

# Induction of Unconventional T Cells by a Mutant *Mycobacterium bovis* BCG Strain Formulated in Cationic Liposomes Correlates with Protection against *Mycobacterium tuberculosis* Infections of Immunocompromised Mice

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Earlier studies aimed at defining protective immunity induced by Mycobacterium bovis BCG immunization have largely focused on the induction of antituberculosis CD4<sup>+</sup> and CD8<sup>+</sup> T cell responses. Here we describe a vaccine consisting of a BCG $\Delta$ mmaA4 deletion mutant formulated in dimethyl dioctadecyl-ammonium bromide (DDA) with D-(+)-trehalose 6,6'-dibehenate (TDB) (DDA/TDB) adjuvant (A4/Adj) that protected TCR $\delta^{-/-}$  mice depleted of CD4<sup>+</sup>, CD8<sup>+</sup>, and NK1.1<sup>+</sup> T cells against an aerosol challenge with M. tuberculosis. These mice were significantly protected relative to mice immunized with a nonadjuvanted BCG $\Delta$ mmaA4 (BCG-A4) mutant and nonvaccinated controls at 2 months and 9 months postvaccination. In the absence of all T cells following treatment with anti-Thy1.2 antibody, the immunized mice lost the ability to control the infection. These results indicate that an unconventional T cell population was mediating protection in the absence of CD4<sup>+</sup>, CD8<sup>+</sup>, NK1.1<sup>+</sup>, and TCRγδ T cells and could exhibit memory. Focusing on CD4<sup>-</sup> CD8<sup>-</sup> double-negative (DN) T cells, we found that these cells accumulated in the lungs postchallenge significantly more in A4/Adj-immunized mice and induced significantly greater frequencies of pulmonary gamma interferon (IFN-γ)-producing cells than were seen in the nonvaccinated or nonadjuvanted BCG control groups. Moreover, pulmonary DN T cells from the A4/Adj group exhibited significantly higher IFN-y integrated median fluorescence intensity (iMFI) values than were seen in the control groups. We also showed that enriched DN T cells from mice immunized with A4/Adj could control mycobacterial growth in vitro significantly better than naive whole-spleen cells. These results suggest that formulating BCG in DDA/TDB adjuvant confers superior protection in immunocompromised mice and likely involves the induction of long-lived memory DN T cells.

espite the widespread use of Mycobacterium bovis BCG vaccine and the availability of effective chemotherapy, tuberculosis (TB) remains an immense global public health challenge, with approximately 9 million new cases and 1.4 million deaths per year. Overall, an estimated 2 billion people are infected with M. tuberculosis worldwide (1, 2). These alarming statistics have made it obvious that current interventions are not controlling the epidemic. The reasons for the current TB problem are multifaceted and include the lack of an efficacious vaccine and the emergence of multidrug-resistant and extremely drug-resistant M. tuberculosis strains (1, 3). Importantly, the convergence of the HIV and TB epidemics has, without question, intensified the TB problem. Since HIV-infected individuals are considerably more susceptible to pathogens due to their immunocompromised state, coinfected individuals are 30 times more likely to develop active TB than those infected with M. tuberculosis only. In fact, TB causes 25% of all HIV-related deaths worldwide (2).

While BCG is one of the most widely used global vaccines, its impact on the current TB epidemic has clearly been inadequate. Randomized controlled clinical trials and retrospective case-control studies have shown that BCG immunization is effective in reducing cases of severe disseminated TB in children; however, the effectiveness of BCG in preventing pulmonary TB has been highly variable, ranging from 0% to 80% (4). Furthermore, protection is often not highly persistent, with substantial waning of BCG-induced protective responses generally seen during the first decade after immunization (5). Given the suboptimal efficacy in the context of the devastating TB epidemic, there is an urgent global health need to develop a new TB immunization strategy. Consequently, many TB researchers are developing strategies to amplify BCG-induced antituberculosis protective responses. A popular approach involves boosting with protein- or virus-vectored vaccines after a priming BCG immunization. Alternatively, a potentially simpler and less expensive strategy involves formulating BCG in a liposome-forming adjuvant. Lipid encapsulation of BCG has been shown to improve the immunogenicity and protective efficacy of BCG immunization in mice, guinea pigs, badgers, and cattle (6–10).

Our group recently demonstrated that formulation of a BCG $\Delta$ mmaA4 (BCG-A4) mutant in DDA/TDB adjuvant (A4/Adj) increased the level and persistence of BCG-induced immune responses relative to those produced by conventional BCG and

Received 2 May 2016 Accepted 18 May 2016

**Citation** Derrick SC, Yabe I, Morris S, Cowley S. 2016. Induction of unconventional T cells by a mutant *Mycobacterium bovis* BCG strain formulated in cationic liposomes correlates with protection against *Mycobacterium tuberculosis* infections of immunocompromised mice. Clin Vaccine Immunol 23:638–647. doi:10.1128/CVI.00232-16.

Editor: D. W. Pascual, University of Florida

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Accepted manuscript posted online 25 May 2016

that the increased protection was associated with elevated CD4<sup>+</sup> multifunctional T cell immune responses (11). In addition to the adjuvant, deletion of the *mmaA4* gene may also enhance BCG-mediated immune responses. Dao and colleagues showed that deletion of the *mmaA4* gene, which encodes a methyl transferase involved in mycolic acid synthesis, removed repression of interleukin-12 (IL-12) synthesis associated with *M. tuberculosis* infections (12). IL-12 has been shown to be a key molecule for polarizing Th1 differentiation, and both *M. tuberculosis* and BCG *mmaA4* mutants were found to induce significantly elevated levels of IL-12 from infected macrophages.

We have consistently observed elevated protection with the A4/Adj formulation relative to that of wild-type BCG (wtBCG) formulated in DDA/TDB (11). For this reason, and given the unique property of this mutant strain to augment IL-12 production, we used the A4/Adj vaccine for the studies described here. To further evaluate the potential of the A4/Adj preparation, we tested the effectiveness of this vaccine preparation in immunocompromised mice and investigated the immune mechanisms that mediate antituberculosis protection in the context of immunodeficiency.

Given the acute susceptibility of HIV-infected individuals to TB, the development of efficacious TB vaccines for use in immunocompromised populations is a global public health priority. In an earlier study, we showed that immunization with a live attenuated M. tuberculosis strain (an RD1 panCD gene deletion mutant) protected CD4-deficient mice against an aerosol TB challenge and that this protection was associated with the activation of a population of TCR $\alpha\beta^+$  CD4<sup>-</sup> CD8<sup>-</sup> double-negative (DN) T cells (13, 14). In the present study, we assessed whether mice lacking CD4<sup>+</sup>, CD8<sup>+</sup>, NK1.1<sup>+</sup>, and TCR $\gamma\delta$  T cells were protected against a TB infection following immunization with a BCG $\Delta$ mmaA4 mutant strain (BCG-A4) formulated with DDA/ TDB adjuvant (A4/Adj). Here we show that significantly increased protection against a TB aerosol challenge was seen in severely immunocompromised mice immunized with the A4/Adj formulation. Interestingly, the enhanced protection detected in the mice vaccinated with A4/Adj was associated with an enhanced capacity to stimulate DN T cells.

## MATERIALS AND METHODS

**Animals.** C57BL/6 mice and breeding pairs of  $TCR\delta^{-/-}$  (B6.129P2-*Tcrd*<sup>tm1/Mom</sup>/J) mice were obtained from the Jackson Laboratories (Bar Harbor, ME). All mice used in this study were 6 to 8 weeks old and were maintained under appropriate conditions at the Center for Biologics Evaluation and Research, Bethesda, MD. This study was done in accordance with the guidelines for the care and use of laboratory animals specified by the National Institutes of Health. This protocol was approved by the Institutional Animal Care and Use Committee of the Center for Biologics Evaluation and Research under Animal Study Protocol 1993-09.

**Immunizations.** The BCG $\Delta$ *mmaA4* mutant was derived from BCG Pasteur as previously described (12). The BCG $\Delta$ *mmaA4* strain was administered subcutaneously (s.c.) in phosphate-buffered saline (PBS) or adjuvant at 1 × 10<sup>6</sup> CFU per immunization in 0.2 ml for a total of three vaccinations 2 weeks apart. The adjuvant-containing formulation was prepared by mixing the mutant BCG with dimethyl dioctadecyl-ammonium bromide (DDA; Kodak, Rochester, NY) and D-(+)-trehalose 6,6'-dibehenate (TDB; Avanti Polar Lipids, Alabaster, AL). The formulation of the BCG–TDB/DDA adjuvant was performed as previously described (11).

*In vivo* cell depletions using monoclonal antibodies. Antibodies (Abs) specific for CD4 (clone GK1.5), CD8 (clone 2.43), NK1.1 (clone

PK136), or Thy1.2 (clone 30-H12) molecules were used to deplete specific T cell populations (National Cell Culture Center, Minneapolis, MN). Antibodies were injected intraperitoneally at 0.5 mg per antibody at 4 and 2 days prior to the challenge and once per week thereafter. The efficacy of the antibody treatment was evaluated by bleeding the mice prior to the aerosol challenge and analyzing the peripheral blood mononuclear cells (PBMCs) by flow cytometry and by analysis of splenocytes at the time of sacrifice 1 month postchallenge by flow cytometry. Flow cytometry analysis of the PBMCs or splenocytes was done using antibodies specific for the Thy1.2 (rat anti-mouse Thy1.2 allophycocyanin [APC] Ab, clone 53-2.1), CD4 (rat anti-mouse CD4 Alexa Fluor 700 [AF-700] Ab, clone RM4-5), CD8 (rat anti-mouse CD8 peridinin chlorophyll protein complex [PerCP] Ab, clone 53-6.7), TCRγδ (hamster anti-mouse TCRγδ fluorescein isothiocyanate [FITC] Ab, clone GL3), NK1.1 (mouse anti-mouse NK1.1 phycoerythrin [PE] Ab, clone PK136), and B220 (rat anti-mouse/ human NK1.1 Pacific blue [PB] Ab, clone RA3-6B2) molecules. The analysis was performed using an LSRII flow cytometer (Becton Dickinson, Sparks, MD) and FlowJo software (Tree Star Inc., Ashland, OR). The PB anti-B220 antibody was purchased from Biolegend (San Diego, CA). All other antibodies were purchased from BD Biosciences (San Jose, CA). Flow analysis revealed that treatment with antibodies consistently reduced the target T cell population by >90% at up to 1 month postchallenge.

Evaluation of vaccine-induced protective immunity in a murine model of pulmonary TB. Unless otherwise stated, 2 months following the final immunization, the mice (5 mice per group) were infected with M. tuberculosis Erdman by aerosol at a concentration known to deliver about 300 CFU into the lungs over a 30-min exposure in a Middlebrook chamber (Glas Col, Terre Haute, IN) (15). At each time point, the lungs and spleens were homogenized separately in PBS with 0.05% Tween 80 using a Seward Stomacher 80 blender (Tekmar, Cincinnati, OH). The homogenates were serially diluted in PBS-0.05% Tween 80 and plated on Middlebrook 7H11 agar (Difco) plates containing 10% oleic acid-albumin-dextrose-catalase (OADC) enrichment (Becton Dickinson, Sparks, MD) medium, 10 µg/ml ampicillin, 50 µg/ml cycloheximide, and 2 µg/ml 2-thiophenecarboxylic acid hydride (TCH) (Sigma, St. Louis, MO). The concentration of TCH added to the agar plates inhibits BCG growth while permitting M. tuberculosis growth. Plates were incubated at 37°C for 2 to 3 weeks before counting was performed to determine the number of mycobacterial CFU per organ.

Quantitation of pulmonary DNT cells postchallenge. The frequency of pulmonary DN T cells from challenged mice was quantified by flow cytometry to determine the percentage of DN T cells in the lungs and the frequency of the cells secreting gamma interferon (IFN- $\gamma$ ). Prior to being challenged, naive mice or mice immunized with BCG-A4 with or without adjuvant were depleted of CD4<sup>+</sup>, CD8<sup>+</sup>, and NK1.1<sup>+</sup> T cells. Cells were isolated from the lungs 10 days following an aerosol challenge by disrupting the lung tissue with razor blades and incubating the lung homogenates with type I collagenase (Invitrogen, Carlsbad, CA) (0.7 mg/ml) for 1 h. The homogenates were then passed through 70-µm-pore-size cell strainers to removed tissue clumps. The resulting single-cell suspension was then treated with 5 ml ACK lysing buffer (Lanza, Walkersville, MD) for 1 min to deplete the cell suspension of erythrocytes. After washing of the cells with PBS, near-infrared (near-IR) live-dead stain (Invitrogen) (10 µl of a 1:100 dilution) was added to the cells and the mixture was incubated 30 min in a volume of 50 µl to allow gating on viable cells. The cells were then washed with PBS-2% fetal bovine serum (PBS-FBS). Subsequently, antibody against CD16/CD32 (FcyIII/II receptor; clone 2.4G2) (Fc block) was added in a volume of 50 µl and the mixture was incubated at 4°C for 15 min. To allow gating on DN T cells, anti-B220 (PB), anti-Thy1.2 (rat anti-mouse Thy1.2 Alexa Fluor 700; clone 53-2.1) (BD Biosciences), and biotinylated anti-TCRB (rat anti-mouse TCRB; clone H57-597) (BD Biosciences) antibody molecules were added to the cells at 0.1 to 0.4 µg per tube and incubated for 30 min at 4°C. Biotinylated anti-TCRB was detected by incubating the cells with streptavidin-conjugated Qdot605 flu-



FIG 1 Mutant BCG $\Delta$ *mmaA4* plus DDA/TDB protects mice in the absence of CD4<sup>+</sup> and CD8<sup>+</sup> T cells. WT C57BL/6 mice were challenged with *M. tuberculosis* 2 months after vaccination with the BCG $\Delta$ *mmaA4* mutant (BCG-A4) or with BCG-A4 plus DDA/TDB adjuvant (A4/Adj). Mice were treated with antibodies for depleting CD4<sup>+</sup> or CD8<sup>+</sup> T cells 4 and 2 days before and once per week after challenge. The mean and standard deviation (SD) of the bacterial CFU were determined in the lungs (A) and spleens (B) 1 month postchallenge from one experiment. *n* = 4 to 5 mice per group. \*, statistical significance relative to nonvaccinated (Naive) mice (*P* < 0.05). ^, statistical significance relative to nonvaccinated mice treated with anti-CD4 monoclonal Abs (MAbs) (nonvaccinated +  $\alpha$ -CD4 MAbs) (*P* < 0.05). #, statistical significance relative to nonvaccinated + anti-CD4 ( $\alpha$ -CD4)/CD8 MAbs (*P* < 0.05).

orochrome (Invitrogen). The cells were then washed twice with PBS and then fixed for 30 min at 4°C with 2% paraformaldehyde–PBS. After being fixed, the cells were pelleted, washed twice with PBS-FBS, and stored at 4°C. Fixed cells were washed twice with perm-wash buffer (1% FBS–0.01 M HEPES–0.1% saponin–PBS) followed by intracellular staining using rat anti-mouse PE–IFN- $\gamma$  antibody (clone XMG1.2) (BD Biosciences) at 0.2 µg. The cells were incubated at 4°C for 30 min and washed twice with perm-wash buffer and then twice with PBS-FBS. The cells were analyzed using an LSRII flow cytometer (Becton Dickinson) and FlowJo software (Tree Star Inc., Ashland, OR). About 100,000 events per sample were acquired and then, using FlowJo software, gated on live, single-cell Thy1.2<sup>+</sup> lymphocytes to determine the frequency of DN T cells in the lungs postchallenge and the frequency of DN T cells staining positive for IFN- $\gamma$ .

**iMFI** assessments. The median fluorescence intensity (MFI) for IFN- $\gamma$  from pulmonary DN T cells at 10 days postchallenge was evaluated in the different vaccine groups using FlowJo software, and the value determined represents the middle number of the range of values representing the distributions of DN T cells secreting IFN- $\gamma$ . The integrated MFI (iMFI) was calculated by multiplying the percentage of cell frequency by the MFI values. The data are presented as means of the individual iMFI assessments from the lungs of 3 mice.

DN T cell enrichment. For enrichment of double-negative (DN) T cells, splenocyte single-cell suspensions were obtained from wild-type (WT) C57BL/6 mice that had been treated with antibodies to deplete CD4<sup>+</sup>, CD8<sup>+</sup>, and NK1.1<sup>+</sup> T cells. The spleen cells were isolated by homogenizing the spleens in DMEM containing 10% bovine calf serum (cDMEM)-10% FBS with a 3-ml syringe barrel and passing the homogenate through a 0.7-µm-pore-size cell strainer. After centrifugation, the pellet was resuspended in ACK buffer (Lanza), incubated for 1 min to remove erythrocytes, and then washed with PBS containing 2 mM EDTA and 0.5% FBS. After being counted using a hemacytometer, the T cells were enriched using a Dynal mouse T cell negative isolation kit (Invitrogen) according to the manufacturer's instructions. The antibody mix used with the kit to deplete non-T cells contains antibodies against mouse CD45R (B220), CD11b, Ter-119, and CD16/32 molecules. The enriched DN T cells were analyzed by flow cytometry to determine the purity of the DN T cell preparation.

MGIA. The mycobacterial growth inhibition assay (MGIA) was done as previously described (16). Briefly, bone marrow cells were collected from the tibias and femurs of C57BL/6 mice and cultured for 7 days in wells of 24-well plates in growth media consisting of DMEM supplemented with 10% FBS, 10 mM HEPES, 2.0 mM L-glutamine, 0.1 mM minimal essential medium (MEM) nonessential amino acids, and 10% L929 cell conditioned medium. After 7 days, the bone marrow-derived macrophages (BMM $\Phi$ ) were incubated with BCG (multiplicity of infection [MOI] = 1:1,000) 2 h before the DN T cells or splenocytes from nonvaccinated or vaccinated mice were added to the wells. Following a 7-day incubation period, the wells were rinsed with PBS and the macrophages were lysed with water containing 0.1% (wt/vol) saponin. The lysates were serially diluted, and aliquots were plated on 7H11-plus-OADC plates to quantify bacterial CFU. After the plates were incubated for 2 to 3 weeks, the colonies were counted and the reduction in CFU associated with the immune cell cocultures relative to nonvaccinated spleen cell cocultures was determined.

**Statistical analysis.** The Graph Pad Prism 5 program was used to analyze the data for these experiments (Graphpad Software Inc., La Jolla, CA). The protection data, the cell flow cytometry results, the growth inhibition data, and the MFI data were evaluated using two-tailed, unpaired *t* test analysis for comparison of a single experimental group to the non-vaccinated control group, and one-way analysis of variance (ANOVA) was used for multigroup comparisons.

#### RESULTS

Vaccinated mice lacking CD4<sup>+</sup>, CD8<sup>+</sup>, NK1.1<sup>+</sup>, and TCR  $\gamma\delta^+$  T cells were protected against an aerosol TB challenge. We previously found that both wtBCG and an *M. tuberculosis* mutant strain protected mice in the absence of both CD4<sup>+</sup> and CD8<sup>+</sup> T cells (14). In the present study, we examined whether a BCG mutant formulated in DDA/TDB adjuvant would augment protection in immunocompromised mice as has been demonstrated in immunocompetent mice (11). We chose the BCG-A4 mutant for this study since we have found that the mutant formulated in DDA/TDB protects consistently better than adjuvanted wtBCG. In initial experiments, WT C57BL/6 mice were immunized with the A4/Adj preparation and were then treated with antibodies to deplete either CD4<sup>+</sup> T cells alone or both CD4<sup>+</sup> and CD8<sup>+</sup> T cells. As seen in Fig. 1, the immunocompetent, vaccinated mice were



FIG 2 Formulating the BCGΔ*mmaA4* mutant in adjuvant enhanced protection in mice lacking CD4<sup>+</sup>, CD8<sup>+</sup>, NK1.1<sup>+</sup>, or TCRγδ T cells. TCRδ<sup>-/-</sup> mice were challenged with *M. tuberculosis* by aerosol 2 months after vaccination. Mice were treated with antibodies (Ab Combo) for depleting CD4<sup>+</sup>, CD8<sup>+</sup>, and NK1.1<sup>+</sup> T cells 4 and 2 days before and once per week after challenge. The mean and SD of the bacterial CFU were determined in the lungs (A) and spleens (B) 1 month postchallenge. Data shown are from an experiment representative of 2 experiments. n = 4 to 5 mice per group. \*, significance relative to nonvaccinated mice (P < 0.05). ^, significance relative to nonvaccinated + Ab Combo (P < 0.05). #, significance relative to nonadjuvanted BCG-A4 (P < 0.05).  $\infty$ , significance relative to nonvaccinated mice to nonadjuvanted BCG-A4 + Ab Combo (P < 0.05).

significantly protected against the TB infection in both the lungs (Fig. 1A) ( $-0.85 \log_{10}$  reduction relative to naive mice) and the spleen (Fig. 1B) ( $-1.7 \log_{10}$ ) at 1 month postchallenge. Furthermore, a significant protective response was also seen in CD4<sup>+</sup> T cell-depleted, vaccinated mice compared to similarly treated nonvaccinated mice ( $-1.4 \log_{10}$  and  $-1.8 \log_{10}$  reductions in the lungs and spleens, respectively). Finally, reduced bacterial burdens at 1 month postchallenge were detected in the lungs ( $-1.85 \log_{10}$ ) and spleens ( $-2.50 \log_{10}$ ) of vaccinated relative to nonvaccinated mice depleted of both CD4<sup>+</sup> and CD8<sup>+</sup> T cells. Interestingly, the bacterial CFU values in the lungs of the CD4<sup>+</sup> and CD4/CD8<sup>+</sup> T cell-depleted mice were nearly identical, suggesting that the protection mediated by the A4/Adj vaccine in the absence of CD4<sup>+</sup> T cells was not CD8<sup>+</sup> T cell dependent.

To determine whether BCG-A4 protects in the absence of other specific T cells and whether the adjuvant augments protection, experiments were conducted using TCR $\delta^{-/-}$  mice. Initially, we observed that there was no difference in protection or the level of *M. tuberculosis* infection between WT C57BL/6 and TCRô<sup>-</sup> mice. In other experiments,  $TCR\delta^{-/-}$  mice were vaccinated with BCG-A4 either alone or formulated with DDA/TDB and then treated with antibodies to deplete CD4<sup>+</sup>, CD8<sup>+</sup>, and NK1.1<sup>+</sup> T cells (+Ab Combo) before being challenged with M. tuberculosis Erdman 2 months after the last vaccination. The data shown in Fig. 2 are representative of results of two independent experiments. At 1 month postchallenge, mice vaccinated with both BCG formulations were protected significantly better than nonvaccinated,  $TCR\delta^{-/-}$  mice in both the lungs (Fig. 2A) and spleens (Fig. 2B). Immunization with A4/Adj induced significantly improved protection relative to nonadjuvanted BCG-A4 in both non-Abtreated TCR $\delta^{-/-}$  mice (1.8 versus 1.2 log<sub>10</sub> CFU reduction, respectively, relative to untreated nonvaccinated mice) and Abtreated TCR $\delta^{-/-}$  mice (2.3 versus 1.5 log<sub>10</sub> CFU reduction, respectively, relative to Ab-treated, nonvaccinated mice). Similar results were also seen in mouse spleens for both vaccine formulations. Significant splenic protection was detected in immunocom-

petent mice relative to nonvaccinated TCR $\delta^{-/-}$  controls after A4/ Adj immunization (1.4 log<sub>10</sub> CFU reduction) and vaccination with BCG-A4 alone (1.0 log<sub>10</sub> CFU reduction) in non-Ab-treated mice. Splenic protective immune responses evoked by BCG-A4 alone and A4/Adj immunization were also significantly elevated relative to the levels seen with nonvaccinated immunodeficient mice (1.3 and 1.76 log<sub>10</sub> CFU reduction relative to Ab Combotreated nonvaccinated mice, respectively). Although reduced in the spleens of the A4/Adj-immunized mice, the splenic bacterial burdens were not significantly different from those observed in the nonadjuvanted BCG-A4 spleens. Thus, we observed that vaccination with the BCG-A4 and the A4/Adj formulation resulted in a significant reduction in pulmonary and splenic CFU relative to nonimmunized controls in both immunocompetent and severely immunocompromised mice and that A4/Adj vaccination resulted in a significant reduction in pulmonary CFU levels relative to those seen with BCG-A4 alone in the presence or absence of conventional T cells. It should be noted that mice treated with the adjuvant alone were not protected in either the lungs or the spleens in the absence of conventional T cells and were modestly protected only in the lungs of immunocompetent mice  $(0.36 \log_{10})$ CFU reduction relative to nonvaccinated mice) (not shown). Remarkably, these data show that none of the conventional T cell populations that were depleted likely mediated the observed protection in wild-type C57BL/6 or TCR $\delta^{-/-}$  mice in the absence of CD4<sup>+</sup> T cells.

To determine if T cells were absolutely required for the protective immunity elicited by the A4/Adj vaccine, TCR $\delta^{-/-}$  mice were depleted of all T cells using anti-Thy1.2 antibody along with the antibodies included in the combination. Flow cytometry analysis of the PBMCs prior to challenge showed that  $\geq$ 90% of T cells had been depleted in the treated mice. As shown in Fig. 3, the level of *M. tuberculosis* infection in both naive and A4/Adj-immunized mice increased to over 8.0 log<sub>10</sub> CFU in the lungs and over 7.0 log<sub>10</sub> in the spleens at 1 month following an aerosol infection, indicating that nonimmunized and vaccinated mice had lost the



**FIG 3** A4/Adj-induced protection is T cell dependent.  $TCR\delta^{-/-}$  mice were challenged with *M. tuberculosis* by aerosol 2 months postvaccination. Mice were treated with antibodies against CD4, CD8, and NK1.1 (Ab Combo) and anti-Thy1.2 Ab for depleting all T cells 4 and 2 days before and once per week after challenge. The mean and SD of the bacterial CFU were determined in the lungs (A) and spleens (B) 1 month postchallenge from one experiment. \*, significance relative to nonvaccinated mice (P < 0.05). ^, significance relative to nonvaccinated + Ab Combo (P < 0.05). n = 4 to 5 mice per group.

ability to control the infection in the absence of all T cells. In contrast, CFU levels in untreated nonvaccinated mice did not exceed 6.5 log<sub>10</sub> in the lungs and 5.3 log<sub>10</sub> in the spleens. As expected, CFU values were significantly lower in A4/Adj-vaccinated mice (untreated), with a 1.6 log<sub>10</sub> CFU reduction in both the lungs and the spleens, than in nonvaccinated mice. Thus, the cumulative experimental evidence strongly suggested that protection in the absence of CD4<sup>+</sup> T cells in mice immunized with A4/Adj involves an unconventional T cell population.

It should be emphasized that the protection seen in mice treated with the antibody combination was not due to cellular repopulation at 1 month postchallenge. To demonstrate that the antibody combination treatment regimen efficiently removed the target cell populations for up to 1 month postchallenge, splenocytes from treated mice were obtained at the time of sacrifice and stained with antibodies against the CD4, CD8, and NK1.1 T cell molecules. Representative flow cytometry results are shown in Fig. 4 for splenocytes from immunocompetent (non-Ab-treated) mice (Fig. 4A) and mice treated with the Ab combination (Fig. 4B). These flow cytometry results show that the target cell populations remained depleted 1 month postchallenge, with the DN T cells comprising >95% of the T cell population in the Ab-treated mice at the time of sacrifice.

Long-term protection seen in vaccinated, immunocompromised mice. The ability to generate persistent memory cells is an essential property of any efficacious vaccine. To determine whether the cells mediating the protective responses in the A4/ Adj-vaccinated, Ab combination-treated mice induced durable memory responses,  $TCR\delta^{-/-}$  mice were vaccinated with the A4/ Adj preparation either 2 or 9 months before the depletion of  $CD4^+$ ,  $CD8^+$ , and NK1.1<sup>+</sup> T cells and then subsequently challenged with *M. tuberculosis* by aerosol. As seen in Fig. 5,  $TCR\delta^{-/-}$ mice that were immunized with the A4/Adj formulation either 2 or 9 months prior to being challenged were protected equally, and this observation was consistent in both immunocompetent mice and mice depleted of conventional T cells. Immunocompetent (non-Ab-treated) mice challenged 2 months (1.6 log<sub>10</sub> CFU reduction in the mouse lungs) or 9 months (1.2 log<sub>10</sub> CFU reduction in the mouse lungs) postvaccination were similarly protected. Importantly, there were no significant differences in pulmonary protection between vaccinated, Ab-treated mice challenged 9 months postvaccination (1.8 log<sub>10</sub> CFU reduction) and those challenged 2 months postvaccination (2.0 log<sub>10</sub> CFU reduction) and bacterial burdens in the lungs were nearly equivalent. Furthermore, no difference in the levels of protection in the spleen was observed over this 9-month time period. At 9 months postvaccination, the splenic protection was comparable to that seen at the 2-month time point in both untreated mice (1.65 versus 1.9 log<sub>10</sub> CFU reduction, respectively, relative to untreated nonvaccinated mice) and Ab-treated mice (1.3 versus 1.4 log<sub>10</sub> CFU reduction relative to Ab-treated naive mice). Since there was no significant decline in protection during the 9-month postvaccination study period, these results suggest that a population of protective, unconventional memory T cells is generated in response to vaccination with adjuvanted BCG-A4.

Flow cytometry analysis of vaccine-induced DNT cells. Since protection was found to be T cell dependent but could be demonstrated in the absence of conventional T cells, we focused on double-negative (CD4<sup>-</sup> CD8<sup>-</sup>) (DN) T cells in subsequent experiments. Using flow cytometry, we examined the frequency of DN T cells in the lungs of mice depleted of CD4<sup>+</sup>, CD8<sup>+</sup>, and NK1.1<sup>+</sup> T cells 10 days post-aerosol infection with M. tuberculosis (Fig. 6A) and the frequency of DN T cells producing IFN- $\gamma$  (Fig. 6B). This time point was chosen because we have previously shown that vaccine-induced antituberculosis immune responses are greatest 10 to 14 days post-aerosol infection (relative to those seen with nonvaccinated controls) in this murine *M. tuberculosis* challenge model (17). At 10 days postchallenge, the frequency of DN T cells in the lungs of A4/Adj-immunized mice (6.7%  $\pm$  2%) was significantly greater than that seen with nonvaccinated mice  $(3.0\% \pm$ 0.5%), while the frequency of these cells in the lungs of mice vaccinated with BCG-A4 alone  $(3.6\% \pm 2\%)$  was elevated but not significantly different from that seen with nonimmunized mice. Mice vaccinated with both formulations exhibited significantly elevated frequencies of pulmonary DN T cells producing IFN-y relative to frequencies in nonvaccinated mice (Fig. 6B). Interest-



FIG 4 Representative flow cytometry data showing the depletion efficiency of the antibody combination.  $TCR\delta^{-/-}$  mice were treated with the antibody combination (anti-CD4, anti-CD8, and anti-NK1.1) on two separate days 1 day apart before receiving an aerosol challenge with *M. tuberculosis* the same week as the antibody treatment. Following the challenge, the mice were treated with the antibody combination once per week for a total of 4 weeks, after which the spleen cells were isolated and stained with antibodies to identify the target cell populations by flow cytometry. The upper panels (A) show the frequency of target cells from a mouse not treated with the antibody combination, while the lower panels (B) show the frequency of target cells after treatment with the antibody combination.

ingly, the frequency of IFN- $\gamma$ -producing DN T cells in the A4/Adj group (2.75%  $\pm$  0.2%) was significantly elevated relative to the frequency of DN T cells recovered from mice vaccinated with nonadjuvanted BCG-A4 (1.87%  $\pm$  0.32%).

To further assess the impact of immunization with the A4/Adj

vaccine, we determined the differences between nonvaccinated mice and mice vaccinated with either BCG-A4 or A4/Adj with respect to integrated median fluorescent intensity (iMFI) values. The IFN- $\gamma$  iMFI is a metric for evaluating the total response of an IFN- $\gamma$ -producing population and is calculated by multiplying the



FIG 5 A4/Adj immunization generated long-lived memory cells that do not express TCR $\gamma\delta$ , CD4, CD8, or NK1.1. TCR $\delta^{-/-}$  mice were challenged with *M. tuberculosis* by aerosol 2 months or 9 months (9 M) postvaccination (PV). Mice were treated with antibodies (Ab Combo) for depleting CD4<sup>+</sup>, CD8<sup>+</sup>, and NK1.1<sup>+</sup> T cells 4 and 2 days before and once per week after challenge. The mean and SD of the bacterial CFU in the lungs (A) and spleens (B) were determined 1 month postchallenge from one experiment. *n* = 4 to 5 mice per group. \*, significance relative to nonvaccinated mice (*P* < 0.05). ^, significance relative to nonvaccinated + Ab Combo (*P* < 0.05).



**FIG 6** DDA/TDB adjuvant enhanced the frequency of DN T cells in the lungs and of pulmonary DN T cells secreting IFN- $\gamma$  post-aerosol *M. tuberculosis* infection. Mice were treated with antibodies to deplete CD4<sup>+</sup>, CD8<sup>+</sup>, and NK1.1<sup>+</sup> T cells before receiving an aerosol challenge with *M. tuberculosis* Erdman. Lung cells from nonvaccinated mice or mice vaccinated with the BCG $\Delta$ *mmaA4* mutant (BCG-A4) with or without formulation with DDA/TDB adjuvant (Adj) were isolated 10 days postchallenge. The frequency of DN T cells recovered from the lung (A) or secretion of IFN- $\gamma$  (B) was measured by flow cytometry. Means and SD were determined from 3 mice per group from one experiment. \*, significant differences relative to nonvaccinated mice (*P* < 0.05). #, significant differences relative to BCG-A4 results (*P* < 0.05).

frequency of cytokine expressing cells by the MFI (11). As shown in Fig. 7, the IFN- $\gamma$  iMFI values for both nonadjuvanted BCG-A4 (1,790 ± 409) and A4/Adj (2,729 ± 350) mice were significantly elevated relative to those seen with nonvaccinated mice (597 ± 208). Moreover, the iMFI for A4/Adj was also significantly elevated relative to that determined for BCG-A4 alone. These data indicate that the DDA/TDB adjuvant not only enhanced the fre-



FIG 7 DDA/TDB adjuvant significantly increased BCG-A4-mediated IFN-γ secretion from pulmonary DN T cells. Mice were treated with antibodies to deplete CD4<sup>+</sup>, CD8<sup>+</sup>, and NK1.1<sup>+</sup> T cells before receiving an aerosol challenge with *M. tuberculosis* Erdman. Lung cells were isolated from nonvaccinated mice or mice vaccinated with the BCGΔ*mmaA4* mutant (BCG-A4) or A4/Adj 10 days postchallenge. The frequency and MFI values of pulmonary DN T cells secreting IFN-γ were measured by flow cytometry. The iMFI is the integrated median fluorescence intensity, which is calculated by multiplying the frequency of cells producing IFN-γ by the MFI value. Means and SD were calculated from 3 mice per group from one experiment. \*, significant differences relative to nonvaccinated mice (P < 0.05). #, significant differences relative to BCG-A4-immunized mice (P < 0.05).

quency of DN T cells in the lungs early after an aerosol infection with *M. tuberculosis* but also enhanced BCG's capacity to induce antigen-specific pulmonary T cells and also improved the ability of the BCG $\Delta$ *mmaA4* strain to evoke increased IFN- $\gamma$  responses from these DN T cells.

Vaccine-induced unconventional T cells restrict in vitro mycobacterial growth. To determine whether DN T cells could mediate inhibition of mycobacterial growth, an in vitro coculture assay was utilized. This growth inhibition assay has been used extensively to investigate the cellular immunity associated with mycobacterial and Francisella infections. Initially, enriched DN T cell populations recovered from mice vaccinated with A4/Adj and treated with the Ab Combo were cocultured with BCG-infected bone marrow-derived macrophages (BMM $\Phi$ ). Inhibition of mycobacterial growth was measured by quantifying the CFU in the DN T cell coculture following a 7-day incubation period. As controls, whole splenocyte preparations from nonvaccinated or A4/ Adj mice were overlaid onto BCG-infected BMM $\Phi$ . As shown in Fig. 8, splenocytes or DN T cells from A4/Adj-immunized mice showed mycobacterial CFU levels that were significantly reduced by 0.5 or 0.45 log<sub>10</sub> CFU, respectively, relative to splenocytes from nonimmunized mice. Importantly, the level of growth inhibition detected in the A4/Adj-induced DN T cell coculture (-0.45 log<sub>10</sub> CFU) was similar to the results seen in assays using BCG immune splenocytes.

## DISCUSSION

To model TB vaccine protection in the context of severe immunodeficiency, we examined the protective efficacy of a BCG $\Delta$ *mmaA4* mutant with or without formulation in DDA/TDB adjuvant in immunocompromised mice. This mutant strain has been shown to enhance IL-12 secretion from infected macrophages (12). Furthermore, we previously showed that formulating this BCG mutant with DDA/TDB adjuvant improved protection against an *M. tuberculosis* pulmonary infection relative to that with nonadjuvanted BCG in immunocompetent mice (11). Our



FIG 8 DN T cells significantly inhibited mycobacterial growth *in vitro* relative to nonvaccinated splenocytes. DN T cells enriched from the spleens of mice vaccinated with A4/Adj or splenocytes from nonvaccinated or immunized mice were cocultured with BCG-infected bone marrow-derived macrophages for 7 days, after which the cells were lysed and plated onto mycobacterial growth media. Mycobacterial CFU levels were quantified after a 2- to 3-week incubation. Means and SD are from three independent experiments. \*, significant differences relative to nonvaccinated mice (P < 0.05).

previous studies suggested that the enhanced protection of the A4/Adj vaccine may partially result from increased targeting of the vaccine to relevant lymph nodes and the subsequent amplified antigen-specific multifunctional CD4<sup>+</sup> T cell responses. In this study, vaccination with the adjuvanted BCG mutant significantly protected both immunocompetent mice and TCR $\delta^{-/-}$  mice depleted of CD4<sup>+</sup>, CD8<sup>+</sup>, and NK1.1<sup>+</sup> T cells against an aerosol challenge with M. tuberculosis relative to results for nonvaccinated controls. Furthermore, we showed that immunization with the A4/Adj vaccine formulation elicited significantly increased antituberculosis protective responses relative to that with a nonadjuvanted BCG-A4 preparation in these severely immunocompromised mice. The protective immune responses persisted 9 months after vaccination, indicating that the A4/Adj formulation induced long-lived memory cells. The results of these cell depletion vaccination challenge experiments suggest that the A4/Adj formulation induced DNT cells that appear to be involved in protection in the absence of conventional T cells. In an earlier study, we demonstrated that a live attenuated TB strain also protected mice in the absence of conventional T cells by induction of DN T cells (14).

To gain a clearer understanding of the role of DN T cells in vaccine-mediated protection, we examined the frequency of these cells in the lungs of mice postchallenge. We found that the frequency of these cells in the lungs was significantly elevated in mice immunized with A4/Adj relative to their frequency in nonvaccinated mice 10 days after an aerosol challenge, with a significantly greater number of DN T cells secreting IFN- $\gamma$  in the adjuvanted vaccine group than in nonvaccinated and BCG-A4-immunized mice. Not only were there significantly higher frequencies of cytokine-producing pulmonary DN T cells, we observed significantly greater iMFI values associated with this T cell population from the A4/Adj vaccine group than from the nonvaccinated mice and the nonadjuvanted BCG-A4 group. Importantly, we also found that DN T cells from A4/Adj-immunized mice significantly restricted intramacrophage mycobacterial growth *in vitro* relative to splenocytes from nonvaccinated mice. These findings suggest that the DN T cells were important for protecting the vaccinated, immunocompromised mice and that the adjuvant not only led to an enrichment of these cells in the lungs but also enhanced their ability to produce IFN- $\gamma$  after an aerosol challenge with *M. tuberculosis*.

DN T cells represent an interesting mixed population of Th1like T cells (1% to 3%) in mice and humans that have been difficult to isolate and study because of an absence of specific phenotypic markers. It has been proposed that DN T cells originate in the thymus by escaping negative selection and, following migration to the periphery, expand in number after encountering antigen. Several potential roles for DN T cells in host immunity have been proposed. For example, DN T cells can be involved in the development of tolerance to transplantation and may play a homeostatic role in autoimmune disease (18). Although CD4<sup>+</sup> and CD8<sup>+</sup> T cells are considered the primary mediators of protective immunity against microbial pathogens, recent studies have indicated that DN T cells can contribute to the control of pathogen growth. We previously demonstrated that DN T cells could potently and specifically inhibit the proliferation of F. tularensis and M. tuberculosis in in vitro cultures and promote the survival of mice infected with these organisms in vivo (19). Furthermore, Stenger et al. showed that a human CD1-restricted DN T cell line lysed TB-infected macrophages and that the results were fatty acid synthase (FAS) dependent (20), and Pinheiro et al. observed high frequencies of DN T cells expressing activation markers (HLA-DR and CD69) in the PBMCs of TB-infected patients (21). In a study conducted by Zufferey and others, DN T cells from PBMCs isolated from infants and children 10 weeks post-BCG vaccination were examined (22). After stimulation with BCG, CD4<sup>+</sup> and DN T cells were found to be the major IFN- $\gamma$ -producing cells, and the iMFI values for the DN T cells was higher than those observed for CD4<sup>+</sup> T cells. Additionally, Mou et al. have shown that major histocompatibility complex (MHC) class II-restricted DN T cells contribute to optimal immunity against Leishmania major in mice (23). For simian immunodeficiency virus (SIV) infections, Sundaravaradan and colleagues reported that multifunctional DN T cells mediated a T helper cell function during SIV infection of mangabeys; these animals remained healthy despite high viremia and  $CD4^+$  T cell depletion (24). While the role of DN T cells in protecting humans against microbial pathogens is less clear, DN T cell populations have been shown to expand during staphylococcal and mycobacterial infections (21, 25). The percentage of DN T cells has also been shown to be increased during HIV disease, especially in people with low numbers of CD4<sup>+</sup> T cells and in HIV patients with M. avium infections (26-28). Given their potential role in contributing to the host immune response to intracellular pathogens, vaccines that amplify DN T cell responses (such as the A4/Adj preparation) may be beneficial for controlling and protecting against intracellular infections, particularly in the context of T cell immunodeficiency.

The immune mechanisms associated with the induction of DN T cells by the A4/Adj vaccine are currently being investigated. Earlier studies had clearly shown that the DDA/TDB mixture induces potent Th1 cellular responses and augments BCG-mediated Th1 immune responses (9, 11). More specifically, it has been suggested that DDA cationic liposomes can create depot-like effects where antigen is slowly released over time and may act as carriers

to deliver antigens to antigen-presenting cells (29). The TDB component, a synthetic analog of the mycobacterial cord factor, is an immunostimulant which can enhance T cell differentiation and increase migration of monocytes to the injection site and draining to lymph nodes, suggesting that the adjuvant enhances both cellmediated and innate immune responses (29). Thus, it is likely that innate immune responses contribute to the enhanced protection associated with the adjuvant. It is of interest that, in humans, mycobacterial lipid extracts can stimulate CD1-restricted DN T cells (30).

An increasing number of studies have shown memory-like T and B cell-independent cross-protection between infections, indicating a heterologous memory mechanism for the innate immune system. This process of trained immunity has been seen when innate immune cells such as monocytes, macrophages, and NK cells provide protection against infections independently of the presence of lymphocytes (31). Recently, trained immunity was suggested as a mechanism of early clearance of TB infection by innate immunity (32); therefore, the innate immune response may play a larger role in protection against TB infection than has been previously appreciated. It has been recognized that BCG immunization decreases overall childhood mortality from non-TB infections, animal studies have shown that BCG immunization conferred partial protection against Babesia microti, Plasmodium berghei, and Toxoplasma gondii, and immunization of SCID mice with BCG was demonstrated to protect against Candida albicans (32, 33). Although our findings showed that the majority of protection seen in immunodeficient mice was mediated by T cells, we did observe a significant reduction in CFU in (Thy1.2 antibodytreated) A4/Adj-immunized mice depleted of all T cells relative to depleted naive controls (0.7 log reduction), suggesting that an innate immune component was likely contributing to protection in those severely immunocompromised mice.

Studies in several animal models have shown that formulating the BCG vaccine in adjuvant enhances its capacity to induce antituberculosis protective immunity (6-11). Although the biological reasons for the enhanced immunogenicity of lipid-encapsulated BCG have not been fully defined, recent reports have suggested possible mechanisms for the increased vaccine-induced immunity. Formulating BCG in adjuvant seems to increase targeting of the vaccine to relevant lymph nodes (29). We recently showed that elevated T cell responses were detected within the lymph nodes of mice vaccinated with adjuvanted BCG relative to those vaccinated with nonadjuvanted BCG (34). Also, formulating BCG with lipidcontaining adjuvants increases splenic antigen-specific multifunctional CD4<sup>+</sup> T cell responses, including the central memory cells producing tumor necrosis factor alpha (TNF- $\alpha$ ) and IL-2 (11). This finding is important because, although BCG is effective at inducing effector memory cells, it is relatively ineffective at evoking the more persistent faster-acting central memory cells (35).

In addition to formulation of BCG in adjuvant, different strategies to improve BCG have been explored in preclinical studies and many are now being investigated in the clinic. These include constructing recombinant BCG strains overexpressing *M. tuberculosis* antigens or lysins to allow BCG to escape phagocytic endosomes (36). Once in the cytoplasm, induction of apoptosis is followed by cross-presentation of mycobacterial antigens, allowing more-efficient priming of T cells. Synthetic long peptides, which have been shown to induce antitumor immunity, were also shown to protect against TB in mice after both prophylactic and therapeutic immunizations and augmented BCG-induced protection (37). Others found that a recombinant BCG (rBCG) strain overexpressing a TLR2 binding protein, PPE57, was able to protect better than wild-type BCG following an intravenous (i.v.) challenge with *M. tuberculosis* (38). Another potential strategy to augment induction of T cells by vaccination was described by Pere et al. (39). Those authors showed that vaccine-mediated protection against tumors in mice was augmented when regulatory T (TReg) cells were suppressed by inclusion of a CCR4 antagonist with the vaccine. It would be interesting to explore this strategy in the context of immunization against intracellular pathogens and, in particular, to determine if BCG protective immune responses may be more easily boosted in the absence of TReg cells.

In this study, we extended earlier findings about the immunogenicity of BCG/adjuvant formulations by demonstrating that an adjuvanted BCG vaccine can stimulate antituberculosis responses from DN T cells. Our data suggest that the vaccine-induced protection we observed in severely immunocompromised mice against an aerogenic TB challenge likely involved the activation of DN T cells. These data indicate that future TB vaccines should be designed to stimulate DN and other unconventional T cells in addition to CD4<sup>+</sup> and CD8<sup>+</sup> T cells. Overall, the validation of the antituberculosis protective capacity of unconventional T cells from this study should impact the future development of TB vaccines, especially those vaccines designed for use in immunocompromised individuals.

### FUNDING INFORMATION

This research received no specific grant from any funding agency in the public, commercial, or not-for-profit sectors. The funders had no role in study design, data collection and interpretation, or the decision to submit the work for publication.

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