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## Contemporary Strategies in the Diagnosis and Management of Heart Failure

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### Abstract

Heart failure (HF) is an important public health problem in need of strategies to improve outcomes and decrease healthcare resource utilization and costs. The prevalence has risen as the population ages and HF continues to be associated with a high mortality and frequent need for hospitalization. The total cost of care for patients with HF is \$30.7 billion, and estimated to more than double to \$69.8 billion by 2030. Given this reality, there has been recent investigation into ways of identifying and preventing HF in patients at risk (stage A HF) and those with cardiac structural and functional abnormalities but no clinical HF symptoms (Stage B). For patients who have developed symptoms of HF (Stage C), there has been important research into the most effective ways to decongest patients admitted with acute decompensated HF and prevent future hospital readmissions. We continue to search for successful strategies to treat patients with HF and preserved ejection fraction, which has risen in prevalence. We are in the midst of a rapid evolution in our ability to care for patients with end stage HF (Stage D) due to the introduction and improvement in mechanical circulatory support. Left ventricular assist devices used as destination therapy offer an important therapeutic option to patients who don't qualify for heart transplantation due to advanced age or excess comorbidity. This review will provide a thorough update on contemporary strategies in the diagnosis and management of HF by stage (A to D) that have emerged in the last several years.

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An estimated 5.8 million adults in the United States are currently living with heart failure (HF), and its prevalence is projected to increase to 25% by 2030.<sup>1</sup> HF is primarily a disease of the elderly with prevalence increasing from 0.9% in patients aged 55–64 years to 17.4% in those 85 and older.<sup>2</sup> The increasing prevalence of HF is attributed to aging of the population and improved survival from HF and other cardiovascular diseases. Given the rise

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in prevalence and epidemic of hospitalizations in patients with HF, total costs are projected to increase from \$30.7 billion in 2012 to \$69.8 billion in 2030.<sup>1</sup> While most of the focus on HF is aimed at treatment of affected patients, in 2001, the American College of Cardiology Foundation (ACCF)/American Heart Association (AHA) revised the HF classification to also include patients who are at high risk for the disease (Stage A, Figure 1), but have not yet developed structural cardiac abnormalities or clinical evidence of HF. In 2010, Ramani et al<sup>3</sup> reviewed the contemporary diagnosis and management of HF for this journal, including a review of guideline-based management for patients with HF. Since then, there has been an expansion of indications for drug and device therapy, significant progress made with mechanical circulatory support (MCS) and new clinical trials aimed towards enhancing the care of the HF patient. This complementary review will provide a thorough update on contemporary strategies in the diagnosis and management of HF by stage (A to D) that have emerged in the last several years, with a focus on new guidelines and research results which may affect clinical practice.

## **STAGE A HF: PATIENTS AT RISK**

Stage A HF includes patients who have not yet developed HF or cardiac structural abnormalities but are at risk due to coronary artery disease, diabetes, hypertension or other conditions. As many of these predisposing conditions are highly prevalent, patients with stage A HF are very common. In one community study, it was estimated that 56% of the population 45 years old had stage A or B HF.<sup>4</sup>

### **Patients at Risk for the Development of HF Can Be Predicted with Modest Accuracy**

While only Stage C & D patients would meet criteria for HF, this focus on identifying patients at risk for HF (stage A) has prompted the development of several incident HF risk scores. The Health Aging and Body Composition (ABC) study included 3075 community dwelling elderly patients (aged 70–79 years) who were followed for 7 years for clinical events, including the onset of HF, which developed in 258 participants.<sup>5</sup> A simple point score based on the following independent predictors of HF was developed (Figure 2). While the risk score is easy to calculate, the ability to discriminate is only acceptable (c statistic 0.72). Similarly, a model to predict incident HF validated in the Atherosclerosis Risk in Communities cohort<sup>6</sup> included many of the same variables (age, coronary artery disease, blood pressure, smoking, heart rate), as well as race, sex, diabetes, and body mass index. They reported similar predictive ability to the Health ABC score, and found that both models performed better with the addition of NT-pro BNP. Either risk model would be acceptable to use in clinical practice to help identify patients who may be at higher risk for the development of HF.

### **Consider Genetic Testing in Patients Suspected of Having a Familial Cardiomyopathy**

A high proportion (20–35%) of patients with a dilated cardiomyopathy (DCM) may have a familial cardiomyopathy (defined as 2 or more closely related family members with DCM).<sup>7</sup> A thorough family history should be obtained with a new diagnosis of DCM. If a familial cardiomyopathy is suggested based on history, genetic testing and referral to a genetic counselor should be considered. However, pathogenic mutations are identified in only 30–

35% of familial case genetic causes<sup>8</sup>, so a negative genetic screen does not eliminate the possibility of an inherited DCM. Unaffected first degree relatives of patients with familial DCM should undergo screening with echocardiography at least every 3–5 years.<sup>7</sup>

Hypertrophic cardiomyopathy and arrhythmogenic right ventricular dysplasia can also be inherited, and genetic screening, counseling and testing in these conditions are thoroughly covered in a recent review.<sup>9</sup>

### **Treatment of Stage A HF Patients Should be Aimed at Controlling Modifiable Risk Factors**

Treatment of patients identified to be at high risk for the development of HF should be aimed at reducing their risk by treatment of modifiable risk factors, including aggressive treatment of hypertension, diabetes, hyperlipidemia, and obesity. In particular, long term hypertension control may reduce the risk of incident HF by more than 50%.<sup>10,11</sup> The choice of anti-hypertensive therapy should be made according to published guidelines,<sup>7,12</sup> though a meta-analysis suggested that diuretics, angiotensin converting enzyme inhibitors (ACE-I) and angiotensin receptor blockers (ARB) are the most effective classes of drugs at reducing HF risk.<sup>11</sup>

### **STAGE B HF: STRUCTURAL HEART ABNORMALITIES BUT NO CLINICAL HF SYMPTOMS**

Stage B HF includes patients with prior myocardial infarction (MI), left ventricular remodeling including left ventricular hypertrophy and reduced ejection fraction (EF), and asymptomatic valvular heart disease that have never had active HF symptoms. The number of patients in stage B is estimated to be 3 to 4 times the number of patients in stage C and D combined.<sup>4,13</sup> The prevalence of asymptomatic reduced EF is estimated at 3–6%,<sup>14</sup> and increases with age. Asymptomatic diastolic dysfunction is more common, with an estimated prevalence as high as 27%.<sup>15</sup> Patients with stage B HF are at high risk for the development of symptomatic (stage C) HF, but strategies exist to reduce that risk. In general, all of the therapies for stage A patients, including aggressive treatment of risk factors, should be used in stage B patients. Additional therapies recommended for patients with stage B HF are shown in Figure 1.

### **Chemotherapy-Associated Cardiotoxicity Occurs and Should be Treated**

The anthracyclines (doxorubicin, daunorubicin, epirubicin, idarubicin) and the anthraquinone mitoxantrone are the most frequently implicated chemotherapeutic agents associated with the development of cardiotoxicity, with an incidence as high as 26%.<sup>16</sup> A meta-analysis suggested that the risk of both clinical cardiotoxicity (OR 5.43, 95% CI 2.34–12.62) and subclinical cardiotoxicity (OR 2.88, 95% CI 1.29–6.44) were higher in cancer patients treated with anthracycline vs. non-anthracycline based compounds.<sup>17</sup> The risk of cardiotoxicity increases with higher cumulative dose and older age. Use of bolus versus continuous infusions, liposomal vs. non-liposomal doxorubicin, concomitant use of iron-chelating agents, and use of epirubicin or mitoxantrone (lower risk agents for cardiotoxicity) versus doxorubicin may help to mitigate the risk.<sup>17</sup> Patients treated with these agents should generally have an assessment of their EF at baseline and repeated periodically based on

cumulative dose and risk factors, with discontinuation of chemotherapy if the EF declines by 10% to <50%.<sup>18</sup> All patients developing a decline in EF should have therapy with ACE-I and beta blockers similar to other stage B patients, though patients with chemotherapy-induced cardiotoxicity are frequently undertreated.<sup>19</sup> There are ongoing studies to assess whether patients being treated with anthracyclines should be concomitantly treated with ACE-I to prevent the development of cardiotoxicity.<sup>20</sup> Ways of detecting subclinical signs of left ventricular dysfunction, including changes in longitudinal strain on echocardiography and elevation in cardiac troponin I, are being actively investigated.<sup>21</sup> Additional chemotherapeutic agents that can cause HF include cyclophosphamide, ifosfamide, trastuzumab and other monoclonal antibody-based tyrosine kinase inhibitors.<sup>22</sup>

## **STAGE C HF: STRUCTURAL HEART ABNORMALITIES AND SYMPTOMS OF HF**

Once a patient develops clinical signs and symptoms of HF, they become stage C, even if they later become asymptomatic. Important clinical pearls in the general management of patients with stage C HF were included in the prior review by Ramani et al,<sup>3</sup> and comprehensive guidelines for the management of patients with HF have been published by the ACCF/AHA.<sup>7</sup> This section will focus on highlighting important areas of recent research for stage C patients.

### **Biomarkers Can be Useful in Estimating Prognosis in Patients with HF**

While biomarkers are most widely used to diagnose HF, they can also help to provide an estimate of prognosis in patients with stage C and D HF. The natriuretic peptides, namely B-type natriuretic peptide (BNP) or its amino-terminal fragment (NT pro BNP), which are released in response to myocardial stretch, and troponins, released in response to myocyte injury, are the most widely reported and used biomarkers for prognosis in HF. Higher natriuretic peptide levels have consistently been shown to predict mortality, but have been less useful in predicting hospital readmissions. One emerging biomarker, ST2, a member of the interleukin-1 receptor family, is predictive of mortality in HF,<sup>23</sup> may help in identifying patients with HF who would benefit from beta blocker titration,<sup>24</sup> and its use may become more widespread in the coming years. Cystatin C is a marker of acute kidney injury during a HF hospitalization and an increase by >0.3mg/L in the first 48 hours of HF hospitalization is associated with longer length of stay and a four-fold higher in-hospital mortality.<sup>25</sup> The clinical value of serial biomarker-guided management of HF remains controversial. While individual trials have often failed to show any reduction in mortality or HF hospitalizations with a natriuretic-peptide guided strategy,<sup>26,27</sup> meta-analyses have suggested there may be some mortality benefit to this approach.<sup>28,29</sup> The ongoing GUIDE-IT trial may shed some light on this topic, as it investigates the efficacy of a strategy of biomarker-guided therapy compared with usual care in high risk patients with left ventricular systolic dysfunction.

## UPDATES ON THE CHRONIC MANAGEMENT of PATIENTS WITH STAGE C HF

### HpEF Efficacious Therapies are Still Lacking

Half of patients with HF have preserved EF (HFpEF), which is variably defined across studies, but usually refers to an EF >40–50%. Studies have suggested that the prevalence of HFpEF is increasing over time,<sup>30</sup> and is most common in older women. Additional comorbidities including anemia, hypertension, and atrial fibrillation are more common in patients with HFpEF vs. HF with reduced EF (HFrEF).<sup>31</sup> Similar to patients with HFrEF, patients with HFpEF are at increased risk for death, with 5 year mortality estimated at around 50% in both groups.<sup>32</sup> Compared to patients with HFrEF, patients with HFpEF are more likely to experience a non-cardiovascular cause of death.<sup>33</sup>

Despite its rising prevalence, there are still no known efficacious pharmacological therapies for HFpEF. Whether renin-angiotensin system antagonists improve outcomes in HFpEF has been highly debated, and a recent analysis of patients enrolled in the Swedish HF registry suggested that there may be mortality reduction associated with renin-angiotensin system inhibition in HFpEF.<sup>34</sup> However, randomized controlled trials have consistently failed to demonstrate any improvement in mortality in HFpEF patients treated with ACE-I<sup>35</sup> or ARB<sup>36,37</sup> compared with placebo. There has been recent interest in using phosphodiesterase-5 inhibitors, a therapy for patients with pulmonary hypertension, to treat patients with HFpEF. However, the RELAX multicenter randomized controlled trial found no change in exercise capacity or clinical status after 24 weeks of sildenafil compared with placebo.<sup>38</sup> While aldosterone antagonism with eplerenone did not improve exercise capacity in the recent RAAM-PEF trial, it had favorable effects on diastolic function.<sup>39</sup> The TOPCAT trial results, which were presented at the AHA Scientific Sessions in November 2013, found no reduction in the combined risk of cardiovascular mortality, aborted cardiac arrest or HF hospitalization in patients with HFpEF treated with spironolactone compared with placebo, though a reduction in the secondary endpoint of HF hospitalizations was observed. There is currently not sufficient evidence to recommend routine treatment with aldosterone antagonists in patients with HFpEF, though they could be a reasonable choice for patients with another indication for these therapies such as hypertension.

There has been increasing evidence to suggest that activation of the sympathetic nervous system plays a prominent role in the pathophysiology of HFpEF.<sup>40</sup> Renal denervation is a transcatheter-based procedure used to disrupt renal sympathetic nerves. Early studies in hypertensive subjects have demonstrated it to be safe and effective.<sup>41,42</sup> There is interest in testing whether renal denervation will be an efficacious therapeutic option in patients with HFpEF, which will be tested in the upcoming DIASTOLE trial.<sup>40</sup>

Therapy in patients with HFpEF should continue to focus on aggressive management of hypertension, optimizing fluid status with diuretics, and treatment of concomitant comorbidities such as sleep-disordered breathing. While patients with HFpEF often complain of dyspnea with exertion, exercise training is safe and improves exercise capacity.<sup>43</sup>

### **Close Monitoring for Hyperkalemia is Necessary for Patients Treated with Aldosterone Antagonists**

In randomized controlled trials, eplerenone<sup>44</sup> and spironolactone<sup>45</sup> markedly reduced death and readmissions in patients with HFrEF. As a result, aldosterone antagonists have received a class I recommendation in the ACCF/AHA guidelines.<sup>7</sup> However, they have not been adopted as readily as other guideline-based therapies, in part due to concern over the risk of hyperkalemia, particularly in high-risk patients such as those treated with renin-angiotensin system antagonists or with chronic kidney disease. To address the efficacy and safety of these agents in real-world populations, Hernandez et al published an analysis of 5887 patients enrolled in the Get with the Guidelines HF registry,<sup>46</sup> reporting no difference in mortality or all-cause rehospitalization in the 18.2% of patients treated with these medications. While patients treated with aldosterone antagonists did have a lower risk of HF-related rehospitalization, they also had a 2.5-fold increased risk of hospitalization for hyperkalemia within 30 days of initiation. The implications of these data taken in conjunction with those from randomized trials is that aldosterone antagonists can be efficacious therapies, but should be used with caution in patients with a history of hyperkalemia or renal insufficiency (estimated glomerular filtration rate <60mL/min). Potassium and renal function should be monitored at 1 week, 4 weeks, and 3 months after initiation in all patients.

### **Cardiac Resynchronization Therapy Should be Considered in Patients with Less Severe Symptoms**

In previous versions of the ACCF/AHA/HRS guidelines a class I indication for cardiac resynchronization therapy (CRT) was given only for patients with NYHA functional class III symptoms, an EF < 35%, and a QRS duration of > 120 msec. In the 2012 update, the class I indication was expanded to include patients with NYHA class II symptoms.<sup>47</sup> However, the class I recommendation was confined to patients with a left bundle branch block and QRS duration > 150msec (Table 1). These changes were made based on results of trials including REVERSE,<sup>48</sup> MADIT-CRT,<sup>49</sup> and RAFT.<sup>50</sup>

### **Intravenous Iron Therapy Improves Exercise Capacity in Iron Deficient Patients with HF**

Anemia is associated with increased morbidity and mortality among patients with HF,<sup>51,52</sup> and is more common in women and patients with HFpEF. Given the prevalence of anemia in HF and associated adverse effects, there has been interest in using iron and erythropoietin therapy. As iron is not well absorbed orally, its safety and efficacy when administered intravenously has been investigated in 3 randomized trials. While no consistent improvements in hard clinical endpoints such as death and hospitalization were seen, intravenous iron therapy was safe and improved New York Heart Association (NYHA) functional class and exercise capacity<sup>53-55</sup> in iron-deficient HF patients with and without anemia. Thus, use of intravenous iron therapy should be considered in patients with HF and iron deficiency. Erythropoietin is produced by the kidneys, is often elevated in patients with HF, and is associated with adverse outcomes. In patients with advanced chronic kidney disease, erythropoietin is frequently used to treat anemia, and thus, was of interest for use in patients with HF and anemia. Despite promising results in smaller studies, the recently



published RED-HF trial, which randomized 1136 patients with hemoglobin 9–13mg/dL to darbepoetin alfa or placebo, found no difference in clinical outcomes in patients treated with darbepoetin.<sup>56</sup> At this time, there is not sufficient data to support the routine use of erythropoietin agents in patients with HF and anemia.

### **Pharmacogenetics May Help Individualize Treatment of Patients with HF**

Pharmacogenetics is the study of the role that inherited factors play in an individual's response to a drug. Advances in DNA sequencing and genotyping have made it possible to rapidly and accurately identify variation in DNA sequence and structure. As a result, we can now correlate genomic variation with drug response, which helps us to predict individual variation in responses to specific medications, to optimize medication selection and dose, and to avoid adverse medication effects. In HF, one early example of the potential importance of pharmacogenetics is with use of beta blockers. While beta blockers are known to reduce morbidity and mortality in HF, there is heterogeneity in this response. It was hypothesized that this heterogeneity may be explained in part by genetic variation in the  $\beta$ 1 adrenergic receptor (ADR $\beta$ 1). The HF ACTION DNA study found that patients with a specific polymorphism of the ADR $\beta$ 1 receptor (ARG389) required larger doses of beta blockers to achieve similar effects compared with other patients.<sup>57</sup> There are important challenges to address regarding the implementation of pharmacogenetics in clinical practice, including availability of genotyping, insurance coverage of testing, and physician and patient acceptance.<sup>58</sup>

## **UPDATES ON THE MANAGEMENT of PATIENTS WITH ACUTE DECOMPENSATED HF (ADHF)**

### **Emergency Department Observation Units May Decrease the Need for Hospital Admission**

Each year, nearly 800,000 patients are admitted to the hospital with HF from the Emergency Department (ED). Only a small proportion of patients present in cardiogenic shock or require invasive diagnostic evaluations or intravenous inotrope infusions. However, only 10–20% of the patients who present to the ED with HF are discharged to home,<sup>59</sup> with the remainder admitted to the hospital. As some of the patients admitted may only require decongestion and monitoring for a short period of time, there has been interest in understanding whether ED observation protocols can be developed to treat patients with HF who require further evaluation before deciding about disposition. A two-level algorithm to identify low and intermediate-risk candidates for observation has been proposed.<sup>60</sup> Patients exhibiting high risk features such as hemodynamic instability, worsening renal function, and elevated troponin would be admitted to an inpatient unit. Those who quickly return to their baseline after initiation of diuretic therapy and have no high risk features could be discharged to home. The third group of low- and intermediate-risk patients would be candidates for a 24 hour observation unit where continued response to therapy could be visualized. Up to 50% of patients who are currently admitted with HF may qualify for observation units and up to 75% of those may be able to be discharged home after observation without requiring hospitalization. However, a randomized-controlled trial would

be needed before deciding whether this option can reduce costs and resource use without affecting outcomes.

### **There are Multiple Equivalent Strategies to Decongest Patients Admitted with HF**

Approximately 90% of patients admitted with HF are treated with loop diuretics, but there has been controversy as to whether bolus dosing or continuous infusion resulted in better decongestion. A number of small trials had been published but they were underpowered and reported disparate results.<sup>61–63</sup> In 2011, the NIH-sponsored DOSE trial that found no difference in patient-reported symptoms, change in renal function, or net fluid loss in patients treated with bolus vs. continuous infusion of intravenous furosemide.<sup>64</sup> A reasonable total daily intravenous furosemide starting dose upon admission would be 2.5 times the patient's total daily outpatient oral diuretic dose in furosemide equivalents. Lower doses could be employed, but may require longer duration of intravenous diuresis or a dose increase if a lack of response is observed.

### **Therapy For Patients Presenting with Cardiorenal Syndrome Remains Challenging**

Strategies previously advocated have included use of ultrafiltration, low-dose dopamine, and nesiritide. However, recent results have suggested that none of these therapies is more efficacious than intravenous diuretics. The CARESS-HF trial found that ultrafiltration resulted in similar weight loss compared with diuretics plus inotropes, but was associated with worsening renal function and increased adverse events.<sup>65</sup> The efficacy of adding low-dose dopamine to diuretics was tested in the DAD-HF and ROSE-AHF trials. In DAD-HF, patients randomized to a low-dose furosemide infusion and 5µg/kg/min dopamine had improved renal function compared to patients treated with very high-dose furosemide infusion (20mg/hr).<sup>66</sup> However, ROSE-AHF found no difference in urine volume or renal function with addition of dopamine to intravenous diuretics in patients with ADHF and renal dysfunction.<sup>67</sup> Finally, nesiritide, a recombinant B-type natriuretic peptide (BNP), was approved for use in patients with ADHF in the United States in 2001, as earlier studies demonstrated an improvement in dyspnea and reduction pulmonary capillary wedge pressure after administration.<sup>68,69</sup> However, subsequent meta-analyses raised concerns that nesiritide may be associated with worsening renal function and higher mortality.<sup>70</sup> Both the ASCEND and ROSE-AHF randomized trials found no increase in the risk of death or worsening renal function in patients treated with nesiritide.<sup>67,71</sup> Both studies found a greater risk of hypotension with nesiritide, and only ASCEND reported a small, non-significant improvement in dyspnea with nesiritide compared with placebo. There is no strong evidence to support the routine use of ultrafiltration, dopamine, or nesiritide in the management of ADHF and cardiorenal syndrome. A more prudent approach may be to treat patients with intravenous loop diuretics, and to only consider additional therapies in refractory patients.

### **There is no Easy Solution to the HF Readmissions Problem**

One in four patients discharged from the hospital following an admission for HF are readmitted within 30 days (median cost of \$9923 per readmission<sup>72</sup>), and HF is the most frequent reason for readmission among Medicare beneficiaries.<sup>73</sup> Given the economic and public health implications of readmissions, several healthcare related institutes and payers have focused on this metric as an indicator of the quality of the care that is provided. On



October 1, 2012, the Centers for Medicare and Medicaid Services (CMS) began to financially penalize hospitals with higher than expected 30-day readmission rates for pneumonia, acute myocardial infarction, and HF. As such, hospitals began scrambling to implement strategies to reduce readmissions and avoid the pay-for-performance penalties. While a wide variety of strategies have been implemented, they can be categorized into 3 groups: (1) quality improvement efforts and performance monitoring (e.g., presence of a quality improvement team, partnering with community-based agencies to reduce readmission), (2) medication management (e.g. medication reconciliation and patient teaching), and (3) discharge and follow-up procedures (e.g., early outpatient follow-up, care transitions programs).<sup>74</sup> The vast majority of hospitals have implemented multiple practices in these domains.<sup>74</sup> Perhaps the most enlightening analysis of best practices is by Bradley et al, who recently described 6 strategies which were associated with a significant reduction in readmissions in a national hospital survey (Table 2).<sup>75</sup> While the magnitude of readmission reduction for each of these strategies was small, their combined effect may constitute a meaningful difference, and would be an appropriate place to focus readmission reduction efforts.

## **STAGE D HF: REFRACTORY END-STAGE HF**

Stage D encompasses patients with refractory HF despite usual medical therapy, and often includes patients with recurrent hospitalizations. These patients experience daily life-limiting symptoms, and are unlikely to resume stable disease with continuation of stage C HF therapies. It is estimated that approximately 5% of the patients with HF are stage D.<sup>76</sup> While an individual with stage D HF's risk of death may vary according to their specific clinical characteristics, the estimated 1- and 5-year mortality in all stage D patients is 28%<sup>76</sup> and 80%,<sup>4</sup> respectively. In recent years, therapeutic options for patients with stage D HF have increased. However, not all options are medically appropriate for all patients, and some therapies may not be in alignment with an individual's goals and preferences. Therefore, there has been a recognized need to promote shared decision making and improved patient-provider communication around potential options in stage D patients.<sup>77</sup> Referral to an advanced HF provider should be considered at any time when questions arise in the management of patients with HF, but particularly when having difficulty managing a patient's HF symptoms, when a patient is unable to tolerate HF-related medications such as beta blockers, with complicated or recurrent HF hospitalizations, or when a provider believes that MCS and cardiac transplant should be considered (Table 3).

### **Mechanical Circulatory Support Is an Efficacious Therapy for Selected Patients with Stage D HF**

We are in the midst of a rapid evolution in our ability to care for patients with advanced HF due to the introduction and improvement in MCS. Until recently, cardiac replacement therapy was limited to orthotopic heart transplant. While an efficacious therapy, organ supply is limited having remained around 2200 heart transplants per year in the United States, with most organs allocated to younger patients with limited comorbidities. In the past two decades, MCS devices have gotten smaller with a lower rate of complications. The LVADs used most frequently now provide continuous flow from the left ventricle through

the pump and into the aorta. They are quite durable and have enabled patients who are not candidates for cardiac transplantation to be implanted with an LVAD to remain in situ until death (“destination therapy”, DT). In addition, they have also allowed patients who are awaiting heart transplantation to reap the benefits of improvement in HF symptoms and quality of life until suitable organs become available (“bridge to transplant”, BTT).

As with any therapy, there are risks and benefits with MCS. While survival (1-year survival 68% vs. 25%, respectively<sup>78,79</sup>) and quality of life are both improved in patients with advanced HF treated with MCS compared with medical therapy, complications are common. In patients with LVAD implanted as DT, both device related infection (incidence 8.01 per 100 patient months) and stroke (incidence 0.13 per patient-year ) are still very common.<sup>79</sup> The most common reason for readmission after LVAD is gastrointestinal bleeding,<sup>80</sup> and a growing body of evidence has implicated acquired Von Willebrand Factor deficiency with a loss of large von Willebrand multimers.<sup>81,82</sup> Severe device malfunction requiring pump exchange is rare (incidence 0.06 per patient-year).<sup>79</sup> It is estimated that only 30% of MCS patients are free from any adverse event (infection, bleeding, device malfunction, stroke, or death) within the first year of implantation.<sup>83</sup> Furthermore, nearly all patients require one or more readmissions early after implantation, with an average of 2 readmissions in the first 6 months.<sup>80,84</sup>

As our experience in managing patients with LVADs grows, we learn more about optimal strategies for follow-up. Echocardiographic monitoring is an important component of the longitudinal care of LVAD recipients, and there is a growing body of literature advising us on both normal and abnormal echocardiographic values post-LVAD (Table 4).<sup>85–87</sup>

While the cost effectiveness has improved with the current continuous flow LVADs, costs remain high (\$198,184 per quality adjusted life year<sup>88</sup>) compared with other cardiac device-related therapies such as CRT.<sup>89</sup> The cost effectiveness may continue to improve if the cost of devices declines and as we continue to achieve better patient outcomes. Attaining the best patient outcomes requires both optimal patient selection and appropriate timing of implantation along the HF trajectory. As device technology improves, we may be able to offer MCS to “less sick” patients with advanced HF,<sup>90</sup> while still improving their outcomes compared with usual medical therapy. In addition, while LVADs have historically been used as a treatment for patients with dilated and ischemic cardiomyopathy, some centers are implanting LVADs in selected patients with hypertrophic and restrictive cardiomyopathy.<sup>91</sup>

MCS technology continues to advance and evolve.<sup>92</sup> Implantable miniature pumps, such as the Circulite Synergy,<sup>93</sup> are being developed that may provide long-term partial support (less liters per minute than the current LVADs), but have the benefit of not requiring cardiopulmonary bypass or a sternotomy for implantation. Thoratec’s HeartMate III has a compact design but can still provide full support (up to 10 liters per minute). Biventricular support is already being provided in some patients with the total artificial heart, a pump that is implanted with removal of both ventricles and the majority of both atria. Syncardia’s total artificial heart has been approved as bridge to transplant therapy since 2001, and more recently as compassionate use in DT patients by the Food and Drug Administration.

Furthermore, there have been case reports of successful use of continuous flow pumps in both ventricles.<sup>94,95</sup>

### All Patients with Advanced HF Should Participate in Advanced Care Planning

The highest rate of hospitalizations and cumulative resource utilization in patients with HF occurs at the end of life.<sup>96,97</sup> This is despite the fact that the vast majority of patients with chronic conditions say they would want to avoid hospitalization as they near death.<sup>98</sup> There are numerous models available to predict death and readmission in HF, with the Seattle HF model<sup>99</sup> being the most widely used in clinical practice. While models are imperfect at predicting outcomes for an individual, they are generally more accurate than clinical judgment, which tends to overestimate prognosis. Combining risk prediction models with adaptation based on clinical knowledge of an individual's situation may be the best approach to providing accurate individualized risk prediction.<sup>77</sup> As HF is a clinical syndrome that often follows an unpredictable trajectory, it is important for providers to periodically review HF patients' preferences for care in the case of both expected and unexpected occurrences. Advanced directives, an important component of advanced care planning and documentation of wishes regarding care, have only been completed in 41% of patients with HF,<sup>100</sup> and should ideally be completed and revised as needed after discussion between patients, providers, and families. Palliative care, whose aim is to improve quality of life and support patients' and families as they deal with chronic and complex illnesses,<sup>101</sup> is associated with improved patient and family satisfaction and decreased healthcare utilization and costs<sup>102,103</sup> and should be considered as an option in patients with stage D HF. In addition to enhancing transitions to end of life care and hospice when appropriate, palliative medicine can also help with preparedness planning prior to use of advanced therapies such as LVAD<sup>104</sup> and transplant.

### Conclusion

Since 2010, while there have been no revolutionary new therapies to treat HF, there have been ongoing advances in our ability to diagnose and treat patients with HF. In particular, the ongoing improvement in MCS has offered some patients with end-stage HF new life-prolonging options. As the prevalence of HF has continued to rise, moving forward it will be important to remain committed to searching for effective ways to prevent the development of active HF in patients with stage A and B HF, and to finding efficacious therapies to treat the growing number of patients with HFpEF.

### ABBREVIATIONS

<b>ACCF</b>	American College of Cardiology Foundation
<b>ACE-I</b>	angiotensin converting enzyme inhibitor
<b>ADHF</b>	acute decompensated HF
<b>AHA</b>	American Heart Association
<b>ARB</b>	angiotensin receptor blocker

<b>DCM</b>	dilated cardiomyopathy
<b>HF</b>	heart failure
<b>LVAD</b>	left ventricular assist device
<b>MCS</b>	mechanical circulatory support
<b>HFpEF</b>	heart failure with preserved EF
<b>HFrEF</b>	heart failure with reduced EF

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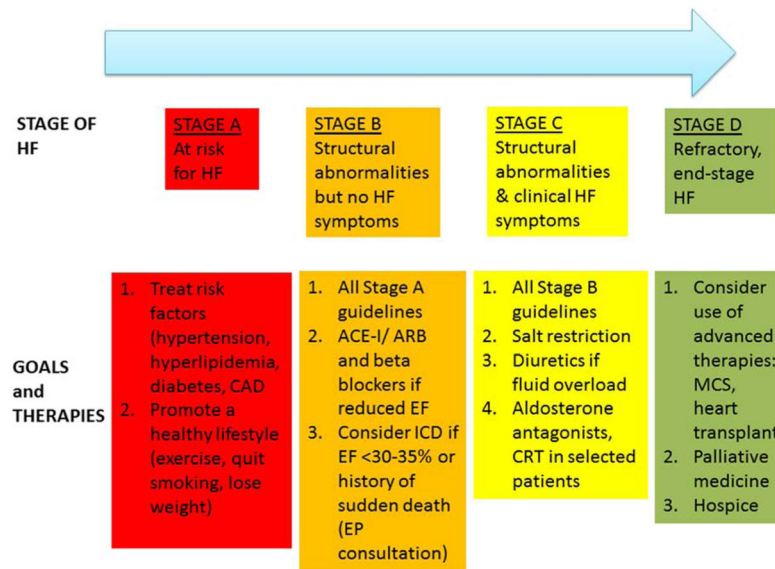
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### Take Home Points

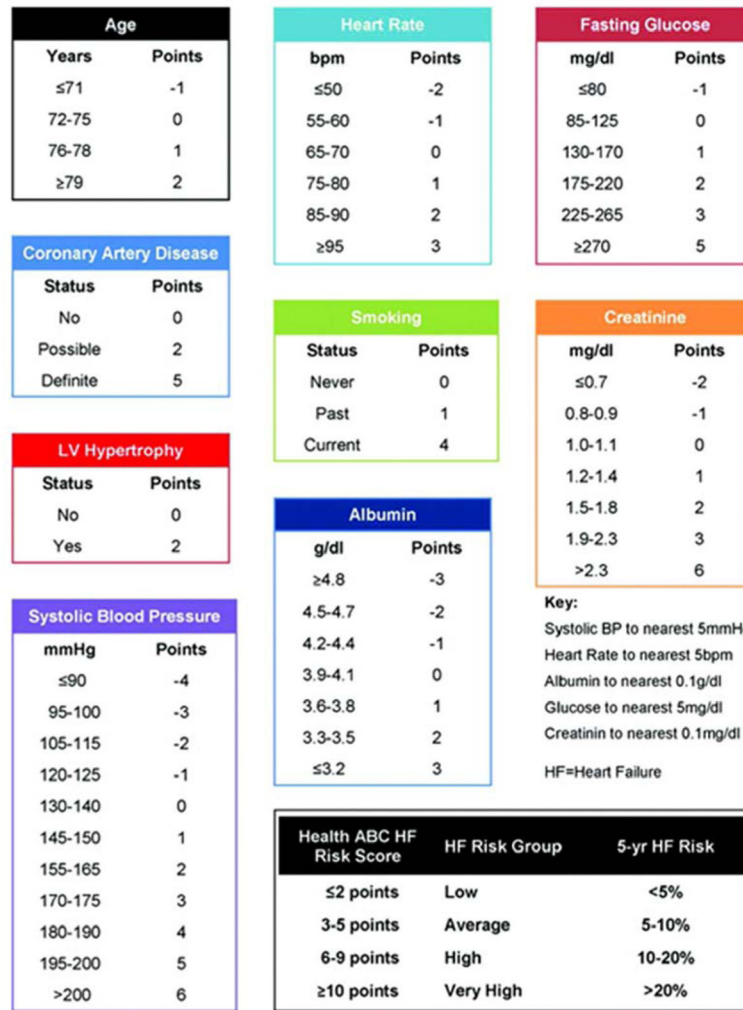
- HF is becoming more common and associated with rising costs of care
- Treatment of patients at risk for developing HF (stage A) should be aimed at controlling modifiable risk factors
- Patients with structural heart abnormalities but no clinical symptoms of HF (stage B) are 3–4 times more common than patients with a clinical diagnosis of HF (stage C and D).
- Referral to an advanced HF provider should be considered at any time when questions arise in the diagnosis and management of patients with HF, but particularly when having difficulty managing a patient’s HF symptoms, when a patient is unable to tolerate HF-related medications such as beta blockers, with complicated or recurrent HF hospitalizations, or when mechanical circulatory support and cardiac transplant may be an option.



**Figure 1. Stages in the Development of Heart Failure**

Adapted from *J Am Coll Cardio*<sup>1</sup> with permission.

ACE-I= angiotensin converting enzyme inhibitor, ARB= angiotensin receptor blocker, CAD= coronary artery disease, CRT= cardiac resynchronization therapy, EP= electrophysiology, ICD= implantable cardioverter defibrillator, MCS= mechanical circulatory support



**Figure 2. Predicting Risk of Heart Failure: the Health ABC Risk Score**  
 ABC= Aging and Body Composition, HF= heart failure, LV= left ventricular  
 Reprinted from *Circ Heart Fail* with permission.



**Table 1**

## Indications for Cardiac Resynchronization Therapy

NYHA Functional Class	Classification of Recommendation			
	Class I Benefit >>> Risk	Class IIa Benefit >> Risk	Class IIb Benefit Risk	Class III No Benefit
<b>I</b>			EF 30% QRS 150msec LBBB Ischemic	QRS<150msec Not LBBB
<b>II</b>	EF 35% QRS 150msec LBBB Sinus rhythm	EF 35% QRS 120–149msec LBBB Sinus rhythm	EF 35% QRS 150 msec Non LBBB Sinus rhythm	QRS<150 msec & Not LBBB
<b>III</b>	EF 35% QRS 150msec LBBB Sinus rhythm	EF 35% Sinus rhythm LBBB + QRS 120–149msec OR Non LBBB + QRS 150 msec	EF 35% QRS 120–149msec Non LBBB Sinus rhythm	
<b>IV/Stage D</b>				If limited survival to <1 year
<b>Atrial fibrillation</b>		EF<35% and requires pacing or expected to pace frequently		

<sup>a</sup>EF= ejection fraction, LBBB= left bundle branch block, NYHA= New York Heart Association

All patients should be on goal-directed medical therapy prior to consideration of CRT. Data from *J Am Coll Cardio*<sup>47</sup>.

**Table 2**

## Six Effective Strategies to Reduce Readmissions in HF

Strategy to Reduce Readmission	Estimated Absolute Reduction in Risk Standardized 30-Day Readmission Rates
1. Partnering with community physicians or physician groups	0.33%
2. Partnering with local hospitals to reduce readmissions	0.34%
3. Having nurses responsible for medication reconciliation	0.18%
4. Arranging follow-up appointments before discharge	0.18%
5. Having a process in place to send all discharge paper or electronic summaries directly to the patient's primary physician	0.21%
6. Assigning staff to follow up on test results that return after the patient is discharged.	0.26%

Data from Circ Cardiovasc Qual Outcomes<sup>75</sup>.

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**Table 3**

## When to Consider a Patient for Cardiac Transplantation or LVAD as Destination Therapy

Indications	Contraindications
<b>Heart Transplant</b>	
<ul style="list-style-type: none"> <li>• Refractory cardiogenic shock</li> <li>• Severe persistent angina and coronary arteries not amenable to revascularization</li> <li>• Markedly reduced exercise capacity (peak <math>VO_2 &lt; 10\text{--}14</math> mL/kg/min)</li> <li>• Recurrent refractory ventricular arrhythmias</li> </ul>	<ul style="list-style-type: none"> <li>• High pulmonary vascular resistance</li> <li>• Active malignancy or infection</li> <li>• Active substance abuse</li> <li>• Inadequate social support</li> <li>• <sup>b</sup> Age (&gt;70 heart alone, &gt;65 dual organ transplant)</li> <li>• <sup>b</sup> Excess comorbidity (for example uncontrolled diabetes, psychiatric disease, morbid obesity)</li> </ul>
<b>LVAD as Destination Therapy<sup>c</sup></b>	
<ul style="list-style-type: none"> <li>• Has indications for heart transplant but ineligible due to age, high pulmonary vascular resistance, comorbidities</li> <li>• Medicare requires EF &lt; 25%</li> </ul>	<ul style="list-style-type: none"> <li>• Active malignancy or infection</li> <li>• Cirrhosis</li> <li>• Severe RV dysfunction</li> <li>• Inadequate social support</li> <li>• <sup>b</sup> Active substance abuse</li> <li>• <sup>b</sup> Hemodialysis</li> <li>• Inability to tolerate long-term anticoagulation</li> </ul>

<sup>a</sup> LVAD= left ventricular assist device

<sup>b</sup> Indicates a relative contraindication

<sup>c</sup> LVAD as bridge to transplant (BTT) may be used in any patient listed for heart transplantation felt to benefit. The LVAD is removed at the time of heart transplantation. A total artificial heart may be considered in a patient awaiting heart transplant who needs MCS but has very poor RV function

**Table 4**

## Echocardiographic Parameters in Patients with Left Ventricular Assist Devices

<b>Changes in Echocardiographic Variables Postoperatively in Patients with Normal LVAD Function</b>	
1	Signs of decreased left ventricular filling pressures: <ol style="list-style-type: none"> <li>a. Increase in mitral inflow deceleration time</li> <li>b. Decrease in left atrial volume</li> <li>c. Decrease in E/e' ratio</li> <li>d. Neutral or slightly leftward position of the interventricular and atrial septum</li> </ol>
2	Decreased estimated right atrial pressure
3	Improvement in RV function both qualitatively and quantitatively (RIMP, fractional area change)
4	Decreased severity of mitral regurgitation
5	Increased severity of aortic regurgitation
<b>Variables Associated with Adverse Outcome in Patients with LVAD</b>	
1	Increased estimated left atrial pressure
2	Mitral deceleration index <2 (ratio of deceleration time to E-wave velocity)
3	Decreased tricuspid lateral annulus velocity

<sup>a</sup>LVAD= left ventricular assist device, RIMP= right ventricular index of myocardial performance, RV= right ventricle

Data from *Circ Cardiovasc Imaging and J Am Soc Echocardiogr*<sup>86,87</sup>