

Thematic Review Series: Lipoprotein (a): Coming of Age at Last

Structure, function, and genetics of lipoprotein (a)

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Abstract Lipoprotein (a) [Lp(a)] has attracted the interest of researchers and physicians due to its intriguing properties, including an intragenic multiallelic copy number variation in the *LPA* gene and the strong association with coronary heart disease (CHD). This review summarizes present knowledge of the structure, function, and genetics of Lp(a) with emphasis on the molecular and population genetics of the Lp(a)/LPA trait, as well as aspects of genetic epidemiology. It highlights the role of genetics in establishing Lp(a) as a risk factor for CHD, but also discusses uncertainties, controversies, and lack of knowledge on several aspects of the genetic Lp(a) trait, not least its function.—Schmidt, K., A. Noureen, F. Kronenberg, and G. Utermann. **Structure, function, and genetics of lipoprotein (a)**. *J. Lipid Res.* 2016. 56: 1339–1359.

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INTRODUCTION TO THE LIPOPROTEIN (a) TRAIT

Human lipoprotein (a) [Lp(a)] is a macromolecular complex in plasma that was first described in 1963 by the Norwegian physician Kåre Berg (1). Ever since its discovery, this enigmatic particle has intrigued basic researchers and clinicians due to its unknown physiological function and its association with atherosclerotic diseases, in particular coronary heart disease (CHD) [reviewed in (2)]. Lp(a) is composed of one molecule of a LDL-particle containing apoB-100 and one molecule of a large highly polymorphic glycoprotein named apo(a) (3–6). A characteristic feature of apo(a) is the presence of loop-like structures called kringles (7, 8). Kringle domains are triple loop structures stabilized by three internal disulfide bonds and are also present in other coagulation factors, such as plasminogen (*PLG*), prothrombin, urokinase, and tissue-type *PLG* activators (9–12). In contrast to *PLG*, the linker domain between kringles is glycosylated in apo(a). The apo(a) is synthesized by the liver (13). The two components of Lp(a) are covalently linked together by a disulfide bond

between apoB-100 of the LDL moiety and one of the kringle domains in apo(a) (4, 5, 14–16). The assembly of Lp(a) is believed to occur at the hepatocyte cell membrane surface (17), but other scenarios have also been proposed [reviewed in (18, 19)].

Lp(a) was originally described as a dichotomous (Lp+, Lp–) genetic trait (20), but it soon became evident that it is quantitative rather than qualitative in nature (21–23). Lp(a) plasma concentrations are highly heritable (24–28). The major locus controlling the Lp(a) concentrations is the *LPA* gene (MIM 152200; ENSG00000198670) on the reverse strand of chromosome 6q27 (29–31), which encodes the apo(a) component of Lp(a) (25, 26, 32–34). Close *LPA* orthologs are found in all apes and in Old World monkeys.

INTRA- AND INTER-POPULATION DIFFERENCES IN Lp(a) CONCENTRATIONS

Plasma concentrations of Lp(a) show remarkable variation between individuals that exceeds those of other plasma lipoprotein components by far. Such variation in Lp(a) levels exists not only among individuals within a population, but also between different human populations (35–37) and has been observed in nonhuman primates too (38, 39). Human Lp(a) concentrations range from <0.1 mg/dl to more than 200 mg/dl, thus exhibiting up to three orders of magnitude difference among individuals. Between populations, up to a 3-fold difference in their mean values is observed. On average, Africans have 2- to 3-fold higher Lp(a) plasma concentrations than Europeans and most Asian populations. No explanation has yet

Abbreviations: CAD, coronary artery disease; CHD, coronary heart disease; CNV, copy number variation; FH, familial hypercholesterolemia; fiber-FISH, fiber-fluorescence in situ hybridization; GWAS, genome-wide association study; IL-6, interleukin-6; KI–KV, kringles I–V; KIV-1–10, kringle IV types 1–10; LD, linkage disequilibrium; LDLR, LDL receptor; LoF, loss-of-function; OxPL, oxidized phospholipid; PD, protease domain; PFGE, pulsed-field gel electrophoresis; *PLG*, plasminogen; 5'PNRP, 5'pentanucleotide repeat polymorphism; qPCR, quantitative PCR; T2DM, type 2 diabetes mellitus; 1000G, 1000 Genomes Project.

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been found as to why this trait shows such extensive variation, but some of the underlying genetic variation has been elucidated.

STRUCTURE AND EVOLUTION OF THE HUMAN *LPA* GENE

The *LPA* gene is closely related to *PLG* from which it has evolved by duplications, deletions, and gene conversions, as well as point mutations (40). *PLG* is characterized by five different paralogous kringle domains [kringles I–V (KI–KV)], each present as single copies. The two genes diverged during primate evolution about 40 million years ago (41). Human *LPA* shares a high sequence homology (78–100%) to human *PLG* in both the untranslated and coding regions (41). In the human lineage, an expansion and differentiation of the KIV domain in *LPA* resulted in 10 different types of KIV domains, all specific in their amino acid composition, while KI–KIII were lost by deletion. In macaques and baboons, KV was also lost (42, 43). Further expansion of one of the KIV domains [KIV type 2 (KIV-2)] resulted in the multiallelic (1 to >40 copies) intragenic copy number variation (CNV) known as the KIV-2 CNV (Figs. 1, 2A). The other KIV encoding domains (KIV-1 and KIV-3 to KIV-10) are present only as single copies (44). All kringle copies are transcribed and translated, hence the KIV-2 CNV leads to a size polymorphism of the encoded apo(a) (45). Isoform sizes ranging from 300 to 800 kDa have been determined by SDS-PAGE (6), but these values are not accurate because of, for example, the anomalous mobility of glycosylated proteins in SDS-PAGE. Each KIV unit consists of two exons that are separated by a long intron, which varies in length depending on the KIV type it is found on. The short introns, which separate the KIV copies between the second exon of one KIV copy and the first exon of the next KIV copy, are much more highly conserved in size. The KV and protease domains (PDs) are composed of two and six exons, respectively (Fig. 2).

The cluster of *PLG* and *LPA* on chromosome 6 also harbors the *LPA*-like gene, *LPAL2* (also known as *APOARGC*; Ensembl:ENSG00000213071), which appears to be partially transcribed in the liver, but is subjected to nonsense-mediated decay (46).

STRUCTURE AND ASSEMBLY OF THE Lp(a) PARTICLE

apoB and apo(a) are present in Lp(a) in a molar ratio of 1:1, and apo(a) can be separated from the LDL-like moiety only by reductive cleavage (4, 5). Heterozygotes for two differently sized apo(a) isoforms have two distinct particles in plasma (47). The LDL moiety of Lp(a) is spherical and similar in lipid composition to LDL. The assembly of the Lp(a) particle occurs in two steps. The first is noncovalent docking of the KIV-5 to KIV-8 domains to the N terminus of apoB-100 [reviewed in (19)]. In the second step, the covalent binding of apo(a) to apoB occurs through the formation of a disulfide bond between the only unpaired

cysteine in apo(a) in KIV-9 [Cys1568, in the old nomenclature Cys4057) (16, 48)²] with Cys4326 in apoB (49, 50).

Images from atomic force microscopy suggest that apo(a) is attached to LDL at two sites with its N- and C-terminal domains (51). This proposed structure is, however, difficult to reconcile with the well-established assembly process. Small angle X-ray scattering suggests that apo(a) is placed above the surface and wrapped around the LDL moiety. It underwent a conformational change from a compact to an extended form upon binding to a lysine analog. A study using hydrodynamic techniques and electron microscopy concluded, however, that the bulk of apo(a) is extended away from the lipoprotein surface (52). This model is attractive because it allows for ready interactions of the floating N-terminal “tail” of apo(a) with potential ligands.

FUNCTION OF Lp(a)

To date, the physiological function of Lp(a) remains mysterious, even more so given the huge inter-individual variation of Lp(a) levels and considering high heritability of the traits. A splice site variant, first described by Ogorelkova et al. (53) in Austrians and later in Finns, with a minor allele frequency of about 5%, was recently brought to prominence as a frequent loss-of-function (LoF) variant in a Finnish population study (54). Notably, the large number of identified homozygotes for the variant had no clinical signs or recognizable deficits in that Northern European population. Evaluation of clinical data from 227 homozygotes or compound heterozygotes for two splice variants in *LPA* resulted in no indication for an increased mortality or morbidity. This led the authors to conclude that Lp(a) is of no functional importance (54). However, Lp(a) may well have functional properties that are not (anymore) needed in the environment of Finland or Europe in general. On the other hand, several of the previously reported or hypothesized functions of apo(a)/Lp(a) seem unlikely considering this genetic evidence. Nevertheless, these functions will be summarized in this review.

Several functions have been proposed for apo(a)/Lp(a) in vitro, which might explain its pathogenic potential as well. The structural components of the Lp(a) particle have led to the suggestion that it may serve as a link between the cholesterol transport and the fibrinolytic system and may modulate blood clotting and fibrinolytic processes (55). Some studies have shown that Lp(a) and apo(a) indeed have an effect on many steps involved in coagulation and

²In accordance with Human Genome Variation Society guidelines, for positioning of bases and amino acids, we use the transcript LPA-001 ENST00000316300.9 of ENSG00000198670, the *LPA* gene, as it is accessible as the current human reference sequence. Due to the variable number of KIV-2 copies, the actual position of bases in individual *LPA* alleles will vary. The first cloned apo(a) cDNA (41) contained 37 KIVs and, thus, 29 KIV-2 copies in contrast to the six KIV-2 repeats represented in ENSG00000198670. Accordingly, older terminology for bases and amino acids often differs from the new terminology. To facilitate identification in the literature, we additionally state the older designations in some cases.

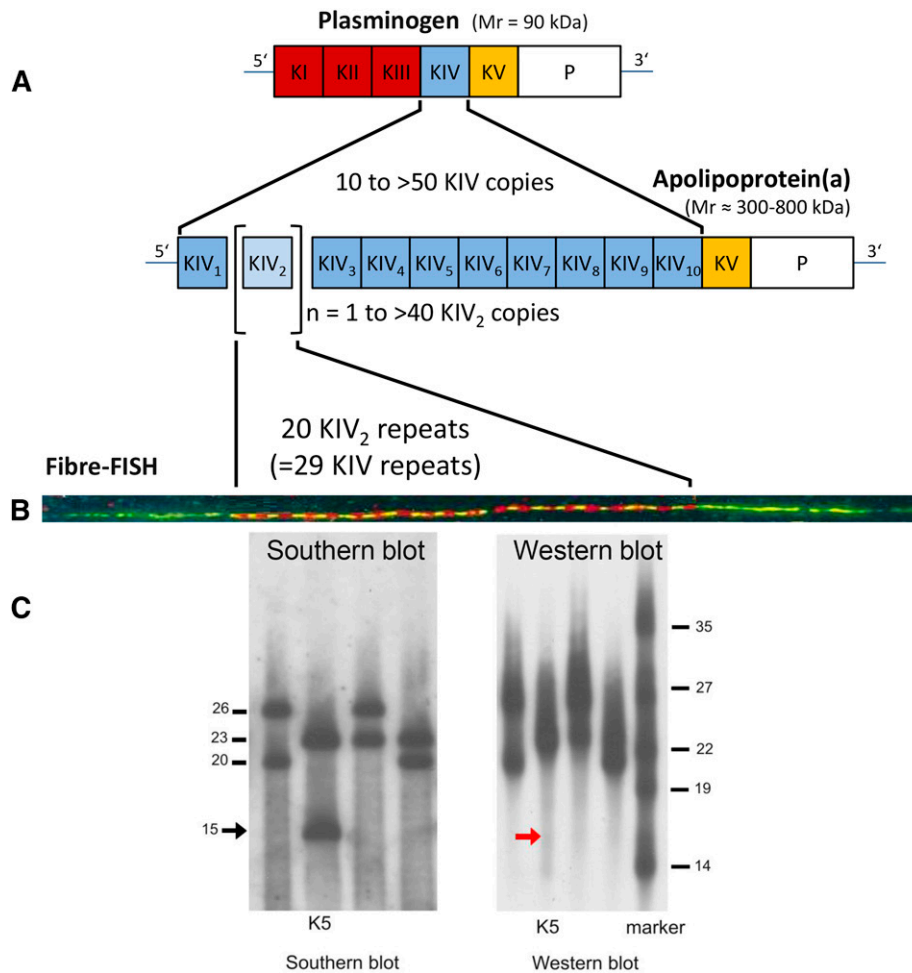


Fig. 1. A: Schematic illustration of the structural homology between *PLG* and apo(a). *PLG* contains five different kringle structures (KI–KV) and a PD. The apo(a) is missing KI to KIII, but has a variable number of KIV copies. The minimum number is 10 (KIV-1 to KIV-10, including one copy of KIV-2). An individual can have more than 40 KIV-2 copies, which is the variable part of apo(a). Adapted and reprinted with permission of (2). B: Illustration of a two-color fiber-FISH image of the *LPA* KIV-2 domain from a 20-repeat allele using 4 kb (red) and 1.2 kb (green) intron probes, which enable the KIV-2 repeat number to be counted [for details see (139)]. C: Analysis of four samples from one family by PFGE/Southern blotting and Western blotting. This analysis demonstrates a null allele by simultaneous analysis of DNA by PFGE/Southern blotting (left) and plasma by Western blotting (right) from the same individuals. The arrow marks the absence of a signal corresponding to the allele with 15 KIV-2 repeats on the Western blot of the individual marked as K5. Figure adapted and reprinted with permission from (200).

fibrinolysis cascades under *in vitro* conditions (56, 57). While apo(a) itself lacks fibrinolytic activity, it is reported to prevent the conversion of *PLG* to plasmin by inhibiting activators, such as streptokinase, urokinase, and tissue type *PLG* activator (t-PA) [reviewed in (19)]. However, genetic studies in several tens of thousands of individuals found that neither Lp(a) concentrations nor genetic variants associated with high Lp(a) concentrations were connected with the risk of venous thrombosis or venous thromboembolism (58, 59). The role of Lp(a) in coagulation is reviewed elsewhere in this Thematic Review series (57).

Another hypothesis is that Lp(a) is involved in wound healing and tissue repair (60). Lp(a), by interacting through apo(a), is recognized by different macromolecules and receptors present at the surface of macrophages, endothelial cells, fibroblasts, and platelets (61–63). Binding of Lp(a) to endothelial cells and smooth muscle cells

is enhanced manifold by the protein, defensin (64), which is released by neutrophils. *In vitro* studies have shown an interaction between Lp(a) and components of the vascular wall and extra cellular matrix, including fibrin, fibronectin, glycosaminoglycans, proteoglycans, (65, 66), and developmental arteries and neural crest epidermal growth factor (EGF)-like protein (DANCE) [FIBULIN 5 (FBLN5)] (67). Lysine binding sites present in the kringle domains (KIV-6 to KIV-10) of apo(a) (19) partially mediate these interactions. Based on immunochemical studies (68), it is suggested that delivery of cholesterol to the sites of injury and wound healing may occur through binding of Lp(a) to fibrin (60). From structural homology of its domains, apo(a) might also possess growth factor-like properties as different growth factors, including the hepatocyte growth factor, which has evolved from an ancestral kringle containing serine protease (69).

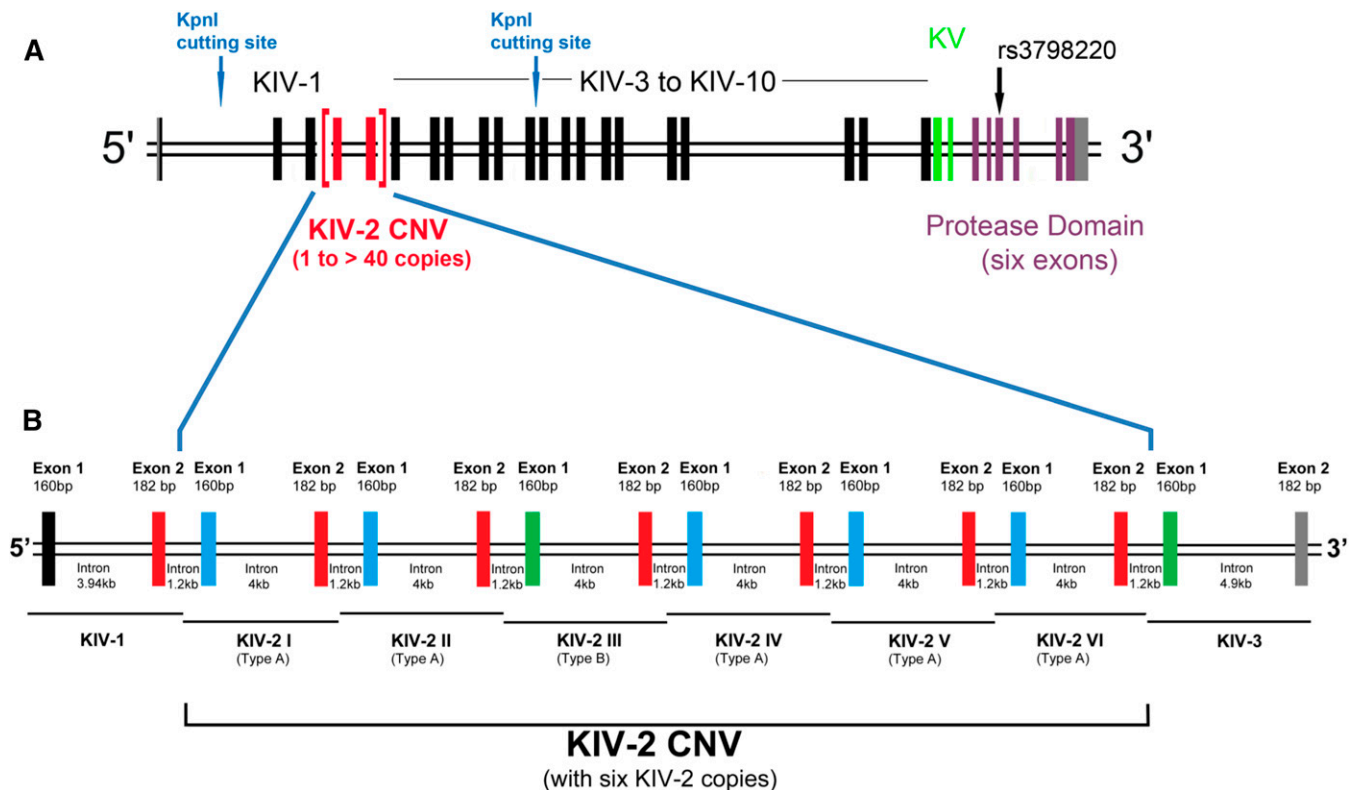


Fig. 2. A: Exon-intron structure of different domains of the human *LPA* gene. Each KIV domain (KIV-2 in red, KIV-1 and KIV-3 to KIV-10 shown in black) and KV (green) consists of two exons, while the PD (purple) has six exons. The location of the *KpnI* cutting sites and the nonsynonymous SNP, rs3798220, is shown (137, 157). Modified from (201). B: Exon-intron structure of the KIV-2 domain and directly flanking KIV-1 and KIV-3 domains. The KIV-2 CNV is shown according to the *LPA* reference sequence (ENSG00000198670; GRCh38), which contains six KIV-2 copies. Each KIV-2 copy has a size of 5.5 kb and consists of two exons separated by a long intron of 4 kb. A short intron of 1.2 kb separates the two KIV-2 copies. Exon 2 (182 bp) of each KIV-2 copy is identical (red). Exon 1 (160 bp) is of three different types: type A (shown in blue), type B (shown in green), and type C (not depicted here). Types A, B, and C of exon 1 are differentiated by synonymous mutations. The third KIV-2 copy in the *LPA* reference sequence (ENSG00000198670; GRCh38) contains exon 1 of type B and is shown here accordingly. Note that the type B exon 1 of KIV-2 is 100% identical in its nucleotide sequence to KIV-3 exon 1 (both shown in green), and KIV-2 exon 2 has 100% identical nucleotide sequence as KIV-1 exon 2 (shown in red). This figure is not drawn to the scale.

Several interleukin-6 (IL-6)-responsive elements have been identified in the promoter region of *LPA* (70), and some studies have suggested that apo(a)/Lp(a) could act as an acute phase protein (71, 72). However, opposite effects have also been reported (73, 74). These inconsistent findings might be explained by opposing effects of different cytokines on apo(a) expression (75). The increased expression of apo(a) induced by IL-6 found in monkey hepatocyte cultures (75) was recently supported by studies demonstrating higher Lp(a) in individuals with increased IL-6 levels and the Lp(a)-lowering effect achieved by blockade of the IL-6 receptor through the monoclonal antibody, tocilizumab (76). Furthermore, utilizing reporter gene assays, this study confirmed a specific effect of IL-6 on apo(a) expression localized to the IL-6 responsive element at c.-46 to c.-40 in *LPA*.

Newer studies suggest that Lp(a) functions as a preferential carrier of oxidized phospholipids (OxPLs) and is a “sink” for OxPLs (77). It was suggested that the atherogenic potential of Lp(a) may be partially due to the observed correlation of Lp(a) levels with these pro-inflammatory OxPLs (78, 79). A lysine binding site present in the KIV-10 domain of apo(a) has been suggested to

mediate the interaction between OxPLs and apo(a)/Lp(a) (43).

Whether the PD in *LPA* is completely inactive is still not resolved. The cleavage site generating active plasmin has mutated during the evolution that led to human *LPA*. Furthermore, the PD of *LPA* acquired a 27 amino acid long deletion. This predicts that it cannot be activated to plasmin. However, the amino acids forming the catalytic triad in plasmin are conserved in human apo(a), and some studies have reported that apo(a) has retained a proteolytic activity (80, 81).

Until recently, the absence or very low concentrations of Lp(a) in plasma had not been recorded to be associated with any deficiency syndrome. Recent studies, however, have reported the association between very low Lp(a) concentrations and an increased risk of type 2 diabetes mellitus (T2DM) (82–85).

METABOLISM OF Lp(a)

LPA is mainly transcribed in the liver (13). Significant amounts of apo(a) mRNA were consistently detected in

hepatocytes from humans, baboons, and macaques (41, 42, 86, 87). Minor amounts of apo(a) mRNA have also been discovered in testes, brain, lung, and adrenal and pituitary glands from humans and monkeys (41, 42). Illegitimate expression of apo(a) mRNA in lymphocytes has been used in human genetic studies to circumvent the problem posed by the tissue expression pattern (53). However, it has been elegantly demonstrated that apo(a) on Lp(a) derives from the liver only, as apo(a) isoform sizes change to the donors' genotype after liver transplantations (13).

The site of Lp(a) assembly from apo(a) and LDL(-like) particles remains controversial [reviewed in (18, 19)]. Different experimental approaches have resulted in different scenarios. No apo(a)/apoB complexes could be demonstrated in the endoplasmic reticulum or Golgi apparatus from HepG2 cells transfected with human *LPA* constructs, even when the exit of proteins from the Golgi was blocked, but such complexes were found in the cell media, suggesting an extracellular assembly (88) or assembly at the hepatocyte surface (17). In contrast, it has been concluded from kinetic turnover data that assembly occurs intracellularly (89). These contradictory findings have not yet been resolved.

The site and pathway of Lp(a) catabolism have not been identified and still remain a mystery. The role of the LDL receptor (LDLR) for the removal of Lp(a) from plasma remains unclear, although binding of Lp(a) to the LDLR (90, 91) and other members of the LDLR family (92) have been demonstrated. It has also been shown in vitro that Lp(a)-LDL complexes are recognized by the LDLR (91). Turnover studies have shown that the biological half-life of Lp(a) and LDL are similar (93), but one study concluded that the fractional catabolic rate of Lp(a) is 30% lower than that of LDL (90). Three independent studies demonstrated that differences in Lp(a) plasma concentrations are not due to differences in catabolism, but rather are due to different production rates (93–95). Fractional catabolic rates for Lp(a) with short and long isoforms were not significantly different (95). Conclusions on the role of the LDLR from in vivo turnover studies were controversial. Krempler et al. (90) concluded that LDL and Lp(a) are cleared by the same mechanism. However, turnover studies in homozygous familial hypercholesterolemia (FH) patients by Rader et al. (95) convincingly demonstrated that the LDLR is not physiologically important for Lp(a) catabolism. Studies in mice suggested that the clearance of Lp(a) is mediated by apo(a) and not the LDL moiety of Lp(a) (96). However, because mice do not have a gene for apo(a) and no Lp(a) in plasma and, hence, presumably no coevolved removal system, these studies have to be viewed with some caution. In view of the turnover data in FH patients, the elevated Lp(a) concentrations observed in patients with FH due to defective LDLR (97–102) and PCSK9 gain-of-function mutations (103) need an explanation. Though some studies (104, 105) did not confirm the earlier observations, these observations have been supported by more recent large studies, which also confirmed that Lp(a) is an independent risk factor for CVD in FH patients (106, 107). To explain the discrepant results from FH family studies, it has

been suggested that the effect on Lp(a) levels depends on the type of mutation in the LDLR (108) or that other mechanisms are causing high Lp(a) concentrations in FH families (102, 104). A further conundrum is that PCSK9 inhibitors, which act through the LDLR pathway, can lower Lp(a) levels by 20–30% (109), whereas HMG-CoA inhibitors do not (110–112). Hence, which, if any, of the reported receptors acts as a binding site in vivo and removes Lp(a) from plasma is presently unclear.

The finding that Lp(a) levels are strongly influenced by chronic kidney disease (113, 114) and observations such as the presence of apo(a) fragments in urine (115, 116), as well as an arteriovenous difference in Lp(a) concentrations in the renal circulation (117), suggest that the kidneys may play a major role in Lp(a) catabolism.

OCCURRENCE OF *LPA*/Lp(a) IN OTHER SPECIES

Apart from humans, presence of a polymorphic protein having immunochemical properties and molecular mass similar to human apo(a) and/or a gene homologous to human *LPA* has been demonstrated in catarrhines (i.e., Old World monkeys and apes) (39–42, 118, 119). Today the corresponding genes have been partly sequenced and are accessible in online databases (Western common chimpanzee, ENSPTRG00000018770; Western lowland gorilla, ENSGGOG00000016065; Sumatran orangutan, ENSPPYG00000029841; olive baboon, ENSPANG00000014232; rhesus macaque, ENSMMUG00000016201). Apart from the above mentioned species, an apo(a)-like protein has also been detected in the European and African hedgehog (120, 121). Unlike primate apo(a), it is composed of multiple diversified tandem repeats of domains, which are homologous to *PLG* KIII, but lacks the PD and other kringles (121). Phylogenetic analysis and sequence comparisons of primate and hedgehog apo(a)/*LPA* indicate that both genes evolved independently, which is an example of convergent evolution at the molecular level (40). Reports on the occurrence of Lp(a) in a New World monkey species (122) and in guinea pigs (123) and derived hypotheses have not been confirmed and are considered to be based on immunochemical artifacts (39, 121).

GENETICS OF Lp(a)

Heritability of the Lp(a) trait

The heritability (h^2) of the quantitative Lp(a) trait obtained from twin, family, and sib-pair studies is exceptionally high (70 to $\geq 90\%$) in all populations studied so far from Europe, Asia, and Africa (24–28, 32, 124–127). This genetic control is mainly exerted through the *LPA* locus. *LPA* alleles are expressed codominantly. Gene loci on chromosome 6q, other than *LPA*, explaining an additional small fraction of the variation in Lp(a) concentrations have been postulated (128), but have not been confirmed. Further loci identified to be associated with Lp(a) levels in some other linkage studies include regions on chromosomes

13q22-31, 11p14-15, and 1q23 (129, 130), but these have not been confirmed, either.

Genetic polymorphisms within *LPA*

KIV-2 CNV. CNV is defined as changes of DNA segments typically more than 1 kb in size and present at a variable number in comparison with a reference genome (131). Copy number variants can involve deletions, insertions, duplications, and higher copy numbers, the latter termed as multi-allelic CNVs (131). By this definition, the KIV-2 CNV is a multi-allelic CNV harbored by the *LPA* locus. It was one of the first multiallelic CNVs to be described and extensively studied before the term was coined. The number of KIV-2 copies varies from 1 to >40 and the KIV-2 CNV exhibits >95% size heterozygosity in most populations (Fig. 1). Each KIV-2 copy has a size of ~5.5 kb and consists of two exons (Fig. 2). The second exons of all the KIV-2 copies are 100% identical in their nucleotide sequence. The first exons are also identical in their amino acid sequence among each other, but can differ by three synonymous SNPs (41, 132) and have been classified accordingly as types A, B, and C. It is noteworthy that the KIV-2 exon 1 type B is completely identical to the first exon of KIV-3, and KIV-2 exons 2 share complete sequence identity with the second exon of KIV-1 (Fig. 2B), which probably has resulted in an erroneous allocation of SNPs in public databases (see below). The human reference sequence of *LPA* (ENSG00000198670; chromosome 6: 160,531,483-160,664,259 reverse strand. GRCh38:CM000668.2) includes six KIV-2 copies, one of which is of type B; all others are of type A. The apo(a) mRNA cloned by McLean et al. (41) in 1987, however, included 28 KIV-2 repeats, four among them of type B. Often the assignment of amino acids in apo(a) is still based on this longer molecule, and a nomenclature counting kringles from 1 to 37, with kringles 2 to 29 being the KIV-2 copies, is found in older publications on Lp(a)/apo(a), which has also resulted in some confusion.

The high internal homology in the KIV-2 CNV also extends to its introns (133). The underlying reason for this high degree of homology is still unclear. It has been suggested that this nearly perfect sequence identity of the KIV-2 units implies a recent evolution (41, 133, 134) or frequent expansions and contractions of the locus (41). Another tempting hypothesis is that purifying selection, in the sense that deleterious mutations are removed from the gene pool as a type of natural selection, is acting on the KIV-2 domains, but a high degree of sequence similarity can also result from frequent gene conversion (133), which can homogenize the sequences of the duplicated regions (135).

The frequency distributions of different sized *LPA* alleles are heterogeneous across different ethnic groups. In Asians, the frequency distribution of KIV-2 CNV sizes is shifted toward longer alleles as compared with Africans and Europeans, with the latter two showing rather similar size distributions (35–37) (Fig. 3). A further discussion of apo(a) in different ethnic groups can be found in this Thematic Review series (136).

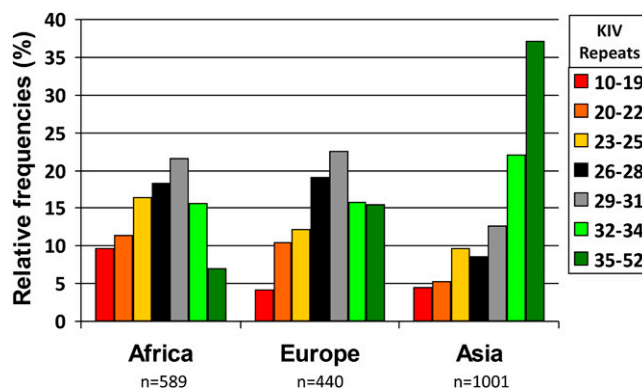


Fig. 3. Frequency distribution of KIV CNV alleles in three continental groups. Short repeats are more frequent in Africans and long repeats in Asians. Data are from (24, 32, 36) and unpublished observations.

Different techniques have been employed to determine the size of the KIV-2 CNV, which include pulsed-field gel electrophoresis (PFGE)/Southern blotting of genomic DNA (25, 137), quantitative PCR (qPCR) (138), Western blotting of plasma using apo(a)-specific antibodies, and fiber-fluorescence in situ hybridization (fiber-FISH) (139) (Fig. 1). While PFGE allows the determination of the number of KIV-2 copies in both alleles of an individual, qPCR can only give an estimate of the sum of KIV-2 copies on both alleles of the genomic sample. Fiber-FISH is the most precise technique available to determine the size of the KIV-2 CNV, whereby the number of KIV-2 copies on individual alleles can be counted under the fluorescence microscope. However, this method is not feasible for handling larger sample sizes, but served to define standards used in large population studies (138, 139). Both PFGE and fiber-FISH demand a high quantity of well-preserved nonfragmented DNA and, hence, are limited by conditions of sample collection and technical demand. Western blotting has been widely used to determine apo(a) isoform size and associated Lp(a) concentrations (see below for the latter) and, therefore, provides phenotypic information. Compared with PFGE, this method has advantages and drawbacks. Expressed alleles, i.e., alleles producing apo(a), are recognized and the amount of Lp(a) contributed by each allele can be determined in heterozygotes with two expressed alleles and exhibiting double band phenotypes. In these individuals, the CNV genotype can also be directly deduced from the phenotype. However, the frequencies of double band phenotypes reported in the literature vary considerably and range from 30 to 90% [see (136) in this Thematic Review series]. The reason for this is twofold. First, there are technical limitations. The number of double band phenotypes will depend on the sensitivity of the blotting system and the resolution of the gel system. Both will vary between studies. Second, it will depend on the genetic architecture of the Lp(a)/apo(a) trait in the population under study. As outlined below, populations differ in allele-associated Lp(a) concentrations. Hence, in populations where long alleles are associated with higher concentrations, e.g., Africans, more

double band phenotypes are expected to be detected. Given an optimal detection system (that does not exist), the “true” number of double band phenotypes to be expected in a population will depend on the number of LoF alleles (null alleles) in that population, and that is presently unknown. Further, it is presently difficult to recognize truncated isoforms or splice variants at the protein level, if no prior knowledge from molecular analysis exists. PFGE on the other hand allows for determination of the CNV genotype, but provides no phenotypic information. The application of both methods simultaneously provides the most comprehensive information.

Phenotypic significance of the KIV-2 CNV

CNV is implicated as a major driving force during evolution, especially within the human and great ape lineage (140–143). Additional copies of genes provide the redundancy that allows some copies to acquire new or modified functions and diverse expression patterns, while the original function of the gene is maintained by other copies (144–146). However, CNV of a gene can also have pathological consequences, and changes in the copy number of genes have been related to autism, epilepsy, schizophrenia, Alzheimer’s and Parkinson’s disease, congenital anomalies, intellectual disability, and various other common and rare diseases (147).

While no function of the KIV-2 CNV has been established so far, kringle domains, in general, are known to be independent structural (148, 149) and functional domains (150–152) and their main function lies in the interaction with other proteins (153). Kringle domains of different proteins have the same triple loop structure, but are otherwise diversified and consequently bind different proteins and ligands (48, 63, 150, 151). So far, the identified ligands for the KIV-2 domain include the extracellular matrix protein, DANCE/FIBULIN 5 (67), and β 2-glycoprotein I (154). However, the *in vivo* relevance and the functional implications of these interactions remain unclear.

Whether the marked differences in the KIV-2 CNV allele size distributions that are observed across populations (36, 37) are related to any functional effect of *LPA* is currently unclear. As the KIV-2 CNV is in the coding region, it might not be neutral to selection. Hence, it is tempting to speculate that natural selection has left its mark on the KIV-2 allele size distributions in populations. A strong argument for a significant function and possible selective advantage of the CNV is its presence, already, in nonhuman primates. However, where it has been studied, e.g., chimpanzees (39), baboons (38), or rhesus monkeys (155), the average size of apo(a) isoforms is shorter than in human populations. On the other hand, differences in allele frequencies might as well have resulted from neutral effects such as genetic drift alone. However, such questions cannot be addressed in the absence of data on the mutation rate and mode of evolution of this CNV. Currently, very little is known about the evolutionary dynamics of the KIV-2 CNV.

It has been observed in different studies that certain SNP haplotypes are associated with a narrow range of

KIV-2 sizes (156, 157), possibly indicating that size changes in the KIV-2 CNV are mostly of comparatively restricted magnitude per mutation event. On the other hand, a size change of the KIV-2 CNV in one segregating allele described in a family study by Lackner, Cohen, and Hobbs (158) was rather large, with a loss of nine KIV-2 units, and was not accompanied with an exchange of markers up- and downstream of the CNV. These observations indicate that sister chromatid exchange and complex gene conversion events are involved in changing the number of KIV-2 repeats.

LPA/apo(a) size polymorphism and inverse correlation with Lp(a) levels

Though of unknown physiological or evolutionary function, the KIV-2 CNV of human *LPA* is of clinical interest due to the causal relationship between the number of KIV-2 repeats and Lp(a) levels, which in turn are a risk factor for CHD at elevated concentrations (159–162). Short KIV-2 CNV alleles have been shown to be associated with an increased risk for CHD in some populations (163–167).

An inverse correlation of CNV length with Lp(a) levels has been demonstrated in almost all analyzed populations [reviewed in (2)] (Fig. 4). Underlying the inverse correlation are differences in the processing of apo(a) isoforms during transit through the secretory pathway from the hepatocytes. Their retention time in the endoplasmic reticulum and the degree of presecretory degradation correlate with isoform size; hence, shorter apo(a) isoforms are secreted more efficiently than longer ones (88, 168, 169). It should be emphasized that these experiments have established that the association of Lp(a) plasma levels with KIV-2 CNV size reflects a general causal relationship. In line with these results from cell culture experiments, turnover studies in humans have demonstrated that inter-individual differences in Lp(a) levels reflect size-dependent synthesis rates of apo(a) isoforms rather than the rate of Lp(a) catabolism (93, 95).

Population studies have shown that the inverse correlation is far from linear and varies in strength depending on the population. In general, it is weaker in populations of African descent than in Asians or Europeans (24, 35, 36, 170, 171), explaining 61–69% of the variance in Lp(a) levels in populations of European descent, but only 19–44% in African populations (24, 35, 36, 170, 172–174). Furthermore, differences in KIV-2 allele frequency distributions alone do not explain the vast differences observed in Lp(a) levels across populations. In general, a large variation in Lp(a) levels is observed for isoforms of the same size (94, 175). Hence, Lp(a) concentrations are not determined entirely by the KIV-2 CNV.

The Lp(a) concentrations associated with particular *LPA* alleles can be assessed experimentally when apo(a) isoform size is determined by SDS agarose gel electrophoresis followed by immunoblotting (Western blotting). Here, a (semi-)quantitative analysis of the blot by densitometry allows one to allocate the relative proportion of the total plasma concentration in an individual to a specific *LPA* allele, thus determining allele-specific Lp(a)

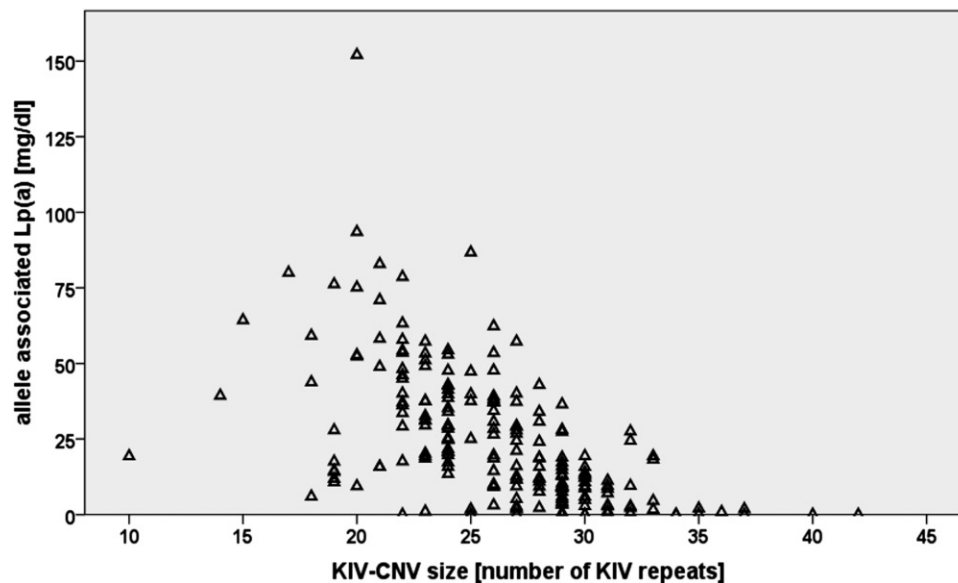


Fig. 4. Inverse correlation of KIV-2 repeat length with Lp(a) plasma concentration. The apo(a) allele-associated Lp(a) concentrations were determined in individuals from an African population (Gabonese Bantu) by measuring total Lp(a) concentrations by ELISA and assigning the appropriate fraction to each of the 194 alleles by densitometric evaluation of immunoblots. Allele-associated levels are plotted against the number of KIV repeats. The figure demonstrates large differences in Lp(a) levels for KIV alleles of the same size and presence of short alleles with low Lp(a) concentrations. Data are from (24).

concentrations (176) (Fig. 1). However, without prior knowledge of the actual KIV-2 CNV size of both alleles, neither can nonexpressed alleles be identified nor can samples with a single band in the Western blots be evaluated, as they could either be size homozygotes or carriers of one null allele. Consequently, a combination of genotyping of the KIV-2 CNV and phenotyping for apo(a) expression allows the most reliable assignment of allele-associated (or isoform-associated) Lp(a) concentrations.

Sequence variation in *LPA* affecting Lp(a) levels

As mentioned before, *LPA* is the major gene controlling the Lp(a) trait and explains 70–90% of the variance in Lp(a) levels (24, 26). However, the KIV-2 CNV alone explains only a considerably smaller fraction (19–77%) of the variation in Lp(a) concentrations depending on the population and methods used (35, 36, 173, 177). Many studies aimed at identifying sequence variation in the *LPA* gene, other than the KIV-2 size polymorphism, have been conducted in an effort to explain the fraction of variation in Lp(a) plasma concentrations not explained by the KIV-2 CNV. Sequence variations associated with Lp(a) levels have been identified in different regions of *LPA*, including the promoter. However, it should be kept in mind that association does not mean causality. Many reported variants only tag the underlying causal genetic variation (e.g., KIV-2 CNV) in *LPA* affecting the Lp(a) levels. A causal effect on Lp(a) levels has only been experimentally shown for a few variants, and for some other variants, this appears to be likely due to their type of mutation. In this review, we concentrate on variants for which a causal mechanism has either been described or appears plausible, and those which have been described extensively in

the literature. Several of these SNPs explain, either alone or in combination, parts of the variation in Lp(a) levels between populations (53, 178, 179). As will be discussed, differences in the nature of the association of SNPs and KIV-2 CNV sizes between populations might contribute to this. Other SNPs might just discriminate the ethnic ancestry of *LPA* alleles and thus explain a large part of population differences in Lp(a) concentrations, but without suggesting or identifying the underlying causal variants (180).

The 5' pentanucleotide repeat polymorphism

A repeat polymorphism of the pentanucleotide sequence TTTTA [5' pentanucleotide repeat polymorphism (5'PNRP)] is found in the promoter region at –1373 upstream of the transcription start site of *LPA* (70). Alleles with four to twelve repeats have been reported. However, alleles with eight repeats are the most frequent in all populations (156, 175, 181). This polymorphism has been repeatedly shown to be associated with Lp(a) levels (175, 181–183) and explains, in a statistical sense, 3–14% of the variability in Lp(a) levels in Europeans (181). Higher Lp(a) levels have been reported for alleles harboring a lower number of pentanucleotide repeats in Europeans, but this association was not found in Africans (181). Also in Europeans, alleles with 10 or 11 repeats were found to be in significant linkage disequilibrium (LD) with short KIV-2 CNV alleles (175) and were associated with lower Lp(a) levels in Europeans (175, 181), which contrasted with the general inverse correlation between the KIV-2 CNV size and Lp(a) levels. However, in vitro analysis of the promoter activity for different 5'PNRP sizes has suggested that this polymorphism is not causally associated with Lp(a), but rather in LD with unknown causal sequence

variation in *LPA*, as no differences in the promoter activities were observed for constructs carrying a different number of PNRP repeats (184, 185).

SNPs in the 5' region of *LPA*

In addition to the 5'PNRP, the effect of SNPs in the 5'-flanking region of *LPA* on Lp(a) concentrations has been investigated. In particular, the two SNPs, rs1853021 (c.-49T>C, traditionally +93 C/T) and rs1800769 (c.-21G>A, traditionally +121 G/A), were identified (186, 187), which subsequently have been found to be associated with Lp(a) levels. For rs1853021, a C to T substitution introduces an alternative start codon (ATG). In vitro, this leads to a 60% lower translational activity for the rs1853021T allele, as compared with the wild-type rs1853021C and results in lower Lp(a) levels (183, 186–188). In sub-Saharan Africans, such an effect on Lp(a) levels has been reported, with variant carriers exhibiting 50–60% lower Lp(a) levels than expected (189). In contrast, no such association was found in Europeans, which might be explained by LD with long KIV-2/low Lp(a) alleles, thus masking any possible effect (189). For rs1800769, higher Lp(a) levels in vivo (156, 178, 183, 190) and a higher transcriptional activity in vitro (183) have been reported for the variant allele. In African Americans, this promoter variant was strongly associated with intermediate KIV-2 CNV sizes, while for European Americans it was more frequent on longer alleles, but in both populations an increase of CNV-size-adjusted Lp(a) levels was observed for the variant allele, which consequently could explain, in part, the higher Lp(a) concentrations observed in Africans (178). In Mexican Americans, however, the effect of the variant was masked by its LD with longer KIV-2 CNV alleles (191). Though the first study was conducted in dialysis patients, rs1800769 again illustrates the relevance of both ancestry and adjustment for KIV-2 CNV size in studies on Lp(a) concentrations.

In Europeans, variations in an enhancer region within a DNase I-hypersensitive site 20 kb upstream of *LPA* (192) have been shown to affect the activity of reporter-gene constructs either positively (rs9347440; 2.5-fold increase) or negatively (rs7758766 and rs7760010, 0.7- and 0.6-fold activity, respectively) (193). Again, LD with KIV-2 CNV size was observed, but effects remained detectable after correction for allele size, with rs9347440 being associated with 70% higher and rs7760010 with 40% lower Lp(a) concentrations than expected (193).

SNPs in other regions of *LPA*

Further studies have investigated SNPs in other coding and noncoding regions of the *LPA* locus. SNPs in the coding sequences of KIV-6 to KIV-10, with population-specific distributions in Africans and Europeans, have been studied by Ogorelkova et al. (179). Nonsynonymous substitutions in KIV-6 (rs200561706; p.Ser1193Phe) and in KIV-8 (p.Gly1393Arg, without rs number so far) were found to be associated with significantly lower Lp(a) levels in Africans (179). In contrast, a nonsynonymous substitution (p.Arg1508Trp) in KIV-9 (rs140720828), which has a frequency of 8% in Khoi San from South Africa, resulted in

significantly increased Lp(a) levels. Residing in KIV-8, rs41272110 (p.Thr1399Pro; or Thr3888Pro) has been found to be associated with lower than expected Lp(a) levels in Europeans by several studies (178, 190), though with an inconsistent result to the study by Ogorelkova et al. (179). All these results were reported for Lp(a) levels corrected for KIV-2 CNV size.

The coding region of the KIV-10 harbors a nonsynonymous SNP (rs1801693), which results in a change of methionine to threonine (p.Met1679Thr) (194). No functional significance of this SNP has been reported (195), but it has been shown to be in LD with the KIV-2 CNV (195) as well as SNPs in the 5'-flanking region of *LPA* (156) and, because it was described early, it has been investigated extensively in studies on the genetic architecture of *LPA*.

Two other SNPs in *LPA* that have been extensively investigated include rs3798220, a nonsynonymous SNP (p.Ile1891Met, also often referred to as Ile4399Met) located in the protease-like domain of *LPA*, and rs10455872, which is located in the long intron of the KIV-7 domain. These two SNPs are associated with high Lp(a) concentrations and together explain about 36% of variation in Lp(a) levels in Europeans. Both are associated with short KIV-2 CNV alleles (157, 196, 197), which suggests that the association with high Lp(a) is due to LD with the CNV size. However, while the causal effect of the CNV size is not mediated by expression levels, for the rs10455872 variant allele higher mRNA levels have been reported (198).

Non-expressed apo(a) alleles (“null alleles”), i.e., where no isoform is detected by immunoblotting for the corresponding *LPA* allele (36) (Fig. 1), are found in all populations studied so far, but seem to be more frequent in Europeans than in other populations. Null alleles are distributed over the whole size range of the KIV-2 CNV (36). For some null alleles, the genetic basis has been revealed, and functional studies have been performed. This includes a +1 donor splice site mutation (rs41272114) in the intron of KIV-8, which reaches frequencies of up to 5% in individual populations from Europe and South Asia, and even up to 18% in South Americans (53) [data from the 1000 Genomes Project (1000G)] (199). The substitution, c.4289+1G>A, results in a truncated apo(a) isoform (53). This variant has recently been rediscovered in a large study from Finland in a search for low frequency LoF variants by exome sequencing (54). Other variants associated with null alleles were discovered in the KIV-2 CNV (132, 200) (see below).

Sequence variation within the KIV-2 CNV

Comparatively little is known about sequence variation within the KIV-2 CNV. Up to now, this region of the *LPA* gene has been left out in many studies aimed at screening the *LPA* domains for sequence variation, supposedly due to technical limitations posed by the high degree of intradomain homology, which also still provides challenges for the large scale resequencing projects like the 1000G (199).

Considering the sheer length of the sequence composing the KIV-2 CNV, it might be expected that mutations accumulate in this region. From the ATG start codon to

the 3'UTR and excluding the KIV-2 CNV, *LPA* encloses 99.7 kb. This correlates to the sequence length of a KIV-2 CNV with approximately 18 KIV-2 repeats. Hence, *LPA* alleles with at least 18 KIV-2 are almost 50% composed of KIV-2 CNV sequence. Likewise, all the nonrepetitive coding sequences in *LPA* sum up to 4,070 bp, which approximately equals the coding sequences of only 12 KIV-2 repeats. As most *LPA* alleles harbor more than 21 KIV repeats (35, 36), the KIV-2 CNV encodes the bulk of amino acids found in most apo(a) isoforms. It is plausible to expect that sequence variation within the KIV-2 repeats might explain more of the differences in the Lp(a) levels observed with the same sized apo(a) isoforms, and an example was given with the detection of a null-allele caused by a variant in the KIV-2 CNV (132). Also, variants within the KIV-2 copies may be functionally important, e.g., change the binding to ligands. It is noteworthy that in the chimpanzee reference sequence from Western common chimpanzees (*Pan troglodytes verus*), which comprises four KIV-2 orthologous copies (ENSPTRG0000018770; CHIMP2.1.4:CM000320.2), these copies considerably differ in their amino acid composition from each other, and only two are identical.

Variants in the CNV may be of different types and patterns as depicted in Fig. 5, which illustrates the complex nature of variation in the CNV. An example is a *Dra*III restriction site polymorphism that is found in the introns of some copies of KIV-2, but not in others (184). Depending on the distribution of the KIV-2 copies harboring the *Dra*III site, different patterns have been observed. Population-specific differences in the proportion of the KIV-2 copies containing this polymorphism exist. The frequency of the alleles with at least one KIV-2 copy having the *Dra*III site was shown to be 25% in Europeans, while the frequency of such KIV-2 copies in Chinese was as high as 50% (184). In Chinese, the patterns of the KIV-2 copies harboring this polymorphism show frequency distributions that are different from those found in Africans and Europeans. In Europeans, the distribution patterns of the KIV-2 copies with the *Dra*III site were found to be in LD with the TTTTA repeat polymorphism in the 5'-flanking region of *LPA* and variants located 3' of the KIV-2 CNV, in the intron between KIV-6 and KIV-7. Moreover, the *Dra*III pattern was found to be in LD with the number of KIV-2 repeats and some patterns were restricted to a narrow range of CNV sizes. In Europeans, one particular pattern of this polymorphism was found to be associated with very low Lp(a) levels (184). The exact sites constituting the polymorphism have not yet been defined.

Two early studies have reported a near complete sequence conservation in the KIV-2 coding sequences (133, 134). However, these studies were restricted to samples of European descent only. On the other hand, a study by Parson et al. (132) identified a functionally important sequence variation in a European individual with an isoform much shorter than predicted from the KIV-2 CNV size determined by PFGE. This SNP was detected at the 60th nucleotide in the first exon of KIV-2, and introduces a stop codon resulting in a truncated protein that lacks the kringle domains needed to form an Lp(a) particle. Hence mutations that

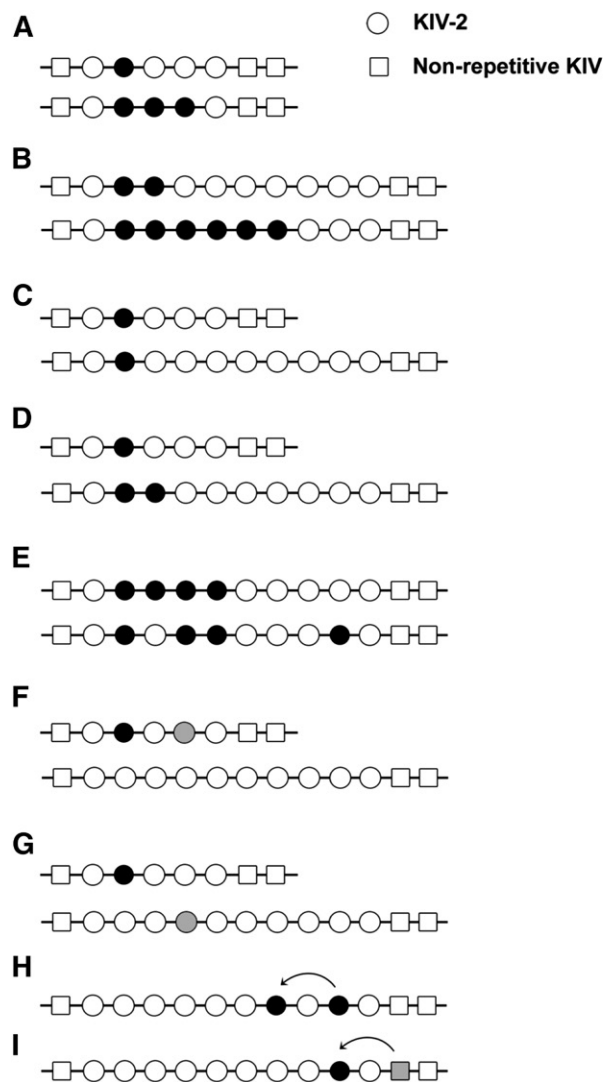


Fig. 5. Possible distributions of sequence variations in the KIV-2 CNV. Different scenarios for the distributions of sequence variants (shown as filled circles) in KIV-2 copies of different sizes are illustrated. Low and high intra-allelic frequencies of a variant on short alleles (A) and longer alleles (B). C: The same number of KIV-2 copies harbors the variant on a short and a longer allele, i.e., the intra-allelic frequency of the variant is higher on the short allele. Thus detection of the variant is more likely if present on the short allele. D: Both alleles have the same intra-allelic frequency (20%), though the number of copies carrying the variant is different. Hence the probability of detection is the same. The order of variants carrying KIV-2 copies within the allele might vary, as shown in (E). These scenarios cannot be distinguished by present methods. Different variants can be allocated in *cis* (F) (shown for a genotype with one short and one longer allele) or in *trans* (G). While scenarios (F) and (G) cannot be distinguished in analyses based on diploid samples, this is possible if analysis is based on separated alleles. Panels H and I depict possible spreads of variants across the KIV-2 CNV (H) and between KIV-2 copies and the neighboring nonrepetitive KIV-3 (I) by gene conversion. Figure used and modified with permission from (200).

result in impaired complex formation represent another mechanism resulting in “null alleles” for Lp(a). This nonsense mutation has a frequency of 2% in Europeans (132).

Some SNPs within the KIV-2 domain have recently been reported by the 1000G and other large resequencing

projects. However, for the samples covered in 1000G, the relevant phenotypic data about Lp(a) concentrations and the KIV-2 isoform sizes are missing and, consequently, it is impossible to analyze the effect of any such variation on Lp(a) levels and its association with the KIV-2 CNV size. Currently, the widely used next-generation sequencing technologies used in the 1000G project do not provide long enough sequence reads for precise alignment in case of the repetitive KIV-2 CNV. With short sequence reads, the assignment of any variation to the KIV-2 domain is not reliable due to a high degree of homology between the KIV-2 and the flanking nonrepetitive kringle domains (KIV-1 and KIV-3) in particular (Fig. 3). Moreover, the variants reported by 1000G are often allocated to specific KIV-2 copies. This assignment is questionable given the multi-allelic nature of the KIV-2 CNV and the fact that the human *LPA* reference sequence is composed of six KIV-2 copies only while the number of possible KIV-2 repeats ranges from 1 to >40 and, in most populations, the median is in the range of approximately 16–24 repeats (35, 36) (K. Schmidt et al., unpublished observations).

In a recent study, the coding exons and directly flanking intronic regions of the KIV-2 CNV were sequenced from single alleles of individuals from six populations, including Africans, Europeans, and Asians (200). Several synonymous and nonsynonymous variants, as well as two previously unreported splice site variants, were identified. Most of these variants were detected in African alleles. Among the variants found, an African-specific acceptor splice site variant (KIV-2 exon 2 –6T>G, with six dbSNP database entries, one for each KIV-2 copy: rs759106280, rs767154989, rs752434799, rs762062960, rs765664550, rs750828303) associated with short KIV-2 CNV size was present in all four analyzed African populations at high population frequencies (10–40%). This variant appears to be associated with lower Lp(a) levels than expected considering the KIV-2 CNV size of the alleles carrying it. In contrast to the frequent African-specific acceptor splice site variant, a rare donor splice site variant (KIV-2 exon 1 +1G>A, rs750988762, rs758511296, rs780283322, rs747169744, rs768983109, rs781627054) was found that was shared among Africans and Europeans and associated with non-detectable Lp(a). In Asians, only the synonymous variants that define the KIV-2 type B and type C were found at very high frequencies (70%) and observed with a broad range of different KIV-2 CNV sizes. These variants were rare in Europeans and Africans. A strong bottleneck suggested to have occurred during the migration of modern humans to East Asia might explain the enrichment of these variants and the lack of others in Asians. An intriguing observation was that the variants that were frequent in the population were shared among the KIV-2 copies of the alleles carrying them. The acceptor splice site variant as well as type B/C-defining variants were observed at high intra-allelic frequencies (relative proportion of the variant vs. wild-type carrying KIV-2 copies), i.e., they are shared among KIV-2 copies of an allele. Even more intriguing was the observation that similar intra-allelic frequencies were observed for the same variants carried on different sized KIV-2 alleles (200).

The findings suggest that the *LPA* locus harbors more sequence variation in the KIV-2 region, explaining an additional fraction of variation in Lp(a) levels across ethnicities. They cast doubt on previous claims that most of the SNPs accounting for inter-ethnic differences in Lp(a) levels (i.e., African and European Americans) have been identified (178, 180).

In this context, it may be helpful to remember that the term “explains,” frequently used in a statistical sense, should not be confused with a molecular causal explanation. A rigorous proof of causality that SNPs in *LPA* affect plasma Lp(a) levels has only been provided in a few cases.

Population-specific differences exist in the association of SNPs with the KIV-2 CNV size, and the associated Lp(a) levels could be influenced by the differences in the LD patterns across populations. The SNP markers associated with Lp(a) levels or predicting the risk for CHD in one population may not be applicable to other populations. One example is rs3798220, where the variant allele is observed with vastly different KIV-2 CNV sizes in Europeans on the one hand and in nonEuropeans on the other hand (157, 201, 202). On the other hand, population-specific SNPs in LD with a narrow range of KIV-2 CNV sizes can be causally associated with Lp(a) levels. One example could be the frequent African-specific acceptor splice variant site detected in the screening of the KIV-2 region, which is associated with short KIV-2 alleles and comparatively low Lp(a) (K. Schmidt et al., unpublished observations). This variant might provide some explanation for the missing association of the short apo(a) size with CHD in individuals of African descent.

It remains to be determined whether CNV size changes can also explain the observation that the same variants are found on multiple KIV-2 copies on the same allele. Here, inter-locus gene conversion might also play an essential role (Fig. 5). The same applies for the observation that similar intra-allelic frequencies of specific KIV-2 variants are kept even between alleles of different KIV-2 CNV sizes. More extensive SNP haplotype data within the KIV-2 CNV may provide further insights into the mechanism of KIV-2 CNV size changes and their role in the spreading of variants across the KIV-2 copies. Recent technical breakthroughs, like single strand sequencing, will allow generation of data to answer these questions in the future.

Together, the genetic analysis of the *LPA* gene has shown that Lp(a) concentrations are determined by a complex interplay of the KIV-2 CNV with SNPs in all domains of the gene, including the CNV, and that other factors play only a minor part in the population at large (Fig. 6).

GENETICS ESTABLISHES Lp(a) AS A RISK FACTOR FOR CVD: THE MENDELIAN RANDOMIZATION APPROACH

Numerous studies have repeatedly shown an association between elevated Lp(a) plasma levels and atherosclerotic CVDs, in particular CHD (159, 162, 164) and stroke (164). Retrospective case-control studies reported a significant

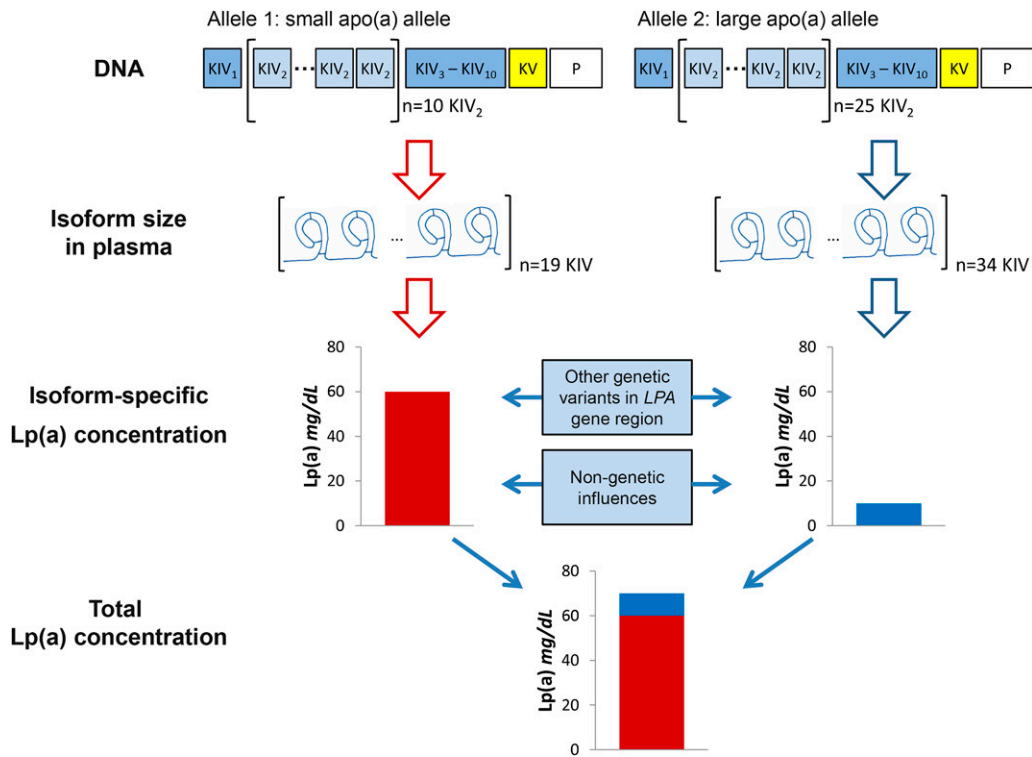


Fig. 6. Scheme illustrating the determination of Lp(a) plasma levels by *LPA* gene variation and other factors. Major determinant is the KIV-2 CNV, which codes for isoform size in plasma. Because roughly 70–90% of all subjects express two apo(a) isoforms in plasma, a mixture of Lp(a) particles can be found in plasma. On average, small apo(a) alleles result in high Lp(a) concentrations and large apo(a) alleles result in low Lp(a) concentrations, as shown as isoform-specific Lp(a) concentration in this example. Therefore the total measured Lp(a) concentration in this individual is a mixture of particles consisting, to a major extent, of Lp(a) of small size. Besides the KIV-2 CNV, other genetic variants within the *LPA* gene region, as well as nongenetic factors, have an influence on Lp(a) concentrations.

association between high Lp(a) concentrations and CHD (203–206). However, such studies were disputed due to possible selection bias and also because they left the question unresolved whether high Lp(a) concentration was the cause or a result of the processes leading to CHD. The later scenario is also known as reverse causation. Prospective studies, on the other hand, initially challenged the view that Lp(a) is an independent risk factor. As we now know, the largest of these early studies (207) was biased (208). The method for Lp(a) quantification used in this study underestimates the concentration of Lp(a) for particles containing short apo(a) isoforms. These are exactly those contributing most to the elevated Lp(a) concentrations in patients. Even though further prospective studies and a large meta-analysis of prospective studies supported Lp(a) as a risk factor, final proof was lacking (162, 209). Only Mendelian randomization studies finally allowed the ability to rule out reversed causation as the reason for increased Lp(a) levels in CHD and established a causal role of Lp(a) levels in CHD (97, 138, 157, 163, 165, 210, 211). First studies of this kind had already been performed in parallel with prospective studies, but their impact was not fully recognized at the time. Meanwhile, this study type has been extended to include instrumental variable regression analysis (138, 212) and is widely used to support causality

of biomarkers for various outcomes, which might allow the transition of a risk marker to a risk factor (213). On the other hand, this approach, if sufficiently powered studies are available, can be used to exclude causality, as was recently done for C-reactive protein and CHD (214, 215).

KIV-2 size and CHD risk

The idea of the type of study termed “Mendelian randomization” was first published by Katan (216), following discussions at a European Lipoprotein Club meeting, and was first put into practice to answer the question of whether or not Lp(a) is an independent risk factor for CHD/myocardial infarction (163, 210). The principal idea of the Mendelian randomization approach (216) applied to Lp(a) and its role in CHD is as follows. Given that high Lp(a) levels are associated with CHD in a causal manner, then any genetic variant in the *LPA* gene that affects Lp(a) levels must also be associated with CHD to the extent predicted by its influence on Lp(a) levels (**Fig. 7**). KIV-2 CNV size, which has a strong causal association with Lp(a) levels, has been used to test this hypothesis, and the association of the KIV-2 CNV with CHD was proven to not reflect reversed causation (97, 138, 157, 163, 165, 210, 211). A systematic review by Erquo et al. (164) of 40 studies involving 58,000 participants confirmed that short apo(a) size is

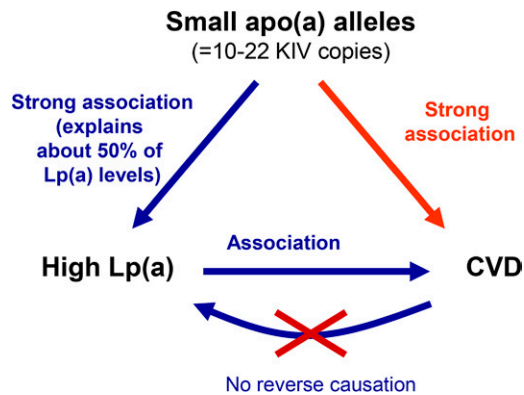


Fig. 7. Mendelian randomization approach to demonstrate a causal association between Lp(a) concentration and CHD. Because a low number of KIV copies (11–22 copies) is associated with high Lp(a) levels and high Lp(a) levels are associated with CHD, it follows that a low number of KIV copies will be associated with CHD if the association between Lp(a) and CHD is causal. As the latter is indeed the case, reverse causation [i.e., that CHD is secondarily causing an increase in Lp(a) levels] can be excluded. Figure reprinted with permission of (2).

indeed associated with CHD. This meta-analysis demonstrated that individuals with short isoforms are at an approximately 2-fold higher risk for CHD and stroke than individuals with longer apo(a) isoforms. Mendelian randomization studies using apo(a) isoforms were confirmed and complemented by studies using KIV-2 copy numbers determined by PFGE (165), and more recently by a large study in which copy numbers were determined by qPCR (138) (**Fig. 8A, B**).

SNPs as markers for CHD risk

Recent genome-wide association studies (GWASs) and candidate gene approaches using SNPs have both led to a further understanding of the association between genetic variation at the *LPA* locus with Lp(a) levels and CHD. Clarke et al. (157) used a custom genotyping chip containing 48,742 SNPs in 2,100 candidate genes to search for genetic associations with CHD in almost 8,000 CHD cases and 8,000 controls of European descent. The results revealed that several SNPs in the *LPA* region were associated with Lp(a) levels and CHD. The strongest association was observed for rs10455872 (an intronic SNP) and rs3798220 (a nonsynonymous SNP in the PD) (157). A subsequent meta-analysis of these findings reported that CHD risk in the carriers of the minor alleles of rs10455872 and rs3798220 was increased by 42 and 57%, respectively (217).

Another GWAS in Europeans (218) revealed that a haplotype composed of four SNPs, two in *LPA* (rs7767084 and rs10755578) and two in the neighboring genes, *LPAL2* (rs3127599) and *SLC22A3* (rs2048327), is strongly associated with CHD. The rare haplotype, CCTC, showed an 82% higher risk, while the more common haplotype, CTTG, increased the risk for CHD by 20% when compared with the most frequent TCTC haplotype. However, the association of these two haplotypes with CHD reflects the association of rs3798220 or rs10455872 with CHD. The

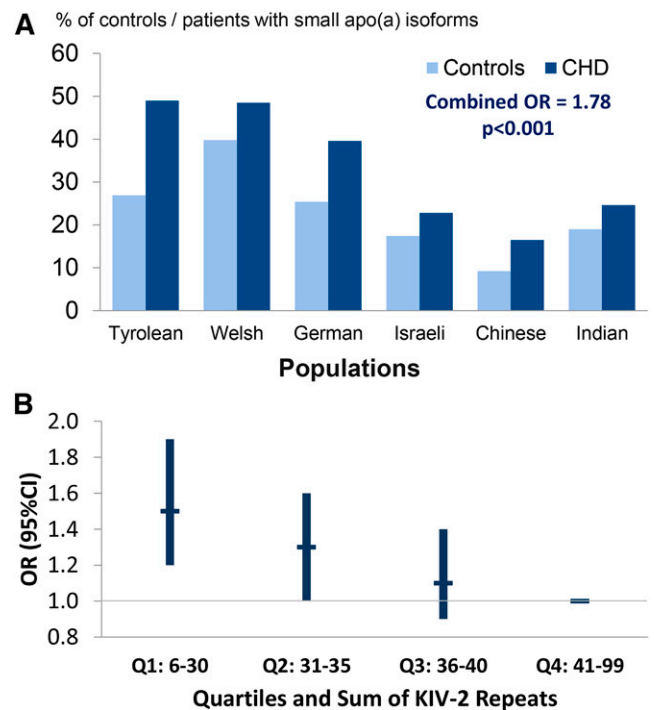


Fig. 8. KIV-2 CNV and risk for CHD. A: The apo(a) isoforms and risk for myocardial infarction. The percentage of short apo(a) isoforms (≤ 22 KIV-2 repeats) in 1,570 controls and 1,013 patients with myocardial infarction from six ethnic groups is shown. Note that short isoforms differ in frequency between populations, but are more frequent in patients in each ethnic group. Data are taken from (163). Figure adapted and reprinted with permission from (213). B: Risk of myocardial infarction by quartiles of genomic KIV-2 repeats in the Copenhagen City Heart Study. KIV-2 copies are determined by qPCR and therefore reflect the sum of KIV-2 repeats of both apo(a) alleles. The data are multivariable adjusted and show a stepwise increase in risk with decrease of KIV-2 copy number. Figure is created based on the data from (138).

haplotypes CCTC and CTTG showed an association with CHD only in carriers of the variant alleles of rs3798220 and rs10455872, respectively (219, 220). Moreover, the association of these two variants with the risk for CHD disappeared upon stratification for Lp(a) levels (157). Hence, all reported SNP associations so far can be explained by LD of the SNPs with short CNV alleles and the associated high Lp(a) levels. It is notable that the minor rs3798220 allele allows tagging of only a subgroup of high-risk short KIV CNV alleles in Europeans (202). In a reversed approach, it has recently been shown that the splice site variant, rs41272114, which results in low Lp(a), protects against CHD (54, 221).

Association of *LPA* variants with CHD and ethnicity

The association of KIV-2 CNV size and SNPs in *LPA* with Lp(a) levels is not identical in individuals of different ethnic backgrounds, which has to be considered in Mendelian randomization studies. A Mendelian randomization study in Asian Indians that failed to find an association (173) was taken as evidence for controversial outcomes of early Mendelian randomization studies (97, 138, 157, 163, 165, 210, 211). The reason for the different outcome of

the study in Asian Indians is simple. One condition for a Mendelian randomization study was only insufficiently fulfilled. The association between short KIV-2 CNV alleles and high Lp(a) concentrations with CHD is strong in Europeans and white North Americans and very strong in East Asians (Chinese and Japanese) (164), but is very weak in Asian Indians (173). Hence any association, if present, is more difficult to detect in Asian Indians and requires much larger sample sizes. The same problem exists when studying African populations. While one study in African Americans reported an association between short apo(a) isoforms and high Lp(a) in men only (222), other studies found no association between Lp(a) or apo(a) and CHD in this ethnic group (223, 224). Genetic studies in African Americans can be complicated by the fact that they represent an admixed population, with substantial contribution of European and Asian ancestry to their gene pool [reviewed in (225)]. Furthermore, the African American population is composed of descendants from various genetically diverse African populations [reviewed in (226)]. Studies from African populations in Africa on the role of *LPA* in CHD are still lacking.

As outlined, GWASs and candidate gene approaches have identified SNPs within *LPA* associated with CHD (157, 196, 197, 217, 218). The question of whether the results of such association studies in one population (i.e., Europeans) are valid for other ethnic groups has recently been addressed for the nonsynonymous SNP, rs3798220 (201). This SNP has been promoted by commercial laboratories for CHD risk screening, as it tags high risk *LPA* alleles in Europeans, claiming that it was a cost-efficient way to assess the risk potential of *LPA* and provide guidance on the benefit of aspirin therapy for prevention of CVD (227). The variant allele of rs3798220 is found at low frequencies in African Americans and Europeans, while at much higher frequencies in Asian populations and with highest frequencies in admixed Americans (Mexicans, Columbians, Puerto Ricans, and Peruvians), but it is absent in autochthonous Africans (199, 201). Almost all the studies that have investigated the association between rs3798220 and CHD were based on individuals of European descent. The only GWAS on genetic risk factors for CHD in East Asians (Japanese) that included rs3798220 did not find any association between the variant and coronary artery disease (CAD) (228). No association between the rs3798220 variant and high Lp(a) levels was reported in a small sample of Americans of East Asian and Hispanic descent (229) and Chinese CAD patients (230). In a large study conducted in various Asian populations, the variant allele neither associated with short KIV-2 CNV alleles nor with high Lp(a) concentrations in East and Southeast Asians (201). This finding suggests that rs3798220 itself is not causal and its association with high Lp(a) and consequently increased CHD risk in Europeans could most likely be explained by the LD with short isoform size that comes with very high Lp(a) levels in Europeans. However, the LD pattern of rs3798220 with other SNPs in *LPA* appears to be shared between continental groups, thus highlighting the importance of also

assessing KIV-2 CNV sizes and considering the genealogy of individuals when conducting SNP-based association studies at the *LPA* locus. Furthermore, rs3798220 is very rare in Asian Indians and it was shown that this SNP cannot explain Lp(a)-attributed risk for CAD in that population (173, 201).

IMPACT OF GENE LOCI INDEPENDENT FROM THE *LPA* LOCUS ON Lp(a) LEVELS

Lp(a) levels do not appear to be much influenced by nongenetic factors under physiological conditions in healthy individuals. However, some genetic conditions have strong effects on Lp(a) concentrations. Lp(a) is increased in FH (99) and familial defective apoB-100 (231). The increase in FH is dose-dependent, i.e., highest in FH homozygotes (98). In lipoprotein lipase deficiency (232) and abetalipoproteinemia (233), Lp(a) levels are decreased. A recent GWAS in African Americans identified the *APOE* gene locus to be associated with Lp(a) concentrations (234). This finding will require confirmation in different populations and functional elucidation. Other genes that have an influence on Lp(a) concentrations were not reported up to now because GWASs searching for Lp(a)-modulating genes have to be sufficiently powered to detect other “minor” genes besides the “giant” *LPA* locus (213).

NEW PHENOTYPIC ASSOCIATIONS OF Lp(a)

Lp(a) and the corresponding gene locus have been investigated in the context of various diseases with sometimes surprising results. Only recently and in the meanwhile confirmed by several studies including Mendelian randomization approaches, Lp(a) concentrations were found to be associated with the presence of aortic-valve calcification, incident aortic stenosis, aortic-valve replacement, and progression of aortic stenosis (235–238). Furthermore, very recently an association between Lp(a) concentrations and heart failure in more than 98,000 individuals from the general population has been described (239). In that study, when participants with a myocardial infarction or aortic valve stenosis were excluded in the analysis, the risk estimates were attenuated and mediation analysis revealed that 63% of heart failure risk was mediated via myocardial infarction or aortic valve stenosis (239).

Mendelian randomization studies helped to shed some new light on the possible link of Lp(a) to the fibrinolytic system with blood clotting and fibrinolysis for which numerous, mostly in vitro, studies had been published in the past (58). Analyzing 41,000 individuals, neither Lp(a) tertiles nor the sum of K-IV repeats were found to be associated with the risk of venous thrombosis in general population studies (58). This finding has been confirmed by a further study that investigated the two *LPA* variants, rs10455872 and rs3798220, as surrogate markers for high Lp(a) levels (59). Both studies, however, found an association with atherosclerotic forms of CVD (58, 59). It is

currently not clear whether the situation is different in children: a meta-analysis of eight studies including almost 600 children with venous thromboembolism and a suitable number of controls found elevated Lp(a) levels to be associated with a more than 4-fold increased risk for the first onset venous thromboembolism, but not recurrent cases (240). Large genetic studies in children are lacking.

Recent epidemiological studies (82–85) and a Mendelian randomization study surprisingly reported an association between very low Lp(a) concentrations and long apo(a) isoforms with an increased risk of T2DM (83) mostly in Europeans/Whites and Chinese subjects. Some studies used the SNP, rs10455872, to exclude a causal association with T2DM. This SNP is usually associated with high Lp(a) concentrations. These studies did not find an association between the noncarrier status of this SNP and T2DM (83, 84). However, as we discussed recently (241), the noncarrier status of rs10455872 includes about 86% of the European/White population with a very wide range in Lp(a) concentrations and with the inclusion of long and short apo(a) isoforms (83). It is therefore not a genetic marker of very low Lp(a) concentrations and therefore not an appropriate tool to support or exclude a causal role of Lp(a) in T2DM. Any functional mechanism underlying the association between low Lp(a) concentrations and T2DM is unclear, and no higher frequency of diabetes was reported for the Lp(a)-deficient individuals in the Finnish study by Lim et al. (54).

CONCLUSIONS

This review underscores the intriguing facets of the highly atherogenic Lp(a) and its genetic determination. The multi-allelic CNV in the *LPA* gene was the first example for the powerful Mendelian randomization studies, a method that became quite popular during the last decade. It provided very strong support for the causality of this lipoprotein for CHD. There are still large gaps in our understanding of the Lp(a)/*LPA* trait and open avenues to future research. Some new and surprising associations and sometimes contradictory results show that “there’s life in the old dog yet” (112).

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