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## **Safety evaluation of intravenously administered mono-thioated aptamer against E-selectin in mice**

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## **Abstract**

The medical applications of aptamers have recently emerged. We developed an antagonistic thioaptamer (ESTA) against E-selectin. Previously, we showed that a single injection of ESTA at a dose of 100 μg inhibits breast cancer metastasis in mice through the functional blockade of Eselectin. In the present study, we evaluated the safety of different doses of intravenously administered ESTA in single-dose acute and repeat-dose subacute studies in ICR mice. Our data indicated that intravenous administration of up to 500 μg ESTA did not result in hematologic abnormality in either study. Additionally, intravenous injection of ESTA did not affect the levels of plasma cytokines (IL-1β, IL-2, IL-4, IL-5, IL-6, IL-10, GM-CSF, IFN-γ, and TNF-α) or complement split products (C3a and C5a) in either study. However, repeated injections of ESTA slightly increased plasma ALT and AST activities, in accordance with the appearance of small necrotic areas in the liver. In conclusion, our data demonstrated that intravenous administration of ESTA does not cause overt hematologic, organs, and immunologic responses under the experimental conditions.

## **Graphical abstract**

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## **Keywords**

mono-thioated aptamer; systemic toxicity; safety; E-selectin

## **1. Introduction**

Aptamers, "chemical antibodies", are structurally distinct RNA and DNA oligonucleotides that have been shown to mimic protein-binding molecules with high binding affinity (with Kd from pM to nM) and high selectivity (Keefe *et al.*, 2010). Aptamers are  $1/10^{th}$  the molecular weight of antibodies, yet provide complex tertiary structures with sufficient recognition surface area to exceed the effects of antibodies and small molecule inhibitors (Ellington and Szostak, 1990). A number of aptamers have been developed for various applications, including therapeutics, imaging, drug delivery, and diagnostics (Jayasena, 1999; Brody and Gold, 2000; Farokhzad *et al.*, 2006; Keefe *et al.*, 2010; Hong *et al.*, 2011). These chemical antibodies can target a wide range of molecules, from amino acids to live cells (Vianini et al., 2001; Mann et al., 2005; Shangguan et al., 2006). Aptamers offer several advantages, including: 1) high binding affinity to their targets, 2) easy production and chemical modification, 3) low manufacturing costs, 4) long shelf-life, 5) CpG sequencedependent immunogenicity, and 6) minimal batch-to-batch variation due to cell-free production. Therefore, aptamers are attractive candidates for medical applications. The systematic evolution of ligands by the exponential enrichment (SELEX) approach allows for the identification of aptamers that can bind to specific targets with high selectivity. Only a small number of aptamers are selected from the library by multiple iterations of selection (Ellington and Szostak, 1990; Tuerk and Gold, 1990). Thus far, several therapeutic aptamers, such as Pegaptanib (anti-VEGF; (Ruckman *et al.*, 1998), AptA and AptB (anti-Mucin 1; (Ferreira et al., 2006), and AS1411 (anti-Nucleolin; (Bates et al., 1999) have been successfully identified. Some of these have been used clinically or are currently undergoing clinical trials.

The major limitations of the clinical application of aptamers are their poor pharmaceutical properties and CpG sequence-dependent immunogenicity (Zhao et al., 1999; Bouchard et al., 2010). Structural modification of aptamers is essential to overcome these shortcomings (Soutschek et al., 2004; Ulrich et al., 2004; Burmeister et al., 2005; Ng and Adamis, 2006; Keefe *et al.*, 2010). The sulfur substitution of the phosphate backbone or modification at the 2′ position of the ribose sugar to 2′-fluoro-ribose and 2′-O-methyl ribose has been shown to increase stability against nuclease, in turn improving the serum half-life (Thivi and Gorenstein, 2012). The conjugation of cholesterol or polyethylene glycol (PEG) to aptamers delays renal excretion (Soutschek et al., 2004; Burmeister et al., 2005). Pegaptanib (Macugen<sup>®</sup>), a 27- RNA aptamer, was modified with 2'-fluoro pyrimidines and 2'-O-methyl

purines and conjugated with 40 kDa PEG (Ng et al, 2006). Due to the unique sequence and backbone and structural modifications, the safety of each aptamer must be evaluated.

Previously, we developed ESTA, a thiophosphate-modified aptamer (thioaptamer) against Eselectin. ESTA is a 73-mer oligonucleotide that binds and antagonizes E-selectin ( $K<sub>D</sub> = 47$ nM;  $IC_{50} = 67-80$  nM; (Mann *et al.*, 2010). We have demonstrated that ESTA inhibits the interaction between E-selectin and CD44, in turn inhibiting the adhesion of CD44+ breast cancer cells to inflamed endothelial cells. A single intravenous injection of 100 μg ESTA into mice via the tail vein prevented the development of breast cancer lung metastasis in a forced lung metastasis model (Kang et al., 2015). In the present study, we evaluated the safety of intravenously administered ESTA in mice, using both single-dose acute and repeatdose subacute studies to explore the possible applications of ESTA to the prevention of breast cancer lung metastasis.

## **2. Materials and methods**

#### **2.1. Aptamer**

ESTA, the thioaptamer against E-selectin, is a 73-mer thiophosphate-modified oligodeoxynucleotide aptamer with sequence d(5′-

CGCTCGGATCGATAAGCTTCGATCCCACTCTCCCGTTCACTTCTCCTCACGTCACG GATCCTCTAGAGCACTG -3′), in which one of the non-bridging phosphoryl oxygens in the oligonucleotide phosphate backbone is substituted with sulfur on the 5′-side of all dA bases after dA22.

#### **2.2. Animals and study design**

Six-week-old ICR mice were purchased from Taconic Farms (Germantown, NY, USA). The mice  $(n=7-9; 4-5$  male,  $4-5$  female) were randomly assigned into four groups. The overall average weight of each group was 31.5g (35.8 g in males, 27.5 g in females). All animal housing and experimental procedures were performed in accordance with the institutional guidelines of the University of Oklahoma. In the single-dose acute study, mice received a single intravenous injection of one of three dose levels of ESTA (10 μg, 100 μg, and 500 μg in 100 μl saline per mouse), or 100 μl of saline via the tail vein. Four hours after the injection, the mice were anesthetized to collect whole blood by cardiac puncture. The whole blood was used for hematological evaluations, and the plasma was isolated for blood chemistry and cytokine analyses. In the repeat-dose subacute study, mice received a single intravenous injection of one of three dose levels of ESTA (10 μg, 100 μg, and 500 μg in 100 μl saline per mouse) or 100 μl of saline *via* the tail vein twice a week for 4 weeks. Two days after the last injection, whole blood was collected by cardiac puncture and plasma were prepared for hematologic evaluation and blood chemistry and cytokine analyses, respectively. The major organs (liver, spleen, kidneys, lungs, and heart) were harvested for histological evaluation in both single-dose acute and repeat-dose subacute studies.

## **2.3. Blood cell counts**

White blood cell (WBC) and red blood cell (RBC) counts were measured using a Countess Automated Cell Counter (Life Technologies, Carlsbad, CA, USA). The peripheral blood

smear slides were stained using Camco Stain Pac (Cambridge Diagnostics Products, Fort Lauderdale, FL, USA) for blood differential staining. Differential WBC counts were then performed under 40× magnification with a Leica DM2500 microscope (Leica, Buffalo Grove, IL, USA). The number of lymphocytes, monocytes, neutrophils, eosinophils, and basophils were counted from a minimum of one hundred WBCs using standard morphologic criteria.

#### **2.4. Blood chemistry**

Hepatic and renal function were evaluated using commercial assay kits for plasma alanine transaminase (BioVision, Milpitas, CA, USA), aspartate transaminase (BIOO Scientific, Austin, TX, USA), and urea (BioAssay Systems, Hayward, CA, USA). Complementary activation was analyzed by measuring C3a and C5a concentrations in plasma using ELISA kits obtained from GenWay Biotech (San Diego, CA, USA) and RayBioTech (Norcross, GA, USA), respectively, according to the manufacturer's instructions.

#### **2.5. Cytokines analysis**

Cytokine levels (IL-1β, IL-2, IL-4, IL-5, IL-10, GM-CSF, IFN- $\gamma$ , and TNF- $\alpha$ ) were measured using the Bio-Plex Pro™ Mouse Cytokine 8-plex assay kit and Bio-Plex 200 system (Bio-Rad Laboratories, Hercules, CA, USA), according to the manufacturer's instructions. IL-6 was measured using an ELISA kit from RayBioTech (Norcross, GA, USA), according to the manufacturer's instructions.

#### **2.6. Histologic analysis**

Histologic analysis was performed on formalin-fixed, paraffin-embedded (FFPE) tissue. The tissues were fixed in 10% neutral-buffered formalin overnight and were dehydrated, cleared, embedded in paraffin, cut into 4-μm-thick sections, and stained with hematoxylin and eosin (H&E) and PAS to assess histology. H&E and PAS staining of tissue sections were performed by the Tissue Pathology Core at the University of Oklahoma Health Sciences Center, using a Leica STS5020 Multistainer (Leica, Buffalo Grove, IL, USA). Tissue sections were examined using an Olympus light microscope (BX41; Olympus America, Center Valley, PA, USA), and the images were converted to digital slides using an Aperio scanner (AT2; Leica), and analyzed with a computer-assisted image analysis system (Aperio ScanScope<sup>™</sup>; Leica).

### **2.7. Statistical analysis**

Due to the widespread dose range (0 μg, 10 μg, 100 μg, 500 μg), a log dose variable [l dose=log (dose+2.718)] was computed and subsequently used as an alternative to dose in the statistical analyses. A constant 2.718 was added to dose in the log transformation to account for the original 0 μg dose group. The relationship between testing parameters and one dose was first examined using a scatter plot with local regression (LOESS) curve fitting and subsequent diagnostic residual plots. If a linear relationship existed, then the simple linear regression was fitted. Otherwise, the one-way ANOVA method with Dunnett's adjustment was used to compare the values of testing parameters between each dose and the control dose. All  $p$  values less than 0.05 were considered statistically significant. SAS 9.2 was used

in the statistical analysis. The area of necrotic foci was compared between saline and ESTAinjected groups using the two-sample t-test with unequal variances. The difference in number of intralobular necrotic foci and the proportion of mice with at least one positive intralobular necrotic foci between saline and ESTA (500 μg) was analyzed using the Wilcoxon Rank sum test and the Fisher's exact test, respectively.

## **3. Results**

#### **3.1. The effect of ESTA on blood cell counts**

Total red blood cells (RBC), total white blood cells (WBC), and absolute differential WBC were counted following a single-dose acute or repeat-dose subacute intravenous administration of one of three dose of levels of ESTA (10 μg, 100 μg, and 500 μg) in ICR mice. The administration of ESTA did not change total RBC and WBC counts in either the single-dose acute (Table 1) or repeat-dose subacute study (Table 2). Total RBC and WBC counts in all experimental groups remained in the normal reference range (Hedrich, 2012). In addition, there was no statistically significant difference in WBC differential between saline-injected control group and ESTA-injected groups at any dose used in this study (Table 1 and Table 2). These data show that neither single nor repeated intravenous injections of ESTA caused significant changes in blood cell counts.

#### **3.2. The effect of ESTA on renal and hepatic functions**

To examine the effect of ESTA on hepatic and renal functions, we measured alanine transaminase (ALT) and aspartate transaminase (AST) activities in the plasma collected from the mice that had been intravenously injected with ESTA twice weekly for one month. At the 500 µg dose level, we detected significant increases in both ALT (Fig. 1A, 29.9  $\pm$ 2.9 vs. control  $21.6 \pm 0.8$  Units/L,  $p=0.006$ ) and AST (Fig. 1B, 124.1 $\pm$ 17.2 vs. control 75.2  $\pm$  10.3 Units/L,  $p=0.046$ ). However, for the 10 and 100 µg doses, no statistically significant changes in ALT or AST levels were found, compared with saline-injected controls. The ALT and AST elevations were dose-related ( $p=0.003$  for ALT and  $p=0.01$  for AST, linear regression model). In contrast, repeated doses of ESTA did not cause a significant change in the BUN levels in any experimental dose group, and no dose-related response was noted. This indicated that ESTA did not cause significant kidney toxicity (Fig. 1C). In accordance with the dose-related ALT and AST elevations, small focal hepatocellular foci was noted histologically in tissues from mice that were administered 500 μg ESTA twice a week for 4 weeks (Fig. 2A). The PAS staining confirmed that the focal hepatocellular necrotic foci were necrotic foci admixed with a few lymphocytes, although this change was minor pathologically, as these foci were not intensely or diffusely distributed throughout the liver parenchyma (Fig. 2A). Statistical analysis supported the pathologic evaluation of no significant differences in the proportion of mice with at least one positive intra-lobular necrotic foci (33.3% vs. 75.0%,  $p=0.153$ ), or in the area of necrotic foci (18603 vs. 2825,  $p=0.445$ ; Fig. 2B), in the saline-injected group and the ESTA-injected group, respectively. However, fewer intra-lobular necrotic foci were observed in the saline-injected group than in the ESTA-injected group (0 vs. 1.5,  $p=0.036$ ). In addition, no sign of hepatitis, fibrosis, steatosis, or pathogenic organisms was observed following ESTA administration. No

obvious toxicity was detected in other organs, including lungs, spleens, and hearts, at least on a histopathologic level (Supplementary Fig. 1).

#### **3.3. The effect of ESTA on complement activation and the levels of cytokines**

The effect of ESTA on complement activation (C3a and C5a) was assessed following single and repeated administration of various doses of ESTA. No ESTA-related differences were observed in C3a activation when drug-injected animals were compared with controls (Fig. 3A and 3B). Similarly, there were no significant differences in C5a following single or repeated intravenous injection of ESTA compared with the control group (Fig. 3C and 3D). These results suggested that doses of up to 500 μg of ESTA do not activate the C3a and C5a complement pathways. To determine whether single or repeated administration of ESTA alters plasma cytokine levels, we evaluated the plasma levels of eight different cytokines: IL-1β, IL-2, IL-4, IL-5, IL-10, GM-CSF, IFN- $\gamma$ , and TNF- $\alpha$  (Fig. 4A and 4B). In the singledose acute study, most cytokines, except IL-10, trended toward a slight increase in ESTAinjected groups (100 μg and 500 μg) when compared with saline-injected control groups, but these trends were not statistically significant ( $p > 0.05$ ; Fig 4A). In the repeat-dose subacute study, there were no significant changes in any tested cytokine levels (Fig 4B). The baseline levels of all tested cytokines were consistently higher in the single-dose acute study control group than in the repeat-dose subacute study control group. The difference in baseline levels may be due to different intervals between the last intravenous administration of ESTA and blood collection (4 h in single-dose acute vs. 48 h in repeat-dose subacute). In addition to the above cytokines, the plasma concentration of IL-6 was measured to evaluate the potential effects of CpG present within the ESTA sequence. Previous studies reported that an unmethylated CpG motif causes the activation of innate immune response and induces the secretion of cytokines, including IL-6 and interferon (Klinman *et al.*, 1996; Krieg, 1999; Krieg, 2006). IL-6 levels were unaffected by the administration of any dose of ESTA in both the single-dose acute and repeat-dose subacute studies (Fig. 4C and 4D). Together, these results indicated that intravenous injection of ESTA at doses up to 500 μg did not cause acute or subacute immunogenicity in mice.

## **4. Discussion**

We previously reported that an antagonistic thioaptamer against E-selectin (ESTA) specifically target E-selectin (Mann *et al.*, 2010) and that a single bolus intravenous injection of ESTA at a dose of 100 μg is effective to prevent the development of metastasis in mouse models of forced metastasis (Kang et al., 2015). Our previous studies also indicated that twice weekly intravenous injections of 100 μg of ESTA were necessary to achieve therapeutic effects in a model of spontaneous metastasis, but a single weekly injection of the same dose of ESTA did not provide therapeutic benefit (data not shown). Based on these studies, we performed dose-ranging single-dose acute and repeat-dose subacute safety studies.

Earlier studies show that intravenously administered oligonucleotides are rapidly distributed from the circulation to the parenchyma in various organs, and then accumulate primarily in the liver, kidneys, and spleen (Geary *et al.*, 2003). Administration of oligonucleotides at

doses of 100 mg/kg *via* intraperitoneal injection or 50 mg/kg *via* intravenous injection has been reported to cause reversible renal and hepatic abnormalities in mice after 4–13 weeks of dose-free recovery (Sarmiento et al., 1994; Henry et al., 1997b; Bouchard et al., 2010). These abnormalities are characterized by mononuclear infiltration, hypertrophy of Kupffer cells in the liver, and basophilic granules within the cytoplasm of tubular epithelial cells in kidneys (Henry et al., 1997a; Henry et al., 1999; Bouchard et al., 2010). Our data show that ESTA did not cause changes in BUN level, kidney histomorphology, and body weight at doses of up to 500 μg. There was no definitive evidence of sinusoidal dilation, Kupffer cell hypertrophy, or perivascular inflammatory infiltrate in the liver after comparing control and ESTA-injected groups. However, we found that the intravenous injection of 500 μg of ESTA caused slight increases in ALT and AST levels (1.4-fold and 1.7-fold increases compared with the control group, respectively). The increased liver enzymes were associated with minor histological abnormalities, which included scattered intra-lobular necrotic foci admixed with infiltrated lymphocytes. Overall, on the basis of the alterations of liver enzyme activities along with pathologic evaluation, hepatic tissue abnormalities were minor, and this change was not severe enough to achieve the maximum tolerated dose of ESTA. The effective therapeutic dose of ESTA (100 μg) falls significantly below the maximum tolerated dose (Kang *et al.*, 2015), and the maximum tolerated dose of ESTA need to be further determined in future studies.

Previous studies reported that aptamers also cause a sequence-dependent adverse effect. It is reported that the unmethylated CpG motif of DNA is recognized by TLR9, which in turn stimulates the production of cytokines, including type I interferon and interleukin-6 (Klinman et al., 1996; Krieg, 1999; Krieg, 2006). The CpG motif consists of an unmethylated CpG dinucleotide flanked by particular base contexts, such as two 5′-purines and two 3′-pyrimidines (Krieg et al., 1995). For example, the CpG motif with the "TCAACGTT" sequence was shown to induce B-cell activation, whereas a similar sequence, "TCATCGAT", was non-immunogenic. ESTA contains seven CpG motifs. However, none of the CpG motifs are flanked by either two 5′-purines or two 3′-pyrimidines (ESTA sequence: 5′-

CGCTCGGATCGATAAGCTTCGATCCCACTCTCCCGTTCACTTCTCCTCACGTCACG GATCCTCTAGAGCACTG -3′). As a result, intravenous administration of ESTA did not cause significant changes in plasma IL-6, a direct downstream target of TRL9, in either single-dose acute or repeat-dose subacute studies, further suggesting the safe application of ESTA.

E-selectin (CD62E, ELAM-1, or LECAM-2) is inducibly expressed on the surfaces of endothelial cells in response to inflammatory cytokines (Bevilacqua, 1993), and mediates rolling and adhesion of circulating leukocytes and cancer cells through the interaction with its counter receptor ligands, sialyl Lewis<sup>x</sup> (sLe<sup>x</sup>) and sialyl Lewis<sup>A</sup> (sLe<sup>A</sup>; (Phillips *et al.*, 1990; Picker et al., 1991; Shimizu et al., 1991; Zetter, 1993; Nguyen et al., 2009). Consequently, elevated E-selectin expression on the vessel wall is observed in many types of inflammatory disease, including cancer, diabetes, coronary artery disease, and rheumatoid arthritis (Grober *et al.*, 1993; Tozeren *et al.*, 1995; Dong *et al.*, 1998; Meigs *et al.*, 2004; Barthel *et al.*, 2007). It is well documented that chronic inflammation leads to a pathologic tissue infiltration of immune cells (Luster *et al.*, 2005), and the control of chronic

inflammation remains a challenge due to the lack of suitable molecular target. We have shown that a single bolus intravenous injection of ESTA at a dose of 100 μg has the ability to inhibit hematogenous metastasis in vivo via the blockade of interaction between E-selectin and cancer cells in the circulation (Kang et al., 2015). The possible clinical applications of such therapeutic aptamers are not limited to cancer, and may be applicable to the control of chronic inflammatory diseases for the control of pathologic inflammation via the blockade of E-selectin.

Clinical applications of aptamers have recently emerged and are broadly accepted as a new class of high-affinity ligand. Similar to monoclonal antibodies, aptamers function as therapeutics (Brody and Gold, 2000; Keefe et al., 2010) and as targeting moieties in a delivery system (Farokhzad et al., 2006; Huang et al., 2009). Aptamer-conjugated drugs or nanoparticles have been developed for the selective delivery of therapeutic payload to disease sites, while sparing systemic toxicity of drug and accumulation of particles in the reticuloendothelial system (RES), e.g., the liver, kidney, spleen, and lymph nodes (Sarmiento et al., 1994; Henry et al., 1997b). The biodistribution and pharmacokinetic parameters of aptamer-conjugated delivery carrier or drug are expected to be different from aptamer alone or delivery carrier alone. Thus, the safety of these delivery system will need to be evaluated individually to assess potential bystander effects. All therapeutic aptamers have the backbone modification and PEGylation to improve the resistance against nuclease and pharmacokinetic parameters. ESTA's DNA backbone is mono-thioated to provide nuclease resistance. As a result, ESTA is stable in the serum for up to 16 hours in vitro (Supplementary Figure 2). However, the serum half-life of ESTA in vivo is as short as 3.09 min. While ESTA binds to E-selectin on the inflamed vessels and remains bound to the lung, liver, and kidney for at least 6 hours after the injection, further modification, such as PEGylation, to improve its serum stability is essential for realistic translation to clinical applications. In conclusion, we demonstrated that single-dose acute and repeat-dose subacute intravenous administration of ESTA are well tolerated at doses of up to 500 μg in male and female ICR mice.

## **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

## **Acknowledgments**

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## **Highlights**

- **•** Intravenous administration of ESTA was well tolerated.
- **•** ESTA up to 500 μg does not cause hematologic, organs, and immunologic responses.
	- **•** ESTA-mediated hepatic abnormality was considered minor.



## **Figure 1.**

Levels of plasma ALT (A), AST (B), and urea (C) in mice that were administered 10, 100, or 500 μg of ESTA intravenously, twice a week for 4 weeks. The plasma was isolated from whole blood collected 2 days after the last injection. The data represent Mean  $\pm$  SEM (n=7– 9). Statistical significance was determined by linear regression models (\*,  $p<0.05$ ; \*\*,  $p<0.01$ ).



## **Figure 2.**

Effect of repeated intravenous administrations of ESTA on hepatic toxicity. The livers from mice that received repeated intravenous injections of ESTA were harvested 2 days after the final injection and fixed with formalin. Paraffin sections were stained with H&E or PAS for histological analysis. (A) The H&E-stained lung tissue of the mice injected with saline or 500 μg of ESTA in a repeat-dose subacute study. (B) The PAS-stained liver from the mice injected with 500 μg of ESTA in a repeat-dose subacute study. The black arrow indicates the microscopic foci. The images were captured at a final magnification of 200×. The scale bars represent 100 μm.



## **Figure 3.**

Effect of single or repeated intravenous administration of 10, 100, or 500 μg of ESTA on complement C3a and C5a plasma concentrations. Plasma concentrations of complement factors 3a (A and B) and 5a (C and D) were measured from samples collected 4 hours after a single intravenous injection of ESTA (A and C) or 2 days after the last injection of a repeatdose subacute study (B and D). The data represent Mean  $\pm$  *SEM* (*n*=7–9).



## **Figure 4.**

Effect of single or repeated intravenous administration of 10, 100, or 500 μg of ESTA on plasma levels of cytokines. Plasma concentrations of eight different cytokines (IL-1β, IL-2, IL-4, IL-5, IL-10, GM-CSF, IFN- $\gamma$ , and TNF- $\alpha$ ; A and B) and IL-6 (C and D) were measured from samples collected 4 hours after a single intravenous injection of ESTA (A and C), or 2 days after the last injection of a repeat-dose study (B and D). The data represent Mean  $\pm$  *SEM* (*n*=7-9).

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Hematological analysis of whole blood samples following repeated intravenous administration of ESTA. Hematological analysis of whole blood samples following repeated intravenous administration of ESTA.



Values represent mean  $\pm$   $SEM$ Values represent mean  $\pm$  *SEM*