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## Epithelial-to-mesenchymal transition defines feedback activation of receptor tyrosine kinase signaling induced by MEK inhibition in *KRAS* mutant lung cancer

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### Abstract

*KRAS* is frequently mutated in lung cancer. Whereas the mitogen-activated protein kinase (MAPK) is a well-known effector pathway of *KRAS*, blocking this pathway with clinically-available MAPK inhibitors is relatively ineffective. Here, we report that epithelial-to-mesenchymal transition rewires the expression of receptor tyrosine kinases, leading to differential feedback activation of the MAPK pathway following MEK inhibition. In epithelial-like *KRAS* mutant lung cancers, this feedback was attributed to ERBB3-mediated activation of MEK and AKT. In contrast, in mesenchymal-like *KRAS* mutant lung cancers, FGFR1 was dominantly expressed but suppressed by the negative regulator sprouty proteins; MEK inhibition led to de-repression of SPRY4 and subsequent FGFR1-mediated re-activation of MEK and AKT. Therapeutically, the combination of MEK inhibitor and FGFR inhibitor induced cell death in vitro and tumor regressions in vivo. These data establish the rationale and a therapeutic approach to treat mesenchymal-like *KRAS* mutant lung cancers effectively with clinically available FGFR1 and MAPK inhibitors.

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## Keywords

KRAS; MEK inhibitor; Epithelial-to-mesenchymal transition; lung cancer

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## Introduction

Lung cancer is the leading cause of cancer-related mortality, with a 5-year survival of less than 15% across all stages of disease. *KRAS* is the most frequently mutated oncogene in non-small-cell lung cancer (NSCLC), which is detected in 20 to 25% of Caucasian patients with lung adenocarcinoma (1,2). In the past decade, the discovery of driver oncogenes such as *EGFR* mutations and *ALK* rearrangements in lung adenocarcinomas have led to the development and implementation of targeted therapies that block the function of these oncogenes. In contrast, there are no approved targeted therapies for the treatment of *KRAS* mutant cancers because direct inhibition of mutant *KRAS* has proven challenging, despite the development of small-molecule inhibitors that interfere with the localization of *KRAS* or inhibit the activity of mutant *KRAS* (3).

Recently, targeting *KRAS* effector pathways has gained traction as a therapeutic alternative (1,2,4). The mitogen-activated protein kinases (MAPK) pathway is now a well-known effector pathway of *KRAS*, however, targeting this pathway by MEK inhibition results in limited activity in patient with *KRAS* mutant lung cancer (5,6). The lack of effectiveness may be associated with activation of multiple other effectors by *KRAS* including phosphoinositide 3-kinase (PI3K)-AKT and nuclear factor-kappa B (NF- $\kappa$ B) pathways (3,4). In addition, MEK inhibition leads to relief of physiologic negative feedback loops and results in activation of several upstream receptor tyrosine kinases (RTKs) (7–9). As such, the combination of MEK inhibitor with IGF-IR inhibitor was shown to enhance cell death in *KRAS* mutant colorectal cancer (10) and lung cancer (11). Additionally, co-targeting MEK and ERBB3 was shown to be effective in *KRAS* mutant lung and colorectal cancers (12). The different RTKs that are activated in *KRAS* mutant cancers reflect significant heterogeneity of these tumors, even within the same tissue type. Furthermore, recent integrative analysis of genomic, transcriptomic, and proteomic data identified three subclasses of *KRAS* mutant lung adenocarcinoma, supporting the idea of heterogeneity among tumors with same tissue type (13).

The epithelial-to-mesenchymal transition (EMT) is an essential mechanism in the developmental process and repair of tissue (14). EMT also contributes to the progression of cancer by promoting loss of cell-cell adhesion, leading to a shift in cytoskeletal dynamics. Hallmarks of EMT include the loss of E-cadherin expression and concomitant increase of mesenchymal markers such as vimentin (15,16). Emerging evidence suggests that EMT has been shown to associate with primary and acquired drug resistance. For example, EMT predicts sensitivity to EGFR inhibitor in NSCLC (17). In addition, EMT is a cause of resistance to EGFR inhibitors in *EGFR* mutant lung cancers (18). Tumors with a mesenchymal phenotype are also associated with aggressive disease and poor prognosis (19,20).

Herein, we report that MEK inhibition leads to distinct activation of RTKs in *KRAS* mutant lung cancers depending on the epithelial or mesenchymal state of the cancer. In epithelial-like *KRAS* mutant cancer cells, MEK inhibition upregulates ERBB3, which in turn activates PI3K-AKT and MAPK signaling. In contrast, mesenchymal-like *KRAS* mutant lung cancer cells lack ERBB3 expression. Instead, these cells show higher basal expression of FGFR1 protein, and MEK inhibition relieves activation of FGFR1 signaling by suppressing sprouty expression. Importantly, combinatorial inhibition of FGFR1 and MEK in mesenchymal-like *KRAS* mutant lung cancers resulted in robust apoptosis in vitro and tumor shrinkage in vivo. These data suggest biomarker-driven combinations of FGFR and MEK inhibitors may be effective for mesenchymal-like *KRAS* mutant NSCLC.

## Results

### ERBB3 mediates feedback activation of AKT and ERK signaling in ERBB3 expressed *KRAS* mutant lung cancers following MEK inhibitor treatment

We first evaluated whether MEK inhibitor treatment leads to feedback activation of PI3 kinase and MEK-ERK signaling. Two allosteric MEK inhibitors, trametinib and selumetinib, were employed to determine the lowest drug concentration that maximally inhibited ERK phosphorylation (Supplementary Fig. S1A and S1B). Following 48 hour treatment with MEK inhibitor, rebound activation of ERK signaling occurred with both drugs, as evidenced by phosphorylation of ERK, while the rebound was less significant following treatment with the newer MEK inhibitor trametinib, which also disrupts RAF-MEK complexes (21,22) (Fig. 1A). Treatment with trametinib in a panel of *KRAS* mutant lung cancer cell lines further demonstrated that long term MEK inhibition induces rebound activation of ERK signaling (Supplementary Fig. S1C). Furthermore, upregulation of AKT phosphorylation was observed in many of the *KRAS* mutant lung cancer cell lines. Inhibition of PI3K/AKT or MEK is known to relieve feedback suppression of RTKs (8,12,23–25). To determine whether activation of RTK signaling accounts for the rebound activation of ERK and upregulation of AKT signaling following MEK inhibitor treatment, we interrogated global RTK phosphorylation before and after trametinib treatment. The results showed that phosphorylation of ERBB3 and its binding partner ERBB2 were upregulated following trametinib treatment (Fig. 1B); consistently, ERBB3 expressing epithelial-like *KRAS* mutant NCI-H358 and NCI-H1573 cells showed clear upregulation of ERBB3 phosphorylation following trametinib treatment (Fig. 1C). Furthermore, immunoprecipitation of p85, the regulatory subunit of PI3K, identified the interaction of p85 with ERBB3 was upregulated following trametinib treatment (Fig. 1D). siRNA knockdown or pharmacological inhibition of ERBB3 by a pan-ERBB inhibitor afatinib negated rebound activation of ERK and upregulation of AKT phosphorylation induced by trametinib and resulted in increased apoptosis (Fig. 1E and Supplementary Fig. S1D and S1E). We next determined the effects of the drug combination in vivo. Whereas trametinib monotherapy slowed tumor growth, tumor regression was observed with combination of afatinib with trametinib treatment (Fig. 1F). Collectively, these results showed the feedback ERK and AKT activation induced by ERBB3 mitigates the effect of MEK inhibitor in a subset of *KRAS* mutant lung cancer cell lines in vitro and in vivo.

### FGFR1 is upregulated in mesenchymal-like KRAS mutant lung cancer cell lines

Since we found that the MAPK pathway was also re-activated in *KRAS* mutant cells that lacked expression of ERBB3, and its reactivation was not negated by Afatinib treatment (Fig. 1G), we sought to uncover other distinct signaling pathways leading to re-activation of the MAPK pathway in order to develop effective MEK-inhibitor based targeted therapy for these cancers. Previous studies demonstrated that ERBB3 loss is associated with the mesenchymal phenotype in cancers including lung cancer (17,20,26). We therefore hypothesized that it was mesenchymal *KRAS* mutant lung cancers that re-activated the MAPK pathway following MEK inhibition independent of ERBB3.

We first performed a Western blot analysis of our *KRAS* mutant lung cancer cell line panel and determined that our cells that expressed ERBB3 were epithelial, while those null for ERBB3 were mesenchymal (Fig. 2A). To further study this correlation, we induced EMT via chronic TGF- $\beta$ 1 treatment in the epithelial-like NCI-H358 cells, and upregulated and downregulated genes were analyzed (Fig. 2B and Supplementary Table S1). As expected, ERBB3 and CDH1 were strongly suppressed following induction of EMT. Interestingly, we found the receptor tyrosine kinase FGFR1 to be one of the highest upregulated genes in NCI-H358 TGF- $\beta$ 1 treated cells (Fig. 2B). Western blot analysis confirmed that FGFR1 was prominently upregulated following EMT induction while ERBB family proteins EGFR, ERBB2, ERBB3 and ERBB4 had reduced expression (Fig. 2C). We next performed unsupervised hierarchical clustering of 39 *KRAS* mutant NSCLC cell lines from Cancer Cell Line Encyclopedia (CCLE) (27). A total of 2635 genes, which demonstrated more than a 5-fold expression change among cell lines with median value of 6 or more, were extracted and used for further analyses. These analyses identified two distinct subsets of *KRAS* mutant cancer cell lines (Fig. 2D). One subtype, including the NCI-H358 and NCI-H1573 cells, typically expressed ERBB3 and E-Cadherin, consistent with the epithelial-like phenotype. The second group had a mesenchymal phenotype with low E-Cadherin, high vimentin, and low ERBB3. Furthermore, these cells had significantly higher FGFR1 expression compared to the epithelial-like cell lines. Linear regression analyses demonstrated positive correlations between ERBB3 and E-Cadherin as well as FGFR1 and vimentin. Conversely, inverse relationships between FGFR1 and E-Cadherin as well as ERBB3 and vimentin were found (Fig. 2E and F and Supplementary Fig. S2). Collectively, these data demonstrate ERBB3 high/FGFR1 low expression pattern in epithelial-like *KRAS* mutant lung cancers, contrasting with an FGFR1 high/ERBB3 low expression pattern in mesenchymal-like *KRAS* mutant cancers.

### Feedback activation of FGFR1-FRS2 pathway following treatment of MEK inhibitor in mesenchymal-like KRAS mutant lung cancer cell lines

Consistent with the gene expression data from CCLE, Western blot analysis of a panel of *KRAS* mutant cancer cells identified mesenchymal cells as having higher FGFR1 expression (Fig. 3A). To further determine the relationship between mesenchymal phenotype and FGFR1 expression, we tried to revert EMT to determine how that impacted the expression of FGFR1. Knockdown of the EMT transcriptional repressor ZEB1 partially reversed EMT with upregulation of E-cadherin and downregulation of vimentin demonstrated in the TGF- $\beta$ 1-treated (and thus EMT-induced) NCI-H358 cells (Fig. 3B). Indeed, ZEB1 knockdown

resulted in suppression of FGFR1 and induction of ERBB3 expression (Fig. 3B), consistent with our other findings. We next investigated whether FGFR1 expression is related to the feedback activation of ERK signaling following MEK inhibitor treatment in mesenchymal-like *KRAS* mutant cancers. In NCI-H1792 and LU99 mesenchymal-like *KRAS* mutant lung cancer cell lines, while MEK inhibitor treatment strongly suppressed ERK phosphorylation, long term MEK inhibition resulted in activation of FRS2, an adaptor protein of FGFR (Fig. 3C). Furthermore, immunoblot analyses identified trametinib did not upregulate phosphorylation of several other RTKs such as EGFR family proteins, AXL, MET, PDGFR $\alpha$ , and IGFR adaptor protein IRS-1, suggesting FRS2 may be the primary signal induced by MEK inhibition (Supplementary Fig. S3). To determine if the upregulation of FRS2 phosphorylation is mediated by FGFR1, we treated the NCI-H1792 and LU99 cells with trametinib after FGFR1 knockdown. Indeed, while either treatment with an FGFR inhibitor NVP-BGJ398 (28) or FGFR1 knockdown modestly downregulated ERK signaling, in the presence of trametinib, FGFR1 knockdown negated trametinib-induced FRS2 phosphorylation and feedback activation of ERK signaling (Fig. 3D and Supplementary Fig. S4). In addition, AKT phosphorylation was upregulated following trametinib treatment, especially in the LU99 cells, which was also negated by FGFR1 knockdown (Fig. 3C and 3D).

### **SPRY4 suppression relieves FGFR1-FRS2 pathway leading to activation of AKT and ERK signaling in mesenchymal-like *KRAS* mutant cancer cells**

Next, in order to further investigate how MEK inhibition leads to the induction of the FGFR pathway in *KRAS* mutant mesenchymal lung cancers, we compared gene expression profiles of the mesenchymal-like *KRAS* mutant lung cancer NCI-H1792 cells before and after treatment with trametinib. Interestingly, we found no significant autocrine upregulation of FGF ligands or receptors following trametinib treatment (Supplementary Table S2). Addition of FGFR1 ligand modestly rescued mesenchymal-like *KRAS* mutant lung cancer cells from trametinib induced growth inhibition suggesting some, but minor, paracrine contribution from tumor stroma or systemic production. (Supplementary Fig. S5). The MEK/ERK pathway can also be regulated by ligand independent feedback regulation in which downstream proteins transcriptionally regulated by ERK in turn negatively regulate ERK signaling (29). Indeed, trametinib significantly suppressed the expression of inhibitory regulator of MAPK pathway including Sprouty proteins (SPRY1, SPRY2, and SPRY4) and MAPK phosphatases (MPKs and DUSPs) (Supplementary Table S3). Sprouty proteins antagonize FGFR-mediated MAPK activation by competing with FRS2 for binding to GRB2 (growth factor receptor bound protein 2) and the SOS (son of sevenless) complex (30,31). Therefore, we hypothesized that suppression of SPRY or DUSP proteins led to FRS2 activation. We chose to investigate SPRY4 and DUSP6 because these proteins showed the sharpest downregulation following trametinib treatment among proteins in each family (Supplementary Fig. S6). Whereas both SPRY4 and DUSP6 expression were downregulated following trametinib treatment (Fig. 4A), FRS2 phosphorylation was strongly induced by SPRY4 suppression (Fig. 4B). Furthermore, overexpression of SPRY4 protein negated feedback activation of FRS2 following MEK inhibitor treatment (Fig. 4C), resulting in further suppression of ERK phosphorylation and reduction of AKT phosphorylation induced by trametinib and greater induction of apoptosis in LU99 cells (Fig. 4D). Moreover, in low

FGFR1 expressing-epithelial-like *KRAS* mutant lung cancer cells, FRS2 phosphorylation was not induced by trametinib despite *SPRY4* downregulation (Fig. 4E). In line with this, ZEB1 knockdown abrogated FRS2 activation following trametinib treatment (Fig. 4F). Collectively, MEK inhibition leads to downregulation of expression of *SPRY* proteins in *KRAS* mutant lung cancers, which relieves suppression of basal FGFR-FRS2 function, leading to reactivation of MAPK signaling in the presence of FGFR1.

### **Combination of FGFR inhibition and MEK inhibition suppresses feedback activation of ERK and induces cell death in mesenchymal-like *KRAS* mutant lung cancer cell lines**

To further interrogate the relationship between EMT and FGFR1-mediated ERK re-activation and upregulation of AKT signaling, epithelial and mesenchymal *KRAS* mutant lung cancer cell lines were treated with the combination of trametinib and NVP-BGJ398. In NCI-H1792 and LU99 mesenchymal-like *KRAS* mutant lung cancer cell lines, the combination of NVP-BGJ398 and trametinib showed a more complete suppression of ERK phosphorylation and improved suppression of AKT phosphorylation compared to trametinib monotherapy, consistent with a key role of FGFR1 in MEK-inhibitor induced feedback (Fig. 5A). The combination of FGFR and MEK inhibition led to profound inhibition of cell survival compared with single-agent pan-ERBB, FGFR, and MEK inhibitors as well as combined pan-EGFR and MEK inhibition (Fig. 5B and C). This was accompanied by greater induction of apoptosis (Fig. 5D and E). On the contrary, the combination of FGFR and MEK inhibition had no effect on downstream signaling in epithelial-like *KRAS* mutant cells (Fig. 5F). Accordingly, the combination of FGFR inhibitor with trametinib induced similar levels of apoptosis compared to trametinib monotherapy in the epithelial-like NCI-H358 and NCI-H1573 cells (Fig. 5F). In contrast, in the EMT-induced NCI-H358 cells, the addition of NVP-BGJ398 led to further suppression of ERK phosphorylation compared to trametinib monotherapy and negated AKT activation induced by trametinib (Supplementary Fig. S7A). The combination of trametinib and NVP-BGJ398 or FGFR1 knockdown induced robust apoptosis in these cells (Supplementary Fig. S7B and S7C). Collectively, these data demonstrate MEK inhibition induces distinct RTK activation depending on EMT status, and the activation of the FGFR1-FRS2 pathway is dominantly induced in mesenchymal-like *KRAS* mutant lung cancer cells.

Encouraged by the activity of the combination of trametinib and NVP-BGJ398, we expanded the number of mesenchymal-like *KRAS* mutant lung cancer cell lines to further investigate the effects of co-treatment with trametinib and NVP-BGJ398. Immunoblot analysis confirmed that FGFR inhibitor suppressed feedback activation of ERK following trametinib treatment for 48 or 72 hours (Fig. 5A and G, and Supplementary Fig. S8A and S8B). In addition, AKT phosphorylation was upregulated following trametinib treatment in many of the cell lines and the combination showed a trend to induce better suppression of AKT compared to trametinib monotherapy ( $p=0.08$ ) (Fig. 5A and Supplementary Fig. S8A and S8C). Among eleven mesenchymal-like *KRAS* mutant lung cancer cell lines, FGFR inhibitor in combination with MEK inhibitor induced robust apoptosis in four, modest apoptosis in three, and minimal apoptosis in four of the cell lines, suggesting heterogeneity of response to the therapy (Fig. 5H and Supplementary Fig. S8D). Moreover, apoptosis induced by NVP-BGJ398 with trametinib was significantly correlated with that of induced



by PI3K inhibitor with trametinib (Supplementary Fig. S9A and S9B), suggesting that the FGFR1-FRS2 pathway activated by trametinib plays roles both in PI3K and MEK signaling. To exclude the possibility that residual AKT activity was causing resistance to FGFR and MEK inhibition, SW1573 and NCI-H460 insensitive cell lines were treated with NVP-BGJ398, trametinib and a PI3 kinase inhibitor, GDC-0941 (Pictilisib). Although the combination induced complete suppression of both AKT and ERK signaling (Supplementary Fig. S10A), only modest apoptosis was observed in these cell lines (Supplementary Fig. S10B and S10C). Recent studies have demonstrated that an abnormality in the apoptotic machinery, including Bcl-xL overexpression by Yes-associated protein 1 (YAP1), and decreased ratios of BIM/Bcl-xL and PUMA/Bcl-xL underlie intrinsic resistance to MEK inhibitor with or without PI3K inhibitor (32,33). In line with this, addition of the Bcl-2/Bcl-xL inhibitor ABT-263 (navitoclax) to FGFR inhibitor and MEK inhibitor induced apoptosis in the SW1573 cells, suggesting that deregulation of apoptotic proteins may be a cause of the differential sensitivity to FGFR and MEK inhibition (Supplementary Fig. S10D and S10E).

### Combination of FGFR and MEK inhibition induces tumor regression in vivo

These findings led us to test the efficacy of combination of FGFR inhibitor with MEK inhibitor in vivo. Whereas either NVP-BGJ398 or trametinib monotherapy had minimal effect of tumor growth in the LU99 tumor xenograft model, tumors regressed when treated with the combination of FGFR and MEK inhibitor (Fig. 6A and B). The drug combination was well tolerated over a four-week treatment period (Supplementary Fig. S11). Pharmacodynamic studies of the drug-treated tumors recapitulated the in vitro results; trametinib partially suppressed ERK phosphorylation, however it induced FRS2 and AKT phosphorylation as well. Addition of FGFR inhibitor suppressed feedback activation of FRS2 leading to greater suppression of ERK and AKT, which resulted in downregulation of S6 phosphorylation (Fig. 6C). Moreover, the efficacy of the combination of FGFR inhibitor with MEK inhibitor was validated in a second NCI-H23 xenograft model (Fig. 6D).

Lastly, to validate our findings in a clinically relevant setting, we used a patient derived xenograft (PDX) of *KRAS* mutant lung cancer with a representative mesenchymal phenotype identified by the expression of E-cadherin and vimentin (Fig. 6E). Consistent with the in vitro findings and the cell line xenograft mouse models (Fig. 6A and 6D), the addition of FGFR inhibitor sensitizes these tumors to trametinib (Fig. 6F), supporting the notion that EMT may serve as an informative biomarker to predict the responsiveness of *KRAS* mutant lung cancers to the combination of FGFR inhibition and MEK inhibition.

### Expression of mesenchymal markers is associated with FGFR1 expression in patients with *KRAS* mutant lung adenocarcinoma

To determine whether FGFR1 expression was also associated with the mesenchymal phenotype in primary *KRAS* mutant lung cancers as it was in our other cell line studies, we analyzed RNA sequence expression data of 75 *KRAS* mutant lung adenocarcinomas from The Cancer Genome Atlas (TCGA) (34). Using a set of 28-genes that is highly correlated with the EMT process as reported by Kalluri and Weinberg (35), hierarchical clustering analysis revealed that *KRAS* mutant cancer could be classified into two groups based on

epithelial and mesenchymal markers (Fig. 7A). Importantly, mesenchymal-like *KRAS* mutant tumors demonstrated significantly higher FGFR1 expression and significantly lower ERBB3 expression compared to epithelial-like *KRAS* mutant cancer ( $p < 0.001$  for FGFR1 and  $p = 0.03$  for ERBB3, respectively). These data confirm that FGFR1 expression is high specifically in the mesenchymal-like *KRAS* mutant cancer subgroup.

## Discussion

MAPK is the best characterized downstream pathway of *KRAS*, however, MEK inhibitor monotherapy demonstrates only modest efficacy *in vitro* and *in vivo* (5,6,9). These data are reminiscent of BRAF inhibitors in *BRAF* mutant colorectal cancer: The subsequent identification and drugging of the EGFR pathway as a feedback activator of ERK signaling has led to clinical trials of combination BRAF and EGFR inhibitors (36,37). In this study, we have shown that resistance to MEK inhibition in *KRAS* mutant lung cancers is caused by the feedback activation of RTK signaling. While feedback activation is mediated by ERBB3 in epithelial-like *KRAS* mutant cancer, the FGFR1-FRS2 pathway plays a critical role in the feedback activation of MAPK signaling in mesenchymal-like *KRAS* mutant cancers. Importantly, the combination of trametinib with FGFR inhibitor induced robust apoptosis *in vitro* and tumor regressions *in vivo* in mesenchymal-like *KRAS* mutant lung cancers, suggesting the biomarker-directed combination of these two drugs would benefit this subset of *KRAS* mutant lung cancer patients.

Reactivation of ERK signaling has played critical roles in primary and acquired resistance to targeted therapies, even outside of *KRAS*-driven cancers (36–41). It has been demonstrated that activation of MAPK signaling induces transcription of negative feedback genes including SPRYs and DUSPs (9,42). Interestingly, this pathway is active in benign tumors, presumably acting as a fail-safe negative feedback signaling program enabling the cell to protect itself from malignancy (42). In our study, we found this pathway was also active in the malignant *KRAS* mutant lung cancers, and relieving this feedback through MEK inhibitor treatment leads to the inhibition of the SPRY proteins. In mesenchymal *KRAS* mutant cancers, this is sufficient to activate the FGFR1 pathway as FGFR1 appears “primed” in these cancers via high levels of expression. Therefore, in mesenchymal-like *KRAS* mutant cancer cells, FGFR1 activity is suppressed by the active *KRAS*-MEK signal, perhaps part of an initial effort by the cell to prevent malignancy; the FGFR1 signal is transduced once negative feedback is relieved by trametinib, and only blocking both the MEK/ERK signal and the FGFR1 signal allows for sustained MEK/ERK inhibition, and subsequent apoptosis and tumor regressions.

In mesenchymal-like *KRAS* mutant lung cancer cells, SPRY4 plays a primary role in the induction of FRS2 phosphorylation following trametinib treatment. While SPRY proteins have been shown to be involved in ERK dependent feedback inhibition of EGFR family proteins in *BRAF* mutant melanomas and colon cancers and FGFR family proteins in GISTs (37,38,41), the role of SPRYs and DUSPs is cell type- and context-dependent (43). SPRY4 has been shown to suppress FGF pathways, but it has not been shown to inhibit EGF signaling in contrast to the involvement of other SPRY family proteins (30). Intriguingly, FRS2 phosphorylation was not induced in epithelial-like *KRAS* mutant cancer cells



expressing low FGFR1 protein, despite downregulation of SPRY4 expression by trametinib. These results support the notion that sprouty proteins are downregulated following trametinib treatment in *KRAS* mutant lung cancers; however, it is only in the high FGFR1-expressing mesenchymal lung cancers does this lead to FGFR1-dependent FRS2 phosphorylation and subsequent re-activation of the MAPK pathway, resulting in resistance to MEK inhibitors (Fig. 7B). SPRY4 expression has been shown to be positively regulated by the ERK pathway previously (43). In line with this, a RAS-responsive element was located between nucleotide positions -69 and -31 in the *SPRY4* promoter region and transfection of dominant negative RAS N17 significantly inhibited promoter activity of the gene by up to 70% in A549 cells (44). However, the precise mechanism of SPRY4 regulation remains to be determined.

We identified MEK inhibition led to upregulation of PI3K-AKT signaling in many of the *KRAS* mutant lung cancer cell lines despite their epithelial or mesenchymal status. In epithelial-like *KRAS* mutant lung cancer cells, we showed that upregulation of PI3K-AKT signaling was mediated through ERBB3 by upregulation of protein expression and phosphorylation, which led to bound ERBB3:p85, the regulatory subunit of PI3K. Selumetinib was shown to induce degradation of c-MYC, which relieves transcriptional repression of ERBB3 in breast cancer and *KRAS* mutant lung and colon cancers (8,12). Furthermore, in *BRAF* mutant cancers, BRAF inhibitor or trametinib upregulates ERBB3 by inducing the expression of the transcription factor FOXD3, or by decreasing the expression of the transcription repressors C-terminal binding protein 1 and 2 (45,46). We also demonstrated that FGFR1 mediated the upregulation of PI3K-AKT signaling in mesenchymal-like cells; PI3 kinase could be activated by FGFR1-FRS2 in which several tyrosine residues of FRS2 serve as docking sites for proteins such as GRB2 and GAB1, allowing recruitment of PI3K. Alternatively, PI3K activation may be mediated by RAS whose activation is upregulated following SPRY4 suppression.

We found ERK suppression-mediated relief of negative feedback of FGFR1 signaling occurred independently of FGF ligands and receptors. Recently, FGFR was also shown to mediate re-activation of the MAPK pathway and attenuate the anti-proliferative effects of Imatinib in gastrointestinal stromal tumor (GIST) (41). In that study, whereas treatment with FGF ligands reduced the antitumor activity of Imatinib in GIST cell lines, no significant alterations in the expression of FGF ligands and receptors were observed after long-term Imatinib treatment. Our results demonstrated only modest induction of trametinib resistance by FGF ligand. These results indicate that relief of ERK dependent feedback may play important roles in the reactivation of ERK in *KRAS* mutant cancers independently of ligand-mediated activation.

While our study identified subgroups of *KRAS* mutant lung adenocarcinoma based on EMT status and identified distinct therapeutic approach corresponding to each subgroup, other factors affecting heterogeneity of *KRAS* mutant lung cancer could contribute to intrinsic resistance. In fact, we observed heterogeneity within each subgroup. For example, the level of ERBB3 and FGFR1 expression was variable among tumors (Fig. 7A). This heterogeneity may be at least partly attributed to co-existing genomic alterations. Recent bioinformatic analysis by Skoulidis et al identified that *KRAS* mutant lung cancer can be classified into

three subgroups by co-occurring genetic alterations in *STK11*, *TP53*, and *CDKN2A/B* (13). In line with this, concurrent loss of *LKB1* is a cause of resistance to the combination of selumetinib with docetaxel or PI3 kinase inhibitor in *KRAS* mutant lung tumor model, likely through activated AKT and/or SRC pathways (47,48). While we noted that *p53* or *LKB1* status did not affect the level of apoptosis induced by NVP-BGJ398 and trametinib (Supplementary Fig. S12) and that there was no relationship between EMT and subgroups classified by Skoulidis et al (Supplementary Fig. S13) (13), it remains likely that other tumor-specific mutations are impacting drug response.

Another important consideration is the differential activation of effector pathways by mutant *KRAS* other than PI3K and MEK in the tumors: for instance, *KRAS* G12C and G12V mutants harbor increased signaling through Ral effector pathway and decreased signaling through AKT, while *KRAS* G12D activates both PI3K and MEK signal (49). However, in our study, apoptosis induced by FGFR and MEK inhibitor was not correlated with the site of *KRAS* amino acid substitutions (Supplementary Fig. S14). Lastly, we noted a marked difference in the amount of apoptosis induced by PI3Ki/MEKi or FGFRi/MEKi in the different models (Fig 5H and Supplementary Fig. S9B). This suggests the apoptotic machinery plays a role in the resistance of *KRAS* mutant NSCLCs to FGFRi/MEKi (33). In line with this, differences in the balance of pro- and anti-apoptotic BCL-2 family proteins could lead to the insensitivity of the combination in SW1573 cells, and, more generally, may underlie heterogeneity in the apoptotic response to FGFRi/MEKi combination therapy in *KRAS* mutant NSCLCs. These heterogeneities among *KRAS* mutant lung cancer indicate the need to identify other targets to combine with MEK inhibitors.

Other studies have identified factors influencing the response of *KRAS* mutant cancers to targeted inhibition. For instance, YAP1 was recently identified as being able to counteract loss of mutant *KRAS* in cell lines and mouse models including lung cancer, by activating the FOS transcription factor and inducing expression of mesenchymal genes (50,51). Interestingly, in the same study that identified YAP1 as a survival factor following mutant *KRAS* suppression (50), members of the FGF family were also identified as genes that rescue loss of viability induced by *KRAS* knockdown. A second screen also identified FGFR1 as a kinase that was able to rescue *KRAS* suppression in *KRAS*-dependent cells (50). In addition, FGFR1 has been demonstrated to induce EMT in embryonic development and prostate cancer models (52,53). These results invoke a link between FGFR1 expression, EMT, and *KRAS* dependency, and are consistent with some of our findings in this study.

In conclusion, we report that the FGFR1-FRS2 pathway mediates feedback activation of MAPK following MEK inhibition in mesenchymal-like *KRAS* mutant lung cancer. Our findings support clinical trials with stratification of *KRAS* mutant lung patients based on EMT status in order to target the mesenchymal tumors with this novel therapy.

## Methods

### Cell lines and reagents

The lung cancer cell lines A549, NCI-H358, NCI-H1792, NCI-H23, SW900 and SW1573 were purchased from the American Type Culture Collection. SK-LU-1, Calu-1, Calu-6 and

NCI-H460 were provided by Takashi Takahashi (Nagoya University, Japan). RERF-LC-AD2, LU-65 and LU-99 cells were obtained from the Japanese Cell Research Bank (Osaka, Japan). HCC2108 cells were obtained from Korean Cell Line Bank (Seoul, South Korea). The NCI-H1573 and NCI-H2030 cells were provided from Massachusetts General Hospital Cancer Center. Cells were cultured in RPMI1640 (Invitrogen) with 10% FBS. Characteristic of cell lines used in this study were summarized in Supplementary Table S4. Cells were obtained between 2012 and 2016. Experiments using A549, SW900, LU-65 and HCC2108 cells were done within 6 months from the acquisition of these cells from cell banks. All other cell lines were tested and authenticated by short tandem repeat (STR) analysis with GenePrint 10 System (Promega) by the Japanese Cell Research Bank at the time of submission. Cells were regularly screened for Mycoplasma using a MycoAlert Mycoplasma Detection Kit (Lonza). NVP-BGJ398, GDC-0941, ABT-263, selumetinib, afatinib, and trametinib were obtained from Active Biochem. Compounds were dissolved in DMSO to a final concentration of 10 mmol/l and stored at  $-20^{\circ}\text{C}$  when not in use.

### Western blot

Lysates were prepared using Cell Lysis Buffer (Cell Signaling). Procedure for Western blotting was as previously described (10). Antibodies used in this study are Supplementary Table S5.

### siRNA knockdown

Cells were seeded into 6-well plates at a density of  $1-2 \times 10^5$  cells/well. Twenty-four hours later, cells were transfected with 20 nM siRNA against FGFR1 (Dharmacon), DUSP6 (Dharmacon), ZEB1 (Dharmacon and Santa Cruz Biotechnology), SPRY4 (Ambion and Dharmacon), or ERBB3 (Invitrogen) or Stealth RNAi-negative control low GC Duplex #3 (Invitrogen) using Lipofectamine RNAiMAX (Invitrogen) according to the manufacturer's instructions.

### Overexpression of SPRY4

The SPRY4 expression construct was obtained from GE Dharmacon. Preparation of lentivirus and infections were performed as previously described (10).

### Apoptosis analysis

Cells were seeded at approximately 30%–40% confluence in 6 well plates. After overnight incubation, the media was changed and DMSO or the indicated drugs were added. After 72 hours, floating cells in media and adherent, trypsinized cells were collected in a single tube. Cells were pelleted and washed once with PBS. Apoptotic cells were stained with Annexin V using Annexin V : PE Apoptosis Detection Kit I and assayed on an BD Accuri C6 flowcytometer (BD). All experiments were performed in triplicate.

### Xenograft mouse studies

Suspension of  $5 \times 10^6$  cells was injected subcutaneously into the flanks of 6- to 8-week-old male nude mice (Clea, Tokyo, Japan). The care and treatment of experimental animals were in accordance with institutional guidelines. Mice were randomized ( $n = 8$  in LU99 xenograft

and 5 in NCI-H23 and NCI-H358 xenograft) once the mean tumor volume reached approximately 230–300 mm<sup>3</sup>. Drugs were administered once daily by oral gavage. NVP-BGJ398 was dissolved in acetic acid/acetate buffer pH 4.6/PEG300 1:1; Trametinib and Afatinib were dissolved in 7% DMSO, 13% Tween 80, 4% glucose, and HCl equivalent molar concentration to each drug. Mice were monitored daily for body weight and general condition. Tumors were measured twice weekly using calipers, and volume was calculated using the following formula: length × width<sup>2</sup> × 0.52. According to institutional guidelines, mice were sacrificed when their tumor volume reached 1,000 mm<sup>3</sup>.

### **Patient-derived xenograft (PDX) experiments**

For the PDX study, a xenograft model from a 53 years old female with KRAS G12D mutant lung cancer was purchased from the Jackson Laboratory. Female NOD.Cg-Prkdcscid Il2rgtm1Wjl/SzJ (NSG) mice at 6–8 weeks of age were engrafted with tumor fragments at passage P4 at Jackson Laboratory. Then, mice were transferred to the animal facility at VCU and randomized once the mean tumor volume reached approximately 300 mm<sup>3</sup>.

### **Agilent microarray analysis**

RNA was isolated using RNeasy kit (QIAGEN) following the manufacturer's recommendations. The microarray analysis was performed using SurePrint G3 Human Gene Expression 8x60K v2 Microarray Kit (Agilent technology). The microarray accession number in GEO is GSE79235.

### **Gene expression analysis of 39 NSCLC cell lines with KRAS mutation**

Gene expression data of lung cancer cell lines was obtained from the CCLE (27). Out of 187 lung cancer cell lines, 39 NSCLC cell lines with *KRAS* mutation were extracted for further analysis based on the information obtained from CCLE (CCLE\_sample\_info\_file). Unsupervised hierarchical clustering was performed on 39 *KRAS* mutant NSCLC cell lines using Cluster 3.0 program (54) to perform clustering and JAVA TreeView program (55) to visualize the results. A total of 2635 genes were used for the analysis which demonstrated more than a 5-fold change among cell lines with a median expression value of 6 or more. Three distinct sub-clusters were extracted, which included the marker genes ERBB3, CDH1, FGFR1, and VIM.

### **Gene expression analysis of patients with KRAS mutant lung adenocarcinoma**

Messenger RNA profiling for 230 resected lung adenocarcinomas were obtained from TCGA (34). Out of 230 adenocarcinoma samples, 75 samples with *KRAS* mutation were extracted for further analysis based on the clinical information. We performed unsupervised hierarchical clustering of 75 adenocarcinoma samples with *KRAS* mutation based on the 28 genes, which were listed as EMT related genes by Kalluri and Weinberg (35). Two additional gene expression data, FGFR1 and ERBB3, were extracted and ordered based on the order of hierarchical clustering.

## Statistics

Linear regression analyses and Student's t tests were performed where indicated. Linear regression analyses were performed using r software and the slope was considered significantly non-zero when  $p < 0.05$ . For Student's t-tests, populations were considered significantly different at  $p < 0.05$ . All statistical tests were two-sided.

## Animal study approval

Mouse xenograft experiments were approved by the ethical committee on the Institute for Experimental Animals, Kanazawa University Advanced Science Research Center. The patient derived xenograft experiment was approved by the Virginia Commonwealth University Institutional Animal Care and Use Committee (IACUC protocol #AD10001048).

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

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**Statement of significance**

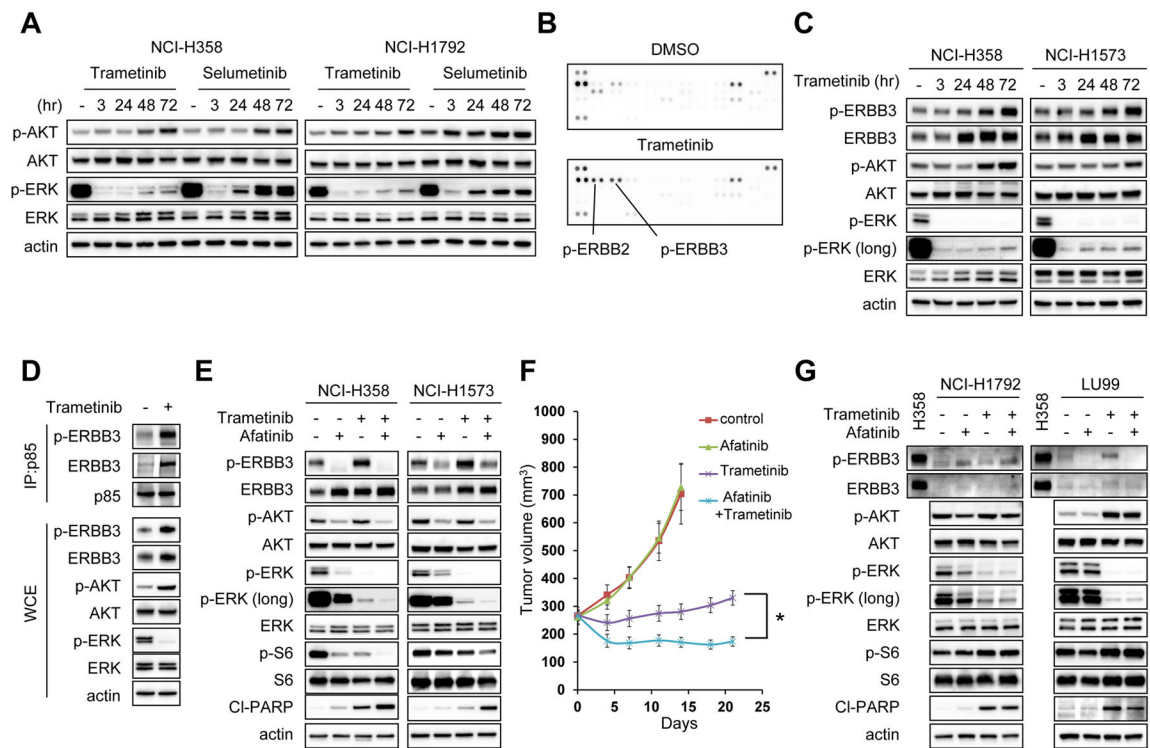
Adaptive resistance to MEKi is driven by RTKs specific to the differentiation state of the *KRAS* mutant NSCLC. In mesenchymal-like *KRAS* mutant NSCLC, FGFR1 is highly expressed, and MEK inhibition relieves feedback suppression of FGFR1, resulting in re-activation of ERK; suppression of ERK by MEKi/FGFRi combination results in tumor shrinkage.

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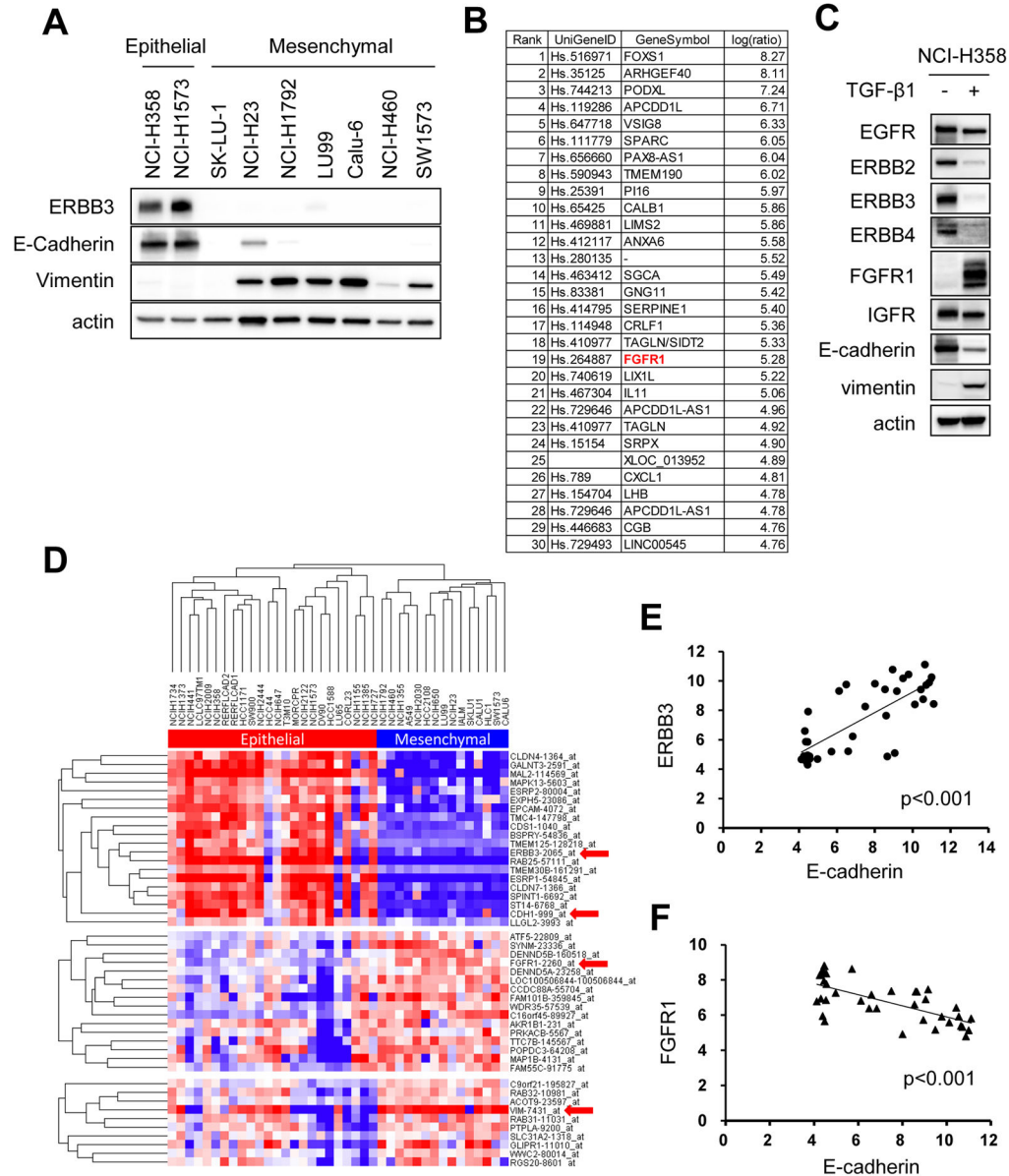
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**Figure 1. ERBB3 mediates feedback activation of AKT and ERK phosphorylation following trametinib treatment in ERBB3 expressing cell lines**

(A) NCI-H358 and NCI-H1792 cells were treated with either 50 nM trametinib or 500 nM selumetinib for indicated times, and lysates were probed with the indicated antibodies. (B) NCI-H358 cells were treated with DMSO or 50 nM trametinib for 72 hours, and cell lysates were analyzed levels of phosphorylated RTKs using phospho-RTK arrays. Key RTKs are indicated. (C) NCI-H358 and NCI-H1573 cells were treated with 50 nM trametinib for the indicated times, and lysates were probed with the indicated antibodies. (D) Cells were treated as in (B) and cell lysates were immunoprecipitated with an antibody to p85. Interaction between p85 and ERBB3 were determined by immunoblotting. In parallel, whole-cell extracts (WCE) were immunoblotted to detect the indicated proteins. (E) Cells were treated with 1 $\mu$ M of the pan-EGFR inhibitor afatinib, 50 nM trametinib, or the combination of these two drugs for 48 hours, and lysates were probed with the indicated antibodies. (F) NCI-H358 xenografts were treated with the vehicle (control), afatinib 7.5 mg/kg, trametinib 0.6 mg/kg, or the combination at the same doses. Drugs were administered once daily by oral gavage. Tumor volumes were plotted over time from the start of treatment (mean  $\pm$  SEM). \* $p < 0.05$  by Student's t test. (G) NCI-H1792 and LU99 cells were treated with indicated drug and drug combination as in (E). These cells were absent expression of ERBB3. Lysate from NCI-H358 was used as a positive control for ERBB3 expression. All immunoblots are representative of three independent experiments.



**Figure 2. FGFR1 is dominantly expressed in mesenchymal-like KRAS mutant lung cancer cell lines**

(A) Expression of ERBB3, E-Cadherin, and Vimentin protein were analyzed by western blotting of lysates from *KRAS* mutant lung cancer cell lines. Actin is a loading control. Independent experiments were performed three times, and a representative result is shown. (B, C) NCI-H358 cells were treated with TGF-β1 (4 ng/mL) or PBS for 14 days in order to induce EMT. (B) RNA was extracted from each cells and gene expression profiles were compared. List of 30 genes most upregulated following TGF-β1 treatment was shown. (C) Lysates were extracted from each cells and immunoblotted with antibodies against indicated RTKs and EMT markers. Actin was used as a loading control. Independent experiments were performed three times, and a representative result is shown. (D) Unsupervised hierarchical clustering of 39 *KRAS* mutant NSCLC cell lines from Cancer Cell Line

Encyclopedia (CCLE) database. A total of 2635 genes were analyzed which are showing more than 5-fold change among cell lines with median expression value of 6 or more. **(E, F)** Scattered plot analysis showing relationship between ERBB3 and E-Cadherin (E) and an inverse relationship between FGFR1 and E-Cadherin (F).  $p < 0.001$ , both by linear regression analysis.

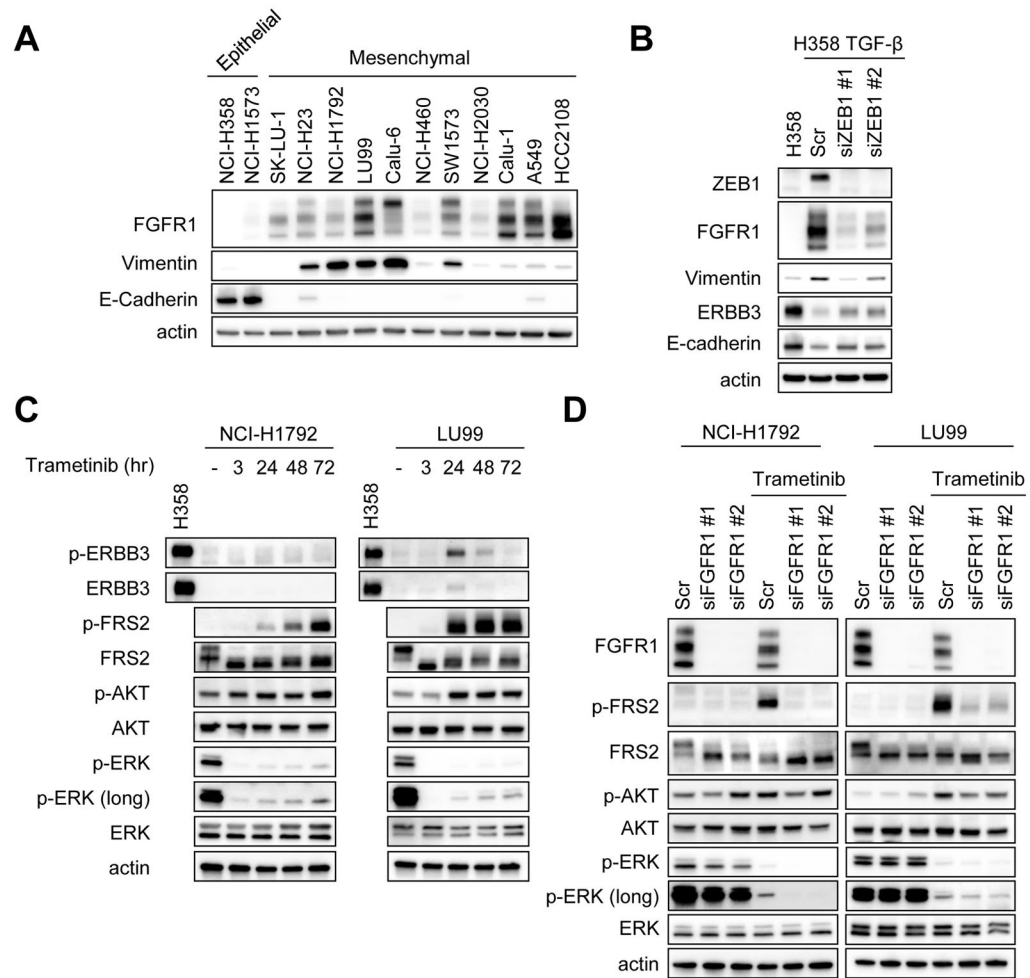
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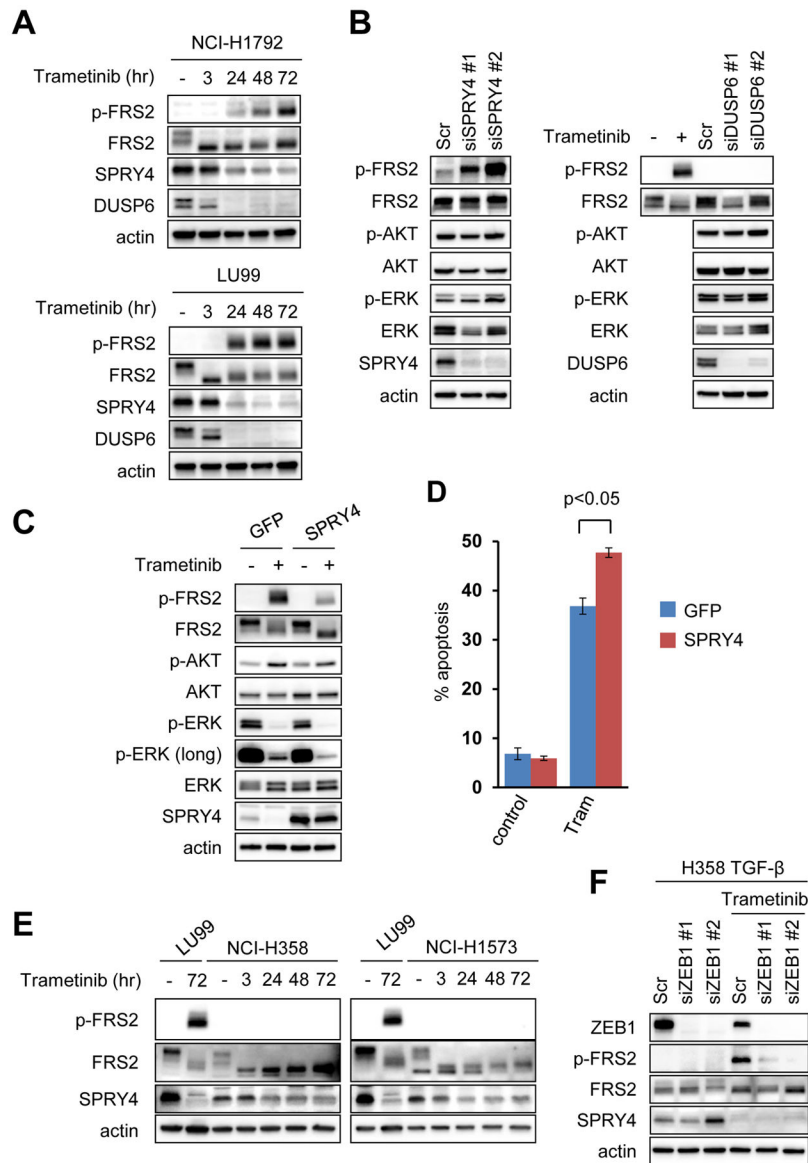
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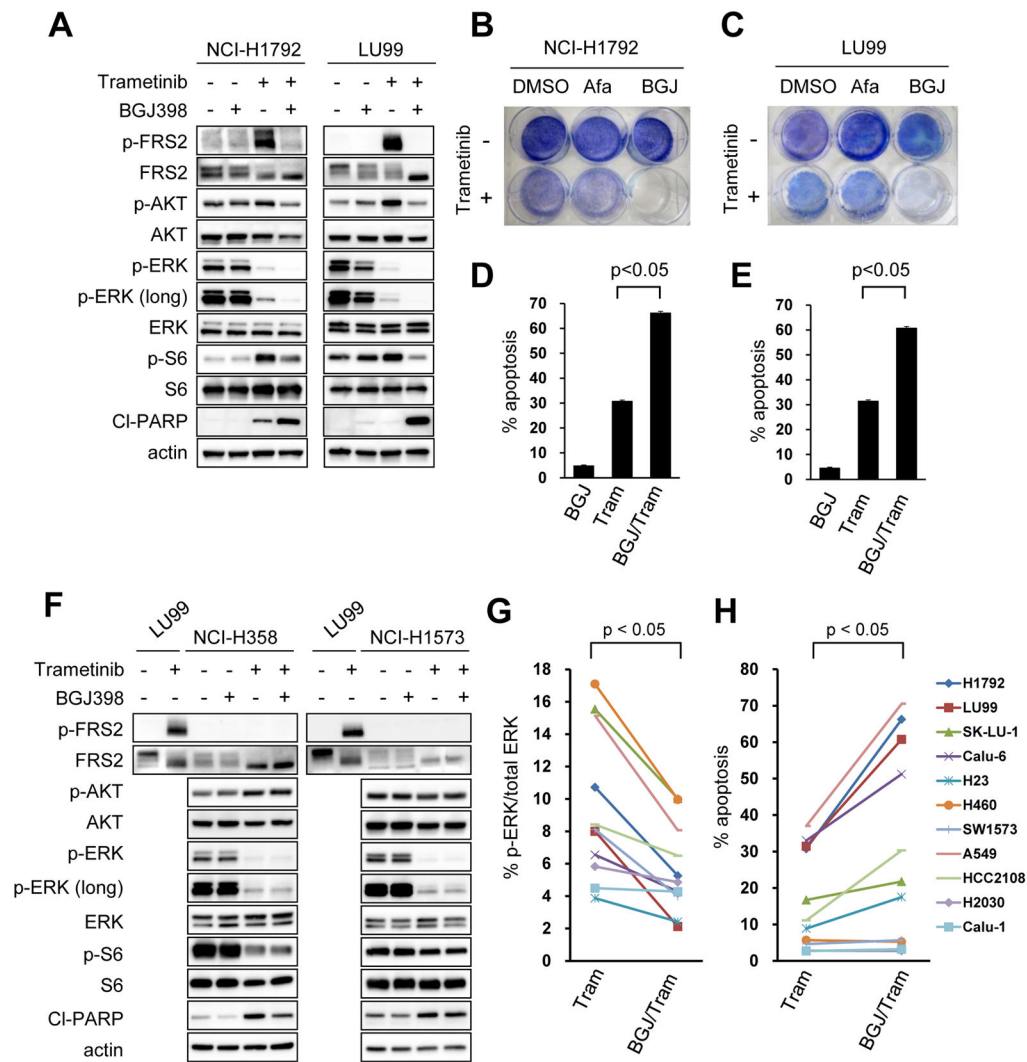


**Figure 3. Trametinib induces feedback activation of FRS2 phosphorylation via FGFR1**  
**(A)** Expression of FGFR1, vimentin, and E-cadherin protein were analyzed by Western blotting of lysates from *KRAS* mutant lung cancer cell lines. Actin is the loading control. Independent experiments were performed three times, and a representative result is shown.  
**(B)** NCI-H358 cells were treated with TGF- $\beta$ 1 (4 ng/mL) for 14 days in order to induce EMT. Then EMT-induced cells (H358-TGF $\beta$ ) were transfected with two different siRNAs targeting ZEB1 or scramble siRNA and cultured for 72 hours. Lysates were probed with the indicated antibodies. Independent experiments were performed twice, and a representative result is shown.  
**(C)** Long-term MEK inhibition resulted in strong upregulation of FRS2 phosphorylation. NCI-H1792 and LU99 cells were treated with 50 nM trametinib for the indicated times, and lysates were probed with the indicated antibodies. Immunoblots are representative of three independent experiments.  
**(D)** FGFR1 mediates FRS2 phosphorylation following trametinib treatment. Cells were transfected with two different siRNAs targeting FGFR1 or scramble siRNA and cultured for 48 hours. Then, media was replaced with or without 50 nM trametinib and cells were treated for an additional 48 hours. Lysates were probed with the indicated antibodies. Independent experiments were performed three times, and a representative result is shown.



**Figure 4. Downregulation of SPRY4 expression is associated with FGFR1-FRS2 activation**  
**(A)** Trametinib suppresses expression of SPRY4 and DUSP6. NCI-H1792 and LU99 cells were treated with 50 nM trametinib for the indicated times, and lysates were probed with the indicated antibodies. Independent experiments were performed three times, and a representative result is shown. **(B)** FRS2 phosphorylation is not induced by DUSP6 knockdown, however is induced by SPRY4 knockdown. LU99 cells were transfected with two different siRNAs against SPRY4, DUSP6 or scramble siRNA for 72 hours. Lysates were probed with the indicated antibodies. Lysate from LU99 cells treated with trametinib at 50 nM for 48 hours was used as a positive control for FRS2 activation. Independent experiments were performed three times, and a representative result is shown. **(C, D)** SPRY4 overexpression negated feedback activation of FGFR signaling. LU99 cells infected with a GFP control or SPRY4 expressing lentiviral plasmid were treated with trametinib for 48 hours. Lysates were probed with indicated antibodies (C) or cells were analyzed by FACS to

quantify annexin positive cells (D). The average amount of apoptosis  $\pm$  SD of 3 independent experiments is shown ( $p < 0.05$  by Student's t test). (E) Trametinib does not activate FRS2 phosphorylation in epithelial-like *KRAS* mutant cancer cells with low FGFR1 expression. NCI-H358 and NCI-H1573 cells were treated with 50 nM trametinib for the indicated times, and lysates were probed with the indicated antibodies. Lysate from LU99 was used as a positive control for FRS2 activation following trametinib treatment. Please note: trametinib downregulated SPRY4 expression in both LU99 and epithelial-like cells. Independent experiments were performed three times, and a representative result is shown. (F) H358-TGF $\beta$  cells were transfected with two different siRNAs targeting ZEB1 or scramble siRNA and cultured for 48 hours. Then, media was replaced with or without 50 nM trametinib and cells were treated for an additional 48 hours. Lysates were probed with the indicated antibodies. Independent experiments were performed twice, and a representative result is shown.



**Figure 5. Combination of trametinib with FGFR inhibition effectively leads to cell death in mesenchymal-like *KRAS* mutant lung cancer**

(A) Mesenchymal-like *KRAS* mutant NCI-H1792 and LU99 cells were treated with 1 $\mu$ M pan-FGFR inhibitor NVP-BGJ398, 50 nM trametinib, or the combination of these two drugs for 48 hours, and lysates were probed with the indicated antibodies. (B, C) Cell lines were treated with DMSO, 1 $\mu$ M afatinib, 1 $\mu$ M NVP-BGJ398, with or without 50 nM trametinib, and drug was replenished every 72 hours for 6 days. Plates were then stained with crystal violet and imaged. A representative plate of 2 independent experiments are shown. (D, E) NCI-H1792 (D) or LU99 (E) cells were treated with drug and drug combinations as in (A) for 72 hours and analyzed by FACS to quantify annexin positive cells. The average amount of apoptosis  $\pm$  SD of 3 independent experiments is shown ( $p < 0.05$  by Student's t test). (F) Epithelial-like *KRAS* mutant NCI-H358 and NCI-H1573 cells were treated as in (A). Lysates from LU99 were used as positive control for the induction of FRS2 phosphorylation following trametinib treatment. Independent experiments were performed three times, and a representative result is shown. (G) The levels of phosphorylated ERK after treatment with trametinib or trametinib with NVP-BGJ398 were quantified for eleven mesenchymal-like

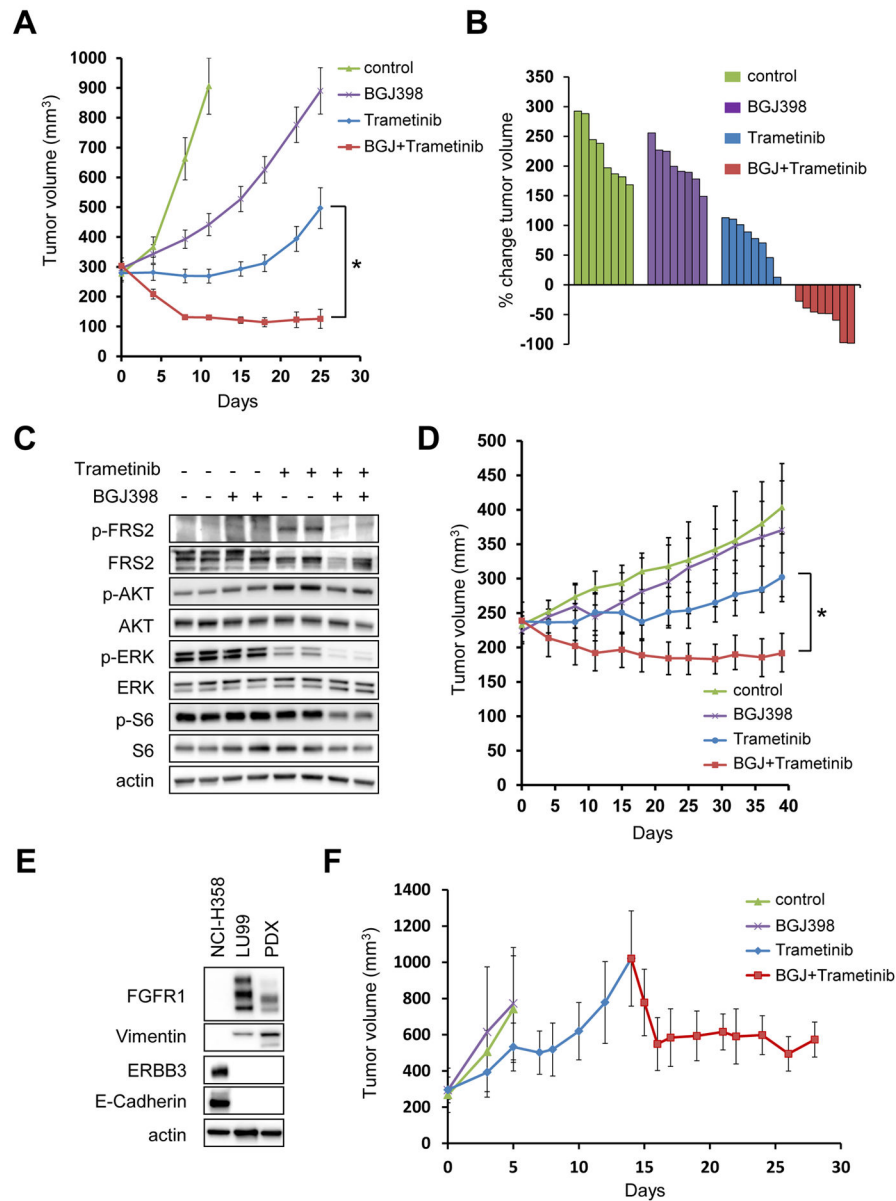
*KRAS* mutant cancer cell lines examined (raw data shown in (A) and Supplementary Fig. S8A). A paired Student's t-test was used for comparisons. (H) Induction of apoptosis by trametinib or the combination of trametinib with NVP-BGJ398 in mesenchymal-like *KRAS* mutant lung cancer cell lines. Raw data is shown in (D), (E), and Supplementary Fig. S8D. A paired Student's t-test was used for comparisons.

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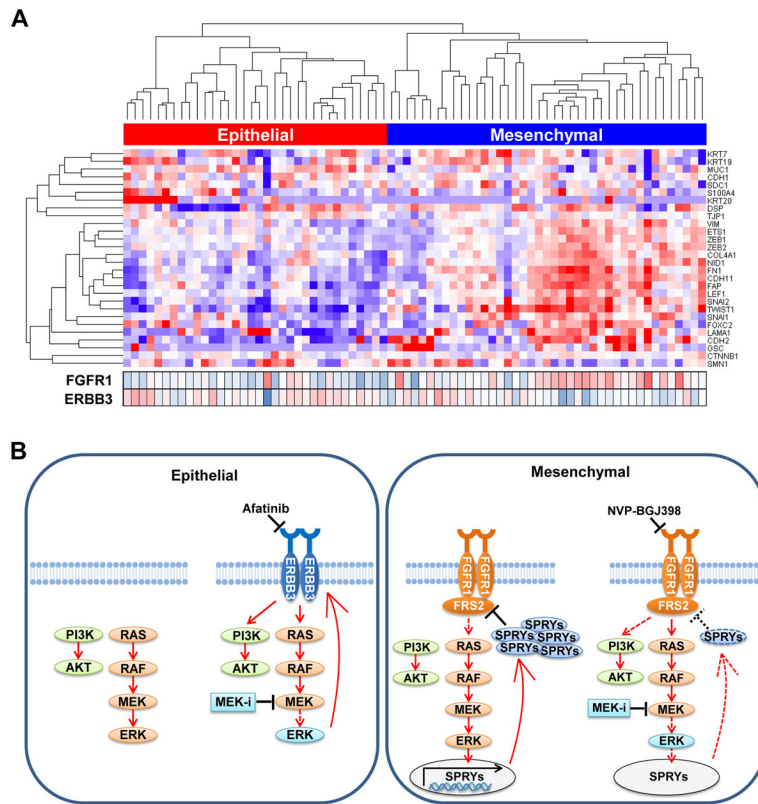


**Figure 6. The combination of an FGFR inhibitor and a MEK inhibitor leads to tumor regressions in mesenchymal-like *KRAS* mutant lung cancer in vivo**  
**(A)** LU99 xenografts were treated with the vehicle (control), NVP-BGJ398 15 mg/kg, trametinib 0.6 mg/kg, or the combination at the same doses. Drugs were administered once daily by oral gavage. Tumor volumes were plotted over time from the start of treatment (mean ± SEM). \**p* < 0.05 by Student's *t* test. **(B)** Waterfall plot showing the percent change in tumor volume (relative to initial volume) for individual LU99 tumors following 25 days of treatment. Note that data for control group was taken on day 11 due to their growth. **(C)** LU99 derived xenograft tumors from mice treated as indicated were lysed and immunoblotted with the indicated antibodies. **(D)** NCI-H23 xenografts were treated same as **(A)**. Tumor volumes were plotted over time from the start of treatment (mean ± SEM). \**p* < 0.05 by Student's *t* test. **(E, F)** Tumor regression in a patient-derived xenograft (PDX) by



FGFR and MEK inhibition. (E) PDX tumors implanted into NSG mice were lysed and immunoblotted with the indicated antibodies. Lysates from NCI-H358 and LU99 were used as control for epithelial and mesenchymal cells, respectively.

(F) PDXs were untreated (control), or treated with NVP-BGJ398 15 mg/kg, or trametinib 0.6 mg/kg (n=3 in each cohort). Once the average of the tumors became more than 1000 mm<sup>3</sup> in the trametinib cohort, the combination of trametinib and NVP-BGJ398 was started at the same doses as the single-agent cohorts. Drugs were administered once daily by oral gavage. Tumor volumes were plotted over time from the start of treatment (mean ± SEM).



**Figure 7. Expression of mesenchymal marker is associated with FGFR1 expression in patients with *KRAS* mutant lung adenocarcinoma**  
**(A)** Unsupervised hierarchical clustering of 75 *KRAS* mutant adenocarcinoma extracted from the TCGA dataset was shown using 28 genes listed as EMT related genes by Kalluri and Weinberg (35). Expression of FGFR1 and ERBB3 in each tumor was also shown in the bottom. FGFR1 expression was significantly higher in mesenchymal-like tumors compared to epithelial-like tumors ( $p < 0.001$  by Student's *t* test). **(B)** Proposed treatment strategies for the treatment of *KRAS* mutant lung cancer based on EMT status.