located in the pelvic area and migrates to its permanent location in the lumbar area at the eighth week of gestation. An ectopic (e.g., pelvic) kidney may result from ectopic ureteric budding, failure of vascularization, or abnormal migration of the kidneys during development.<sup>32</sup> Mispatterning of the ureteric tree or defects in the kidney capsule formation may lead to fusion anomalies (e.g., horseshoe kidney).<sup>33</sup>

The bladder musculature continues to mature until 12 weeks of gestation. The male urethra develops between 9 and 14 weeks and derives from the urogenital sinus



**Fig. 1** Reciprocal interactions between the metanephric mesenchyme and the ureteric bud are required for kidney development. (A) GDNF and Ret signaling are required for outgrowth of the ureteric bud into the metanephric mesenchyme. (B) Ret-GDNF, Sprouty, Slit-Robo, fibroblast growth factors (FGFs), bone morphogenetic proteins (BMPs), sonic hedgehog (Shh), and angiotensin receptor 2 signaling pathways regulate ureteric bud branching. Mutations in Ret-GDNF, Robo2, and Shh, and variants in the renin-angiotensin system contribute to human CAKUT.

(prostatic and membrane urethra) and the urethral plate (bulbar and pendulous urethra).<sup>34</sup> Several theories have been proposed to explain the origins of PUVs, including abnormal integration of the developing ejaculatory ducts (derived from the mesonephric/Wolffian ducts) into the developing urethra, leading to a membrane formation.<sup>34</sup> Filling and emptying of the bladder up until the third trimester lead to remodeling of the bladder wall.<sup>35</sup> Obstruction by PUVs impairs this process, contributing to long-term bladder dysfunction.<sup>35</sup>

### Gene Mutations in Renal Developmental Signaling Pathways Result in CAKUT

Our understanding of the precise role of genes in regulating the various stages renal development has been advanced significantly over the past few decades by two factors. First, sequencing of the human genome and genetic mapping technologies facilitated the identification of multiple gene mutations associated with CAKUT. Second is the newfound ability to manipulate gene expression in animal models. The use of knockout and conditional mutants has enabled investigators to dissect out the role of signaling pathways in kidney development, often in cell- and time-specific fashion.

Clearly, the first step in kidney development is the specification of the renal progenitor populations. Genetic studies using animal models have identified key transcription factors including Six2, Pax2, Sall1, and Wt1 that are expressed in nephron progenitors, and many of these are also common mutations identified in human CAKUT.<sup>24,36</sup> Animal models

have also identified major signaling pathways that regulate later stages of kidney development, including ureteric bud branching and nephron induction.<sup>23,27</sup> These include signaling by glial-derived neurotrophic factor GDNF/Ret, Wnt, fibroblast growth factor (FGFs), members of the transforming growth factor  $\beta$  superfamily (e.g., bone morphogenetic proteins), and Notch and Sonic hedgehog pathways.<sup>23</sup> While detailed discussion of the cellular and molecular biology of kidney development is beyond the scope of this review, we will highlight a few gene mutations in both transcription factors and signaling pathways identified in human CAKUT (► **Table 2**, ► **Figs. 1** and **2**).

Distinct sets of transcription factors are expressed in the nephron, vascular, and stromal progenitors (► **Fig. 2**). Some of these transcription factors within the nephron progenitors, such as Pax2, Hox11, and Eya1, physically associate and form DNA regulatory complexes.<sup>37</sup> The Hox-Eya-Pax complex activates Six2 expression, which in turn activates Osr1, leading to a positive feedback loops that maintain the nephron progenitor population.<sup>23,37–42</sup> Six1 also interacts with Eya1 and is required to maintain nephron progenitors.<sup>43</sup> Activation of Wnt4 signaling leads to differentiation of the mesenchyme into epithelial cells.<sup>44</sup> Interactions between transcription factors in nephron progenitors, including Six2, Osr1, and Sall1, tightly regulate the balance between renewal and proliferation versus differentiation.<sup>38–42,45</sup> Either premature differentiation due to loss of these factors or failure to differentiate leads to renal hypoplasia in mice.<sup>39,45–47</sup> Interestingly, epigenetic regulation by both microRNA and histone methylation contributes to maintenance of the renal progenitor population,<sup>48–50</sup> although the exact role defects in epigenetic regulation may play in human CAKUT is unclear. Many of the transcription factors critical in nephron progenitors also contribute to development of other organs. For example, Six1, Six2, Eya1, and Osr1 play roles in eye and ear development, and patients with mutations in these genes present with renal, oto, and ophthalmologic manifestations.<sup>51</sup> In particular, EYA1 and, less commonly, SIX1 mutations are associated with branchio-oto-renal (BOR) syndrome, an autosomal dominant disorder, characterized by branchial arch anomalies, hearing loss, and renal malformations. Similarly, Sall1 functions in anal, limb, and ear development, as well as kidney development. Sall1 mutations leads to Townes–Brock syndrome.<sup>52</sup>

CAKUT may also result from defects in transcription factors in other renal progenitor populations. For example, the stromal transcription factor FoxD1 regulates renal patterning, and loss of FoxD1 in mice leads to duplex kidneys.<sup>33</sup>

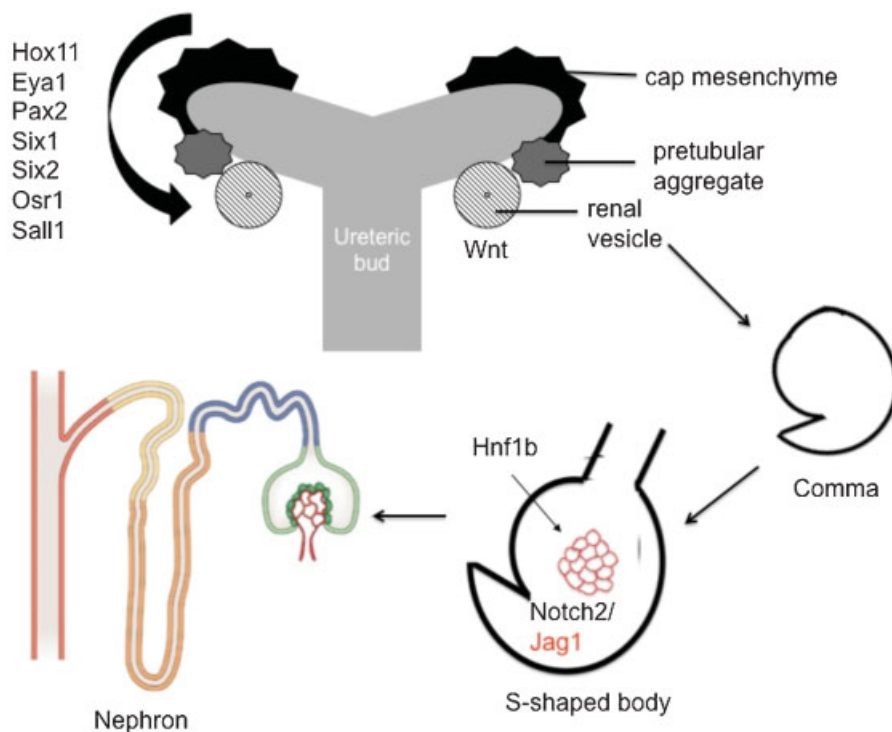
Many cases of CAKUT are linked to defective ureteric bud branching (► **Fig. 1**). One of the major pathways regulating ureteric bud branching is GDNF. GDNF is a growth factor ligand that is secreted by the metanephric mesenchyme and binds the retinoic acid receptor (Ret) expressed on the tips of the ureteric buds. Binding of GDNF to Ret stimulates cell proliferation and ureteric bud branching. Defects in Ret are associated with bilateral renal aplasia.<sup>53</sup> A recent study demonstrated rare variants or novel mutations of GDNF, Ret, or GFR $\alpha$  (a Ret co-receptor) in 5% of a cohort of unrelated

**Table 2** Selected gene mutations associated with CAKUT syndromes

Syndrome	Gene	Inheritance	Renal Phenotypes
Alagille	<i>JAG1</i> (Notch signaling pathway)	AD	Agenesis, hypoplasia, cystic dysplasia, UPJ obstruction, VUR
Aniridia-Wilms tumor (WAGR)	<i>WT1</i>	AD	Tumor, nephromegaly
ATR-X	<i>ATRX</i>	X-linked	Hypoplasia, agenesis, VUR, hydronephrosis
Branchio-oto-renal	<i>EYA1, SIX1</i>	AD	Hypoplasia, VUR
Caudal regression	<i>VANGL1</i>	AD, AR	Agenesis, ectopic kidney, hypoplasia, hydronephrosis, VUR
CHARGE	<i>CHD7</i>	AD	Agenesis, hypodysplasia, hydronephrosis, hydroureter
Cornelia de Lange	<i>NIPBL</i>	AD	Hypoplasia, VUR, pelvic dilatation, ectopia
Fraser	<i>FRAS1, FREM2</i>	AR	Renal agenesis, cystic dysplasia, hypoplasia, hydronephrosis, bladder agenesis
Hypoparathyroidism–deafness–renal	<i>GATA3</i>	AD	Agenesis, hypodysplasia, VUR
Kallmann	<i>KAL1, KAL2</i>	X-linked or AD	Unilateral renal agenesis, VUR, cystic dysplasia
Maturity-onset diabetes of the young type V	<i>HNF1<math>\beta</math></i>	AD	Cystic dysplasia, hypoplasia, glomerulocystic, agenesis, oligomeganephronia
Meckel–Gruber	<i>MKS1–4</i>	AR	Cystic dysplasia, hypoplasia
Noonan	<i>NS1</i>	AD	Cystic dysplasia, duplication, hydronephrosis
Okhiro (acro-renal-ocular)	<i>SALL4</i>	AD	Pelvic or horseshoe kidney, hypoplasia, VUR
Pallister–Hall	<i>GLI3</i> (member of sonic hedgehog signaling pathway)	AD	Agenesis, hydronephrosis, hydroureter, renal ectopia, horseshoe kidney
Renal coloboma	<i>PAX2</i>	AD	Hypoplasia, VUR, UPJ obstruction
Renal-hepatic-pancreatic dysplasia	<i>EVC, EVC2</i>	AR	Cystic dysplasia
Rubinstein–Taybi	<i>CBP</i> <i>EP300</i>	AD	Unilateral agenesis, cystic hypodysplasia, urethral defects
Roberts	<i>ESCO2</i>	AR	Agenesis, hypodysplasia, hydronephrosis
Silverman (dyssegmental dwarfism)	<i>HSPG2</i>	Uncertain	Hydronephrosis
Simpson–Golabi–Behmel	<i>GPC3</i>	X-linked	Medullary cystic dysplasia
Smith–Lemli–Opitz	<i>DHCR7</i>	AR	UPJ obstruction, hydronephrosis, VUR, cystic dysplasia
Townes–Brocks	<i>SALL1</i>	AD	Agenesis, hypoplasia, PUV, VUR, meatal stenosis
Zellweger	<i>PEX</i>	AR	Cystic dysplasia, hydronephrosis

Abbreviations: AD, autosomal dominant; AR, autosomal recessive.

Note: Not included are nephronophthisis and polycystic kidney diseases, which are addressed in a separate review.



**Fig. 2** CAKUT is associated with mutations in transcription factors and signaling pathways that are required for nephron differentiation. Multiple transcription factors (including Hox11, Eya1, Pax2, Six1, Six2, Osr1, Sall1) regulate the balance between differentiation and maintenance of nephron progenitors. Wnt signaling is required for differentiation into the renal vesicle. HNF1B and Notch signaling contribute to specification of the proximal tubules and terminal nephron differentiation.

patients affected with CAKUT.<sup>54</sup> Ret mutations are also associated with Hirschsprung's disease, and up to 20% of Hirschsprung's patients have renal anomalies.<sup>55</sup>

Ureteric bud branching is modified by multiple factors, including FGF, BMP, Shh, Slit-Robo, and angiotensin-2 receptor signaling (→ **Fig. 1**).<sup>56–59</sup> Mutations in Shh signaling members are causes of syndromic renal malformations (Pallister-Hall syndrome).<sup>57</sup> Slit and Robo are neural guidance proteins that regulate ureteric bud outgrowth, branching, and the UVJ formation.<sup>60</sup> Robo2 mutations are associated with familial VUR.<sup>60</sup> Genetic variants in the renin angiotensin system may be linked to VUR, but this relationship has not been consistent in all populations.<sup>61,62</sup>

CAKUT can also result from mutations in genes involved in later stages of nephron differentiation. Induction by the ureteric bud results in aggregation of the mesenchyme that becomes a sphere of epithelial cells known as the renal vesicle. Wnt signaling regulates this process and genetic defects in Wnt4 lead to renal hypodysplasia (RHD).<sup>63</sup> The vesicle then undergoes a series of morphologic changes to become a comma and then an s-shaped body. The epithelial cells in the s-shaped nephron closest to the ureteric bud form the distal tubules, the mid-portion forms the proximal tubule and loop of Henle, and those furthest from the ureteric bud form the parietal epithelium and the podocytes. The Notch signaling pathway is required for development of podocytes and proximal tubules.<sup>64,65</sup> Notch is a cell–cell signaling pathway.<sup>66</sup> Signal-sending cells express Notch ligands (i.e., Jag1) on their cell membrane.<sup>66</sup> Ligand binding of Notch

receptors on signal-receiving cells leads to a series of proteolytic cleavages, releasing the intracellular domain of Notch (NICD).<sup>66</sup> This NICD translocates to the nucleus, stimulating expression of the Notch effectors, Hes and Hey, which lead to cell proliferation and differentiation.<sup>66</sup> Gene mutations in Jag1 or the notch receptor Notch2 lead to Alagille syndrome, which is associated with a paucity of bile ducts and also renal abnormalities (→ **Table 2**).

Mouse genetic studies have also provided some insight into the wide spectrum of phenotypes observed in CAKUT. The cell- and time-specific effects may contribute to the different observed phenotypes. For example, FGFs have been implicated in both ureteric bud branching and metanephric mesenchyme differentiation.<sup>56,67–71</sup> Another likely possibility is the presence of modifier genes and incomplete penetrance.<sup>67</sup> Even genetically identical mutant mice do not all have the same phenotype. Finally, as microRNAs and histone methylation regulate renal development,<sup>24,48,49</sup> epigenetic modification induced by the environment may also affect the phenotype.

There is a diversity of the CAKUT phenotype observed with mutations in the same gene. A good example of this is mutations in hepatocyte nuclear factor 1β (*HNF1B*). Gene deletions or mutations in *HNF1B* are one of the most commonly identified mutations associated with human CAKUT.<sup>72,73</sup> *HNF1B* is a transcription factor, and mouse studies of *HNF1B* function demonstrate a role in proximal tubular differentiation.<sup>74–76</sup> Kidney-specific inactivation of *Hnf1b* in the mouse leads to cystic disease, and *HNF1B* has

been shown to regulate expression of genes whose mutations are involved in cystic kidney disease (i.e., PKHD1, NPHP1, PKD2).<sup>75,77</sup> *HNF1B* mutations in humans are associated with a broad spectrum of disease, including renal cysts and diabetes syndrome, maturity onset diabetes of the young type 5, and hepatic, genital, and pancreatic abnormalities with variable expression of renal and extrarenal manifestations.<sup>7,72,78–86</sup>

Another example of a gene mutation involved in diverse CAKUT phenotypes is *PAX2*. *PAX2* is a critical transcription factor expressed in the nephron progenitors.<sup>37,87</sup> However, it is also expressed in the ureteric bud, where it regulates ureteric bud branching.<sup>88</sup> It is also expressed in the eye, ear, and central nervous system.<sup>89–91</sup> Mouse studies have shown that homozygous *PAX2* mutant mice develop renal and ureteral agenesis,<sup>92</sup> whereas *PAX2* hypomorphic mice exhibit mild-to-severe RHD.<sup>93</sup> There are mutational hotspots in a seven base pair polyguanine region of exon2 (the DNA binding region).<sup>94</sup> *PAX2* mutations are most commonly associated with renal coloboma syndrome, also known as papillorenal syndrome, an autosomal dominant disorder characterized by optic nerve malformations (optic nerve coloboma, optic nerve dysplasia) and renal defects (oligomeganephronia, hypodysplasia with or without VUR, and renal cysts).<sup>30,84,88,91,94,95</sup> Other extrarenal manifestations which are less common include sensorineural hearing loss and brain malformations.<sup>96</sup> Just as described with *HNF1B*, the same gene mutation has different manifestations, even within the same family.<sup>88,96</sup> Mouse models indicate that the *Pax2* phenotype is modified by gene–gene interactions, including with *WT-1* and *HNF1B*.<sup>76,97</sup> *PAX2* is a target of epigenetic regulation, and *PAX2* expression is modified by the prenatal environment in mice.<sup>98,99</sup> Such environmental and gene–gene interactions likely contribute to the variable *PAX2* phenotype.

## Genetic Studies in Populations with CAKUT

While studies of genes in animal models have advanced our understanding of the cellular and molecular mechanisms required for kidney development, we are still far from routinely applying these to clinical management of patients. However, recent studies of genetics in CAKUT cohorts added to our understanding of the types and frequency of genetic causes of CAKUT.

Copy number variation (CNV) has been implicated in the pathogenesis of many developmental disorders, and CNV may be a frequent cause of CAKUT. Sanna-Cherchi et al examined large, rare, CNVs (size > 100 kb and frequency < 1%) in a large cohort of patients with RHD, and identified known copy-number disorders in 10.5% of RHD cases.<sup>73</sup> In 6.1% of RHD cases, they identified novel or rare copy-number disorders, which may help identify potential candidate genes or loci involved in the disruption of kidney development leading to CAKUT.<sup>73</sup> Larger gene-disrupting events were associated with RHD cases, and deletions at the *HNF1B* locus were most frequent. *HNF1B* is highly susceptible to CNV as it is flanked by areas of segmental duplications, which are sites for recurrent rearrangements.<sup>73</sup> Rearrangements in chromosomal region 17q12 were the most common genomic disorder. Interest-

ingly, neuropsychiatric disease is also an increasingly recognized complication of rearrangements in this chromosomal region.<sup>73</sup> Moreover, the majority of the known CNV disorders detected in the RHD cohort have previous associations with developmental disease or neuropsychiatric diseases.<sup>73</sup> These findings suggest shared pathways between renal and neural development, and implicate genetic factors in cognitive defects associated with kidney disease.

## Genetic Mutations in CAKUT in US and European Cohorts

Data from the Chronic Kidney Disease in Children Cohort Study (CKiD) demonstrated that 10% of all patients and 14% of North American Caucasian patients with RHD had pathogenic mutations for either *HNF1B* or *PAX2*.<sup>7</sup> The majority of children (particularly non-Caucasian) in the cohort did not have *HNF1B* or *PAX2* mutations, which suggests that there are other undiscovered genes that may cause CAKUT.<sup>7</sup> There was no significant difference in progression of renal disease between patients with mutations in *HNF1B* or *PAX2* and those without. The ESCAPE (European multicenter Effect of Strict Blood Pressure Control and ACE Inhibition on Progression in Pediatric Patients) study screened for genetic mutations, including *HNF1B* (also known as *TCF2*), *PAX2*, *SALL1*, *EYA1*, and *SIX1*, in a cohort of 100 patients with RHD and mild-to-moderate kidney disease. Genetic mutations were found in 17% of patients, of which 15% had mutations in *TCF2* or *PAX2*.<sup>8</sup>

Together, these studies suggest that up to 30% of patients with CAKUT may have either large copy number variant or gene mutations. Roughly 50% of copy number variants<sup>73</sup> and gene mutations may occur de novo<sup>85</sup> and are not inherited. This raises the questions of the potential use of genomics in the clinical setting with patients with isolated CAKUT.

## Clinical Implications of Gene Mutations

The major challenge of use of genetic evaluation in the clinical arena is the lack of strict genotype–phenotype correlation. The severity of renal disease associated with genetic mutation is extremely variable. Heidet et al examined a large cohort (377) of unrelated cases with various renal phenotypes (multicystic dysplastic kidney, renal agenesis, renal hypoplasia, cystic dysplasia) and screened for *HNF1B* mutation, which was found in 20% of cases.<sup>72</sup> The gene alterations included whole gene deletion in 42 cases, small mutations (missense, nonsense, frameshift, splice site mutations) in 32 cases, and exon deletion in 1 case. Of 42 patients with heterozygous *HNF1B* deletion, the radiologic appearance of the kidneys varied from bilateral hypoplastic kidneys to enlarged hypoechoic kidneys.<sup>72</sup> Furthermore, the prenatal ultrasound was often normal. The consequences of radiologic findings on renal function also varied, and some adult patients had normal glomerular filtration rate, whereas some infants had neonatal-onset renal failure.<sup>72</sup> This illustrates the difficulty of using genetics in predicting renal outcome, even in the setting of complete gene deletion.

Another study demonstrating the lack of genotype–phenotype correlation was reported by Madariaga et al.<sup>84</sup> They screened for *HNFB1* and *PAX2* genes in a cohort of 103 fetuses from 91 families with severe CAKUT that led to termination of pregnancy. They detected a mutation rate of 17% (*HNFB1* in 12 cases; *PAX2* in 4 cases). However, in many cases, they observed marked intrafamilial phenotype variability with the same inherited mutation with respect to severity of disease. The lack of genotype–phenotype correlation and the wide variability observed even within a single family make genetic counseling difficult.<sup>100</sup>

The most obvious clinical application is to enhance screening for extrarenal manifestations. Many of the genes involved in kidney development are also critical in organogenesis of several tissues, resulting in other organ involvement. For example, *HNFB1* plays a crucial role in the development of pancreas, liver, gonads, gut, and thymus. Besides diabetes, other extrarenal manifestations related to *HNFB1* mutations include liver and pancreas abnormalities, hyperuricemia with or without gout, and genital malformations. BOR syndrome (with *EYA1* or *SIX1* mutations) is most commonly associated with hearing impairment, which can occur in various forms (conductive, sensorineural, mixed type) with variable progression to hearing loss. As described earlier, *PAX2* is also expressed in the eye, ear, and central nervous system. Patients with *PAX2* mutations and renal coloboma syndrome have extrarenal manifestations of optic nerve malformations and, less commonly, sensorineural hearing loss or brain malformations. It is important to note that many extrarenal manifestations may be subtle or subclinical and can be missed. In the ESCAPE trial, ocular abnormalities were found in five of seven patients with *PAX2* mutations, and these ocular findings had not been previously detected.<sup>8</sup> Thus, identification of *PAX2* mutations would allow for thorough ophthalmologic evaluation to detect optic malformations. For patients with *HNFB1* mutation, diabetes screening is warranted. Regular auditory testing would be indicated for patients with *EYA1* or *SIX1* mutations. As described earlier, renal malformations may also be associated with neuropsychiatric disease, and CNV screen might identify the potential for complications such as developmental delay, autism, or other cognitive defects. In the follow-up phase of the CKiD study, detailed information about extrarenal manifestations is being collected and may provide more data to support mutation screening of children with CAKUT.<sup>21</sup>

### On the Frontier: Translation of Improved Genomic Analysis to Improved Clinical Care

It is likely that the cost of whole genome and exome sequencing will continue to decrease over the next few decades. Analysis of such large datasets will require use of comparative genomics approaches and will enable us to identify new genes associated with CAKUT.<sup>51</sup> But interpretation of sequencing results will also require better understanding of the mechanisms regulating phenotypic variation in CAKUT. First, detailed clinical phenotyping will be necessary to better define genotype–phenotype correlations<sup>101</sup>. Second, we will

need to identify genetic and epigenetic modifiers of phenotypes. Whole exome and genome sequencing will make it possible to investigate the role of gene–gene interactions in humans. But we will also need to understand the role of noncoding DNA in modifying phenotype. For example, epigenetic changes or genetic variation in promotor or enhancer regions can alter transcription factor binding, leading to alterations in gene expression.<sup>102</sup>

Continued advances in genetic technologies may help in these efforts. The majority of animal studies to date have relied upon whole gene deletion. With newer technologies in gene targeting and editing, such as the CRISPR (clustered regularly interspaced short palindromic repeats)/Cas system,<sup>103</sup> animal models may be established to better understand the mechanisms behind specific genetic mutations. Genetic advances may lead to discovery of the effect of specific mutations and genetic modifiers of outcome that will facilitate a personalized medicine approach to CAKUT, and potentially even provide new targets for therapies for specific genotypes.<sup>104</sup>

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