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## **VAGUS NERVE STIMULATION INHIBITS CORTICAL SPREADING DEPRESSION**

**Shih-Pin Chen, MD, PhD**1,2,3, **Ilknur Ay, MD, PhD**4, **Andreia Lopes de Morais, MS**1, **Tao Qin, BS**1, **Yi Zheng, PhD**1, **Homa Sadhegian, MD**1, **Fumiaki Oka, MD, PhD**1, **Bruce Simon, PhD**5, **Katharina Eikermann-Haerter, MD**1,\*, and **Cenk Ayata, MD**1,7,\*

<sup>1</sup>Neurovascular Research Lab, Department of Radiology, Massachusetts General Hospital, Harvard Medical School, Charlestown, MA, USA

<sup>2</sup>Department of Neurology, Neurological Institute, Taipei Veterans General Hospital, Taipei, Taiwan

<sup>3</sup>Faculty of Medicine, National Yang-Ming University School of Medicine, Taipei, Taiwan

<sup>4</sup>Athinoula A. Martinos Center for Biomedical Imaging, Department of Radiology, Massachusetts General Hospital, Harvard Medical School, Charlestown, MA, USA

<sup>5</sup>Electrocore LLC, Basking Ridge, NJ, USA

<sup>7</sup>Stroke Service and Neuroscience Intensive Care Unit, Department of Neurology, Massachusetts General Hospital, Harvard Medical School, Charlestown, MA, USA

## **Abstract**

Vagus nerve stimulation has recently been reported to improve symptoms of migraine. Cortical spreading depression is the electrophysiological event underlying migraine aura, and a trigger for headache. We tested whether vagus nerve stimulation inhibits cortical spreading depression to explain its anti-migraine effect. Vagus nerve stimulation was delivered either non-invasively through the skin or directly by electrodes placed around the vagus nerve unilaterally. Systemic physiology was monitored throughout the study. Both non-invasive transcutaneous and invasive

#### **Conflict of Interest:**

Correspondence and reprint requests should be made to: Dr. Cenk Ayata, 149 13<sup>th</sup> Street, Charlestown, MA 02129, USA, cayata@mgh.harvard.edu. Dr. Katharina Eikermann-Haerter, 149 13<sup>th</sup> Street, Charlestown, MA 02129, USA, khaerter@mgh.harvard.edu.

<sup>\*</sup>Co-senior and co-corresponding authors

**Author contributions:**

Dr. Chen - Study concept and design, experiments, acquisition of data, analysis and interpretation, manuscript writing Dr. Ay - Study concept and design, experiments, acquisition of data, critical revision of the manuscript for important intellectual content

Dr. Lopes de Morais - experiments, acquisition of data and analysis.

Dr. Qin - acquisition of data, analysis and interpretation

Dr. Zheng - critical revision of the manuscript for important intellectual content

Dr. Sadhegian - acquisition of data, analysis and interpretation

Dr. Oka- acquisition of data, analysis and interpretation

Dr. Simon - Study concept and design, critical revision of the manuscript for important intellectual content

Dr. Eikermann-Haerter - Study concept and design, study supervision, and manuscript writing

Dr. Ayata - Study concept and design, study supervision, and manuscript writing

Dr. Bruce Simon is an employee of ElectroCore LLC, and joined the project after completion of the experiments investigating the effect of invasive vagus nerve stimulation. The other authors declare no competing financial interests.

direct vagus nerve stimulation significantly suppressed spreading depression susceptibility in the occipital cortex in rats. The electrical stimulation threshold to evoke a spreading depression was elevated by more than two-fold, the frequency of spreading depressions during continuous topical 1M KCl was reduced by ~40%, and propagation speed of spreading depression was reduced by ~15%. This effect developed within 30 minutes after vagus nerve stimulation. Non-invasive transcutaneous vagus nerve stimulation was as efficacious as direct invasive vagus nerve stimulation, and the efficacy did not differ between the ipsilateral and contralateral hemispheres. Our findings provide a potential mechanism by which vagus nerve stimulation may be efficacious in migraine, and suggest that susceptibility to spreading depression is a suitable platform to optimize its efficacy.

#### **Keywords**

Migraine; Cortical spreading depression; Vagus nerve stimulation

## **1. Introduction**

Migraine is a highly prevalent and disabling disease with tremendous socioeconomic impact. Despite intense research, therapeutic options are limited. Even the most effective acute abortive drugs, triptans, can only achieve a 30% two-hour pain-free response rate at best [28]. Considering the complex pathophysiology and genetics of migraine [2; 52; 54], new therapeutic modalities are needed for patients who do not respond to currently available treatments.

Vagus nerve stimulation (VNS) is an FDA-approved treatment for seizures and depression, currently under investigation as a non-pharmacological therapy in migraine. Patients with refractory epilepsy or major depression have reported remarkable improvement in their migraines after VNS [11; 17; 34; 43; 59], and a small case series suggested efficacy in chronic refractory migraine [48]. In recent open-label, single-arm, multiple-attack studies, VNS afforded a 22% two-hour pain-free rate for moderate or severe attacks [10; 30]. Despite these promising early results, the mechanism of action of VNS in migraine is unknown, hampering the efforts to optimize its efficacy and minimize potential side effects.

Cortical spreading depression (CSD) is a slowly propagating wave of neuronal and glial depolarization that underlies migraine aura. Once triggered, CSD activates downstream inflammatory and nociceptive pathways leading to headache that can last many hours and sometimes days [6; 15; 37; 64; 65]. Animal models of CSD have been widely used as a validated platform for screening pharmacological therapies for migraine [5; 7]. Indeed, migraine prophylactic drugs belonging to different pharmacological classes all inhibit CSD as a common mode of action [7; 23], suggesting that CSD is a headache trigger and a viable therapeutic target in migraine.

Previous work in animal models has shown that VNS activates nucleus tractus solitarius (NTS), locus ceruleus (LC) and dorsal raphe nuclei (DRN) [4; 21; 22; 32], all of which can suppress CSD susceptibility by enhancing serotonergic or β-adrenergic activity [29; 42; 46; 56]. VNS has been effective for the treatment of seizures and depression which show a

strong clinical association with migraine [27], and many migraine prophylactic drugs are antiepileptics or antidepressants, and suppress CSD [5]. Moreover, VNS has reproducibly shown efficacy in animal models of ischemic [3; 33; 35] or traumatic brain injury[61], where CSD plays a major pathophysiologic role [40]. We, therefore, hypothesized that VNS suppresses CSD susceptibility as one mechanism of action in migraine, and tested this electrophysiologically in previously validated animal models under full systemic physiological monitoring.

## **2. Methods**

#### **2.1 Ethics statement**

Experiments were carried out in accordance with the Guide for Care and Use of Laboratory Animals (National Institutes of Health Publication No. 85-23, 1996), and approved by the institutional review board (Massachusetts General Hospital Subcommittee on Research Animal Care).

## **2.2 General surgical preparation**

A total of 66 adult male Sprague-Dawley rats (230–405g, Harlan Laboratories, Indianapolis, IN; Charles River Laboratories, Wilmington, MA) were anesthetized with isoflurane, intubated via a tracheostomy, and mechanically ventilated (SAR-830; CWE, Ardmore, PA). The femoral artery was catheterized for continuous blood pressure and heart rate monitoring (ADInstruments, Ardmore, PA), and intermittent arterial blood gas and pH measurements (Rapidlab 248 blood gas/pH analyzer, Siemens HealthCare, Eschborn, Germany). Rectal temperature was maintained at 37 °C. All systemic physiological parameters were within normal ranges for anesthetized rats and did not differ among experimental groups (Table 1).

#### **2.3 VNS paradigms**

VNS was delivered either non-invasively through the skin or directly by electrodes placed around the vagus nerve (Figure 1A). For non-invasive transcutaneous VNS (nVNS), two disc electrodes (6 mm diameter, 5 mm electrode separation) were connected to a customized stimulator modified from the current gammaCore nVNS device (electroCore LLC, Basking Ridge, NJ), and placed on the intact skin directly over the cervical portion of the right vagus nerve (approximately 5–8 mm lateral from midline at the level of larynx), after shaving and application of a conductive gel to ensure proper electrode contact. To control for the potential effects of cervical skin and muscle stimulation that occur during nVNS, in a separate cohort we tested non-invasive transcutaneous stimulation of femoral nerve branches (nFNS) in the anterior thigh area overlying the quadriceps femoris muscle using the same protocol, electrode apparatus and device as in nVNS. This area was chosen for the paucity of autonomic nerve fibers to minimize inadvertent stimulation of visceral afferents. For invasive direct VNS (iVNS), self-constructed bipolar helical electrodes [3] were surgically placed around the mid-cervical portion of the right vagus nerve (at the level of larynx; electrode separation 1.5 mm). Sham controls had identical electrode placements but without stimulation. Sham-operated rats in the iVNS group were separately compared with naïve rats that did not undergo surgical exploration of the vagus nerve to control for the effects of electrode placement.

In the nVNS paradigm (Figures 1B and C), stimulation was delivered while the rat was in a supine position after general surgical preparation but prior to placement on the stereotaxic frame. Two 2-min stimulus trains (1ms pulse of 5kHz sine waves at 25 Hz) were delivered with an interval of 5 minutes. Systemic physiology was monitored during the stimulation. Rats were then transferred to the stereotaxic frame for cranial surgical preparation. CSD susceptibility testing always started in the ipsilateral hemisphere approximately 30 minutes after nVNS. The CSD frequency during topical KCl application and electrical CSD threshold were determined in separate cohorts.

In the iVNS paradigm (Figure 1D), electrodes were placed around the right vagus nerve as part of general surgical preparation, rats were transferred to the stereotaxic frame, and cranial surgery completed. We delivered 30 sec trains of 0.5 ms, 0.5 mA square pulses at 20 Hz (S48 stimulator, and stimulus isolation and constant current unit, Grass Instruments, West Warwick, RI) every 5 minutes, as reported previously [3; 61]. The first iVNS started 2.5 minutes before the first cortical electrical stimulus, and repeated iVNS trains were interleaved with incremental steps of cortical stimulation to determine the CSD threshold. iVNS was continued using the same parameters during the subsequent CSD frequency determination during topical KCl application. Therefore, iVNS was delivered during the entire CSD susceptibility testing in the first hemisphere, and then stopped during the testing in the second hemisphere. In iVNS, CSD testing started either in the right or in the left hemisphere in alternating order in each successive rat. Therefore, in half of the rats the hemisphere contralateral to iVNS was studied first.

## **2.4 Cranial surgery**

Animals were placed on a stereotactic frame (Stoelting, Wood Dale, IL, USA), and craniotomies were drilled under saline cooling for occipital stimulation (mm from bregma: 4.5 posterior, 2 lateral; diameter 2 mm), and parietal and frontal recordings (1.5 posterior, 2 lateral, and 1.5 anterior, 2 lateral; diameter 1 mm) (Figure 1A). Dura was carefully removed. Following surgical preparation and cortical electrode insertion, the cortex was allowed to rest for 15 minutes under saline irrigation. The electrocorticogram and direct current (DC) potential were recorded with glass capillary microelectrodes and amplified with a DC preamplifier (EX1 differential amplifiers, Dagan Corporation, Minneapolis, MN, USA) and continuously digitized (PowerLab, ADInstruments, Colorado Springs, CO, USA).

## **2.5 Electrophysiology and CSD susceptibility**

Susceptibility to CSD was evaluated by measuring the electrical threshold for CSD, followed by analysis of CSD frequency and propagation speed during continuous topical application of KCl, as previously described in detail [7; 8]. The electrical threshold to induce CSD was determined by direct cortical stimulation using a stimulus isolator (WPI, Sarasota, FL) and a bipolar stimulation electrode (400 μm tip diameter, 1 mm tip separation; Frederick Haer Company, Bowdoin, ME, USA) placed on the pial surface. Single-square pulses of increasing duration and intensity  $(50-4,000 \mu C)$  were applied at 5-minute intervals until a CSD was observed. Migraine-susceptible brains may develop repetitive/reverberating CSDs [24; 26], and analysis of CSD frequency therefore provides another tool to assess CSD susceptibility and the efficacy of migraine treatments. For analysis of CSD frequency and

propagation speed, a cotton ball (1.5–2 mm diameter) soaked with KCl (1M) was placed on the occipital cortex and changed every 15 minutes for 1 hour. CSDs were continuously recorded, and only counted if their amplitudes were 5 mV or higher. Other attributes of CSD, such as propagation speed, amplitude, and duration at half-maximal amplitude, were also measured.

#### **2.6 Cerebral blood flow (CBF)**

To examine the acute effects of nVNS on CBF, we placed one laser Doppler flowmetry probe (Perimed, Sweden) over each temporal bone, and continuously measured CBF during nVNS and for 30 minutes thereafter under isoflurane anesthesia. Arterial blood pressure and blood gases were measured as described in the general surgical preparation section above. Data were expressed as % of baseline.

#### **2.7 Study design and statistics**

None of the animals died or experienced severe complications during electrode implantation or recordings. Animals were randomly assigned to VNS or sham control groups. Effective blinding was not possible during the experiment due to the transient hypotensive effect of VNS. However, measurements were confirmed post-hoc by an independent, blinded investigator. Sample sizes were estimated to detect an effect size of 35% based on a standard deviation of 25% of the mean  $\alpha$ =0.05; 80% power). In anticipation of potential surgical failures, two more rats were added *a priori* in the iVNS group. Data are expressed as whisker-box plots (whisker, full range; box interquartile range; line, median, cross, mean), mean±SEM or median [interquartile range]. A priori exclusion criteria were surgical failure and poor systemic physiology. In the nVNS paradigm only, one rat was excluded because of femoral artery catheter dislocation. Continuous variables were analyzed with paired or unpaired Student's t-tests, Pearson correlation, or two-way analysis of variance (ANOVA) for repeated measures with post-hoc test as appropriate (GraphPad Prism). A p-value of <0.05 was considered statistically significant.

## **3. Results**

#### **3.1 Effect of nVNS on CSD susceptibility**

Noninvasive transcutaneous VNS (nVNS) 30 minutes prior to testing significantly suppressed CSD susceptibility (Figure 2). The frequency of repetitive CSDs triggered by continuous topical KCl application was reduced by 40% (n=6 each, nVNS and sham), and the cortical electrical stimulation intensity required to initiate a CSD was elevated by  $\sim$ 2.5 fold (n=6 each, nVNS and sham). Propagation speed of CSD was also significantly reduced. Importantly, these effects were nearly identical in both hemispheres, studied sequentially but independently in the same animal. Based on the experimental timeline (Figures 1B and C), CSD suppression was fully established within 30 minutes after nVNS, and lasted at least 3 hours in this paradigm. Non-invasive stimulation of femoral nerve (nFNS) to control for potential nonspecific effects of cutaneous and muscle stimulation did not alter CSD attributes compared to sham controls in a separate cohort (Supplemental Figure).

## **3.2 Effect of iVNS on CSD susceptibility**

Invasive direct VNS (iVNS) also suppressed CSD susceptibility (n=8 each, iVNS and sham; Figure 3A). In this paradigm, iVNS was started simultaneously with CSD testing (Figure 1D). Consequently, CSD suppression differed between the initial and subsequent stages of testing. To better define the time-dependence of iVNS efficacy, we analyzed the data separately in each successive experimental stage (Figure 3B). The first CSD threshold (Threshold A) tested during the first 15 minutes of iVNS did not differ from sham controls, whereas the threshold tested more than 90 minutes after iVNS onset (Threshold B) was significantly higher. Similarly, KCl-induced CSD frequency between 15 and 45 minutes after iVNS onset (Frequency A) was only mildly reduced  $(\sim 17\%; p > 0.05)$ , whereas the frequency between 45 and 75 minutes (Frequency B) significantly decreased by 46% compared to sham controls, and remained lower throughout the rest of the experiment (Frequency C and D). Because in the iVNS paradigm we placed the electrode around the right vagus nerve, and started CSD testing first in the right or left hemisphere in alternating order in each successive rat, we were able to compare whether the efficacy of VNS differed between the ipsilateral and contralateral hemispheres independent of the effect of time. This comparison once again showed that iVNS-induced CSD suppression was nearly identical in the two hemispheres (Figure 3C). Importantly, CSD susceptibility in naïve controls that did not undergo any surgery (CSD frequency 10.4±0.8 and 9.5±0.4 CSDs/h, CSD threshold 200 [200–350] and 350 [125–600]  $\mu$ C, CSD propagation speed 4.2±0.5 and 4.2±0.2 mm/min, in the first and second hemispheres, respectively, in naïve rats; n=8) did not differ from sham operated rats.

#### **3.3 Effect of VNS on blood pressure, heart rate, and cerebral blood flow**

Neither nVNS nor iVNS had any effect on CSD amplitude or duration (Table 2). However, in both paradigms, we observed transient and mild blood pressure and heart rate drops during the stimulation (Figure 4A), which recovered promptly upon stimulus cessation, as reported previously [3]. The magnitude of blood pressure drop during nVNS did not correlate with CSD frequency, threshold, or propagation speed in individual rats  $(R^2=0.18-$ 0.33, p=0.23–0.47 for nVNS, and  $R^2$ =0.00–0.20, p=0.31–0.99 for iVNS). Since iVNS was delivered during CSD susceptibility testing in the first hemisphere (Figure 1D), some KClinduced CSDs coincided with the 30-second iVNS periods. The duration of CSDs that coincided with iVNS did not significantly differ from CSDs that did not coincide with iVNS, but their amplitude was slightly larger (Figure 4B). Lastly, in order to test whether changes in CSD susceptibility might be caused by changes in cerebral perfusion upon VNS, we studied CBF in both ipsilateral and contralateral hemispheres in a separate group of rats (n=4), and found no significant change in CBF during or for 30 minutes after nVNS (Figure 4C).

## **Discussion**

In this study, we show for the first time that VNS acutely suppresses CSD susceptibility as a potential mechanism for its therapeutic efficacy in migraine. The degree of CSD suppression by VNS was comparable to suppression by migraine prophylactic drugs previously demonstrated in the same experimental paradigm [8; 13; 25]. We demonstrate the efficacy

using both non-invasive transcutaneous and invasive VNS approaches currently approved for clinical use, employing experimental techniques accepted as the gold standard to assess CSD susceptibility, and under full systemic physiological monitoring to eliminate potential confounders [7].

Several case series and one open-label trial suggest that VNS can be an effective treatment against migraine  $[11; 17; 30; 34; 43; 48; 59]$ . In retrospective case series  $(n=24)$ , headache frequency was reduced in 75% of patients using iVNS as a preventive treatment [11; 17; 34; 43; 48; 59]. The VNS paradigms employed in these cases (20–30 Hz, 30 sec trains of 0.25– 0.5 ms/0.25–2.25 mA square pulses, every 5 min), were nearly identical to our iVNS paradigm (20 Hz, 30 sec trains of 0.5 ms/0.5 mA square pulses, every 5 min). In a prospective open-label trial of nVNS in 30 patients, the 2-hour pain-free rate was 22% for moderate to severe attacks [30], comparable to triptans [28] and non-steroidal antiinflammatory drugs [41]. The clinical nVNS paradigm (two 90 sec sinusoidal nVNS of the right cervical vagus nerve separated by 15 minutes) was once again nearly identical to our study in rats (two 120 sec sinusoidal nVNS of right cervical vagus nerve separated by 5 minutes). None of the clinical studies investigated the effect of VNS on aura.

These data are also congruent with the efficacy of iVNS in epilepsy and ischemic stroke, two conditions that show a strong clinical association with migraine [57]. For example, iVNS has been safe and effective in refractory partial or generalized epilepsy [20; 50], with more than 50% seizure reduction in more than half of the patients [20; 50]. Efficacious iVNS paradigms in epilepsy typically consisted of 30 Hz, 30 sec trains of 0.25–0.5 ms/1.0–1.5 mA, every 5 minutes [39], nearly identical to our study. In experimental models, similar iVNS paradigms reduced spiking frequency and increased seizure threshold [1]. Experimental data also suggest that iVNS (20 Hz, 30 sec trains of 0.3–0.5 ms/0.5 mA square pulses, every 5 minutes for 1–3h) reduces infarct size and improves functional outcome in animal models of focal cerebral ischemia [3; 33]. Taken together, our data implicate SD inhibition as one mechanism by which VNS may improve stroke outcomes as well [25; 60].

CSD is associated with activation of voltage-gated and other large conductance cation channels causing massive transmembrane  $K^+$ , Na<sup>+</sup>, Ca<sup>2+</sup> and water fluxes that last up to a minute [9]. As a result, extracellular  $K^+$  and glutamate concentrations increase during CSD by an order of magnitude or more. It is generally accepted that the  $K^+$  and glutamate released from the depolarized tissue diffuse into adjacent tissues and trigger the same depolarization cycle, allowing CSD to slowly propagate by way of contiguity. Although glutamatergic receptors, particularly of NMDA subtype, are critical for CSD, numerous other neurotransmitter, neuromodulator and ion channel systems, including noradrenaline, serotonin and cytokines, have also been shown to modulate CSD susceptibility, one or more of which are likely to be responsible for VNS efficacy [5; 7; 23].

This is supported by data on the mechanisms of action of VNS in other related disease models such as epilepsy and stroke. Eighty percent of the vagus nerve is comprised of sensory afferents, which terminate in the NTS bilaterally, and then project to the LC, DRN, nucleus basalis and cerebellar fastigial nucleus among other structures [18; 19]. VNS upregulates c-fos expression in the NTS and the LC [4; 21], and increases neuronal firing in

the LC [22; 32] and the DRN [22]. There is a large body of data strongly suggesting that the efficacy of VNS in epilepsy and stroke depends on activation of these afferent fibers and their projections to subcortical nuclei [4; 21; 22; 29; 32; 38; 45; 51; 58; 62]. These subcortical nuclei likely mediate CSD suppression by VNS as well. For example, electrical stimulation of the fastigial nucleus elevated the CSD threshold by almost 3 fold, and reduces the propagation speed by a third [31]. VNS induces norepinephrine release from LC [29], and norepinephrine inhibits CSD [56]. VNS also increases serotonin in dorsal raphe [46], and serotonin depletion has been shown to facilitate CSD [42]. Furthermore, VNS inhibits the release of pro-inflammatory cytokines [16; 36] that are known to facilitate CSD [55]. VNS increases GABA in the cerebrospinal fluid and cortical GABA(A) receptor density in patients with epilepsy [12; 47], although the relevance of these in CSD suppression is not clear [5]. Lastly, VNS inhibits glutamate release [12; 49], the neurotransmitter critical for CSD initiation and spread, and reduces the excitability of pyramidal cells in cortical layer V [63]. More work is needed to dissect the contribution of each one of these mechanisms to CSD suppression after VNS. Although vagus nerve also carries the principal parasympathetic input to thoracoabdominal organs, these autonomic efferents are unlikely to suppress CSD in occipital cortex. Of course, mechanisms independent of CSD may also contribute to the efficacy of VNS in migraine. For example, VNS has been shown to reduce chemically-induced trigeminal pain and allodynia, as well as neuronal firing, c-fos expression and glutamate release in trigeminal nucleus caudalis [14; 44; 53].

#### **4.1 Conclusion**

In summary, we show for the first time that VNS acutely suppresses CSD susceptibility, reminiscent of its efficacy in epilepsy and stroke, two comorbid conditions with migraine. Therefore, our data provide a mechanism by which VNS may be efficacious in migraine. Because of the brief but nevertheless clinically relevant latency between VNS onset and SD suppression in this experimental model (~30 min), we believe VNS may find clinical utility as an acute preemptive intervention. It remains to be determined whether chronic daily VNS affords prophylactic efficacy that is comparable to or stronger than the acute preemptive efficacy tested in this study.

## **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

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#### **Fig. 1. Experimental setup and VNS paradigms**

A) Left: Surgical exposure of the vagus nerve for iVNS and the vagus nerve segment stimulated using the helical electrode are shown. For nVNS, transcutaneous disc electrodes were placed where indicated by blue circles. Middle and right: Craniotomies were prepared on occipital cortex for electrical or KCl stimulation, and on parietal and frontal cortices for electrophysiological recordings. B) Experimental timeline to test nVNS on electrical CSD threshold. C) Experimental timeline to test nVNS on KCl-induced CSD frequency. D) Experimental timeline to test iVNS on electrical CSD threshold and KCl-induced CSD frequency. All symbols are defined on the right. Details of stimulation parameters are provided in the Methods.



#### **Fig. 2. Effect of nVNS on KCl-induced SD frequency, electrical SD threshold, and SD propagation speed**

Representative intracortical microelectrode recordings are provided from the second hemispheres of control and nVNS animals. Graphs show the data from the first and second hemispheres of sham control and nVNS groups (n=6 each), expressed as whisker-box plots (whisker, full range; box interquartile range; line, median, cross, mean). Note that the vertical axis of CSD threshold is in log scale. \*p<0.05 vs. sham controls; two-way ANOVA for repeated measures.



#### **Fig. 3. Effect of iVNS on KCl-induced SD frequency, electrical SD threshold, and SD propagation speed**

A) Graphs show the data from the first and second hemispheres of sham control and iVNS groups (n=8 each), expressed as whisker-box plots (whisker, full range; box interquartile range; line, median, cross, mean). \*p<0.05 vs. sham controls; two-way ANOVA for repeated measures. B) Because CSD susceptibility testing was started simultaneously with the iVNS, time was a significant factor. Therefore, we analyzed the data for each time period separately as indicated on the timeline, and compared each time period in iVNS group to the corresponding one in sham controls as well as to the other time periods in the same group. Graphs show the data from each time period in sham control and iVNS groups, expressed as mean $\pm$ SEM. Note that the vertical axis of CSD threshold is in log scale. \*p<0.05 vs. sham

controls; †p<0.05 vs. iVNS test period A; two-way ANOVA for repeated measures. C) We found no difference between the ipsilateral and contralateral hemisphere to the iVNS side independent of time (see Methods and Results), suggesting that iVNS efficacy was bilateral (t-test).



#### **Fig. 4. Systemic physiological, electrophysiological and cerebral blood flow effects**

A) The time course of arterial blood pressure (BP) and heart rate (HR) during nVNS (n=12 stimulations) or iVNS (n=98 stimulations). Note that some error bars are too small to be visible. \*p<0.05 vs. baseline (time 0); two-way ANOVA for repeated measures. B) Because CSD susceptibility testing was started simultaneously with the iVNS, some KCl-induced CSDs coincided with the 30 sec iVNS delivery. The duration of CSDs that occurred during iVNS (n=20) did not differ from those that occurred when iVNS was not on (n=32), although their amplitude was slightly larger. The significance of this finding, however, is unclear. \*p<0.05 vs. during iVNS; two-way ANOVA for repeated measures. C) Cerebral blood flow (CBF) was studied in a separate cohort of rats (n=4), and did not significantly change in either the right or left hemisphere during nVNS delivered on the right side.

**Table 1**

Systemic physiology Systemic physiology



## **Table 2**

SD amplitude and duration (data are from the first SD detected at the posterior electrode)



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