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# **The role of the pediatric cerebellum in motor functions, cognition and behavior: a clinical perspective**

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# **Synopsis**

This article discusses the contribution of the pediatric cerebellum to locomotion, ocular motor control, speech articulation, cognitive function, and behavior modulation. Models of cerebellar function are discussed. Clinical features in patients with cerebellar disorders are outlined. Cerebellar abnormalities in cognitive and behavioral disorders are detailed.

## **Keywords**

Cerebellum; motor coordination; eye movements; speech articulation; language; attention deficit hyperactivity disorder; schizophrenia; autism

# **Introduction**

The cerebellum, Latin for small brain, weighs only about 10% of the adult human brain; however, it contains four times as many cerebral neurons. The cerebellum has undergone a rapid size increase in humans and apes that has been even faster than the rapid change in neocortex size. <sup>1</sup> This disproportionate increase in size is unlike that seen in other anthropoid primates where the neocortex and the cerebellum underwent similar expansion rates. Such expansion underscores the relative importance of the cerebellum in humans. Yet despite over

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100 years of scientific research, the function of the cerebellum remains elusive but there is no shortage of possible theories on how the cerebellum works.

The anatomy of the cerebellum is discussed elsewhere in this special edition. For the interested reader, physiologic cerebellar anatomy has been reviewed recently.  $2$  Basically, mossy fibres and climbing fibres provide excitatory inputs to the cerebellum via the superior, middle, and inferior cerebellar peduncles. Mossy fibres form synapses with granule cells while climbing fibres synapse on Purkinje cells. Both fibres also send collaterals to the deep cerebellar nuclei. Granule cells form synaptic contacts with Purkinje cells via parallel fibres. Inhibitory outputs from Purkinje cells innervate the vestibular nuclei and the deep cerebellar nuclei. The latter constitutes the main output of the cerebellum and make excitatory synapses on their targets. Other cells in the cerebellar cortex include Golgi cells, Lugaro cells, unipolar brush cells, stellate cells, and basket cells. They form interneurons within the cerebellar cortex. <sup>2</sup>

Different cerebellar regions have important roles in voluntary control of limb movements, ocular motor control, balance, walking, and non-motor higher cognitive functions.  $3$  How does the cerebellum provide these functions?

The answer to this question has been addressed indirectly based on studies in patients with cerebellar damage. Computational modeling and experimental animal studies using pharmacologic, lesion, and genetic manipulations have also contributed further important insights. More recently, cerebellar transcranial direct current stimulation has provided a noninvasive approach to investigating cerebellar functions in health and disease.<sup>4</sup>

Movement abnormalities resulting from cerebellar impairment include poor coordination, increased variability, impaired accuracy, and tremor manifesting during limbs movements, walking, stance, talking, and eye movements.<sup>5</sup>

Two popular proposals on how the cerebellum functions are actively debated. <sup>6,7</sup> The first states that the cerebellum contributes to motor and non-motor control by acting as a timer. The second states that the cerebellum functions by updating and/ or storing an internal model of body dynamics. <sup>8,9</sup> In this model, the cerebellum essentially predicts the consequences of motor command on the body and its surroundings (e.g. how a motor command will change the state of a limb or object position and velocity). A feed forward (i.e., predictive and planned in advance) signal provides a fast response instead of relying on a visual or peripheral sensory feedback system with its attending long delay to ensure a realtime, correct, and appropriate motor response.<sup>6</sup> In addition, the cerebellum improves proprioception (i.e. sensing the position of a limb in space in the absence of visual input) during active but not passive limb movement, through prediction. <sup>10</sup>

Other theories on cerebellar function include temporal and spatial sequence detection within the feedforward control mechanism, $<sup>11</sup>$  tonic facilitation providing fine tuning of downstream</sup> target structures, and the initiation of coordinated compound movements. <sup>12</sup>

#### **Limbs motor control**

Parallel fibres link Purkinje cells and deep cerebellar nuclei, where single muscles are represented, thus providing a way of linking movements involving many muscles i.e. complex sequence of movements.12 It is not known what signal the cerebellum uses to exert its modulatory control on movements. Candidate signals that may be used by the cerebellum include sensory information from the periphery or copies of the movement commands (efference copy) from the primary motor cortex. Another possibility that has not been proven is that the cerebellum is capable of generating motor commands that could lead a limb towards a desired target.<sup>5</sup>

Smooth and accurate execution of voluntary movements and adaptation to changing motor tasks depend on a healthy cerebellum.13 Through trial and error, the cerebellum can learn and store different combinations needed for precise compound movements. Motor learning in children is not similar to adults.<sup>14</sup> Prior experience but not error size improves motor learning in young children. Various types of motor learning are achieved at different ages. In children up to 11 years of age, spatial adaptation matured at a slower rate than temporal adaptation in locomotion tasks that demanded walking on a split-belt treadmill with each leg's speed controlled independently.15 Temporal adaptation (learning a new timing change) of a locomotor task matures by age three years.

Patients with cerebellar lesions can perform simple motor tasks, with incoordination and impaired initiation of movement appearing when compound complex movements are performed, especially at a rapid pace.12 Cerebellar impairment cause greater dysfunction in predictive movements than in movements that require feedback, i.e. visual or somatosensory feedback information.<sup>5</sup> However, the mechanism that utilizes peripheral feedback functions suboptimally as the demand on it increases. Patients with cerebellar disorders have been shown to have proprioceptive deficits during active but not passive limb movements.<sup>10</sup> Cerebellar dysfunction affects fast movements to a greater extent than slow movements. In addition, the ability to adapt to novel changes in movements is impaired. Imprecise movements and errors in perception during active predictable movements following cerebellar impairment has been attributed to a malfunction in the internal models of body dynamics.<sup>7</sup> Table 1 shows a list of signs seen in patients with cerebellar impairment.

#### **Ocular motor control**

The cerebellum is important for all types of eye movements and for ensuring fixation stability. The vestibulocerebellum is essential for gaze stability, vestibulo-ocular reflex, and smooth ocular pursuit. Various types of nystagmus, e.g. gaze-evoked nystagmus and saccadic intrusions, which are abnormal fast eye movements that take the fovea off the target, occur following cerebellar damage and result in fixation instability. The oculomotor cerebellum, which includes the fastigial nuclei and dorsal vermis lobules VI and VII, is mostly important for saccade processing; however, it also participates in smooth ocular pursuit initiation, horizontal alignment of the two eyes, and vergence processing.<sup>16,17</sup>

The cerebellum fine-tunes eye movements and reduces their baseline variability to ensure that the two eyes are stable and working together. This is important for bringing and maintaining objects of interest on the fovea, which in turn leads to the best visual acuity whether the person is moving or not. This fine-tuning occurs online and also over time by a process called adaptation. Adaptation ensures the best calibration of the ocular motor responses, for example, the amplitude and direction of the vestibulo-ocular reflex, the velocity of smooth ocular pursuit relative to target velocity. In addition, the amplitude of saccades can undergo adaptation i.e. change in size, for which the cerebellum provides an essential role.16 Table 2 shows a list of ocular motor signs seen in patients with cerebellar diseases.

#### **Speech control**

Spoken language broadly consists of two different neurological functions with different anatomical correlates. Language is discussed in a separate part of this chapter. Here, we focus on the production of speech, which is a complex process that involves several networks located in the cerebrum and cerebellum.18 The cerebellar contribution to speech control is likely similar to its control of limb movements. The production of speech involves the coordination of a large number of muscles, in particular the tongue and oro-facial muscles.19 Specifically, the cerebellum plays an important role in speech articulation, prosody (i.e. characteristics of speech style including rhythm, speed, emphasis, and pitch), and planning and processing of speech and language.<sup>20</sup> Inputs from premotor, auditory and somatosensory areas to the cerebellum provide important information for choosing motor commands for speech.

Cerebellar impairment can cause ataxic dysarthria.18 Table 3 shows the characteristic of speech abnormalities in patients with cerebellar disorders. Abnormalities in speech motor programming through impaired timing and deficits in speech execution are both implicated in ataxic dysarthria.20 It is hypothesized that processing abnormalities in the feedforward motor commands results in abnormal speech production as it becomes more reliant on sensory feedback with its attendant delays. Such a delay may slow speech, produce pauses and disrupt speech rhythm.

There is some evidence for specific sites within the cerebellum that are important for speech articulation. Increased signal intensity on fMRI over the medial parts of the anterior lobe of the cerebellum has been described in association with tongue and lip movements in healthy volunteers. Lesions caused by stroke involving the superior paravermal area of the right cerebellar hemisphere may lead to dysarthria.<sup>19</sup>

# **A role beyond motor systems**

With the transition from  $19<sup>th</sup>$  to  $20<sup>th</sup>$  centuries, work from such distinguished neurologists as Babinski and Holmes postulated exclusive roles for the cerebellum in motor control with isolated cerebellar lesions resulting in deficits of motor coordination, motor speech output, and ocular motor function.<sup>21-23</sup> Over the next three quarter century, as cerebellar circuitry

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was further elucidated and theories postulated regarding its mechanisms of actions, few studies challenged this view of a motor cerebellum.

The first challenge to this idea of a strictly motor cerebellum was postulated by Leiner et al 1986, after examination of the development of the dentate nucleus during evolution.<sup>24</sup> From more primitive species to primates, dentate nuclear development occurred most dramatically in the ventral dentate, an expansion mirrored by growth in the prefrontal cortex. By comparing dentatethalamic and thalamic-cortical projections, they postulated that these newly expanded areas of the dentate were connected to nonmotor areas of the cortex and thus were likely to have roles outside of strict motor constructs.

These hypotheses were supported over the next decade by the work of Schmahmann <sup>25,26</sup> and Strick 27,28 and colleagues. These two groups utilized new developments in anterograde and retrograde tracing methodologies to define afferent and efferent circuits connecting the cerebellum to the cortex. Although these studies detailed connections to cortical motor areas as expected, these studies also identified extensive connections to non-motor cortical areas.

These tracing studies have since been supported by imaging studies examining both anatomic and functional connectivity of the human cerebellum. From functional magnetic resonance imaging and resting state connectivity studies, extensive connections between non-motor cortex and cerebellum have been established.<sup>29-33</sup> In fact, these studies have remarkably demonstrated that the majority of cerebellum appears to be connected, not to motor, but to non-motor cortical areas.

In addition, cerebellar abnormalities and dysfunction have been identified in cognitive and neuropsychiatric disorders. Moreover, clinical evidence, functional imaging, and targeted pre-clinical studies further support non-motor roles for the cerebellum in multiple areas of cognition and behavior which will be detailed below with a specific emphasis on the pediatric population.

## **Language**

Roles for the cerebellum in speech initially revolved around motor control of speech. However, a role for the cerebellum in non-motor aspects of language has emerged.<sup>18,34-36</sup> Initial functional imaging studies demonstrated activation of the cerebellum, predominantly in lateral cerebellum on the opposite side to cortical language domains, in tasks of verbal fluency.37 Moreover, cerebellar lesions have been demonstrated to result in verbal fluency deficits.38,39 The cerebellum has also been implicated in production and comprehension of syntax, prosody, and grammar  $40$  with cerebellar dysfunction resulting in disturbances in these domains. Furthermore, clinical case studies have produced a picture of a cerebellar aphasia with difficulties in syntax, anomia, perseveration, reduced speech output and speed. Although these deficits again correlate mostly with cerebellar lesions localized to the opposite side of the dominant language cortex, evidence has emerged for a more complicated role and involvement for both lateral cerebellar hemispheres.<sup>41,42</sup>

Most of these studies, however, have involved adult patients. In the pediatric population, a role for the cerebellum in language has been postulated since the initial description by Daly

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and Love of posterior fossa syndrome, a syndrome characterized by mutism, behavioral and affective disturbance, and executive dysfunction in children after posterior fossa surgery.<sup>43</sup> Although the mutism often improves, children frequently continue to have persistent language dysfunction.<sup>44,45</sup> This syndrome has since been shown to involve disruption of afferent and efferent tracts of the cerebellum.46 Similar findings have been identified in patients with acute disseminated encephalomyelitis involving the cerebellum 47,48 while residual language disturbance has also been identified in pediatric multiple sclerosis in patients with cerebellar lesions.<sup>49</sup> Similar to adults, in pediatric patients with epilepsy, language appears to localize mostly to the cerebellar hemisphere contralateral to cortical language areas.<sup>50</sup>

Cerebellar language involvement has also been implicated in reading. The cerebellum has been implicated in brain networks critical for reading on functional MR imaging.<sup>51-54</sup> Furthermore, abnormalities in structural cerebellar (volumetric and diffusion) imaging have been found in individuals with developmental dyslexia with functional MRI demonstrating significant differences between children with dyslexia and age matched controls.<sup>52,55</sup> In addition, motor deficits have been identified in individuals with dyslexia, prompting the generation of a cerebellar developmental dyslexia hypothesis.56 Further supporting a role for the cerebellum in reading, individuals with cerebellar disorders demonstrate significant reading impairments.57-60

# **Cognition**

As with language, studies investigating cortical-cerebellar connections support roles for the cerebellum in cognitive processes. Functional imaging has revealed cerebellar activation during numerous cognitive tasks. One of the more consistent processes associated with cerebellar activation involves tasks related to working memory or executive function.31,61-66 Cerebellar activation has also been identified with tasks of attention and timing.<sup>67-70</sup> As with the cerebellar role in language, studies on a cerebellar contribution to cognition largely support a role for the lateral cerebellar hemispheres in supporting cognitive processes.<sup>31</sup> Clinical and pre-clinical studies have corroborated these findings from imaging studies. Preclinical models and individuals with cerebellar lesions display diverse cognitive deficits: executive function deficits; deficiencies in procedural memory, declarative memory, and associative memory such as eye blink conditioning; and deficits in timing/attention.71-76

In the pediatric population, a similar picture emerges with significant cognitive disruption associated with pediatric cerebellar disruptions ranging from cerebellar developmental abnormalities to inflammatory insults, ischemic injury, oncologic and post-surgical injury.45,47-49,77-82 These cognitive deficits involve disruptions in executive dysfunction, working memory, procedural memory, and processing abilities in addition to impacting intellectual quotient and visuospatial abilities.

# **Behavior**

In addition to cognitive and language processes, the cerebellum has been proposed to play roles in regulating behavior. Schmahmann in his initial description of cerebellar cognitive

affective syndrome described significant behavioral disruption in 20 patients with cerebellar disruption with behaviors ranging from affective changes to disinhibited behaviors.<sup>40</sup> In fact, Daly and Love in their initial description of the posterior fossa syndrome in 1958 <sup>43</sup> described a similar dysfunction with loss of affective responses, marked apathy, social disinterest, and emotional blunting. Subsequent studies of cerebellar lesions have supported these initial descriptions with numerous associated behavioral disruptions including affective disruption, alterations in attention, anxious behaviors, emotional and social blunting, obsessive and compulsive behaviors.40,44,77,83 Conversely, examination of behavioral disorders has further supported a contributory role for the cerebellum in behavioral regulation with significant cerebellar abnormalities identified in a number of neurodevelopmental and behavioral disorders. Here, we will highlight cerebellar involvement in three such disorders: attention deficit hyperactivity disorder (ADHD), schizophrenia, and autism spectrum disorders (ASD).

#### **Attention Deficit Hyperactivity Disorder (ADHD)**

ADHD is estimated to affect 5% of the pediatric population with estimates approaching 50% of these individuals having persistent symptoms into adulthood.84 Cerebellar lesions as noted previously are often accompanied by impaired attention and disinhibited behavior.<sup>85,86</sup>

As in developmental dyslexia, children with ADHD manifest high rates of motor abnormalities of up to 50% with motor deficits correlating with worse outcomes.<sup>87,88</sup> Multiple studies have reported decreased size of the cerebellum in patients with ADHD, with many studies demonstrating decreases in the posterior cerebellum (lobules VIII-X).89-93 Reductions in the posterior cerebellar volumes themselves correlated with severity of illness.85,94,95 Longitudinal studies of ADHD demonstrated persistent reductions in cerebellar size whereas initial changes in the caudate resolved with time.96 Compared to typically developing siblings, individuals with ADHD demonstrated reductions in right cerebellar volumes while prefrontal regions showed changes in both individuals with ADHD and their typical developing siblings.<sup>97</sup> White matter abnormalities have also been identified in ADHD with involvement of bilateral middle cerebellar peduncles while functional connectivity is also significantly disrupted.98-100 As further evidence of cerebellar involvement, changes in cerebellar activation was visualized after just a single dose of the stimulant methylphenidate, one of the mainstays of ADHD therapy, while cerebellar volumes in patients receiving methylphenidate therapy were significantly larger than volumes in untreated cohorts.95,101,102

#### **Schizophrenia**

Schizophrenia is a devastating psychiatric disorder characterized by a constellation of symptoms including hallucinations, delusions, impaired judgement, disorganized speech and behavior, motor disruptions, and negative symptoms (decrease/absence of thoughts, actions, affect). The disorder is associated with impairment in cognitive domains including memory, learning, and executive function.

The cerebellum has been implicated in the pathogenesis of schizophrenia. Cerebellar lesions result in psychiatric disturbances applicable to schizophrenia including both positive and

negative symptoms.103 As with ADHD and developmental dyslexia, schizophrenia is also accompanied by elevated rates of motor abnormalities.<sup>104,105</sup> Studies have revealed numerous structural changes in the cerebellum of patients with schizophrenia, including reduced posterior vermis size, reductions in Purkinje cell size, and abnormal white matter connectivity, while reduction in cerebellar size correlates with symptom severity.106-109 Functional studies also have revealed disruptions in cortico-cerebellar connections, with alterations in cerebellar-cortical activity during performance of many cognitive tasks including memory, executive function, and working memory 110-113 with other studies demonstrated abnormalities in cerebellar activity with theory of mind tasks.<sup>114,115</sup> Intriguingly, treatment of a small cohort of treatment resistant patients with cerebellar vermal theta burst stimulation demonstrated significant improvement in mood and negative symptoms in addition to improvements in cognitive measures.<sup>116</sup> The exact mechanism underlying these benefits are not understood, although modulation of cortico-cerebellar loops has been postulated.<sup>117</sup>

Although onset of the schizophrenia occurs mostly in adolescence or early adulthood, less commonly, symptoms will emerge in childhood. Childhood onset schizophrenia tends to portend a more severe diagnosis and is often accompanied by more severe premorbid symptoms such as language, social, and motor disturbance.<sup>118-120</sup> In this pediatric population, studies have documented reductions in cerebellar volume as seen in adult onset schizophrenia.<sup>121,122</sup> Longitudinal studies demonstrated that volumes were initially comparable to controls with volume decreases noted only on subsequent studies.<sup>121,123</sup> Disruptions in functional connectivity have also been identified in the cerebellum during a verbal working memory task in childhood onset schizophrenia.<sup>124</sup>

#### **Autism Spectrum Disorders (ASD)**

ASDs are prevalent neurodevelopmental disorders (affecting 1:68 children in the US from latest estimates) that are characterized by social dysfunction and repetitive behaviors/ restricted interests.125 Numerous studies have implicated the cerebellum in the pathogenesis of the disorder. As documented earlier, cerebellar activation is demonstrated in social paradigms.126,127 As with the above disorders, motor dysfunction is prominent in ASDs with prevalent motor apraxias, alterations in tone, and abnormalities in eye movements affecting the majority of patients (reviewed recently by Mosconi et al <sup>128</sup>).

Pathologic findings have also implicated the cerebellum in these disorders. In fact, the most consistent pathologic feature identified in post-mortem tissue from individuals with ASD is cerebellar Purkinie cell loss and/or reductions in Purkinie cell size.<sup>129-132</sup> ASD pathologic specimens also reveal evidence of increased oxidative stress in the cerebellum.133-135 Abnormal gene networks associated with ASD have also been identified in the cerebellum in animal models.<sup>136</sup>

Structural changes have also been demonstrated in ASD with initial studies focused on alterations in vermis volume.137,138 Subsequent studies have been able to narrow that focus to more specific areas, most localized to the posterior cerebellum.139-141 Abnormalities in structural connectivity have also been identified in patients with  $ASD<sup>142,143</sup>$  while studies

have also revealed abnormalities in functional connectivity.144 In addition, studies demonstrate reduced function in the cerebellum in patients with ASD.<sup>145,146</sup>

Supporting a critical role for the cerebellum in ASDs, isolated cerebellar injury also significantly increases the risk of developing ASD symptoms. Limperopoulos et al demonstrated that approximately 37% of children with isolated cerebellar hemorrhage demonstrated autistic behaviors.<sup>147</sup> When location of hemorrhage was considered, involvement of cerebellar vermis resulted in an increased rate ranging from 80-100%. Furthermore, in children with developmental malformations of the cerebellum, rates of social dysfunction and positive autism screening are elevated and associated with vermian involvement.77,78,148 Children with posterior fossa syndrome also demonstrate increased rates of autistic behavior.<sup>44</sup>

Preclinical models similarly suggest cerebellar dysfunction in ASDs. Cerebellar abnormalities have been identified in multiple rodent models of ASD.<sup>149,150</sup> The cerebellar mediated associative learning paradigm – eye blink conditioning – was also found to be abnormal in multiple ASD rodent models.73 Moreover, in mouse models of Tuberous Sclerosis Complex, a neurodevelopmental disorder with high rates of ASD, genetic disruption limited to cerebellar Purkinje cells 151,152 resulted in these mice displaying autistic-like behaviors with social impairments, repetitive behaviors, and behavioral inflexibility. These models also displayed pathology consistent with clinical pathology with ASD loss, increased markers of oxidative stress, and abnormalities in dendritic spines 152,153 while also displaying on electrophysiology studies, impaired Purkinje cell function and excitability, consistent with functional imaging in  $ASD$ .<sup>145,146,152</sup> Thus, whereas previous studies were unable to address whether cerebellar dysfunction can result in ASD behaviors or whether cerebellar abnormalities are secondary to other distal processes, these studies demonstrated that cerebellar dysfunction by itself was sufficient to generate abnormal behaviors seen in patients with ASD.

#### **Other Disorders**

In addition, the cerebellum has been implicated in a diverse array of additional behavioral disorders – including bipolar disorder, depression, and anxiety (reviewed by Phillips et al 154). However, for the purposes of this review, we have tried to focus on those disorders that affect the pediatric population and for which sufficient data generated from children were available.

# **Conclusions**

Roles for the cerebellum beyond traditional roles in motor coordination continue to emerge (Table 4). Clear roles for the pediatric cerebellum have been identified in motor functions, cognition, and behavior in both normal development and in disease. With a significant portion of cerebellar development extending from the third trimester into the initial postnatal years, disruptions of cerebellar function from diverse causes may contribute to the pathogenesis of neurodevelopmental disorders. Attainment of a better understanding of the cerebellar involve ment in these disorders should not only lead to a better mechanistic

understanding of cerebellar function but should also lead to development of targeted therapies for neurodevelopmental disorders of childhood.

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#### **Key points**

- **•** The pediatric cerebellum is important for processing, controlling, and modulating movement, cognition, and behavior
- **•** Pediatric **c**erebellar dysfunction causes poor coordination, increased variability, impaired accuracy, and tremor manifesting during limbs movements, walking, stance, talking, and eye movements
- **•** Pediatric cerebellar dysfunction results in cognitive and behavioral dysregulation.
- **•** Cognitive and behavioral disorders such as developmental dyslexia, attention deficit hyperactivity disorder, autism spectrum disorder, and schizophrenia display cerebellar abnormalities/dysfunction.

 $\overline{a}$ 

#### **Table 1**

#### Cerebellar signs causing abnormal control of voluntary movement



#### **Table 2**

#### Cerebellar ocular motor signs



#### **Table 3**

#### Speech abnormalities in cerebellar diseases

Scanning speech (e.g. hesitation, accentuation of some syllables, omission of appropriate pauses, addition of inappropriate pauses) Explosive speech Slowness of speech Syllables or words are not understandable with lack in speech clarity Slurring of speech Loss of intonation (abnormal rhythm and emphasis) Voice tremor

#### **Table 4**

#### Cognitive and behavioral abnormalities in cerebellar diseases

Language (non-motor speech, reading, writing)

Executive function and working memory

Autistic behavior (repetitive/restricted, social impairment)

Attention deficit hyperactivity disorder

Schizophrenia

Anxiety behavior

Mood disorders