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Biol Blood Marrow Transplant. Author manuscript; available in PMC 2017 August 01.

Published in final edited form as:

Author manuscript

Biol Blood Marrow Transplant. 2016 August ; 22(8): 1480-1486. doi:10.1016/j.bbmt.2016.05.003.

# Cytomegalovirus Infection after CD34+ Selected Hematopoietic **Cell Transplant**

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# Abstract

Background—The effectiveness of preemptive treatment (PET) for CMV in recipients of ex vivo T-cell depleted (TCD) hematopoietic cell transplantation (HCT) by CD34<sup>+</sup> selection is not well defined.

Methods—We analyzed 213 adults who received TCD-HCT at our institution from June 2010 through May 2014. Patients were monitored by a CMV quantitative PCR assay if recipient (R) or donor (D) were CMV seropositive.

**Results**—CMV viremia occurred early (median 27 days post HCT) in 91 of 213 (42.7%) patients for a180-day cumulative incidence of 84.5%, 61.8%, and 0 for R+/D+, R+/D-, R-/D+ patients, respectively. CMV disease occurred in 5% of patients. In Cox regression analysis, R+/D+ status was associated with increased risk for CMV viremia compared to R+/D- (hazard ratio, HR: 1.79, 95% confidence interval, CI: 1.16–2.76, P=0.01) while matched unrelated donor allograft was associated with decreased risk (HR: 0.62, 95% CI: 0.39-0.97, P=0.04). Of 91 patients with

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**Financial Disclosure Statement** 

D. N. has received research grants from Pfizer, has served as a consultant or advisor r for Roche and Astellas; and is currently employed by Roche. M. P. has served as an advisory board member for Astellas and Merck. G. P. has received research grants and or served as advisor for Astellas Chimerix, Merck, and Viropharma . All other authors report no potential conflicts.

Y.-T. H., D.N., and G.A. P. designed research; Y.-T. H., J.F., S.J.K., M.M., and D.C. collected the data; Y.-T. H. performed analyses; Y.-T. H., D.N., and G.A. P. prepared the figures/tables and wrote the manuscript with input from M.-A. P., H. C.-M., S.A.G., E.P., A.A. J.

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CMV viremia, 52 (57%) had persistent viremia (>28 days duration). Time lag from detection of CMV viremia to PET was associated with incremental risk for persistent viremia (HR: 1.09, 95%CI: 1.01–1.18; *P*=0.03). Overall, 166 of 213 (77.9%) patients were alive one-year post-HCT with no difference between patients with and without CMV viremia or among the different CMV serostatus pairs (*P*=NS).

**Conclusions**—CMV viremia occurred in 70% of R+ TCD-HCT. Delay in PET initiation was associated with persistent viremia. With PET, CMV R/D serostatus did not adversely impact survival in TCD-HCT on one-year survival in the present cohort.

#### Keywords

Cytomegalovirus; CMV viremia; epidemiology; hematopoietic cell transplant; T-cell depletion

#### Introduction

Cytomegalovirus (CMV) infection, a common complication of allogeneic hematopoietic cell transplantation (HCT), is associated with substantial morbidity and mortality. The CMV serostatus of donor (D) and recipients (R) is a major risk determinant of post-transplant CMV infection, with recipient seropositivity (R+) conferring the greatest risk<sup>1</sup>. Although CMV R–/D– HCTs confer the lowest risk for CMV infection and associated complications post-HCT, the impact of other CMV serostatus R/D combinations on clinical outcomes remains controversial<sup>1</sup>. A large number of other variables, including conditioning regimens, graft source and manipulation, or R/D matching may hinder our ability to fully understand the effect of R/D CMV serostatus on CMV infection and survival post-HCT <sup>1–5</sup>. The impact of CMV sero-concordance is even less clear in the setting of ex-vivo T-cell depletion (TCD).

T-cell depletion by CD34<sup>+</sup> selection effectively reduces rates of graft-versus-host-disease (GvHD), but is also associated with delayed immune recovery and higher rates of CMV infection compared to unmodified HCT <sup>6–10</sup>. Previously, we have reported an incidence of 58% for CMV antigenemia among R+ HCT recipients who received TCD allografts using the Isolex system in conjunction with sheep red blood cell rosetting<sup>7</sup>. Since 2010, we have used the CliniMACS® CD34 Cell Reagent system (Miltenyi Biotec, Gladbach, Germany) for TCD, which achieves a 5-log<sub>10</sub> reduction of T-cells in the allograft directly compared to 3–5-log<sub>10</sub> with the Isolex system.

We performed a retrospective observational singe-institution cohort study to describe the incidence, timing, risk factors and outcomes of CMV viremia after TCD-HCT by the recently approved by the United States Food and Drug Administration (US-FDA) method for CD34<sup>+</sup> selection.

#### Methods

The study was reviewed by the Memorial Sloan Kettering (MSK) Institutional Review Board (IRB) and was granted a waiver of authorization (WA0020-15). A total of 228 consecutive adults with acute leukemia, chronic myeloid leukemia, myelodysplastic syndrome, and myeloproliferative disease who underwent their first TCD-HCT at MSK between June 9,

2010 and May 31, 2014 were included in the study. Patients were followed until death, second HCT or July 1, 2015, whichever occurred first. Data was extracted from medical records and hospital and research databases.

#### Graft manipulation and conditioning regimens

*Ex vivo* TCD was performed by the US-FDA approved CliniMACS® CD34<sup>+</sup> Reagent System (Miltenyi, Biotec, Gladbach, Germany). Patients received TCD grafts after conditioning with one of the following preparative regimens: busulfan/melphalan/fludarabine, total body irradiation/thio-TEPA/cyclophosphamide, and clofarabine/thio-TEPA/melphalan.

#### Supportive care

Antibacterial and antifungal prophylaxis (including prophylaxis for *Pneumocystis jiroveci*) were administered as per institutional guidelines and as previously described <sup>11, 12</sup>. All patients received acyclovir 250 mg/m<sup>2</sup> intravenously (IV) every 8 hours or 400mg orally twice daily if they were able to take oral medications. Patients were given acyclovir for minimum of one year from the day of admission for transplant<sup>13</sup> until a documented response to revaccination with killed vaccines. Immunization series with killed vaccines was commenced at 1 year if patients met the following immunologic milestones: CD4 T cells >200 cells/µL, CD19 B cells > 50 cells/µL, IgG > 500 mg/dl, measured at > 8 weeks after last dose of IVIG and > 6 months after last dose of Rituximab. A protective antibody response was required for at least diphtheria, tetanus and inactivated polio vaccines. Standard commercial assays were used to assess protective immunity. Tetanus antitoxin assay was performed by Quest diagnostics and value > 0.15 IU/ml was considered as protective. Diphtheria immune status and serum neutralizing antibodies for polio virus types I-III were performed by Focus Diagnostics. A value 0.01 IU/mL was considered protective for diphtheria and a titer 1:8 was considered protective for polio virus types I,II and III. Patients who demonstrated protective immunity to tetanus, diphtheria and polio vaccines were checked for immunity to varicella zoster virus by Vitek Immunodiagnostic Assay System. Varicella IgG 0.90 was considered as protective. Acyclovir was discontinued if patients had protective immunity to varicella. Patients lacking protective immunity to varicella were immunized with the varicella vaccine and continued acyclovir prophylaxis until protective immunity to varicella was documented<sup>14</sup>.

#### **CMV** management

Patients with CMV serostatus of R+/D+, R+/D– and R–/D+ were considered at risk for CMV and were monitored by CMV quantitative PCR (qPCR) at least weekly from day +14 until day +100, every 2 weeks until day +180 post-HCT and thereafter as clinically indicated. CMV R–/D– patients were not routinely monitored for CMV; however CMV qPCR was ordered as clinically indicated and at the discretion of the treating physician. Preemptive therapy (PET) was initiated at the discretion of the treating physician as per institutional guidelines. Briefly, CMV treatment was considered for CMV-viral load (VL) 1,000 copies/mL in whole blood or 300 IU/mL in plasma or with even lower quantifiable CMV-VL provided a rising trend from baseline CMV-VL was observed on consecutive

measurements. Induction CMV treatment doses were administered for 2-3 weeks or until

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CMV-VL was <1,000 copies/mL in whole blood or < 300 IU/mL in plasma on 2 consecutive measurements (whichever was longer), followed by maintenance doses for at least 4–6 weeks. CMV resistance testing was at the discretion of the treating physician. Induction and maintenance doses for IV ganciclovir, valganciclovir and foscarnet were administered and dose adjusted for renal impairment as per package insert recommendations. Valganciclovir (or ganciclovir) was the preferred first line therapy. Foscarnet was used preferentially in patients with cytopenias (particularly prior to engraftment) or other contraindications to ganciclovir.

#### Laboratory methods

CMV IgG levels were determined using an automated semi-quantitative enzyme-linked fluorescent immunoassay (VIDAS, Biomerieux Inc., NC, USA). Values > 6 IU/mL were considered positive. Prior to March 17, 2013, CMV qPCR on whole blood sample was performed by using the Roche Molecular Diagnostics Assay (Roche Diagnostics, IN, USA), with a quantitation range of 500–1,000,000 copies/mL. Since March 18, 2013, CMV-VL was determined in plasma samples using the Cobas Ampliprep/Cobas Taqman CMV assay (Roche Molecular Diagnostics, NJ, USA), with a quantitation range of 137–9,100,000 IU/mL <sup>15</sup>. Genotypic resistance testing was performed by nucleotide sequence analysis of the UL97 and UL54 genes (Viracor-IBT Laboratories, Lee's Summit, Mo,USA).

### Definitions

CMV viremia was defined as 1 CMV qPCR >500 copies/ml for whole blood or >137 IU/mL for plasma. Persistent CMV viremia was defined as consecutively positive CMV qPCR for >28 days despite appropriate CMV treatment. Maximum CMV-VL was defined as the highest CMV qPCR value by day +180 post-HCT or infusion of CMV specific T- cells, whichever occurred first. CMV disease was diagnosed using standard definitions <sup>16</sup>. GvHD diagnosis and grading were based on consensus guidelines <sup>17</sup>.

#### Statistical analysis

The incidence for CMV viremia and disease was estimated by cumulative incidence analysis, with second transplant, relapse, death, and last follow-up before the event of interest treated as competing risks. Categorical and continuous variables were compared using the chi-square and Mann-Whitney rank-sum tests, respectively. Risk factor analysis was performed in the population at risk for CMV to define risk factors associated with CMV viremia, persistent viremia and overall survival. Demographics, HCT-characteristics, R/D CMV serostatus and time from CMV viremia to initiation of antiviral treatment were examined as independent variables, in univariate and multivariate analyses. Acute GVHD (aGVHD) diagnosed prior to the event (CMV reactivation and persistent CMV viremia) was included as an independent predictor. In the model for overall survival, aGVHD development during the first 100 days post-SCT was used as an independent variable. Hazards ratio (HR) and 95% confidence intervals (CI) were obtained using time-dependent Cox proportional hazard regression models. Forward stepwise selection was used to identify variables to be included in the multivariate models based on statistical significance (P < 0.3to enter and P < 0.1 to stay in the final model). Overall survival, which was defined as the time from HCT until death from any cause or last follow-up, was estimated using the

Kaplan-Meier method. Log-rank test was used in time-to-event analyses. Statistical analyses were performed with SAS version 9.4 (SAS Institute, Cary, NC). All reported P values are two-sided. A P value < 0.05 was considered statistically significant.

## Results

Of 228 patients, 15 patients were excluded from the analyses because they received CMVactive antivirals for indications other than CMV (N=8) or were enrolled in randomized studies of CMV prevention (N=7). Thus, the study cohort consists of 213 TCD-HCT recipients, including 147 patients at risk for CMV (68 R+/D+, 55 R+/D–, 24 R–/D+) and 66 R–/D– patients. Table 1 shows the baseline characteristics of the cohort.

#### CMV viremia monitoring, incidence and timing

A total of 4,750 CMV-PCR tests were performed for 213 patients during the study period. The median number of tests was similar for R+/D+, R+/D– and R–/D+ (28, interquartile range, IQR: 23–36, 25, IQR: 18–32, and 21, IQR: 18–26, respectively). In addition, 748 tests were performed in CMV R–/D– patients (median10, IQR: 4–17). Ninety-one of 213 (42.7%) patients developed CMV viremia. The cumulative incidence of CMV viremia by day +180 was 84.5% for R+/D+ and 61.8% for R+/D– patients (P<0.05) (Figure 1A); none of the R–/D+ and R–/D– patients developed CMV viremia. CMV viremia occurred at a median of 27 days post-HCT (range 14–82; IQR: 22–33), with similar onset between R+/D+ (median: 28 days; range: 14–82; IQR: 23–33) and R+/D– patients (median: 27 days; range: 15–51; IQR: 22–30; P=0.58). The maximum CMV-VL was similar between R+/D+ and R +/D– HCT recipients (P=0.37) with a median CMV-VL of 3.9 (log<sub>10</sub>) copies/mL (range: 2.9–6.1).

#### **Risk factors for CMV viremia**

Among 147 patients at risk, 91 (61.9%) developed CMV viremia. Patient and transplant characteristics were examined in univariate and multivariate models to identify risk factors for CMV viremia (Table 2). In multivariate analyses, R+/D+ status was associated with higher risk for CMV viremia (HR: 1.79, 95% CI: 1.16–2.76; *P*=0.01). In contrast, matched unrelated donor allograft was associated with lower risk for CMV viremia (HR: 0.62, 85% CI: 0.39–0.97, *P*=0.04). Asian ethnicity was a risk factor in univariate analysis (HR: 2.26, 95% CI: 1.16–4.42; *P*=0.02), while further examination showed that Asian ethnicity contained more matched related donors and R+.

#### **CMV** treatment

Among 88 of 91 (96.7%) patients with CMV viremia who received PET, the initial antiviral was ganciclovir/valganciclovir in 73 (83%), foscarnet in 13 (14.7%), and cidofovir in 2 (2.3%) patients. Three patients had transient low grade CMV viremia with spontaneous resolution and were not treated. During treatment, 13 of 73 (17.8%) patients who initially received ganciclovir/valganciclovir were changed to foscarnet and 4 of 13 (30.8%) patients who initially received foscarnet were changed to valganciclovir due to count recovery, treatment-associated toxicity, or lack of virologic response. Excluding 2 patients treated with cidofovir, induction treatment was administered to 79 of 86 (92%) patients: 67/79 (85%)

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patients were started on induction and 12/79 (15%) were initially started on maintenance dosing and eventually escalated to induction dose due to rising CMV-VL (8 and 4 patients were escalated to induction within 2 weeks or 4 weeks of starting maintenance treatment, respectively). Induction treatment duration for 67 patients who were started on induction doses was at a median of 22 (IQR: 13–31) days. The duration of induction treatment for 12 patients who were initially started on maintenance dosing and eventually escalated to induction for 67 patients. We were initially started on maintenance dosing and eventually escalated to induction dose was at a median of 19 (IQR: 7–23) days. Overall, 15 of 88 (17%) patients required dose modifications for treatment-induced toxicities and/or intolerance to standard doses.

Sixteen (18.2%) patients received investigational agents as second (N=8) or third-line (N=8) therapy for persistent CMV viremia and/or intolerance to primary treatment: 10 patients received brincidofovir (ClinicalTrials.gov, NCT01143181) and 6 patients received maribavir (ClinicalTrials.gov, NCT01611974). In addition, 13 patients received donor or third party-derived CMV-specific cytotoxic T-cell lines through MSK-IRB approved protocols (ClinicalTrials.gov, NCT01646645 and NCT02136797).

#### Persistent CMV viremia

Of 91 viremic patients, 52 (42%) had persistent viremia. The patients with persistent viremia demonstrated higher maximum CMV-VL (median  $\log_{10}$ : 4.1copies/mL; range: 3.3–6.1; IQR: 3.8–4.7) compared to those with non-persistent viremia (median  $\log_{10}$ : 3.6 copies/mL; range: 2.9–4.3; IQR: 3.4–3.9; *P*<0.0001). In multivariate analyses, longer interval from quantifiable CMV-VL to treatment initiation (HR: 1.09, 95% CI: 1.01–1.18; *P*<0.05) and mismatched donor allograft (HR: 2.26, 95% CI: 1.09–4.69; *P*<0.05) were significant predictors of persistent CMV viremia among preemptively treated CMV viremic patients (Table 3). Myelodysplastic syndrome was associated with a reduced risk for persistent viremia (HR: 0.37, 95% CI: 0.19–0.73; *P*<0.01).

#### CMV disease

Among 91 patients with CMV viremia, 11 (12.1%) developed CMV disease at a median of 141 days (range 35–259, IQR: 111–191) post-HCT. Rates of CMV disease were similar between R+/D+ (14.5%) and R+/D– (6.3%) HCT recipients (P=0.19; Figure 1B). CMV disease involved the gastrointestinal (GI) tract (N=5), retina (N=3), and lungs (N=3); 2 patients had disseminated disease. Persistent viremia preceded CMV disease in 10 (91%) patients: 10/52 (19%) patients with persistent viremia developed CMV disease compared to 1/39 (3%) patients with non-persistent viremia (P=0.02). Among 10 patients with CMV disease tested for CMV resistance, 6 (60%) were found to harbor known CMV resistance associated mutations.

#### Survival

A total of 166 patients (77.9% of 213) were alive one-year post-HCT. No significant difference in overall survival was found between patients with (67/91, 73.6%) and without CMV viremia (99/122, 81.1%; *P*=0.18) (Figure 2A). Similarly, no significant difference was found in overall survival between patients with persistent CMV viremia (69.2 %, 36/52) versus non persistent viremia (80.2%, 130/161; *P*=0.11) (Figure 2B). Overall survival was

71.8% (49/68), 78.2% (43/55), 79.2% (19/24) and 83.3% (55/66) for R+/D+, R+/D-, R-/D+ and R-/D- patients, respectively (Figure 2C). In multivariate analysis, 2 grade aGvHD was the only significant mortality predictor (HR: 2.77, 95% CI: 1.17–6.56; *P*=0.02). When controlled for variables listed in Table 4, CMV disease was associated with increased mortality but did not reach statistical significance (HR: 2.34, 95% CI: 0.88–6.25; *P*=0.09).

# Discussion

We describe the epidemiology and outcomes of CMV infection in adult HCT recipients of CD34<sup>+</sup> selected allografts. This is, to our knowledge, the largest cohort of TCD-HCT recipients using the currently US-FDA approved system for CD34<sup>+</sup> selection and contemporary molecular assays for CMV monitoring. CMV reactivation was exclusively observed among R+ patients and during the first 3 months post-HCT and was associated with CMV R/D serostatus and grafts from matched unrelated donors. CMV infection did not impact one year overall survival.

Cumulative incidence of CMV viremia was reached at 82 days post-HCT, with 75% of the CMV viremic patients developing viremia within 30 days post-HCT. The first CMV PCR test of 210 (99%) patients was negative. This supports that starting monitoring 14 days post-HCT was adequate to detect the onset of CMV viremia. Consistent with prior reports in recipients of TCD-HCT using different methods for TCD, we observed that almost two-thirds of R+ HCT recipients developed CMV viremia post-HCT <sup>7, 18–20</sup>. In contrast, none of the R- patients had CMV infection. Among R+ patients, CMV viremia was significantly more frequent among R+/D+ when compared to R+/D– patients. Furthermore, R+/D+ was identified as an independent predictor of CMV reactivation. A similar trend for higher rates of CMV viremia among R+/D+ compared to R+/D– was observed in a prior cohort of TCD-HCT recipients from our institution monitored by CMV antigenemia<sup>21</sup>.

The impact of donor CMV serostatus on post-HCT CMV viremia has been analyzed in registry studies, mainly of unmodified  $HCT^{1-5}$ . In unmodified HCT, D+ has been associated with higher rates of CMV reactivation than D- among R+ patients, albeit with lower CMV-VL and shorter duration of CMV viremia<sup>22</sup>. Higher rates of reactivation among R+/D+patients are hypothesized to be due to a small contribution of infection from D+ allografts. A recent study in recipients of reduced-intensity conditioning HCT with grafts post-in-vivo TCD with alemtuzumab showed that R+/D- patients had fewer CMV related events and higher levels of recipient chimerism than R+/D+ recipients. Furthermore, CMV specific Tcells in R+/D- recipients were exclusively of recipient origin <sup>23</sup>. In our cohort, we also observed that R+/D+ patients had significantly higher CMV reactivation, numerically higher CMV persistent viremia, and worse one-year overall survival outcomes than R+/D- patients. Latently CMV infected monocytes and macrophages present in unmodified D+ allografts are the major reservoir for CMV transmission. Pergam et al reported a correlation between total nucleated cell count in the allograft and CMV transmission from D+ to R- recipients<sup>24</sup>. However, in our cohort none of the R- patients, including those that received grafts from D +, developed CMV infection, suggesting that infection may not be associated with D+ grafts in the CD34<sup>+</sup> selection setting. While CD34<sup>+</sup> cells are known to harbor latent CMV, in very low frequencies they do not support lytic infection in the absence of myeloid

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differentiation<sup>25, 26</sup>. We postulate that CD34<sup>+</sup> selection may result in stringent depletion of latently infected donor cells from D+ allografts.

The associations between R+/D+ serostatus with Asian ethnicity and matched related grafts may contribute to the importance of D+ on post-HCT CMV reactivation observed in our cohort. Consistent with prior reports of higher rates of CMV infection among Asian patients post-HCT<sup>27, 28</sup>, Asian ethnicity was the strongest independent predictor for CMV reactivation in univariate analyses. This potential association between Asian ethnicity and R +/D+ status may partially explain why R+/D+ patients had higher CMV viremia rate. Similarly, matched related donor grafts were more common among patients of Asian ethnicity while matched unrelated donor grafts were more common among Caucasian patients. This may explain why TCD-HCT recipients of matched unrelated grafts had lower CMV viremia rate. Allograft from a mismatched or unrelated donor has been associated with higher rates of CMV viremia previously<sup>19</sup> and was associated with persistent viremia in our cohort. These observations should be studied in larger cohorts of more ethnically diverse HCT recipients.

One-year overall survival did not significantly differ between patients with and without CMV viremia, between patients with and without persistent CMV viremia, or among the four R/D CMV serostatus pairs. While the mortality of patients with CMV disease was higher compared to that of patients without CMV disease, the difference was not significant. These findings suggest that CMV viremia did not confer a survival disadvantage at 1-year in the present cohort of TCD-HCT recipients monitored by sensitive molecular assays and early initiation of PET. Our results have to be interpreted with caution as longer follow-up may be necessary to discern potential differences in survival among the different groups.

The intensive CMV-VL monitoring on all high-risk patients even bi-weekly in the early peritransplant period followed by early PET initiation appeared to be an effective approach in preventing CMV disease in most cases. Notably, CMV disease was a relatively late event, predominately seen in patients with persistent CMV viremia. Importantly, a longer time interval between viremia onset and PET initiation was an independent predictor for persistent viremia. On average, the risk for persistent viremia increased by 9% for each day of delayed antiviral treatment. The above further supports the importance of prompt initiation of appropriately dosed CMV treatment. Our data also demonstrate that persistent CMV replication in the presence of currently available CMV antivirals is quite common; occurring in approximately 40% of TCD-HCT recipients with CMV viremia. The need for prolonged pharmacologic suppression of CMV viremia poses a major hurdle in the management of TCD-HCT recipients and currently addressed in ongoing studies of vaccination or adoptive T-cell therapy to restore immunity to CMV in this patient population<sup>29</sup>.

Our study has several limitations, inherent to its observational nature and sample size. First, due to the heterogeneity in the management of CMV infection, we could not perform detailed analyses to evaluate the impact of initial choice of antiviral, dosing regimen and duration nor changes in type of antivirals including investigational agents or dosing changes that occurred during treatment. The immunomodulatory effects of persistent CMV viremia

and its potential contribution to a potentiated state of immunosuppression were beyond the scope of our study, but these are important issues to consider when choosing an optimal strategy.

In summary, CMV R+ recipients of TCD-HCT allografts had frequent, early, and prolonged CMV reactivation. Early and frequent surveillance in conjunction with aggressive PET was effective in preventing CMV disease in TCD-HCT. Additional controlled studies focusing specifically on TCD-HCT recipients are required to elucidate the importance of D+ on CMV infection post-TCD-HCT.

## Acknowledgments

This research was funded in part through the National Cancer Institute at the National Institutes of Health Cancer Center Support Grant P30 CA008748.

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1.	CMV viremia occurs common and early among R+ TCD-HCT recipients.
2.	Persistent viral replication despite antiviral treatment highlights the need for newer effective and safe CMV therapies.
3.	CMV R/D serostatus did not have a significant impact in 1-year overall survival.

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Figure 1. Cumulative Incidence of CMV viremia (A) and CMV disease (B) post-HCT for CMV R +/D+, R+/D-, R-/D+ and R-/D- transplant recipients

(A) The 180-day incidence of CMV viremia is shown by CMV R/D serostatus. R+/D+ patients had higher 180-day incidence compared to R+/D- (*P*=0.02); none of the R-/D+ and R-/D- patients developed CMV viremia. (B) The 365-day cumulative incidence of CMV disease is shown by CMV R/D serostatus. One-year post-HCT incidence of CMV disease was similar for R+/D+ and R+/D- (*P*=0.19). CMV: Cytomegalovirus, HCT: Hematopoietic cell transplant, R: Recipient, D: Donor, +/-: Positive/Negative CMV serostatus.

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# Figure 2. Kaplan-Meier analysis of overall survival (OS) at 365 days for the entire cohort (N=213): (A) patients with CMV viremia versus no viremia, (B) CMV persistent viremia versus no persistent viremia and (C) CMV recipient (R)/Donor (D) serostatus

All 213 patients were included in each survival analysis. 365-day OS was compared by the log rank test between (A) patients with (solid line) versus without CMV viremia (dotted line) (B) Persistent viremia (solid line) versus non persistent viremia (dotted line) and (C) By CMV R/D serostatus. Differences of OS between different groups were not statistically significant.

Characteristic	Entire cohort N (%) Total N=213	R+/D+ N (%) Total N=68	R+/D- N (%) Total N=55	R-/D+ N (%) Total N=24	R-/D- N (%) Total N=66
Age					
Median (range), years	57.0 (19.6-73.0)	59.7 (22.5–73.0)	53.7 (19.6–70.8)	57.3 (25.3–72.1)	57.7 (25.8–70.5)
Gender					
Female	91 (43)	32 (47)	25 (45)	8 (33)	26 (39)
Male	122 (57)	36 (53)	30 (55)	16 (67)	40 (61)
Ethnicity					
Caucasian	186 (88)	50 (74)	50 (90)	22 (92)	64 (97)
African American	9 (4)	5 (7)	1 (2)	1 (4)	2 (3)
Asian	11 (5)	9 (13)	1 (2)	1 (4)	0
Other/Unknown	7 (3)	4 (6)	3 (6)	0	0
Underlying disease					
AML	105 (49)	32 (47)	33 (60)	10 (42)	30 (45)
ALL	24 (11)	9 (13)	6 (11)	2 (8)	7 (11)
CML	11 (5)	5 (7)	3 (5)	1 (4)	2 (3)
MDS	61 (29)	16 (24)	12 (22)	10 (42)	23 (35)
MPFD	12 (6)	6 (9)	1 (2)	1 (4)	4 (6)
Conditioning regimen <sup>1</sup>					
Bu/Flu/Mel	142 (66)	44 (65)	31 (56)	16 (67)	51 (77)
TBI/Thio-TEPA/CYT	62 (29)	20 (29)	22 (40)	6 (25)	14 (21)
Clo /Thio-TEPA/Mel	9 (5)	3 (4)	2 (4)	2 (8)	1 (2)
Donor type					
Matched Related	76 (36)	26 (38)	22 (40)	5 (21)	23 (35)
Matched Unrelated	94 (44)	31 (46)	24 (44)	10 (42)	29 (44)
Mismatched <sup>2</sup>	43 (20)	11 (16)	9 (16)	9 (37)	14 (21)

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/ Bu/Flu/Mel: Busulfan/Fludarabine/Melphalan, TBI/Thio-TEPA/CYT: TBI/Thio-TEPA/Cyclophosphamide, Clo/Thio-TEPA/Mel: Clofarabine/Thio-TEPA/Melphalan

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Table 1

tdismatched related or unrelated HCT recipients.

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#### Table 2

Risk factors for CMV viremia among 147 patients at risk for CMV<sup>1</sup>.

Variable	Univariate an	alysis	Multivariate a	nalysis
	HR (95% CI)	P value	HR (95% CI)	P value
Age per year	1.01 (0.99–1.02)	0.39		
Gender				
Female	Reference			
Male	0.92 (0.61–1.39)	0.70		
Ethnicity		0.09		
White	Reference			
African American	1.72 (0.75–3.98)	0.20		
Asian	2.26 (1.16-4.42)	0.02		
Unknown	1.76 (0.76–4.06)	0.18		
CMV serostatus				
R+/D-	Reference		Reference	
R+/D+	1.63 (1.06–2.49)	0.03	1.79 (1.16–2.76)	0.01
R-/D+	NA		NA	
Underlying disease				
Acute leukemia	Reference			
CML	0.77 (0.33–1.79)	0.55		
MDS	0.76 (0.47–1.23)	0.27		
MPFD	1.13 (0.49–2.62)	0.78		
Donor type				
Matched Related	Reference		Reference	
Matched Unrelated	0.62 (0.40-0.98)	0.04	0.62 (0.39-0.97)	0.04
Mismatched <sup>2</sup>	0.70 (0.39–1.25)	0.22	1.21 (0.68–2.18)	0.52
Acute GvHD				
Grade<2	Reference			
Grade 2	1.25 (0.39-3.95)	0.71		

HR: Hazard Ratio, CI: Confidence Interval, CMV: Cytomegalovirus, NA: Not Applicable, R: Recipient, D: Donor, (+): CMV seropositive, (-): CMV seronegative, CML: Chronic Myeloid Leukemia, MDS: Myelodysplastic Syndrome, MPFD: Myeloproliferative Disorder, GvHD: Graft versus Host Disease.

 $^{I}$  147 patients at risk for CMV included 68 R+/D+, 55 R+/D–, and 24 R–/D+ transplant recipients.

<sup>2</sup>Mismatched related or unrelated HCT recipients.

#### Table 3

Risk factors for persistent CMV viremia among 88 with CMV viremia who received preemptive treatment for CMV reactivation.

Variable	Univariate analysis		Multivariate analysis	
	HR (95% CI)	P value	HR (95% CI)	P value
Age, per year	0.99 (0.97–1.01)	0.31		
Gender				
Female	Reference			
Male	1.17 (0.67–2.02)	0.59		
Ethnicity				
Caucasian	Reference			
African American	0.88 (0.31–2.50)	0.94		
Asian	1.07 (0.45–2.55)	0.84		
Unknown	1.50 (0.58–3.84)			
CMV donor serostatus				
R+/D-	Reference			
R+/D+	0.98 (0.55–1.74)	0.94		
Underlying disease		0.02		
Acute leukemia	Reference		Reference	
CML	NA	NA	NA	NA
MDS	0.38 (0.20-0.75)	0.005	0.37 (0.19–0.73)	0.004
MPFD	0.32 (0.08–1.33)	0.12	0.27 (0.06–1.18)	0.081
Donor type				
Matched Related	Reference		Reference	
Matched Unrelated	0.98 (0.52–1.85)	0.96	1.04 (0.54–1.99)	0.92
Mismatched <sup>1</sup>	1.92 (0.95–3.88)	0.07	2.26 (1.09-4.69)	0.03
CMV treatment onset <sup>2</sup>	1.04 (0.97–1.10)	0.26	1.09 (1.01–1.18)	0.03
Acute GvHD				
Grade<2	Reference			
Grade 2	1.67 (0.66–4.24)	0.28		

HR: Hazard Ratio, CI: Confidence Interval, CMV: Cytomegalovirus, NA: Not Applicable, CML: Chronic Myeloid Leukemia, MDS: Myelodysplastic Syndrome, MPFD: Myeloproliferative Disorder, GvHD: Graft versus Host Disease.

<sup>I</sup>Mismatched related or unrelated HCT recipients.

 $^2\mathrm{Calculated}$  as days from first positive CMV PCR to treatment initiation.

#### Table 4

Mortality predictors among all transplant recipients included in the cohort (n=213).

Variable	Univariate analysis		Multivariate analysis	
	HR (95% CI)	P value	HR (95% CI)	P value
Age, per year	1.00 (0.98–1.03)	0.74		
Gender				
Female	1			
Male	0.92 (0.52–1.63)	0.77		
CMV donor serostatus		0.46		
R-/D-	1			
R-/D+	1.30 (0.45–3.75)	0.62		
R+/D-	1.39 (0.61–3.16)	0.43		
R+/D+	1.82 (0.87–3.83)	0.11		
Ethnicity		0.21		
Caucasian	1			
African American	2.35 (0.84-6.58)	0.10		
Asian	0.87 (0.21–3.59)	0.84		
Unknown	2.41 (0.74–7.82)	0.14		
Caucasian				
Underlying disease		0.46		
Acute leukemia	1			
CML	0.32 (0.04–2.33)	0.26		
MDS	0.85 (0.44–1.61)	0.61		
MPFD	0.31 (0.04–2.28)	0.25		
Donor type		0.03		
Matched Related	1			
Matched Unrelated	0.88 (0.44–1.79)	0.73		
Mismatched <sup>1</sup>	2.12 (1.05-4.28)	0.04		
CMV reactivation		0.57		
No reactivation	1			
Activation, not-persistent	0.94 (0.35-2.51)	0.91		
Activation, persistent	1.41 (0.60–3.29)	0.43		
CMV disease		0.09		
No	1		1	
Yes	2.22 (0.88-5.61)	0.09	2.34 (0.88-6.25)	0.09
Acute GvHD		0.01		
Grade<2	1		1	
Grade 2	2.44 (1.21-4.91)	0.01	2.77 (1.17-6.56)	0.02

HR: Hazard Ratio, CI: Confidence Interval, CMV: Cytomegalovirus, R: Recipient, D: Donor, (+): CMV seropositive, (-): CMV seronegative, CML: Chronic Myeloid Leukemia, MDS: Myelodysplastic Syndrome, MPFD: Myeloproliferative Disorder, GvHD: Graft versus Host Disease.

<sup>1</sup>Mismatched related or unrelated HCT recipients.