

HHS Public Access

Author manuscript *Cell Rep.* Author manuscript; available in PMC 2016 June 29.

Published in final edited form as:

Cell Rep. 2016 June 28; 16(1): 79–91. doi:10.1016/j.celrep.2016.05.044.

Defects in the *CAPN1* gene result in alterations in cerebellar development and in cerebellar ataxia in mice and humans

Yubin Wang¹, Joshua Hersheson³, Dulce Lopez¹, Monia Ben Hamad^{4,5}, Yan Liu¹, Ka-Hung Lee¹, Vanessa Pinto, Jeff Seinfeld¹, Sarah Wiethoff³, Jiandong Sun², Rim Amouri⁴, Faycal Hentati⁴, Neema Baudry, Jennifer Tran¹, Andrew B Singleton⁵, Marie Coutelier^{6,7,8}, Alexis Brice^{6,9}, Giovanni Stevanin^{6,8,9}, Alexandra Durr^{6,9}, Xiaoning Bi², Henry Houlden^{3,10}, and Michel Baudry^{1,10}

¹Graduate College of Biomedical Sciences, Western University of Health Sciences, Pomona, CA 91766

²College of Osteopathic Medicine of the Pacific, Western University of Health Sciences, Pomona, CA 91766

³The National Hospital for Neurology and Neurosurgery and UCL Institute of Neurology Queen Square, London WC1N 3BG

⁴Department of Molecular Neurobiology and Neuropathology, National Institute of Neurology, La Rabta, Tunis 1007, Tunisia

⁵Laboratory of Neurogenetics, National Institute of Health, Bethesda, MD

⁶INSERM U 1127, CNRS UMR 7225, Sorbonne Universités, Université Pierre et Marie Curie Paris 06 UMRS 1127, Institut du Cerveau et de la Moelle épinière, 75013 Paris, France

⁷Laboratory of Human Molecular Genetics, de Duve Institute, Université Catholique de Louvain, 1200 Brussels, Belgium

¹⁰Co-Senior authors (mbaudry@westernu.edu; h.houlden@ucl.ac.uk)

Publisher's Disclaimer: This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final citable form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

Author Contributions

YW performed the majority of the experiments, prepared the figures and wrote the manuscript.

JH performed the human gene analysis.

DL performed a number of experiments

MBH performed the human gene analysis.

YL performed the behavioral experiments.

KHL performed the electrophysiological experiments.

VP and JS performed a number of experiments.

FH and RA provided clinical details.

SW performed human gene analysis.

JS, NB and JT and ABS performed a number of experiments.

XB designed experiments and wrote the manuscript.

MC performed human gene analysis and wrote the manuscript.

AB, GV and AD performed human gene analysis.

HH designed human experiments, analyzed results and wrote the manuscript.

MB designed the studies, and wrote the manuscript.

The authors declare that they have no conflict of interest.

⁸Ecole Pratique des Hautes Etudes (EPHE), Paris Sciences et Lettres (PSL) Research University, Neurogenetics team, 75013 Paris, France

⁹Centre de Référence de Neurogénétique, Hôpital de la Pitié-Salpêtrière, Assistance Publique – Hôpitaux de Paris, 75013 Paris, France

SUMMARY

A *CAPN1* missense mutation in Parson Russell Terrier dogs is associated with spinocerebellar ataxia. We now report that homozygous *CAPN1* null mutations in humans result in cerebellar ataxia and limb spasticity in four independent pedigrees. Calpain-1 knock-out (KO) mice also exhibit a mild form of ataxia due to abnormal cerebellar development, including enhanced neuronal apoptosis, decreased number of cerebellar granule cells, and altered synaptic transmission. Enhanced apoptosis is due to absence of calpain-1 mediated cleavage of PH domain and Leucine rich repeat Protein Phosphatase 1 (PHLPP1), which results in inhibition of the Akt pro-survival pathway in developing granule cells. Injection of neonatal mice with the indirect Akt activator, bisperoxovanadium, or crossing calpain-1 KO mice with PHLPP1 KO mice prevented increased postnatal cerebellar granule cell apoptosis, and restored granule cell density and motor coordination in adult mice. Thus, mutations in *CAPN1* are an additional cause of ataxia in mammals, including humans.

Keywords

ataxia; calpain-1; cerebellum; apoptosis; development

INTRODUCTION

Calpains are calcium-dependent proteases playing both physiological and pathological roles in the central nervous system (CNS) (Liu et al., 2008; Wang et al., 2013; Wang et al., 2014). Two major calpain isoforms are present in CNS, calpain-1 and calpain-2, which differ in their calcium requirements for activation. Calpain activity is higher in cerebellum than in cortex or hippocampus across different mammalian species (Baudry et al., 1986). Immunohistochemistry study revealed that the major calpain isoform expressed in cerebellar neurons is calpain-1 (Hamakubo et al., 1986). Calpain-1 activity in cerebellum during prenatal and early postnatal period is high, as compared to that in adulthood (Simonson et al., 1985), suggesting a potential role for calpain-1 in cerebellar development. Interestingly, a *CAPN1* missense mutation in the Parson Russell Terrier dog breed has been associated with spinocerebellar ataxia (Forman et al., 2013).

Loss of cerebellar granule cells (CGCs) induced by different mechanisms results in ataxia (Hashimoto et al., 1999; Kim et al., 2009; Pennacchio et al., 1998; Shmerling et al., 1998). NMDA receptor (NMDAR) activity is essential for CGC survival during the critical stage of cerebellar development (Balazs et al., 1988; Monti and Contestabile, 2000; Monti et al., 2002; Moran and Patel, 1989), although the underlying mechanism remains elusive. NMDAR-induced activation of the nuclear factor CREB is required (Monti et al., 2002), and CREB is a target of the pro-survival kinase Akt (Du and Montminy, 1998).

Synaptic NMDAR-mediated calpain-1 activation results in the degradation of the PH domain and Leucine rich repeat Protein Phosphatase 1 (PHLPP1). PHLPP1 dephosphorylates and inhibits Akt, and is involved in tumorigenesis (Chen et al., 2011), circadian clock (Masubuchi et al., 2010), learning and memory process (Shimizu et al., 2007; Wang et al., 2014), and autophagy (Arias et al., 2015). Calpain-1-mediated degradation of PHLPP1 activates Akt and promotes neuronal survival (Wang et al., 2013), and we postulated that calpain-1 mediated regulation of PHLPP1 and Akt could be involved in NMDAR-dependent CGC survival during postnatal development.

Here we report that calpain-1 KO mice exhibit abnormal cerebellar development, including enhanced apoptosis of CGCs during the early postnatal period, reduced granule cell density and impaired synaptic transmission from parallel fiber to Purkinje cells, resulting in an ataxia phenotype. All these defects are due to deficits in the calpain-1/PHLPP1/Akt prosurvival pathway in developing granule cells, since treatment with an Akt activator during the postnatal period or crossing calpain-1 KO mice with PHLPP1 KO mice restores most of the observed alterations in cerebellar structure and function in calpain-1 KO mice. We also report four human families carrying homozygous or heterozygous compound *CAPN1* mutations segregating with cerebellar ataxia. These findings indicate that *CAPN1* is an additional gene for cerebellar ataxia.

RESULTS

Four human pedigrees of spastic ataxia with calpain-1 null mutations

Blood samples and DNA were extracted from affected and unaffected family members with informed consent (IRB/ethics 06/N076). The index patient in family R (Fig. 1A) is currently 43 years old and of Bangladeshi origin, living in the UK. The proband first presented with gait ataxia, spasticity and dysphagia in her late teens with slow symptom progression over the subsequent years. She is now a wheelchair user with severe ataxia, cerebellar and bulbar dysarthria, and she falls and exhibits spasticity. There is mild cognitive decline on clinical and standard psychometric IQ testing. MRI investigations showed mild cerebellar atrophy (Fig. 1B). Electromyography and nerve conduction studies were normal. Standard screening prior to mapping and exome sequencing included negative testing for SCA1, 2, 3, 6, 7, 8, 11, 12, 14, 17, FRDA, AOA1, AOA2, ATM and common mitochondrial mutations.

Homozygosity mapping across the genome was carried out using DNA SNP arrays (Illumina) and identified shared regions of homozygosity with a number of variants between the two affected individuals in family R. Exome sequencing was carried out to a depth of $50 \times$ coverage and variants filtered according to a number of parameters, including the homozygous regions in both affected individuals. They shared a homozygous splice mutation in *CAPN1* (exon3:c.337+1G>A), predicted to interfere with normal RNA splicing.

cDNA was synthesized from RNA extracted from a peripheral blood sample from the index patient in family R. Primers designed to amplify across the splice site were used to show that the variant results in retention of part of the intron producing an in-frame insertion of 9 amino acids (Fig. 1C). Homology modeling of the mutant protein was performed using SwissModel and was based on the established crystal structure for calpain-1. The 9 amino

acid insertion was located close to the active site and visualization of the mutant protein structure shows that the additional peptide loop would sterically block the active site cleft (Fig. 1D). Besides the two patients in family R mentioned above, we found *CAPN1* mutations in three additional pedigrees. One Italian patient (SAL-584-005) harbored a NM_001198868:c.183dupC homozygous frameshift variant, two Tunisian siblings (Tun66273 and Tun66275) presented with a NM_001198868:c.1534C>T homozygous missense mutation, and one proband from French and Spanish ascent (SAL-399-073) carried a NM_001198868:c.C463T nonsense variant (Q155X) in trans with a NM_001198868:c.C1142T missense change. Reported missense mutations are respectively responsible for arginine 512 to cysteine (R512C) and alanine 381 to valine (A381V) substitutions in calpain-1, which may both disrupt the protein function. All these families have similar clinical presentations with progressive spastic ataxia, associated with mild axonal peripheral involvement and slow ocular saccades in one family. We further describe them in supplemental information (Figs. S1, S2, and S3).

Fibroblasts were isolated from the skin biopsy of the index patient in family R and two control humans. Levels of calpain-1, calpain-2, PHLPP1 and Akt in fibroblast lysates were examined with western blot (Fig. 1E,F). Surprisingly, calpain-1 expression was completely absent in patient fibroblasts. There was a faint band slightly higher than the normal calpain-1 band found in control fibroblasts (Fig. 1E, calpain-1 high exposure), which is possibly the mutated calpain-1 with the 9 amino acid insert. These results suggest that the mutated calpain-1 protein is unstable and rapidly degraded after synthesis. Calpain-2 level was normal in the patient cell line, as compared to two control cell lines. The level of PHLPP1, a specific substrate of calpain-1 (Wang et al., 2013), was slightly but not significantly increased, and the level of phospho-Akt Ser473 (pAkt), which can be dephosphorylated by PHLPP1, was significantly decreased in patient fibroblasts, as compared to control fibroblasts. Calpain activity in fibroblast lysates was measured with a fluorescent substrate, Suc-Leu-Tyr-AMC (Fig. 1G), in the presence of 20 μ M or 2 mM free Ca²⁺, respectively. While calpain-1 activity was present in control cell lines, it was absent in the patient cell line. Calpain-2 activity was present in all cell lines. A calpain-2 selective inhibitor (C2I) Z-Leu-Abu-CONH-CH₂-C₆H₃ $(3, 5-(OMe)_2)$ (Wang et al., 2014) was used to confirm the absence of calpain-1 in the patient cell line.

Calpain-1 KO mice exhibit ataxia

To test whether lack of calpain-1 could result in ataxia in mice, motor performance of calpain-1 KO and wild-type (WT) mice was evaluated by rotarod and gait tests. Calpain-1 KO mice exhibited a slight but not significant reduced latency to fall from a rotating rod at 3 months of age (Fig. 2A). At 7 months of age, KO mice exhibited a significantly shorter latency to fall from trial days 3 to 7, as compared to age-matched WT mice (Fig. 2B). Calpain-1 KO mice also had shorter strides and stances and longer sways at all tested ages (3, 5, 6 and 7 months), as compared to WT mice (Fig. 2C-E).

Calpain-1 KO mice exhibit enhanced apoptosis of CGCs during postnatal development and reduced CGC density in adulthood

Blockade of NMDAR during postnatal development exacerbates the normal apoptotic elimination of CGCs in rats (Monti and Contestabile, 2000). We first repeated this result with multiple intraperitoneal (ip) injections of the NMDAR antagonist MK801 (0.5 mg/kg) before postnatal days (PND) 3, 7 or 10 in WT mice. After three injections at 24, 16 and 8 h before PND 3, 7 or 10, enhanced apoptosis was evident in the external and internal granular layer of cerebellum in MK801-injected mice at PND7 (Fig. 3A, C) and 10 (Fig. 3B,C) but not PND3 (Fig. 3C), a result consistent with the findings in rats. To determine whether calpain-1 was involved in NMDAR-mediated survival in developing cerebellum, we analyzed neuronal apoptosis in cerebellum of calpain-1 KO mice at PND3, 7 and 10. Enhanced apoptosis was found in the cerebellar granular layer of calpain-1 KO mice at PND7 (Fig. 3A,C) and 10 (Fig. 3B,C) but not 3 (Fig. 3C), as compared to age-matched WT mice. Both the temporal and spatial patterns of neuronal apoptosis in calpain-1 KO mice were similar to those found in MK801-injected mice, suggesting that calpain-1 and NMDAR activate the same pro-survival pathway in developing CGCs. We determined the long-term consequences of enhanced CGC apoptosis in developing cerebellum by measuring CGC density in 3-month old calpain-1 KO mice and WT mice. Calpain-1 KO mice had a small but significant reduction in CGC density, as compared to WT mice (Fig. 3D).

In addition, we found enhanced apoptosis in multiple brain regions of calpain-1 KO mice at PND3 and 7 but not PND10, as compared to WT mice at the same ages (Fig. S4A,B and Table S1), suggesting that calpain-1 activity also supports neuronal survival in multiple brain regions during postnatal development. NMDARs are transiently expressed and their activation also supports neuronal survival in the developing spinal cord (Brenneman et al., 1990; Kalb et al., 1992). However, we did not find increased apoptosis in the cervical or lumbar enlargement of spinal cord in MK801-injected mice or calpain-1 KO mice at PND7 (Fig. S4C,D).

Calpain-1 KO mice exhibit impaired parallel fiber to Purkinje cell synaptic transmission

Calpain-1 KO mice exhibited normal overall cerebellar morphology (Fig. S5A) and normal dendritic branching pattern (Fig. S5B) of Purkinje cells. Golgi staining of cerebellar sections of 3-month old WT and calpain-1 KO mice indicated that spine density was comparable between WT and KO mice, but spine morphology was significantly different (Fig. 3E-G). Purkinje cells in KO mice had more thin or filopodia-like spines and less mushroom or stubby spines, as compared to WT mice, indicating that there is a higher proportion of immature spines in Purkinje cells of adult calpain-1 KO mice, as compared to WT mice.

The reduced CGC density and abnormal dendritic spine morphology of Purkinje cells led us to examine parallel fiber to Purkinje cell (PF-PC) synapses in KO mice. We first performed double immunostaining with a PF-specific presynaptic marker, VGluT1, and a postsynaptic marker, GluR2, in cerebellar sections of 3-month old WT and calpain-1 KO mice (left and middle panel of Fig. 4A). Both GluR2 and VGluT1 exhibited a puncta distribution in the molecular layer and the numbers of GluR2 and VGluT1 puncta were significantly reduced in the molecular layer of calpain-1 KO mice, as compared to WT mice (Fig. 4B,C). The

number of double-stained puncta, which correspond to PF-PC synapses, was also significantly reduced in KO mice (Fig. 4D). While GluR2 and PSD95 levels in whole homogenates were comparable between WT and KO mice, they were significantly reduced in PSD fractions from KO mice (Fig. 4E,F).

We recorded EPSPs elicited in the Purkinje cell body layer by electrical stimulation of the parallel fibers at various stimulation intensities. This stimulation elicited a typical P1-N1-P2-N2 waveform (Barnes et al., 2011), where N1 corresponds to the presynaptic fiber volley and N2 to the postsynaptic population spike (Fig. 4G). Responses elicited by stimulation intensity below 120 μ A were too unreliable to be analyzed and only responses elicited by stimulation intensities above 120 μ A were analyzed by calculating the ratio of N2 over N1, which reflects the efficiency of synaptic transmission. At all intensities, the N2/N1 ratio was smaller in KO as compared to WT mice and the overall difference between the two genotypes was statistically significant (Fig. 4G).

Climbing fiber to Purkinje cell (CF-PC) synapses were double-immunostained with a CFspecific presynaptic marker, VGluT2, and a Purkinje dendritic marker, calbindin, in cerebellar sections of WT and calpain-1 KO mice (Fig. 4H). The densities of VGluT2 puncta were comparable between WT and KO mice (Fig. 4I), suggesting that the CF-PC synapses were normal in calpain-1 KO mice.

Calpain-1-dependent PHLPP1 degradation and Akt activation are deficient in developing cerebellum of calpain-1 KO mice

Calpain-1-mediated pro-survival pathway involves calpain-1 cleavage of PHLPP1 and subsequent Akt activation (Wang et al., 2013). Basal levels of PHLPP1, pAkt and total Akt were measured in cerebellar homogenates of WT and calpain-1 KO mice at PND3, 7, 10 and 3 months (adult). PHLPP1 levels were lower in WT than in calpain-1 KO mice at all tested ages, although the decrease was statistically significantly only at PND7 (Fig. 5A,B). PHLPP1 were also significantly lower at PND7 as compared toPND3 and adult, reflecting the increase in calpain-1 activity during this postnatal period. The decrease in PHLPP1 levels was not observed in calpain-1 KO mice at PND7 (P7 WT vs. P7 KO, Fig. 5B). Reflecting the higher levels of PHLPP1 in calpain-1 KO mice, levels of pAkt were lower in cerebellum of calpain-1 KO mice at all tested time points, including adult, as compared to age-matched WT mice (Fig. 5A,B). Cerebellar sections from WT and KO mice at PND7 were double immunostained with pAkt and NeuroD1, a CGC marker. Immunoreactivity for pAkt was significantly lower in the external granular layer (EGL) of KO mice (Fig. 5C,D).

Acute cerebellar slices of PND7 WT mice were treated with NMDA (10 μ M) for 20 min. PHLPP1 levels were significantly decreased and pAkt levels increased after NMDA treatment. Pretreatment with MK801 (50 μ M) or calpain inhibitor III (10 μ M) 10 min before NMDA treatment completely blocked NMDA-induced changes in PHLPP1 and pAkt (Fig. 5E,F), but pretreatment with a selective calpain-2 inhibitor had no effect (Fig. 5E,F), suggesting that calpain-2 activation was not involved. Moreover, NMDA-induced degradation of PHLPP1 and pAkt increase were absent in cerebellar slices of calpain-1 KO mice at PND7 (Fig. 5G-I), indicating that calpain-1 is required for NMDAR-mediated regulation of Akt in developing cerebellum.

Cerebellar granule cell loss and motor deficits in calpain-1 KO mice are reversed by activating Akt during the critical period of development

To reverse reduced pAkt levels in cerebellum of calpain-1 KO mice during the early postnatal period, we treated calpain-1 KO mice from PND1 to PND7 with a PTEN inhibitor, bisperoxovanadium (bpV) (0.5 mg/kg, ip, twice daily), which has been shown to activate Akt (Boda et al., 2014; Li et al., 2009; Mao et al., 2013). BpV injection significantly increased pAkt levels in cerebellum of developing KO mice, as shown by both immunoblotting (Fig. S6A,B) and immunostaining (Fig. 5C,D), and completely prevented enhanced apoptosis in cerebellum (Fig. 3A,C) and cerebrum (Table S1) of calpain-1 KO mice at PND7. Three months after bpV injection in KO mice, pAkt levels in cerebellum were reduced to the same levels as those found in control KO mice (Fig. S6C,D), indicating that the effect of bpV on Akt was transient. However, the decrease in CGC density observed in 3-month old KO mice was reversed by postnatal bpV injection (Fig. 3D). Furthermore, the deficit in the rotarod test in 7 month-old KO mice was also completely reversed (Fig. 2B), and the abnormal gait at different ages was partially or totally corrected (Fig. 2C-E) by postnatal bpV treatment. The above results indicate that reduced pAkt levels in developing cerebellum are responsible for the CGC loss and motor deficits observed in adult calpain-1 KO mice.

Calpain-1/PHLPP1 double knockout mice have normal CGC density, PF-PC transmission and motor performance

To determine whether calpain-1 cleavage of PHLPP1 was essential for CGC survival, we generated double KO mice, lacking both calpain-1 and PHLPP1 (DKO), as PHLPP1 KO should mimic calpain-1 cleavage of PHLPP1 and reverse the deficits caused by calpain-1 KO. Levels of pAkt were increased in cerebellar homogenates of DKO mice at PND7, as compared to calpain-1 KO mice (Fig. 6A,B). The number of apoptotic neurons in cerebellum of PND7 DKO mice was significantly reduced and was comparable to that in control WT and PHLPP1 KO mice (Fig. 6C,D). Consequently, CGC density in 3-month old DKO mice was significantly increased, as compared to calpain-1 KO mice, and was similar to the value found in WT mice (Fig. 6E,F).

The numbers of GluR2 puncta, VGluT1 puncta and double-stained puncta in the molecular layer of 3-month old DKO mice were significantly increased as compared to calpain-1 KO mice, and were comparable to those of WT mice (Fig. 4A-D). Similarly, levels of GluR2 and PSD95 in the PSD fraction of DKO mice were significantly increased (Fig. 4E,F). In addition, the N2/N1 ratios of the EPSPs recorded in cerebellar slices from DKO at all stimulation intensities were intermediate between those in WT and KO mice, indicating that synaptic transmission was at least partially restored in cerebellum of DKO mice (Fig. 4G).

Finally, impaired rotarod performance and abnormal gait in calpain-1 KO mice were corrected in DKO mice. Calpain-1 KO mice with mixed background exhibited a significantly shorter latency to fall at trial day 6 and 7, as compared to age-matched WT mice. However, in DKO mice the latency to fall was significantly improved at trial day 6 and 7, as compared to calpain-1 KO mice (Fig. 6G). The DKO mice also exhibited significantly longer strides and stances and shorter sways at 3, 4 and 5 months of age, as compared to

calpain-1 KO mice with the same background (Fig. 6H-J). As a control, PHLPP1 KO mice exhibited normal rotarod performance and gaits, as compared to WT mice (Fig. 6G-J).

DISCUSSION

Our results indicate that null-mutations or down-regulation of calpain-1 leads to cerebellar ataxia in both humans and mice. Calpain-1 down-regulation results in increased cerebellar PHLPP1 levels, which inhibit Akt and cause cerebellar granule cell death during a critical period of postnatal cerebellar development, and reduced granule cell density in adult KO mice. It also results in impaired spine morphology of Purkinje cells, with an increased proportion of immature spines, a decreased number of parallel fibers to Purkinje synapses and a decreased efficiency of synaptic transmission at these synapses. All these abnormalities are likely to contribute to the ataxia phenotype observed in mouse and humans. These abnormalities are reversed by either activating Akt during the critical period of cerebellar development (bpV treatment from P1 to P7), or down-regulating PHLPP1, underscoring the critical role of calpain-1-mediated PHLPP1 truncation and Akt activation in postnatal development. Despite the fact that the in the double knock-out the deletions are systemic, all evidence indicate that the interactions take place within CGCs, s both calpain-1 (Amadoro et al., 2007) and PHLPP1 (http://mouse.brain-map.org/gene/show/62602) are expressed in CGCs.

CAPN1 is an additional gene for spastic ataxia

Cysteine 115 to tyrosine (C115Y) mutation of calpain-1 in the Parson Russell Terrier dog breed was associated with spino-cerebellar ataxia (Forman et al., 2013). Cysteine 115 is in the catalytic site of calpain-1, and its mutation in recombinant human calpain-1 completely eliminated calpain-1 activity (Hata et al., 2013), indicating that the C115Y mutation in dog is a calpain-1 null mutation. In humans, we identified three consanguineous families that were homozygous in affected individuals around the CAPNI region and exome sequencing identified mutations in the CAPN1 gene, and one recessive family with CAPN1 compound heterozygous mutations. In one family, Western blot and calpain assay in fibroblasts from the affected patient showed that calpain-1 expression and activity was absent, indicating that this ataxia patient is a calpain-1 KO human. A second family harbored a homozygous frameshift variant, and the mutations in the other 2 families are predicted to produce functional inactivation of calpain-1. Thus, calpain-1 KO mice provide a rational model to study the mechanism underlying ataxia in dogs and humans. While calpain-1 KO mice exhibited an ataxia phenotype, which became more pronounced with age, as shown by rotarod and gait tests, the phenotype was not as severe as that observed in dogs and humans with calpain-1 mutations. While this raises the possibility that the alterations found in KO mice could explain only part of the basis for the ataxia in humans (and possibly dogs), it is not uncommon that mutation-related phenotypes in mice are less severe than those observed in humans, as in Atm-deficient mice (Barlow et al., 1996), which could reflect differences in temporal and spatial rates of development between mice and humans. It is nevertheless logical to assume that all the abnormalities found in KO mice contribute to the observed motor deficits in calpain-1 KO mice, as motor function was restored in bpV-injected KO mice and in DKO mice.

Increased calpain activity can also lead to ataxic phenotype. Thus, mutation in β III spectrin in both human and mouse caused increased calpain proteolysis of α II spectrin, which results in ataxic and seizure phenotypes (Stankewich et al., 2010). In addition, enhanced calpain activity aggravated pathogenesis of spinocerebellar ataxia type 3 (SCA3) (Hubener et al., 2013). Together with our findings, those studies suggest that unbalanced calpain activity, either too little or too much, can result in cerebellar dysfunction and ataxia.

The NMDAR/calpain-1/PHLLP1/Akt pro-survival pathway limits the extent of neuronal apoptosis in developing cerebellar granule cells

Our results clearly indicate that the NMDAR/calpain-1/PHLLP1/Akt pro-survival pathway, which we previously identified in cultured cortical neurons and acute hippocampal slices (Wang et al., 2013), is active in developing CGCs, where it limits the extent of CGC apoptosis. Several lines of evidence support this conclusion. First, basal levels of PHLPP1 were increased and those of pAkt decreased in fibroblasts of the patient with a calpain-1 null mutation. Similarly, increased PHLPP1 and decreased pAkt levels were found in cerebellar homogenates of calpain-1 KO mice, indicating that calpain-1 activity normally reduces PHLPP1 levels and maintains Akt activated during the postnatal period in cerebellum. Second, a decreased density of pAkt-positive puncta was found in cerebellar granular layer but not in Purkinje or molecular layer of calpain-1 KO mice, suggesting that calpain-1dependent regulation of Akt only takes place in CGCs but not in other cerebellar cell types. Third, calpain-1 KO eliminated NMDA-triggered PHLPP1 cleavage and Akt activation in acute cerebellar slices, suggesting that calpain-1 is required for NMDAR activity-dependent regulation of PHLPP1 and Akt. Fourth, lack of PHLPP1 restored normal levels of pAkt in developing cerebellum of calpain-1 KO mice, indicating that PHLPP1 is downstream of calpain-1 and that its level is important for Akt regulation. Finally, reduced Akt activity was associated with enhanced CGC apoptosis in calpain-1 KO mice, while increased Akt activity was associated with reduced CGC apoptosis in bpV-injected WT and in DKO mice.

NMDAR- and calpain-1-mediated neuronal survival during brain development was not limited to CGCs, as enhanced apoptosis was present in other brain regions such as cortex, striatum and hippocampus in developing calpain-1 KO mice. Thus, we cannot rule out the possibility that enhanced neuronal death in other regions of the motor system, such as motor cortex and striatum, contributes to the motor impairment observed in calpain-1 KO mice, and possibly humans. Furthermore, the important roles of calpain-1 in hippocampal neuronal survival during development and in synaptic plasticity in adult (Wang et al., 2014; Zhu et al., 2015) may contribute to the cognitive decline found in ataxia patients with *CAPN1* mutations.

Calpain-1-mediated survival of developing cerebellar granule cells is required for normal density of adult cerebellar granule cells

Elimination of excessive neurons occurs during normal CNS development. During this process, NMDAR activity is required for neuronal survival, and only neurons establishing functional synaptic connections survive during development. This mechanism has been shown in various types of neurons, including CGCs (Ikonomidou et al., 1999; Monti et al., 2002). In CGCs, the period of NMDAR dependence is between PND7 and PND11 (Monti

and Contestabile, 2000), which is consistent with our results showing that MK801 treatment enhanced CGC apoptosis at PND7 and PND10 but not PND3. Our results indicate that lack of calpain-1 produces the same pattern of enhanced apoptosis in developing cerebellum as MK801 treatment in WT mice. Enhanced apoptosis was found at PND7 and PND10 but not PND3, and most apoptosis occurred in the external and internal granular layer of cerebellum. Thus, NMDAR and calpain-1 are in the same signaling cascade required to support CGC survival. Enhanced apoptosis during postnatal development results in reduced CGC density in adult calpain-1 KO mice, which is consistent with the idea that CGC neurogenesis in mammalian cerebellum is strictly limited to the early postnatal period (Altman, 1972).

Despite reduced CGC density, calpain-1 KO mice exhibited normal overall Purkinje cell morphology (Fig. S6B). However, careful analysis of Purkinje cell spine morphology indicated that calpain-1 KO resulted in immature spine morphology, reduced number of GluR2 subunits, reduced number of synaptic contacts, and reduced synaptic efficiency at PF-PC synapses. The reduced number of mature spines in Purkinje cells is likely due to a reduced number of PF-PC synapses, which was caused by impaired calpain-1-PHLPP1-Akt pathway and reduced CGC number in calpain-1 KO mice. Many previous publications showed similar examples in which either loss (Shmerling et al., 1998) or dysfunction (Chen et al., 1999; Hashimoto et al., 1999) of cerebellar granule cells leads to impaired PF-PC transmission and ataxia. In addition, we and another lab previously found that dysregulation of calpain or Akt affects actin polymerization, which is important for synapse formation and function and regulating the shape of the dendritic spines (Briz et al., 2015; Huang et al., 2013). We previously reported that calpain-1 activation was critical for induction of LTP at hippocampal synapses (Wang et al, 2014), and it has been repeatedly proposed that establishment of synaptic contacts during the postnatal period might require a mechanism similar to LTP (Chaudhury et al., 2015). Thus the absence of calpain-1 at the PF-PC synapses is likely to result in abnormal maturation of dendritic spines, and decreased synaptic efficiency of these synapses.

Enhanced neuronal apoptosis and reduced CGC density were completely reversed by restoring normal levels of phosphorylated Akt in cerebellum of calpain-1 KO mice with treatment with the phosphatase inhibitor bpV during the critical developing period. While the effect of bpV treatment on Akt activity was not permanent, the temporary rescue of Akt activation during development resulted in normal CGC density in adult, highlighting the importance of Akt regulation for CGC development. BpV is an inhibitor of the phosphatase that regulates Akt as well as other targets (Song et al., 2012). To rule out the possibility that the rescuing effect of bpV injection could be due to modifications of other PTEN targets, we generated calpain-1/PHLPP1 DKO mice. PHLPP1 KO rescued Akt activity and completely prevented CGC loss caused by calpain-1 KO, prevented the decrease in GluR2 subunits at PF-PC synapses and partially reversed the decrease in synaptic efficiency at these synapse. Finally it also reversed the abnormalities in motor function observed in calpain-1 KO mice. These results clearly indicate that PHLPP1, among other calpain-1 substrates, has a critical role in the normal development of CGCs and the PF-PC synapses.

Conclusions

Lack of calpain-1 or null mutations of calpain-1 are associated with cerebellar ataxia in mice and humans. Together with the previous report that a null mutation in dogs also presented with cerebellar ataxia, these findings identify *CAPN1* as a cerebellar ataxia gene. The identification of the underlying mechanism, abnormal development of CGCs and PF-PC synapses provides potential therapeutic treatment for this type of cerebellar ataxia. In addition, considering the role of calpain-1 in synaptic plasticity and learning and memory, these results establish a link between this type of cerebellar ataxia and cognitive deficits.

EXPERIMENTAL PROCEDURES

Animals

Animal use in all experiments followed NIH guidelines and all protocols were approved by the Institution Animal Care and Use Committee of Western University of Health Sciences. Calpain-1 KO mice on a C57Bl/6 background were generously provided by Dr. Chishti (Tufts University). PHLPP1 KO mice on a C57/SV129 background were generously provided by Dr. Newton (UCSD). Calpain-1^{-/-} were crossed with PHLPP1^{-/-} to produce calpain-1^{-/-} PHLPP1^{-/-} (DKO) and calpain-1^{-/-} PHLPP1^{+/+} (control).

Gait analysis

Mice were trained for 2 days to walk through the tunnel and then tested for two trials. Two to four steps from the middle portion of each run were analyzed for hind-stride length, hind-base width (distance between the right and left hind-limb strides, sway distance).

Rotarod test

The experimental procedure was adapted from previously described procedures (Chen et al., 2009; Mulherkar and Jana, 2010; Sun et al., 2015a) using a rotarod apparatus (Med Associates, St. Albans, VT). The total testing period lasted eight days, one training day followed by seven trial days. For day 1 training, the rotor was set at a constant speed of 4 rpm and animals were placed on the rod for 30 sec. If the animal fell off the rod prior to the end of the 30 sec they were placed back on the rod. This was repeated until the animal could maintain itself on the rod for the full 30 sec duration. Trial days 1 through 7 consisted of 3 trials of 5 min each. The rod was set to ramp up from 4 rpm to 40 rpm over the course of 5 min. The trial ended when the animal fell off the rod or at the end of 5 min and the latency to fall was recorded in sec. Trials were repeated 3 times per day with at least a 15 min rest period for each animal between trials. Data were expressed as the average time, in sec (means \pm SEM), of the latency to fall.

TUNEL staining

TUNEL staining was performed in 6 sections at 240 μm-interval from each cerebellum or in 8 sections at 1.54, 0.50, -0.58, -1.58, -1.94, -2.46, -2.92 and -3.88 Bregma from each cerebrum, using the ApopTag® In Situ Apoptosis Detection Kit (S7165, Millipore). following the manufacturer's instructions. Sections were visualized under a confocal microscope (Nikon). For cerebellar analysis, the outline of the cerebellum in each image was

drawn using "freehand selections" in ImageJ to exclude the surrounding area. TUNEL positive nuclei in cerebellar area were counted using "analyze particles" in ImageJ. Density of TUNEL positive nuclei per mm² of cerebellar area in each section was analyzed. Values of 6 sections in each cerebellum were averaged. Visualization and quantification were performed by a blind observer to avoid bias (See Supplemental Information for details).

H&E staining and cell counting

H&E staining was performed on 6 sections (10- μ m thick) at 240 μ m-interval in each cerebellum, using Hematoxylin solution Harris modified (HHS32, Sigma) and Eosin Y solution aqueous (HT110232, Sigma). Cell numbers in a 150×150 μ m² area in the intermediate stratum of the granular layer (Palkovits et al., 1971) in each folium were analyzed using ImageJ. Cell densities of all folia in each section were averaged. Cell densities of 6 sections in each cerebellum were then averaged to get the cell density of each cerebellum.

Acute cerebellar slice preparation and treatment

Cerebellar sagittal slices (400 μ m-thick) from PND7 WT or calpain-1 KO mice was performed as previously described (Wang et al., 2014). After 1 h recovery, cerebellar slices were incubated in 2 ml of freshly oxygenated aCSF medium with 10 μ M NMDA for 20 min.

Western blot

Western Blot was performed as previously described (Wang et al., 2014). The primary antibodies used were calpain-1 (1:1000, 2556, CST), calpain-2 (1:1000, LS-B12657, LSBio), PHLPP1 (1:1000, 07-1341, Millipore), phospho-Akt Ser473 (1:3000, 4060, CST) and Akt (1:2000, 2920).

Calpain assay

The hydrolysis of the fluorogenic substrate Suc-Leu-Tyr-AMC by calpains in human fibroblast lysates was performed as previously described (Wang et al., 2014), with some modifications (See Supplemental Information for details).

Immunohistochemistry

Immunohistochemistry was performed as previously described (Wang et al., 2014). The primary antibodies used were rabbit phospho-Akt (Ser473) antibody (1:100, 4060, CST), mouse NeuroD1 antibody (1:300, H00004760-M01, Novus), rabbit calbindin antibody (1:500, 13176, CST) antibody, mouse GluR2 antibody (1:500, MAB397, EMD Millipore), rabbit VGluT1 antibody (1:500, ab104898, Abcam) and/or mouse VGluT2 antibody (1:300, MAB5504, EMD Millipore). For MFI analysis of pAkt signal in the cerebellum of PND7 mice, four sagittal sections at 240 μ m-interval in each cerebellum were stained and analyzed. In each section, four 120 μ m × 30 μ m areas in external granular layer were analyzed. MFI value of pAkt signal in each area was acquired in ImageJ. Averaged MFI in 4 sections off each cerebellum was calculated.

For puncta analysis of GluR2 and VGluT1, four sagittal sections at 240 μ m-interval in each cerebellum were stained and analyzed. In each section, four 160 μ m × 80 μ m areas in

molecular layer were analyzed. The Red and Green Puncta Colocalization Macro in Image J were used to count GluR2, VGluT1 and co-localized puncta. Averaged densities of GluR2, VGluT1 and co-localized puncta (numbers per100 μ m²) in 4 sections of each cerebellum were calculated.

For puncta analysis of VGluT2, four sagittal sections at 240 μ m-interval in each cerebellum were stained and analyzed. In each section, four 185 μ m × 120 μ m regions in Purkinje and molecular layer were analyzed. VGluT2 puncta in 0-80% area (excluding cell body layer) of each region were counted by ImageJ. Averaged densities of VGluT2 puncta (numbers per 100 μ m²) in 4 sections of each cerebellum were calculated.

Dendritic spine analysis

Golgi impregnation was performed in the cerebellum of 3 month-old WT and calpain-1 KO mice according to FD Rapid GolgiStain Kit instructions (FD Neurotechnologies). 100 um sagittal sections of the cerebellum were sliced. Images of dendritic branches of Purkinje cells were acquired using a Zeiss light microscope with a $60\times$ objective. Using the Analyze Skeleton plugin of Image J software, spines densities were analyzed in random selected distal branches between 10 and 20 µm in length. Spine morphology analysis was performed as previously described with some changes (Miyoshi et al., 2014) (See Supplemental Information).

Preparation of postsynaptic density (PSD) fraction

Preparation of PSD fraction was performed as previously described (Sun et al., 2015b). Cerebellar cortex of 3-month old mouse were homogenized in ice-cold HEPES-buffered sucrose solution (0.32 M sucrose, 4 mM HEPES, pH 7.4) with protease inhibitor cocktail (78446, Thermo). Homogenates were centrifuged at 900 g for 10 min to remove large debris (P1). The supernatant (S1) was then centrifuged at 11,000 g for 20 min to obtain the crude synaptosomal (P2) and cytosolic (S2) fractions. The PSD-enriched fraction (P3) was obtained by incubating P2 pellets in HEPES-buffered sucrose solution plus 0.5% Triton X-100 on ice for 20 min and then centrifuging at 32,000 g for 1 h. Final pellets were sonicated in resuspension buffer (10 mM Tris, pH 8, 1 mM EDTA, and 1% SDS). Protein concentrations were determined with a BCA protein assay kit (Pierce). Same amount of proteins from the S1 fraction and PSD fractions were resolved by SDS-PAGE and immunoblotted with the indicated antibodies.

Electrophysiology in acute cerebellar slice

Acute cerebellar coronal slices (350 μ m) were prepared and field EPSPs was evoked by parallel fiber stimulation recorded in the Purkinje cell layer, as previously described (Barnes et al., 2011). Population spikes were recorded by a differential amplifier (DAM 50, World Precision Instruments; 10 kHz high- and 300 Hz low-cutoff filter; Gain=1,000) and sampled at 10 kHz on Clampex. A dissecting microscope was used for visualizing the slices in the recording chamber, and recording and stimulating electrodes were randomly tested on the vermal part of the cerebellar slice with a test pulse of 130 μ A until a characteristic P₁-N₁-P₂-N₂ waveform, as reported in (Barnes et al., 2011) was found. The absolute values of the

ratios between the amplitude of N_2 and N_1 at different stimulation intensities (10-300 μ A) were analyzed.

Patients

Autosomal recessive families of Tunisian, Bangladesh or European origin were studied (pedigrees shown in Figure 1, S1 and S3). All families have a clinically similar ataxia. All individuals gave informed consent. The work was approved by the Office of Human Subjects Research at the National Institutes of Health and the UCLH ethics committee (Approval number 06/N076) or Paris Necker ethics committee [RBM 01-29 and RBM 03-48 to AD and AB].

Genetic linkage/homozygosity analysis—Family members were genotyped using Illumina CytoSNP12 arrays with 301,232 genome-wide markers and the raw data was processed in GenomeStudio (Illumina, San Diego, US). Genotypes were examined with the use of a multipoint parametric linkage analysis and haplotype reconstruction performed with Simwalk2 (Sobel et al., 2001).

Exome sequencing—Libraries were prepared using the TruSeq DNA sample prep kit (Illumina, San Diego, US) and 1 ug of patient DNA. Fragmentation was performed with the Covaris shearing system using the 300bp with size selection by excision from the area between 300-400bp on an agarose gel.

CAPN1 Sanger sequencing—To validate the results of exome sequencing and screen controls, primers were designed using Primer3 to amplify the entire *CAPN1* gene (primers available on request). Clean PCR product was sequenced using BigDye Terminator 3.1 chemistry (Life Technologies, Carlsbad, CA, USA) and cleaned with Millipore filter plates prior to capillary electrophoresis on an ABI 3130XL Genetic Analyzer (ABI Biosystems, Foster City, CA, USA).

Statistical analyses

In all cases, error bars indicate standard error of the mean. N values represent numbers of animals tested. To compute p values, unpaired student's t-test, one-way ANOVA and two-way ANOVA followed by Bonferroni test were used, as indicated in figure legends.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgements

This work was supported by grant P01NS045260-01 from NINDS (PI: Dr. C.M. Gall), the Agence Nationale de la Recherche (ANR, France; to GS), the Verum Foundation (to GS and AB), the patient association "Connaitre les Syndromes cérébelleux" (CSC, France; to GS), the Roger de Spoelberg Foundation (Swiss, to AB), the European Union (Omics call: NEUROMICS, to AB and AD) and benefited from the program Investissements d'avenir ANR-10-IAIHU-06 (to the ICM Institute).

The authors want to thank Western University of Health Sciences for the financial support to MB. XB is also supported by funds from the Daljit and Elaine Sarkaria Chair. MC was the recipient of a fellowship from the Fond

National de la Recherche Scientifique (aspirant FNRS). The authors are grateful to Claire Sophie Davoine and to the DNA and cell biobank of the ICM institute for their technical assistance.

References

- Altman J. Postnatal development of the cerebellar cortex in the rat. 3. Maturation of the components of the granular layer. J Comp Neurol. 1972; 145:465–513. [PubMed: 4114591]
- Amadoro G, Pieri M, Ciotti MT, Carunchio I, Canu N, Calissano P, Zona C, Severini C. Substance P provides neuroprotection in cerebellar granule cells through Akt and MAPK/Erk activation: evidence for the involvement of the delayed rectifier potassium current. Neuropharmacology. 2007; 52:1366–1377. [PubMed: 17397881]
- Arias E, Koga H, Diaz A, Mocholi E, Patel B, Cuervo AM. Lysosomal mTORC2/PHLPP1/Akt Regulate Chaperone-Mediated Autophagy. Mol Cell. 2015; 59:270–284. [PubMed: 26118642]
- Balazs R, Jorgensen OS, Hack N. N-methyl-D-aspartate promotes the survival of cerebellar granule cells in culture. Neuroscience. 1988; 27:437–451. [PubMed: 2905787]
- Barlow C, Hirotsune S, Paylor R, Liyanage M, Eckhaus M, Collins F, Shiloh Y, Crawley JN, Ried T, Tagle D, Wynshaw-Boris A. Atm-deficient mice: a paradigm of ataxia telangiectasia. Cell. 1996; 86:159–171. [PubMed: 8689683]
- Barnes JA, Ebner BA, Duvick LA, Gao W, Chen G, Orr HT, Ebner TJ. Abnormalities in the climbing fiber-Purkinje cell circuitry contribute to neuronal dysfunction in ATXN1[82Q] mice. J Neurosci. 2011; 31:12778–12789. [PubMed: 21900557]
- Baudry M, Simonson L, Dubrin R, Lynch G. A comparative study of soluble calcium-dependent proteolytic activity in brain. J Neurobiol. 1986; 17:15–28. [PubMed: 3014063]
- Boda B, Mendez P, Boury-Jamot B, Magara F, Muller D. Reversal of activity-mediated spine dynamics and learning impairment in a mouse model of Fragile X syndrome. Eur J Neurosci. 2014; 39:1130– 1137. [PubMed: 24712992]
- Brenneman DE, Forsythe ID, Nicol T, Nelson PG. N-methyl-D-aspartate receptors influence neuronal survival in developing spinal cord cultures. Brain Res Dev Brain Res. 1990; 51:63–68. [PubMed: 1967564]
- Briz V, Zhu G, Wang Y, Liu Y, Avetisyan M, Bi X, Baudry M. Activity-dependent rapid local RhoA synthesis is required for hippocampal synaptic plasticity. J Neurosci. 2015; 35:2269–2282. [PubMed: 25653381]
- Chaudhury S, Sharma V, Kumar V, Nag TC, Wadhwa S. Activity-dependent synaptic plasticity modulates the critical phase of brain development. Brain Dev. 2016; 38:355–363. [PubMed: 26515724]
- Chen L, Bao S, Qiao X, Thompson RF. Impaired cerebellar synapse maturation in waggler, a mutant mouse with a disrupted neuronal calcium channel gamma subunit. Proc Natl Acad Sci U S A. 1999; 96:12132–12137. [PubMed: 10518588]
- Chen M, Pratt CP, Zeeman ME, Schultz N, Taylor BS, O'Neill A, Castillo-Martin M, Nowak DG, Naguib A, Grace DM, et al. Identification of PHLPP1 as a tumor suppressor reveals the role of feedback activation in PTEN-mutant prostate cancer progression. Cancer Cell. 2011; 20:173–186. [PubMed: 21840483]
- Chen Q, Peto CA, Shelton GD, Mizisin A, Sawchenko PE, Schubert D. Loss of modifier of cell adhesion reveals a pathway leading to axonal degeneration. J Neurosci. 2009; 29:118–130. [PubMed: 19129390]
- Du K, Montminy M. CREB is a regulatory target for the protein kinase Akt/PKB. J Biol Chem. 1998; 273:32377–32379. [PubMed: 9829964]
- Forman OP, De Risio L, Mellersh CS. Missense mutation in CAPN1 is associated with spinocerebellar ataxia in the Parson Russell Terrier dog breed. PLoS One. 2013; 8:e64627. [PubMed: 23741357]
- Hamakubo T, Kannagi R, Murachi T, Matus A. Distribution of calpains I and II in rat brain. J Neurosci. 1986; 6:3103–3111. [PubMed: 3021924]
- Hashimoto K, Fukaya M, Qiao X, Sakimura K, Watanabe M, Kano M. Impairment of AMPA receptor function in cerebellar granule cells of ataxic mutant mouse stargazer. J Neurosci. 1999; 19:6027– 6036. [PubMed: 10407040]

- Hata S, Kitamura F, Sorimachi H. Efficient expression and purification of recombinant human mucalpain using an Escherichia coli expression system. Genes Cells. 2013; 18:753–763. [PubMed: 23786391]
- Huang W, Zhu PJ, Zhang S, Zhou H, Stoica L, Galiano M, Krnjevic K, Roman G, Costa-Mattioli M. mTORC2 controls actin polymerization required for consolidation of long-term memory. Nat Neurosci. 2013; 16:441–448. [PubMed: 23455608]
- Hubener J, Weber JJ, Richter C, Honold L, Weiss A, Murad F, Breuer P, Wullner U, Bellstedt P, Paquet-Durand F, et al. Calpain-mediated ataxin-3 cleavage in the molecular pathogenesis of spinocerebellar ataxia type 3 (SCA3). Hum Mol Genet. 2013; 22:508–518. [PubMed: 23100324]
- Ikonomidou C, Bosch F, Miksa M, Bittigau P, Vockler J, Dikranian K, Tenkova TI, Stefovska V, Turski L, Olney JW. Blockade of NMDA receptors and apoptotic neurodegeneration in the developing brain. Science. 1999; 283:70–74. [PubMed: 9872743]
- Kalb RG, Lidow MS, Halsted MJ, Hockfield S. N-methyl-D-aspartate receptors are transiently expressed in the developing spinal cord ventral horn. Proc Natl Acad Sci U S A. 1992; 89:8502– 8506. [PubMed: 1356265]
- Kim JC, Cook MN, Carey MR, Shen C, Regehr WG, Dymecki SM. Linking genetically defined neurons to behavior through a broadly applicable silencing allele. Neuron. 2009; 63:305–315. [PubMed: 19679071]
- Li D, Qu Y, Mao M, Zhang X, Li J, Ferriero D, Mu D. Involvement of the PTEN-AKT-FOXO3a pathway in neuronal apoptosis in developing rat brain after hypoxia-ischemia. J Cereb Blood Flow Metab. 2009; 29:1903–1913. [PubMed: 19623194]
- Liu J, Liu MC, Wang KK. Calpain in the CNS: from synaptic function to neurotoxicity. Sci Signal. 2008; 1 re1.
- Mao L, Jia J, Zhou X, Xiao Y, Wang Y, Mao X, Zhen X, Guan Y, Alkayed NJ, Cheng J. Delayed administration of a PTEN inhibitor BPV improves functional recovery after experimental stroke. Neuroscience. 2013; 231:272–281. [PubMed: 23219909]
- Masubuchi S, Gao T, O'Neill A, Eckel-Mahan K, Newton AC, Sassone-Corsi P. Protein phosphatase PHLPP1 controls the light-induced resetting of the circadian clock. Proc Natl Acad Sci U S A. 2010; 107:1642–1647. [PubMed: 20080691]
- Miyoshi Y, Yoshioka Y, Suzuki K, Miyazaki T, Koura M, Saigoh K, Kajimura N, Monobe Y, Kusunoki S, Matsuda J, et al. A new mouse allele of glutamate receptor delta 2 with cerebellar atrophy and progressive ataxia. PLoS One. 2014; 9:e107867. [PubMed: 25250835]
- Monti B, Contestabile A. Blockade of the NMDA receptor increases developmental apoptotic elimination of granule neurons and activates caspases in the rat cerebellum. Eur J Neurosci. 2000; 12:3117–3123. [PubMed: 10998095]
- Monti B, Marri L, Contestabile A. NMDA receptor-dependent CREB activation in survival of cerebellar granule cells during in vivo and in vitro development. Eur J Neurosci. 2002; 16:1490– 1498. [PubMed: 12405962]
- Moran J, Patel AJ. Stimulation of the N-methyl-D-aspartate receptor promotes the biochemical differentiation of cerebellar granule neurons and not astrocytes. Brain Res. 1989; 486:15–25. [PubMed: 2470476]
- Mulherkar SA, Jana NR. Loss of dopaminergic neurons and resulting behavioural deficits in mouse model of Angelman syndrome. Neurobiol Dis. 2010; 40:586–592. [PubMed: 20696245]
- Palkovits M, Magyar P, Szentagothai J. Quantitative histological analysis of the cerebellar cortex in the cat. II. Cell numbers and densities in the granular layer. Brain Res. 1971; 32:15–30. [PubMed: 4107038]
- Pennacchio LA, Bouley DM, Higgins KM, Scott MP, Noebels JL, Myers RM. Progressive ataxia, myoclonic epilepsy and cerebellar apoptosis in cystatin B-deficient mice. Nat Genet. 1998; 20:251–258. [PubMed: 9806543]
- Shimizu K, Phan T, Mansuy IM, Storm DR. Proteolytic degradation of SCOP in the hippocampus contributes to activation of MAP kinase and memory. Cell. 2007; 128:1219–1229. [PubMed: 17382888]

- Shmerling D, Hegyi I, Fischer M, Blattler T, Brandner S, Gotz J, Rulicke T, Flechsig E, Cozzio A, von Mering C, et al. Expression of amino-terminally truncated PrP in the mouse leading to ataxia and specific cerebellar lesions. Cell. 1998; 93:203–214. [PubMed: 9568713]
- Simonson L, Baudry M, Siman R, Lynch G. Regional distribution of soluble calcium activated proteinase activity in neonatal and adult rat brain. Brain Res. 1985; 327:153–159. [PubMed: 2985174]
- Sobel E, Sengul H, Weeks DE. Multipoint estimation of identity-by-descent probabilities at arbitrary positions among marker loci on general pedigrees. Human heredity. 2001; 52:121–131. [PubMed: 11588394]
- Song MS, Salmena L, Pandolfi PP. The functions and regulation of the PTEN tumour suppressor. Nat Rev Mol Cell Biol. 2012; 13:283–296. [PubMed: 22473468]
- Stankewich MC, Gwynn B, Ardito T, Ji L, Kim J, Robledo RF, Lux SE, Peters LL, Morrow JS. Targeted deletion of betaIII spectrin impairs synaptogenesis and generates ataxic and seizure phenotypes. Proc Natl Acad Sci U S A. 2010; 107:6022–6027. [PubMed: 20231455]
- Sun J, Liu Y, Moreno S, Baudry M, Bi X. Imbalanced mechanistic target of rapamycin C1 and C2 activity in the cerebellum of Angelman syndrome mice impairs motor function. J Neurosci. 2015a; 35:4706–4718. [PubMed: 25788687]
- Sun J, Zhu G, Liu Y, Standley S, Ji A, Tunuguntla R, Wang Y, Claus C, Luo Y, Baudry M, Bi X. UBE3A Regulates Synaptic Plasticity and Learning and Memory by Controlling SK2 Channel Endocytosis. Cell Rep. 2015b; 12:449–461. [PubMed: 26166566]
- Wang Y, Briz V, Chishti A, Bi X, Baudry M. Distinct roles for mu-calpain and m-calpain in synaptic NMDAR-mediated neuroprotection and extrasynaptic NMDAR-mediated neurodegeneration. J Neurosci. 2013; 33:18880–18892. [PubMed: 24285894]
- Wang Y, Zhu G, Briz V, Hsu YT, Bi X, Baudry M. A molecular brake controls the magnitude of longterm potentiation. Nat Commun. 2014; 5:3051. [PubMed: 24394804]
- Zhu G, Liu Y, Wang Y, Bi X, Baudry M. Different patterns of electrical activity lead to long-term potentiation by activating different intracellular pathways. J Neurosci. 2015; 35:621–633. [PubMed: 25589756]

Author Manuscript



Figure 1. Mutation in *CANP1* gene in family R results in lack of calpain-1 expression and activity (A) Pedigree of family R. There are at least two consanguineous loops where the parents of affected individuals are cousins. Square = male, circle = female, black symbols = affected and arrow indicated the proband. +/+ = homozygous for the mutation, +/- = heterozygous for the mutation and -/- = homozygous wild type.

(**B**) Sagittal T1 MRI from unaffected control (left) and affected proband (right). MRI on the proband shows cerebellar atrophy and cervical spinal cord thinning; repeat MRIs showed the atrophy slowly progressed over time.

(C) Homozygous splice site mutation causes an in-frame insert (arrow) in close proximity to the Cys115 active site (arrow).

(D) Three-dimensional structure of WT CAPN1 protein and predicted mutant protein showing loss of active site (arrow).

(E) Western blot for calpain-1, calpain-2, PHLPP1, phospho-Akt S473 (pAkt), total Akt and actin in fibroblasts from patient RK38 and two control human subjects.

(F) Quantitative analysis of the ratios of PHLPP1 to actin, and pAkt to Akt in each cell line. Results represent means \pm S.E.M. of 4 experiments. The replicates are different cell batches from the same patient or controls. * p < 0.05. One-way ANOVA followed by Bonferroni test. (G) Calpain-1 and -2 activities were determined in lysates of cultured fibroblasts from control or affected subjects as indicated in Methods. Results represent means \pm S.E.M. of 4 experiments. * p < 0.05, ** p < 0.01. One-way ANOVA followed by Bonferroni test.

Wang et al.



Figure 2. Impaired motor coordination in adult calpain-1 KO mice is rescued by postnatal bpV treatment

(A) Rotarod test of WT and calpain-1 KO mice at 3 months of age. The latency to fall from an accelerating rotarod (from 4 to 40 rpm) was measured in 3 trials per day for 5 days. Results from the 3 trials on the same day were averaged. Each value represents mean ± S.E.M.. n = 5 - 9. ** p < 0.01 WT vs. KO. Unpaired two-tailed t-test.
(B) Rotarod test of WT, calpain-1 KO and bpV-injected KO mice at 7 months of age. Saline or bpV (0.5 mg/kg) was injected (ip) twice per day to calpain-1 KO mice from postnatal day

(PND) 1 to 7. The latency to fall from an accelerating rotarod (from 4 to 40 rpm) was measured in 3 trials per day for 7 days. Values for the 3 trials on the same day were averaged. Each value represents means \pm S.E.M. n = 9 - 14. * p < 0.05, ** p < 0.01 WT vs. KO. # p < 0.05, ## p < 0.01 KO vs. KO + bpV. One-way ANOVA followed by Bonferroni test.

(C-E) Stride, stance and sway lengths of WT, KO and bpV-injected KO mice at 3-7 months of age. Results represent means \pm S.E.M. n = 9 – 14. * p < 0.05, ** p < 0.01, *** p < 0.001 WT vs. KO. # p < 0.05, ### p < 0.001 KO vs. KO + bpV. One-way ANOVA followed by Bonferroni test.





(A) TUNEL staining in cerebellar sections shows increased apoptosis in cerebellum of PND7 calpain-1 KO mice and MK801-injected WT mice (i.p. injection, 0.5 mg/kg at 24, 16 and 8 h before PND7), as compared to WT and bpV-injected KO mice at the same ages. Calibration bar: 200 μ m.

(**B**) Increased apoptosis in cerebellum of PND10 calpain-1 KO mice and MK801-injected WT mice (ip injection, 0.5 mg/kg at 24, 16 and 8 h before PND10), as compared to WT mice. Calibration bar: $200 \mu m$.

(C) Number of apoptotic cells in cerebellum of WT, calpain-1 KO, MK801-injected WT and bpV-injected KO mice at different postnatal days. Apoptotic cell densities in various sections from the same cerebellum were averaged (see Methods). Results represent means \pm S.E.M. n = 4 (24 sections from 4 animals in each group). ns, no significant difference versus WT. * p < 0.05, *** p < 0.001 versus WT. ## p < 0.01 versus calpain-1 KO. One-way ANOVA followed by Bonferroni test.

(**D**) CGC density in 3-month old calpain-1 KO mice is significantly lower, as compared to WT and bpV-injected KO mice at the same age. Scale bar = $20 \mu m$. Cell densities of sections in the same cerebellum were then averaged (see Methods for details). Results represent means \pm S.E.M. n = 5. ** p < 0.01 vs. WT. # p < 0.05 vs. KO. One-way ANOVA followed by Bonferroni test.

(E) Golgi stained Purkinje cell dendrites and spines in 3-month old calpain-1 KO and WT mice. Scale bar = $10 \mu m$ and $4 \mu m$ for magnified images.

(F) Dendritic spine densities were analyzed as spine count over length of dendrite. N = 3 (animals) for each genotype. 12-16 sections per animal were analyzed. Distal dendritic branches between 10 and 20 µm in length were measured and averaged for a slice mean. n.s., no significant difference between the groups. Two-tailed t-test.

(G) Spine morphology. Calpain-1 KO mice had significantly more thin/filopodia and less mushroom/stubby and double-headed spines as compared to WT. N = 3 (animals) for each genotype. 9-16 slices per animal were analyzed. * p < 0.05, **** p < 0.0001 WT vs. KO. Two-way ANOVA followed by Bonferroni's test.





(A) Co-staining of GluR2 and VGluT1 in cerebellar sagittal sections of 3-month old WT (C57Bl/6), calpain-1 KO (C57Bl/ $6 \times$ C57/SV129) and DKO mice (C57Bl/ $6 \times$ C57/SV129). Scale bar = 40 µm and 10 µm for magnified images.

(**B-D**) Densities of GluR2 puncta, VGluT1 puncta and co-localized puncta in cerebellum of WT, calpain-1 KO and DKO mice. Means S.E.M. of 6 animals for WT and KO and 4 animals for DKO. * p < 0.05, ** p < 0.01. One-way ANOVA followed by Bonferroni test.

(E) Levels of calpain-1, PHLPP1, GluR2, PSD95 and actin in whole lysates and PSD fractions of cerebellar homogenates from 3-month old WT, calpain-1 KO and DKO mice. (F) Ratios of protein levels in PSD fractions to that in whole lysates in cerebellar homogenates of WT, calpain-1 KO and DKO mice. n = 4 - 5 (animals). * p < 0.05, *** p < 0.001. One-way ANOVA followed by Bonferroni test.

(G) Parallel fibers to Purkinje cell EPSPs are reduced in calpain-1 KO mice, and partially restored in DKO mice. Acute cerebellar slices were prepared as described in Methods, and field EPSPs evoked by parallel fiber stimulation recorded in the Purkinje cell layer. Results were calculated as ratios of N2 over N1, and represent means \pm S.E.M. of 10-11 slices from 3-5 mice. * p <0.001, as compared to WT (Univariate Analysis of Variance followed by Bonferroni test); # p <0.001, as compared to WT (Univariate Analysis of Variance followed by Bonferroni test); \$ p <0.001, as compared to DKO (Univariate Analysis of Variance followed by Bonferroni test).

(H) Co-immunostaining of VGluT2 (green) and Calbindin (red) in cerebellar sections of 3-month old WT, calpain-1 KO and DKO mice. Scale bar = $20 \mu m$.

(I) VGluT2 puncta densities in area 0-80% (excluding cell body layer) of Purkinje cells in cerebellum of WT, KO and DKO mice. Means \pm S.E.M. of 4 animals. Ns, no significant difference. One-way ANOVA.





(A) Levels of PHLPP1 and levels in cerebellar homogenates of WT and calpain-1 KO mice, at PND3, 7 and 10 and 3 months (adult).

(B) Ratios of PHLPP1 to Akt, and pAkt to Akt in cerebellum of WT and calpain-1 KO mice. Results represent means \pm S.E.M. n = 4 - 5. * p < 0.05, ** p < 0.01. Two-way ANOVA followed by Bonferroni test.

(C) pAkt and NeuroD1 co-immunostaining in cerebellum of WT, calpain-1 KO and bpVinjected KO mice at PND7. BpV (0.5 mg/kg) was injected (i.p.) 2 h before perfusion. EGL, external granular layer. Scale bar = $40 \mu m$.

(**D** Mean fluorescence density (MFI) of pAkt signal in the external granular layer of cerebellum in WT, KO and bpV-injected KO mice at PND7. Means \pm S.E.M. of 4 animals. * p < 0.05, ** p < 0.01. One-way ANOVA followed by Bonferroni test.

E. Levels of PHLPP1 and pAkt levels 20 min after NMDA treatment (10 μ M) of acute cerebellar slices from PND7 WT mice and effects of pretreatment with MK801 (50 μ M), calpain inhibitor III (10 μ M) and calpain-2 selective inhibitor (200 nM).

(F) Ratios of PHLPP1 to Akt, and pAkt to Akt, in each group. Results represent means \pm S.E.M. n = 3 - 4. *, p < 0.05 versus basal group. One-way ANOVA followed by Bonferroni test.

(G) Effect of NMDA treatment of acute cerebellar slices from PND7 calpain-1 KO mice on PHLPP1 or pAkt.

(**H**) Ratios of pAkt to Akt and PHLPP1 to Akt in each group. Results represent means \pm S.E.M. n = 4. ns, no significant difference. Two-tailed t-test.

(I) Ratios of protein levels after NMDA treatment to those under basal condition in cerebellar slices from PND7 WT and KO mice. n = 4. * p < 0.05, ** p < 0.01. Two-way ANOVA followed by Bonferroni test.



Figure 6. Calpain-1/PHLPP1 double knockout mice have increased pAkt levels and granule cell survival in cerebellum, and normal motor coordination, as compared to calpain-1 KO mice (A) Levels of PHLPP1, calpain-1, pAKT and AKT in cerebellum of 3 PND7 calpain-1 KO and DKO mice. A WT sample was included to show normal calpain-1 band.

(B) Ratios of pAkt to Akt in calpain-1 KO and DKO. Results represent means \pm S.E.M. n = 5. ** p < 0.01 vs. KO. Two-tailed t-test.

(C) TUNEL staining in cerebellar sections of PND7 of Calpain-1 KO, PHLPP1 KO and DKO mice. Calibration bar: $200 \,\mu m$.

(**D**) Density of apoptotic neurons in cerebellum of PND7 calpain-1 KO, PHLPP1 KO, DKO and WT mice (C57Bl/6). Results represent means \pm S.E.M. n = 4 for WT and PHLPP1 KO, 5 for calpain-1 KO, 6 for DKO. **** p < 0.0001 vs. KO. One-way ANOVA followed by Bonferroni test.

(E) H&E staining of CGCs in 3-month old calpain-1 KO and DKO mice. Scale bar = 20 μ m. (F) CGC densities in 3-month old WT, KO and DKO mice. Results represent means \pm S.E.M. n = 5. * p < 0.05, ** p < 0.01 vs. KO. One-way ANOVA followed by Bonferroni test. (G) Rotarod test of WT, calpain-1 KO, PHLPP1 KO mice and DKO mice at 7 months of age. The latency to fall represents means \pm S.E.M. n = 8 - 11. * p < 0.05 WT vs. calpain-1 KO. ## p < 0.01, ### p < 0.001 calpain-1 KO vs. DKO. One-way ANOVA followed by Bonferroni test.

(H-J) Stride, stance and sway lengths of WT, calpain-1 KO, PHLPP1 KO and DKO mice at 3, 4 and 5 months of age. Results represent means \pm S.E.M. n = 9 – 22. * p < 0.05, ** p < 0.01 WT vs. KO. # p < 0.05, ## p < 0.01, ### p < 0.001 KO vs. DKO. One-way ANOVA followed by Bonferroni test.