Population-based risks for cancer in patients with ALS

Summer B. Gibson, MD Diana Abbott, PhD James M. Farnham, MS Khanh K. Thai, MS Hailey McLean Karla P. Figueroa, MS Mark B. Bromberg, MD, PhD Stefan M. Pulst, MD, Dr-Med Lisa Cannon-Albright, PhD

Correspondence to Dr. Cannon-Albright: lisa.albright@utah.edu

ABSTRACT

Objective: To estimate the risks for cancer (overall and site-specific) in an amyotrophic lateral sclerosis (ALS) cohort.

Methods: In this observational longitudinal study, ALS and cancer cases were identified in a computerized Utah genealogy database (Utah Population Database) linked to a statewide cancer registry and death certificates. Hazard ratios (HRs) were estimated as the ratio of observed to expected number of cancers. Site-specific rates for cancer were estimated within the Utah Population Database; sex, birth year (5-year range), and birth state (Utah or not) cohorts were used to estimate the expected number of cancers among ALS cases. To account for an overall shortened lifespan, Cox regression was used to include years at risk in estimation of cancer risks for ALS cases.

Results: An overall decreased hazard (hazard ratio [HR] 0.80, p = 0.014, 95% confidence interval [CI] 0.66–0.96) was found for cancer of any site in 1,081 deceased patients with ALS. A decreased hazard was found for lung cancer (HR 0.23, p = 0.002, CI 0.05–0.63). An increased hazard was found for salivary (HR 5.27, p = 0.041, 95% CI 1.09–15.40) and testicular (HR 3.82, p = 0.042, 95% CI 1.06–9.62) cancers. A nonsignificant hazard was observed for cutaneous malignant melanoma (HR 1.62, p = 0.12, 95% CI 0.88–2.71) for which increased risk has previously been reported.

Conclusions: Using a unique population database, the overall risk of cancer of any site was found to be significantly reduced in cases with ALS, as was the risk of lung cancer. Significantly increased risk was observed for salivary and testicular cancers. **Neurology® 2016;87:289-294**

GLOSSARY

ALS = amyotrophic lateral sclerosis; CI = confidence interval; HR = hazard ratio; ICD = International Classification of Diseases; PD = Parkinson disease; SEER = Surveillance, Epidemiology, and End Results; UCR = Utah Cancer Registry; UPDB = Utah Population Database.

The pathogenesis of amyotrophic lateral sclerosis (ALS) is unknown, except in those cases with an identifiable genetic cause. A number of oncogenes have been implicated, including *FUS*¹ and *GRN*,² and potentially *UBQLN1*, *UBQLN2*,³ and *SQSTM1*.⁴ To further explore these genetic implications and investigate the findings of a few case series,^{5–7} several epidemiologic studies have been performed.^{8–14} No overall difference in the risk of developing ALS in cancer survivors has been identified,^{9,10,12} and no overall difference has been found for a subsequent risk of cancer following the diagnosis of ALS.^{8,13} However, there was an increased risk of ALS diagnosis the year following a cancer diagnosis, and a decreased risk of cancer diagnosis 2 or more years after the diagnosis of ALS.⁸

Several studies have examined the risk of cancer in other neurodegenerative diseases, including Parkinson disease (PD) and Alzheimer disease, and report a protective effect of PD and Alzheimer disease against overall development of cancer.^{13,15–24} However, this protective effect may be isolated to lung or smoking-related cancers.^{20–23}

Given the varied biology of cancers, the risk of site-specific cancers in ALS has been investigated. Although results have been mixed, melanoma has been reported to occur at an increased

From the Department of Neurology (S.B.G., K.P.F., M.B.B., S.M.P.) and Division of Genetic Epidemiology, Department of Internal Medicine (D.A., J.M.F., K.K.T., L.C.-A.), University of Utah, School of Medicine, Salt Lake City; College of Behavioral and Social Science (H.M.), University of Utah, Salt Lake City; and George E. Wahlen Department of Veterans Affairs Medical Center (L.C.-A.), Salt Lake City, UT. Go to Neurology.org for full disclosures. Funding information and disclosures deemed relevant by the authors, if any, are provided at the end of the article.

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risk.^{8–11} Tongue cancer has been associated with increased risk of ALS.^{9,10} An elevated rate of brain and prostate cancers has also been reported in patients with ALS.⁸ However, another study found an overall decreased risk of ALS death among prostate cancer survivors.⁹ Given conflicting findings in the literature and the low frequency of co-occurrence of ALS and cancer, and specifically site-specific cancers, further investigation using a large database with a low cohort selection bias is warranted.

METHODS Utah Population Database Genealogy data.

The Utah Population Database (UPDB) is composed of a computerized genealogy linked with multiple medical resources. It includes genealogic data dating back to the original Utah pioneers and their descendants as well as individual data for millions of Utah residents over time.²⁵ The UPDB currently contains data on 7.3 million individuals. We analyzed the more than 4 million individuals who are a part of at least 3 generations of genealogy, ensuring that all individuals analyzed had some successful record linkage in the resource. The Utah pioneers founded Utah in the mid-1800s and were composed of a generally unrelated mixture of Europeans.²⁶ The continued high rates of immigration to Utah resulted in consistently low levels of inbreeding.²⁷ Individuals in the UPDB have been record-linked to all computerized Utah death certificates dating back to 1904 and to the Utah Cancer Registry (UCR) dating back to 1966.

ALS diagnosis data. Patients with ALS were identified from among the 588,239 Utah death certificates linked to individuals in the UPDB as those which listed ALS as a primary or contributing cause of death between 1966 (after cancer data were available in Utah) and 2013 (latest data available in the UPDB). ALS-coded death records have a robust estimated accuracy of 70% to 90%,28 as ALS is clinically well recognized, rapidly progressive, and invariably fatal. The causes of death on Utah death certificates have been encoded using ICD codes, which include causes of death for ALS and other motor neuron diseases (progressive muscular atrophy, progressive bulbar palsy, and progressive lateral sclerosis, but excluding spinal muscular atrophy). This method is considered to be the most accurate method to capture patients with ALS using death certificates.²⁹ We use "ALS" to describe the studied disease, with the understanding that we may also be including the abovementioned less common forms of motor neuron disease. The frequency of ALS cases by ICD code is shown in table 1. We excluded deaths coded as above that occurred before the age of 14 years, as these may represent cases of miscoded spinal muscular atrophy.

Cancer diagnosis data. All cancer diagnosis data originated from the UCR. The UCR was established in 1966, and in 1973 became part of the US National Cancer Institute's Surveillance, Epidemiology, and End Results (SEER) Program.³⁰ The UCR follows rigorous SEER guidelines to ensure data accuracy, and includes pathology reports and follow-up, with an established case ascertainment rate of 98%.³¹ The 37 different cancer sites examined were defined by primary site and histology.³² All independent primary cancers except for basal and squamous carcinomas of the skin are required to be reported to the UCR.

Table 1	Counts of patients with ALS by ICD revision and code from death certificates				
ICD code		No.	Year range of death		
ICD-7 356.1 (ALS)		13	1966-1967		
ICD-7 356.0 (PMA)		1	1966-1967		
ICD-8 348.0 (ALS)		95	1968-1978		

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1968-1978

1968-1978

347 1979-1998

618 1998-2013

ICD-8 348.1 (PBP)

ICD-8 348.2 (PMA)

PMA + PLS)

PMA + PLS)

ICD-9 335.2 (ALS + PBP +

ICD-10 G12.2 (ALS + PBP +

bulbar palsy; PLS = progressive lateral sclerosis; PMA = progressive muscular atrophy.

Abbreviations: ALS = amyotrophic lateral sclerosis; ICD =

International Classification of Diseases; PBP = progressive

More than 190,000 Utah cancer records are linked to the 4.2 million individuals analyzed.

Statistical analysis. Individuals with ALS have an overall decreased life expectancy, thus their time at risk for developing cancer differs from the general population. To account for this difference, we have modified the general procedure used to estimate cancer risk by site^{32,33} as described below. UPDB cohort-specific rates for each cancer site were estimated. The 4.2 million individuals analyzed were assigned to 1 of 136 cohorts based on sex, birth state (Utah or not), and year of birth (5-year cohorts).

To estimate cancer risks for ALS cases, each ALS case was cohort-matched to 100 controls (randomly selected without replacement). A Cox proportional hazard model was then fit in R using the coxphf package. For each cancer site analyzed, a different survival function was fit for ALS cases and controls. The time to cancer diagnosis was defined as an individual's age at cancer diagnosis (in 1-year increments). Appropriate censoring occurred for individuals who died without having the cancer of interest. Under the Cox proportional hazard model, time to diagnosis with the cancer of interest was determined by an individual's ALS case status and the covariate profile of that individual's cohort. After fitting the model, an overall cancer hazard rate was determined for ALS cases. The coefficients of the fit model were then used to estimate the hazard ratio (HR), which indicates a relative likelihood for developing a specific cancer per 1-year time period in patients with ALS compared to controls. These methods account for the risk for varying site-specific cancer risk over time.

Standard protocol approvals, registrations, and patient consents. This research was limited to the analysis of unidentifiable data only. There was no contact with human subjects, and waiver of informed consent was approved. The University of Utah Institutional Review Board and the Resource for Genetic Epidemiological Research approved the research.

RESULTS The characteristics of the 1,081 ALS cases are shown in table 2. Among the total 1,242 ALS death certificates in the UPDB individuals analyzed, 1,081 ALS cases occurred after 1966 and were older than 14 years. In the 1,081 ALS cases, there were 114 diagnoses (10.5%) of independent primary cancers

Table 2	Characteristics of patients with ALS in the Utah Population Database		
Total ALS cases		1,081	
Sex, male, %		55.8	
Age at death, y, mean \pm SD		67.1 ± 12.30	
Year of dea	th	No. of deaths	
1966-1975		77	
1976-1985		127	
1986-1995	5	183	
1996-2005		353	
2006-2013		341	

Abbreviation: ALS = amyotrophic lateral sclerosis.

observed (table 3). This is significantly lower than expected for the UPDB population (HR 0.80, p = 0.014, 95% confidence interval [CI] 0.66–0.96). The only site-specific cancer observed to have a significantly decreased risk was lung cancer (HR 0.23, p = 0.002, 95% CI 0.05–0.63). Significantly elevated HRs were observed for salivary gland cancer (HR 5.27, p = 0.041, 95% CI 1.09–15.40) and testicular cancer (HR 3.82, p = 0.042, 95% CI 1.06–9.62). The estimated HR for cutaneous malignant melanomas was elevated, but not significantly (HR 1.62, p = 0.12, 95% CI 0.88–2.71).

The overall converse risk of death from ALS among all individuals in UPDB with a cancer diagnosis and a death certificate (91,790 deaths) showed a significant deficit of ALS deaths (HR 0.44, p < 0.0001, 95% CI 0.30–0.46).

To avoid the possibility of bias from decreased cancer surveillance preceding death from ALS, we limited analysis to cancer diagnosis made 4 years or more before death from ALS (table 4). Conclusions did not change; a significantly decreased HR was observed for any cancer (HR 0.82, p = 0.026, 95% CI 0.68–0.98) and for lung cancer (HR 0.22, p = 0.002, 95% CI 0.05–0.63). Significantly increased HRs were observed for salivary cancer (HR 4.98, p = 0.047, 95% CI 1.03–14.52) and testicular cancer (HR 4.72, p = 0.022, 95% CI 1.31–11.96).

DISCUSSION This population-based analysis of a unique database (UPDB) controlling for sex, birth year, birth state, and age at death estimated the risk of cancer at any site among 1,081 individuals who died of ALS. We observed a decreased lifetime risk of cancer in patients with ALS (HR 0.80, p = 0.014, 95% CI 0.66–0.96), as well as decreased risk of ALS among all deceased cancer cases.

The protective effect of other neurodegenerative diseases (PD and Alzheimer disease) for cancer, particularly lung cancer, is well documented.^{13,15–24} However, this benefit has not been clearly identified in previous ALS-specific studies.^{8–14} One study found a decreased risk of cancer, but only 2 or more years after the diagnosis of ALS, and this was attributed to decreased cancer surveillance bias.⁸ It is unlikely that our results are attributable to a surveillance bias, since exclusion of the 4 years before death from ALS (the average time from symptom onset to death from ALS) did not affect the results. Because previous studies used the diagnosis of cancer as the pivotal event, rather than death of the patient, overestimation of the risk of cancer may have occurred.^{9–12}

The mechanism for a protective cancer benefit in other neurodegenerative diseases (PD and Alzheimer disease) is not well understood, and a number of mechanisms have been proposed. It has been suggested that increased apoptosis may be a critical factor, as this mechanism is associated both with an increased risk of neurodegeneration but also may protect against cancer. Furthermore, mutations in tumor suppressor genes such as PTEN have been observed in patients with PD.17,18,23 An intracellular signaling molecule, Pin1, has also been implicated, as its dysregulation has critical but opposing roles in the pathogenesis of Alzheimer disease and human cancers.³⁴ Others have pointed to the potentially neuroprotective benefits of chemotherapies as a mechanism for this effect.18

Cancer site-specific subanalysis revealed mixed results. Significantly increased hazards for salivary gland cancer (HR 5.27, p = 0.041) and testicular cancer (HR 3.82, p = 0.042) were observed. This has not been previously reported and should be interpreted with caution until further validation, particularly given the rarity of these cancers. It must be noted that if a multiple testing correction (Bonferroni method) were applied for the analyses of cancer by site, neither of these results would reach significance (p < 0.05/37 = 0.0014). The previously reported increased HR for cutaneous malignant melanoma8-11 was not observed in this study. It is noteworthy that there is strong evidence that skin melanoma is also a risk factor for PD.23 Only lung cancer showed a significantly decreased risk (HR 0.23, p =0.002), which is not significant after correction for multiple testing.

It is unlikely that the decreased risk of lung cancer observed is directly tobacco-related. We are unable to determine tobacco use among the ALS cases analyzed, but we note that, because of the religious identity and associated cultural practices of the majority of the population of the state of Utah, this state is continuously ranked to have the lowest percentage of smokers (about 10%) and lung cancers in the United States.³⁵ In addition, no studies have reported a reduced use of tobacco among patients with ALS, and some have

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Table 3	Cancer incidence in or regression)	cases with amyo	trophic late	ral sclerosis (Cox
Cancer type		No. observed	p Value	Hazard ratio (95% CI)
Any cancer		114	0.014 ^a	0.80 (0.66-0.96)
Anus		<5	0.30	2.72 (0.31-9.95)
Bladder		<5	0.61	0.79 (0.27-1.77)
Bone		<5	0.72	1.76 (0.01-12.48)
Brain		<5	0.71	0.74 (0.09-2.66)
Breast		19	0.57	0.88 (0.55-1.34)
Cervix		<5	0.65	0.79 (0.22-1.94)
Colon		10	0.92	1.03 (0.53-1.80)
Rectum		<5	0.66	0.80 (0.22-1.96)
Endometrial		<5	0.75	0.86 (0.29-1.94)
Esophagus		<5	0.57	0.49 (0.00-inf)
Gallbladder		<5	0.91	1.19 (0.01-inf)
Genital fema	ale	<5	0.15	2.97 (0.62-8.50)
Genital male	1	<5	0.67	1.97 (0.02-14.05)
Kidney		<5	0.28	0.47 (0.05-1.66)
Lip		<5	0.21	2.12 (0.59-5.25)
Liver/hepati	c	<5	0.70	0.60 (0.00-inf)
Chronic lym	phocytic leukemia	<5	0.81	0.83 (0.09-2.96)
Acute lymph	ocytic leukemia	<5	0.69	1.89 (0.01-13.39)
Chronic mye	locytic Leukemia	<5	0.93	0.88 (0.01-inf)
Acute myelo	cytic leukemia	<5	0.78	1.27 (0.14-4.57)
Larynx		<5	0.67	1.45 (0.16-5.22)
Multiple mye	eloma	<5	0.68	1.32 (0.28-3.72)
Hodgkin lym	phoma	<5	0.61	1.56 (0.18-5.64)
Lung/bronch	us	<5	0.0019 ^a	0.23 (0.05-0.63)
Cutaneous n	nalignant melanoma	12	0.12	1.62 (0.88-2.71)
All skin mela	anomas	16	0.053	1.68 (0.99-2.64)
Non-Hodgkir	n lymphoma	5	0.16	0.58 (0.22-1.21)
Ovary		<5	0.33	0.49 (0.06-1.76)
Pancreas		<5	0.06	0.16 (0.00-inf)
Pharynx		<5	0.37	4.97 (0.04-38.58)
Prostate		34	0.68	1.07 (0.75-1.48)
Salivary		<5	0.041 ^a	5.27 (1.09-15.40)
Small intesti	ine	<5	0.39	2.23 (0.25-8.12)
Stomach		<5	0.67	0.72 (0.08-2.57)
Testis		<5	0.042ª	3.82 (1.06-9.62)
Thyroid		<5	0.44	0.56 (0.06-2.01)
Tongue		<5	0.92	0.87 (0.01-inf)

Abbreviation: CI = confidence interval.

For all entries except "Other cancer," the Bonferroni multiple-testing threshold is 0.0014. ^a Statistically significant.

reported a moderately increased use, particularly in postmenopausal women.³⁶ In other cancers strongly related to tobacco,^{37–39} we observed no significantly decreased risk and some risks were elevated (cancer of

the esophagus [HR 0.49, p = 0.57], cancer of the tongue [HR 0.87, p = 0.92], lip cancer [HR 2.12, p = 0.21], colon cancer [HR 1.03, p = 0.92], bladder cancer [HR 0.79, p = 0.61], pancreas cancer [HR 0.16, p = 0.06], cancer of the larynx [HR = 1.45, p = 0.67], kidney cancer [HR 0.47, p = 0.28], and stomach cancer [HR 0.72, p = 0.67], including a significantly increased risk of salivary cancer [HR 5.27, p = 0.041]). In other cancers weakly associated with tobacco use (liver cancer, ovarian cancer, cervical cancer, and leukemia), there was also no significant association observed.39 Given the historical normal to elevated rates of use of tobacco in patients with ALS, persistently low rates of use of tobacco in Utah, and our mixed tobacco related cancer results, our findings are unlikely to be explained by tobacco use alone. This protective effect, isolated to lung cancer, has also been reported in other neurodegenerative diseases,²⁰⁻²² especially PD patients who use tobacco.24

The background characteristics of these Utah ALS cases are consistent with many other ALS population-based studies regarding mean age at death and the slight male predominance, which supports the internal validly of the data. As reported both in our previous study using the UPDB⁴⁰ and in previous publications that used death records, the incidence of ALS is likely stable. The apparent increase over time (table 2) is likely related to other factors, including increasing awareness of ALS, increasing life-expectancy of the general population, changes to the death certificate that now allow for additional causes of death to be listed, and specific centers of care for ALS (established in Utah in 1990).

A limitation of this study, which is inherent to any study investigating the co-occurrence of 2 rare events, is that evidence for significant relationships might have been missed because of small sample size. Another limitation is the use of death certificates and ICD coding. While death certificates are generally considered accurate in ALS,28 they remain a potential source of error. Some individuals diagnosed with ALS were likely missed and other individuals were likely miscoded as ALS on a death certificate. Given the rigorous guidelines and regulation of the SEER program, the cancer diagnosis data are highly accurate.³¹ Strengths of the study include the use of an unparalleled regional database, allowing for a large sample size over nearly 50 years, with patient characteristics consistent with general ALS populations.

This population-based analysis of a unique database (UPDB) provides evidence that ALS, like other neurodegenerative diseases, may be protective against cancer, especially lung cancer. The pathogenic factors

Table 4 Cancer incidence, 4 or more years before death from amyotrophic lateral sclerosis (Cox regression)					
Cancer	Observed	p Value	Hazard ratio (95% CI)		
Any cancer	90	0.026	0.82 (0.68-0.98)		
Lung/bronch	us <5	0.002	0.22 (0.05-0.63)		
Salivary	<5	0.047	4.98 (1.03-14.52)		
Testis	<5	0.022	4.72 (1.31-11.96)		

Abbreviation: CI = confidence interval.

For all entries except "Other cancer," the Bonferroni multiple-testing threshold is 0.0014. All listed *p* values statistically significant; cancers not reported were not statistically significant.

> are not clear but are worthy of study. This study also provides suggestive evidence for increased risk of testicular cancer and salivary cancer among ALS cases that must be validated in other populations, but could be considered when deciding appropriate screening for ALS cases.

AUTHOR CONTRIBUTIONS

Dr. Gibson has made a substantive contribution to the design and conceptualization of the study, interpretation of the data, and drafting and revising of the manuscript. Dr. Abbott has made a substantive

Comment: Inverse association for risk of ALS and risk of all cancers

Amyotrophic lateral sclerosis (ALS) is likely caused by an interaction among genetic, environmental, and age-dependent risk factors, with evidence to suggest mechanisms similar to carcinogenesis.¹ The genetic contribution to familial ALS and ethnic differences in ALS incidence suggest there may be a genetic predisposition also to sporadic disease—90% to 95% of ALS cases. As Gibson et al.² point out, some of the genes implicated in familial ALS are oncogenes, yet no association has been found between the occurrence of ALS and cancers in general.

A possible explanation is that smoking, a prevalent environmental carcinogen, is also an established risk factor for ALS.³ A strength of the present study² is that it was performed in the population of Utah, which has the lowest prevalence of smoking in the United States. A small genetic influence acting jointly on the development of ALS and cancers might be unmasked in a population where smoking prevalence is reduced. Gibson et al.² found an inverse association between risk of ALS and risk of all cancers combined. This is a robust finding. Findings pertaining to individual cancer types are mixed, and should be interpreted with caution, as each derives from fewer than 5 cases and does not attain statistical significance after adjustment for multiple comparisons.

The authors draw on analogies with other neurodegenerative diseases to offer several possible explanations for the inverse association. First, they consider that occurrence of one disease may protect against the occurrence of the other, but this is conceptually problematic. They then present an example that addresses the underlying etiologies: Pin1, an intracellular signaling molecule, when dysregulated, has critical but opposing roles in the pathogenesis of Alzheimer disease and human cancers. The findings of this study challenge us to uncover similar mechanisms in the pathogenesis of ALS.

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Carmel Armon, MD, MHS

From the Tel Aviv University Sackler Faculty of Medicine and Assaf Harofeh Medical Center, Israel. Study funding: No targeted funding reported. Disclosure: The author reports no disclosures. Go to Neurology.org for full disclosures. contribution to the analysis and interpretation of the data and drafting and revising of the manuscript. Mr. Farnham has made a substantive contribution to the analysis and interpretation of the data. Ms. Thai has made a substantive contribution to the analysis and interpretation of the data and drafting of the manuscript. Ms. McLean has made a substantive contribution to the design of the study and drafting of the manuscript. Mrs. Figueroa has made a substantive contribution to the design of the study and revising of the manuscript. Dr. Bromberg has made a substantive contribution to the revising of the manuscript. Dr. Pulst has made a substantive contribution to the design and conceptualization of the study and revising of the manuscript. Dr. Cannon-Albright has made a substantive contribution to the design and conceptualization of the study, statistical analysis and interpretation of the data, and drafting and revising the manuscript.

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DISCLOSURE

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