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Decreased function of survival motor neuron protein impairs endocytic pathways

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Spinal muscular atrophy (SMA) is caused by depletion of the ubiquitously expressed survival motor neuron (SMN) protein, with 1 in 40 Caucasians being heterozygous for a disease allele. SMN is critical for the assembly of numerous ribonucleoprotein complexes, yet it is still unclear how reduced SMN levels affect motor neuron function. Here, we examined the impact of SMN depletion in Caenorhabditis elegans and found that decreased function of the SMN ortholog SMN-1 perturbed endocytic pathways at motor neuron synapses and in other tissues. Diminished SMN-1 levels caused defects in C. elegans neuromuscular function, and smn-1 genetic interactions were consistent with an endocytic defect. Changes were observed in synaptic endocytic proteins when SMN-1 levels decreased. At the ultrastructural level, defects were observed in endosomal compartments, including significantly fewer docked synaptic vesicles. Finally, endocytosis-dependent infection by JC polyomavirus (JCPyV) was reduced in human cells with decreased SMN levels. Collectively, these results demonstrate for the first time, to our knowledge, that SMN depletion causes defects in endosomal trafficking that impair synaptic function, even in the absence of motor neuron cell death.

endocytic trafficking | survival motor neuron | spinal muscular atrophy | C. elegans | infection

S pinal muscular atrophy (SMA) is one of the most severe neuromuscular diseases of childhood, with an incidence of 1 in 10,000 live births and a high carrier frequency of roughly 1 in 40 Caucasians (1–3). SMA is caused by reduced levels of the ubiquitously expressed survival of motor neuron (SMN) protein and results in degeneration of α -spinal cord motor neurons, muscle weakness, and/or death. Two human genes encode the SMN protein, *SMN1* and *SMN2*. SMA alleles arise at relatively high frequency due to small intrachromosomal de novo rearrangements including the *SMN1* locus (4). Patients often carry homozygous *SMN1* deletions, although missense and nonsense alleles exist (5). Multiple copies of *SMN2* rarely compensate for loss of *SMN1* due to a C > T nucleotide change in *SMN2* exon 7 that perturbs pre-mRNA splicing and results in a truncated protein of diminished function and stability (SMN Δ 7) (5–9).

SMN has numerous roles and interacts with various proteins, yet it remains unclear which interactions are most pertinent to SMA pathogenesis. As a component of the Gemin complex, SMN is required for biogenesis of small nuclear ribonucleoprotein (snRNP) particles critical for pre-mRNA splicing (10–12). Furthermore, SMN is needed for stress granule formation (13, 14), is found in RNP granules moving through neuronal processes, and is part of RNP complexes implicated in synaptic local translation (15–20). Additional roles for SMN, in transcription (21), in the PTEN-mediated protein synthesis pathway (22), in translational control (23), and in cell proliferation/differentiation (24), have been described. Importantly, no consensus has been reached regarding the cellular and molecular pathways whose perturbation results in SMA pathology. Identifying the cellular

pathways most sensitive to decreased SMN is essential to understand how SMN depletion causes neuronal dysfunction/death in SMA and to accelerate therapy development.

One of the early events in SMA pathogenesis is the loss of neuromuscular junction (NMJ) function, evidenced by muscle denervation, neurofilament accumulation, and delayed neuromuscular maturation (25–27). In addition, reduced neurotransmitter release and decreased numbers of docked vesicles that precede axonal degeneration and/or motor neuron death have been reported at synapses of severe SMA mouse models (28, 29). Notably, accumulation of synaptic vesicles (SVs) away from release sites was observed in SMA fetal samples (30). The proximate cause of these synaptic changes is unclear. Numerous hypotheses have been proposed, including functional abnormalities in axonal transport and/or calcium channel loss in the nerve terminals (25–30), but none have explained the defects observed in SMA presynaptic regions.

Here, we use a previously established model of SMA in the nematode *Caenorhabditis elegans* and show, using functional assays, pharmacological challenges, and genetic epistasis, that decreased SMN levels cause endocytic pathway defects. In *C. elegans* cholinergic motor neurons, decreased SMN levels caused aberrant localization of proteins critical for endocytosis. Further, ultrastructural analysis of endosomal compartments revealed numerous defects when SMN levels were depleted, including loss of synaptic docked vesicles. Endocytic pathway

Significance

Spinal muscular atrophy (SMA) is a devastating motor neuron disease, caused by decreased levels of the ubiquitous survival motor neuron (SMN) protein. Despite the well-characterized role of SMN in pre-mRNA splicing, it remains unclear why SMA has a high carrier frequency (~1:50 Caucasians) and why diminished SMN affects synaptic function. Here, we demonstrate for the first time, to our knowledge, that SMN depletion causes defects in endosomal trafficking that impair synaptic function. Additionally, diminished SMN in human cells reduced endocytosis-dependent viral infection. It is possible that decreased SMN function may increase resistance to infection. Our findings point to endocytic trafficking as a major player in SMA pathogenesis.

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defects were also observed in nonneuronal tissues. Finally, endocytosis-dependent infection by JC polyomavirus (JCPyV) was reduced in human cells with decreased SMN levels. Combined, these results demonstrate for the first time, to our knowledge, that SMN depletion causes widespread defects in endosomal trafficking that impair synaptic function in motor neurons, even in the absence of motor neuron death.

Results

smn-1 Is Required for Neuromuscular Function. The *C. elegans* genome encodes a single ortholog of SMN, SMN-1. Animals with a wild-type copy of the endogenous *smn-1* gene are referred to herein as *smn-1(+)* and are used as controls. Diminished *smn-1* function causes slow growth and larval lethality and impairs neuromuscular function in pharyngeal pumping during feeding (31, 32) (Fig. 1*A*). *C. elegans* feed on microorganisms using a discrete subset of muscles and neurons in the pharynx (33). Animals pump symmetrically and continuously roughly 250 times per minute when food is present. The pumping rates of *smn-1* loss-of-function animals

[smn-1(ok355)] are significantly reduced (P = 3e-12; Fig. 1B) (31, 32). To confirm that the defects described here are caused by smn-1 loss, we generated a new smn-1 allele, smn-1(rt248), using CRISPR/Cas9-targeted mutagenesis (34, 35). The rt248 allele results in a premature truncation at the 19th amino acid (D19fs) of the SMN-1 protein; disrupts RNA binding, Tudor, and oligomerization domains; and likely eliminates SMN-1 protein function (Fig. S1). The pumping rates of smn-1(rt248) animals were significantly reduced (P = 3e-9; Fig. 1B). This defect was reconfirmed using RNA interference [*smn-1*(*RNAi*), P = 2e-09; Fig. S2]. These neuromuscular defects are progressive and not a developmental process; homozygous smn-1(ok355) and smn-1(rt248) larvae initially resemble wild-type animals due to maternal smn-1 loading. Eventually, homozygous smn-1 animals lose maternally loaded smn-1 product, suffer decreased motor neuron function, and usually die as larvae. However, no motor neuron loss is observed (36). The impact of *smn-1* loss is recessive; heterozygous animals are overtly normal. Single copy insertion of an smn-1(+) genomic DNA transgene (containing 445 bp of 5' regulatory sequences, 816



Fig. 1. Decreased SMN function in *C. elegans* causes defective motor neuron function. (*A*) Images of age-matched *smn-1(ok355)* (*Top*) and *smn-1(ok355)*; [*smn-1(+)*] (*Bottom*) animals. Single-copy insertion of an [*smn-1(+)*] transgene *rtSi10* rescued *smn-1(ok355*) larval lethality and growth but did not restore fertility. Arrow indicates adult vulva, which has not developed in age-matched *smn-1(ok355*). (*B*) *smn-1(ok355*) and *smn-1(rt248*) animals had reduced pumping rates vs. respective *smn-1(+)* controls. Studies in *B* involving *smn-1(ok355*) were run independently from studies with *smn-1(rt248*) but combined into one panel for brevity [black column, *smn-1(+)* control for *ok355*; cross-hatched, *smn-1(+)* control for *rt248*]. *smn-1(ok355*) pharyngeal pumping defects (at day 3 posthatching) were ameliorated by introducing an *smn-1(+)* rescue construct. Mean \pm SEN; Mann–Whitney *U* test, two-tailed: ***P* < 0.01; ****P* < 0.001. (*C*) *smn-1(ok355*), *smn-1(rt248*) animals were resistant to the acetylcholinesterase inhibitor aldicarb. Time course for paralysis induced by 1 mM aldicarb in *smn-1(+)*, *smn-1(ok355*), *smn-1(rt248*), and *unc-57(ad592)* young L4 hermaphrodites is shown. *unc-57* encodes *C. elegans* endophilin A; *unc-57(ad592)* causes inappropriate resistance to aldicarb (43). Log-rank test: ***P* < 0.001; (*n.s.*, not significant. (*E*) *smn-1(ok355*) and *smn-1(rt248*) were hypersensitive to levamisole, a nicotinic ACh receptor agonist. *smn-1(rt248*), and *lev-1(e211)* young L4 hermaphrodite paralysis on 0.4 mM levamisole plates is reported. *lev-1(e211)* animals lack a nicotinic ACh receptor subunit. Error bars indicate \pm SEM. Log-rank test: **P* < 0.001.

bp of *smn-1* genomic DNA, and 558 bp of 3' noncoding sequences, called *rtSi10*) fully rescued larval lethality, partially ameliorated pharyngeal pumping defects (P = 0.0004; Fig. 1 A and B), but did not restore fertility of *smn-1(ok355)* animals. The partial rescue of *smn-1(ok355)* defects may arise from regulatory sequences required for SMN-1 expression that were not included in the rescue construct. Nevertheless, the results presented here confirm that these defects can be attributed to SMN-1 depletion and that SMN-1 is required for normal neuromuscular function.

smn-1 Regulates Synaptic Transmission. Studies in SMA patients and mice revealed defects in motor neuron synapses when SMN levels are reduced (37). We examined motor neuron function pharmacologically in smn-1(ok355) and smn-1(rt248) animals by assessing sensitivity to aldicarb and levamisole. Aldicarb is an acetylcholinesterase inhibitor that causes muscle hypercontraction and paralysis due to accumulation of acetylcholine (ACh) in the synaptic cleft (38). Decreased ACh release slows the onset of aldicarbinduced paralysis. We found that smn-1(ok355) and smn-1(rt248) animals were resistant to aldicarb compared with animals with normal smn-1 function [P = 0.03 smn-1(ok355)] and P = 8e-6smn-1(rt248) vs. smn-1(+) derived from +/hT2 parents; Fig. 1C]. This increased resistance was due to diminished smn-1, as introducing an *smn-1(+)* genomic DNA fragment restored aldicarb sensitivity (P = 0.17; Fig. 1D). Resistance to aldicarb was also observed when smn-1 was knocked down by RNAi specifically in cholinergic neurons (P = 0.004; Fig. S3) (39). Therefore, SMN-1 is required for neuromuscular function, likely in cholinergic neurons, which is consistent with a previous study reporting resistance to the acetylcholinesterase inhibitor pyridostigmine bromide (40). smn-1(ok355) and smn-1(rt248) aldicarb resistance could be caused by decreased neurotransmitter release or reduced postsynaptic response to ACh. To discriminate, we assessed smn-1(ok355) and smn-1(rt248) sensitivity to levamisole, an agonist, which induces paralysis by directly activating postsynaptic nicotinic receptors. Levamisole resistance is thought to be purely postsynaptic (41). smn-1(ok355) and smn-1(rt248) were hypersensitive in their response to levamisole [P = 0.02 smn-1(ok355)] and $P = 5e-4 \ smn-1(rt248)$ vs. smn-1(+); Fig. 1E]. According to Miller et al. (42), a normal or hypersensitive response to levamisole suggests that an impaired ACh response at the muscle is not the cause of aldicarb resistance. Together, these data suggest that SMN-1 likely impacts presynaptic function and is required for normal neurotransmitter release.

Genetic Interaction of smn-1 with Endocytic Pathway Genes. The *smn-1(ok355)* and *smn-1(rt248)* synaptic defects could be due to impairments in the SV cycle. Potential functional interactions between *smn-1* and genes encoding SV endo- or exocytosis proteins were examined by constructing double mutant strains. For this epistasis analysis, we used two quantitative neuromuscular phenotypes: aldicarb sensitivity and pharyngeal pumping rates. First, double mutant animals were constructed with smn-1(ok355) and complete (null) loss-of-function alleles for unc-57 (endophilin-A) and unc-26 (synaptojanin), which encode proteins in the synaptic clathrin-mediated endocytosis (CME) pathway (43), and *sdpn-1* (syndapin), which is required for activity-dependent bulk endocytosis (ADBE) (44). Loss of smn-1 did not exacerbate the aldicarb resistance defects of unc-57(ok310) or unc-26(s1710) compared with each single mutant strain, but rather an intermediate defect was observed (Fig. S4 A and B). The aldicarb response of smn-1(ok355);sdpn-1(ok1667) animals was almost identical to that of smn-1(ok355) animals (P = 0.76; Fig. S4C). When double mutant strains were constructed with complete (null) loss-offunction mutants involved in SV exocytosis, *snb-1* (synaptobrevin) or unc-18 (Munc18), the aldicarb response defects were nonadditive [P = 0.55 for snb-1(md247) vs. smn-1(ok355); snb-1(md247)and P = 0.72 for unc-18(e234) vs. smn-1(ok355);unc-18(e234);

Fig. S4 *D* and *E*]. These results are consistent with *smn-1* depletion impairing SV function, but definitive conclusions could not be drawn. Therefore, we turned to pharyngeal pumping rates to assess genetic interactions (Fig. 24). Loss of *C. elegans* endophilin, synaptojanin, or syndapin did not exacerbate the decreased pharyngeal pumping rates of *smn-1(ok355)* [P = 0.34 for *smn-1(ok355)* unc-57(*ok310*), P = 0.93 for *smn-1(ok355)*;unc-26(*s1710*), and P = 0.67 for *smn-1(ok355)*;*sdpn-1(ok1667)*; Fig. 2 *B–D*]. By contrast, double mutant strains with genes involved in SV exocytosis were additive and had significantly reduced pumping rates compared with each single mutant strain [P = 0.01 for *smn-1(ok355)*;*unc-18* (*e234*) and P = 0.008 for *smn-1(ok355)*;*snb-1(md247)*; Fig. 2 *E* and *F*]. Overall, these results indicate that SMN-1 depletion impairs synaptic transmission, potentially by decreasing SV recycling.

Diminished SMN-1 Alters the Presynaptic Structure of Motor Neurons.

If SV recycling is defective when SMN levels are diminished, then the localization and/or levels of specific presynaptic proteins might change. Synaptic localization was examined in the DA motor neurons of smn-1(ok355) and smn-1(rt248) animals for two fluorescently tagged presynaptic proteins: SNB-1 (synaptobrevin) and ITSN-1 (DAP160/Intersectin) (45, 46). In the dorsal cord, DA motor neurons have no presynaptic inputs and form en passant synapses onto muscle processes (47). This formation results in a punctate pattern of protein localization along the length of the dorsal cord, composed primarily of presynaptic active zones (45). We quantified puncta width, puncta total intensity, and puncta linear density (number per micrometer). In both smn-1(ok355) and smn-1(rt248) animals, puncta of the SV protein SNB-1 were diminished in width, intensity, and density (average puncta width decreased 26% and 19% for ok355 and rt248, respectively, P = 0.02 for *ok355* and P = 0.04 for *rt248*; average total intensity decreased 35% and 27% for ok355 and rt248, respectively, P = 0.005 for ok355 and P = 0.02 for rt248; density decreased roughly 30% for both alleles, P = 0.004 for *ok355* and P = 0.01 for *rt248*) (Fig. 3 E and F). Puncta of the endocytic protein ITSN-1 (DAP160/ Intersectin) were also altered in width and intensity in both *smn-1(ok355)* and *smn-1(rt248)* animals; linear density changed only in smn-1(ok355) animals (Fig. 3 D and F). ITSN-1 puncta were smaller in size (ITSN-1 average puncta width decreased by 13% and 19% for ok355 and rt248, respectively, P = 0.03 for ok355 and P = 0.008 for *rt248*; puncta total ITSN-1 intensity was reduced by 20% and 23% for ok355 and rt248, respectively, P = 0.02 for ok355and P = 0.004 for *rt248*).

As both smn-1 loss-of-function alleles had the same profile, we also examined three more presynaptic markers in the cholinergic DA motor neurons of smn-1(ok355) animals only: SYD-2 (α-liprin), NLP-21 (GGARAF neuropeptide family), and APT-4 (AP2 α -adaptin). SYD-2 and NLP-21 puncta were unaltered in smn-1(ok355) animals, suggesting that the number of synapses-based on active zones and dense core vesicles (DCVs), respectively-is unchanged when SMN-1 levels drop (Fig. 3 A, B, and F). This is consistent with previous work showing that smn-1(ok355) animals have no overt defects in nervous system morphology and no motor neuron death (31). Puncta of the endocytic APT-4 (AP2 α -adaptin) were altered in intensity and number in *smn-1(ok355)* animals (Fig. 3 C and F). APT-4 puncta were more numerous along the neuronal processes (APT-4 increased 46%, P = 0.003) but were smaller in size (APT-4: decreased 39%, P = 8e-06). Puncta total intensity was reduced by 44% for APT-4 (P = 3e-05). To further confirm that changes in synaptic protein levels were due to decreased smn-1 function, a wild-type copy of smn-1(+) was introduced to smn-1(ok355)animals expressing APT-4::GFP. Synaptic defects were ameliorated or eliminated (Fig. 3 G-I). Combined, these results suggest that smn-1 loss of function likely causes defects in SV recycling, resulting in aberrant localization of endocytic proteins and fewer functional SVs. To confirm this interpretation, we examined smn-1(ok355) presynaptic specializations at a higher resolution.



Fig. 2. Double mutant analysis using pharyngeal pumping suggests SV recycling defects. (*A*) *C. elegans* neuromuscular function is assessed as pharyngeal grinder (arrow) movement during feeding. (*B–D*) Complete loss of endophilin-A, synaptojanin, or syndapin (*unc-57(ok310)*, *unc-26(s1710)*, or *sdpn-1(ok1667)*) did not alter *smn-1(ok355)* pharyngeal pumping defects. (*E* and *F*) Complete loss of Munc-18 or synaptobrevin [*unc-18(e234)* or *snb-1(md247)*] exacerbated *smn-1(ok355)* pharyngeal pumping defects. Additive defects with SV exocytosis loss-of-function mutants suggested that SMN-1 depletion impairs the SV recycling pathway. Total number of animals tested listed for each genotype \pm SEM; Mann–Whitney *U* test, two-tailed: **P* < 0.05; ***P* < 0.01; ****P* < 0.001; n.s., not significant.

Decreased smn-1 Function Causes Defects in Endocytic Compartments. We sectioned and reconstructed part of the ventral nerve cord for both normal smn-1(+) and mutant smn-1(ok355) animals to determine the number and distribution of vesicles in the presynaptic specializations by using transmission electron microscopy (TEM) (Fig. 4A). The total number of SVs per presynaptic region was reduced by 36% in motor neurons of smn-1(ok355) animals vs. smn-1(+) (14 ± 2, n = 45 vs. 22 ± 2, n = 35, respectively, P =0.0002; Fig. 4B). The average number of docked vesicles at the plasma membrane (P = 0.008) and average number of SVs 100 nm away from the active zone (P = 4e-08) were also decreased in smn-1(ok355) animals vs. smn-1(+) (Fig. 4 C and D). By contrast, the average number of DCVs in each presynaptic profile was not significantly different between smn-1(+) and smn-1(ok355)animals (P = 0.34; Fig. 4E). The changes observed in SVs were consistent with results from the puncta analysis (Fig. 3). TEM studies also revealed an unusual accumulation of cisternae in smn-1(ok355) synapses (Fig. 4F). Cisternae are large and abnormalsized vesicles that often reflect arrested endocytic vesicle maturation and sorting. Cisternae accumulation was reported in the synapses of *C. elegans* defective in endocytosis, such as *unc*-57 and *unc*-26 animals (43, 48). *smn*-1(+) animals had 0.06 ± 0.01 cisternae/synaptic specialization, whereas *smn*-1(ok355) animals exhibited a threefold increase (0.17 ± 0.02 cisternae/profile; *P* = 0.02). The ultrastructural abnormalities in *smn*-1(ok355) animals further support a model in which diminished SMN-1 function impairs endosomal trafficking in motor neuron synapses.

Diminished SMN-1 Causes Endosomal Defects in Nonneuronal Tissues. SV recycling shares common elements with endosomal trafficking in other cells. To determine if *smn-1* depletion causes endosomal defects more broadly, other tissues were examined. The *C. elegans* body cavity contains six coelomocyte cells that rely on fluid-phase endocytosis to clear soluble moieties from the pseudocoelom (49). Animals engineered to secrete GFP from body wall muscles into the body cavity have been used extensively to characterize endocytic activity in coelomocyte cells (50). We compared coelomocyte GFP uptake in *smn-1(+)* and *smn-1(ok355)* animals. GFP uptake



Fig. 3. Altered localization of presynaptic endocytic proteins in *smn-1* loss-of-function animals. (*A*–*E*) Representative images of fluorescently tagged, presynaptic proteins expressed in the dorsal nerve cord of cholinergic DA motor neurons of *smn-1(+)* control, *smn-1(ok355)*, and *smn-1(rt248)* animals. (Scale bar, 10 μ m.) (*F*) Percent change from control for SYD-2 (α -liprin), NLP-21 (GGARAF neuropeptide family), APT-4 (AP2 α -adaptin), ITSN-1 (DAP160/Intersectin), and SNB-1 (synaptobrevin) in *smn-1(rk248)*. Light and dark shading indicate an increase or decrease, respectively, in the *smn-1(ak355)* and *smn-1(rt248)* animals compared with *smn-1(+)* control (see Dataset S1 for extended analysis). Mann–Whitney *U* test, two-tailed: **P* < 0.05; ***P* < 0.01; ****P* < 0.001. (*G*–*I*) A single copy of the [*smn-1(+)*] construct *rtSi10* fully rescued APT-4 linear density [control *smn-1(+)* animals vs. rescued *smn-1(ak355)*; rtsi10[*smn-1(+)*] *P* = 0.5; *smn-1(ak355)* vs. rescued *P* = 0.002], partially ameliorated puncta with defects [control *smn-1(+)* vs. rescued *P* = 0.002; *smn-1(ak355)* vs. rescued *P* = 0.003], but may not have improved total intensity [control *smn-1(+)* vs. rescued *P* = 5e-04; *smn-1(ak355)* vs. rescued *P* = 0.09]. Distributions of APT-4 puncta width, linear density estimates, which convert distribution histograms into smooth, continuous density function curves. The *x*-axis values were log2-transformed before the calculation of the density function. Linear density function. Linear density function curves. The *x*-axis values after log2 transform (Dataset S1). At least three independent trials were performed (*n* > 25 animals in total/genotype).

in *smn-1(ok355)* animals (P = 0.01; Fig. 4G) is significantly reduced, indicating that diminished SMN-1 causes endocytic pathway defects in these nonneuronal cells. As GFP does not accumulate in the body cavity of *smn-1(ok355)* animals, the coelomocytes defect is not severe. To confirm that *smn-1* loss decreases endocytosis, we used RNAi to knock down SMN-1 specifically in coelomocytes. Loss of SMN-1 resulted in increased GFP intensity in coelomocytes and significant accumulation of GFP in the body cavity, consistent with stalled endocytosis and a cell-autonomous defect. No gross morphology changes or large vacuoles were observed (Figs. S5 and S6).

Next, the impact of SMN depletion on late endosomal/lysosomal compartments was examined by assessing multivesicular body (MVB) morphology in TEM sections. MVBs contain internal intraluminal vesicles that can be degraded in the lysosome or transported to the cellular membrane for recycling/secretion. In *smn-1(ok355)* animals, the average number of MVB intraluminal vesicles per MVB was dramatically decreased compared *to smn-1(+)* animals (22 ± 4 vs. 6 ± 1 , P = 0.002; Fig. 4*H*), suggesting that diminished SMN-1 impacts either generation or clearance of intraluminal vesicles in this late endosomal compartment. The average diameter of MVBs in *smn-1(ok355)* animals was unaffected in relation to controls (P = 0.81). Additionally, apical (luminal) endocytosis in the intestine of *smn-1(ok355)* animals was tested by feeding nematodes rhodamine–dextran. No difference in the endocytosis-dependent accumulation of fluorescence in intestinal cells was observed in *smn-1(ok355)* vs. *smn-1(+)* animals (Fig. S7). Overall, these results demonstrate that SMN-1 depletion causes endocytic pathway defects in motor neurons and in other tissues. PNAS PLUS



Fig. 4. Endosomal defects are seen in *smn-1(ok355)* motor neurons and elsewhere. (*A*) Representative electron micrograph images of *smn-1(+)* control and *smn-1(ok355)* NMJs. Arrows point to SV and arrowheads to DCVs. C, cistern; DP, dense projection. (*B*) SVs per synaptic profile, as defined by the presence of a DP, were reduced in *smn-1(ok355)* animals. Mann–Whitney *U* test, two-tailed: ****P* < 0.001. (*C*) Docked SVs in contact with the DP were reduced in *smn-1(ok355)* animals. Mann–Whitney *U* test, two-tailed: ****P* < 0.001. (*C*) Docked SVs in contact with the DP were reduced in *smn-1(ok355)* animals. Mann–Whitney *U* test, two-tailed: ****P* < 0.001. (*C*) Docked SVs in contact with the region 100 nm from the synaptic profile/DP. Mann–Whitney *U* test, two-tailed: ****P* < 0.001 (Table S2). (*E*) The number of DCVs per synaptic profile/DP in *smn-1(ok355)* animals was not different from control *smn-1(+)* animals. Mann–Whitney *U* test, two-tailed: *.*P* < 0.05. (*G*) Fluid-phase endocytosis by coelonocytes was decreased in *smn-1(ok355)* animals, Mann–Whitney *U* test, two-tailed: **P* < 0.05. (*G*) Fluid-phase endocytosis by coelonocyte cells lying just anterior to vulva; arrows indicate GFP accumulation inside the coelomocytes. No GFP accumulation in the body cavity of *ok355* animals was observed. (*H*) Representative electron micrograph images of MVBs in the hypodermis of *smn-1(+)* control and *smn-1(ok355)* animals. The MVBs of *smn-1(ok355)* animals contained fewer intraluminal vesicles compared with *smn-1(+)* control animals; Mann–Whitney *U* test, two-tailed: **P* < 0.05.

Decreased SMN Impairs Endocytosis-Dependent Viral Infection. Endocytic trafficking is required for multiple cellular events in both invertebrates and vertebrates, including infection by pathogenic organisms. Many viruses use cellular endocytic pathways to enter cells, and reduction of classical endocytic proteins impairs viral infection in Drosophila (51) and human cells (52-54). To determine whether the defects caused by diminished SMN in C. elegans are conserved in vertebrates, we examined endocytosis-dependent infection of human cells by a virus known to use the endocytic pathway for entry and internalization. JCPyV binds to cell surface receptors and enters cells via CME (52-54). Initially, we tested two different siRNAs and found that both depleted SMN in SVG-A glial cells that support JCPyV infection (Fig. 5A). To determine whether JCPyV infection was reduced by SMN1 knockdown, we compared infection in SMN-depleted cells vs. control scrambled siRNA-treated cells; decreased SMN impaired JCPyV infection (Fig. 5B). To rule out an effect on virus binding before endocytosis, we assessed the binding of fluorescently labeled virus (JCPyV-633) to glial cells treated with SMN-specific or control siRNA by flow cytometry. Binding of JCPyV-633 to glial cells was not affected when SMN levels were decreased by siRNA treatment (Fig. 5C). However, binding was reduced when cells were pretreated with neuraminidase, indicating that JCPyV bound to the appropriate sialic acid receptor (Fig. 5C) (55, 56). To confirm the impact of SMN knockdown on infection, the same experiments were undertaken using commercially available fibroblasts from an unaffected carrier and a type I SMA patient. SMA type I fibroblasts were resistant to infection, whereas virus binding was equivalent to control fibroblasts from a carrier individual with normal SMN levels (Fig. 5 D–F). Collectively, these data suggest that decreased SMN levels result in resistance to JCPyV infection. Overall, SMN depletion may impair infection at the stage of endosomal trafficking and/or at subsequent steps in the infection cycle.

Discussion

Despite decades of work, we still do not know why decreased SMN levels cause abnormal synaptic organization and defective synaptic neurotransmission in SMA. Here, we report for the first time, to our knowledge, that decreased SMN protein levels impair endocytic pathways, which have not been previously implicated in SMA pathogenesis. The majority of the work presented here is based on a previously defined C. elegans model of SMA in which decreased function of the C. elegans SMN ortholog, SMN-1, results in neuromuscular functional defects, without motor neuron death. We found that the motor neurons of smn-1 loss-of-function animals had reduced numbers of presynaptic docked vesicles, inappropriately high numbers of irregular vesicles called cisternae, aberrant localization of endocytic proteins, and decreased synaptobrevin levels. Additionally, genetic interactions of smn-1 with known components of the SV cycle suggested that endocytosis was impaired. Combined, these results indicate impaired SV recycling and perturbed endocytic pathway function (Fig. 6). We also observed defects in the endosomal compartments of nonneuronal tissues, consistent with the observation that SMA has consequences outside the neuromuscular system. Finally, SMN depletion reduced endocytosis-dependent viral infection in human



Fig. 5. Low SMN levels decrease JCPyV infection but not virus binding. (A) SMN siRNA knockdown in SVG-A cells. SVG-A cells reverse-transfected with siRNAs specific for SMN; protein levels were determined by SDS/PAGE and immunoblot analysis using antibodies specific for SMN or tubulin (loading control). Percentage remaining SMN is indicated. (B) JCPyV infection is dependent on SMN. SVG-A cells were reverse-transfected with SMN siRNAs, infected with JCPyV, and quantified based on nuclear VP1 staining. Here, we report the percentage of infected cells, relative to the siRNA scrambled control (n = 3 independent experiments). For each experiment, five fields of view of a 12-well plate were scored for infection, and each was compared with siRNA scrambled control (100%). Error bars indicate SEM: Student's t test. two-tailed: *P < 0.05: **P < 0.01. (C) JCPyV binding to SVG-A cells is not affected by SMN knockdown. Following siRNA knockdown of SMN, SVG-A cells were either treated or untreated with neuraminidase (NAs). Cells were incubated with JCPyV-633 and analyzed by flow cytometry. Data represent the relative fluorescence intensity of JCPyV-633 binding to cells normalized to control. (D) SMN protein levels in fibroblasts from an individual with SMA and control fibroblasts were determined by SDS/PAGE and immunoblot analysis using antibodies specific for SMN or tubulin (loading control). SMN levels are represented as percentage control SMN. (E) Fibroblasts derived from an SMA patient do not support JCPvV infection. Fibroblast cells were infected with JCPyV and quantified based on nuclear VP1 staining. Bar graphs represent the average number of infected cells per well for three wells and represent data from three independent experiments. Error bars indicate SD; Student's t test, two-tailed: *P < 0.05. (F) JCPyV binding is not decreased in SMA patient fibroblasts. SMA patient and control fibroblasts were incubated with JCPyV-633 and analyzed by flow cytometry. Relative fluorescence intensity of JCPyV-633 binding to cells normalized to control is indicated.

cells but did not affect virus binding, consistent with results from the *C. elegans* SMA model. Combined, these results suggest that impaired endocytic trafficking may be a major player in SMA pathology.

Depletion of SMN Has Widespread Endocytic Consequences. Neuromuscular defects are the most obvious hallmarks of SMA, but SMN is ubiquitously expressed and numerous studies have suggested nonneuronal requirements for SMN function in heart (57– 59), liver (60), muscle vascular system (61), lung, intestine (62), and pancreatic islets (63). Therefore, it was not surprising that endosomal defects were observed in other *C. elegans* tissues when SMN levels were compromised. SMN-1 reduction led to impaired endocytic trafficking by coelomocyte cells, which clear the body cavity of small solutes. Also, the number of intraluminal vesicles in hypodermal late endosomes/MVBs was decreased by 72% in *smn-1* mutants, suggesting that defects in transmembrane receptor recycling/clearance may occur when SMN levels are diminished. Endosomal pathways play critical roles in protein trafficking and receptor signaling in all tissues. Overall, our results suggest that endosomal defects may contribute to the systemic problems of SMA patients.

SMN-Depleted Cells Are Resistant to Viral Endocytosis-Dependent Infection. To independently assess the impact of SMN depletion on endocytic pathways, we turned to an established model of endocytosis-dependent viral infection. In Drosophila, heterozygous mutations in genes involved in endocytosis result in resistance to Drosophila C virus infection, consistent with a critical role for endocytosis in infection and pathogenesis (51). Here, we report that SMN depletion results in decreased JCPyV infection both in cells treated with SMN siRNA and in SMA patient-derived cells. There are multiple steps in viral endosomal trafficking and infection that may be impacted by diminished SMN, but viral attachment was not affected. The results presented here are consistent with SMN decrements affecting viral infection that is dependent on endocytosis, but viral infection is complex and further studies will be required to determine how diminished SMN decreases infection. A link between decreased SMN levels and increased resistance to viral endocytosis-dependent infection would be of significant interest and might explain why SMA mutant alleles are common. To our knowledge, a link between SMN levels and infection has not been examined previously. The SMA heterozygosity frequency (1:40-1:60) is similar to the frequency observed for sickle cell anemia and cystic fibrosis alleles, which are known to confer carrier survival benefits in populations at risk (64-66). SMA alleles frequently arise de novo via chromosome rearrangement, and in some cases, the neighboring neuronal apoptosis inhibitory protein (NAIP) gene is deleted (4). Mice lacking the NAIP gene fail to activate a response to Legionella pneumophila infection (67), but results herein suggest a role for SMN should be considered in future studies.

How Could SMN Regulate Endocytic Trafficking? Two nonexclusive models may explain why diminished SMN causes endocytic pathway defects. In the first model, SMN depletion leads to aberrant trafficking or splicing of mRNAs that encode proteins critical for endocytic function. For example, SMN loss impairs axonal transport of RNP granules containing mRNAs that encode numerous proteins, including annexin 2, annexin 3, and Rab18 (68); their loss may result in endosomal defects. Or more indirectly, loss of SMN may cause missplicing of mRNAs that encode proteins with a role in endocytic trafficking; mRNAs such as annexin 2 are known to be



Fig. 6. The motor neurons of *smn-1(ok355)* animals display endocytic defects. Shown is a schematic summary of puncta and TEM analysis. The number of active zones and DCVs in *smn-1(ok355)* motor neurons was unchanged, but fewer presynaptic docked vesicles were observed, and more irregular large vesicles, called cisternae, and aberrant localization of both AP2 α -adaptin and Intersectin/DAP160 endocytic proteins were observed in *smn-1(ok355)* motor neurons.

misspliced when SMN levels are depleted (69). In the second model, SMN is part of an RNA/protein complex that promotes endocytic trafficking. SMN directly binds and is transported within axons with the alpha subunit of the coat protein 1 (COPI), a critical player in intracellular vesicular trafficking. COPI can be found on Golgi, ER, and MVB membranes, and COPI loss results in defective endosomal function (70–72). Alternatively, the functional interaction between SMN, Plastin 3, and actin might play a role in the endocytic pathway defects observed when SMN levels are depleted. Plastin 3, an actin-bundling protein, was identified as a gender-protective SMA modifier and was found in a protein complex along with SMN and actin (73). Loss of fimbrin, the yeast PLS3 ortholog, inhibits endocytosis (74), and Plastin 3 interacts with activated Rab5 to facilitate mammalian endocytosis (75). Also, actin filaments can form a critical collar-like structure around the neck of endocytic vesicles as they pull away from the cell membrane (76-78), but it is unclear if SMN or Plastin 3 is associated with these structures. Hence, we consider it possible that SMN and Plastin 3 are essential players of a protein complex required for endocytic trafficking, but additional studies will be needed to determine which of these models best explains the endosomal defects caused by SMN reduction.

Conclusions

The genetic, pharmacological, and functional studies presented here demonstrate that SMN depletion impacts endocytic trafficking. Previous studies connect endocytosis and endosomal trafficking with other neurodegenerative diseases. For example, overexpression of the endosomal protein Rab11 reverses the synaptic transmission and vesicular deficits caused by mutant huntingtin in a Drosophila model of Huntington's disease (79). Furthermore, CHMP2B mutations cause frontotemporal dementia (FTD) and impair endosome-lysosome fusion (80). Additionally, Farg et al. identified a role in Rab-mediated endosomal trafficking for C9ORF72, a cause of sporadic amyotrophic lateral sclerosis (ALS) (81). Finally, BICD2 mutations are linked to autosomal-dominant SMA (82), and notably loss of the BICD2 Drosophila ortholog impairs CME at presynaptic specializations (83). Identifying the functionally relevant components that connect diminished SMN levels to endocytic pathways could lead to the identification of novel therapeutic interventions for SMA and related neurodegenerative disorders.

Materials and Methods

C. elegans Strains, Constructs, and Transgenes. Strains listed in Table S1 were maintained at 20 °C under standard conditions (84). For all experiments involving smn-1(ok355) or smn-1(rt248), animals tested were first-generation progeny of parents heterozygous for the hT2 balancer. To maintain a common genetic background, control smn-1(+) animals were similarly derived from +/hT2 parents. Plasmid pHA#582 contains a 1,819-bp fragment corresponding to the smn-1 promoter, coding sequence, and 3' untranslated region subcloned as an AflII/Xhol product into pCFJ356 (Addgene plasmid 34871) (85). Primers for amplification were as follows: 5-tgatcttaagtctacgagcgacattcatcg and 5-tgatctcgagcagcctctcatcctgattgc. rtSi9[Cb-unc-119(+)]IV and rtSi10 [smn-1p::smn-1;Cb-unc-119(+)]IV transgenes were generated by Mos1-mediated single-copy insertion (85, 86). We injected 50 ng/µL of targeting plasmid (pCFJ356 or pHA#582) into EG6703 [unc-119(ed3)III;cxTi10816 IV] animals along with 50 ng/µL pCFJ601 (eft-3p::Mos1 transposase), 10 ng/µL pGH8 (rab-3p::mCherry), 5 ng/µL pCFJ104 (myo-3p::mCherry), and 2.5 ng/µL pCFJ90 (myo-2p::mCherry). Insertion events were identified based on rescue of unc-119 in nonfluorescent animals and confirmed by PCR genotyping, before crossing into smn-1(ok355)/hT2. In Fig. 1 A, B, and D and Fig. 3 G, H, and I, smn-1(ok355);[smn-1(+)] animals carry rtSi10. For these same figures, smn-1(+) and/or smn-1(ok355) animals carry rtSi9, which differs from rtSi10 only in the absence of an smn-1(+) gene copy. The small guide RNA (sgRNA) plasmid targeting the smn-1 gene (pHA#730) for CRISPR/Cas9-mediated genome editing was generated by amplification of PU6::klp-12 (35) and subsequent ligation of the PCR product obtained by the following primers: 5'-AACATCGTCTAAACATTTAGATTTGCAATTCAATTATAGGGACC-3' and 5'-TGGGATGATAGTTTTAGAGCTAGAAATAGCAAGTTAAAATAAGGC-3'. The resulting plasmid was injected at 50 ng/µL into wild-type animals following the dpy-10 (cn64) coconversion protocol from Arribere et al. (34). After backcross, the resulting smn-1(rt248) allele was balanced over the hT2 chromosomal translocation. smn-1(rt248) creates D19fs and likely eliminates SMN-1 function.

C. elegans Behavioral Assays. Pharyngeal pumping assays were performed in the last larval stage as previously described (32). Grinder movement in any axis was scored as a pumping event. Average pumping rates (\pm SEM) were combined from at least three independent trials (n > 25 animals in total/genotype). Aldicarb and levamisole assays on early L4 stage larval animals were carried out blinded as to genotype in at least three independent trials ($n \ge 30$ animals in total/genotype) as described elsewhere (38, 87). Drug-induced paralysis caused by 1 mM aldicarb (Sigma) or 0.4 mM levamisole (Sigma) was scored as inability to move/pump in response to prodding with a metal wire.

C. elegans Light Level Microscopy. Early L4 stage larval animals were mounted on 2% (vol/vol) agar pads and immobilized using 30 mg/mL 2-3-butanedione monoxime (BDM) (Sigma) in M9 buffer. Images were captured as Z-stacks from the dorsal cord above the posterior gonad reflex (100× objective, Zeiss Axiolmager ApoTome and AxioVision software v4.8). At least three independent trials (n > 25 animals in total/genotype) were undertaken. Puncta total intensity, width, and linear density were quantified using the Punctaanalyser program in Matlab (88). Kernel density estimation of the puncta population was determined in R (v3.0.3). Invariant fluorescent illumination was confirmed daily using 0.5 μ m fluorescent beads (FluoSpheres, Molecular Probes) (46). Coelomocyte imaging was undertaken blinded as to genotype in three independent trials (n > 25 animals in total/genotype). GFP levels in the six coelomocytes of early L4 stage animals were assessed using a Zeiss V20 stereoscope (50).

C. elegans TEM. Animals were prepared in parallel for TEM as described (89). Briefly, early L4 nematodes were fixed by a high-pressure freezing apparatus followed by a 2% (vol/vol) osmium in acetone freeze substitution. Ultrathin serial sections (50-60 nm thickness) were collected on Formvar/Pioloformcoated copper slot grids, stained with 4% (vol/vol) uranyl acetate in 70% (vol/vol) methanol, followed by washing and lead citrate incubation. Images were obtained on a Philips CM10 transmission electron microscope using an Olympus Morada camera system driven by the iTEM software (Olympus Soft Imaging Solutions). Image registration and annotation were performed using TrakEM2 (90). We imaged 300 and 500 serial sections for control and smn-1(ok355) animals, respectively. The anterior ventral nerve cord was reconstructed from one animal for each genotype. Synapses were examined from VA and VB cholinergic neurons and the VD x-aminobutyric acid (GABA) neuron (47). Thirty-five control (27 cholinergic and 8 GABAergic) and 45 smn-1(ok355) (25 ACh and 20 GABAergic) neuromuscular synapses were examined. The ratio of GABAergic to cholinergic (ACh) synapses was not significantly different from the control, suggesting that ACh synapses are not preferentially lost (χ^2 test, P > 0.05). A synapse was defined as a set of serial sections containing a dense projection. Docked vesicles were defined as those contacting the plasma membrane adjacent to a dense projection. The number of SVs (~30 nm diameter) and DCVs (~40 nm diameter) were counted in sections containing a dense projection, and the numbers of each profile were averaged to obtain the final value. The presence of large clear vesicles/cisternae (>40 nm diameter) was analyzed by counting every other serial section within 1 μ m to either side of a dense projection. For MVBs, the intraluminal vesicles were counted for >30 cell profiles per genotype.

Cells and Viruses. Cells were grown at 37 °C in a humidified incubator with 5% (vol/vol) CO₂. SVG-A cells are a subclone of the human glial cell line SVG transformed with an origin-defective SV40 mutant and grown in minimum essential medium (MEM) supplemented with 10% (vol/vol) FBS and 1% penicillin–streptomycin (Mediatech, Inc.) (91). Untransformed primary fibroblasts were from a patient with SMA type I (GM09677) and control cells from a disease-free SMA carrier (GM03814) (Coriell Cell Repositories). Fibroblasts were grown in MEM supplemented with 10% (vol/vol) FBS and nonessential amino acids. Generation and propagation of the virus strain Mad-1/SVE Δ were previously described (92).

Transfection. Cells were reverse-transfected with SMN-specific siRNAs using Lipofectamine RNAiMax (Life Technologies). SMN siRNAs [SMN siRNAa (Hs_SMN1_11), ACGGTTGCATTTACCCAGCTA (cat. no. SI04950932), and SMN siRNAb (Hs-SMN1_12), ATCAGATAACATCAAGCCCAA (cat. no. SI04950939)] from Qiagen were prepared according to the manufacturer's instructions. Serum-free medium was added to triplicate wells of a 12-well plate, and siRNAs were diluted to a final concentration of 0.1, 1, 5, and 50 nM. Two microliters of RNAiMax Lipofectamine were added, and solutions were

mixed and incubated at room temperature (RT) for 20 min. Following incubation, 2×10^5 cells in 1 mL of media containing FBS were added to each well. Cells were incubated at 37 °C for 36 h and then infected or harvested for protein quantitation by immunoblot analysis. Infection data were compared with cells transfected with Allstars siRNA negative control (Qiagen).

Infection. Patient fibroblasts were plated to 60% (vol/vol) confluency in 12well plates overnight (O/N). Cells from siRNA transfections were infected at 36 h posttransfection. Media was aspirated and cells were infected with a multiplicity of infection (MOI) of 5 (JCPyV) fluorescent focus units (FFUs) per cell in MEM containing 2% (vol/vol) FBS at 37 °C for 1.5 h. Infected cells were then fed with 2 mL of appropriate media and incubated at 37 °C for 72 h. Cells were washed in 1× PBS, fixed in cold methanol, and incubated at -20 °C. Fixed cells were washed in PBS; permeabilized with 0.5% Triton X-100 (USB Corporation) at RT for 5 min; incubated with PAB597, a hybridoma supernatant that produces a monoclonal antibody against JCPyV VP1 (generously provided by Ed Harlow, Harvard Medical School, Boston) (93), at a 1:10 dilution in PBS at 37 °C for 1 h; washed with PBS; incubated with a goat anti-mouse Alexa Fluor 488-conjugated antibody (1:1,000; 20 µg/mL) (Life Technologies) in PBS at 37 °C for 1 h; and washed again in PBS. Cells were analyzed for VP1 staining in the nucleus under a 20× objective using an Eclipse TE2000-U microscope (Nikon).

Immunoblot. Cell lysates were prepared by washing cells in 200 μ L PBS and scraping and collecting the lysates. Cells were centrifuged at 855 × *g* for 5 min and pellets were resuspended in 1× RIPA buffer with protease (1:10) and phosphatase (1:100) inhibitors (Sigma) and incubated on ice for 30 min. Cells were pelleted at 18,600 × *g*, and supernatants were diluted at a 1:1 ratio in SDS loading buffer, boiled at 95 °C for 5 min, and 15 μ L was resolved by SDS/PAGE using a 4–15% (vol/vol) Tris-HCI gel (BioRad). Gels were transferred to PVDF membranes (BioRad) using a Transblot system (BioRad) at 10 V for 30 min. Membranes were blocked in 2% (vol/vol) milk in PBS with 0.1% Tween 20% (vol/vol) (PBS-T) O/N, then incubated with a purified mouse anti-SMN primary antibody at 1:5,000 dilution (BD Biosciences; 610647) and an anti-alpha tubulin polyclonal antibody at 1:1,000 dilution (Life Technologies) and a 800 nM anti-rabbit secondary antibody at 1:5,000 dilution (Life

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COR Biosciences) at RT for 1 h. All antibodies were diluted in 2% (vol/vol) milk. Immunoblots were scanned and analyzed using an Odyssey CLx Infrared Imaging System (LI-COR Biosciences).

Flow Cytometry. All cells (SVG-A treated with SMN or control siRNAs or fibroblasts) (1×10^6) were washed with PBS, incubated with cell stripper (Cell-gro), and removed from plates. Cells were pelleted, washed, and incubated in 100 µL of PBS with 2.5 µg of JCPyV labeled with Alexa Fluor 633 (JCPyV-633) or PBS alone for 2 h on ice. For neuraminidase treatment, cells were first incubated for 45 min at 37 °C in the presence of 5 U/mL type V neuraminidase from *Clostridium perfringens* (Sigma) or PBS control. Cells were pelleted and washed with PBS twice, resuspended in 1× PBS, and analyzed for virus binding using a BD FACSCalibur equipped with a 633 nm laser line (BD Bioscience). Data were analyzed using FlowJo software (Tree Star, Inc.).

Statistical Analysis. Two-tailed Mann–Whitney *U or* log-rank test was used for *C. elegans* statistical analysis. For JCPyV infection, *P* values were determined using an unpaired Student's *t* test (two-tailed distribution).

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